

# UCSF

## UC San Francisco Previously Published Works

### Title

A Randomized, Double-Blinded, Phase II Trial of Gemcitabine and Nab-Paclitaxel Plus Apatorsen or Placebo in Patients with Metastatic Pancreatic Cancer: The RAINIER Trial

### Permalink

<https://escholarship.org/uc/item/9q40f8tb>

### Journal

The Oncologist, 22(12)

### ISSN

1083-7159

### Authors

Ko, Andrew H  
Murphy, Patrick B  
Peyton, James D  
[et al.](#)

### Publication Date

2017-12-01

### DOI

10.1634/theoncologist.2017-0066

Peer reviewed

## A Randomized, Double-Blinded, Phase II Trial of Gemcitabine and Nab-Paclitaxel Plus Apatorsen or Placebo in Patients with Metastatic Pancreatic Cancer: The RAINIER Trial

ANDREW H. KO,<sup>a</sup> PATRICK B. MURPHY,<sup>b</sup> JAMES D. PEYTON,<sup>b</sup> DIANNA L. SHIPLEY,<sup>b</sup> AHMED AL-HAZZOURI,<sup>c</sup> FRANCISCO A. RODRIGUEZ,<sup>c</sup> MARK S. WOMACK, IV,<sup>d</sup> HENRY Q. XIONG,<sup>e</sup> DAVID M. WATERHOUSE,<sup>f</sup> MARGARET A. TEMPERO,<sup>a</sup> SHUANGLI GUO,<sup>g</sup> CASSIE M. LANE,<sup>g</sup> CHRIS EARWOOD,<sup>g</sup> LAURA M. DEBUSK,<sup>g</sup> JOHANNA C. BENDELL<sup>g</sup>

<sup>a</sup>Division of Hematology and Oncology, University of California, San Francisco, California, USA; <sup>b</sup>Tennessee Oncology, PLLC/SCRI, Nashville, Tennessee, USA; <sup>c</sup>Florida Cancer Specialists/SCRI, Fort Myers, Florida, USA; <sup>d</sup>Tennessee Oncology, PLLC/SCRI, Chattanooga, Tennessee, USA; <sup>e</sup>The Center for Cancer and Blood Disorders/SCRI, Fort Worth, Texas, USA; <sup>f</sup>Oncology Hematology Care/SCRI, Cincinnati, Ohio, USA; <sup>g</sup>Sarah Cannon Research Institute (SCRI), Nashville, Tennessee, USA

### TRIAL INFORMATION

- **ClinicalTrials.gov Identifier:** NCT01844817
- **Sponsor:** Sarah Cannon Research Institute
- **Principal Investigators:** Andrew H. Ko, Johanna C. Bendell
- **IRB Approved:** Yes

### LESSONS LEARNED

- The addition of the heat shock protein 27 (Hsp27)-targeting antisense oligonucleotide, apatorsen, to a standard first-line chemotherapy regimen did not result in improved survival in unselected patients with metastatic pancreatic cancer.
- Findings from this trial hint at the possible prognostic and predictive value of serum Hsp27 that may warrant further investigation.

### ABSTRACT

**Background.** This randomized, double-blinded, phase II trial evaluated the efficacy of gemcitabine/nab-paclitaxel plus either apatorsen, an antisense oligonucleotide targeting heat shock protein 27 (Hsp27) mRNA, or placebo in patients with metastatic pancreatic cancer.

**Methods.** Patients were randomized 1:1 to Arm A (gemcitabine/nab-paclitaxel plus apatorsen) or Arm B (gemcitabine/nab-paclitaxel plus placebo). Treatment was administered in 28-day cycles, with restaging every 2 cycles, until progression or intolerable toxicity. Serum Hsp27 levels were analyzed at baseline and on treatment. The primary endpoint was overall survival (OS).

**Results.** One hundred thirty-two patients were enrolled, 66 per arm. Cytopenias and fatigue were the most frequent grade 3/4 treatment-related adverse events for both arms. Median progression-free survival (PFS) and OS were 2.7 and 5.3 months, respectively, for arm A, and 3.8 and 6.9 months, respectively, for arm B. Objective response rate was 18% for both arms. Patients with high serum level of Hsp27 represented a poor-prognosis subgroup who may have derived modest benefit from addition of apatorsen.

**Conclusion.** Addition of apatorsen to chemotherapy does not improve outcomes in unselected patients with metastatic

pancreatic cancer in the first-line setting, although a trend toward prolonged PFS and OS in patients with high baseline serum Hsp27 suggests this therapy may warrant further evaluation in this subgroup. *The Oncologist* 2017;22:1427–e129

### DISCUSSION

Heat shock protein 27 (Hsp27) is a protein chaperone whose expression is induced by cytotoxic chemotherapy, as well as other cell stressors such as hyperthermia, oxidative stress, and radiation, resulting in cytoprotection against these insults [1, 2]. Various malignancies, including pancreatic adenocarcinoma, overexpress Hsp27 [3]; furthermore, preclinical studies show that Hsp27 expression may play a role in the resistance of pancreatic cancer cell lines to gemcitabine [4–6]. Thus, inhibiting Hsp27 activity represents a viable therapeutic strategy in this disease. Apatorsen, an antisense oligonucleotide designed to bind to Hsp27 mRNA and block its translation into functional protein, offers one such approach [7].

