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# Carbon dioxide sources from Alaska driven by increasing early winter respiration from Arctic tundra

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**High-latitude ecosystems have the capacity to release large amounts of carbon dioxide (CO<sub>2</sub>) to the atmosphere in response to increasing temperatures, representing a potentially significant positive feedback within the climate system. Here, we combine aircraft and tower observations of atmospheric CO<sub>2</sub> with remote sensing data and meteorological products to derive temporally and spatially resolved year-round CO<sub>2</sub> fluxes across Alaska during 2012–2014. We find that tundra ecosystems were a net source of CO<sub>2</sub> to the atmosphere annually, with especially high rates of respiration during early winter (October through December). Long-term records at Barrow, AK, suggest that CO<sub>2</sub> emission rates from North Slope tundra have increased during the October through December period by 73% ± 11% since 1975, and are correlated with rising summer temperatures. Together, these results imply increasing early winter respiration and net annual emission of CO<sub>2</sub> in Alaska, in response to climate warming. Our results provide evidence that the decadal-scale increase in the amplitude of the CO<sub>2</sub> seasonal cycle may be linked with increasing biogenic emissions in the Arctic, following the growing season. Early winter respiration was not well simulated by the Earth System Models used to forecast future carbon fluxes in recent climate assessments. Therefore, these assessments may underestimate the carbon release from Arctic soils in response to a warming climate.**

carbon dioxide | Arctic | early winter respiration | Alaska | tundra

**H**igh-latitude ecosystems contain vast reservoirs of soil organic matter that are vulnerable to climate warming, potentially causing the Arctic to become a strong source of carbon dioxide (CO<sub>2</sub>) to the global atmosphere (1, 2). To quantitatively assess this carbon–climate feedback at a regional scale, we must untangle the effects of competing ecosystem processes (3). For example, uptake of CO<sub>2</sub> by plants may be promoted by longer growing seasons in a warmer climate (4, 5) and by fertilization from rising levels of atmospheric CO<sub>2</sub> (6). These gains, however, may be offset by carbon losses associated with permafrost thaw (7), enhanced rates of microbial decomposition of soil organic matter with rising temperature (8), a longer season of soil respiration (9), and the influence of increasing midsummer drought stress on plant photosynthesis (10). Warming may also trigger carbon losses by expanding burned areas and by allowing fires to burn deeper into organic soils (11).

Model simulations disagree on the sign and magnitude of the net annual carbon flux from Alaska (3). Observational constraints are limited: Year-round measurements of CO<sub>2</sub> fluxes are very few, and the spatial scales are generally small (e.g., eddy flux and chamber studies) relative to the large extent and diversity

of arctic and boreal landscapes. Incubation experiments show higher respiration rates as soils warm (8, 12, 13), which could more than offset any future increases in net primary productivity (14).

Summertime chamber measurements as early as the 1980s hinted that tundra ecosystems could potentially change from a sink to a source of carbon (15), and some modeling studies predict that carbon losses from soil will start to dominate the annual carbon budget by the end of the 21st century (16). A recent synthesis of eddy flux data from Alaskan tundra and boreal ecosystems calculated a neutral carbon balance for 2000–2011 (17),

## Significance

**Rising arctic temperatures could mobilize reservoirs of soil organic carbon trapped in permafrost. We present the first quantitative evidence for large, regional-scale early winter respiration flux, which more than offsets carbon uptake in summer in the Arctic. Data from the National Oceanic and Atmospheric Administration’s Barrow station indicate that October through December emissions of CO<sub>2</sub> from surrounding tundra increased by 73% since 1975, supporting the view that rising temperatures have made Arctic ecosystems a net source of CO<sub>2</sub>. It has been known for over 50 y that tundra soils remain unfrozen and biologically active in early winter, yet many Earth System Models do not correctly represent this phenomenon or the associated CO<sub>2</sub> emissions, and hence they underestimate current, and likely future, CO<sub>2</sub> emissions under climate change.**

