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**Two subpopulations of *Crocospaera watsonii*
have distinct distributions in the North and South Pacific**

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Running title: Natural abundances of two *Crocospaera* sub-types

1 **Abstract**

2 *Crocospaera watsonii* is a unicellular nitrogen (N₂)-fixing cyanobacterium with ecological
3 importance in oligotrophic oceans. In cultivated strains there are two phenotypes of *C. watsonii* (large
4 and small cell) with differences that could differentially impact biogeochemical processes. Recent
5 work has shown the phenotypes diverged through loss or addition of type-specific genes in a fraction
6 of their genomes, while the rest of the genomes were maintained at 99-100% DNA identity. Previous
7 molecular assays for *C. watsonii* abundances targeted the conserved regions and therefore could not
8 differentiate between phenotypes, so their relative distributions in natural communities were
9 unknown. To determine phenotype distributions, this study developed and applied type-specific qPCR
10 assays to samples from the North and South Pacific. Abundances of both *Crocospaera* types declined
11 sharply with depth between 45 and 75 m in both sites. In surface water small cells were 10 to 100
12 times more abundant than large cells in the N. Pacific; while in the S. Pacific the two phenotypes were
13 nearly equal. Evidence for large cell aggregation was only found in N. Pacific samples. The differences
14 in *C. watsonii* sub-populations in the North and South Pacific Ocean have direct implications for
15 biogeochemistry and carbon export in oligotrophic gyres.

16

17 Introduction

18 *Crocospaera watsonii* is a species of unicellular nitrogen (N₂)-fixing cyanobacteria (“UCYN”
19 from here forward) that is important in marine primary production and biogeochemical cycling. This is
20 especially true in oligotrophic waters where nitrogen is often a limiting nutrient, and *C. watsonii* is an
21 important source of biologically available nitrogen (Zehr *et al.*, 2001; Falcon *et al.*, 2004; Montoya *et*
22 *al.*, 2004; Kitajima *et al.*, 2009; Moisander *et al.*, 2010). UCYN are among the most abundant N₂-fixers
23 in oceanic systems and measurements of UCYN abundance by direct microscopy counts and qPCR of
24 the *nifH* gene are important for determining their contributions to the N cycle. Direct counts of UCYN
25 abundances have ranged from 10⁴ to 10⁷ cells per liter in the North Pacific (Zehr *et al.*, 2001; Church *et*
26 *al.*, 2005), and near 10⁵ cells per liter in the Atlantic (Falcon *et al.*, 2004), and qPCR studies have
27 reported between 10³ and 10⁶ gene copies per liter in multiple ocean basins (Zehr *et al.*, 2001; Falcon
28 *et al.*, 2004; Church *et al.*, 2005; Church *et al.*, 2008; Langlois *et al.*, 2008; Moisander *et al.*, 2008;
29 Moisander *et al.*, 2010). However, microscopic and qPCR enumerations of natural populations have
30 treated all *Crocospaera* cells as a single global population because the cells are morphologically
31 similar by microscopy, and because there is a lack of genetic variation in the *nifH* gene.

32 The genetic conservation observed in *Crocospaera nifH* sequences was also observed in
33 sequences encoding 16S rRNA and a number of other genes that were examined in natural populations
34 and cultivated strains (Zehr *et al.*, 2007). Despite their gene sequence conservation, two distinct
35 phenotypic categories have been described in *C. watsonii* isolates. The first (large cell) phenotype has
36 a cell-diameter of 4-6 μm, produces abundant extracellular polysaccharide (EPS), has higher
37 photosynthetic efficiencies (F_v/F_m), and higher per-cell nitrogen fixation rates (Webb *et al.*, 2009; Sohm
38 *et al.*, 2011). The other (small cell) type has a cell diameter less than 4 μm, and does not produce

39 noticeable amounts of EPS. There is also evidence that the small cell types grow in a narrower
40 temperature range, and are missing some phosphorus scavenging genes that are found in the large cell
41 types (Dyhrman and Haley, 2006; Webb *et al.*, 2009). More recently, genome comparisons showed
42 that the large cell types contained a variety of genetic capabilities that were missing from the smaller
43 genomes of the small cell types, such as EPS biosynthesis, iron stress response genes, and phosphorus
44 metabolism genes (Bench *et al.*, 2011; Bench *et al.*, 2013).