On these bases, we performed a randomized phase II trial to compare the safety and efficacy of gemcitabine and nab-paclitaxel in combination with either apatorsen or

Correspondence: Andrew Ko, M.D., Division of Hematology and Oncology, University of California, San Francisco, 550 16th Street, Box 3211, San Francisco, California 94143, USA. Telephone: 415-353-7286; e-mail: andrew.ko@ucsf.edu Received March 29, 2017; accepted for publication July 7, 2017; published Online First on September 21, 2017. ©AlphaMed Press; the data published online to support this summary is the property of the authors. <http://dx.doi.org/10.1634/theoncologist.2017-0066>

**Table 1.** Median PFS and OS stratified by heat shock protein 27 levels

	N	Median PFS, months (95% CI)		PFS hazard ratio	Median OS, months (95% CI)		OS hazard ratio
		Nab-paclitaxel/gemcitabine + apatorsen	Nab-paclitaxel/gemcitabine + placebo		Nab-paclitaxel/gemcitabine + apatorsen	Nab-paclitaxel/gemcitabine + placebo	
Low/normal Hsp27	102	2.9 (2.2, 4.0)	4.1 (3.1, 5.7)	1.178 (0.902, 1.539)	6.0 (3.2, 7.2)	9.0 (6.3, 11.2)	1.243 (0.813, 1.901)
High Hsp27	18	3.3 (0.3, 11.8)	0.9 (0.4, 1.4)	0.381 (0.120, 1.208)	3.3 (0.3, 11.8)	1.0 (0.6, 14.0)	0.587 (0.195, 1.770)

Abbreviations: CI, confidence interval; Hsp27, heat shock protein 27; OS, overall survival; PFS, progression-free survival.

placebo in the first-line setting for patients with metastatic pancreatic cancer. The planned sample size of 130 provided 80% power to detect a difference in median survival of 8.5 versus 13.4 months (hazard ratio [HR] for death, 0.634; 1-sided  $\alpha = 0.1$ ).

Although the incidence of toxicities did not differ significantly between the two treatment arms (most common treatment-related toxicities of all grades on both arms included fatigue, cytopenias, and gastrointestinal symptoms), the addition of apatorsen to chemotherapy did not produce any improvement in clinical outcomes in the intent-to-treat population. The objective response rate (ORR) was identical (18%) on both treatment arms, whereas patients on the apatorsen arm fared numerically worse in terms of both progression-free survival (PFS) and overall survival (OS) when compared with patients on the placebo arm, although these differences were not statistically significant (median PFS, 2.7 vs. 3.8 months, respectively [ $p = .92$ ; HR 1.0]; median OS, 5.3 vs. 6.9 months, respectively [ $p = .62$ ; HR 1.1]). Notably, the survival outcomes for patients on both arms of this study were considerably inferior to those

observed on the gemcitabine/nab-paclitaxel arm from the phase III Metastatic Pancreatic Adenocarcinoma Clinical Trial (MPACT) study [8], for unclear reasons.

The only subgroup for whom a potential benefit of apatorsen could be identified was those patients with high serum levels of Hsp27, a marker associated with a very poor prognosis overall (median PFS for patients with high baseline serum Hsp27 levels, 3.3 vs. 0.9 months for apatorsen vs. placebo, respectively [HR 0.38], median OS 3.3 vs. 1.0 months [HR 0.59]). However, the number of subjects who fit into this category was too small, representing only 14% of the entire study population, to draw any definitive conclusions.

In summary, the addition of apatorsen to a standard combination chemotherapy regimen in the first-line setting did not result in improvement in survival or other clinically relevant endpoints in patients with metastatic pancreatic cancer. Although further studies of this agent in unselected patients do not appear to be indicated, the findings from this trial do hint at the possible prognostic and predictive value of serum Hsp27 that may warrant further investigation.

#### TRIAL INFORMATION

Disease	Metastatic pancreatic adenocarcinoma
Stage of Disease/Treatment	Metastatic/advanced
Prior Therapy	None
Type of Study – 1	Phase II
Type of Study – 2	Randomized
PFS	$p = 0.92$ , HR: 1.0
OS	$p = 0.62$ , HR: 1.1
Primary Endpoint	Overall survival
Secondary Endpoint	Progression-free survival
Secondary Endpoint	Toxicity
Investigator's Analysis	Feasible, possibly effective in patients with high Hsp27 serum levels

#### DRUG INFORMATION FOR PHASE II CONTROL

Drug 1	
Generic/Working name	Nab-paclitaxel
Trade name	Abraxane
Company name	Celgene
Drug type	Other

<b>Drug class</b>	Microtubule-targeting agent
<b>Dose</b>	125 milligrams (mg) per square meter (m <sup>2</sup> )
<b>Route</b>	IV
<b>Schedule of administration</b>	Placebo days 1, 8, 15, and 22 of a 28-day cycle Nab-paclitaxel and gemcitabine days 1, 8, and 15 of a 28-day cycle
<b>Drug 2</b>	
<b>Generic/Working name</b>	Gemcitabine
<b>Trade name</b>	Gemzar
<b>Company name</b>	Eli Lilly
<b>Drug type</b>	Other
<b>Drug class</b>	Antimetabolite
<b>Dose</b>	1,000 mg/m <sup>2</sup>
<b>Route</b>	IV
<b>Schedule of administration</b>	Placebo days 1, 8, 15, and 22 of a 28-day cycle Nab-paclitaxel and gemcitabine days 1, 8, and 15 of a 28-day cycle

#### DRUG INFORMATION FOR PHASE II EXPERIMENTAL

<b>Drug 1</b>	
<b>Generic/Working name</b>	Apatorsen
<b>Trade name</b>	Not applicable
<b>Company name</b>	OncoGenex
<b>Drug type</b>	Other
<b>Drug class</b>	Antisense oligonucleotide
<b>Dose</b>	600 mg per flat dose
<b>Route</b>	IV
<b>Schedule of administration</b>	Apatorsen days 1, 8, 15, and 22 of a 28-day cycle Nab-paclitaxel and gemcitabine days 1, 8, and 15 of a 28-day cycle
<b>Drug 2</b>	
<b>Generic/Working name</b>	Nab-paclitaxel
<b>Trade name</b>	Abraxane
<b>Company name</b>	Celgene
<b>Drug type</b>	Other
<b>Drug class</b>	Microtubule-targeting agent
<b>Dose</b>	125 mg/m <sup>2</sup>
<b>Schedule of administration</b>	Apatorsen days 1, 8, 15, and 22 of a 28-day cycle Nab-paclitaxel and gemcitabine days 1, 8, and 15 of a 28-day cycle pof
<b>Drug 3</b>	
<b>Generic/Working name</b>	Gemcitabine
<b>Trade name</b>	Gemzar
<b>Company name</b>	Eli Lilly
<b>Drug type</b>	Other
<b>Drug class</b>	Antimetabolite
<b>Dose</b>	1,000 mg/m <sup>2</sup>
<b>Route</b>	IV
<b>Schedule of administration</b>	Apatorsen 1, 8, 15, and 22 of a 28-day cycle Nab-paclitaxel and gemcitabine days 1, 8, and 15 of a 28-day cycle