Author contributions: R.C., J.L., R.Y.-W.C., B.C.D., A.K., S.M.M., C.S., C.E.M., and S.C.W. made aircraft measurements; A.K., J.B.M., and C.S. provided CRV data; K.T. provided BRW data; K.A.L. provided the Polar-VPRM model with input from E.S.E. and N.C.P.; J.M.H. calculated WRF-STILT footprints; R.C., J.L., and J.B. prepared inputs to the optimization; J.B. designed the optimization algorithm and performed the optimization with input from R.C., J.L., S.M.M., and S.C.W.; R.C., C.S., P.T., and S.C.W. calculated the long-term BRW response; N.C.P. and J.T.R. provided CMIP5 model input and discussion; J.T.R. and S.V. calculated biomass burning contribution; J.B.M. calculated fossil fuel contribution; and R.C. wrote the paper with input from all authors.

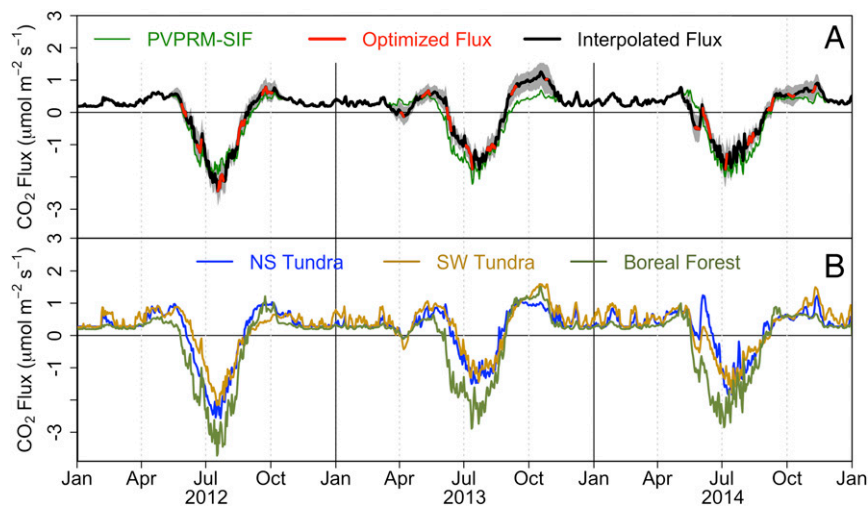
The authors declare no conflict of interest.

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Data deposition: The data reported in this paper are available at the following links: regional gridded CO<sub>2</sub> fluxes, doi: [10.3334/ORNLDAAC/1389](https://doi.org/10.3334/ORNLDAAC/1389); CARVE aircraft CO<sub>2</sub> data, doi: [10.3334/ORNLDAAC/1402](https://doi.org/10.3334/ORNLDAAC/1402); VPRM-SIF, doi: [10.3334/ORNLDAAC/1314](https://doi.org/10.3334/ORNLDAAC/1314); CRV tower CO<sub>2</sub> data, doi: [10.3334/ORNLDAAC/1316](https://doi.org/10.3334/ORNLDAAC/1316); and BRW tower CO<sub>2</sub> data, doi: [10.7289/V5RR1W6B](https://doi.org/10.7289/V5RR1W6B).

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**Fig. 1.** Time series of biogenic CO<sub>2</sub> fluxes for Alaska during 2012–2014 calculated from CARVE aircraft data. (A) Mean daily net biogenic CO<sub>2</sub> flux for Alaska during 2012–2014, with modeled (PVPRM-SIF) CO<sub>2</sub> flux (green) and the aircraft optimized net CO<sub>2</sub> flux (red) and interpolated aircraft optimized net CO<sub>2</sub> flux (black). Our approach for estimating these fluxes and the uncertainty range (shown with shading) is described in *SI Appendix, Calculation of the Additive Flux Correction*. (B) Optimized biogenic CO<sub>2</sub> fluxes for different regions in Alaska: NS tundra (blue), SW tundra (orange), and boreal forests (green).

whereas a study of eddy flux towers in tundra ecosystems across the Arctic calculated highly variable carbon sources (18).

