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1 **Technical advances to accelerate modular type I polyketide synthase**
2 **engineering towards a retro-biosynthetic platform**

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23

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25 **Abstract**

26 Modular type I polyketide synthases (PKSs) are multifunctional proteins that are comprised of
27 individual domains organized into modules. These modules act together to assemble complex
28 polyketides from acyl-CoA substrates in a linear fashion. This assembly-line enzymology makes
29 engineered PKSs a potential retro-biosynthetic platform to produce fuels, commodity chemicals,
30 speciality chemicals, and pharmaceuticals in various host microorganisms, including bacteria and fungi.
31 However, the realization of this potential is restricted by practical difficulties in strain engineering,
32 protein overexpression, and titer/yield optimization. These challenges are becoming more possible to
33 overcome due to technical advances in PKS design, engineered heterologous hosts, DNA synthesis and
34 assembly, PKS heterologous expression, and analytical methodology. In this review, we highlight these
35 technical advances in PKS engineering and provide practical considerations thereof.

36 **Keywords**

37 polyketide synthase, retro-biosynthetic analysis, protein engineering, heterologous expression,
38 biofuels, bioproducts

39 1. Introduction

40 Retrobiosynthesis, an approach to design *de novo* biosynthetic pathways, has enabled the
41 production of various useful chemicals, including biofuels [1] and drugs [2]. In this approach, design
42 starts from the target molecule and proceeds backwards to precursors by considering stepwise
43 biochemical reactions. Modular type I polyketide synthases (PKSs) have the potential to be a versatile
44 retro-biosynthetic platform for its collinear and modular biosynthetic logic. Native PKSs catalyze the
45 formation of carbon-carbon bonds in an assembly-line manner to synthesize the scaffolds of complex
46 natural products, such as macrolides (*e.g.* 6-deoxyerythronolide B) [3], polyenes (*e.g.* α -lipomycin) [4],
47 and polyethers (*e.g.* salinomycin) [5]. In this biosynthetic process, each module of a PKS catalyzes a
48 two-carbon elongation followed by potential reductions. During elongation, the acyltransferase (AT)
49 domain loads a specific malonyl-CoA analog onto the phosphopantetheinyl group of the acyl-carrier
50 protein (ACP) domain. This phosphopantetheinyl group is derived from the essential post modification
51 of PKS via phosphopantetheinyl transferases (PPtases). After loading, the ketosynthase (KS) domain,
52 which is primed with an acyl group (a starter acyl group or an acyl chain formed in the previous module),
53 catalyzes a Claisen condensation to fuse the acyl group with the decarboxylated malonyl unit. After
54 elongation, if present, the ketoreductase (KR) domain stereoselectivity reduces the β -ketone to a
55 hydroxyl group. The dehydratase (DH) domain can then catalyze a dehydration, resulting in the loss of
56 this hydroxyl group to form an α - β double bond. Finally, the enoylreductase (ER) domain can reduce
57 this α - β double bond to form a saturated bond. The oxidation state of the β -carbon depends on the
58 presence of reductive domains in each module. Following rounds of elongation and reduction, the acyl-
59 chain is released by a thioesterase (TE) domain by cyclization to form a macrolactone or hydrolysis to
60 form a linear product. The collinearity of the PKS biosynthetic process results in a diversity of products
61 due to different AT substrate specificities and varying degrees of reductions in each module, and the
62 different module organizations (**Fig. 1**).

63

64 Many fundamental studies used engineered PKSs as a retro-biosynthetic platform by
65 conducting domain modifications, domain swaps, and module rearrangements; these results have been

66 extensively reviewed elsewhere [6–9]. These studies indicated that there is still a large gap between the
67 promise of PKS engineering and reality, especially for constructing novel multi-module PKSs. Hence,
68 compared to complex natural products, commodity chemicals or biofuels bearing simple structures are
69 more realistic targets for PKS engineering [8]. The production of these targets only requires connecting
70 a limited number of PKS modules (usually ≤ 2). However, even for these simple targets, unique
71 challenges emerge when engineering PKSs to produce compounds *in vivo*. Besides the fundamentally
72 mechanistic understanding of PKS biosynthesis, these challenges stem from the practical difficulties in
73 novel PKS design, host selection, large DNA fragment synthesis and assembly, target production, and
74 product detection (**Fig. 1**). In this review, we highlight the state-of-the-art technical advances that deal
75 with these challenges and provide practical considerations for PKS engineering.

76 **2. PKS design**

77 To determine a target molecule for production using PKSs, both economic and technical
78 considerations must be evaluated. First, the candidate should be assessed for cost and energy efficiency.
79 Next, retro-biosynthetic analysis can be used to evaluate the feasibility for the candidate molecule to be
80 made through PKS mechanisms. Once a candidate is established to be a feasible target molecule, an
81 initial biosynthetic pathway may be designed using software tools (**Fig. 2**).

