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Publication Date

2019-08-01

DOI

10.1016/j.anbehav.2019.06.008

Peer reviewed

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White-faced capuchin monkeys use both rank and relationship quality to recruit allies

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Decision making in capuchin monkeys' coalitions

23 Coalitionary recruitment offers a window into animal social cognition. However, naturally
24 observed coalitionary conflicts are challenging to analyze because the researcher has no control
25 over the context in which they occurred, and observed behavior patterns are typically consistent
26 with multiple explanations. In this paper we analyze observational data of coalitionary
27 solicitations during conflicts in wild capuchin monkeys, *Cebus capucinus*. We build upon
28 previous work that focuses on identifying the cues that animals use to solicit allies in agonistic
29 encounters. In contrast to previous studies, we applied a statistical technique that allows us to
30 simultaneously compare different hypotheses regarding which cues animals use and how these
31 cues interact. Our analysis shows that capuchin monkeys use information about both relationship
32 quality and dominance when recruiting allies during conflicts. Monkeys primarily use rank when
33 recruiting an ally, but will also use relationship quality, particularly when the potential ally has
34 low rank. This study provides evidence that nonhuman primates are able to classify other group
35 members using multiple criteria simultaneously. In addition, this paper presents a statistical
36 technique that animal researchers can use to infer decision rules from observational data.

37

38 **Keywords**

39 *Cebus capucinus*, coalitions, decision making, conditional logistic regression, observational data

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46 Many animals, including humans, use social information to navigate the world around
47 them. The cognitive demands of social living may well have shaped the minds of social species
48 (Whiten and Byrne 1997). If so, studying social abilities may offer insights into the link between
49 sociality and intelligence (Jolly 1966; Humphrey 1976; Whiten and Byrne 1997; Byrne 2018). A
50 key question is how animals use information about their social environment to negotiate
51 relationships. Coalitionary behavior offers particularly good insights into how individuals use
52 social information. Participants in conflicts must decide whom to solicit for help, while
53 onlookers must decide whether to join a conflict if solicited. This requires that individuals both
54 know their own relationships with others and relationships among others.

55 Coalitions typically occur in an aggressive context in which two animals join together
56 against a third party or one individual intervenes in an ongoing dyadic conflict in support of one
57 of the parties (Harcourt and De Waal 1992). Though extensively documented in primates
58 (reviewed in Bissonnette et al. 2015), coalitionary behavior occurs in other taxa as well
59 (reviewed in Smith et al. 2010). Third-party intervention in dyadic conflicts and coalition
60 formation have been reported in a variety of mammals (e.g. Hyenas, *Crocuta crocuta*: Engh et
61 al. 2005; bottlenose dolphins, *Tursiops sp.*: Parsons et al. 2003; African wild dogs, *Lycaon*
62 *pictus*: de Villiers et al. 2003;) and birds (Graylag geese, *Anser anser*: Scheiber et al. 2005;
63 Jackdaws, *Corvus monedula*: Wechsler 1988; rooks, *Corvus frugilegus*: Emery et al. 2007, Seed
64 et al. 2007).

65 Coalitionary behavior represents a continuum (Olson and Blumstein 2009), ranging from
66 mutual tolerance (e.g. refraining from fighting in raccoons, *Procyon lotor*: Gehrt and Fox 2004)
67 to the recruitment of coalition partners using evolved and formal recruitment signals (e.g. white-
68 faced capuchin monkeys, *Cebus capucinus*; Perry 2012), with many intermediate forms

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69 including the active collaboration between two or more individuals (e.g. males collaborate when
70 taking over groups with reproductive females in banded mongoose, *Mungos mungo*: Waser et al.
71 1994). Animals soliciting help often have a choice between multiple bystanders present in the
72 vicinity. This offers an opportunity to investigate what animals know about their fellow group
73 members and whether they strategically use that information.

74 Research on soliciting behavior mostly comes from primate studies. Silk's (1999)
75 pioneering study examined observational data to assess whether bonnet macaques, *Macaca*
76 *radiata*, use information about third-party relationships while recruiting allies. She showed that
77 male macaques consistently choose allies that outrank both themselves and their opponents.
78 Similar patterns have been observed in juvenile sooty mangabeys (Range and Noë, 2005) and
79 white-faced capuchin monkeys (Perry et al. 2004). Some evidence suggests that animals classify
80 others using more than one individual attribute or relationship (e.g. combining rank and kinship
81 information). For example, Bergman et al. (2003) experimentally demonstrated that baboons
82 responded more strongly to call sequences that indicate rank reversal between families than
83 within families, showing that baboons recognize that the dominance hierarchy is subdivided into
84 family groups.

85 Though informative regarding how primates use social knowledge, observational data
86 present inferential challenges. We cannot directly study social cognition. Instead, we must
87 observe which individuals are recruited as allies and which are not, and from these observations
88 make inferences about social cognition. The task is made even more difficult because the pattern
89 of choices animals make when recruiting allies are typically consistent with multiple
90 explanations (Kummer et al. 1990; Silk 1999). As we will discuss, previous statistical
91 approaches forced the research to test each possible explanation against a null hypothesis, not

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92 against each other. With observational data, our goal should be to compare models against each
93 other and assign relative plausibilities to them.

94 Some previous studies (Silk 1999; Perry et al. 2004; but see Schino et al. 2006) have been
95 able to evaluate whether a single facet of social cognition is used for determining coalitionary
96 behavior (e.g. “Solicit the highest-ranking individual” or “Solicit someone with whom you have
97 the highest relationship quality”), but could not address hypotheses that combine two types of
98 information (e.g. “Solicit someone who has high rank and good relationship quality with you”).
99 The exception is one captive observational study (Schino et al. 2006) that investigated whether
100 animals combine cues in a coalitionary recruitment context. They provided evidence that that
101 Japanese macaques prefer allies who outrank their opponents but will avoid recruiting such
102 individuals when they are the opponent’s kin. Although the rule in which macaques combine
103 information about rank and kin was plausible when tested against the null model, the methods
104 employed in the analyses were not sufficient to decide whether such a rule is more likely than
105 rules employing a single facet of social cognition.