45 Because of their genetic and metabolic differences, it is likely that the two phenotypes have
46 different impacts on biogeochemical cycling. For example, EPS is a carbon-rich compound that can
47 protect cells as well as cause aggregation which increases sinking rates (Passow *et al.*, 2001; Pereira *et al.*,
48 2009; Sohm *et al.*, 2011). As such, EPS production could make the large cell types greater
49 contributors to carbon export from surface water than the small cell types. The differences in iron and
50 phosphorus related genes further suggest that the two *C. watsonii* types may be differently adapted to
51 their chemical environment, and therefore may have different niches. However, because previous
52 methods used to measure natural *Crocospaera* abundances were limited to viewing the species as a
53 single population, very little is known about the distribution of the two types in the water column or
54 the global oceans. In light of the ecologically relevant differences between types, it is important to
55 determine their specific distributions in order to better understand the impact of the species on
56 nutrient cycling and marine ecosystems. As a step towards that better understanding, this study was
57 carried out with the following specific goals: 1) use recently identified phenotype-specific genes to
58 develop specific and sensitive molecular assays to differentiate between *Crocospaera* phenotypes and
59 2) apply those assays to water column samples from two regions in the Pacific Ocean in order to
60 characterize differences in vertical and basin-wide distributions of the two *C. watsonii* types in natural
61 populations.

62 Results

63 Recent genomic comparisons of multiple cultivated *Crocospaera watsonii* strains identified
64 gene sequences that were strain-specific and phenotype-specific (Bench *et al.*, 2011; Bench *et al.*,
65 2013). This enabled the design of the qPCR assays described in this study, which target four different
66 genomic loci; two specific for large cell type strains, and two specific for small cell strains (Table 1).
67 The previously used *Crocospaera nifH* (also referred to as “Group B”) primer probe sets (Moisander *et*
68 *al.*, 2010) acted as the positive quantitative control during testing of each qPCR locus using DNA from
69 six *C. watsonii* cultivated strains (see methods). All loci amplified quantitatively in reactions with DNA
70 from strains with the targeted phenotype as expected, and did not amplify with DNA from non-target
71 phenotype strains (Table S1). This was true for all loci, including reaction where DNA from a non-
72 targeted phenotype strain (i.e. not expected to amplify) was ten-fold greater than DNA from the
73 targeted strain.

74 After the qPCR assays were tested against individual strains, they were applied to samples from
75 two research cruises. There was good correlation across the N. Pacific samples between the two gene-
76 specific assays within each phenotype (Fig. S1), and a paired t-test found no difference between the
77 abundances reported by the assays ($p = 0.69$ for small cell assays, and $p = 0.71$ for large cell assays).
78 The sum of the two phenotypes also correlated well with *nifH* abundances (Correlation coefficient =
79 0.9899, Fig. S2).

80 In the N. Pacific water column samples, total *nifH Crocospaera* abundance was often $>10^6$
81 gene copies per liter in samples from 50 m and shallower, and declined by 2 to 3 orders of magnitude
82 between 50 and 100 meters, decreasing to abundances of $\sim 10^3$ gene copies per liter at and below 150
83 m (Fig. 1, upper panel). The small cell type accounted for the vast majority of total *Crocospaera* at

84 depths shallower than 75 m, while the large cell type was more abundant in samples deeper than 100
85 m (Fig. 1). Small cell *Crocospaera* abundances ranged from over 10^6 gene copies per liter at the
86 surface to less than 10^3 copies per liter at and below 125 m (Fig. 1, middle panel). The abundance of
87 the large cell types in the upper water column was 10^4 to 10^5 gene copies per liter, decreasing to $\sim 10^3$
88 copies per liter below 75 m (Fig. 1, lower panel). The ratio of small cell to large cell *Crocospaera*
89 (calculated by dividing the small cell gene copies by large cell gene copies for each sample) was
90 typically between 10 and 1,000 in shallow samples, and between 0.1 and 1 in most samples below 75
91 m (Fig. S3).

