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## SNAI1 Promotes the Cholangiocellular Phenotype, but not Epithelial–Mesenchymal Transition, in a Murine Hepatocellular Carcinoma Model

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## Abstract

Hepatocellular carcinoma (HCC) is the most common type of liver cancer and has limited treatment options. Snail family transcriptional repressor 1 (SNAIL) is a master regulator of epithelial–mesenchymal transition (EMT) and has been implicated in HCC initiation and progression. However, the precise role of SNAIL and the way it contributes to hepatocarcinogenesis have not been investigated in depth, especially *in vivo*. Here, we analyzed the functional relevance of SNAIL in promoting hepatocarcinogenesis in the context of the AKT/c-Met–driven mouse liver tumor model (AKT/c-Met/SNAIL). Overexpression of SNAIL did not accelerate AKT/c-Met–induced HCC development or induce metastasis in mice. Elevated SNAIL expression rather led to the formation of cholangiocellular (CCA) lesions in the mouse liver, a phenotype that was paralleled by increased activation of Yap and Notch. Ablation of *Yap* strongly inhibited AKT/c-Met/SNAIL-induced HCC and CCA development, whereas inhibition of the Notch pathway specifically blocked the CCA-like phenotype in mice. Intriguingly, overexpression of SNAIL failed to induce EMT, indicated by strong E-cadherin expression and lack of vimentin expression by AKT/c-Met/SNAIL tumor cells. *SNAIL* mRNA levels strongly correlated with the expression of CCA markers, including SOX9, CK19, and EPCAM, but not with EMT markers such as E-CADHERIN and ZO-1, in human HCC samples. Overall, our findings suggest SNAIL regulates the CCA-like phenotype in hepatocarcinogenesis via regulation of Yap and Notch.

**Significance:** These findings report a new function of SNAIL to promote cholangiocellular transdifferentiation instead of epithelial–mesenchymal transition in hepatocellular carcinoma.

## Introduction

Liver cancer is one of the most common tumors and ranks second as a cause of cancer mortality in the world (1, 2). Hepatocellular carcinoma (HCC) and cholangiocarcinoma (CCA) are the two major histotypes of liver cancer. The diagnosis for most HCC and CCA patients is achieved in the advanced stage of these tumors, when only very limited treatment options are available (3, 4). Better understanding of the mechanisms underlying HCC and CCA molecular pathogenesis is obviously of high importance for the development of novel drugs able to treat efficiently these deadly malignancies.

Recently, several studies have demonstrated the plasticity of liver cells. Specifically, mounting evidence indicates that mature hepatocytes can transform into cholangiocytes and vice versa (5-7). Besides the stem cell and cholangiocyte compartments, intrahepatic CCA can derive from adult hepatocytes in mice (8, 9). Studies in human HCC also identified a subset of HCC known as cholangiocellular (CC) like HCC, characterized by the expression of biliary markers such as CK19 and EPCAM (10,11). In addition, mixed/combined HCC and CCA represent a liver cancer entity in humans. Specifically, these mixed tumors have a prognosis similar to CCA and worse than HCC. A recent genomic profile analysis indicated that mixed HCC/CCA possess molecular features similar to HCC, even in the CCA component (12). The precise mechanisms underlying this conversion remain poorly understood. Recent studies suggest that the Hippo effector Yap and its downstream target Notch are major regulators of cell fate in the liver (13). In mice, overexpression of the Hippo kinase *Lats2* prevents CC-like lesions formation in mouse liver tumor models induced by activated *AKT* and *N-Ras* oncogenes (14). Furthermore, activated forms of Yap cooperate with AKT to promote CCA development in mice (15-17). Notch signaling has been identified as a major cascade downstream of Yap. Specifically, Yap directly induces the expression of the *Notch2* and *Jag1* genes (13, 18), and ablation of *Notch2* completely prevents Yap-dependent CC formation *in vivo* (19). Overall, these studies suggest that, during hepatocarcinogenesis, the Yap/Notch signaling cascade may promote a CC-like phenotype in the liver.

Epithelial-to-mesenchymal transition (EMT) is a cellular process where epithelial cells lose their cell polarity and transform into mesenchymal-like cells. In tumors, EMT is frequently observed and associated with increased tumor cell proliferation, invasion, and metastasis (20). EMT is recognized by the gain of expression of mesenchymal marker, such as vimentin and N-cadherin, as well as loss of epithelial markers, including E-cadherin, ZO-1 (TJP1), and occludin, in tumor cells. It is well established that Snail family transcriptional repressor 1 (SNAIL1) is a master regulator of EMT during tumor progression (21). It induces EMT via binding to the three E-boxes of the *CDH1* (E-cadherin) promoter region, leading to the suppression of CDH1 expression (22, 23). Multiple studies have shown that SNAIL1 activation is able to induce EMT *in vitro* (22, 24-26). However, whether overexpression of SNAIL1 promotes EMT and metastasis in HCC *in vivo* remains undefined.

Recently, we developed a clinically relevant murine HCC model via stable overexpression of an activated form of AKT (myr-AKT) together with the c-Met protooncogene in mouse hepatocytes via sleeping beauty mediated somatic integration and hydrodynamic injection (27, 28). In the current study, we investigated whether overexpression of SNAIL1 suffices to promote EMT and metastasis in the context of AKT/c-Met-driven hepatocarcinogenesis. We found that concomitant expression of SNAIL1 and AKT/c-Met (AKT/c-Met/SNAIL1) neither resulted in EMT in mouse HCC, nor led to distant metastases. Overexpression of SNAIL1 was able instead to promote CC-like lesion formation in AKT/c-Met mice.

## Materials and Methods

### Constructs and reagents

Plasmids, including pT3-EF1 $\alpha$ , pT3-EF1 $\alpha$ -HA-myr-AKT (mouse), pT3-EF1 $\alpha$ -c-Met (human), pT3-EF1 $\alpha$ -c-Myc (human), pT3-EF1 $\alpha$ -dnRBPJ (human), and pCMV/sleeping beauty (SB) transposase, were used as previously described (15, 19, 28, 29). pT2/C-Luc//PGK-SB13 (cat. #20207) and Flag-SNAI1 (human; cat. #16218) were obtained from Addgene. They were used as the template to generate pT3-EF1 $\alpha$ -Luc (Luc), pT3-EF1 $\alpha$ -Flag-SNAI1 (SNAI1), and pT3-EF1 $\alpha$ -SNAI1-V5 (SNAI1-V5) constructs via the Gateway cloning strategy. All plasmids were purified utilizing the Endotoxin-Free Maxiprep kit for *in vivo* studies (Sigma- Aldrich). SNAI1 with C-terminal HA-tag was also cloned into pLenti-puro vector for *in vitro* studies. EGFP/pLenti-puro was obtained from Addgene (cat. #26431) and used as control. D-Luciferin, potassium salt (cat. #L2916) was obtained from Life Technologies Corporation.

### Hydrodynamic tail-vein injection

FVB/N mice were purchased from The Jackson Laboratory. *Yap<sup>flox/flox</sup>* mice were kindly provided by Dr. Eric Olson of the University of Texas Southwestern Medical Center (Dallas, TX). Six-week-old FVB/N mice were injected with SNAI1, AKT/pT3, AKT/SNAI1, AKT/c-Met/pT3, AKT/c-Met/SNAI1, AKT/c-Met/pT3/Luc, AKT/c-Met/SNAI1/Luc, AKT/c-Met/SNAI1/pT3, AKT/c-Met/SNAI1/dnRBPJ, c-Myc/pT3, or c-Myc/SNAI1-V5 construct. Six-week-old *Yap<sup>flox/flox</sup>* mice were injected with AKT/c-Met/SNAI1/pCMV or AKT/c-Met/SNAI1/Cre. The hydrodynamic injection procedure was performed as previously described (27). Detailed plasmid mixture information used in the mouse studies is listed in Supplementary Table S1. Mice were monitored three times a week for liver tumor development and euthanized when they developed large abdominal masses. All animal studies were performed according to protocols approved by the Committee for Animal Research at the University of California San Francisco (San Francisco, CA).