**PATIENT CHARACTERISTICS FOR PHASE II CONTROL**

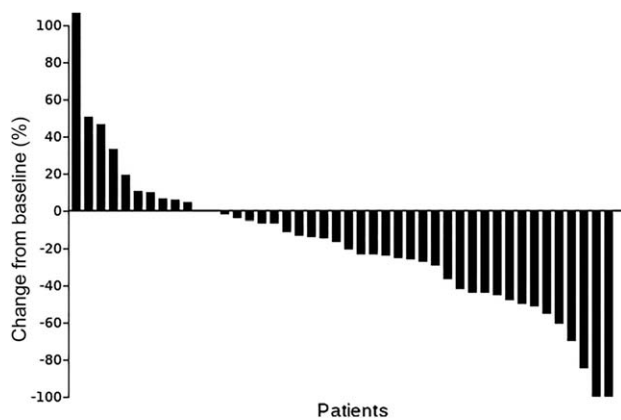
Number of Patients, Male	38
Number of Patients, Female	28
Stage	IV
Age	Median (range): 65.5 (47–83)
Number of Prior Systemic Therapies	Median (range): None
Performance Status ECOG	0 — 32 1 — 32 2 — 3 — Unknown — 2
Cancer Types or Histologic Subtypes	CA19-9 levels $\leq$ 90 U/mL 11 CA19-9 levels $>$ 90 U/mL 54 CA19-9 levels Unknown 1 Hsp27 expression high 11 Hsp27 expression low 47 Hsp27 expression Unknown 8

**PATIENT CHARACTERISTICS FOR PHASE II EXPERIMENTAL**

Number of Patients, Male	37
Number of Patients, Female	29
Stage	IV
Age	Median (range): 66.5 (39–82)
Number of Prior Systemic Therapies	Median (range): None
Performance Status: ECOG	0 — 30 1 — 36 2 — 3 — Unknown —
Cancer Types or Histologic Subtypes	CA19-9 levels $\leq$ 90 U/mL 18 CA19-9 levels $>$ 90 U/mL 47 CA19-9 levels unknown 1 Hsp27 expression high 7 Hsp27 expression low 55 Hsp27 expression unknown 4

**PRIMARY ASSESSMENT METHOD FOR PHASE II CONTROL****Assessment: Total Patient Population: Overall Survival**

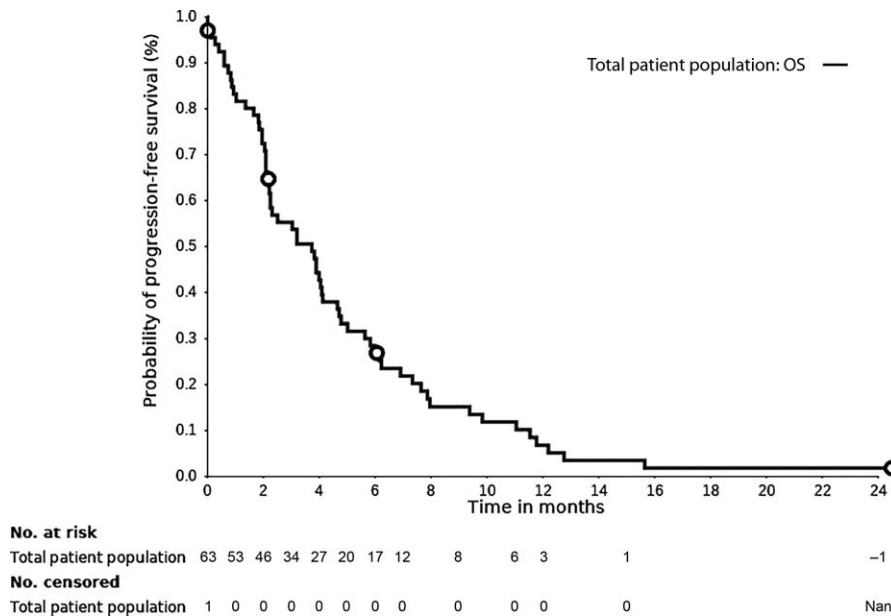
Number of patients screened	66
Number of patients enrolled	66
Number of patients evaluable for toxicity	63
Number of patients evaluated for efficacy	66
Evaluation method	RECIST 1.1
Response assessment CR	$n = 0$ (0%)
Response assessment PR	$n = 12$ (18%)
Response assessment SD	$n = 21$ (32%)
Response assessment PD	$n = 18$ (28%)
Response assessment OTHER	$n = 15$ (22%)
(Median) duration assessments PFS	3.8 months
(Median) duration assessments OS	6.9 months
Kaplan-Meier time units	months



Waterfall plot demonstrating best objective response in response-evaluable subjects on control arm.

Time of scheduled assessment and/or time of event	No. progressed (or deaths)	No. censored	Percent at start of evaluation period	Kaplan-Meier %	No. at next evaluation/No. at risk
0.03	0	1	100.00	100.00	65
0.13	1	0	100.00	98.46	64
0.30	1	0	98.46	96.92	63
0.43	1	0	96.92	95.38	62
0.62	1	0	95.38	93.85	61
0.76	1	0	93.85	92.31	60
0.85	1	0	92.31	90.77	59
0.89	1	0	90.77	89.23	58
0.95	1	0	89.23	87.69	57
1.05	1	0	87.69	86.15	56
1.12	1	0	86.15	84.62	55
1.35	0	1	84.62	84.62	54
1.38	1	0	84.62	83.05	53
1.84	1	0	83.05	81.48	52
1.97	1	0	81.48	79.91	51
2.23	0	1	79.91	79.91	50
2.53	1	0	79.91	78.32	49
2.92	1	0	78.32	76.72	48
3.06	1	0	76.72	75.12	47
3.22	1	0	75.12	73.52	46
3.45	1	0	73.52	71.92	45
3.52	1	0	71.92	70.32	44
3.75	1	0	70.32	68.73	43
4.11	1	0	68.73	67.13	42
4.37	0	1	67.13	67.13	41
4.47	1	0	67.13	65.49	40
4.73	1	0	65.49	63.85	39
5.85	1	0	63.85	62.22	38
6.14	1	0	62.22	60.58	37
6.24	1	0	60.58	58.94	36
6.34	1	0	58.94	57.30	35
6.37	1	0	57.30	55.67	34

