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Fishing and environmental change during the emergence of social complexity in the Lake Titicaca Basin

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Abstract: The Lake Titicaca Basin is one of the regions in the world where both primary village and state formation occurred in prehistory. Although agriculture has been discussed as the central engine fueling these processes, fish and other aquatic resources were significant but little-understood components of the region's ancient economy. In this paper, we use zooarchaeological analysis of faunal remains from 367 flotation samples recovered from five archaeological sites to discuss the interplay between fishing, environmental change, and the emergence of sociopolitical complexity in the Taraco Peninsula of Lake Titicaca. Our results suggest that fishing comprised a significant component of the local inhabitants' diet between 1500 BC and 1100 AD. The intensity of fish procurement, however, varied through time and independently of both climatic and population change. We interpret variation in fish consumption through time as a product of group and individual decisions to optimize resource use in a context of dynamic environmental and sociopolitical variability.

Arica, February 12th, 2014

Dr. John O'Shea

Editor

Journal of Anthropological Archaeology

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Curator of Great Lakes Archaeology in the Museum of Anthropology

University of Michigan

An Arbor, MI 48109

Ref.: Resubmission of manuscript YJAAR-D-13-00111.

Dear Editor,

Thank you for your comments and revisions regarding our paper "Changing fish exploitation intensity during the emergence of social complexity in the Lake Titicaca Basin" that my co-authors, Katherine M. Moore, Alejandra I. Domic, Chrisinte A. Hastorf, and I submitted to the *Journal of Anthropological Archaeology*. We have incorporated all of the suggestions made by you and the reviewers as well as improved a few additional sections of the paper as presented in the Response to Reviewers statement.

Thank you for considering the paper for publication and I look forward to hearing you soon.

Sincerely,

A handwritten signature in black ink, appearing to read "Capriles", written in a cursive style.

José M. Capriles

Response to Reviewers

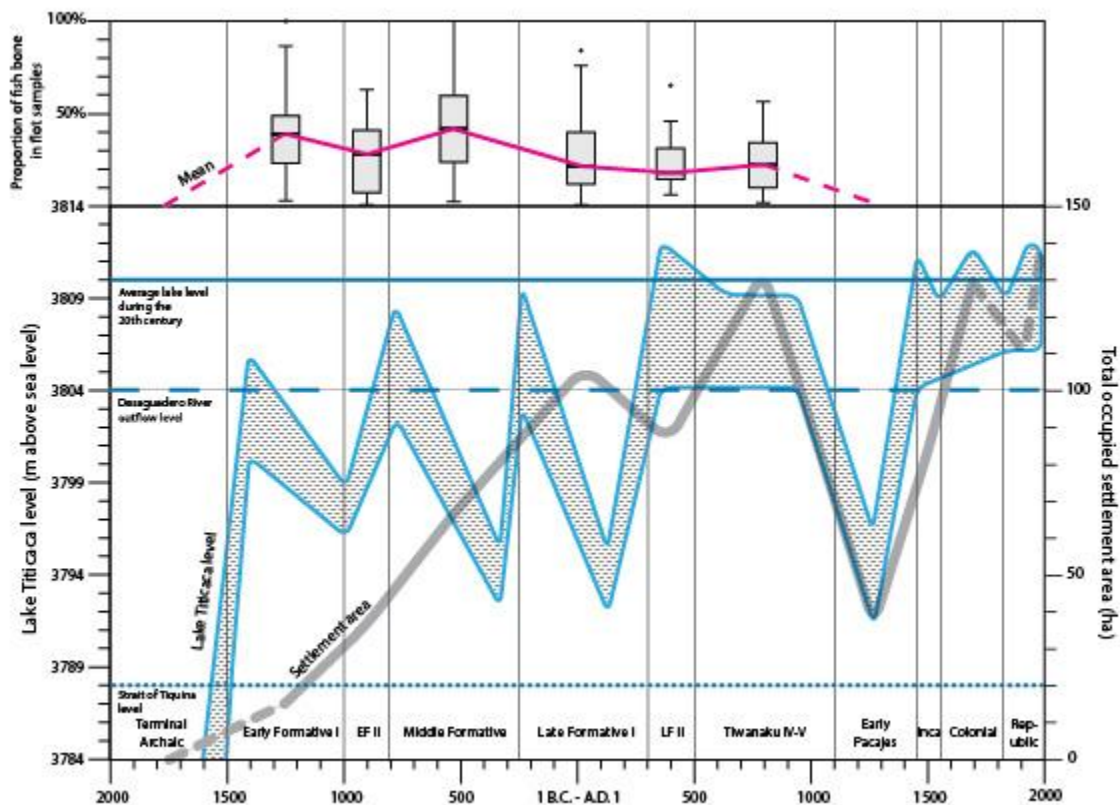
We have found all the suggestions productive and have taken the time to adequately address all of them. Specifically, we have made the following revisions:

1. As suggested by Reviewer 1 and Reviewer 2, the second author reworked the vocabulary and expressions used throughout the manuscript.
2. As suggested by Reviewer 2 we have provided a little more background for readers not familiar with the Andean region.
3. We have improved the links between our data and our models. We have restated our four hypothesis and then improved the discussion to directly address those hypotheses. Although, as Reviewer 1 noted, we could do more with our data, with have limited our discussion and interpretations to the evaluation of these hypotheses and the discussion of the emergence of fishing specialization to keep the paper concise and on point. In doing this we have clarified the connection between other economic activities such as cultivation and animal husbandry as suggested by Reviewer 1.
4. As suggested by Reviewer 1 we have changed the conclusions heading to “Final thoughts”.
5. Following Reviewer 1 we changed the abstract to mention “several” instead of “few” societies several regions of the world where village and state formation occurred.
6. We have improved the Materials and Methods section so that the sample size and other aspects of our study are clearly laid out (also see Table 1).
7. Given the small number of samples available for some sites during certain periods we have been cautious not to over-interpret our results as well as rely on our quantitative analyses, which control for comparisons between uneven sample sizes.
8. Finally, we have edited the title of the paper and propose “Fishing and environmental change during the emergence of social complexity in the Lake Titicaca Basin” as included in the revised version of the manuscript.

Highlights

- Reviews archaeological research regarding aquatic resource exploitation in Lake Titicaca.
- Data analysis of 367 flotation samples collected from five archaeological sites occupied during six chronological periods between 1500 BC and 1100 AD in the Taraco Peninsula, Bolivia.
- Evaluates hypotheses regarding how fish utilization varied in relation to environmental (lake-level) and demographic fluctuations.
- Discusses models for explaining the development of fishing intensification.

Graphical Abstract



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**Fishing and environmental change during the emergence of social complexity
in the Lake Titicaca Basin**

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(DO NOT CITE WITHOUT PERMISSION OF THE AUTHORS)

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47 **Abstract**

48

49 The Lake Titicaca Basin is one of the regions in the world where both primary village and state
50 formation occurred in prehistory. Although agriculture has been discussed as the central engine
51 fueling these processes, fish and other aquatic resources were significant but little-understood
52 components of the region's ancient economy. In this paper, we use zooarchaeological analysis of
53 faunal remains from 367 flotation samples recovered from five archaeological sites to discuss the
54 interplay between fishing, environmental change, and the emergence of sociopolitical complexity
55 in the Taraco Peninsula of Lake Titicaca. Our results suggest that fishing comprised a significant
56 component of the local inhabitants' diet between 1500 BC and 1100 AD. The intensity of fish
57 procurement, however, varied through time and independently of both climatic and population
58 change. We interpret variation in fish consumption through time as a product of group and
59 individual decisions to optimize resource use in a context of dynamic environmental and
60 sociopolitical variability.

61

62 **Keywords:** economic organization, environmental change, fish, social complexity,
63 zooarchaeology.

64

65 **Introduction**

66

67 Aquatic resources have had a preeminent role in facilitating population growth, sedentism, and
68 economic specialization in many coastal regions of the world due to their nutrient density,
69 abundance and predictability (Campbell and Butler 2010; Casteel 1977; Colley 1990; Erlandson
70 and Rick 2008; Habu et al. 2011; Morales-Muñiz and Roselló-Izquierdo 2008; Wheeler and
71 Jones 1989). Communities focused on exploiting fish and shellfish often developed along marine
72 continental shorelines and islands, but evidence of shell mounds and fishing settlements are also
73 common in interior rivers and lakes around the world. For instance, along the Pacific Coast of
74 western South America the exploitation of marine resources supported specialized sedentary
75 communities as early as the mid-Holocene (Marquet et al. 2012; Moseley 1975, 1992; Reitz
76 2001; Reitz and Sandweiss 2001; Richardson 1998; Sandweiss 2008) and fishing was essential
77 for almost every settled society in the Amazon (Erickson 2000, 2008). Although there is
78 increasing interest concerning the organization and environmental context of fishing economies
79 in prehistoric societies, there is less systematic study of the ecological impact of fishing, the
80 archaeology of fishing technology and the integration of fishing and fishing communities into
81 broader political economies (Barrett et al. 1999, 2004; deFrance 2009; Orlove 2002). These
82 aspects of fishing are particularly important in regions where fishing was one of several
83 economic practices that could have been intensified under particular social, economic, and
84 environmental conditions.