In this paper, we present a three-part synthesis to assess carbon fluxes and carbon–climate feedbacks in arctic and boreal Alaska. (i) We combine recent in situ aircraft and tower CO<sub>2</sub> observations, eddy covariance flux data, satellite remote sensing measurements, and meteorological drivers to estimate regional fluxes of CO<sub>2</sub>. By taking advantage of the spatially integrative properties of the lower atmosphere, we use CO<sub>2</sub> mole fraction data to constrain spatially explicit, temporally resolved CO<sub>2</sub> flux distributions across Alaska during 2012–2014. We compute the annual carbon budget for Alaska, partitioned by season, ecosystem type, and source type (biogenic, pyrogenic, and anthropogenic, Figs. 1 and 2). (ii) We analyze the 40-y record of hourly atmospheric CO<sub>2</sub> measurements from the land sector at Barrow, AK [BRW tower, operated by the National Oceanic and Atmospheric Administration (NOAA)], to place recent regional carbon fluxes in a historical context. (iii) We evaluate the Alaskan CO<sub>2</sub> flux simulated by a representative set of Earth System Models (ESMs) against our CO<sub>2</sub> fluxes for Alaska in 2012–2014, focusing on the net carbon budget, early winter respiration flux, and the duration and magnitude of the growing season carbon uptake. By combining and comparing these complementary approaches, we gain a more complete understanding of the Alaskan carbon budget and insight into how arctic carbon fluxes may respond to future climate change.

## Methods

**Regional Flux.** We measured altitude profiles of CO<sub>2</sub> concentration (mole fraction relative to dry air) across Alaska during the Carbon in the Arctic Reservoirs Vulnerability Experiment (CARVE) flights (19) (*SI Appendix, Aircraft Measurements*), spanning April through November in 2012–2014. The aircraft observations quantified the structure and magnitude of gradients of CO<sub>2</sub> concentration in the lower atmosphere, and we use these data to compute the mass-weighted, column-mean CO<sub>2</sub> mole fraction in the atmospheric residual layer (defined in *SI Appendix, Column Enhancement of CO<sub>2</sub> and Fig. S1*). By subtracting the CO<sub>2</sub> mole fraction of air entering the region (*SI Appendix, Background CO<sub>2</sub> Comparison and Fig. S2*), we obtained column-integrated increments that represent the accumulated mass loading of CO<sub>2</sub> due to surface fluxes (*SI Appendix, Transport Model and Fig. S3*). These measured column CO<sub>2</sub> enhancements, which can be positive or negative, are minimally sensitive to fine-scale atmospheric structure and turbulent eddies, and their broad surface influence (*SI Appendix, Figs. S1 and S3* and refs. 20 and 21) integrates over large areas with heterogeneous surface fluxes. We excluded the

influence of biomass burning and fossil fuel combustion by removing profiles with carbon monoxide (CO) mole fractions exceeding 150 ppb.

We used a high-resolution transport model [Weather Research and Forecasting—Stochastic Time-Inverted Lagrangian Transport (WRF-STILT) model; *SI Appendix, Transport Model* and ref. 22] to calculate the influence function of land surface fluxes on each of the 231 vertical profiles collected on the CARVE flights. We obtained modeled column CO<sub>2</sub> enhancements by convolving the land surface influence functions with diurnally resolved surface CO<sub>2</sub> fluxes from the Polar Vegetation Photosynthesis and Respiration Model with Solar-induced Chlorophyll Fluorescence (PVPRM-SIF; *SI Appendix, Calculating Biogenic CO<sub>2</sub> Fluxes and Figs. S4 and S5*). PVPRM-SIF is a functional representation of ecosystem CO<sub>2</sub> fluxes parameterized using eddy covariance data for seven arctic and boreal vegetation types; it is applied regionally and temporally using satellite remote sensing data and assimilated meteorology (23). We focused our analysis on the domain of Alaska (58°N to 72°N, 140°W to 170°W; excluding the southeastern Alaskan Panhandle). Systematic differences were observed between the boundary layer enhancements and those predicted by the coupled WRF-STILT/PVPRM-SIF modeling system. The differences varied spatially and seasonally.