92 *Crocospaera* abundances were measured in sediment trap samples to assess possible
93 differences in sinking processes between the two phenotypes. The ratio of the phenotypes in
94 sediment traps was then compared with depth-integrated and spatially averaged values from water
95 column samples collected during the same time (see methods). At 100 m, the average ratio of small
96 cells to large cells was 51.4 in the water column and 42.9 in the sediment trap. At 150 m, the small:
97 large ratio in the water column was 49.4 and the ratio in the sediment trap was 2.1.

98 For all water column samples, phenotype-specific assays were performed separately on the
99 10 μ m and the 0.2 μ m filters that were collected in-line (see methods) and the relative contribution of
100 the 0.2 μ m filter to the total for each sample was calculated. For nearly all samples, close to 100% of
101 small cells were found on the 0.2 μ m filter (Fig. 2, upper panel and Fig. S4). Only two samples (out of
102 60) showed less than 60% of small cells on the 0.2 μ m filter, and in the other 58 samples an average of
103 94% of small cell abundance was found on the 0.2 μ m filter. In contrast, for many samples, especially
104 in the first half of the cruise, less than 40% of the total large cell copies were found on the 0.2 μ m filter
105 (Fig. 2, lower panel and Fig. S5). In other samples (e.g. samples collected from below 75 m depth and

106 surface samples from the last two stations), nearly all large cells were found on the 0.2 μm filter,
107 similar to the small cells.

108 A principal component analysis of environmental variables was used to investigate potential
109 correlations between *Crocospaera* abundances in BioLINCS samples and water column parameters.
110 The first principal component (PC1) explained 48% of the observed variation, and was mainly related to
111 depth, density, temperature, light, ammonia and nitrite. The second principal component (PC2)
112 explained 30% of the variation and was mainly composed of oxygen, salinity, chlorophyll, phosphate
113 and silicate. The two dimensional projection shows clustering of the water samples into three depth-
114 related groups (Fig. 3): surface (orange symbols), chlorophyll maximum and adjacent depths (hereafter
115 referred to as chl max, green symbols), and deeper water (blue symbols). In contrast to the chl max
116 samples, the surface and deep samples showed little variation along PC1, but are well spread along
117 PC2. Abundances of both *Crocospaera* cell types (as well as total *Crocospaera*) showed significant
118 negative correlation with PC1.

119 The three clusters (surface, chl max, and deep) were also tested separately to identify
120 correlations with environmental factors that were independent from depth and abundance (Table S2).
121 In surface water samples, large cell abundances were positively correlated with phosphate and silicate
122 and negatively correlated with salinity. In the chl max samples, both cell types were significantly
123 positively correlated with temperature and PAR, and negatively correlated with salinity, density,
124 chlorophyll, nitrate, and silicate. In addition, large cells had a negative correlation with ammonia. In
125 the deep samples, no significant relationships were found between environmental variables and either
126 cell type.

127 Abundances of the two *Crocospaera* phenotypes were also measured in samples collected at
128 three stations during a research cruise in the South Pacific Ocean in the austral fall of 2007. An in-

129 depth characterization of oceanographic conditions and total diazotroph population distributions are
130 described in Moisander *et al.* (2010). In these samples, abundances of both *Crocospaera* types were
131 approximately 10^5 to 10^6 gene copies per liter near the surface, dropping to between 10^2 to 10^4 in
132 deeper water (Fig. 4, C). The ratio of large cells to small cells was relatively constant throughout the
133 water column, typically between 0.4 and 4 with an average of 1.5 for all samples (Fig. 4, D). Over 80%
134 of the total abundance of small cells was found on the 0.2 μm filter for all S. Pacific samples, with many
135 close to 100% and an overall average of nearly 93% (Table S3). Over 80% of the large cell types were
136 also captured on the 0.2 μm filter in all but three samples, with an average over 89% for all samples.