85

86 Located in the south central Andes, the Lake Titicaca Basin is renowned as the setting for two
87 fundamental primary processes of social evolutionary change beginning with early village
88 formation starting 3500 years ago. The type site for early villages in this region, Chiripa, is one
89 of the sites in this study (Bandy 2006; Browman 1989). Roughly 1500 years ago, the region also
90 saw the emergence of the Tiwanaku state, centered on the monumental site of Tiwanaku, 15 km
91 southwest of Chiripa, but with an influence extending as far as the Pacific coast and the warmer
92 flanks of the Andes (Hastorf 2008; Janusek 2008; Stanish 2003). Archeologists working in this
93 region have focused on agricultural intensification and camelid pastoralism as primary factors in
94 the cultural evolution of the region including the eventual emergence of the Tiwanaku state (500-
95 1100 AD) (Janusek and Kolata 2004; Kolata 2003; Stanish 2003). We note that the marshlands
96 and aquatic resources of Lake Titicaca have been underestimated in models of social and
97 political change. Detailed studies of fish remains have been limited by the costs of systemic
98 recovery and the absence of baseline research on the zooarchaeology of fish (Capriles 2006;
99 Capriles et al. 2008; Moore 2011). Consequently, few studies have been able to measure the
100 importance of fishing in this region or the contribution of aquatic resources to the processes of
101 regional social change. Yet, historical and ethnographic sources emphasize the importance of
102 aquatic resources in the economy of the people that have traditionally inhabited the shores of
103 Lake Titicaca (Levieil and Orlove 1991; Orlove 2002; Portugal Loayza 2002; Wachtel 2001).
104 For instance, the Uru are often depicted as a fishing specialist group settled on the shores and
105 islands of the Titicaca Basin. However, the origin and antiquity of fishing specialization in the
106 region has not been systematically addressed though it could go back into the Formative Period.
107 In this paper, we use recent research to assess the changing role of fish exploitation in the Taraco
108 Peninsula, Bolivia.

109

110 The Taraco Archaeological Project has focused on the cultural processes and environmental
111 context associated with the emergence of social complexity in the Taraco Peninsula (Bandy and
112 Hastorf 2007; Hastorf 2003, 2005; Hastorf and Bandy 1999; Hastorf et al. 2001). The project
113 included systematic survey of 85 km² and stratigraphic excavations at five sites (Bandy 2001).
114 The zooarchaeological component of the project sought to reconstruct the economic organization
115 of animal husbandry, hunting, and fishing (Moore et al. 1999, 2010). Faunal remains from
116 Formative components showed that wild resources, particularly fish (*Orestias spp.* (killifishies)
117 and *Trichomycterus* (catfish)), were significant components of the local diet, complementing
118 meat from domesticated (*Lama glama*, *Vicugna pacos*) as well as wild camelids (*Lama*
119 *guanicoe*, *Vicugna vicugna*) (Capriles et al. 2008; Moore et al. 1999). In addition, we recognized
120 bone tools associated with the manufacture of nets and fishing gear (Moore 1999, 2011, 2013).
121 In this paper, we consolidate data regarding the changing role of fish exploitation and relate it to
122 broader processes of environmental and socio-political change. We use zooarchaeological data to
123 address three questions: 1) how did the organization and intensity of fishing change in relation to
124 population growth and increased social complexity? 2) How did fishing procurement and
125 consumption respond to lake-level fluctuations? 3) How was fishing integrated into the
126 increasingly complex agricultural landscape?

127 128 *Paleoenvironmental context*

129
130 Situated at 3810 m above sea level, Lake Titicaca has experienced significant environmental
131 change during the Holocene (Figure 1). Because of its high elevation, Lake Titicaca is less
132 productive than most large tropical lakes but in contrast to most temperate lakes, its productivity
133 does not plunge seasonally (Lewis 1990; Richerson et al. 1986). Lake Titicaca covers a surface
134 area of 8200 km² and is roughly divided into two parts; the northern portion (Lake Chucuito) is
135 larger and deeper than the southern portion (Lake Wiñaymarka). Lake Wiñaymarka supports
136 higher primary biomass densities than Lake Chucuito and has the largest littoral zones (as a
137 percentage of total surface area) of the great lakes of the world (Vadeboncoeur 2011). Because
138 the southern profile is so shallow, it responds more quickly to changes in rainfall and
139 temperature than the northern lake. In addition, climatic fluctuations can cause rapid change in
140 the productivity of its subaquatic vegetation and fauna (Dejoux 1992). Fluctuations in the lake's
141 depth and the length of its shoreline influenced regional processes of cultural change (Abbott et
142 al. 1997; Binford et al. 1997; Kolata 2003).

143
144 Multiple paleoenvironmental proxies agree that the shoreline of Lake Titicaca fluctuated
145 significantly during the last 15,000 years (Abbott et al. 1997, 2003; Baker et al. 2005; Cross et al.
146 2001; Rowe et al. 2003). For most of the late Pleistocene the lake's surface was considerably
147 lower and its water more saline than modern conditions. During the early Holocene, increased
148 precipitation drawn from the Amazonian lowlands coupled with glacial runoff raised the lake
149 level enough to trigger outflow through the Desaguadero River. However, during the mid-
150 Holocene, this trend was reversed, flow into the Desaguadero ceased, and the lake was rapidly
151 reduced to a few shallow pools at its deepest portions. Between 4000 and 3500 years ago the
152 mid-Holocene dry period ended with the rapid rise of Lake Wiñaymarka (Abbott et al. 1997).

153
154 Compared with the lake level history of the previous 15,000 years, the last 3000 years seem to
155 have been characterized by relative stability with only minor fluctuations between 3000 and

156 2000 years ago, as Lake Titicaca approached its late Holocene stable level (Cross et al. 2000).
157 Lake levels fluctuated more in the southern basin, including around the Taraco Peninsula which
158 is the focus of this study. There were at least four cycles of lake-level transgression and
159 regression between 1500 BC and 1100 AD (Abbott et al. 1997). Nevertheless, Calaway (2005)
160 observed that the ice-core data from the Quelccaya glacier do not match the data for high and
161 low lake levels and may indicate a more dynamic and recursive pattern of climatic cyclical
162 change (Thompson et al. 2000, 2006). Few studies have recognized how these fluctuations
163 affected the productivity of resources likely to have been used by humans. Our initial hypothesis
164 is that fishing was an important economic activity for the inhabitants of the peninsula, and that
165 fishing may have varied in intensity as a function of resource availability and environmental
166 degradation.

167
168 *Sociopolitical context*

169
170 The evidence of aquatic resource exploitation in Lake Titicaca correlates with the appearance of
171 fully sedentary agricultural village societies featuring camelid pastoralism, pottery production,
172 and complex ritual life (Hastorf 2008; Janusek 2008). Although the domestication of camelids,
173 tubers and chenopods were long-term developments, the archaeological record of the region
174 points to a sharp change in human settlement and subsistence between the Terminal Archaic and
175 subsequent Formative periods (Aldenderfer 2009; Capriles and Albarracin-Jordan 2013). The
176 Terminal Archaic (3000-1500 BC) was characterized by mobile foraging whereas the Early
177 Formative I (1500-1000 BC) is marked by the establishment of the first village societies in the
178 region (Bandy 2001). This transition also coincides with the progressive infilling of Lake
179 Wiñaymarka (Hastorf 2008). Village communities used both wild and domesticated plant and
180 animals. By the Early Formative II (1000-800 BC), the peninsula witnessed the construction of
181 trapezoidal sunken courts that included specialized structures and burials associated with
182 community or village-level ceremonial practices that included feasting (Beck 2004; Hastorf
183 2003, 2008). As the first sedentary villages were established, settlements began to increase in
184 size and internal complexity, in tandem with increasingly intensive agricultural and herding
185 practices (Bruno 2014; Moore 2011; Whitehead 2007). Processes of village growth and
186 fissioning, possibly related to scalar stress but also to declining environmental suitability began
187 during the Early Formative II and continued in subsequent periods (Bandy 2004).

188
189 By the Middle Formative (800-250 BC) there is evidence for a two-tier settlement hierarchy,
190 increased inter-regional trade, material wealth, and possible social differentiation (Bandy 2005).
191 The first multi-community polities were organized during the Late Formative I (250 BC –300
192 AD) when a few settlements, such as Kala Uyuni, grew exponentially and may have secured
193 political control over the entire peninsula for the first time (Bandy and Hastorf 2007). The
194 pastoral economy of the region reflects herds kept for multiple goals (wool, meat, and transport)
195 (Moore 2011) and an increasingly intensive system of cultivation of tubers and cereals (Bruno
196 2014). A state-level society emerged in the neighboring valley of Tiwanaku at the end of the
197 short and poorly understood Late Formative II (AD 300-500). At the same time, the Taraco
198 peninsula experienced the first and only population decline of this sequence. The state of
199 Tiwanaku during its classic (Tiawanku IV) and expanding (Tiwanaku V) stages included a cycle
200 of consolidation, growth, and eventual disintegration, which lasted approximately 600 years and
201 was associated with an outburst of new economic, social, political, and ideological institutions

202 (Albarracin-Jordan 2007; Kolata 2003; Janusek 2008). Landscape-scale raised-field agriculture
203 in the neighboring Katari Basin and selected portions of the Taraco Peninsula has been
204 associated with the state's growing population and political economy (Janusek and Kolata 2004;
205 Kolata 2003; Stanish 2003). With the notable exception of the Late Formative II, Bandy (2001)
206 has documented steady population growth in the Taraco Peninsula between the beginning of the
207 Formative Period and the consolidation of the Tiwanaku state.