For each 2-wk measurement period, we obtained the additive corrections to PVPRM-SIF CO<sub>2</sub> fluxes that minimized the differences between modeled and observed column CO<sub>2</sub> enhancements [using a geostatistical inverse model (GIM), details of which are described in *SI Appendix, Calculation of the Additive Flux Correction and Figs. S6 and S7*]. This procedure yielded spatially explicit, data-constrained biogenic fluxes of CO<sub>2</sub> for Alaska for each interval (*SI Appendix, Fig. S8*). The GIM constructs an optimal model of the flux correction by combining a linear model of predictors and a random component. Our GIM framework ensures an unbiased model of the flux correction under the assumption that all other errors (errors in the background concentration, transport model, etc.) are random and have a mean of zero. Uncertainties in our GIM (shaded uncertainty bounds in Fig. 1) were quantified using restricted maximum likelihood estimation (ReML). ReML uses statistical properties of the inputs to the GIM to constrain a model of the uncertainty. Our model does not account for systematic errors or bias in the background concentration, transport model, or sampling bias, although our model and observations were carefully chosen to minimize the effects of any bias.

Adjustments to the PVPRM-SIF flux estimates were generally small, less than  $\pm 1.5 \mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$  for most regions and measurement periods. Large positive adjustments were needed, however, in early winter (September through November) of 2013, indicating considerably higher respiration rates than prior estimates from PVPRM-SIF. We linearly interpolated the additive flux corrections between aircraft measurement periods and used the PVPRM-SIF fluxes for late winter (January through April) to obtain regional-scale CO<sub>2</sub> fluxes for Alaska during 2012–2014 (*SI Appendix, Fig. S9*). We independently confirmed the accuracy of these late winter fluxes by comparing year-round predicted CO<sub>2</sub> enhancements with observations at tower

sites in Alaska (24) (*SI Appendix, Validation of Regional CO<sub>2</sub> Fluxes Using Tower Data and Fig. S10*).

**Long-Term CO<sub>2</sub> Data.** The BRW tower, located just outside Barrow, AK [71.323°N, 156.611°W, ground elevation 11 m above sea level (mas)], is a 16.46-m tower operated by NOAA since 1973 (25), with consistent continuous CO<sub>2</sub> data available from 1975 onward (*SI Appendix, Long-Term BRW CO<sub>2</sub> Observations and Fig. S11*). The tower is located within the footprint of the CARVE flights and provides a long-term context for the aircraft observations. We calculated the CO<sub>2</sub> land influence as the difference between the ocean and land sector CO<sub>2</sub> data (dCO<sub>2</sub>) (ref. 26 and *SI Appendix, Fig. S12*). We calculated the monthly mean dCO<sub>2</sub> for early winter months (September through December) in each 11-y time interval (*SI Appendix, Fig. S13*) and, using the years with data in each month, calculated the 95% confidence interval for the change in dCO<sub>2</sub> over October through December between 1975 and 1989 and between 2004 and 2015 (Fig. 3). Results were indistinguishable using alternative statistical approaches, including linear regressions or locally weighted least squares (“loess”) assessment of the time series of fall observations. We used the CARVE flux calculations to infer the magnitude of the change in early winter CO<sub>2</sub> flux corresponding to the change in dCO<sub>2</sub> from land emission on the North Slope surrounding BRW. The largest unaccounted uncertainties in the BRW tower analysis include the potential for systematic shifts in the circulation patterns in the region between 1979 and 2014, or changes in the surrounding environment of the tower. We performed comprehensive tests for these possible effects, and found no evidence for systematic changes in either, as discussed in *SI Appendix, Long-Term BRW CO<sub>2</sub> Observations*.

**Earth System Models.** We examined the Alaskan CO<sub>2</sub> fluxes from ESM simulations contributed to the Coupled Model Intercomparison Project Phase 5 (CMIP5) (27), a community modeling effort designed to support the Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment Report scientific assessment (*SI Appendix, Coupled Model Intercomparison Project 5 and Fig. S14*). We analyzed future ESM projections forced with Representative Concentration Pathway 8.5 atmospheric CO<sub>2</sub> mole fractions, and extracted time series from 2006 through 2014, when fossil fuel emissions, CO<sub>2</sub> concentrations, and ambient climate closely matched observations (28). The models reported simulated monthly mean CO<sub>2</sub> fluxes (net ecosystem exchange) at each grid cell. We extracted fluxes from each model for the same Alaskan domain used in our inversion of the aircraft observations (58°N to 72°N, 140°W to 170°W).