137

138 **Discussion**

139 Despite known phenotypic differences in cultivated strains, *Crocospaera watsonii* has only
140 been assayed as a single population in the environment because of a lack of known genetic variation
141 and an assumption that it behaves ecologically as a single population. The qPCR assays described in
142 this study are necessary to examine natural populations for differences in the phenotypically distinct
143 groups of *C. watsonii*. Testing of the novel qPCR primers and probes demonstrated that all four qPCR
144 assays were robust with no evidence of cross-reactivity or inhibition from un-targeted *C. watsonii*
145 phenotypes. Strong agreement between the total *Crocospaera nifH* abundances and the sum of the
146 two sub-types (Fig. S2) illustrated that these two types make up the entire natural *Crocospaera*
147 community, at least for the samples in this study. As such, this study presents important details of *C.*
148 *watsonii* distributions in the Pacific Ocean, as well as new and robust tools that can be used to further
149 examine *C. watsonii* populations in other ocean basins and during other seasons and years.

150 In the upper water column N. Pacific samples, small cell *Crocospaera* abundances and
151 distributions were similar to total *nifH* abundances, and the large cell type *Crocospaera* were much
152 less abundant. However, in deeper water, large cell abundances were often much higher than the
153 small cell abundances in the same samples. Intriguingly, while total *Crocospaera* abundances were
154 similar in surface water of the two locations, small cell types did not dominate the *Crocospaera*
155 populations in the S. Pacific. In fact, large cell abundances were on par with small cell abundances
156 indicating that conditions in the surface mixed layer of the S. Pacific favored higher growth rates for
157 the large cell type (Fig. 4). However, at both locations, there was a slight dominance of large cell
158 *Crocospaera* below 75 m. In addition, the dominance of total *Crocospaera* populations by the small
159 cell population (i.e. the high ratio of small: large cells when integrating over the water column) was
160 reduced in sediment trap samples relative to water column values collected in the N. Pacific,
161 particularly at 150 m depth. The dominance of large cells in deeper water and the overrepresentation
162 of large cell *Crocospaera* in the sediment traps relative to the water column could be explained by any
163 or all of the following; 1) faster sinking of the large cell type, 2) slower degradation of large cells during
164 sinking, or 3) preferential grazing of the small cell type. All three mechanisms would be enhanced by
165 EPS production in the large cells, emphasizing the importance of distinguishing the two types of
166 *Crocospaera* in the environment, since the two populations appear capable of playing different
167 ecological roles. A previous study carried out microscopic cell counts to quantify relative abundance
168 of two cell size classes of *Crocospaera* in the western South Pacific (Webb *et al.*, 2009). In that study,
169 the smaller cells were slightly more abundant than the larger cells, but populations were only
170 examined within a narrow depth range near the surface (6-14 m), so deeper water patterns cannot be
171 compared to the qPCR assays results of this study. Evidence of cell aggregation was seen in the large
172 cells (Webb *et al.*, 2009), which supports the possibility of differential export dynamics of the two

173 phenotypes, and is different from the results presented here which did not show evidence of
174 aggregation in the S. Pacific. Future experiments with additional environmental samples will be
175 needed to fully understand the export processes and the conditions that favor the two phenotypes.

176 The principal component analyses carried out on the N. Pacific samples offer some clues about
177 which environmental factors may affect *Crocospaera* abundances. The largest component (PC1) was
178 driven by factors that vary strongly with depth, and resulted in the samples clustering into three
179 groups along the PC1 axis (Fig. 3). The statistically significant negative correlations with PC1 for both
180 *Crocospaera* phenotypes probably illustrate their observed depth-related decline as well as their lack
181 of dependence on bioavailable nitrogen. In addition, the larger spread in PC1 for chl max samples was
182 expected for samples that span the thermocline and contain the associated variability in depth-related
183 factors (Robidart *et al.*, 2014). The second principal component (PC2) was driven by factors that
184 varied more from station to station, (as opposed to depth) and resulted in a spreading of the surface
185 and deep samples along this axis (Fig. 3).