### Human tissue samples

A collection of 73 frozen HCC and corresponding nontumorous surrounding livers was used. Tumors were divided in HCC with shorter/poorer (HCCP;  $n = 32$ ) and longer/better (HCCB;  $n = 41$ ) survival, characterized by <3 and 3 years' survival following partial liver resection, respectively. The clinicopathologic features of liver cancer patients are summarized in Supplementary Table S2. HCC specimens were collected at the Medical Universities of Greifswald (Greifswald, Germany) and Sassari (Sassari, Italy). Institutional Review Board approval was obtained at the local Ethical Committee of the Medical Universities of Greifswald and Sassari. Informed written consent was obtained from all individuals.

Additional information for material and methods can be found in Supplementary File. Primary and secondary antibodies are listed in Supplementary Tables S3 and S4. Primer sequences are listed in Supplementary Table S5.

## Results

### Overexpression of SNAI1 neither accelerates AKT/c-Met–induced liver cancer development nor induces distant metastases in mice

To study the oncogenic potential of SNAI1, we overexpressed SNAI1 in the mouse liver via hydrodynamic transfection. We found that SNAI1 alone is unable to induce liver tumor formation in mice (Supplementary Fig. S1). The resulting liver tissues appeared to be completely normal, undistinguishable from uninjected liver from the wild-type mice (Supplementary Fig. S1). Thus, SNAI1 presumably contributes to tumor progression rather than to tumor onset in the liver.

Previously, we established a clinically relevant murine HCC model by hydrodynamic overexpression of AKT and c-Met protooncogenes (AKT/c-Met). AKT/c-Met induced well-to-moderately differentiated HCC in mice within 6 to 8 weeks after hydrodynamic injection without metastatic dissemination (28). As SNAI1 is a master regulator of EMT, we hypothesized that overexpression of SNAI1 might induce EMT and promote distant metastatization in the AKT/c-Met–driven HCC model. To test this hypothesis, we hydrodynamically coinjected SNAI1 (with Flag tag) together with myr-AKT (with HA-tag) and c-Met as well as the SB transposase into the mouse liver (AKT/c-Met/SNAI1). Additional mice were coinjected with AKT/c-Met together with pT3-EF1 $\alpha$  (empty vector) as control (AKT/c-Met/pT3; Fig. 1A). Similar to what we have reported previously on the AKT/c-Met HCC model, AKT/c-Met/pT3 injected mice developed lethal burden of liver tumors around 8 weeks after injection. Coexpression of SNAI1 did not significantly accelerate or delay this process, and all AKT/c-Met/SNAI1 mice developed high tumor burden by 8 weeks after injection (Fig. 1B and C). The two cohorts of mice demonstrated similar liver tumor burden as measured by total liver weight or liver/body ratio (Fig. 1D). To search for possible metastatic lesions, we examined additional organs in the mice, including lung, kidney, intestine, and hilar lymph nodes. However, no metastases could be detected in AKT/c-Met/SNAI1 mice, by macroscopic examination or by histologic analysis of the various organs (Supplementary Fig. S2).

To facilitate the monitoring of metastatic lesions *in vivo*, we generated a luciferase containing pT3-EF1 $\alpha$  vector (pT3-EF1 $\alpha$ -Luc). We coinjected AKT/c-Met/pT3 and AKT/c-Met/SNAI1 with pT3-EF1 $\alpha$ -Luc and monitored tumor development in mice using bioluminescence imaging (Supplementary Fig. S3). As expected, high luciferase signal was detected in the mouse liver, but no signal was revealed in any other body region/organ (Supplementary Fig. S3).

In summary, our results indicate that coexpression of SNAI1 with AKT/c-Met is accompanied neither by accelerated HCC development nor by the formation of distant metastases in mice.

### SNAI1 overexpression promotes the formation of CCA-like lesions in the mouse liver

Next, we performed histologic analysis of liver tumor lesions from AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mice. Consistent with our previous report (28), AKT/c-Met/pT3 liver parenchyma was extensively occupied by HCC lesions, consisting of lipid-rich and lipid-

poor nodules, the latter consisting of basophilic cells with high cytologic atypia (Fig. 2A). No cholangiocellular (CC) lesions were detected in AKT/c-Met/pT3 mice, in accordance with our earlier investigation (28). As expected, strong immunostaining for HA-tag for ectopically expressed AKT as well as Ki-67 for rapid tumor cell proliferation was detected in AKT/c-Met/pT3 tumor cells (Fig. 2A). The expression of biliary marker cytokeratin 19 (Ck19) was restricted to bile duct epithelia cells of the nontumor liver tissues (Fig. 2B). In contrast, in addition to some HCC lesions equivalent to those seen in AKT/c-Met/pT3 mice, AKT/c-Met/SNAI1 mouse livers displayed the occurrence of CC-like tumor lesions (Fig. 2A and B). All tumor cells in AKT/c-Met/SNAI1 mice, including HCC and CCA cells, were positive for HA-tag (for AKT), and demonstrated nuclear Flag tag staining (for SNAI1), supporting their origin by the ectopically injected oncogenes (Fig. 2A). When compared with AKT/c-Met/pT3 liver tumors, the expression levels of biliary epithelial cell (BEC) markers, including Sry-box containing gene 9 (Sox9) and Ck19, were significantly increased, whereas the expression of the hepatocyte-specific marker Hnf-4 $\alpha$  was absent in CC-like lesions from AKT/c-Met/SNAI1 mice (Fig. 2B).

Next, we performed detailed analysis to determine whether overexpression of SNAI1 promoted EMT. For this purpose, we performed IHC for the EMT markers E-cadherin and vimentin. All HCC lesions in AKT/c-Met/pT3 were positive for E-cadherin, whereas vimentin staining was limited to fibroblasts scattered within the HCC nodules (Fig. 2C). In AKT/c-Met/SNAI1 liver tissues, strong membrane staining of E-cadherin could also be found in both HCC and CCA lesions. Vimentin staining was, again, limited to the fibroblasts within the tumor nodules. Consistent with the observation that CCA is highly desmoplastic (30), there was a significant increased number of cancer-associated fibroblasts within CC-like lesions in AKT/c-Met/SNAI1 mice. These cells stained positive for vimentin, S100a4, and  $\alpha$ -Sma (Fig. 2C). This observation was validated using Sirius Red Staining (Fig. 2C). Quantification of Ck19-positive areas showed that CC-like lesions occupied ~20% of liver tissues in AKT/c-Met/SNAI1 mice. All the tumor lesions from AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mice displayed similar, high levels of Ki-67 staining (Fig. 2A and D).

To further substantiate this finding, we performed double immunofluorescence on the liver tissues. Specifically, HA-tag and E-cadherin were costained in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 liver tissues. We found that all tumor cells demonstrated positiveness for HA (+) and E-cadherin (+) immunoreactivity in both cohorts of mice (Fig. 3A). Subsequently, we costained E-cadherin and vimentin in the same liver specimens. In both AKT/c-Met/pT3 and AKT/c-Met/SNAI1 liver tissues, E-cadherin and vimentin staining did not overlap (Fig. 3B). Next, we focused on the CC-like lesions in AKT/c-Met/SNAI1 tissues and the costaining of Ck19 with E-cadherin and Ck19 with vimentin was used. Again, we found that Ck19 (+) cells were E-cadherin (+), but vimentin (-) in AKT/c-Met/SNAI1 CC-like tumors (Fig. 3C and D).