208
209 Throughout this time, fish consumption could have been increasingly embedded within the
210 growing prestige political economy if fish had cultural status (Stanish 2001, 2003). In the same
211 way that meat and other high-value foods may have been controlled, emerging elites could have
212 increasingly regulated the exploitation, distribution, and consumption of fish, particularly in
213 regional administrative centers. Alternatively, increased fish consumption could have occurred as
214 part of voluntarily contributed food offerings during local work parties and feasts. Still another
215 scenario is that there would have been no political or symbolic control over the harvesting and
216 consumption of fish, with fish remaining as a resource that individuals collected on their own.
217 Interestingly enough, iconographic representations of various zoomorphic depictions of both
218 *Orestias* and *Trichomycterus* genera have been documented on Formative and especially
219 Tiwanaku monumental architecture as well as on ceramic vessels and textiles (Figure 2) (Smith
220 and Pérez Arias 2013; Posnansky 1945). Ichthyomorphic motifs are frequent on some of the
221 most iconic stone sculptures at Tiwanaku (such as the Gateway of the Sun or the Gateway of the
222 Moon), suggesting that the meaning of fish went beyond a mere food item. The symbolic status
223 of fish could have originated in the emblematic identity of certain specialized fishing
224 communities but also in the collective recognition of the importance of Lake Titicaca and its
225 resources.

226
227 In accord with the sociopolitical development documented in the Lake Titicaca Basin we
228 propose four complementary hypotheses for explaining sustained fishing intensity over time and
229 the possible emergence of fishing specialization.

- 230
231 1. Fishing intensification could have occurred as a function of increasing demand for staple
232 resources, modulated by environmental constraints such as the fluctuation in the lake-
233 levels (and the climatic processes that produced these changes). In this case, we would
234 expect to see fishing decline in periods of lower lake levels and increase when the lake
235 level rose.
- 236 2. Fishing specialization could have increased as a result of individual decisions made at the
237 local level. Such decisions to engage in fishing might have been driven by the desire to
238 exchange fish with communities that had limited access to lake resources. Fish would
239 have been a preferred local food, mostly procured and consumed by shoreline
240 communities. In this case, we would expect to see local differences in the intensity of
241 fishing, continued intensity of fish use during times of declining lake conditions, and
242 deposition of fish remains in contexts associated with other high value foods.
- 243 3. Fishing importance was impacted by the rise of the state at Tiwanaku. In a similar
244 manner to the centralization hypothesized for raised-field agriculture; fishing, too, might
245 have been increasingly regulated by the center, resulting in an increasing need for fish as
246 a tribute and exchange commodity. In this case, fishing would have intensified during
247 increased influence by the important regional center.

248 4. A final hypothesis suggests that fishing would be intensified during times of
249 environmental uncertainty. Poor local harvests and drought would encourage people to
250 exploit aquatic resources as fallback foods. Therefore, fishing (along with hunting birds
251 and collecting eggs) could have been intensified in times of political or environmental
252 hazard as a small-scale risk minimization strategy.
253

254 We will evaluate these hypotheses and their expectations using fish remains recovered by
255 intensive and systematic excavations of the Taraco Archaeological Project.
256

257 **Materials and methods**

258

259 Fish have been generally recognized as an important resource for the prehistoric people of the
260 Titicaca region (Bennett 1936; Kent 1982), but the methods used to study fishing have been
261 unsystematic. We extend previous methodological approaches (Capriles et al. 2007, 2008; Miller
262 et al. 2010; Moore 2011; Moore et al. 1999, 2010), basing our interpretations exclusively on
263 remains recovered from heavy fractions from water flotation rather than standard excavation
264 screens. Earlier work had established that even relatively fine mesh screens (1/4 inch or 6.35
265 mm) could not provide an unbiased sample of fish bones, given the small body size of the fish
266 themselves (Moore et al. 1999). We collected and analyzed flotation samples from all excavated
267 contexts including middens, trash pits, construction fills, and occupation floors as well as sterile
268 deposits and off-site controls. Although deposits have different depositional histories and were
269 exposed to diverse taphonomic processes, preservation of faunal remains is, in general, very
270 good; and by grouping different contexts together we can produce time-averaged samples that
271 aggregate some of the contextual diversity. For instance, some of the deposits densest in fish
272 bones were pit fills in which fish were apparently associated with offerings or ritual meals
273 (Capriles 2006). When we sampled deposits beyond the borders of known sites, those samples
274 also contained a few fish bones and scales, reflecting the persistence and ubiquity of fishing over
275 long periods.
276

277 Water flotation was carried out using a modified SMAP machine that processed approximately
278 10 l sediment samples with a gentle flow of water powered by a small gasoline pump (Bruno
279 2008; Bruno and Whitehead 2003; Hastorf and Bandy 1999). Two fractions were recovered, a
280 light fraction, composed primarily of carbonized plant remains; and a heavy fraction, which was
281 collected on an insert lined with 0.5 mm metal mesh. Although fish bones and scales were
282 occasionally recovered from light fractions, our study relies entirely on the remains recovered on
283 the heavy fractions. The heavy fractions were sorted in the field into broad artifact and ecofact
284 categories. In the laboratory, the animal bones were sorted into general taxonomic categories:
285 large mammals (mostly composed of camelid bone fragments), small mammals (mostly rodents
286 of various sizes), birds (more than 20 genera of aquatic and terrestrial birds), herpetofauna (a few
287 species of reptiles and amphibians), fish bone and scales, bird eggshell, and gastropod shell.
288 Burned and unburned materials were separately weighed for each category, and the samples were
289 scored for weathering and erosion (Moore et al. 2010). The fish were dominated by *Orestias* spp.
290 but also included a few *Trichomycterus* spp.) (Parenti 1984; Vaux et al. 1988). These taxonomic
291 categories were quantified by weight for each flotation sample, using a digital scale sensitive to
292 0.01 gm. In various analyses, as appropriate, we have expressed the quantities of vertebrate

293 remains as densities (amount by weight/volume of sediment) and as relative weight
294 (proportions).

295
296 The sample discussed here comes from 367 flotation heavy fractions recovered from five
297 archaeological sites on the Taraco Peninsula (Kala Uyuni, Chiripa, Sonaji, Kumi Kipa, and
298 Iwawi) occupied during six successive chronological periods (Table 1). Detailed element
299 identifications of fish remains were made by Capriles (2006) for 31 of these samples. We used
300 these detailed data to explore the relationship between fish bone counts (NISP), minimum
301 number of individuals (MNI) and weight, and to subsequently estimate proportion of taxa and
302 density of fish remains based on bone weights alone.

303
304 Our analysis explored temporal and spatial trends and evaluated those trends with inferential
305 statistics. To model the relationship between fish NISP, MNI and weight, we used Spearman's
306 correlation coefficient (r_s) (Lyman 2008). To estimate fishing intensity and its change through
307 time we tested differences in taxonomic proportions (identified as relative weight of the
308 constituents of individual flotation samples) and density (g/l) by site and between periods using
309 one-way analysis of variance (ANOVA) followed-up by Tukey's HSD post-hoc tests to identify
310 significant differences within groups. One-way ANOVAs and post-hoc tests were used to test for
311 differences in fish relative proportion and fish density among sites occupied during the same
312 period.

313 314 **Results**

315 316 *Fish bone weight as a quantification unit*

317
318 We analyzed the relationship between NISP, MNI, and weight using detailed element
319 identification from 31 flotation samples from Formative cultural contexts recovered at the site of
320 Kala Uyuni. This step allowed us to show that fish bone weight could be a representative and
321 useful quantitative measure (Capriles et al. 2008:Table 1). The fish identified in this set of
322 samples included *Orestias* (ranging from 91.7 % to 93.1% of fish bone samples by bone weight
323 across the Formative) and *Trichomycterus* (8.3% to 6.9%). Multiple species of *Orestias* were
324 inferred from size variation and from observations of the surface textures on scales (Capriles
325 2006). We found strong and highly significant linear relationships between weight and both
326 NISP and MNI (NISP vs. weight, $r_s=0.986$, $p<0.001$, MNI vs. weight, $r_s=0.94$, $p<0.001$) (Figure
327 3). In fact, the correlations between NISP and MNI vs. weight were higher than the NISP vs.
328 MNI correlation ($r_s=0.938$, $p<0.001$, which was also highly significant). The small body size of
329 the individual fish and the apparent culinary practices of serving fish whole strengthen the
330 approach of using weight as a direct measure of abundance. In a few cases, the interdependence
331 of these variables was reduced due to fragmentation from weathering and soil compression.
332 However, given the strong relationship between all quantification units and their known
333 interdependence, weights from flotation heavy samples are useful measure of taxonomic
334 proportion and density. We used the proportion of fish remains to other vertebrate classes to
335 provide estimates of the relationship of fish to other animal resources. We used fish density as a
336 relative measure of fish discard in different cultural contexts. Interestingly, relative proportion
337 and density of fish remains among all samples (Figure 4) suggest a low but statistically
338 significant correlation ($r_s=0.431$, $p<0.001$).