186 The correlation with phosphate and silicate observed (in surface samples) in large cells, and not
187 small cells, suggests the small cell type may be less dependent on phosphate. This is in contrast to
188 genomic evidence that suggests the small cell type is less adapted to low phosphorus conditions (i.e.
189 fewer copies of phosphorus related genes) than the large cell strains (Bench *et al.*, 2013). In chl max
190 samples (Fig. 3, green symbols), both cell types were significantly correlated with salinity. As salinity is
191 a conservative property of seawater, it appears that, within the chlorophyll max, *Crocospaera*
192 distributions may be driven by mixing of disparate water types, rather than biological interactions or
193 nutrient variation. Observing abundance patterns of the two cell types in other marine samples will
194 help strengthen (or refute) these observed correlations, and refine how researchers understand
195 *Crocospaera* ecology.

196 Because all *C. watsonii* isolates have cell diameters that range from 3.5 μm to $\sim 6 \mu\text{m}$ (Webb *et*
197 *al.*, 2009; Sohm *et al.*, 2011), it is expected that the vast majority of naturally occurring *C. watsonii* cells
198 should pass through the 10 μm filter and be captured on the 0.2 μm filter (which were arranged in-line
199 as described in the methods). However, as discussed above, the large cell phenotype is also known to
200 produce copious amount of EPS, and to form multi-cell aggregates as a result (Webb *et al.*, 2009; Sohm
201 *et al.*, 2011). Such aggregates could contribute to retention of the large cell type on the larger pore
202 size filter, as was observed in microscopic examination of the *Crocospaera* community in the western
203 South Pacific (Webb *et al.*, 2009). In this study, the small cell type was found almost exclusively on the
204 0.2 μm filter in all samples in both ocean basins. However, in many of the N. Pacific samples, the
205 majority of large cells were found on 10 μm filters, supporting previous evidence of aggregation in the
206 large cell type.

207 The smaller fraction of large cells captured on 10 μm filters in the deeper N. Pacific samples
208 could be explained by aggregates breaking up as they sink, or by grazing. The release of cells by these
209 processes would result in a larger proportion passing through the 10 μm filter. This explanation would
210 mean that the presence of *C. watsonii* below 75 m is not indicative of those cells being active and
211 dividing (i.e. adapted to that depth), but rather a result of sinking and mixing. On the other hand, the
212 apparent lack of aggregation observed in large cell *Crocospaera* in all S. Pacific samples (rather than
213 just deeper samples) suggests those cells were producing less EPS. Evidence from cultures and
214 genomic data has shown that EPS production is an inherent, rather than inducible, trait in
215 *Crocospaera* (Sohm *et al.*, 2011; Bench *et al.*, 2013). As such, the much lower incidence of capture on
216 the 10 μm in the S. Pacific surface samples suggests that basin may have had a different large cell sub-
217 type than the N. Pacific, where aggregation was more often observed. If that is the case, it will be
218 important to distinguish between the two large cell sub-types because a non-aggregating sub-type

219 would likely have export properties more similar to the small cell types. Additional genetic markers
220 will be needed to design and carry out experiments that could differentiate between the two potential
221 sub-types, and identify the physical and/or chemical conditions that are more favorable to each type.
222 The three processes proposed above to explain the abundance patterns of the two size fractions
223 (aggregate break-down, grazing, and separate sub-types) have different predictions for the metabolic
224 state of the deeper population of cells. As such, it is unclear what contribution those cells may be
225 making N₂ fixation at the deeper depths. Future experiments could assess the biogeochemical
226 contributions of the two sub-types using a variety of methods, including measuring sinking rates,
227 measuring N₂-fixation rates in deep vs. shallow water, and by assessing the viability of the deeper
228 population through physiological fluorescence measurements and/or gene expression levels of the two
229 groups.