## Results and Discussion

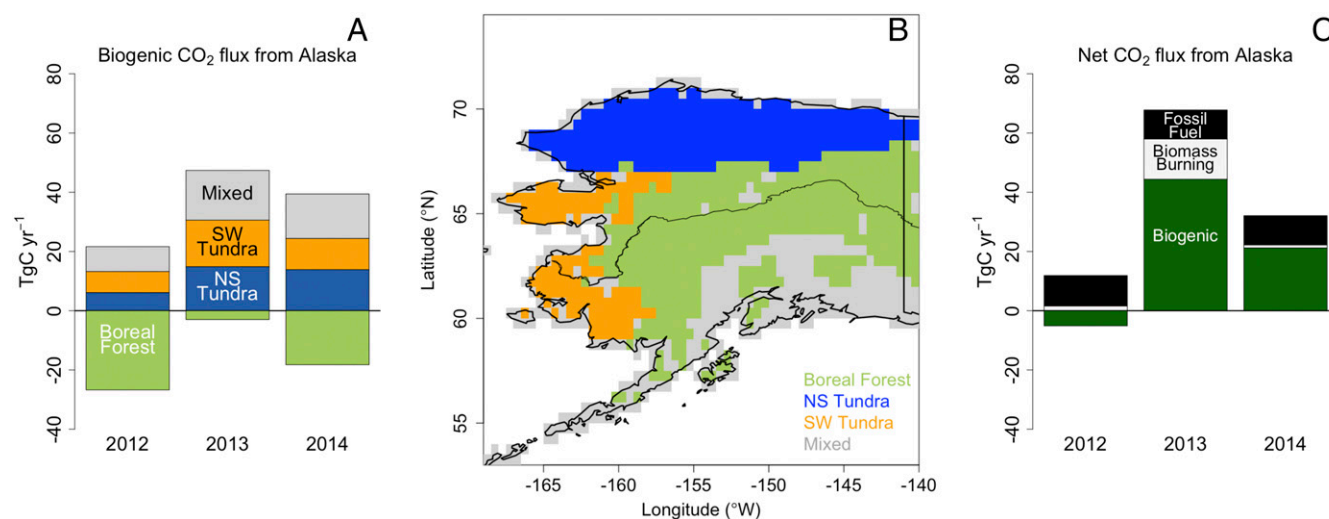
**Biogenic Carbon Fluxes Across Alaska.** We inferred a net source of biogenic CO<sub>2</sub> from Alaska for the years 2013 and 2014, whereas

2012 was nearly CO<sub>2</sub> neutral (Figs. 1 and 2 and *SI Appendix, Total Alaskan CO<sub>2</sub> Flux and Table S1*). The PVPRM-SIF fluxes (when convolved with the transport model) captured much of the observed diurnal, seasonal, and interannual variability in column CO<sub>2</sub> observations across the 3 y (*SI Appendix, Fig. S5*). Sunlight and air temperature, which generated the diurnal variability of the PVPRM-SIF CO<sub>2</sub> fluxes, were key drivers of the highly variable summer CO<sub>2</sub> uptake in Alaska.

Uncertainties were larger for individual subregions, but basic patterns clearly emerge. Tundra regions on the North Slope (NS tundra) and in the Yukon–Kuskokwim Delta of south-west Alaska and the Seward Peninsula to the west (SW tundra) were consistently, and statistically significant, net sources of CO<sub>2</sub> (Fig. 2 and *SI Appendix, Table S1*). Losses of CO<sub>2</sub> from the NS tundra were nearly 3 times greater in 2013 and 2014 than in 2012. The mean net CO<sub>2</sub> source from SW tundra in 2012–2014 was similar to the NS tundra, but the SW tundra yearly variations were different, with a large source in 2013, smaller in 2014, and smallest in 2012 (Fig. 1). The source of CO<sub>2</sub> from NS tundra is consistent with the CO<sub>2</sub> budget calculated from the sparse eddy flux measurements for the region (29–32). There were no year-round CO<sub>2</sub> flux measurements in the SW tundra region to help confirm our airborne estimates.