339

340 *Proportion of fish to other taxa*

341
342 Fish remains were abundant during all periods and in all sites, comprising between 23% and 43%
343 of the animal bone by weight (Figure 5a, Table 2). This ubiquity and high proportion of fish is an
344 initial indication of how important fishing must have been to the people in the Taraco Peninsula.
345 The proportion of fish remains varied significantly between periods however, decreasing slightly
346 through time (one-way ANOVA $F=7.7$, $p<0.001$). At Chiripa, the mean proportion of fish
347 remains during the Early Formative I was 38 ± 3 , with 39 ± 2 in the Middle Formative. Both these
348 values are significantly higher than during the Early Formative II, between these two time
349 periods (24 ± 4 ; one-way ANOVA $F=8.5$, $p<0.001$) (see also Moore 2011). At Kala Uyuni, the
350 fish proportion was two to three times higher during Middle Formative (56.1 ± 4 of all taxa) than
351 during all the subsequent periods at that settlement, including Late Formative I and II (one-way
352 ANOVA $F=7.05$, $p<0.001$). The Middle Formative fish proportions at Kala Uyuni were
353 markedly higher than fish proportions at Chiripa during the same period (Table 3), suggesting
354 that fish remained a much more important part of the diet at Kala Uyuni overall (One-way
355 ANOVA $F=24.6$, $p<0.001$). At Sonaji, no significant chronological changes were observed
356 during the Late Formative I, though Sonaji exhibited 1.7 times higher relative proportion of fish
357 remains than at Kumi Kipa, its near neighbor (One-way ANOVA $F=2.9$, $p=0.06$).

358
359 *Fish density*

360
361 Though variation between different contexts in each site is high, the density of fish remains was
362 regular in many contexts. We observed a relatively wide range of fish bone densities between
363 repeated samples from some single contextual units, typically from secondary fill and midden.
364 This indicates that the discard of fish bones must have been episodic and discrete, and that the
365 long-term trends that we discuss here are in large part the result of persistent but small-scale fish
366 use. Thus, the averages we cite for various time periods best capture the long-term patterns. The
367 patchy distribution also indicates that fish bones are largely in place within the sediment, having
368 resisted erosion, fragmentation, and reworking by processes of bioturbation (Goodman 1999).
369 Fish bone density showed some significant chronological differences, in the same manner as the
370 proportion of fish to other taxa (Figure 5b, Table 2). At Kala Uyuni, the Early Formative II
371 (1.8 ± 0.7) and Middle Formative deposits (1.8 ± 0.7) exhibited higher fish densities than the Late
372 Formative I (0.4 ± 0.05). Most notably, the fish density was 3.5 times higher during the Middle
373 Formative than during Tiwanaku IV-V times (0.5 ± 0.1 ; One-way ANOVA $F=7.06$, $p<0.001$).
374 Whereas, at Chiripa, comparisons show that fish density was significantly lower in the Early
375 Formative I than during the Middle Formative (One-way ANOVA $F=7.9$, $p<0.001$). Results in
376 Table 3 demonstrate that during the Late Formative II, Kala Uyuni (1.8 ± 0.3) deposits were
377 significantly more dense in fish than at Chiripa (0.4 ± 0.08 ; one-way ANOVA $F=4.9$, $p<0.05$), a
378 similar pattern as that seen in the fish proportion data. During the Middle Formative, fish density
379 was two times lower at Chiripa than at Kala Uyuni (one-way ANOVA $F=24.6$, $p<0.001$). In Late
380 Formative I times, fish remains from Kumi Kipa deposits (0.3 ± 0.03) were less dense at either
381 Kala Uyuni (0.4 ± 0.05) or Sonaji (0.6 ± 0.1 ; one-way ANOVA $F=5.8$, $p<0.01$). Finally, during
382 Tiwanaku IV-V times, Kala Uyuni (0.5 ± 0.8) showed a significantly higher fish density in
383 comparison to Iwawi, located to the east of Kala Uyuni (0.2 ± 0.04 ; one-way ANOVA $F=5.2$,
384 $p<0.01$).

385

386 Discussion

387

388 *Fish utilization in the Taraco Peninsula*

389

390 Abundant fish remains from these archaeological settlements on the Taraco Peninsula provide a
391 revealing record of the changing intensity of fishing over time. To place these results in context,
392 we contrast the temporal trends in the relative importance fish with the sequence of
393 environmental and socioeconomic changes in the Taraco Peninsula (Figure 5). To do this, we
394 composed a revised version of Abbott and colleagues' (1997) sequence of lake-level changes
395 using their episodes of lithographic erosion and sedimentation. We also removed some
396 hypothesized periods of stability to incorporate the climatic modulations suggested by Calaway
397 (2005). To measure demographic and socio-political change, we used regional survey data on the
398 cumulative size of sites for each chronological period on the peninsula (Bandy 2001). Taking
399 these three independently derived sequences together, we provide a richer understanding of
400 human-environment interactions in the Taraco Peninsula over time.

401

402 Previous research in the region suggests that during most of the Archaic Period, the Titicaca
403 Basin was very shallow. Mobile bands of hunter-gatherers relied on hunting wild camelids and
404 deer, but consumed almost no fish or other aquatic resources (Aldenderfer 2009; Capriles and
405 Albarracin-Jordan 2013). The absence of fish remains from Terminal Archaic Period sites
406 located close to the modern shore of Lake Titicaca (Craig et al. 2010) suggests that fishing
407 became prevalent only after rainfall and temperature conditions permitted the expansion of Lake
408 Titicaca. As fish populations increased and the lake increased in extent, fishing would have been
409 more predictable and productive, making fishing an economically viable and even optimal
410 subsistence strategy.

411

412 The results of our analysis suggest that reliance on aquatic resources began on the peninsula
413 during the period of early village formation (Early Formative times) and remained high
414 throughout the entire period of study. Fish were taken with nets and traps and also from boats (as
415 suggested by the representation of fish sizes consistent with species found in the open lake).
416 Ethnographic fishing practices documented in the region indicate that fishing could have taken
417 place at any season of the year (Levieil and Orlove 1990). In the Titicaca region, a wide range of
418 lake-edge adaptations have emerged that included specialized fishing communities (Vellard
419 1951) as well as agricultural communities that complement fishing activities with pastoral tasks,
420 craft production, and farming (La Barre 1948). This range of economic possibilities offered
421 communities sufficient social and economic flexibility to endure in the face of lake level changes
422 in the 19th and 20th centuries; we suggest the same was true in prehistoric times.

423

424 The skeletal completeness of typical fish remains from these sites –including hundreds of
425 thousands of scales– indicate that the fish were cooked and served whole, probably after being
426 boiled in ceramic pots or steamed in earth ovens. Crop agriculture and relatively specialized
427 pastoralism were locally important throughout this time (Bruno 2008; Moore 2011). The waste
428 from fish in middens and dumps may have provided a rich source of nutrients for fields as
429 farming increased in productivity.

430

431 The most significant temporal variation in the use of fish is a slight decrease in relative
432 abundance at Chiripa and Kala Uyuni, most evident between the Middle Formative and Late

433 Formative I times. Beginning around 250 BC, there was an almost 50% reduction in the
434 importance of fish, a decline which continued throughout the following Late Formative period.
435 Overall, fishing was extremely important during the early settlements and subsequent
436 development of local agro-pastoral economies of the region, but decreased in importance as the
437 region began to experience demographic growth and increased sociopolitical complexity in Late
438 Formative times. Additionally, between the Middle and Late Formative the deposits became
439 more homogeneous in density of fish bones. In other words, during the time that fish became less
440 frequent compared to mammals and birds, that deposits with fish tended to be very dense with
441 fish bones. The narrower range of density values is independent of sample size. Thus, by the
442 Late Formative I, the social value of fish at public events had waned, and fishing became less
443 important overall.

444
445 When comparing all of our data, including the lake-level estimates, the demographic changes,
446 and the varying relative importance of aquatic resources, the changes in fish consumption on the
447 sites does not seem to be linked to sociopolitical changes or to trade. Rather, the pattern of fish
448 use seems to be related mainly to fluctuating ecological conditions, our first hypothesis. In
449 addition, in the later portion of the sequence, the decline in the importance of fish might reflect a
450 constraint on the labor available for fishing, given the increasing dependency on agriculture. As
451 agricultural and pastoral production intensified, fishing could have taken on a different role
452 within the local economic system. Because fish (and also birds) are wild resources, they can be a
453 potential backup resource to buffer household subsistence in times of environmental degradation
454 or economic uncertainty, as suggested by our fourth hypothesis. Increased fish exploitation could
455 be seen as a strategy to manage risk associated with agricultural unpredictability in post-
456 Formative times (see Winterhalder et al. 1999). The ownership of fishing rights and fishing
457 equipment may also have been held according to different social rules than that for farmland and
458 grazing land, offering another way for individual families to deal with environmental stress.

459
460 The archaeological remains show that throughout the Formative Periods fishing was a common
461 subsistence activity for people settled by the lake. However, as agriculture, animal husbandry,
462 and other economic activities intensified, the net contribution of fish decreased. Nevertheless, the
463 local dietary importance of fish remained steady for those living along the shoreline.
464 Paleoenvironmental research suggests strong climatic changes produced significant fluctuations
465 in the lake-levels of the southern Lake Titicaca so it is significant that people in this region
466 continued fishing, perhaps collecting fish from the remnant small lakes and seasonally available
467 ponds, spring-fed rivers, and other similar microenvironments. Consistently high frequencies of
468 the seeds of aquatic plants in paleoethnobotanical samples confirm that people in the Taraco
469 Peninsula were actively using these habitats (Bruno 2008, 2011).