Finally, to investigate how SNAI1 promotes CCA formation in the mouse liver, we injected additional mice with AKT/c-Met/pT3 or AKT/c-Met/SNAI1 constructs and harvested the mice 2 weeks later. Histologic evaluation revealed the presence of liver steatosis, which is consistent with overexpression of AKT. Intriguingly, although Ck19(+) cells were only found in the bile ducts at the portal tract in AKT/c-Met/pT3 mouse livers, sporadic Ck19(+)

cells could already be observed in AKT/c-Met/SNAI1 liver parenchyma away from the portal tract. All cells exhibited membranous E-cadherin staining and no fibrosis could be appreciated (Supplementary Fig. S4). These results indicate that SNAI1 most likely promotes the CC-like phenotype via cell-autonomous mechanisms.

In summary, our analysis demonstrates that overexpression of SNAI1 triggers the CC phenotype in AKT/c-Met mouse livers. However, overexpression of SNAI1 is unable to induce EMT *in vivo*.

### Overexpression of SNAI1 induces Yap and Notch activation in mouse liver tumors

To unravel the molecular mechanisms whereby SNAI1 overexpression contributes to hepatocarcinogenesis, we analyzed the expression of major players in hepatocarcinogenesis in normal livers from wild-type mice and in liver tumors from AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mice using Western blot analysis. As expected, AKT and c-Met proteins were highly expressed in the two tumor cohorts when compared with normal liver, whereas Flag tag (part of the SNAI1 construct) could only be detected in AKT/c-Met/SNAI1 liver tumors (Fig. 4A). E-Cadherin expression was expressed in all normal liver and liver tumor tissues, with more pronounced levels occurring in AKT/c-Met/SNAI1 CC-like tumors (Fig. 4A). Expression of vimentin was higher in AKT/c-Met/pT3 liver tumors when compared with normal livers, and the highest levels were observed in AKT/c-Met/SNAI1 liver tumors (Fig. 4A). Increased expression of the BEC marker Sox9 was also detected in AKT/c-Met/SNAI1 liver lesions (Fig. 4A). Thus, the molecular results were all consistent with the histologic findings.

Previous studies from our group indicate that Yap is a major determinant factor for CCA development in the liver, with Notch2 and Jagged1 being the prominent signaling molecules downstream of Yap in CCA development and progression (15, 19). Thus, we examined the protein levels of Yap, Notch2, and Jagged1 in mouse liver tissues. Consistent with the increased CCA phenotype, Yap, Notch2, and Jagged1 protein levels were exclusively upregulated in AKT/c-Met/SNAI1 liver tumor tissues (Fig. 4A). Importantly, an augmented nuclear expression of Yap and Notch2 was detected in AKT/c-Met/SNAI1 liver tissues, implying an increased Yap and Notch activity (Figs. 2B and 4B). In addition, the mRNA levels of Yap target genes *Ctgf* and *Cyr61*, and Notch target genes *Hes1* and *Hey1* were increased, whereas *YAP* mRNA level was not affected, upon *SNAI1* overexpression (Fig. 4C).

Next, the findings were confirmed in HLE and SNU449 HCC cell lines, which were stably transfected with HA-tagged *SNAI1* cDNA (Supplementary Fig. S5). In these cells, forced overexpression of *SNAI1* increased HCC cell migration and invasion without affecting long-term cell growth (Supplementary Fig. S5). At the molecular level, transfection of *SNAI1* triggered activation of the YAP pathway, as indicated by increased nuclear translocation of YAP protein and upregulation of YAP/NOTCH target genes (*CYR61*, *CTGF*, *NOTCH2*, *JAG1*, and *SOX9*), whereas levels of *YAP* mRNA remained unchanged (Supplementary Fig. S6).



Altogether, our data indicate that overexpression of SNAI1 promotes Yap and Notch activation in AKT/c-Met induced liver tumors.

### **Ablation of *Yap* impairs AKT/c-Met/SNAI1 HCC and CCA development in mice**

Subsequently, we investigated the functional importance of Yap in AKT/c-Met/SNAI1-induced tumor using conditional *Yap<sup>flox/flox</sup>* mice. Specifically, we hydrodynamically injected AKT/c-Met/SNAI1 and Cre into *Yap<sup>flox/flox</sup>* mice (AKT/c-Met/SNAI1/Cre). This allows the deletion of Yap in the mouse hepatocytes that overexpress AKT/c-Met/SNAI1. Additional *Yap<sup>flox/flox</sup>* mice injected with AKT/c-Met/SNAI1 and pCMV empty vector (AKT/c-Met/SNAI1/pCMV) were used as controls (Fig. 5A). We found that Cre-dependent ablation of *Yap* strongly inhibited AKT/c-Met/SNAI1-induced liver tumor development in mice. Indeed, although all AKT/c-Met/SNAI1/pCMV *Yap<sup>flox/flox</sup>* mice exhibited high tumor burden, AKT/c-Met/SNAI1/Cre *Yap<sup>flox/flox</sup>* mice showed the absence of macroscopic appreciable tumor nodules, and displayed normal liver weight as well as normal liver/body weight ratio at 8 weeks after injection (Fig. 5B). Histologically, both CCA and HCC lesions could be detected in the liver parenchyma of AKT/c-Met/SNAI1/pCMV *Yap<sup>flox/flox</sup>* mice. In striking contrast, no tumor lesions were identified in AKT/c-Met/SNAI1/Cre mouse livers at this time point (Fig. 5C and D). Over long term, one AKT/c-Met/SNAI1/Cre *Yap<sup>flox/flox</sup>* mouse developed liver tumors (Supplementary Fig. S7). Histologically, HCC lesions were detected, and tumor cells were negative for Yap and Ck19, but positive for the proliferation marker Ki67 (Supplementary Fig. S7).

The importance of YAP as a downstream effector of SNAI1 was further confirmed in HLE and SNU449 cell lines stably transfected with *SNAI1* (Supplementary Fig. S8). Treatment with super-TDU, a peptide blocking YAP-TEAD binding (31), led to decreased proliferation and increased apoptosis in SNAI1-over-expressing cell lines, whereas absent/lower antigrowth and anti-survival effects were detected in EGFP- (control) transfected cell lines (Supplementary Fig. S8). As expected, treatment with super-TDU reduced/abolished the levels of YAP target genes (*CTGF* and *CYR61*) in SNAI1-transfected cells, while not having an appreciable effect on control counterparts (Supplementary Fig. S9).

Overall, the present data indicate that ablation of *Yap* strongly impairs AKT/c-Met/SNAI1-induced HCC and CCA formation *in vivo* as well as tumor growth *in vitro*.

### **Blocking of the Notch cascade suppresses AKT/c-Met/SNAI1-induced CC-like lesion development**

Next, we investigated the role of the canonical Notch cascade in AKT/c-Met/SNAI1-driven liver tumor development. For this purpose, we coexpressed the dominant-negative form of RBP-J (dnRBPJ) (19) with AKT/c-Met/SNAI1 plasmids into the mouse liver (AKT/c-Met/SNAI1/dnRBPJ). As control, AKT/c-Met/SNAI1 plasmids were coinjected with pT3 empty vector (AKT/c-Met/SNAI1/pT3; Fig. 6A). Mice from both cohorts needed to be harvested ~10 weeks after injection (Fig. 6B). Liver weight and liver/body ratio were similar in AKT/c-Met/SNAI1/pT3 and AKT/c-Met/SNAI1/dnRBPJ mice (Fig. 6C). Histologic evaluation revealed both HCC and CC-like lesions in AKT/c-Met/SNAI1/pT3 liver tissues. In striking contrast, only HCCs were found in AKT/c-Met/SNAI1/dnRBPJ mice (Fig. 6D).