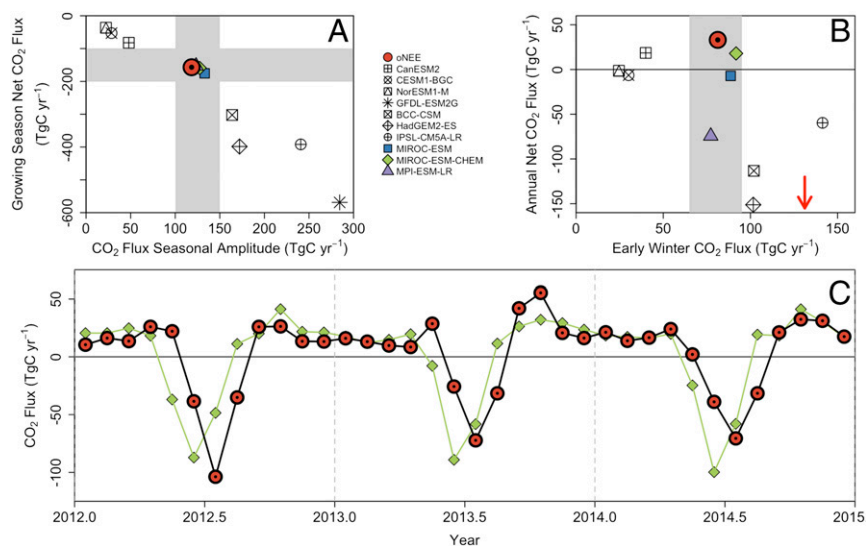
The boreal forest ecoregion was a net CO<sub>2</sub> sink in all years, neglecting biomass burning (Figs. 1 and 2 and *SI Appendix, Table S1*). Carbon uptake by boreal forests was smaller in 2013 than in other years, when the climate was warmer and drier. There was less CO<sub>2</sub> respiration during the early winter periods of 2014, possibly due to high June through August precipitation and cooler temperatures; long-term measurements of CO<sub>2</sub> fluxes have shown that water-saturated soils exhibit lower rates of soil respiration in boreal forests (33–35). The remaining 18% of Alaskan land surface (here called the “Mixed” area), which includes coastal plains, mountainous areas, and areas that cannot be classified as mostly forest or tundra, was a net source of carbon each year.

Net carbon uptake started 6 d to 16 d earlier in Alaskan boreal forests than in the NS tundra regions, depending on the year (Fig. 1). In tundra, uptake is delayed for some time after snow melts and ecosystem greenness increases, whereas evergreen trees may begin to take up CO<sub>2</sub> when air temperatures rise above



**Fig. 2.** CO<sub>2</sub> budget for Alaska 2012–2014. (A) Net biogenic carbon budget for boreal forests (light green), Yukon–Kuskokwim Delta of southwest Alaska and the Seward Peninsula to the west (SW tundra) (orange), NS tundra (blue), and the mixed areas or areas of Alaska not included in other regions (gray) (in teragrams of carbon per year) calculated from the aircraft optimized CO<sub>2</sub> flux for 2012, 2013, and 2014. (B) Map of the regional areas described in A. Negative fluxes indicate uptake of CO<sub>2</sub> by the biosphere. (C) Net carbon fluxes for Alaska during 2012–2014 from biogenic (dark green), biomass burning (white), and fossil fuel (black) components.