106 Wild white-faced capuchins engage in exceptionally high rates of coalitionary aggression
107 (Perry 2012). The rate of lethal coalitionary aggression in this species is comparable to rates in
108 eastern chimpanzees (Gros-Louis et al. 2003). The frequent formation of coalitions means that
109 monkeys have to decide whom to recruit as allies on a daily basis. Coalitionary behavior
110 provides a window into how capuchin monkeys use and integrate social cues (e.g. whether or not
111 capuchins use information about third-party relationships). Perry et al. (2004) investigated
112 whether capuchins understand rank relationships and relationship quality among other group
113 members and whether they use this knowledge in the solicitation of coalitionary partners. The
114 authors used a Monte Carlo simulation to produce a distribution of coalitionary partner choices

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115 assuming monkeys choose at random. Each hypothesized decision rule's plausibility was
116 assessed by comparing it against the null distribution. A rule was considered plausible if the
117 observed patterns are not likely to have arisen by chance. This kind of statistical approach does
118 not allow for the direct comparison of different hypothesized decision rules against each other.
119 All the analyst can do is state whether the choices predicted by any particular decision rule
120 would have been likely given the null model (Mangel and Hillborn, 2005). In Perry et al. (2004),
121 four different decision rules were found to be plausible. However, their methods did not allow
122 them to determine which particular decision rule, if any, was most plausible.

123 Here, we reanalyze the dataset on capuchin coalitionary behavior published in Perry et al.
124 (2014) using a conditional logistic regression model. Our goal is to pit the different decision rules
125 identified by Perry et al. (2004) against each other. Some of these rules use a single cue, while
126 others combine cues. Based on previous findings about coalitionary recruitment patterns in
127 capuchins (Perry 1996; Perry 1997; Perry 1998a; Perry 2003; Perry et al. 2004), we focus on
128 rank relationships and the quality of social relationships among the individuals present during the
129 conflicts as predictors of solicitation decisions.

130 METHODS

131 *The dataset*

132 The records on capuchin solicitation during conflicts were collected between May 1991
133 and May 1993 at Lomas Barbudal Biological Reserve and surrounding private lands in
134 Guanacaste, Costa Rica (Perry, 1995; 1996; 1997; 1998a,b). The conflict data set, identical to the
135 data presented in Perry et al. (2004), was recorded in a single capuchin group, Abby's group,
136 which consisted of 21 individuals: four adult males, six adult females and eleven immatures.
137 The data include observations from 10-minute focal follows and *ad libitum* observations. To

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138 identify the audience members for each conflict, a scan sample was taken every 2.5 minutes in
139 which the identities of all individuals in the view of the focal animal were recorded. Monkeys
140 within a 10-20 m radius were considered to be available for solicitation. In order to be included
141 in the data set, the conflict had to include a response from the target of the initial aggressive
142 action, and the recruitment signals from either the aggressor or the target had to be obviously
143 directed toward a particular individual. Recruitment signals include the headflag (the head is
144 jerked quickly towards the solicitee and then back toward the opponent), the aggressive embrace,
145 cheek-to-cheek posture (the monkeys in coalition touch their cheeks together while threatening a
146 common opponent), and the overlord posture (the monkeys align themselves on top of one
147 another, with heads stacked like a totem pole while jointly threatening their opponent; Perry et
148 al. 2004).

149 Of the 21 group members, 18 were decision makers who solicited help from the
150 audience members and 17 were opponents of the decision makers. The four individuals who
151 never participated as either decision makers and/or opponents were young juveniles (age 1-2
152 years). Of the 21 group members, 14 individuals from the group were solicited as audience
153 members.

154 *Rank*

155 White-faced capuchin societies are characterized by an alpha male at the top of the
156 dominance hierarchy (Fragaszy et al. 2004; Jack 2010; Perry 2012). The linear ranks of adult
157 subordinate males are hard to distinguish because interactions are rare and often interrupted by
158 the alpha male, whose decisions about whom to support in male-male conflicts are inconsistent
159 (Perry 1998a). Female capuchins rank below adult males (Perry 1997). In contrast to adult males,
160 female-female dominance relationships tend to be linear (Perry 1996; Bergstrom and Fedigan

161 2010). A female's position in the dominance hierarchy is not only a function of her kin ties
162 within the group, but also dependent on her individual competitive ability (Perry and Manson
163 2008; Perry 2012). Females are usually able to change their dominance rank upon reaching
164 physical maturation by frequently fighting and winning against other females (Perry 2012).
165 Female dominance ranks are stable later in life (Manson et al. 1999; Bergstrom and Fedigan
166 2010).

167 Dominance ranks were determined using individuals' submissive behaviors (avoidance
168 and cowering) in dyadic interactions (Perry et al. 2004). Ranks were assigned on a scale ranging
169 from 0 (the lowest ranked individual) to 1 (the highest rank). There were six dyads for which we
170 assigned tied ranks, because it was impossible to determine their relative ranks. Additionally,
171 there was an alpha male rank reversal during the data collection period (Perry 1998b), which
172 resulted in a change in the dominance hierarchy. Following Perry et al. (2004), we used two
173 dominance hierarchies: one for the conflicts that occurred prior to the rank reversal and the other
174 for conflicts that occurred after the rank reversal.