This observation was validated via Ck19 immunostaining (Fig. 6D and E). Tumor cells from both cohorts of mice were highly proliferative, with similar proliferation rates (Fig. 6D and E).

The relevance of the NOTCH pathway in SNAI1-mediated oncogenesis was also evaluated in HLE and SNU449 cells (Supplementary Fig. S10). For this purpose, SAHM1, a peptide that prevents NOTCH transcriptional activity (32), was administered to EGFP (control)- and SNAI1-transfected cells. As expected, the highest decrease of proliferation and the most pronounced induction of apoptosis as well as downregulation of the NOTCH target gene *HES1* was induced only in SNAI1-overexpressing cells (Supplementary Fig. S11).

In summary, our study implies that blocking of the canonical Notch signaling specifically abolishes SNAI1-induced CC development *in vivo* and reduces SNAI1-dependent growth *in vitro*.

### Development of CC-like lesions by SNAI1 is oncogene dependent

To determine whether the induction of a CC-like phenotype is universally driven by *SNAI1* overexpression in liver cancer, we tested this possibility in additional mouse models driven by other oncogenes. First, we used a well-established *c-Myc* mouse model, prone to develop HCC following overexpression of the *c-Myc* oncogene by hydrodynamic transfection (29). In this model, we found that concomitant overexpression of *c-Myc* and *SNAI1* neither accelerated HCC growth, nor induced CC formation (Supplementary Fig. S12 and S13). Subsequently, as AKT/mTOR signaling has been implicated in CCA development (15), we investigated whether activation of AKT may prime the hepatocytes for SNAI1-induced CC-like phenotype development. Thus, we coexpressed *myr-AKT* with *SNAI1* in the mouse liver. Although AKT overexpression alone only induced hepatic steatosis by 16 weeks after injection, *AKT/SNAI1* coexpression triggered the formation of CC-like lesions (Supplementary Fig. S14), recapitulating the effects induced by SNAI1 in AKT/*c-Met* mice.

Overall, the present findings suggest that the potential of SNAI1 to induce the CC phenotype in HCC depends on the oncogenes driving the carcinogenic process.

### SNAI1 positively correlates with markers of BECs and Yap targets in human HCC samples

Our investigation implies that overexpression of SNAI1 promotes CC-like phenotype in the mouse liver via activation of the YAP and Notch cascades. To determine whether the same phenotype applies to human HCC, we analyzed *SNAI1* gene expression in relationship with EMT and CCA markers in human samples using The Cancer Genome Atlas (TCGA) data set (33). We found that *SNAI1* expression positively correlates with CC markers, including *SOX9*, *CK19*, and *EPCAM* in the data set (Fig. 7A). No correlation between *SNAI1* with *YAP* mRNA levels was observed. Nonetheless, *SNAI1* expression positively correlated with the levels of YAP target genes (*CTGF* and *CYR61*) as well as Notch pathway members (*NOTCH2* and *JAG1*) in the same human HCC samples (Fig. 7B). As concerns the EMT markers, *SNAI1* mRNA positively correlated with the expression of mesenchymal markers, including *VIMENTIN*,  $\alpha$ -*SMA*, and *S100A4*. However, surprisingly, a statistically significant positive correlation between *SNAI1* and *E-CADHERIN* (*CDH1*) expression was found (Fig. 7C and D). There was also a weak positive correlation between *SNAI1* and the

epithelial marker *ZO-1 (TJPI)*, whereas no correlation was found between *SNAIL* and *KRT18*, *OCCLUDIN* or *CLAUDIN*.

Next, we analyzed *SNAIL* expression and its correlation with mutations commonly observed in human HCC samples from the TCGA data set (Fig. 7E; Supplementary Fig. S15). Intriguingly, we discovered that *CTNNB1* and *AXIN1* mutations were enriched in HCC samples with low *SNAIL* expression. It has been reported that human HCCs with *CTNNB1* mutations are well differentiated (34), with low genomic instability (35) and better prognosis (36). In contrast, the CC-like gene-expression signature has been linked to an aggressive HCC phenotype and poor prognosis (11). Therefore, the present data indicate that *CTNNB1/AXIN1*-mutant tumors and those with *SNAIL*-elevated expression and a CC-like phenotype represent two distinct subclasses of human HCC.

Finally, we validated some of the data extracted from the TCGA data set in our HCC collection ( $n = 73$ ). We found that mRNA levels of *SNAIL* were significantly higher in HCC when compared with nontumorous surrounding livers, with the most pronounced upregulation of *SNAIL* occurring in human HCC with poorer prognosis (HCCP; Supplementary Fig. S16). When determining the relation between *SNAIL* and patients' clinicopathologic data, we found that higher expression of the *SNAIL* gene correlates with lower HCC survival rate (Supplementary Fig. S16). This association remained strongly significant after multivariate Cox regression analysis ( $P < 0.0001$ ; Supplementary Information). Thus, *SNAIL* mRNA expression is an independent prognostic factor for HCC. No relationship between the mRNA levels of *SNAIL* and other clinicopathologic features of the patients, including age, gender, etiology, presence of cirrhosis, tumor size, and tumor grade, was detected (Supplementary Information). Similar to the TCGA data set, a significant, direct correlation was detected between expression levels of *SNAIL* and those of CC markers *SOX9*, *CK19*, and *EPCAM*. In addition, *SNAIL* expression correlated with that of YAP (*CYR61*, *CTGF*) and Notch (*JAG1*) targets, whereas no correlation was observed with YAP levels. We also found a positive correlation between *SNAIL* and *VIMENTIN (VIM)*, *E-CADHERIN (CDH1)*, and *ZO-1 (TJPI)* levels, thus closely recapitulating the TCGA findings (Supplementary Fig. S17; Supplementary Information).

It is important to underline that, because mesenchymal markers could be detected in both EMT cells and cancer-associated fibroblasts, one cannot determine whether the correlation between *SNAIL* expression and these mesenchymal markers is due to EMT or increased numbers of cancer-associated fibroblasts. In contrast, epithelial makers such as *E-CADHERIN* and *ZO-1* are expressed only in the tumor cells, and loss of these markers is a more reliable marker indicating EMT.

Altogether, the present findings indicate that *SNAIL* levels correlate with a CC-like gene expression, but not an EMT signature, in human HCC.

## Discussion

Previous evidence supports the role of *SNAIL* as a master regulator of EMT during tumor progression, an event that leads to increased tumor metastatization (21, 37). However, most

of the studies implying SNAIL in the promotion of EMT were conducted *in vitro* using cell lines. More recent investigations using genetically engineered mouse models, including transgenic mice and conditional knockout mice, have challenged this prevailing hypothesis (38). Indeed, *in vivo* studies have shown that SNAIL and other EMT inducing transcription factors have other major roles independent of EMT, including the regulation of cell-fate specification and transition, stem cell plasticity, and resistance to therapies (38).

SNAIL has been implicated in EMT and metastasis in liver cancer, including both HCC and CCA (26, 39). However, the precise mechanisms played by SNAIL in these processes have not been well characterized *in vivo*. In the current article, we tested the hypothesis that overexpression of SNAIL promotes EMT and distant metastases of HCC in mice. Unexpectedly, we found that concomitant expression of SNAIL with AKT/c-Met oncogenes neither accelerated liver tumor development nor promoted tumor metastases. In accordance with our data, some studies suggested that SNAIL and related EMT may not be required for metastasis (40, 41). However, it is important to underline that liver tumors developed rapidly in AKT/c-Met/SNAIL mice, and all mice were required to be euthanized by 8 weeks after injection due to high liver tumor burden based on the IACUC protocol. Thus, we cannot exclude that tumor cells are eventually able to metastasize if additional survival time would be available for the mice. Additional studies using slow-growing liver tumor models are required to further clarify this important issue.