470
471 It is also worth considering the changing meaning of fish not only as a food staple but also as a
472 valued commodity. A factor that could have affected the shifts observed in the archaeological
473 record is the use of fish as a means of exchange in trade networks with sites located away from
474 the shore, including large centers like Tiwanaku. Recent research in the Mollo Kontu residential
475 area confirms that fish were being imported to the urban center, albeit in small amounts (Arratia
476 2010; Vallières 2012). In the early 20th century, fishing villages along the lakeshore have traded
477 both fresh fish and dried fish with non-fishing communities for agricultural products (La Barre
478 1948; Tschopik 1946, personal observation). Evidence from Tiwanaku shows that it is unlikely

479 that fishing was ever controlled by the increasingly centralized political organization that
480 developed in the region, as was postulated in our third hypothesis (Capriles 2013). Under the
481 increasing influence of Tiwanaku as an urban and political center, agricultural surplus was
482 extracted from the southern Lake Titicaca Basin communities in increasingly centralized
483 organizations throughout the first millennium AD. Extensive raised fields in the area northeast of
484 the Taraco Peninsula attest to this centralized economic organization (Janusek and Kolata 2004).
485 Nevertheless, decentralized, family organized activities such as fishing and foraging along the
486 lakeshore continued to be an important and possibly emblematic practice engaged by rural
487 residents outside the Tiwanaku capital on the shores of Lake Titicaca.

488
489 *The question of fishing specialization in Lake Titicaca prehistory*

490
491 Two models could explain the development of fishing specialization as social and economic
492 organization around Lake Titicaca became more complex. On one hand, the emergence of
493 communities who focused on aquatic resources could develop in association with other
494 communities cultivating crops and keeping herds. If this were so, the fishing communities can be
495 interpreted as occupational specialization within a diversifying economy. In this scheme,
496 fisherfolk as a distinct social group emerged as did farming villages as a result of increased
497 demand for staple foods in growing markets within growing towns and cities such as Tiwanaku
498 (Gumerman 1994). Kolata (1993) and Janusek (2008) seem to support this model by speculating
499 that the Uru fishing communities had a social identity distinct from that of Aymara agro-
500 pastoralists and Pukina agriculturalist elites seen at the end of the Tiwanaku period (but see
501 Wachtel 2001). In the 19th century, census records indicate that about 12% of the residents of the
502 Taraco Peninsula were classified as Uru based on land access and economic activities (Poe
503 1980). These distinctions have been erased by the subsequent influence of assimilation to
504 Aymara traditions and the effects of the agrarian reform. Further, and more likely from our
505 archaeological data, these fishing villages may also have farmed and herded, placing them on the
506 spectrum of farming villages rather than as exclusive fishing specialists.

507
508 Alternatively, one can view fishing as an economic strategy used by agricultural villagers. Kin
509 groups would have held rights to fishing locations, experience, and fishing equipment, as is the
510 situation today. Fishing could have played a significant role in buffering economic risk
511 associated with environmental fluctuations and political instability. Fishing could also have
512 complemented the seasonality and availability of agricultural products for local consumption.
513 This model supports the idea that the lake fish remained a valued food, in nutrition, cuisine, and
514 identity. Our previous subsistence-oriented studies from the Taraco Peninsula support this
515 second model, at least up until Tiwanaku times. This model is further supported by evidence for
516 the consumption and discard of abundant and diverse resources within the same depositional
517 contexts, suggesting mixed ingredient food preparation and therefore procurement. Production of
518 specialized bone tools for fish net making was found in the midst of occupational debris at Kala
519 Uyuni, the presumed Late Formative regional center. More importantly, most subsistence
520 resources were consumed in domestic contexts and in public spaces as part of communal
521 ceremonies that possibly involved conspicuous consumption of food and drink (Hastorf 2003,
522 2008). For example, Middle Formative pits used to receive offerings of fish were identified at
523 both Chiripa and Kala Uyuni, adjacent to ceremonial sunken courts. Culinary traditions which
524 combined agricultural and wild foods such as fish underscore the resiliency of subsistence

525 practices during times of environmental and social upheaval. The uniformity in the deposition
526 and distribution of fish remains among sites, rather than a patchy distribution of sites with high
527 proportion of fish consumption, also suggests this second model is more convincing.

528
529 The ecological history of Lake Titicaca suggests that people actively managed their environment
530 and resources to thrive even during periods of severe climatic variation (Erickson 2006). The
531 remains of fish and other aquatic resources from archaeological sites are not direct
532 paleoenvironmental proxies, but they are useful indicators of how the people valued their
533 resources and adjusted to their continuously changing environment (Bruno 2011; Moore 2011).
534 We hypothesize that the fluctuating lake levels and the sociopolitical changes linked to the
535 emergence of social complexity and the Tiwanaku state both were major forces affecting the use
536 of aquatic resources in the Taraco Peninsula. However, our results document consistently high
537 proportion and densities of fish remains throughout time with only a slight decreasing trend,
538 thereby weakening the case for the major impact of lake level fluctuations or a political impact as
539 causal factors in economic organization. In fact, our data suggest that fishing persisted as an
540 important and valued social practice throughout periods of increased aridity and independently of
541 sociopolitical integration. Nevertheless, even though finer chronological resolution for both
542 archaeological and paleoenvironmental data is required to further assess the interplay between
543 environment and social change, our research predicts that the nature of this relationship is bound
544 to be complex.

545 546 **Final thoughts**

547
548 In this paper, we use intensive recovery techniques to quantify the economic importance of fish
549 in southern Lake Titicaca Basin sites from the time of the emergence of early village formation
550 up to the constitution of a state-level society. We were interested in how the interplay between
551 the socio-political change and environmental fluctuations determined variation in resource
552 procurement, utilization intensity, and control. The present study shows that the first sedentary
553 inhabitants of the Taraco Peninsula relied extensively on aquatic resources but that their
554 importance decreased only slightly when the first regional polities emerged in the region. The
555 shift through time can best be explained by increased reliance on agricultural and pastoralist
556 resources along with increased sociopolitical complexity.

557
558 On a methodological level, we have illustrated that changes in the consumption and discard of
559 fish could be evaluated employing bone weight and derived measures (e.g., proportion and
560 density) from flotation heavy fractions. The importance of microfauna, especially fish, can be
561 understood only when using specimens collected by water flotation or other fine-mesh sieving.
562 However, given the interdependence between quantification units and the estimated relative
563 representation of taxa (Lyman 2008), using weight can help speed the analysis of these measures.
564 This approach could potentially be applied at other sites in the Lake Titicaca Basin. As more
565 flotation samples are collected for paleoethnobotanical research in this area, the potential for
566 understanding fish exploitation in the lake will also increase. Detailed comparisons of specific
567 cultural contexts are necessary to corroborate and clarify the trends identified in our study.
568 Although the statistical treatments strengthen our conclusions, comparative studies from other
569 sites are required as well. For instance, information about fish consumption at the site of

570 Tiwanaku itself is still very limited despite the potential importance of exchange of fresh or dried
571 fish (Arratia 2010; Vallières 2012).

572

573 Today, Lake Titicaca's native fish species are experiencing significant impacts from overfishing,
574 introduction of exotic taxa, habitat degradation, and leaching of agricultural and mining
575 chemicals and sewage waste from neighboring towns and cities (Van Damme et al. 2009). Even
576 though past local fisheries could withstand human exploitation, environmental change and
577 political change, the magnitude of present-day threats to Lake Titicaca are unprecedented
578 (Sarmiento and Barrera 2004; Steffen et al. 2011). By decoding part of the long-term trajectory
579 of human-environment interactions in the Titicaca Basin, we hope we may help foster
580 sustainable management practices by policy makers and indigenous stakeholders (Campbell and
581 Butler 2010).

582

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584

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595

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959

960 **Figures and Tables Captions**

961

962 Figure 1. Map of the Taraco Peninsula including the studied archaeological sites and Lake
963 Titicaca's bathymetry.

964

965 Figure 2. Tiwanaku's iconographic representation of fishes in the Gateway of the Sun (a-b
966 modified from Posnansky 1945:Plates 42-43) compared to drawings of c) *Trichomycterus* and d)
967 *Orestias* (not to scale).

968

969 Figure 3. Relationship between a) NISP and weight and b) MNI and weight for fish remains
970 recovered and identified from flotation remains from the site of Kala Uyuni (N=31). Lines show
971 best fit (a, $r^2=0.944$; b, $r^2=0.89$) for log10-transformed data.

972

973 Figure 4. Relationship between fish bone proportion and density for all the studied flotation
974 samples (N=367). Line shows linear best fit ($r^2=0.173$) for log10-transformed data.

975

976 Figure 5. Box-plots showing the changes by period and site on a) fish proportions and b) density
977 throughout time.

978

979 Figure 6. Composite graph showing the temporal sequence of fish utilization as box-plots
980 showing the grouped results from all studied sites for each phase, Lake Titicaca level changes
981 (redrawn with modifications from Abbott et al. 1997:Fig. 2), and accumulated settlement surface
982 area from the Taraco Peninsula (based on Bandy 2001:Appendix A).

983

984

985 Table 1. Description of excavated sites and the flotation samples used in this study sorted by site
986 and chronological period.

987

988 Table 2. Temporal comparisons of fish bone weight and density across archaeological sites using
989 one-way ANOVAs. Significant Tukey post-hoc tests are flagged in bold and identified using
990 superscripted lower-case letters.

991

992 Table 3. Spatial comparisons of fish bone weight and density across periods using one-way
993 ANOVAs. Significant Tukey post-hoc tests are flagged in bold and identified using superscripted
994 lower-case letters.