175 *Relationship quality index*

176 The relationship quality index was constructed based on the interaction history for each
177 dyad (Perry et al. 2004). All interactions between two individuals for each 10-minute focal
178 follow were coded as being either affiliative (e.g. grooming, resting in contact), cooperative (e.g.
179 supporting each other in a conflict), agonistic (e.g. aggressive or submissive behaviors), or
180 neutral. The relationship quality index between the decision maker and an audience member, Q_{i-a}
181 $_a$ is defined as a proportion,

$$182 \quad Q_{i-a} = \frac{I_{+i}}{I_{+i} + I_{-i}} \quad (1)$$

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183 where I_+ is the number of 10-minute samples with affiliative/cooperative interactions, and I_- is
184 the numbers of 10-minute samples with agonistic interactions. A 10-minute sample could have
185 been coded as both having affiliative/cooperative behaviors and agonistic interactions. The
186 relationship quality index could range from 0 (indicating that a dyad relationship quality is
187 completely characterized by agonistic interactions) to 1 (indicating only affiliative/cooperative
188 interactions within a dyad). In the dataset, the majority of the relationship quality indices were
189 above 0.5 (84%), with the range between 0.2 and 1.0. Following Perry et al. (2004), separate
190 relationship quality indices were calculated for the periods before the alpha male rank reversal
191 and after.

192 *Statistical approach*

193 We modeled each decision rule using a multi-level conditional logistic regression model.
194 The goal of this model was to consider the attributes of each audience member when predicting
195 the likelihood that a specific individual was solicited. The dependence on other individuals is
196 natural: If we consider a group with the 1st, 2nd, and 5th top ranking individuals, we expect the
197 probability of soliciting the 5th ranking individual to be low. In contrast if we consider a group
198 with the 5th, 15th and 20th ranking individuals, we expect the probability of soliciting the 5th
199 ranking individual to be high. Thus, the likelihood of soliciting an audience member should
200 depend not only on the audience member's own rank, but also on the ranks of other audience
201 members. More traditional modeling frameworks, such as a binomial generalized linear model,
202 fail to capture the dependence on a solicitation choice with the other audience members,
203 particularly if the size of the audience is not constant. Conditional logistic regression is a natural
204 extension of logistic regression that allows selecting a choice based on the other choices
205 available.

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206 Conditional logistic regression is a two-step process. First the model uses a function
207 (Equation 2) to score each audience member based on their rank and their relationship quality.
208 Then the model uses a choice function (Equation 4) that takes the scores of all audience members
209 into account to determine the likelihood of soliciting a particular audience member. This model
210 is linear in that we assume that the scoring function will be a linear function of the audience
211 member's rank, relationship quality, and potentially the product of those two values (i.e. an
212 interaction term).

213 More formally, we assume that each decision maker (i) assigns a score (S_a) to each
214 audience member (a), which is a linear combination of the potential coalition partner's rank (R),
215 relationship quality to the decision maker (Q_i), and the sum of rank and relationship quality ($R * Q_i$):
216 Q_i :

$$217 \quad S_a = \beta_{R,i} R + \beta_{Q,i} Q_i + \beta_{RQ,i} R * Q_i \quad (2)$$

218 The model coefficients, $\beta_{R,i}$, $\beta_{Q,i}$, and $\beta_{RQ,i}$ determine the impact dominance rank, relationship
219 quality index, and the interaction between the two variables have on the audience member's
220 score. The subscript, i , for each of the model coefficients denotes the fact that these coefficients
221 might be different for each decision maker. We model individual differences using a random
222 effect model assuming that the coefficient for each individual is the product of a fixed effect term
223 (shared between all individuals in the population) and an individual deviation term, e.g.,

$$224 \quad \beta_{R,i} = \beta_R + \beta'_{R,i}. \quad (3)$$

225 If rank, relationship quality, or the interaction term is not included in the model, then the
226 respective parameter may be set to zero.

227 To convert the audience members' scores to choice probabilities, we constructed a
228 choice function based on the softmax decision rule, a widely used model of animal and human
229 behavior (Luce 1963; Racey et al. 2011),

$$230 \quad P(a) = \frac{e^{S_a}}{\sum_{a'} e^{S_{a'}}} . \quad (4)$$

231 In Equation 4, the exponential of the particular audience member's score is divided by the sum
232 of the exponentials of all audience members' scores. This ensures that each audience member is
233 assigned a probability ranging from 0 to 1 that is based on his or her score relative to the scores
234 of other audience members, and that the probabilities of all audience members sum to 1. The
235 exponential link function ensures that the scores are evaluated relative to each other. For
236 example, the probability that each audience member is solicited is the same for a group in which
237 the scores are 1, 20, and 100 as for a group in which the scores are 101, 120, and 200.

238 Under this choice function, individuals with the highest score will be chosen more often
239 than those with a lowest score. However, the highest-scoring audience member will not always
240 be chosen, only more likely to be chosen. If the scores among audience members are fairly close,
241 we expect that individuals will be chosen with roughly equal probability.

242 Before fitting the model, we standardized all predictor variables by subtracting the mean
243 and dividing by the standard deviation.

244 *Model fitting*

245 We used a Bayesian approach to fit the conditional logistic regression model. We
246 included uninformative Normal(0,100) priors on each of the fixed effects, β_R , β_Q , and β_{RQ} , and
247 Normal(0, σ^2) priors on each of the individual level random effects. We used three different
248 approaches to model the variance of the random effects, σ^2 : (1) fitting the model without random

249 effects; (2) setting the value of σ^2 to 1 and using a Normal(0,1) prior for each of the random
250 effects; (3) inferring the value of σ^2 as another model parameter by using an InvGamma(0.001,
251 0.001) prior and allowing the value of σ^2 to differ between fixed effects (i.e. between rank,
252 relationship, or the interaction). The choice of a wide inverse gamma distributed prior for a
253 variance term is thought to be relatively uninformative (Lunn et al. 2012; but see Gelman 2006).
254 All three approaches for modeling the variance of the random effects produced similar results.
255 We present the results from approaches (1) and (2) in the supplementary material, and focus on
256 the results of approach (3) in the main text.

257 To perform a model comparison, we evaluated the WAIC values for each model
258 (Watanabe 2010). WAIC is an estimate of out-of-sample predictive validity taking into account
259 the number of parameters (McElreath 2016). Unlike AIC which includes a fixed penalty for the
260 number of parameters in the model (Akaike 1973), in WAIC the effective number of parameters
261 is based on the diversity of the posterior distribution. This produces estimates for the effective
262 number of parameters that tend to be much smaller than the total number of parameters if many
263 of the parameters have small effects, or only contribute to fitting a subset of the data. This is
264 particularly important for evaluating models where there are a large number of random effects
265 (one for each fixed effect per individual), but where each parameter may only influence a small
266 number of observations. We present the WAIC for each model, the standard error of the WAIC,
267 the difference between the WAIC of each model and the top model, and the standard error of that
268 difference.