A major discovery of our study was the finding that overexpression of SNAIL promotes the development of a CC-like phenotype in AKT/c-Met mouse lesions. The association between SNAIL and CC markers in human HCC samples was further validated using the TCGA data set and our HCC sample collection. In accordance with our findings, it has recently been reported that HCCs with elevated CK19 levels also exhibit high SNAIL expression (42). In addition, it has been shown that overexpression of SNAIL cooperates with activated TAZ to induce liver tumors with mixed HCC and CC features in mice (43). Thus, these previous results provide independent validation of our observations. However, it is important to underline that the induction of a CC-like phenotype in the liver might be context/oncogene dependent. Indeed, we found in this study that SNAIL overexpression drives CC conversion in AKT mouse lesions but not in c-Myc mice.

Mechanistically, we discovered that the increased CC development in AKT/c-Met/SNAIL mice was accompanied by an increased activation of Yap and Notch in the AKT/c-Met/SNAIL mouse liver tumor tissues. Using genetic approaches, we demonstrated that Yap is required for AKT/c-Met/SNAIL-induced HCC and CC development, whereas Notch cascade is specifically required for CC formation *in vivo*. These observations are consistent with the reports that Notch is a major target of Yap, and Yap is likely to function via Notch and additional signaling pathways (13, 14, 18). Therefore, ablation of *Yap* is likely to have more profound effects in liver tumor development than only blocking the Notch cascade.

As SNAIL is a transcription factor, it could be envisaged that SNAIL may directly induce *YAP* gene expression. However, our data indicate that overexpression of SNAIL does not lead to increased *Yap* mRNA expression in AKT/c-Met/SNAIL liver tumor tissues, but rather to nuclear accumulation of the YAP protein and increased expression of its downstream

effectors. Using human HCC samples, we confirmed a strong positive correlation between *SNAIL* mRNA levels with YAP downstream targets but not *YAP* mRNA. Consistently, overexpression of *SNAIL* in human HCC cells promoted YAP activation and higher mRNA levels of YAP target genes, without changes in *YAP* mRNA expression. Although the precise mechanisms whereby *SNAIL* modulates YAP activity require further investigation, the present data indicate that *SNAIL* regulates YAP at posttranscriptional level. Furthermore, our present *in vitro* findings suggest the inhibition of YAP and/or Notch pathways as a potentially effective strategy in human HCC exhibiting elevated *SNAIL* levels.

A second major discovery of our study is that overexpression of *SNAIL* failed to promote EMT in the context of AKT/c-Met-driven hepatocarcinogenesis. Consistently, in the c-Myc HCC mouse model, overexpression of *SNAIL* did not lead to EMT either. This was demonstrated by the fact that tumor cells showed an intact E-cadherin membranous staining, and vimentin expression was found to be restricted to fibroblasts surrounding the tumor cells. Importantly, in the human HCC specimens, we discovered that *SNAIL* mRNA levels paradoxically display a positive correlation with epithelial markers, such as *E-CADHERIN* and *ZO-1*, mRNA expression. It is worth to mention that in cell culture systems, overexpression of *SNAIL* suppresses E-CADHERIN expression and induces VIMENTIN upregulation. Noticeably, we were able to accurately reproduce these molecular changes in two human HCC cell lines (Supplementary Fig. S6). Therefore, our data suggest that *SNAIL* is capable of inducing EMT *in vitro*, but it might have limited potential in driving EMT *in vivo*, especially alone. The present results further underlie the notion that critical differences exist between *in vivo* and *in vitro* growing cells. Thus, based on this body of evidence, our study suggests either that *SNAIL* requires other partners to drive EMT or that other transcription factors, such as SLUG, ZEB1, and TWIST, are the pivotal regulators of EMT along hepatocarcinogenesis in humans and mice.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