995

Table 1. Description of excavated sites and the flotation samples used in this study sorted by site and chronological period.

Site	Description	Period						Total
		EF I	EF II	MF	LF I	LF II	Tiw	
Kala Uyuni	The site is a multi-component occupation that increased in size, complexity, and regional importance through time. Two sunken courts were built in the highest sector of the site during the MF and an architectural complex was built during the LF I in the lower sector, when the site emerged as the peninsula's regional center.	4	6	32	45	6	13	106
Chiripa	Chiripa has a complex sequence of occupation that includes evidence for various sunken courts built during the EFII followed by different cycles of renewal. Excavations in several sectors across the site exposed the presence of various sunken courts and associated domestic and ritual activities.	46	26	109				181
Sonaji	Excavations at the site documented multiple trash midden levels intersected by deep refuse pits on a single large and deep block. Architecture is evident for initial level of occupation but the later components of the sequence mainly consist of refuse of activities carried out elsewhere.				18	3	5	26
Kumi Kipa	This a large located on the western edge of the peninsula. Excavations here revealed the existence of a complex of structures associated with the Late Formative I as well as later occupations including the building of a burial mound during Tiwanaku IV-V.				30			30
Iwawi	The site includes a large mound composed of multiple and successive occupations, several burials, and even some monumental architecture. Iwawi probably served as a regional population, administrative center, and lake port during Tiwanaku IV-V.						24	24
Total		50	32	141	93	9	42	367

Table 2. Temporal comparisons of fish frequency and fish density across archaeological sites using one-way ANOVAs. Significant Tukey post-hoc tests are flagged in bold and identified using superscripted lower-case letters.

Site		Period						F	P
		EF I	EF II	MF	LF I	LF II	Tiw		
Kala	Fish proportion	59.7±13 ^{ab}	38.9±5 ^{ab}	56.1±4^a	24±3^b	25.1±9 ^{ab}	19.6±3^b	7	<0.001
Uyuni	Fish density	1.2±0.3 ^{abc}	1.8±0.7^{ac}	1.8±0.3^a	0.4±0.05^{bc}	0.4±0.2 ^{abc}	0.5±0.1^{bc}	7.1	<0.001
Chiripa	Fish proportion	37.9±3^a	23.8±4^b	38.9±2^a				8.4	<0.001
	Fish density	0.7±0.1^a	0.4±0.2 ^{ab}	0.9±0.1^b				7.9	<0.001
Sonaji	Fish proportion				35.3±5	26.3±9	22.9±6	0.8	0.4
	Fish density				0.59±0.1	0.72±0.1	0.6±0.1	0.5	0.6
All sites	Fish proportion	39.7±3^a	26.6±3.4^b	42.8±2.1^a	25.2±1.9^b	25.5±6.3 ^{ab}	22.9±2.3^b	7.7	<0.001
	Fish density	0.7±0.1^a	0.7±0.2 ^{ab}	1.1±0.3^b	0.4±0.03 ^{ab}	0.5±0.1 ^{ab}	0.3±0.04^b	2.94	<0.05

Table 3. Spatial comparisons of fish frequency and fish density across periods using one-way ANOVAs. Significant Tukey post-hoc tests are flagged in bold and identified using superscripted lower-case letters.

Period		Site				F	P	
		Kala Uyuni	Chiripa	Sonaji	Kumi Kipa Iwawi			
Early	Fish proportion	59.7±6	37.9±3			2.2	0.1	
Formative I	Fish density	1.2±0.3	0.7±0.1			2.7	0.1	
Early	Fish proportion	38.9±6	23.8±3.8			3	0.09	
Formative II	Fish density	1.8±0.7^a	0.4±0.1^b			4.9	<0.05	
Middle	Fish proportion	56.1±4.3^a	38.9±2.4^b			7.2	<0.001	
Formative	Fish density	1.8±0.3^a	0.9±0.4^b			24.6	<0.001	
Late	Fish proportion	24.1±3 ^{ab}		35.3±5^a	20.7±3^b	2.9	0.059	
Formative I	Fish density	0.4±0.1^a		0.6±0.1^a	0.3±0.03^b	5.8	<0.001	
Late	Fish proportion	25.1±9		26.3±9		0.16	0.7	
Formative II	Fish density	0.4±0.2		0.7±0.1		2.6	0.1	
Tiwanaku	Fish proportion	19.6±3.4		22.9±7		24.8±3.4	0.02	0.9
IV-V	Fish density	0.5±0.1^a		0.6±0.2 ^{ab}		0.2±0.04^b	5.2	<0.01

Figure 1

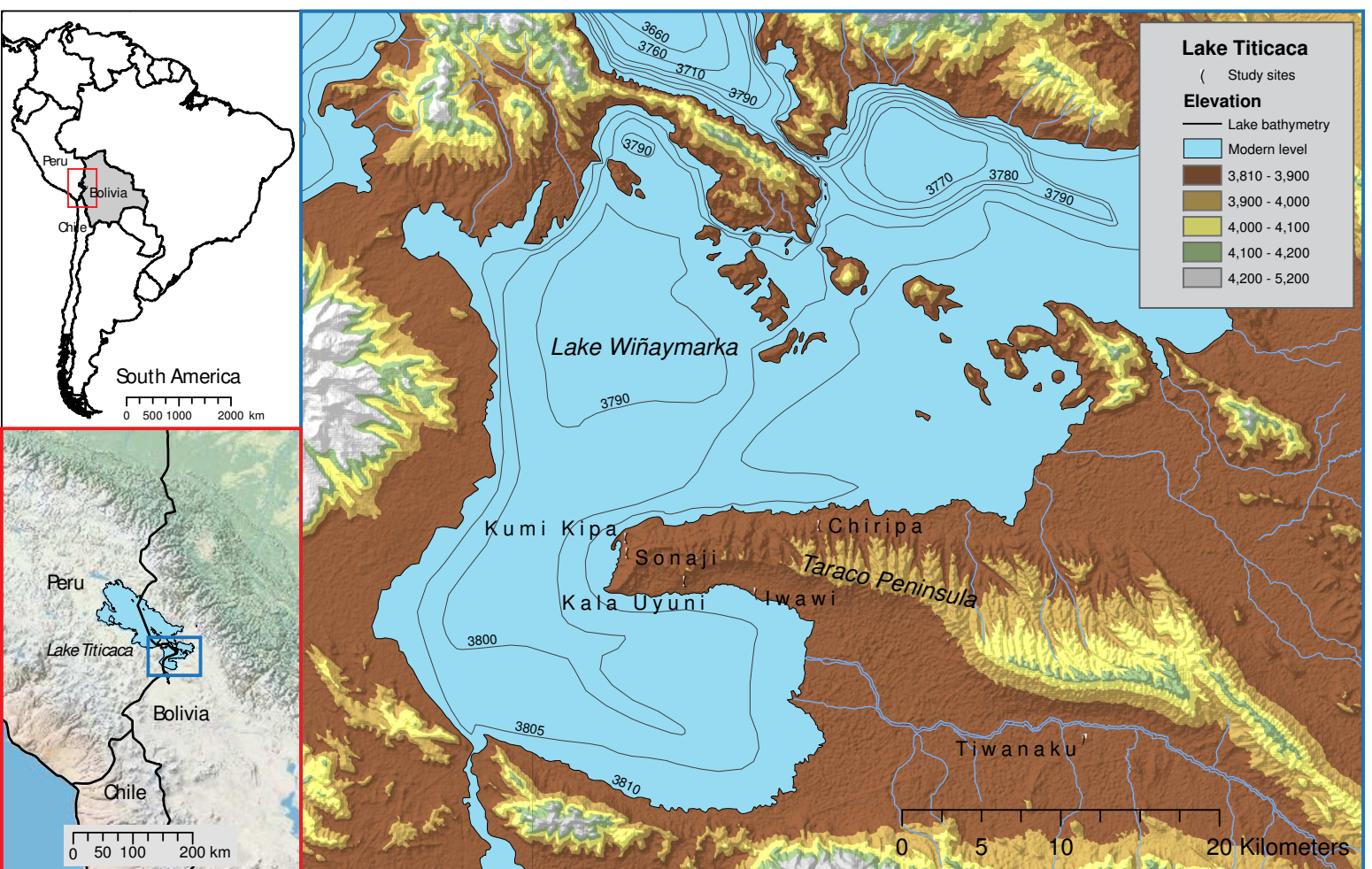


Figure 2

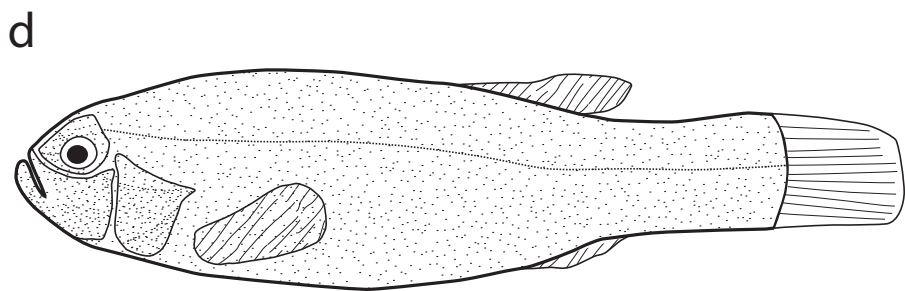
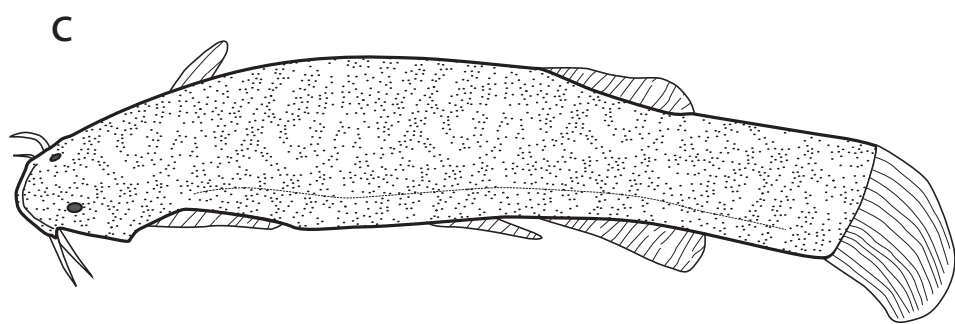