82
83 Deciding on a target molecule depends on economic feasibility, which reduces to evaluating
84 the value of the candidate against the cost of its production. An estimate of the candidate's monetary
85 value can be established based on existing commercial value, if known. Otherwise, the value should be
86 estimated according to the candidate's potential applications or molecules with similar functions [10].
87 To determine production cost, a techno-economic analysis that considers molecular precursors, energy
88 requirements, and production time may be performed [11]. Finally, it is important to consider if the
89 target molecule can be produced in a more practical or economically favorable means through other
90 biosynthetic pathways. While PKSs have recently been engineered to produce short-chain ketones,
91 potential gasoline blending agents, from plant biomass at titers of 1 g/L [12], fatty acid and isoprenoid

92 synthesis are currently more established production pathways with higher yields [13]. This holistic
93 economic analysis of the target molecule can guide the extent of engineering efforts required for
94 production.

95
96 Once established as economically feasible, a retro-biosynthetic analysis can determine a
97 possible pathway for attaining the compound. In this process, the candidate should be deconstructed by
98 carbon-carbon bond breaking according to PKS biosynthetic logic. Engineered PKSs modular nature
99 can be leveraged to programmatically produce non-natural compounds. As such, extensive research has
100 been performed to understand the rules concerning domain swapping to control chain extender unit
101 selection at the acyltransferase (AT) domain and the degree of β -carbonyl reduction by the
102 ketoreductase (KR), dehydratase (DH), and enoyl reductase (ER) [14–16]. For example, the swapping
103 of non-native ATs has been successfully achieved to switch from methyl-malonyl to malonyl extension
104 [17], and research has been conducted to discover AT's that accept extender units with exotic sidechains
105 (phenyl, allyl, etc.) [18, 19]. The principles of domain swapping in PKSs are maturing, and there is a
106 wealth of information to determine viability for novel PKS products [9, 20]. In parallel, considerable
107 progress has been made to engineer PKS domains with more traditional protein engineering methods
108 (*e.g.* mutagenesis) [21]. With the expanding research on the engineering possibilities of PKSs, the range
109 of molecules that are accessible through PKS biosynthetic pathways is continuously growing.

110
111 With a viable target molecule determined, software enables the rapid identification of natural
112 PKS domain candidates to be combined into a suitable catalytic system. Most recently, the free, online
113 software package ClusterCAD [22] has been developed for *in silico* engineering of PKS pathways to
114 achieve synthesis of a specified product. ClusterCAD semi-quantitatively determines the similarity of
115 the target molecule to the native substrates of an annotated database of biosynthetic gene clusters. Users
116 may additionally specify design constraints, such as native substrate size similarity or AT extender
117 selectivity, to narrow the number of possible routes to the product. The software then proposes
118 biosynthetic pathways that combine components from multiple PKSs that meet the design criteria.
119 ClusterCAD is also just one of the many available *in silico* tools that help guide the engineering process.

120 The identification of biosynthetic loci for every known secondary metabolite class [23], the structure-
121 based sequence analysis of PKSs [24], and a crowd-sourced database of microbial PKS and NRPS gene
122 clusters [25] are all accessible from present software tools. Despite such advances in our understanding
123 of PKS engineering, not all design rules for these enzymatic systems have yet been elucidated. As a
124 result, an experimental, combinatorial approach to produce the output PKS systems is often still the
125 most effective method for successful PKS engineering.

126 3. Host selection

127 The growth properties of the host organism are important to take into account when selecting a
128 heterologous host for PKS production. Many natural polyketide producing microbes are not culturable
129 in laboratory conditions [26] or grow very slowly in laboratory conditions as is the case with lichen [27]
130 and thus are not suitable hosts for heterologous expression. Bacteria from the genus *Streptomyces* are
131 common heterologous hosts for PKSs due to their status as prominent PKS producers but they can
132 frequently present issues for industrial scale-up including slow growth and the tendency to form
133 mycelial clumps [28], the latter of which has been shown in some cases to be important in the activation
134 of biosynthetic gene clusters [29]. Despite that, *Streptomyces* continue to be one of the most popular
135 industrial heterologous hosts for polyketide products and major efforts have been put in place to
136 engineer strains that do not form clumps and grow quickly [30]. Another growth property of interest
137 includes the carbon and energy sources used by the heterologous host. Heterologous hosts such as
138 *Pseudomonas putida* which consume a wide variety of lignin compounds as a carbon source [31] or the
139 cyanobacterium *Synechococcus elongatus*, which can use light as an energy source and CO₂ as a carbon
140 source [32] have been adapted for the production of PKSs (**Table 1**).

141
142 The availability of genetic tools for a particular host is of great aid to the task of genetically
143 engineering a host to heterologously produce polyketides. Most common heterologous hosts have well
144 developed methods for transformation, conjugation, or transduction of vectors. Of particular importance
145 for controlling expression levels heterologously is a comprehensive list of compatible promoters and
146 RBSs (**Fig. 3(A)**). These are well known for classic heterologous hosts like *E. coli* [33] and there have
147 been successful efforts to develop promoter-RBS libraries for *Streptomyces* [34]. Ultimately, genomic
148 integration of engineered gene clusters is desired in industrial heterologous host strains as it eliminates
149 the need for selection pressures such as antibiotics and provides a more stable and consistent expression
150 of genes [35]. Tools for genomic integration—such as site-specific integrases, homologous
151 recombination-based integration vectors, and CRISPR-Cas9—are widely available for common hosts
152 like *E. coli* and have also been adapted for *Streptomyces* [36–38]. As these tools continue to be

153 developed for *Streptomyces* and other suitable PKS hosts the ability to heterologously produce PKSs
154 will continue to improve.