6.60	1	0	55.67	54.03	33
6.83	1	0	54.03	52.39	32
6.93	2	0	52.39	49.12	30
7.52	2	0	49.12	45.84	28
7.98	1	0	45.84	44.21	27
8.11	1	0	44.21	42.57	26
8.21	1	0	42.57	40.93	25
9.00	1	0	40.93	39.29	24
9.26	1	0	39.29	37.66	23
9.30	1	0	37.66	36.02	22
9.33	1	0	36.02	34.38	21
9.66	0	1	34.38	34.38	20
10.02	1	0	34.38	32.66	19
10.12	0	1	32.66	32.66	18
10.91	1	0	32.66	30.85	17
11.07	1	0	30.85	29.03	16
11.24	1	0	29.03	27.22	15
11.53	1	0	27.22	25.41	14
11.93	1	0	25.41	23.59	13
12.22	1	0	23.59	21.78	12
12.94	1	0	21.78	19.96	11
14.00	1	0	19.96	18.15	10
14.75	1	0	18.15	16.33	9
14.95	0	1	16.33	16.33	8
15.70	0	1	16.33	16.33	7
18.17	1	0	16.33	14.00	6
18.53	1	0	14.00	11.67	5
18.99	1	0	11.67	9.33	4
21.32	0	1	9.33	9.33	3
22.14	1	0	9.33	6.22	2
22.87	1	0	6.22	3.11	1
25.76	0	1	3.11	0.00	0

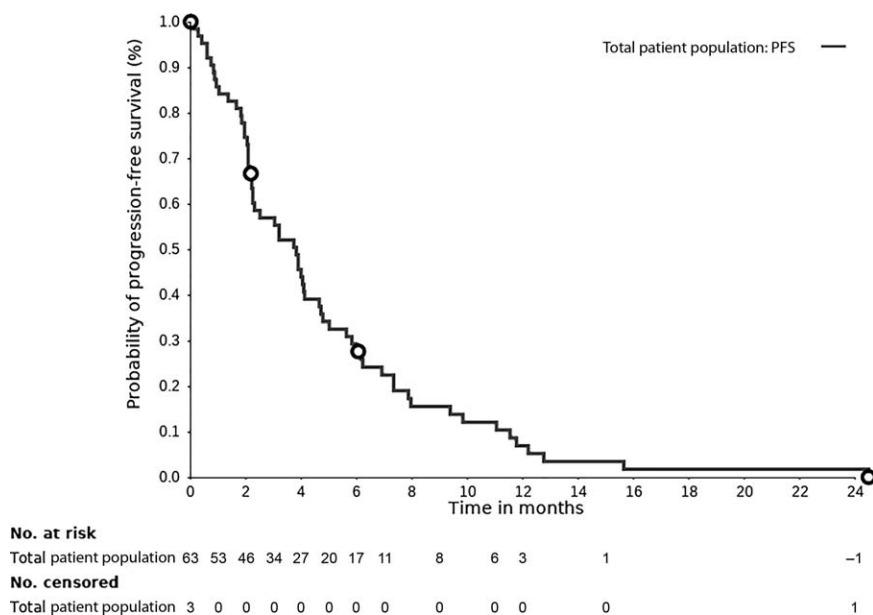


Assessment: Total Patient Population: Progression-Free Survival	
Number of patients screened	66
Number of patients enrolled	66
Number of patients evaluable for toxicity	63
Number of patients evaluated for efficacy	66
Evaluation method	RECIST 1.1
Response assessment CR	<i>n</i> = 0 (0%)
Response assessment PR	<i>n</i> = 12 (18%)
Response assessment SD	<i>n</i> = 21 (32%)
Response assessment PD	<i>n</i> = 18 (28%)
Response assessment OTHER	<i>n</i> = 15 (22%)
(Median) duration assessments PFS	3.8 months
(Median) duration assessments OS	6.9 months
Kaplan-Meier time units	months

Time of scheduled assessment and/or time of event	No. progressed (or deaths)	No. censored	Percent at start of evaluation period	Kaplan-Meier %	No. at next evaluation/No. at risk
0.03	0	3	100.00	100.00	63
0.13	1	0	100.00	98.41	62
0.30	1	0	98.41	96.83	61
0.43	1	0	96.83	95.24	60
0.62	2	0	95.24	92.06	58
0.76	1	0	92.06	90.48	57
0.85	1	0	90.48	88.89	56
0.89	1	0	88.89	87.30	55
0.95	1	0	87.30	85.71	54
1.05	1	0	85.71	84.13	53
1.38	1	0	84.13	82.54	52
1.68	1	0	82.54	80.95	51
1.84	1	0	80.95	79.37	50
1.87	1	0	79.37	77.78	49
1.97	2	0	77.78	74.60	47
2.07	1	0	74.60	73.02	46
2.10	3	0	73.02	68.25	43
2.14	1	0	68.25	66.67	42
2.20	0	1	66.67	66.67	41
2.23	2	0	66.67	63.41	39
2.27	2	0	63.41	60.16	37
2.33	1	0	60.16	58.54	36
2.53	1	0	58.54	56.91	35
3.06	1	0	56.91	55.28	34
3.22	2	0	55.28	52.03	32
3.75	1	0	52.03	50.41	31
3.84	1	0	50.41	48.78	30
3.91	2	0	48.78	45.53	28