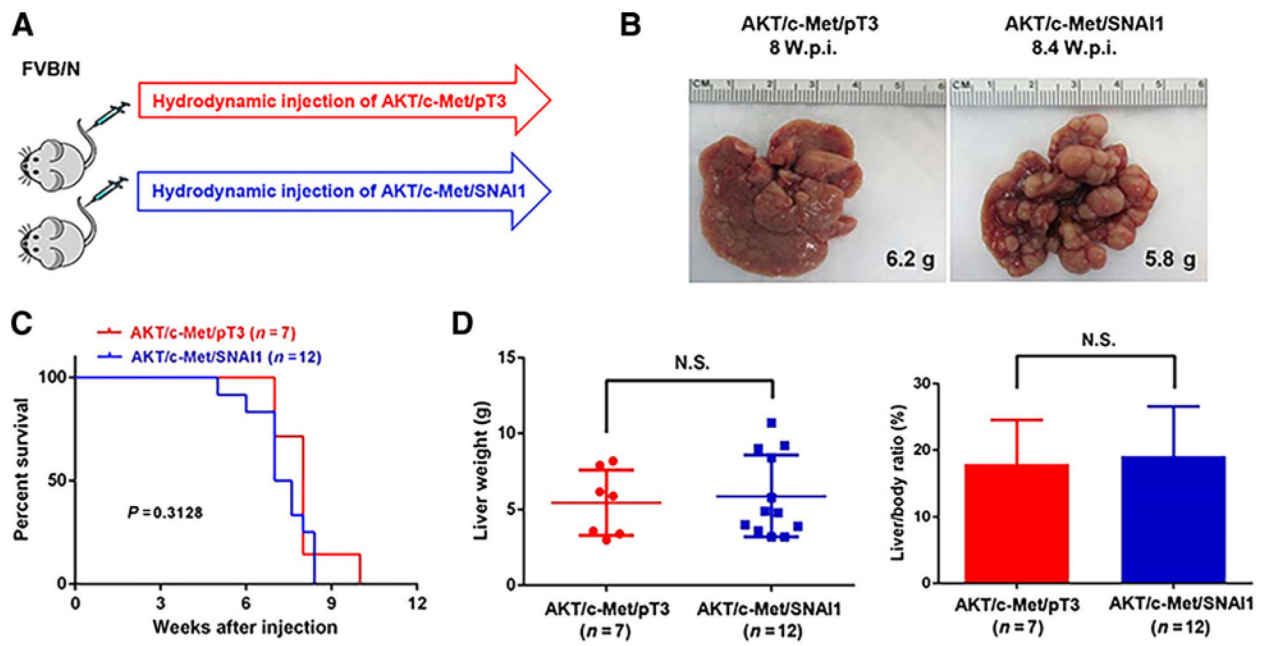
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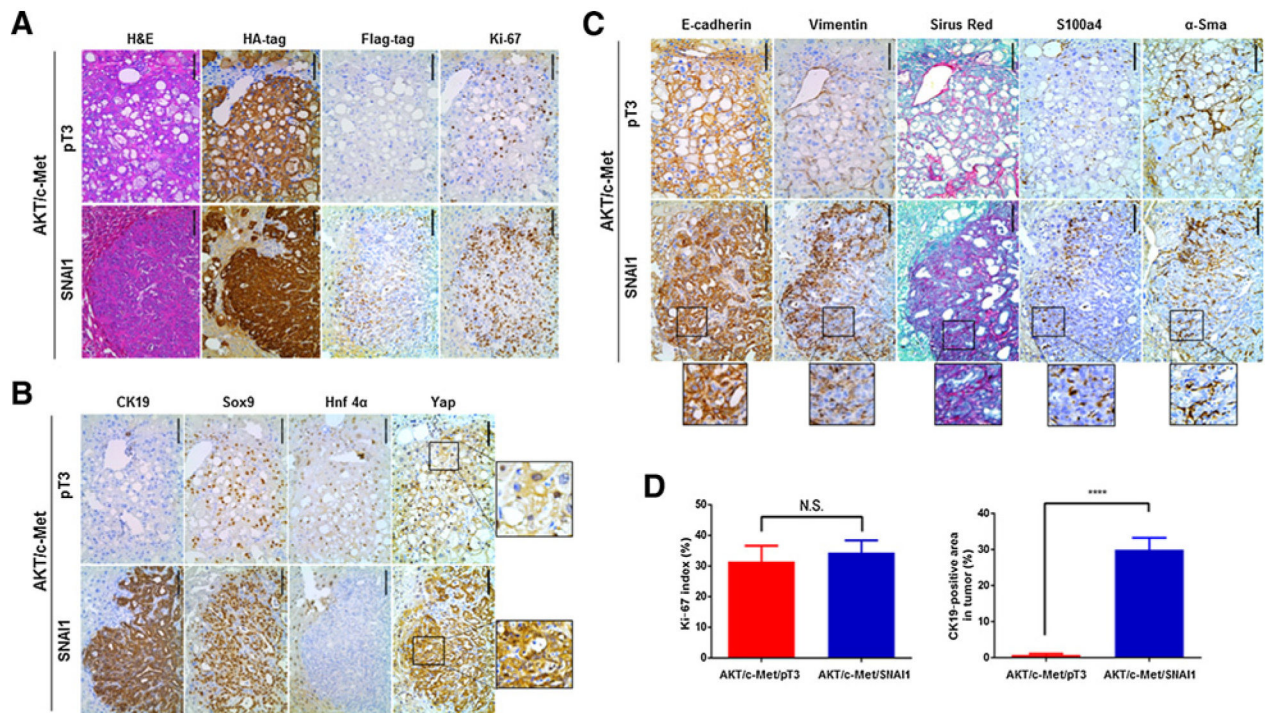
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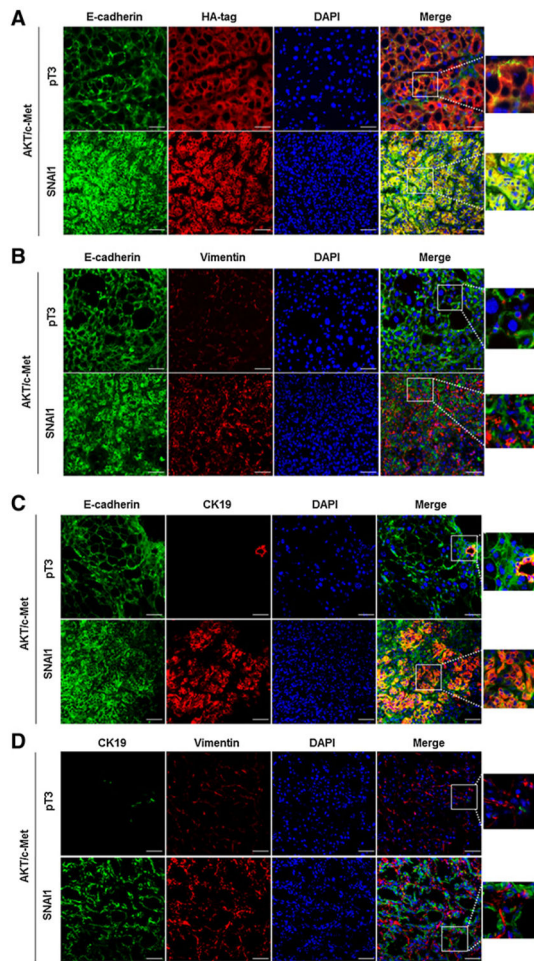


**Figure 1.** SNAI1 neither accelerates nor delays tumor formation in AKT/c-Met-induced HCC in mice. **A**, Study design. **B**, Representative gross images of livers from mice injected with AKT/c-Met/pT3 or AKT/c-Met/SNAI1 plasmids. **C**, Survival curve. **D**, Liver weight and liver/body ratio of the mice. W.p.i., weeks post injection; N.S., not significant.