Figure 3

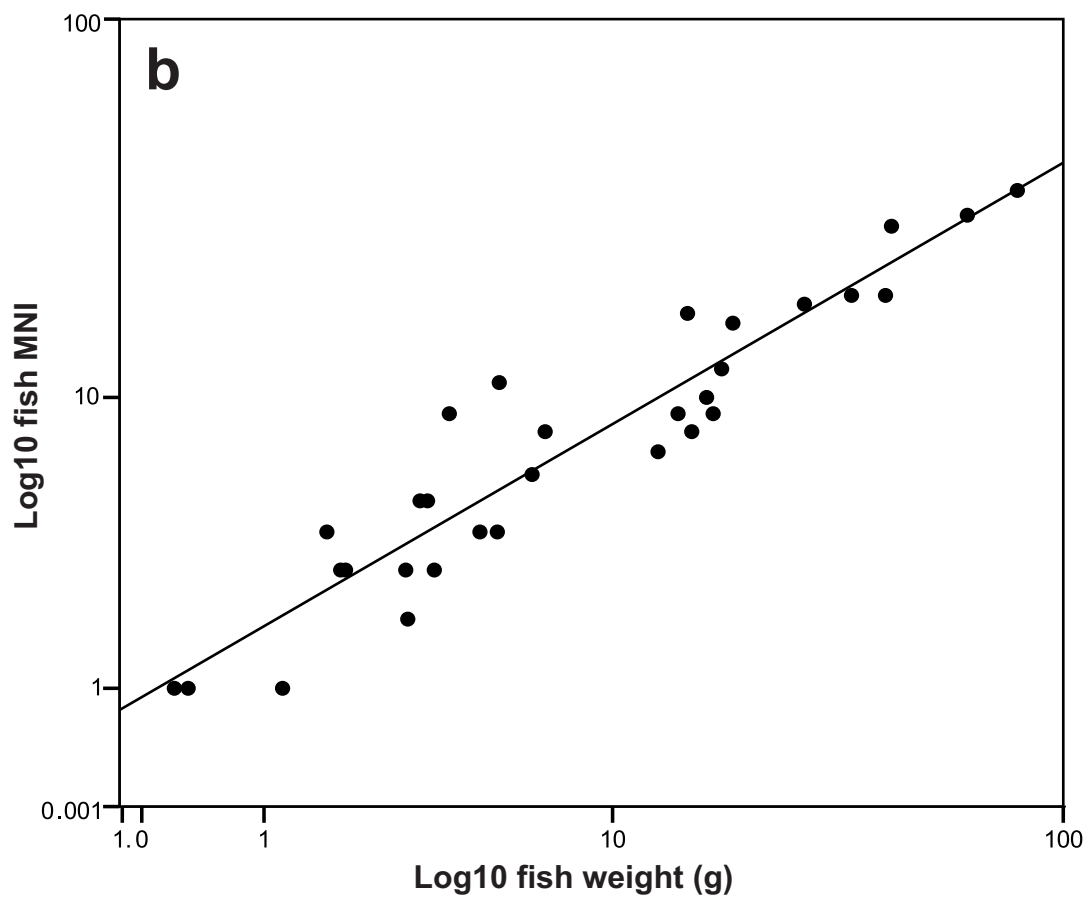
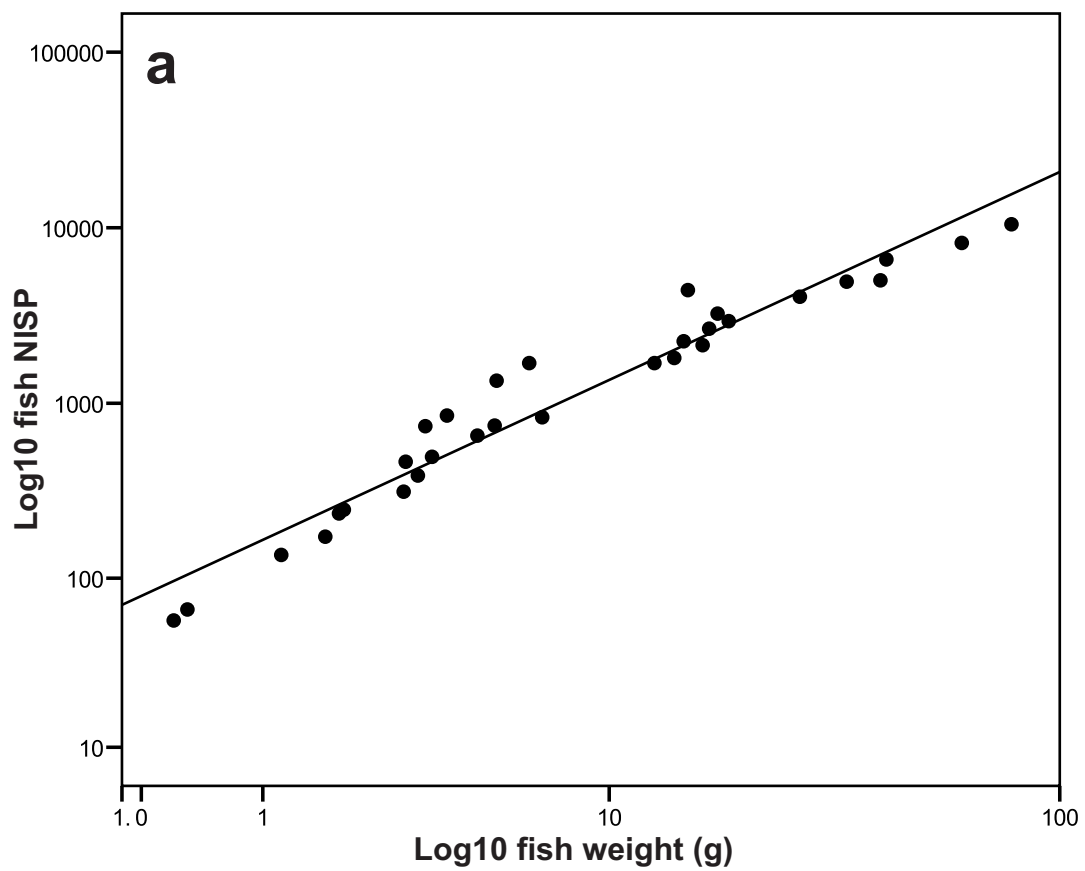