230

231 **Conclusions**

232 The qPCR assays developed for this study provide a novel method for quantifying two
233 phenotypes of *Crocospaera* that were previously treated as a single, globally distributed population.
234 Resulting water column distribution patterns demonstrated, for the first time, that each phenotype has
235 a distinct biogeography, consistent with known phenotypic differences and suspected ecological
236 distinctions. Small cells were 10 to 1,000 times more abundant than large cells in the N. Pacific upper
237 water column, but the two types were nearly equally represented throughout the water column in the
238 S. Pacific. Furthermore, the evidence of large cell aggregation observed in the N. Pacific samples was
239 not seen in the S. Pacific large cell populations. These patterns indicate that further sub-types of large
240 cell *Crocospaera* exist, with unique distributions the North and South Pacific, or alternatively,

241 differences in physiology and/or grazing rates between the phenotypes result in differing degrees of
242 aggregation in each basin. The observed patterns indicate that distinct controls determine the
243 distributions of the two *Crocospaera* phenotypes. Because of the ecologically important differences
244 between the two phenotypes demonstrated here, quantifying *C. watsonii* as two distinct groups is
245 necessary for determining the global contribution of this keystone species to the carbon pump and
246 marine biogeochemical cycles.

247

248 Experimental Procedures

249 Design and testing of qPCR assays

250 Previous comparisons of six *Crocospaera watsonii* genomes identified genes unique to each
251 phenotype (Bench *et al.*, 2011; Bench *et al.*, 2013). Two genes were chosen for each phenotype that
252 were found in all genomes of one type, and were absent from all genomes of the other type. A primer-
253 probe set was designed for each gene using Primer 3 (Rozen and Skaletsky, 1999) with a goal of
254 obtaining a T_m of 64°C for primers, and 74°C for probes. All four genes and corresponding primer and
255 probe sequences were used in nucleotide BLAST searches against the CAMERA (Sun *et al.*, 2011) and
256 GenBank NT and WGS (Benson *et al.*, 2003) databases to verify that they did not have significant
257 sequence similarity to other known organisms. The genes used for primer and probe design and the
258 resulting sequences for all loci are listed in Table 1, with the design and testing of the *nifH* locus
259 previously described (Moisander *et al.*, 2010). Dual-label probes were synthesized with FAM
260 fluorescent tags and TAMRA quenchers. Reactions were set up in sterile PCR hoods using UV sterilized
261 optical tubes or plates and contained 1.5 - 2 μ l of template DNA plus 1 μ l of each primer (10 μ M), 0.5
262 μ l of probe (10 μ M), 12.5 μ l TaqMan Gene Expression 2X Master Mix (Life Technologies, Grand Island,
263 NY, USA), and water to a final volume of 25 μ l. Amplification and detection was carried out on an ABI
264 7500 instrument using the following 2-step reaction: initial steps of 50°C for 2 minutes, then 95°C for
265 10 minutes, then 45 cycles of 90°C for 15 seconds, then 60°C for 60 seconds. Each run included 3 or 4
266 no template controls (NTCs) and a set of standards, in triplicate, with known gene copies from 10^0 to
267 10^7 per reaction. Following each run, the threshold cycle (C_t) values for each standard were plotted
268 versus the log of its gene copy number to create a standard curve. The equation for that standard
269 curve was used to calculate the gene copies in each of the sample reactions from the same run.

270 Standards were made from amplified genomic DNA from *C. watsonii* strains of the appropriate
271 phenotype. To avoid amplifying DNA from contaminants in non-axenic cultures, cells were sorted
272 using a flow cytometer prior to whole genome amplification (WGA) with Repli-g (Qiagen, Germantown,
273 MD, USA). The sorting and WGA were carried out as described in the methods used for genome
274 sequencing of *C. watsonii* strains (Bench *et al.*, 2011; Bench *et al.*, 2013). Amplified genomic DNA was
275 quantified using Pico Green (Life Technologies, Grand Island, NY, USA), and genome copies/ μ l were
276 calculated based on the DNA concentration and the genome sizes (Bench *et al.*, 2013). Appropriate
277 dilutions were made to generate a set of standards that contained 10^0 to 10^7 genome copies in 2 μ l
278 (the volume used in each reaction). Multiple sets of the prepared genomic standards were compared
279 in triplicate to *nifH* linearized plasmid standards to verify the DNA quantification, and relative reaction
280 efficiency, and no significant differences were observed between the plasmid and any of the genomic
281 standards.