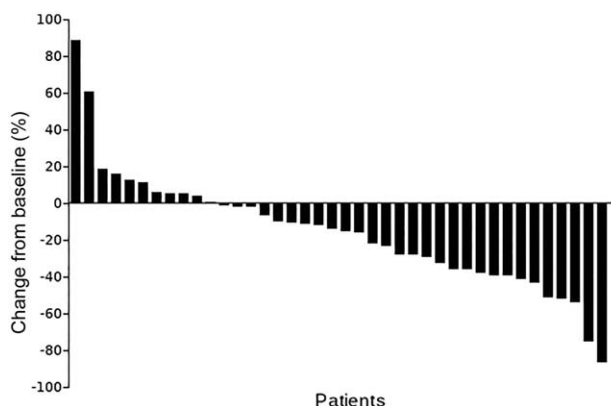
4.01	1	0	45.53	43.90	27
4.07	1	0	43.90	42.28	26
4.11	1	0	42.28	40.65	25
4.14	1	0	40.65	39.02	24
4.67	1	0	39.02	37.40	23
4.73	1	0	37.40	35.77	22
4.80	1	0	35.77	34.15	21
5.03	1	0	34.15	32.52	20
5.65	1	0	32.52	30.89	19
5.85	1	0	30.89	29.27	18
6.01	1	0	29.27	27.64	17
6.08	0	1	27.64	27.64	16
6.18	1	0	27.64	25.91	15
6.24	1	0	25.91	24.19	14
6.93	1	0	24.19	22.46	13
7.36	2	0	22.46	19.00	11
7.89	1	0	19.00	17.28	10
7.98	1	0	17.28	15.55	9
9.40	1	0	15.55	13.82	8
9.86	1	0	13.82	12.09	7
11.07	1	0	12.09	10.37	6
11.56	1	0	10.37	8.64	5
11.79	1	0	8.64	6.91	4
12.22	1	0	6.91	5.18	3
12.78	1	0	5.18	3.46	2
15.67	1	0	3.46	1.73	1
24.51	0	1	1.73	0.00	0



**PRIMARY ASSESSMENT METHOD FOR PHASE II EXPERIMENTAL**

**Assessment: Total Patient Population: Overall Survival**

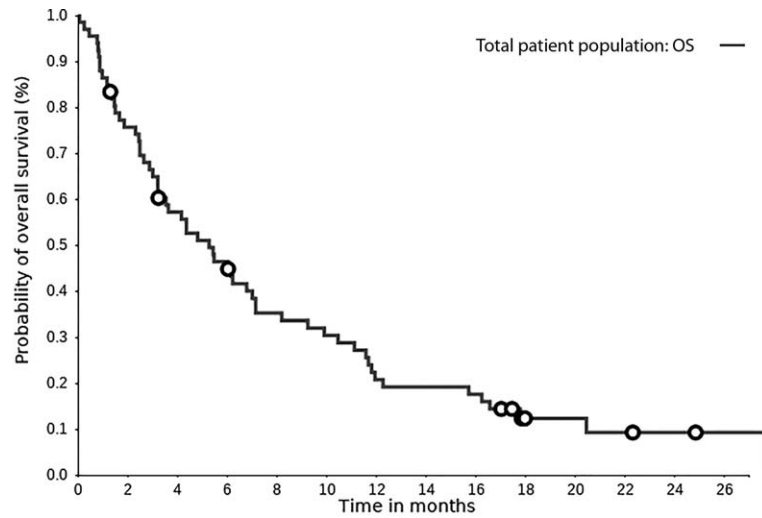
Number of patients screened	66
Number of patients enrolled	66
Number of patients evaluable for toxicity	64
Number of patients evaluated for efficacy	66
Evaluation method	RECIST 1.1
Response assessment CR	n = 0 (0%)
Response assessment PR	n = 12 (18%)
Response assessment SD	n = 16 (24%)
Response assessment PD	n = 21 (32%)
Response assessment OTHER	n = 17 (26%)
(Median) duration assessments PFS	2.7 months
(Median) duration assessments OS	5.3
Kaplan-Meier time units	months



Waterfall plot demonstrating best objective response in response-evaluable subjects on experimental arm.

Time of scheduled assessment and/or time of event	No. progressed (or deaths)	No. censored	Percent at start of evaluation period	Kaplan-Meier %	No. at next evaluation/No. at risk
0.07	1	0	100.00	98.48	65
0.26	1	0	98.48	96.97	64
0.46	1	0	96.97	95.45	63
0.79	1	0	95.45	93.94	62
0.82	1	0	93.94	92.42	61
0.85	1	0	92.42	90.91	60
0.89	2	0	90.91	87.88	58
0.99	1	0	87.88	86.36	57
1.18	1	0	86.36	84.85	56
1.22	1	0	84.85	83.33	55
1.31	0	1	83.33	83.33	54
1.38	1	0	83.33	81.79	53
1.48	1	0	81.79	80.25	52

1.51	1	0	80.25	78.70	51
1.68	1	0	78.70	77.16	50
1.87	1	0	77.16	75.62	49
2.33	1	0	75.62	74.07	48
2.46	1	0	74.07	72.53	47
2.50	2	0	72.53	69.44	45
2.66	1	0	69.44	67.90	44
2.89	1	0	67.90	66.36	43
3.02	1	0	66.36	64.81	42
3.22	2	0	64.81	61.73	40
3.25	1	0	61.73	60.19	39
3.55	1	0	60.19	58.64	38
3.65	1	0	58.64	57.10	37
4.17	1	0	57.10	55.56	36
4.37	2	0	55.56	52.47	34
4.83	1	0	52.47	50.93	33
5.29	1	0	50.93	49.38	32
5.45	1	0	49.38	47.84	31
5.49	1	0	47.84	46.30	30
6.05	1	1	46.30	44.70	28
6.18	1	0	44.70	43.10	27
6.24	1	0	43.10	41.51	26
6.80	1	0	41.51	39.91	25
7.03	1	0	39.91	38.31	24
7.16	2	0	38.31	35.12	22
8.21	1	0	35.12	33.52	21
9.26	1	0	33.52	31.93	20
9.92	1	0	31.93	30.33	19
10.48	1	0	30.33	28.74	18
11.14	1	0	28.74	27.14	17
11.60	1	0	27.14	25.54	16
11.70	1	0	25.54	23.95	15
11.83	1	0	23.95	22.35	14
11.96	1	0	22.35	20.75	13
12.29	1	0	20.75	19.16	12
15.74	1	0	19.16	17.56	11
16.26	1	0	17.56	15.96	10
16.59	1	0	15.96	14.37	9
17.05	0	1	14.37	14.37	8
17.48	0	1	14.37	14.37	7
17.81	1	0	14.37	12.32	6
17.87	0	1	12.32	12.32	5
18.00	0	1	12.32	12.32	4
20.47	1	0	12.32	9.24	3
22.34	0	1	9.24	9.24	2
24.87	0	1	9.24	9.24	1
27.83	1	0	9.24	0.00	0