269 In addition to reporting the WAIC statistics, we also report the median posterior
270 estimate for each fixed effect term and its 95% Highest Posterior Density Interval (HPDI),
271 representing the narrowest interval containing the 95% probability mass (McElreath 2016).

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272 We fit the models using Stan v.2.18.0 via its R-interface, RStan v.2.18.2. We used R
273 v.3.5.2, and used the packages *loo* v.2.0.0 to calculate WAIC values and *rethinking* v.2.18.2 to
274 calculate model comparison statistics. An example R script using simulated data and the Stan
275 model files are available in the Supplementary Materials.

276 *Relative, absolute, or threshold rules*

277 We assume that rank, R , and relationship quality index, Q , can be measured in one of
278 three ways. The decision to investigate each rule was based on Perry et al. (2004), who suggest
279 capuchin monkeys might be paying attention to either absolute or to relative criteria of
280 relationship quality and rank relationships.

281 *Absolute rules*

282 For absolute rules, the values of R and Q are equal to the audience member's rank (R_a) and the
283 relationship between the individual and the audience member (Q_{i-a}).

$$284 R_{absolute} = R_a$$

$$285 Q_{absolute} = Q_{i-a}$$

286 *Relative rules*

287 For relative rules, R (or Q) is based on the difference between the solicited target's rank (or
288 relationship quality index) and the opponent's rank (or relationship quality index). If the rank of
289 the opponent is R_o and the rank of the target audience member is R_a , then

$$290 R_{relative} = R_a - R_o$$

291 Since the rank of the opponent is constant and the model depends only on the relative score of
292 individuals, $R_{relative}$ and $R_{absolute}$ are identical.

293 In the case of relationship quality index, the relationship depends on the difference between the
294 relationship of the individual with the audience member, Q_{i-a} , and the relationship of the

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295 opponent and the audience member, Q_{o-a} :

$$296 \quad Q_{relative} = Q_{i-a} - Q_{o-a}$$

297 *Threshold rules*

298 For threshold rules, R and Q are assigned a value of 0 or 1, based on whether the opponent has a
299 higher rank than the audience member, or if the decision maker has a higher relationship quality
300 index with the audience member compared to their opponent.

301 $R_{threshold} = 1$ if $R_a > R_o$ and 0 otherwise.

302 $Q_{threshold} = 1$ if $Q_{i-a} > Q_{o-a}$ and 0 otherwise.

303 *Full model set*

304 We evaluated 12 models. First, we fitted a model with just an intercept and no predictor
305 variables, which represents a null model in which choices are determined at random. Then we
306 fitted five models with a single predictor each (3 relationship quality models and 2 rank models;
307 as we discussed, absolute and relative ranks are equivalent). We followed this with three models
308 containing both rank and relationship quality predictors from each rule (absolute, relative,
309 threshold). We also assumed that either the influence of rank or relationship quality might
310 depend on the other, particularly when deciding between low ranking individuals. If one has a
311 strong preference for high-ranking individuals, then maybe she is less concerned with her
312 relationship quality with those individuals. On the other hand, if someone is deciding between
313 low-ranking individuals, then relationship quality might play a larger role in the decision. We
314 modeled this assumption including an interaction term and fitted the three models with both
315 predictors and an interaction term between them. All of the models used the same type of rule,
316 i.e. both rank and relationship quality predictors were operationalized using either absolute,
317 relative, or threshold rule.

318 The single variable models are similar to the decision rules tested in Perry et al. (2004).
319 The two-predictor models allow us to evaluate whether models that combine rank and
320 relationship quality explain the data better than any of the decision rules that are based on just
321 one variable.

322 *Ethical note*

323 This was a strictly observational study of wild animals, involving no manipulation on the
324 part of the observers, aside from the application of a small amount dye to a few of the small
325 juveniles to assist in recognizing individuals during quick action. These individuals were
326 squirted with Clairol Born Blonde hair dye, dispensed from a 100-cc syringe from which the
327 needle had been removed. The dye was squirted onto their backs from a 1-2 meter distance and
328 never produced noticeable distress. The protocols for this study were approved by the University
329 of Michigan Committee on Use and Care of Animals, IUCUC #3081, and permission was
330 obtained from the Servicio de Parques Nacionales de Costa Rica and the regional division (Area
331 de Conservacion Tempisque).

332 RESULTS

333 We find that an interaction model using both absolute rank and absolute relationship
334 quality (Absolute Interaction Model) provides the best fit to the data. Table 1 presents model
335 comparison statistics for the twelve models. The Absolute Interaction model garnered 63% of the
336 WAIC weight and the majority of the remaining weight (24%) was placed on the absolute rank
337 and relationship quality model without an interaction (Absolute Additive model). The two
338 relative criteria models received much of the remaining weight (11%). The threshold models, the
339 single-variable models (except absolute rank model which received 2% of the weight), and the
340 random choice model received almost no weight and had low ranking WAIC scores. Table 2

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341 presents the posterior mean estimates and 95% HPDI of the parameters across twelve models
 342 presented in Table 1.

343 **Table 1. Model comparison.** The table reports the effective number of parameters (pWAIC), the
 344 information criterion WAIC, standard error of the WAIC estimate (SE), the difference between
 345 each WAIC the smallest WAIC (dWAIC), and standard error of the difference in WAIC between
 346 each model and the top-ranked model (dSE), and the approximate WAIC weight. Additive
 347 models are indicated with +, interaction models are indicated with x.