282 Tests for cross reactivity and inhibition were carried out for all loci using multiple mixtures of
283 DNA from different *C. watsonii* strains. Names and phenotypes of *C. watsonii* strains used are listed in
284 Table S1. Four test mixtures contained genomic DNA from WH8501 and WH0003 strains in the
285 following ratios: 1:3, 3:1, 1:10, and 10:1. Eight additional test mixtures contained WH8501 or WH0003
286 DNA mixed with 3-fold more DNA (final ratio of 1:3) from one of four additional strains (WH8502,
287 WH0401, WH0401, and WH0005). The primer-probe set for each locus was tested for amplification
288 and inhibition in triplicate qPCR reactions with the 12 different mixtures, which ranged over an order in
289 magnitude in target DNA and non-target DNA concentrations, and included samples that contained
290 only target DNA as well as only non-target DNA. Copy numbers from qPCR reactions were consistent
291 with DNA concentrations used in each reaction, and there was no amplification in any of the samples
292 that did not contain target DNA (Table S1). Because there was no observed cross-reactivity or

293 inhibition from non-target strains, all four primer-probe sets were determined to be appropriate for
294 use in environmental samples.

295

296 Sample collection, DNA extraction and qPCR of cruise samples

297 South Pacific samples were collected during the R/V Kilo Moana cruise KM0703 in March and
298 April of 2007. Cruise station locations as well as methods for water sample collection and processing
299 and DNA extraction were described previously (Moisander *et al.*, 2010). North Pacific samples were
300 collected at 8 stations during the BioLINCS cruise in September of 2011 just north of Station Aloha (Fig.
301 5). At each station, water samples were collected from multiple discrete depths (5, 25, 45, 75, 100,
302 125, 150, 175 m) with Niskin bottles mounted on a CTD rosette. Two to three liters of collected water
303 was filtered through two in-line Durapore filters (10 µm pore size, followed by 0.2 µm pore size).
304 Filters were placed in bead beater tubes with sterile glass beads, immediately flash-frozen in liquid
305 nitrogen and subsequently stored at -80°C until DNA was extracted. Sediment trap samples were
306 collected from seven depths (the three used in this study are 100, 150 and 500m) using a drifting
307 sediment trap that was deployed near Station 5 (Fig. 5). The trap drifted northeastward during the
308 BioLINCS cruise, on a track that was approximately 30° northeastward of the ship transit. In order to
309 relate qPCR abundances of ecotypes sampled from the ship's CTD Niskin bottles to samples collected in
310 the drifting sediment traps, samples exclusively from the ship's northeast trajectory were used. Details
311 of the sediment trap deployment, drift track and sample collection are described in Wilson *et al.*
312 (2014). Because the volume of sea water that contributed to the sediment trap samples is not known,
313 *Crocospaera* abundances "per sample" are reported, and ratios of the two phenotypes (rather than
314 actual abundances) are used for comparisons between sediment trap samples and water column data.
315 In addition, water column abundances of each *Crocospaera* type were integrated over equivalent

316 depths to the sediment traps (100 m, or 150 m), and the depth-integrated totals were used to calculate
317 the ratio of small to large cells at each station. The ratios were then averaged over the six stations that
318 approximated the multi-day drift track of the sediment trap in order to approximate the average water
319 column populations above the sediment trap during its deployment.