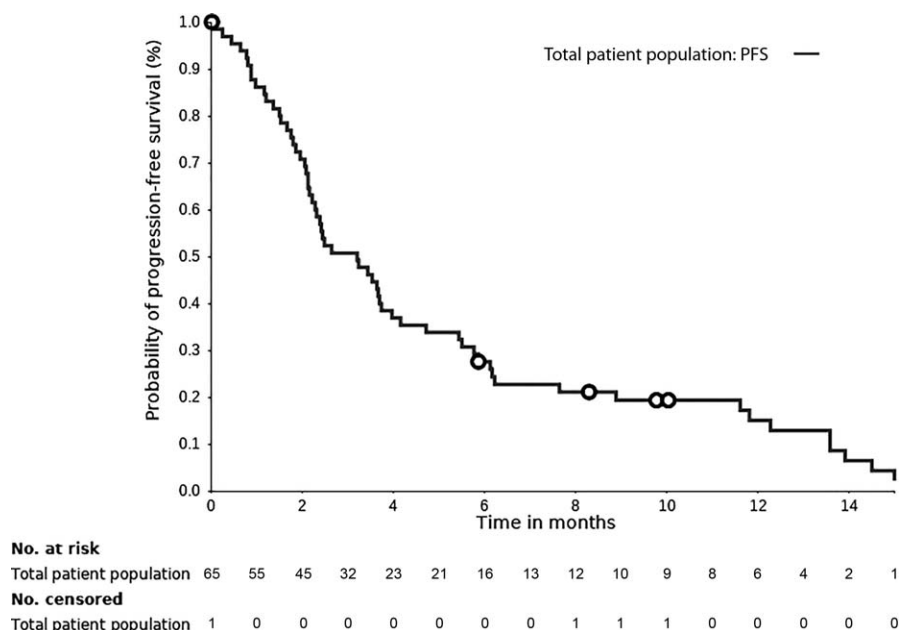
No. at risk	
Total patient population	65 58 48 42 36 32 28 24 21 20 18 17 12 11 10 8 4 3 2 1 0
No. censored	
Total patient population	0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 1 1 0 1 1 0

**Assessment: Total Patient Population: Progression-Free Survival**

Number of patients screened	66
Number of patients enrolled	66
Number of patients evaluable for toxicity	64
Number of patients evaluated for efficacy	66
Evaluation method	RECIST 1.1
Response assessment CR	n = 0 (0%)
Response assessment PR	n = 12 (18%)
Response assessment SD	n = 16 (24%)
Response assessment PD	n = 21 (32%)
Response assessment OTHER	n = 17 (26%)
Kaplan-Meier time units	months

Time of scheduled assessment and/or time of event	No. progressed (or deaths)	No. censored	Percent at start of evaluation period	Kaplan-Meier %	No. at next evaluation/No. at risk
0.03	0	1	100.00	100.00	65
0.07	1	0	100.00	98.46	64
0.26	1	0	98.46	96.92	63
0.46	1	0	96.92	95.38	62
0.66	1	0	95.38	93.85	61
0.79	1	0	93.85	92.31	60
0.82	1	0	92.31	90.77	59
0.85	1	0	90.77	89.23	58
0.89	2	0	89.23	86.15	56
0.99	1	0	86.15	84.62	55
1.18	1	0	84.62	83.08	54
1.22	1	0	83.08	81.54	53
1.38	1	0	81.54	80.00	52
1.51	1	0	80.00	78.46	51
1.54	1	0	78.46	76.92	50

1.68	1	0	76.92	75.38	49
1.77	1	0	75.38	73.85	48
1.81	1	0	73.85	72.31	47
1.87	1	0	72.31	70.77	46
1.97	1	0	70.77	69.23	45
2.07	1	0	69.23	67.69	44
2.10	1	0	67.69	66.15	43
2.14	2	0	66.15	63.08	41
2.17	1	0	63.08	61.54	40
2.23	1	0	61.54	60.00	39
2.30	1	0	60.00	58.46	38
2.33	1	0	58.46	56.92	37
2.40	1	0	56.92	55.38	36
2.43	1	0	55.38	53.85	35
2.46	1	0	53.85	52.31	34
2.50	1	0	52.31	50.77	33
2.66	1	0	50.77	49.23	32
3.22	1	0	49.23	47.69	31
3.25	1	0	47.69	46.15	30
3.45	1	0	46.15	44.62	29
3.55	1	0	44.62	43.08	28
3.65	1	0	43.08	41.54	27
3.68	1	0	41.54	40.00	26
3.71	1	0	40.00	38.46	25
3.75	1	0	38.46	36.92	24
3.98	1	0	36.92	35.38	23
4.17	1	0	35.38	33.85	22
4.73	1	0	33.85	32.31	21
5.45	1	0	32.31	30.77	20
5.52	1	0	30.77	29.23	19
5.78	1	0	29.23	27.69	18
5.88	1	1	27.69	26.06	16
6.14	1	0	26.06	24.43	15
6.18	1	0	24.43	22.81	14
6.24	1	0	22.81	21.18	13
7.66	1	0	21.18	19.55	12
8.31	0	1	19.55	19.55	11
8.90	1	0	19.55	17.77	10
9.79	0	1	17.77	17.77	9
10.05	0	1	17.77	17.77	8
11.63	1	0	17.77	15.55	7
11.83	1	0	15.55	13.33	6
12.29	1	0	13.33	11.11	5
13.60	2	0	11.11	6.66	3
13.93	1	0	6.66	4.44	2
14.52	1	0	4.44	2.22	1
15.01	1	0	2.22	0.00	0