348

Model	pWAIC	WAIC	SE	dWAIC	dSE	weight
Absolute rank x relationship quality (Absolute Interaction Model)	9.2	174.96	17.27	0.00	NA	0.63
Absolute rank + relationship quality (Absolute Additive Model)	9.7	176.87	17.59	1.90	2.86	0.24
Relative rank + relationship quality	10.9	179.15	17.26	4.18	4.63	0.08
Relative rank x relationship quality	12.5	181.16	17.46	6.20	4.83	0.03
Absolute rank	7.1	181.93	16.63	6.97	6.09	0.02
Threshold rank + relationship quality	10.9	198.65	15.89	23.68	11.32	0.00
Threshold rank x relationship quality	13.4	199.46	16.38	24.49	12.06	0.00
Threshold rank	5.0	204.52	15.94	29.55	11.75	0.00
Threshold relationship quality	6.2	224.25	12.98	49.28	16.42	0.00
Relative relationship quality	3.8	232.71	12.98	57.75	15.99	0.00
Random choice	0.0	236.60	12.10	61.64	16.05	0.00
Absolute relationship quality	2.6	238.98	12.21	64.02	15.88	0.00

349

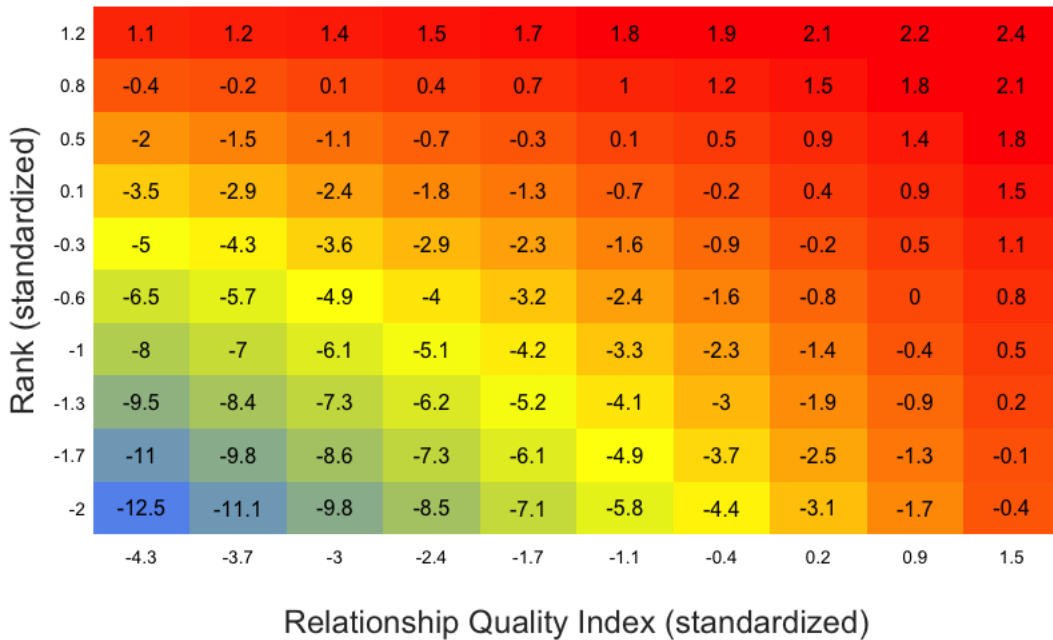
350 **Table 2. Parameter estimates.** The table reports fixed effect parameter estimates including the median and 95% HDPI (in brackets)

351 for each model, and the variance for random effects. Additive models are indicated with +, interaction models are indicated with x.

Model	Fixed Effects			Random Effects		
	Rank	Rel. quality	Interaction	σ^2_{Rank}	$\sigma^2_{\text{Rel. quality}}$	$\sigma^2_{\text{Interaction}}$
Absolute rank x relationship quality (Absolute Interaction Model)	1.74 [1.15, 2.44]	0.90 [0.32, 1.52]	-0.57 [-1.29, 0.14]	0.05 [2×10 ⁻⁴ , 0.59]	0.02 [1×10 ⁻⁴ , 0.22]	0.04 [2×10 ⁻⁴ , 0.59]
Absolute rank + relationship quality (Absolute Additive Model)	1.53 [0.96, 2.25]	0.58 [0.12, 1.07]	-	0.22 [2×10 ⁻⁴ , 1.35]	0.02 [2×10 ⁻⁴ , 0.25]	-
Relative rank + relationship quality	1.39 [0.81, 2.15]	0.42 [-0.01, 0.88]	-	0.28 [2×10 ⁻⁴ , 1.45]	0.06 [2×10 ⁻⁴ , 0.48]	-
Relative rank x relationship quality	1.41 [0.83, 2.21]	0.45 [0.01, 0.93]	-0.05 [-0.33, 0.28]	0.30 [2×10 ⁻⁴ , 1.59]	0.07 [2×10 ⁻⁴ , 0.50]	0.01 [2×10 ⁻⁴ , 0.11]
Absolute rank	1.33 [0.77, 2.05]	-	-	0.22 [2×10 ⁻⁴ , 1.27]	-	-
Threshold rank + relationship quality	1.81 [0.87, 2.76]	0.74 [-0.69, 2.21]	-	0.19 [2×10 ⁻⁴ , 3.66]	1.74 [3×10 ⁻⁴ , 6.89]	-
Threshold rank x relationship quality	2.79 [1.18, 4.71]	1.75 [-0.08, 4.26]	-1.45 [-3.51, 0.36]	0.13 [3×10 ⁻⁴ , 2.98]	2.51 [2×10 ⁻⁴ , 9.72]	0.07 [2×10 ⁻⁴ , 1.39]
Threshold rank	1.83 [0.95, 2.71]	-	-	0.11 [2×10 ⁻⁴ , 2.41]	-	-
Threshold relationship quality	-	0.93 [-0.45, 2.57]	-	-	2.34 [4×10 ⁻⁴ , 8.77]	-
Relative relationship quality	-	0.35 [-0.04, 0.77]	-	-	0.07 [2×10 ⁻⁴ , 0.48]	-
Random choice	-	-	-	-	-	-
Absolute relationship quality	-	0.03 [-0.34, 0.40]	-	-	0.02 [2×10 ⁻⁴ , 0.24]	-

352 *Best-Fitting model*

353 Figure 1 illustrates how the best-fitting model, the Absolute Interaction model, predicts
 354 the interaction between the dominance rank and relationship quality by marginalizing over the
 355 model parameters for all of the samples in the posterior distribution. This model predicts that the
 356 audience member’s score, a linear combination of their rank, relationship quality and their
 357 product, will be highest for an audience member who has the top rank and greatest relationship
 358 quality index with the decision maker. However, Figure 1 shows that if the audience member is
 359 at the top of the hierarchy, the predicted effect of the relationship quality on their score is very
 360 small. As the rank of the audience member decreases, the influence of relationship quality on the
 361 value of the audience member becomes increasingly important.