**Fig. 4.** CMIP5 ESM behavior compared with the monthly mean optimized CO<sub>2</sub> flux from our analysis. (A) Growing season net CO<sub>2</sub> flux (in teragrams of carbon per year) against the CO<sub>2</sub> flux seasonal amplitude (in teragrams of carbon per year) indicate three model groupings. (B) Annual net CO<sub>2</sub> flux (in teragrams of carbon per year) against early winter (September through December) CO<sub>2</sub> flux. The arrow indicates the early winter flux of the GFDL-ESM2G model (annual net flux is  $-328$  TgC $\cdot$ y<sup>-1</sup>). (C) Time series of CO<sub>2</sub> flux for the model with the closest matching carbon fluxes in A and B (MIROC-ESM-CHEM) and the aircraft optimized CO<sub>2</sub> flux. The modeled peak carbon uptake in summer is too large and a month too early compared with the aircraft optimized CO<sub>2</sub> flux. Negative fluxes represent uptake by the biosphere.

carbon budget simulated by ESMs that are widely used to assess carbon–climate feedbacks. We compared our regional flux observations (43) to the carbon fluxes for Alaska reported by 11 ESMs used in CMIP5 (used by the IPCC) (*SI Appendix, Coupled Model Intercomparison Project 5*). These models generally predict the start of growing season earlier than observed, and most underestimate the high respiration rates in early winter (*SI Appendix, Table S2*). Consequently, 8 of 11 model simulations inaccurately predict both the seasonal amplitude of CO<sub>2</sub> fluxes and the net annual CO<sub>2</sub> flux (Fig. 4A). Only 3 of the 11 CMIP5 models captured the growing season fluxes relatively well, and even those models predicted the annual net CO<sub>2</sub> flux as more negative (too much uptake) than observations (Fig. 4B). The most realistic model (MIROC-ESM-CHEM) correctly predicts an annual net source of CO<sub>2</sub>, but with the growing season shifted a month too early (Fig. 4C). The shortfalls of these models reflect their limited mechanistic representation of permafrost soil carbon processes. The models incorrectly simulated net accumulation of carbon in high-latitude soils, at least in part because the zero curtain is not represented. We conclude that the representation of the zero curtain must be improved within ESMs to accurately predict the magnitude of the positive feedback between arctic soils, carbon, and climate during the remainder of the 21st century.

The amplitude of the CO<sub>2</sub> seasonal cycle at high latitudes has increased over the past 50 y (44), a striking indication of significant changes in the carbon cycle. In recent literature, there is considerable debate whether this change arises from increased vegetative uptake (e.g., refs. 44–46), which would enhance carbon sequestration in the region, or increased rates of respiration (1, 2), driving the release of soil organic matter and net emission of carbon. Unequal changes in the timing or magnitude of peak photosynthesis or respiration will also affect the amplitude of the CO<sub>2</sub> seasonal cycle. Also, increased photosynthetic uptake in spring could lead to increased respiration in early winter using recent labile organic matter (e.g., refs. 2 and 47), with no effect on net annual carbon sequestration.

Many of the assessments of the changing CO<sub>2</sub> seasonal amplitude are based on model simulations that appear not to capture the magnitude or trends in late season respiration, and, indeed, may predict an annual net sink for CO<sub>2</sub> in Alaska where we observed net emissions. Our results suggest the need to reevaluate this work. The regional fluxes we infer show the importance of early winter fluxes, and the long-term CO<sub>2</sub> data from the BRW tower indicate that early winter respiration rates have increased considerably over the past 41 y. These results imply that carbon release from organic matter may make a more important contribution to trends in the CO<sub>2</sub> seasonal amplitude than expected from model simulations that may not capture the late season respiration correctly.

## Conclusions

We find that Alaska, overall, was a net source of carbon to the atmosphere during 2012–2014, when net emissions from tundra ecosystems overwhelmed a small net uptake from boreal forest ecosystems. Both ecosystems emitted large amounts of carbon in early winter. Our results suggest that October through December respiration has increased by about 73% over the past 41 y from organic carbon-rich soils on the North Slope of Alaska, correlated with increasing air temperatures. The ESMs used to forecast future carbon fluxes in the CMIP5 and IPCC studies did not represent early winter respiration, especially when soil temperatures hover near 0 °C. Hence these assessments may underestimate the carbon release from arctic soils in response to warming climate.

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