ADVERSE EVENTS					
Patients, n (%)					
Adverse event	Grade 1	Grade 2	Grade 3	Grade 4	Total
<b>Hematologic</b>					
Anemia	1 (8%)	1 (8%)	0	0	2 (17%)
Thrombocytopenia	1 (8%)	1 (8%)	0	0	2 (17%)
Leukopenia	1 (8%)	0	0	0	1 (8%)
<b>Nonhematologic</b>					
Nausea	3 (25%)	5 (42%)	0	0	8 (67%)
Diarrhea	3 (25%)	4 (33%)	0	0	7 (58%)
Fatigue	3 (25%)	2 (17%)	0	0	5 (42%)
Vomiting	3 (25%)	2 (17%)	0	0	5 (42%)
Mucosal inflammation	1 (8%)	2 (17%)	1 (8%)	0	3 (25%)
Stomatitis	2 (17%)	0	0	0	3 (25%)
Decreased appetite	2 (17%)	0	0	0	2 (17%)
Hypokalemia	0	0	2 (17%)	0	2 (17%)
Constipation	1 (8%)	0	0	0	1 (8%)
Dehydration	0	1 (8%)	0	0	1 (8%)
Dysgeusia	0	1 (8%)	0	0	1 (8%)
Dysphagia	1 (8%)	0	0	0	1 (8%)
Fall	0	1 (8%)	0	0	1 (8%)
Hyperglycemia	0	0	1 (8%)	0	1 (8%)
Hypersensitivity	0	0	1 (8%)	0	1 (8%)
Hypomagnesemia	1 (8%)	0	0	0	1 (8%)
Insomnia	1 (8%)	0	0	0	1 (8%)
Myalgia	1 (8%)	0	0	0	1 (8%)
Peripheral neuropathy	1 (8%)	0	0	0	1 (8%)
Peripheral edema	0	1 (8%)	0	0	1 (8%)
Peripheral embolism	0	1 (8%)	0	0	1 (8%)
Pruritus	1 (8%)	0	0	0	1 (8%)

Rash	0	1 (8%)	0	0	1 (8%)
Generalized rash	0	0	1 (8%)	0	1 (8%)
Maculo-papular rash	0	0	1 (8%)	0	1 (8%)
Sinus tachycardia	1 (8%)	0	0	0	1 (8%)
Temperature intolerance	1 (8%)	0	0	0	1 (8%)
Weight decreased	0	1 (8%)	0	0	1 (8%)

## ASSESSMENT, ANALYSIS, AND DISCUSSION

### Completion

### Pharmacokinetics/Pharmacodynamics

### Investigator's Assessment

Study completed

Correlative endpoints not met

Feasible, possibly effective in patients with high Hsp27 serum levels

Pancreatic adenocarcinoma is expected to rise to the second leading cause of cancer-related mortality in the U.S. by the end of this decade [9]. Systemic therapy represents the mainstay of treatment for patients with advanced or metastatic disease, for whom two combination chemotherapy regimens have emerged as front-line standards of care: gemcitabine plus nab-paclitaxel [8], and FOLFIRINOX (5-fluorouracil, leucovorin, irinotecan, and oxaliplatin) [10]. However, despite these recent improvements in available cytotoxic therapies, overall prognosis in this disease remains very poor; for example, in the phase III MPACT trial leading to the approval of nab-paclitaxel for metastatic pancreatic cancer, the median survival for patients receiving the combination of gemcitabine plus nab-paclitaxel was only 8.5 months, representing a statistically significant but relatively modest absolute improvement of 1.8 months when compared with single-agent gemcitabine [8]. Clearly, novel drugs with unique mechanisms of action warrant further exploration.

Molecularly targeted therapies that have been approved for use in clinical oncology include monoclonal antibodies and small molecule inhibitors, particularly tyrosine kinase inhibitors. Unfortunately, many potential therapeutic targets are not amenable to these specific pharmacologic approaches, highlighting the importance of developing alternative strategies, including agents that can disrupt these targets at the gene expression level. Antisense oligonucleotides (ASOs), which are chemically modified stretches of single-strand DNA complementary to the mRNA regions of a target gene that inhibit translation by forming RNA/DNA duplexes, represent one such approach to specifically prevent translation of functionally relevant genes.

Apatorsen, a 2'-methoxyethyl oligonucleotide with phosphorothiolated internucleotide linkages, is designed to bind to heat shock protein 27 (Hsp27) mRNA and prevent translation into a functional protein. Targeting this specific heat shock protein represents an attractive therapeutic option, because Hsp27 can potentially affect multiple pathways implicated in cancer progression and resistance, as opposed to targeting a single pathway, a strategy that might have limited benefits in the face of the redundant signaling pathways and significant tumor heterogeneity. For example, overexpression of Hsp27 in cancer cells is induced by cytotoxic chemotherapy, as well as

other cell stressors including hyperthermia, oxidative stress, and radiation, resulting in cytoprotection against these insults [1, 2]. Furthermore, Hsp27 serves to stabilize mutated or inappropriately activated oncoproteins that contribute to the initiation, growth, and metastasis of human cancers [2, 11].

The phase I dose-escalation study of apatorsen in patients with castration-resistant prostate cancer and other advanced cancers showed evidence of monotherapy activity as demonstrated by decline in tumor markers and circulating tumor cells, as well as stable measurable disease in 12 of 42 patients [12]. Further evaluation of apatorsen has been pursued in combination with chemotherapeutic agents in clinical trials specific to non-small cell lung and bladder cancer [13, 14].

Pancreatic adenocarcinomas show higher levels of Hsp27 expression when compared with healthy pancreatic tissue, and the protein can also be detected with high sensitivity in the serum of patients with pancreatic cancer [3]. Preclinical studies additionally show that Hsp27 expression may play a role in the resistance of pancreatic cancer cell lines to gemcitabine [4–6]. On these bases, the current study was designed to compare the safety and efficacy of gemcitabine and nab-paclitaxel in combination with either apatorsen or placebo in the first-line setting for patients with metastatic pancreatic cancer. However, we did not observe any improvement in clinical outcomes in the intent-to-treat population in this trial; indeed, patients on the apatorsen arm fared numerically worse in terms of both PFS and OS when compared with patients on the placebo control arm, although these differences were not statistically significant. The only subgroup for whom a potential benefit of apatorsen could be identified was those patients with high serum levels of Hsp27, a marker that portended a very poor prognosis overall. However, the number of subjects who fit into this category was too small, representing only 14% of the entire study population, to draw any definitive conclusions. Further studies, either prospectively designed trials or retrospective analyses of available clinically annotated samples, will be required to assess whether Hsp27 truly represents an adverse prognostic marker in this disease.