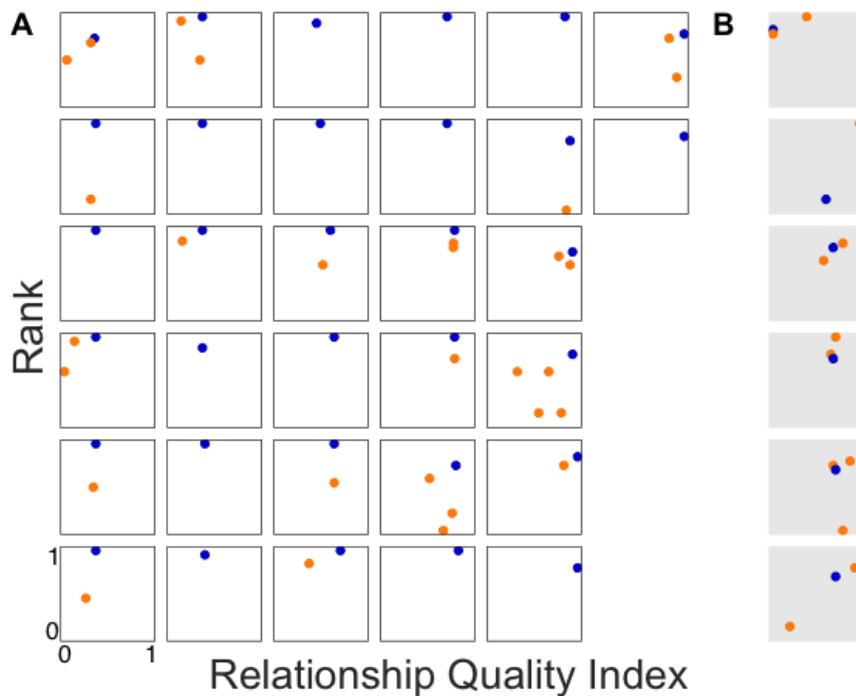


362

363 **Figure 1. A heat map of audience member scores for the Absolute Interaction model.** The
364 values in the heat map represent audience member scores (S_a , Equation 2) computed using the
365 estimated parameters of the Absolute Interaction model (Table 1).