Figure 4

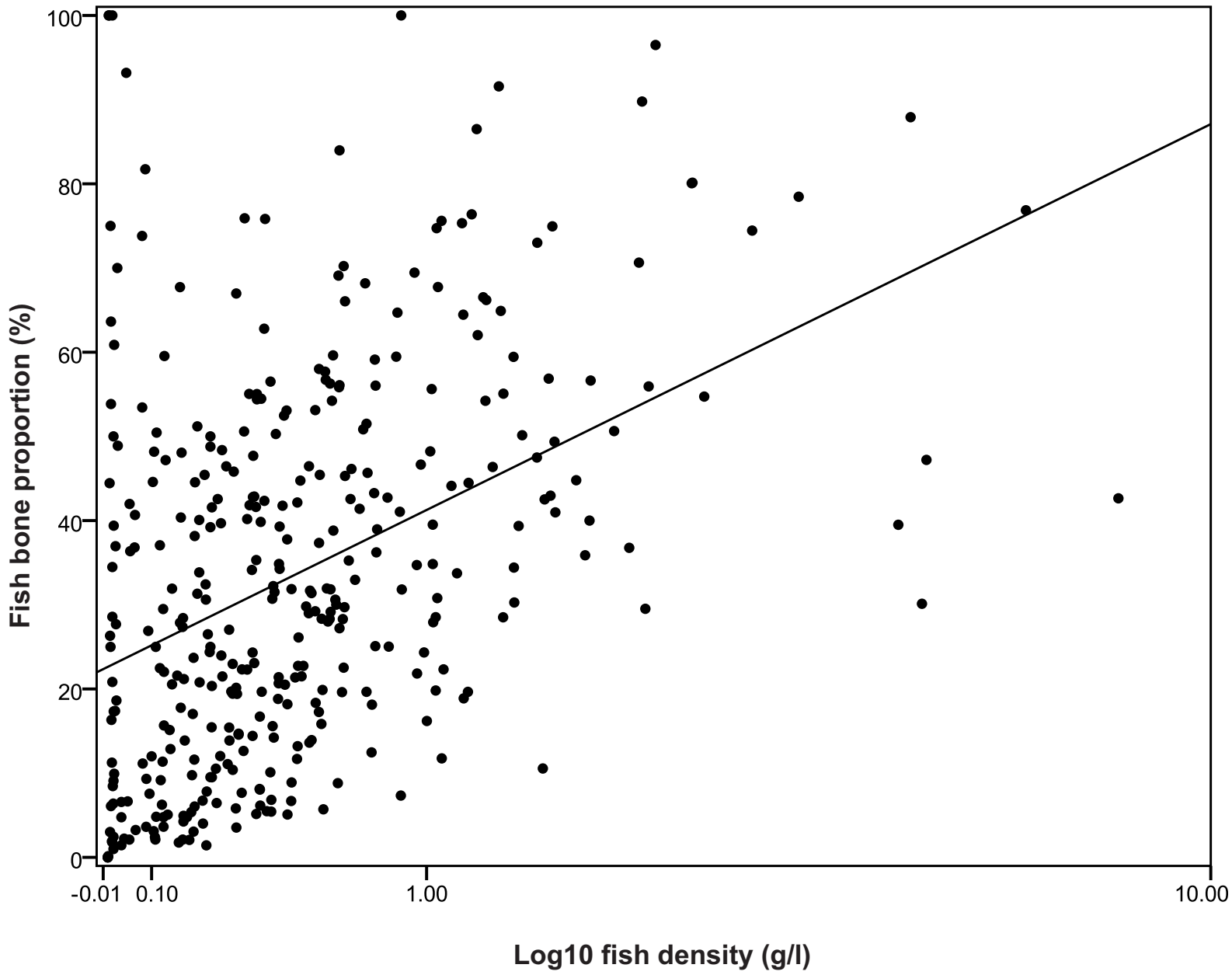


Figure 5

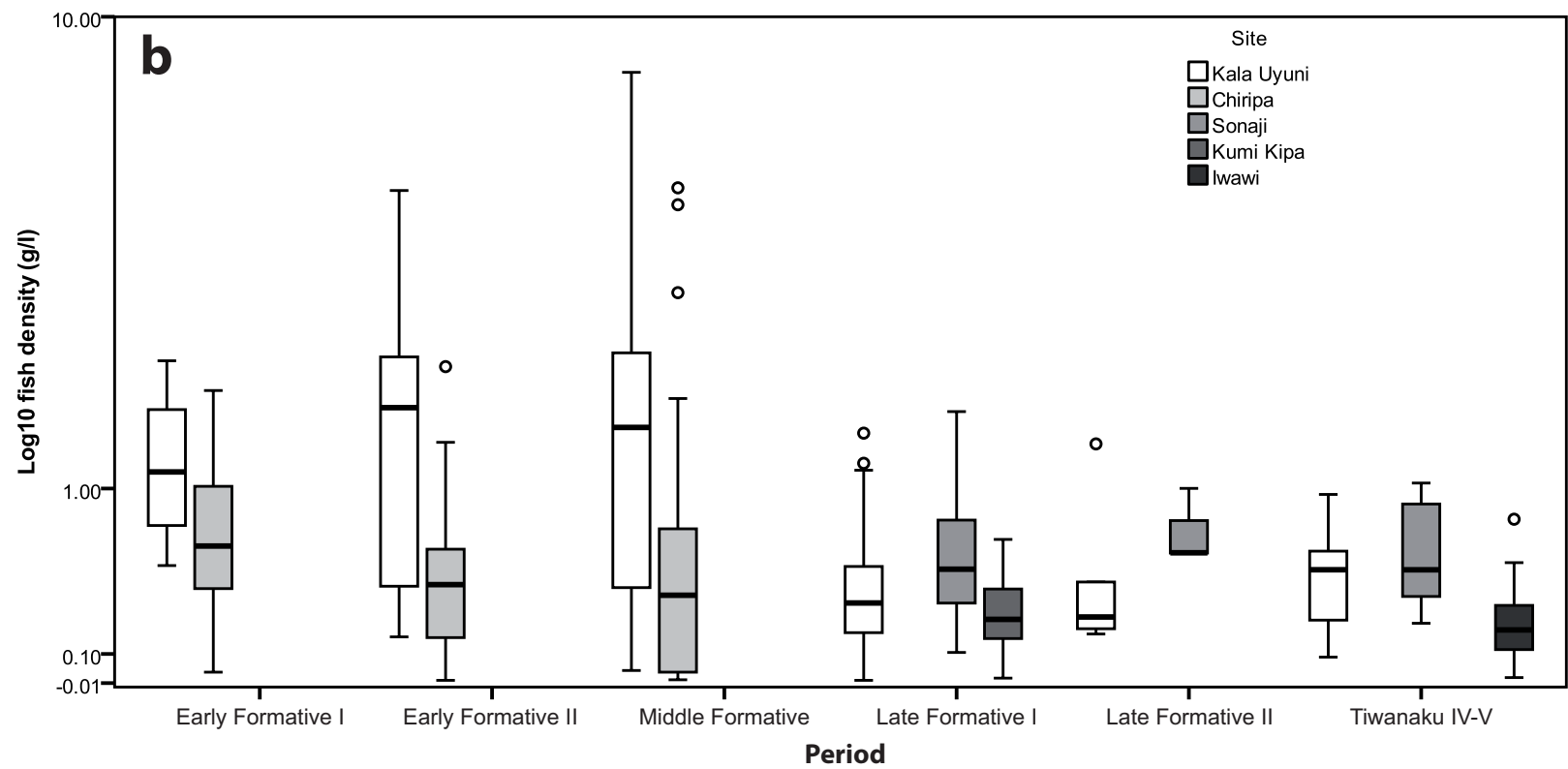
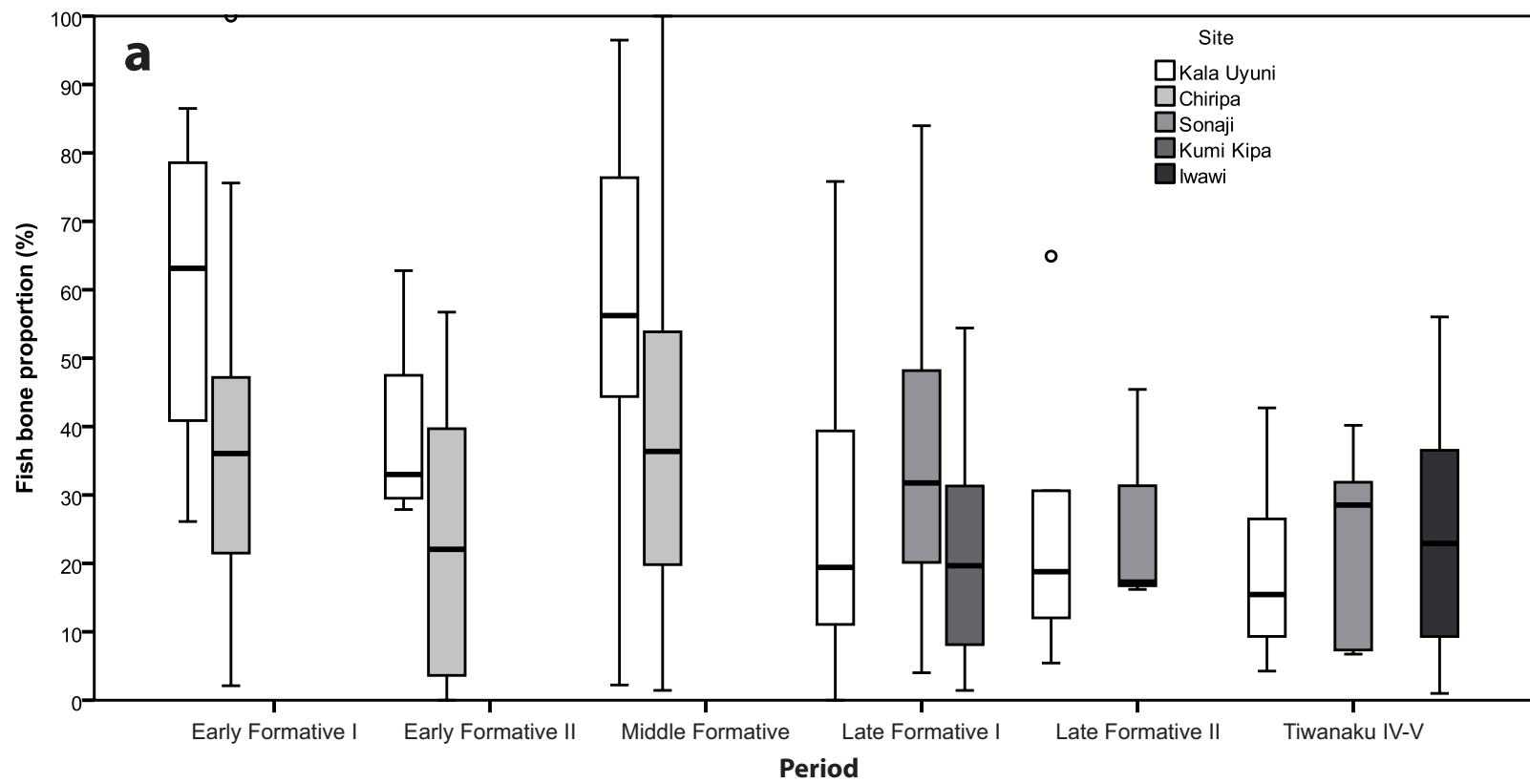


Figure 6