320 The DNA extraction protocol used for N. Pacific samples is a slight adaptation of the modified
321 DNeasy Plant MiniKit (Qiagen) protocol used to extract the S. Pacific samples (Moisander *et al.*, 2008;
322 Moisander *et al.*, 2010). Filters were thawed and 400 μ l of AP1 buffer (provided in kit) was added to
323 each tube. Samples were subjected to three freeze-thaw cycles of rapid freezing in liquid N₂, followed
324 by rapid thawing in a 65°C heat block. The samples were then bead-beat in Mini-Beadbeater-96
325 (Biospec Inc.) for 2 minutes. Tubes were centrifuged briefly prior to addition of 45 μ l (20 mg/ml) of
326 Proteinase K (Qiagen), vortexed briefly and incubated (with rocking) at 55°C for 1 hour. An RNaseA
327 digestion was then carried out by adding 4 μ l of RNaseA to each sample, vortexing and incubating at
328 65°C for 10 minutes. The filters were removed from the tubes, and 130 μ l of AP2 buffer (provided in
329 kit) was added to each tube followed by a brief vortex and a 10 minute incubation on ice. Tubes were
330 spun for 5 minutes at 14,000 RPM to pellet beads large precipitates, and the supernatant for each
331 sample was transferred to sterile 2 ml locking Sample tubes RB (Qiagen). DNA was extracted from the
332 transferred supernatant using the standard reagents and protocols for “Plant Cell & Tissues” with the
333 “DNeasy Plant Mini” kit in the QIAcube instrument (Qiagen). The final elution volume for each sample
334 was 100 μ l.

335 The qPCR assays of environmental samples used the same reaction contents (except template
336 DNA), genomic DNA standards, and cycling conditions were as described for primer-probe testing
337 above. DNA extracts were diluted 1:5 (N. Pacific samples) or 1:1 (S. Pacific samples) and 1.5 μ l of the
338 dilution was used in triplicate reactions. For the N. Pacific samples, *nifH* reactions contained 2 μ l of

339 undiluted DNA extract in duplicate reactions. For the S. Pacific samples, previously determined *nifH*
340 abundance values (Moisander *et al.*, 2010) were used.

341

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348

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421 **Table and Figure legends**

422

423 Table 1. Primer and probe sequences and gene source for qPCR assays. (All sequences area shown in 5'
424 to 3' direction).

425

426 Figure 1. Abundances for total (top panel) and two phenotypic sub-groups (middle and bottom) of
427 *Crocospaera watsonii* in samples collected during the BioLINCS cruise (station locations shown in Fig.
428 5). Gene copy numbers for all three assays are the sum of both size fractions for each sample, see
429 supplemental material for plots of abundances of each size fraction.

430

431 Figure 2. Fraction of total abundance (i.e. the sum of both size fractions) found on 0.2mm filter for the
432 small-cell phenotype of *Crocospaera watsonii* (upper panel) and for the large-cell phenotype (lower
433 panel) in samples collected during the BioLINCS cruise (station locations shown in Fig. 5, and
434 abundances on each filter are shown in supplemental material (figures S4 and S5).

435 Figure 3. Principal component analysis of BioLINCS (N. Pacific) water samples. Symbols indicate depth
436 where water sample was collected and are clustered into three depth-related groups, with surface
437 samples in orange symbols, chl max in green, and deep samples in blue. Projections of environmental
438 variables (red arrows) and *Crocospaera watsonii* abundances (sum of both filters, purple arrows) are
439 shown in the PC space multiplied by 10 and 5 respectively. PCA analysis did not include the depth as a
440 variable. Total variance covered by the two components is 78% (48% by PC1 and 30% by PC2).

441 Figure 4. Abundances of two *Crocospaera watsonii* phenotypes in North Pacific (A) and South Pacific
442 (C) plotted according to the depth where each water sample was collected. The ratio of the two
443 phenotypes (small:large) is also shown for each of the N. Pacific (B) and the S. Pacific (D) samples.

444 Figure 5. Sample locations and station numbers in the Pacific Ocean. South Pacific samples were
445 collected in 2007, and North Pacific samples (details in inset) were collected in 2011.