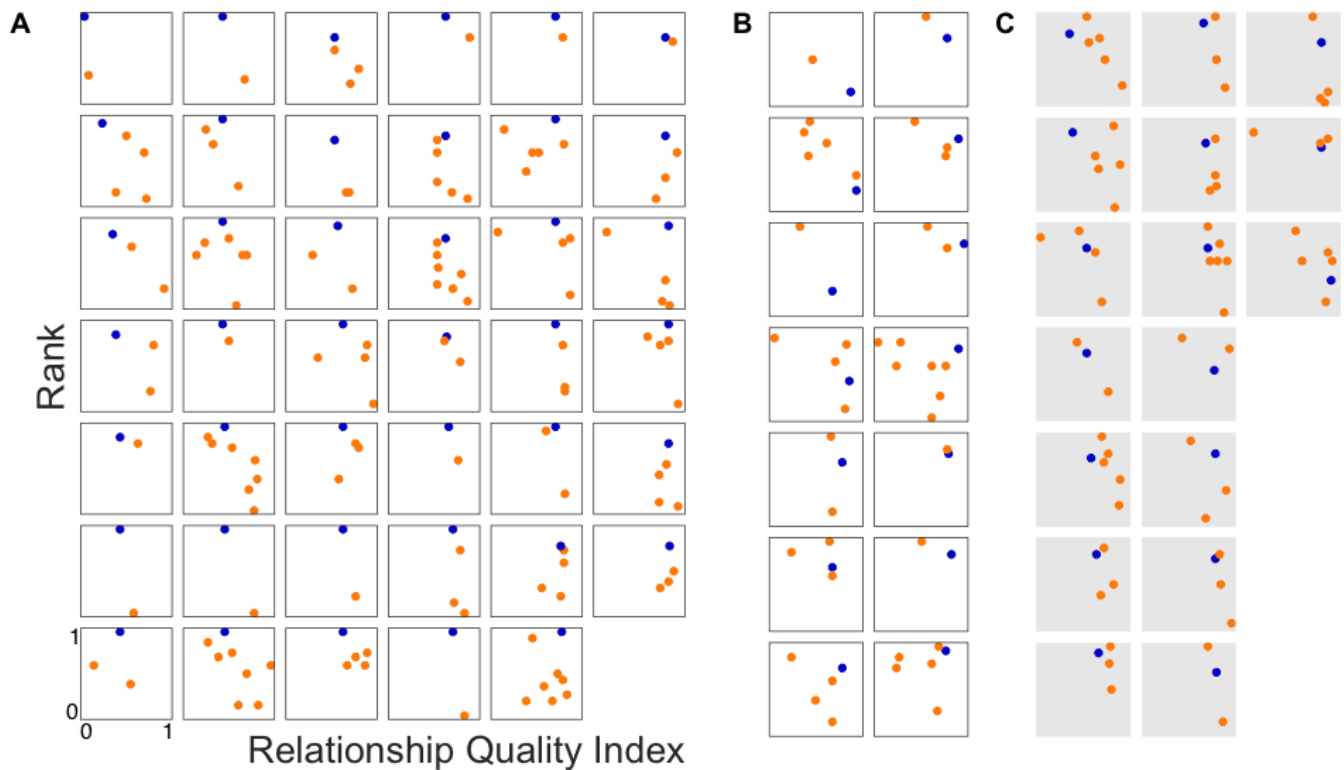
366 *Observed choices*

367 One of the main objectives of our statistical approach was to evaluate the likelihood of
368 an audience member being solicited while considering the other available options. Below we
369 present the observed audience members in each conflict and highlight which individual was
370 solicited. Figure 2 illustrates all of the audience members available in the 38 conflicts where a
371 single audience member was both highest ranking and had the highest relationship quality with
372 the decision maker. Figure 3 illustrates the remaining of the 72 conflicts in which the decision
373 maker had a choice between the highest-ranking member and another member with the highest
374 relationship quality.



375

376 **Figure 2. The choice of allies in conflicts when there is a single audience member who is**
377 **both highest ranking and has the highest relationship quality with the decision maker.** Each
378 square represents the audience available in a particular conflict. The blue dots represent the
379 audience member who was solicited, while the orange dots represent all of the other audience
380 members who were available during that conflict. The x-axis represents the audience member's
381 relationship quality with the decision maker (ranges 0-1, where the highest relationship quality is
382 1) and the y-axis represents the audience member's rank (ranges from 0-1, where the highest
383 rank is 1). In 32 of 38 conflicts (84%) in which the decision maker could choose an audience
384 member who had the highest value on both dimensions, he or she did so (Panel A). Panel B
385 depicts the remaining 6 conflicts (16%) in which the decision maker chose to recruit someone
386 else.
387



389 **Figure 4. The choice of allies in conflicts in which one audience member is highest ranking**
390 **and another has the highest relationship quality with the decision maker.** Each square
391 represents the audience available in a particular conflict. The blue dots represent the audience
392 member who was solicited, while the orange dots represent all the other audience members who
393 were available during that conflict. In 42 of 72 conflicts (58%), the decision maker chose the
394 highest-ranking individual, not the one with the highest relationship quality (Panel A). The plots
395 in Panel A are arranged (starting at the top left and going down) from the lowest relationship
396 quality of the solicited member to the highest. In 14 of 72 conflicts (19%), the decision maker
397 solicited the audience member with whom he had the highest relationship quality, not the one
398 with the highest rank (Panel B). The plots in Panel B are arranged (starting at the top left and
399 going down) from the lowest rank of the solicited audience member to the highest. And in the
400 remaining 17 of 72 conflicts (24%), the decision maker chose an audience member was neither
401 highest ranking nor had the greatest relationship quality with the decision maker (Panel C).

402 DISCUSSION

403 In this paper we reanalyzed the dataset on capuchin coalitionary behavior published in
404 Perry et al. (2004) using a conditional logistic regression model. We find that both high rank and
405 having a high relationship quality with the focal individual increased the probability that an
406 audience member was solicited. This is consistent with findings that primates classify their group
407 members using multiple criteria simultaneously (Bergman et al. 2003) and that they use this
408 information in making decisions during conflicts (Silk 1999; Perry et al. 2004; Schino et al.
409 2006). Unlike the original analysis of these data (Perry et al. 2004), we do not find that triadic
410 awareness is required to explain the solicitation behaviors of the capuchin monkeys. Here we

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411 discuss the methodological contribution of our study and the substantive contribution regarding
412 coalitionary behavior and cognition.

413 *Conditional logistic regression as a general framework for studying partner choice*

414 The use of conditional logistic regression to model solicitation behavior in conflicts
415 represents a methodological advance compared to previous studies (Silk 1999; Perry et al. 2004;
416 Schino et al. 2006). Conditional logistic regression was used for two reasons. First, previous
417 analyses were limited in that they could not simultaneously consider multiple competing
418 hypotheses and determine which, if any, are most plausible given the data. In addition, previous
419 analyses could not model decision rules in which individuals combine different kinds of social
420 information. Conditional logistic regression solves these limitations by allowing multiple cues to
421 be combined in an additive model. In addition, using conditional logistic regression instead of
422 simulation techniques allows the comparison of different decision rules using an information
423 theoretic approach. The richer modeling framework used here allows us to learn more with the
424 same data, providing more nuanced insights into the capuchins' behaviors.

425 Second, conditional logistic regression was also chosen to solve the problem of how to
426 model solicitation decisions when individuals have to choose from a subset of possible audience
427 members. The problem of partner choice features prominently in the literature on biological
428 markets (Noë and Hammerstein, 1994). Previous analyses that relied on simple binomial
429 regression models (or GLMMs) are insufficient because they do not consider which animals are
430 available to choose from. In contrast, conditional logistic regression explicitly takes into account
431 which audience members are available, and allows inferences to be made that more closely
432 resemble the individual's actual decision making. We believe this modeling framework—using
433 conditional logistic regression in combination with an information theoretic approach—

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434 represents a powerful approach for similarly structured coalitionary behavior data (and could be
435 applied in, e.g. olive baboons, *Papio anubis*: Packer 1977; brown capuchin monkeys, *Sapajus*
436 *apella*: Ferreira et al. 2006; African wild dogs, *Lycaon pictus*: de Villiers et al. 2003; spotted
437 hyenas, *Crocuta crocuta*: Smith et al. 2010). More broadly, it can be applied to decision-making
438 problems in which individuals choose from multiple potential partners, such as grooming (e.g.
439 sooty mangabeys, *Cercocebus atys atys*: Mielke et al. 2018; chimpanzees, *Pan troglodytes verus*:
440 Mielke et al. 2018), food sharing (e.g. chimpanzees, *Pan troglodytes verus* : Samuni 2018;
441 humans: Koster and Leckie 2014), group foraging (e.g. bluegill sunfish, *Lepomis macrochirus*:
442 Dugatkin and Wilson 1992), antipredator inspection (e.g. guppies, *Poecilia reticulata*: Dugatkin
443 and Alfieri 1991), and mate choice (e.g. sage grouse, *Centrocercus urophasian*: Gibson et al.
444 1991).