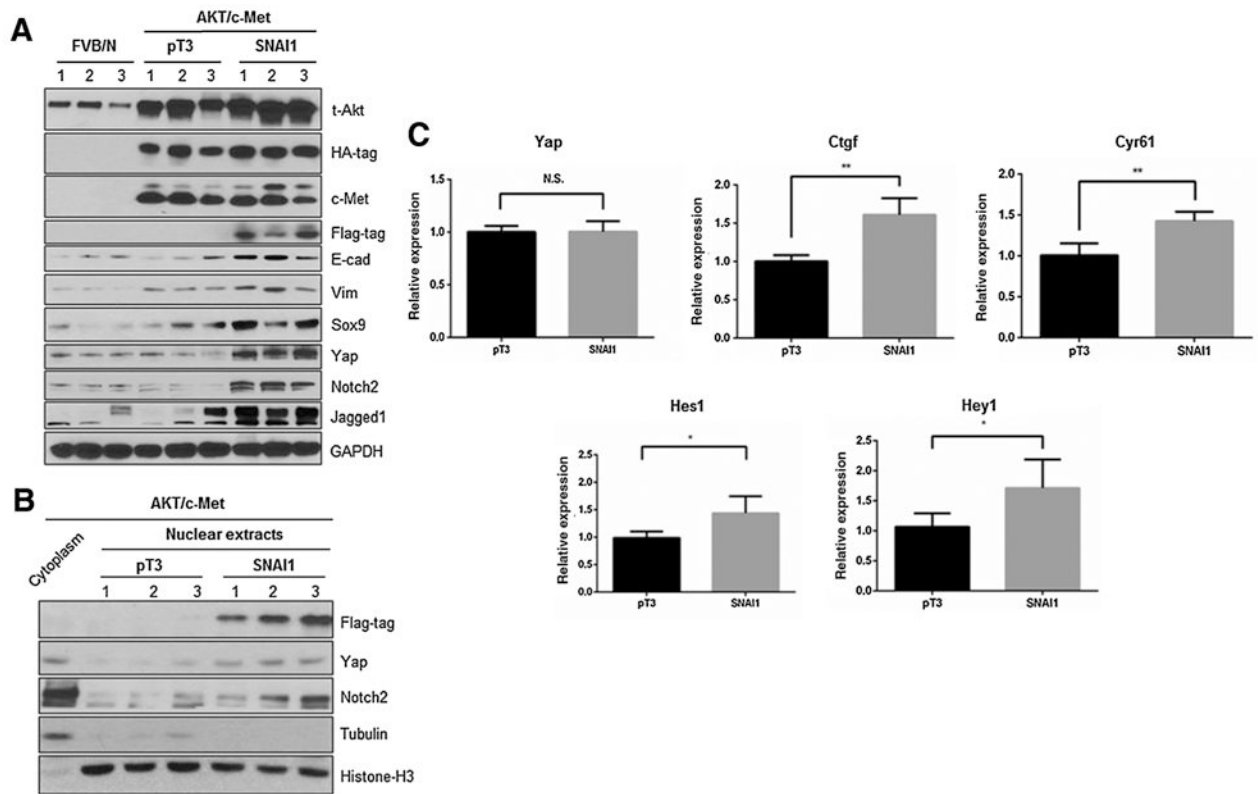




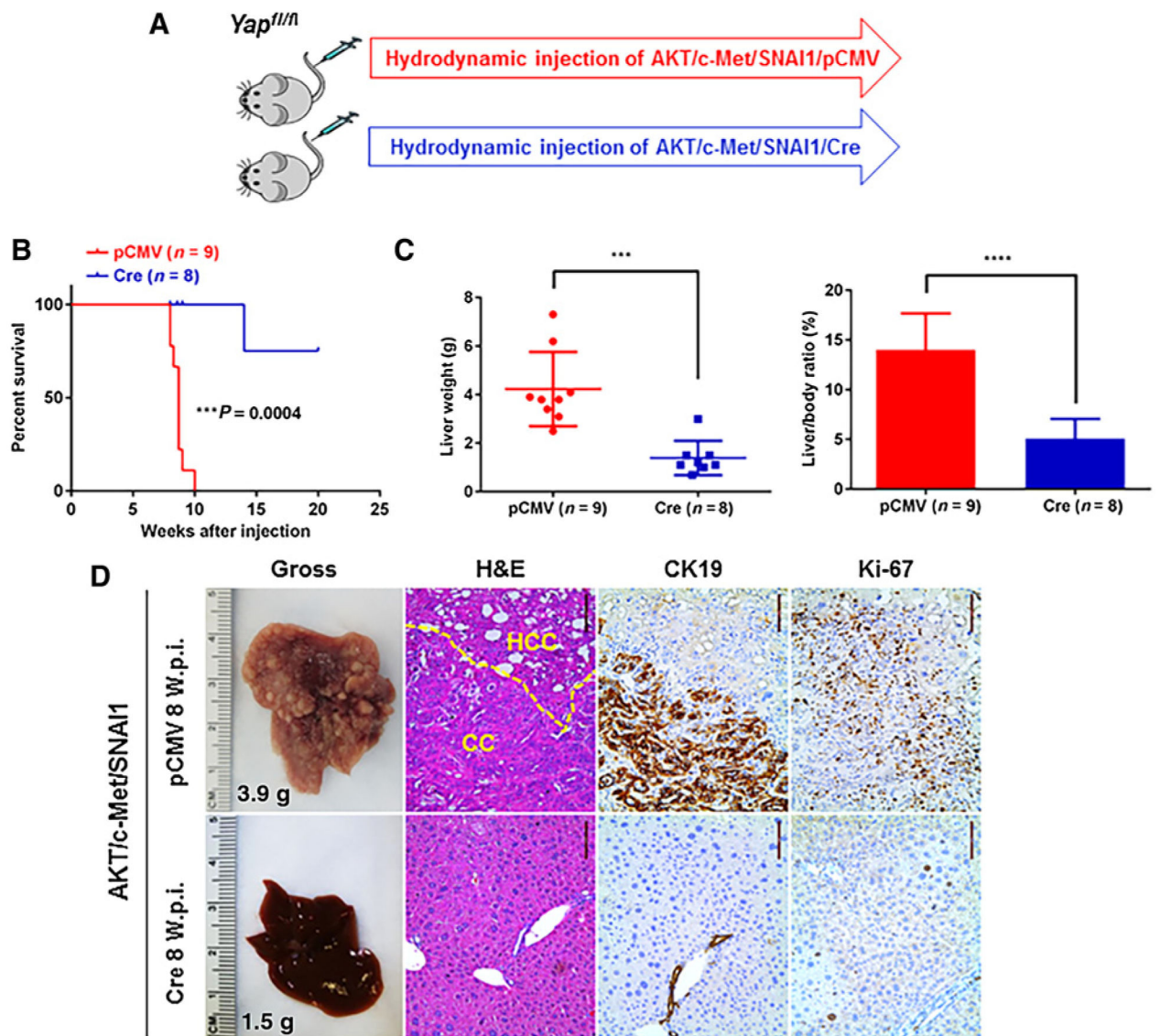
**Figure 2.** Histology analysis of AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse livers. **A**, Representative images of hematoxylin and eosin (H&E), HA-tag, Flag tag, and Ki-67 staining in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **B**, Representative images of CK19, Sox9, Hnf4 $\alpha$ , and Yap staining in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **C**, Representative images of EMT markers, including E-cadherin, vimentin, Sirius Red, S100a4, and  $\alpha$ -Sma staining in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **D**, Percentage of Ki-67-positive cells in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues and percentage of Ck19-positive area fraction in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mice liver tumor. Scale bars, 100  $\mu$ m. N.S., not significant; \*\*\*\*,  $P < 0.0001$ .



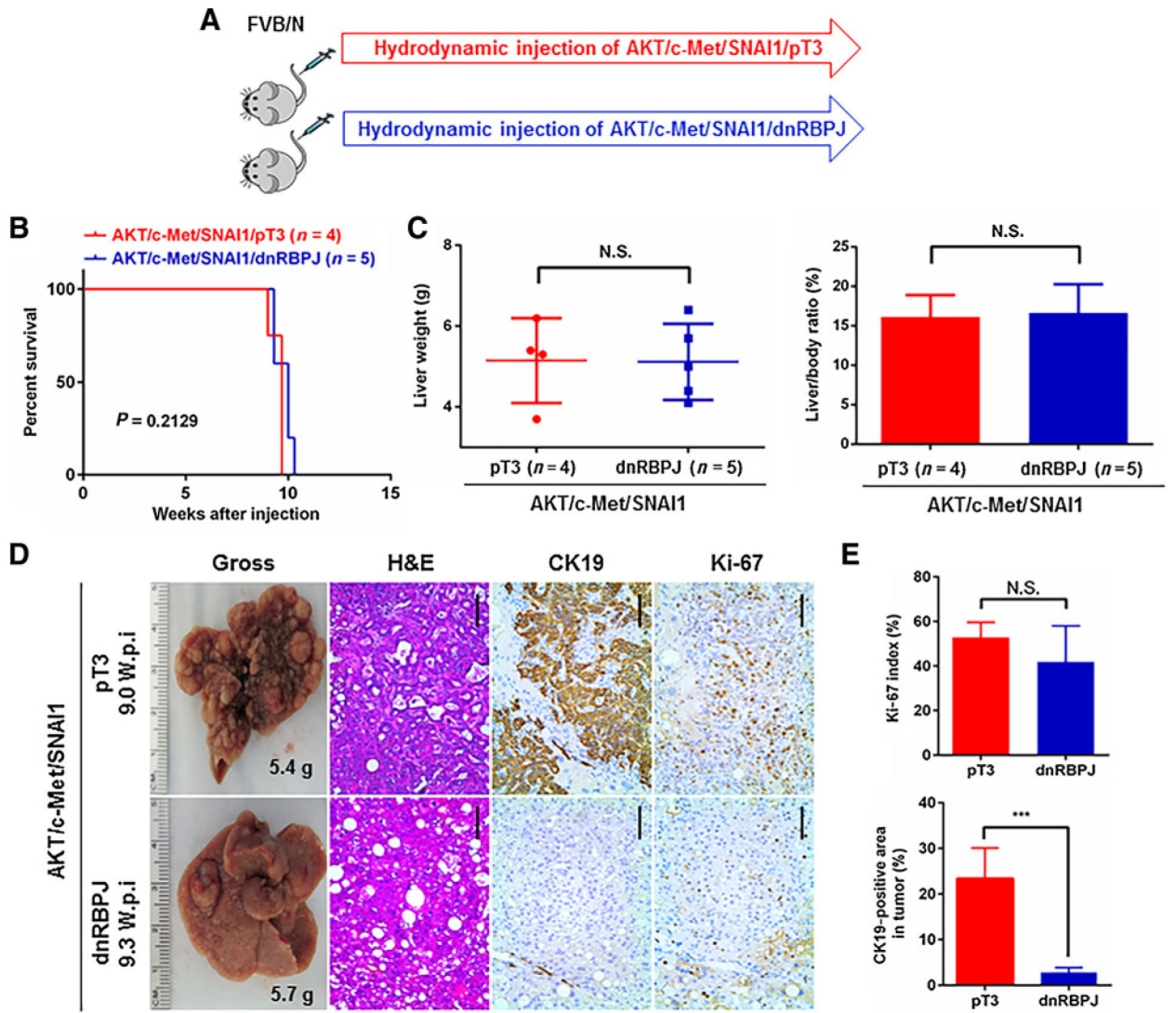
**Figure 3.** Expression of EMT markers, Ck19, and HA-tag levels in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **A**, Representative immunofluorescence staining images of E-cadherin and HA-tag in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **B**, Representative immunofluorescence staining images of E-cadherin and vimentin in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **C**, Representative immunofluorescence staining images of E-cadherin and Ck19 in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **D**, Representative immunofluorescence staining images of vimentin and Ck19 in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. Scale bars, 50 μm.