Several additional points are worth noting. First, the clinical outcomes for patients on both arms of this study were considerably inferior to those observed on the gemcitabine plus nab-

paclitaxel arm from the phase III MPACT trial [8], in which patients achieved a median OS and PFS of 8.5 and 5.5 months, respectively. It is unclear why subjects on the current study fared so poorly, given similar demographics to the MPACT study; nevertheless, it seems fairly unlikely that a benefit from apatosen would somehow be unmasked even if patients had achieved outcomes that more similarly matched those of the MPACT trial. Furthermore, because this study did not mandate pretreatment collection of tumor specimens, putative tissue-based predictive markers of apatosen sensitivity could not be assessed, nor could the pharmacodynamic effects of this agent given the absence of requiring on-treatment tumor biopsies. No consistent trend was identified on serial serum Hsp27 measurements in responders versus nonresponders (data not shown). This lack of robust correlative data represents a major limitation of our study and highlights one of the major ongoing challenges in pancreatic cancer trial design in general, especially when trying to confirm the putative mechanism of action of novel targeted agents.

In conclusion, the addition of apatosen to a standard combination chemotherapy regimen in the first-line setting did not result in improved survival or other clinically relevant endpoints in patients with metastatic pancreatic cancer. Further studies of

this agent in unselected patients do not appear to be indicated, although the findings from this trial do hint at the possible prognostic and predictive value of serum Hsp27 that may warrant further investigation. If ASO technologies targeting this and other cancer-related genes continue to be pursued in pancreatic cancer, they should ideally be evaluated in the context of trials that mandate serial collection of both tumor and blood samples to look for predictive markers and pharmacodynamic markers of response, notwithstanding the clinical and logistic hurdles these may present in this patient population.

#### ACKNOWLEDGMENTS

This work was supported in part by a grant from OncoGenex Pharmaceuticals, Inc.

#### DISCLOSURES

**Andrew H. Ko:** Seattle Genetics, New Beta Innovations (C/A), Merck, Bristol-Myers Squibb, Abgenomics, Prism Bio Ltd, Merrimack, Halozyme, Celgene, Roche/Genentech (RF); **David M. Waterhouse:** Bristol-Myers Squibb, ABBVie (H). The other authors indicated no financial relationships.

(C/A) Consulting/advisory relationship; (RF) Research funding; (E) Employment; (ET) Expert testimony; (H) Honoraria received; (OI) Ownership interests; (IP) Intellectual property rights/inventor/patent holder; (SAB) Scientific advisory board

#### REFERENCES

- Garrido C, Brunet M, Didelot C et al. Heat shock proteins 27 and 70: Anti-apoptotic proteins with tumorigenic properties. *Cell Cycle* 2006;5:2592–2601.
- Ciocca DR, Calderwood SK. Heat shock proteins in cancer: Diagnostic, prognostic, predictive, and treatment implications. *Cell Stress Chaperones* 2005;10:86–103.
- Melle C, Ernst G, Escher N et al. Protein profiling of microdissected pancreas carcinoma and identification of HSP27 as a potential serum marker. *Clin Chem* 2007;53:629–635.
- Kuramitsu Y, Wang Y, Taba K et al. Heat-shock protein 27 plays the key role in gemcitabine-resistance of pancreatic cancer cells. *Anticancer Res* 2012;32:2295–2299.
- Zhang S, Zhang XQ, Huang SL et al. The effects of HSP27 on gemcitabine-resistant pancreatic cancer cell line through snail. *Pancreas* 2015;44:1121–1129.
- Guo Y, Ziesch A, Hocke S et al. Overexpression of heat shock protein 27 (HSP27) increases gemcitabine sensitivity in pancreatic cancer cells through S-phase arrest and apoptosis. *J Cell Mol Med* 2015;19:340–350.
- Kamada M, So A, Muramaki M et al. Hsp27 knockdown using nucleotide-based therapies inhibit tumor growth and enhance chemotherapy in human bladder cancer cells. *Mol Cancer Ther* 2007;6:299–308.
- Von Hoff DD, Ervin T, Arena FP et al. Increased survival in pancreatic cancer with nab-paclitaxel plus gemcitabine. *N Engl J Med* 2013;369:1691–1703.
- Rahib L, Smith BD, Aizenberg R et al. Projecting cancer incidence and deaths to 2030: The unexpected burden of thyroid, liver, and pancreas cancers in the United States. *Cancer Res* 2014;74:2913–2921.
- Conroy T, Desseigne F, Ychou M et al. FOLFIRINOX versus gemcitabine for metastatic pancreatic cancer. *N Engl J Med* 2011;364:1817–1825.
- Acunzo J, Andrieu C, Baylot V et al. Hsp27 as a therapeutic target in cancers. *Curr Drug Targets* 2014;15:423–431.
- Chi KN, Yu EY, Jacobs C et al. A phase I dose-escalation study of apatosen (OGX-427), an anti-sense inhibitor targeting heat shock protein 27 (Hsp27), in patients with castration-resistant prostate cancer and other advanced cancers. *Ann Oncol* 2016;27:1116–1122.
- Choueiri TK, Hahn NM, Pal SK et al. The Borealis-2 clinical trial: A randomized phase 2 study of OGX-427 (apatosen) plus docetaxel versus docetaxel alone in relapsed/refractory metastatic urothelial cancer. *J Clin Oncol* 2014;32(suppl):TPS4593a.
- Spigel DR, Burris HA, Greco FA et al. Double-blind randomized phase II trial of carboplatin and pemetrexed with or without OGX-427 in patients with previously untreated stage IV non-squamous non-small-cell lung cancer (NSCLC): The Spruce Clinical Trial. *J Clin Oncol* 2013; 31(suppl):TPS8120a.

[Click here to access other published clinical trials.](#)