445 *The importance of relationship quality and rank in partner solicitation in capuchins*

446 Our findings are consistent with previous findings on joining ongoing conflicts in
447 capuchins. When intervening in a conflict, capuchins tend to join with either higher-ranking
448 individuals or individuals with whom they have better social relationship (Perry 1996; 1997;
449 1998a,b; 2003). In other species, rank and relationship quality have also been shown to be
450 important in soliciting help (bonnet macaques, *Macaca radiata*: Silk 1999; sooty mangabeys,
451 *Cercocebus torquatus atys*: Range and Noë 2005; Japanese macaques, *Macaca fuscata*: Schino
452 et al. 2006), joining a conflict (hyenas, *Crocuta crocuta*: Engh et al. 2005; sooty mangabeys,
453 *Cercocebus torquatus atys*: Range and Noë 2005), or predicting competitor's supporter
454 (chimpanzees: Wittig et al. 2014). In addition, our analyses show that, in capuchins, rank is more
455 important than relationship quality when soliciting allies. The importance of rank in capuchin
456 monkeys is not surprising given that high-ranking individuals are more likely to participate in

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457 coalitions (Perry 1996), high-ranking individuals are almost never challenged in a conflict (Perry
458 2012), and that the alpha male enjoys a central position with other group members seeking his
459 help and readily offering their own support (Perry 1996; Perry 1998; Perry 2012). Taken
460 together, this suggests that capuchins form coalitions primarily to reinforce existing hierarchy
461 rather than challenge it (“all-down” coalitions in Bissonnette et al. 2015).

462 *Do capuchin monkeys exhibit triadic awareness?*

463 Triadic awareness is the ability to have some knowledge of the relationships between
464 other individuals (De Waal 1982; Tomasello and Call 1997). Being able to know something
465 about third-party relationships might be very useful in soliciting help during conflicts, because a
466 decision maker might prefer a potential ally who has better relationship with him or her than with
467 the opponent. Perry et al. (2004) reported that such decision rule is plausible for these data.

468 Our analyses included twelve hypotheses about possible decision rules that ranged from
469 the assumption that monkeys are making random choices, to hypotheses in which monkeys take
470 into account multiple types of information simultaneously when assessing a potential ally. Each
471 of these rules assumes a certain level of cognitive ability. To use relative and threshold decision
472 rules, the monkeys must have knowledge of third-party relationships: The decision maker must
473 assess the difference between his relationship quality to the audience member and the opponent's
474 relationship quality to the audience member. Absolute decision rules do not require triadic
475 awareness, because the decision maker only uses information about the audience member's rank
476 or his relationship quality with the audience member. Our model comparison shows that the rules
477 which do not require triadic awareness have the best model fit, suggesting that triadic awareness
478 is not required to explain the solicitation patterns in this dataset.

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479 The differences between the results of Perry et al. (2004) and our results come down to
480 differences in the analytical approach. Consistent with previous findings, we found that decision
481 rules that requiring triadic awareness are more plausible than the random choice model.
482 However, we showed that these rules are far less plausible than the rules that do not require
483 triadic awareness. Although we do not find strong support for triadic awareness, this does not
484 rule out the possibility that capuchins may have this ability. Experimental studies may be a better
485 way to establish whether species have a particular cognitive ability.

486 In addition, we aimed to make inferences based on the entire set of models rather than
487 selecting the best model (Burnham and Anderson 2004; McElreath 2016). This enabled us to
488 infer that the decision rules in which animals assess only one attribute of a potential ally are far
489 less plausible than decision rules where the decision maker combines information about rank and
490 relationship quality. This provided more evidence that monkeys evaluate potential allies by
491 combining multiple types of information about them.

492 ANIMAL WELFARE NOTE

493 This was a strictly observational study of wild animals, involving no manipulation on the part of
494 the observers, aside from the application of a small amount dye to a few of the small juveniles to
495 assist in recognizing individuals during quick action. These individuals were squirted with
496 Clairol Born Blonde hair dye, dispensed from a 100-cc syringe from which the needle had been
497 removed. The dye was squirted onto their backs from a 1-2 meter distance and never produced
498 noticeable distress. The protocols for this study were approved by the University of Michigan
499 Committee on Use and Care of Animals, IUCUC #3081, and permission was obtained from the
500 Servicio de Parques Nacionales de Costa Rica and the regional division (Area de Conservacion
501 Tempisque).

502 ACKNOWLEDGEMENTS

503 We thank J. Manson, J. Gros-Louis and L. Sirot for their invaluable assistance in data collection.
504 L. Sirot, M. Tomaszycski, M. Landys and S. Newman assisted in data processing. This paper has
505 benefited from helpful discussions with H. C. Barrett, J. Manson, T. Scott-Phillips, B. Scelza, E.
506 S. Gillen, members of UCLA's Biological Anthropology subfield, the Behavior, Evolution and
507 Culture group, members of the Healey Lab who provided valuable feedback; the editor J.
508 Higham and an anonymous reviewer who suggested individual level analysis. We thank the
509 Costa Rican Servicio de Parques Nacionales and the Area de Conservación Tempisque for
510 permission to work in Lomas Barbudal Biological Reserve, and the community of San Ramón de
511 Bagaces and Rancho Jojoba for permission to work on private lands near the reserve. G. Frankie
512 and J. Frankie, the Rosales family and M. Cedillos provided logistical assistance in the field.
513 K.K. was supported by NSF Graduate Research Fellowship Grant DGE-1650604. S.E.P. was
514 supported by the L.S.B. Leakey Foundation (two grants), the National Science Foundation
515 (graduate fellowship to S.E.P., and grants to B. Smuts and J. Mitani), the National Geographic
516 Society, Sigma Xi, the Rackham Graduate School, the Evolution and Human Behavior Program
517 and the University of Michigan Alumnae Society.

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