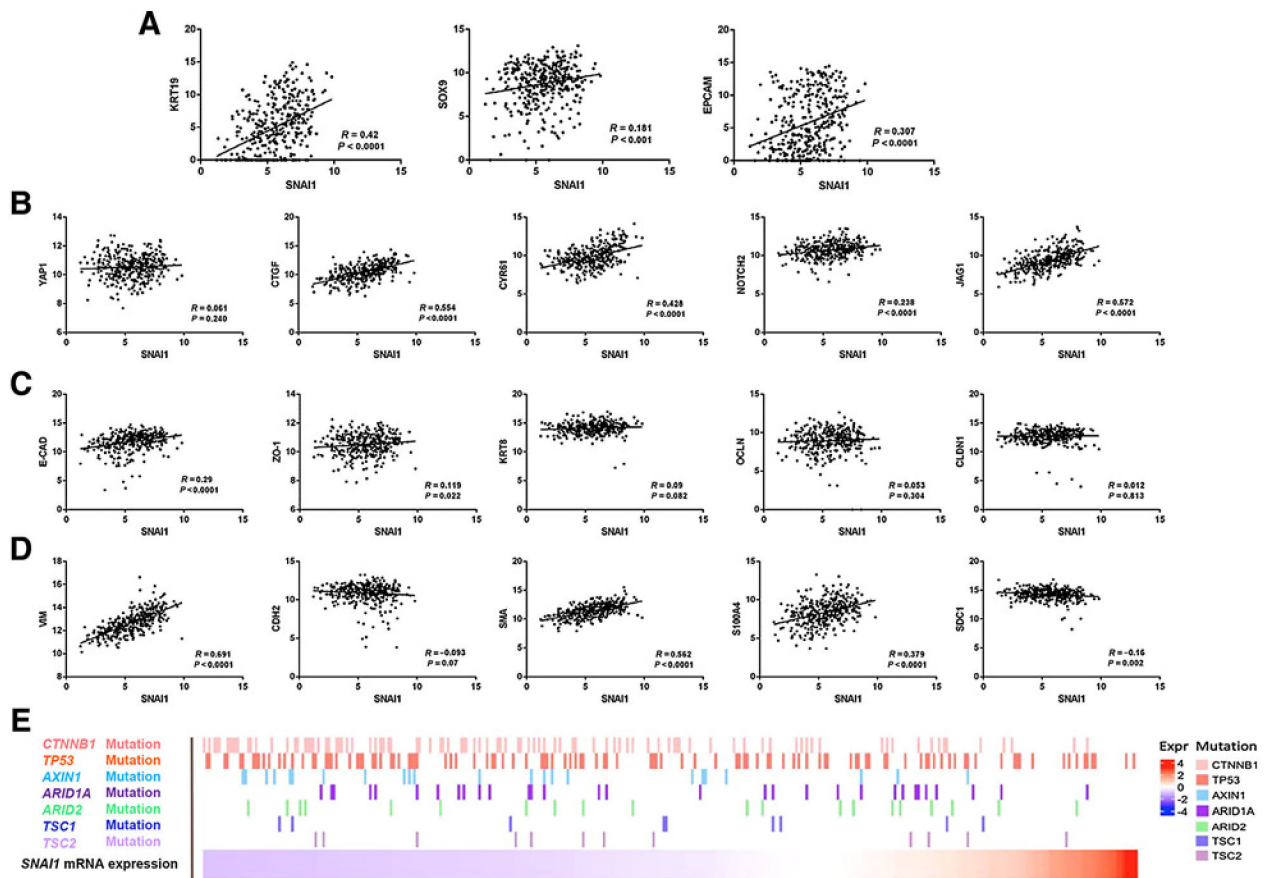
**Figure 4.** Yap signaling activity is increased in AKT/c-Met/SNAI1 mouse liver tissues. **A**, Western blot analysis of relative protein expression in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. **B**, Western blot analysis was performed in nuclear and cytoplasmic protein extracts from FVB/N normal mouse livers and AKT/c-Met/pT3, AKT/c-Met/SNAI1 mouse liver tumor samples. **C**, Detection of Yap and Notch target genes by qRT-PCR in AKT/c-Met/pT3 and AKT/c-Met/SNAI1 mouse liver tissues. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ . N.S., not significant.



**Figure 5.** Yap ablation inhibits AKT/c-Met/SNAI1-induced liver tumor formation. **A**, Study design. **B**, Survival curve. **C**, Liver weight and liver/body ratio of *Yap<sup>flox/flox</sup>* mice injected with AKT/c-Met/pT3 or AKT/c-Met/SNAI1. **D**, Representative gross and hematoxylin and eosin (H&E), CK19, and Ki-67 staining images of livers from *Yap<sup>flox/flox</sup>* mice injected with AKT/c-Met/SNAI1/pCMV or AKT/c-Met/SNAI1/Cre plasmids. Scale bar, 100  $\mu$ m. \*\*\*,  $P < 0.01$ ; \*\*\*\*,  $P < 0.0001$ . W.p.i., weeks post injection.



**Figure 6.** Inhibition of the canonical Notch signaling suppresses AKT/c-Met/SNAI1-induced CC-like phenotype in mice. **A**, Study design. **B**, Survival curve. **C**, Liver weight and liver/body ratio of the mice. **D**, Representative gross images, hematoxylin and eosin (H&E), CK19, and Ki-67 staining images of livers from mice injected with AKT/c-Met/SNAI1/pT3 or AKT/c-Met/SNAI1/dnRBPJ plasmids. Scale bar, 100  $\mu$ m. **E**, Percentage of Ki-67–positive cells in liver tissues and percentage of Ck19-positive area fraction in mice liver tumor injected with AKT/c-Met/SNAI1/pT3 or AKT/c-Met/SNAI1/dnRBPJ plasmids. \*\*\*,  $P < 0.001$ . N.S., not significant; W.p.i., weeks post injection.



**Figure 7.** Analysis on the relationship of *SNAI1* mRNA expression with EMT and CC markers in human HCC samples using TCGA data set. **A**, *SNAI1* expression positively correlates with *CK19*, *SOX9*, and *EPCAM* mRNA levels. **B**, *SNAI1* expression positively correlates with YAP target genes, but not with *YAP* mRNA levels. **C**, Correlation between *SNAI1* and epithelium markers levels. **D**, Correlation between *SNAI1* and mesenchymal markers levels. **E**, Genomic background of human HCC samples using the TCGA data set.