155

156 When selecting a host for heterologous expression one must consider the existing metabolic
157 infrastructure to ensure that the host contains all the precursors and tailoring enzymes needed to produce
158 the desired polyketide. Among the most important things to consider is the expression of a compatible
159 PPTase [39] (**Fig. 3(B)**). As mentioned above, PPTases are responsible for activating ACPs by
160 modifying the active site serine with a phosphopantetheinyl moiety. To ensure the heterologous host
161 can phosphopantetheinylate the engineered PKS in question it is important to ensure the host expresses
162 an appropriate PPTase. While many natural type I PKS producers such as *Streptomyces* have native
163 PPTases, many common hosts do not and a PPTase must be heterologously expressed. One of the most
164 common PPTases used in heterologous PKS expression is Sfp from *Bacillus subtilis* because it is
165 capable of phosphopantetheinylating a wide variety of type I PKS and NRPS carrier proteins [40, 41].
166 However, Sfp may not be active on certain PKSs [42], thus it is important to check for
167 phosphopantetheinylation via proteomics methods [43]. Another important prerequisite is the
168 availability of precursors such as extender units and starter acyl-CoAs. The most common extender
169 units used by PKSs are malonyl-CoA and methylmalonyl-CoA [44] although there do exist a variety of
170 rare extender units as seen in the biosynthesis of zwittermycin [45], chlorizidine [46], or unnatural
171 extender units [47] that can be incorporated by engineered PKSs (**Fig. 3(C)**). While all organisms
172 produce malonyl-CoA for fatty acid synthesis the ability to produce methylmalonyl-CoA is absent from
173 many common hosts such as *E. coli* and *S. cerevisiae*. This challenge was overcome in *E. coli* by the
174 development of the K207-3 strain which has both Sfp and a propionyl-CoA carboxylase present to
175 produce intracellular methylmalonyl-CoA [48]. Ultimately a researcher must determine what precursors
176 and tailoring enzymes are necessary for the production of their desired molecule and must take steps to
177 either engineer the heterologous host to produce these precursors or find a heterologous host that
178 naturally produces these precursors and tailoring enzymes.

179

180 The stability and toxicity of the target molecule in the heterologous host must be evaluated. It
181 is possible for the target molecule to be toxic to the host, therefore it is important to do a growth
182 inhibition assay if a sample of the target molecule is available. Additionally, it is possible for the target
183 molecule to be consumed as a carbon source by the host organism. This can be determined by growing
184 the organism on the target molecule as a sole carbon source if possible. Finally, it is possible for the
185 target molecule to be modified or unstable in the cell and this can be detected by incubating the target
186 molecule in cell lysate before extraction and analysis.

187 **4. DNA synthesis and assembly**

188 After the target PKS organization and host are determined during the design stage, the careful
189 consideration of the strategy for DNA synthesis and assembly of PKS genes are needed in the build
190 stage (**Fig. 4**). To expedite the whole engineering process, automation of the steps in the build stage is
191 preferable, although some challenges exist.

192
193 PKSs are typically encoded by a series of genes clustered in the genome of the producing
194 organisms [49]. Thus, the cloning and expression of PKSs can be achieved by acquiring the genomic
195 DNA (gDNA) harboring the entire cluster from a gDNA library construction. In addition to the phage-
196 mediated homologous recombination-based methods [50], transformation-associated recombination
197 (TAR)-based techniques have been developed to capture large biosynthetic gene clusters from
198 environmental DNA or gDNA samples. These techniques utilize the native *in vivo* homologous
199 recombination of budding yeast *Saccharomyces cerevisiae*, which occurs in much higher frequency
200 compared to ligation or non-homologous end joining methods [51]. On the other hand, *in vitro*
201 homology-based methods such as Gibson assembly and sequence- and ligation-independent cloning
202 (SLIC) methods are advantageous over *in vivo* methods in the turnaround time, although the construct
203 size is typically smaller.

204

205 If the PKS genes are heterologously expressed and require DNA refactoring like codon
206 optimization, it is most likely that *de novo* DNA synthesis is needed. Although the trends of price per
207 base for DNA sequencing and column-based oligonucleotide synthesis seem to have reached plateaus
208 in recent years, the cost for gene synthesis continues to decrease [52]. As an alternative to outsourcing
209 DNA synthesis, array-based gene synthesis may be carried in house, followed by error correction and
210 verification [53]. In addition, enzymatic *de novo* synthesis of oligonucleotides with terminal
211 deoxynucleotidyl transferase (TdT)-deoxynucleotide triphosphates (dNTPs) conjugates may serve as a
212 promising basis for enzymatic oligonucleotide synthesizer [54]. Online tools such as JBEI ICE public
213 registry [55] and SynBioHub [56] may facilitate users to search and share the designs of DNA parts.

214

215 Because PKS genes are typically modular, the modules are usually exchanged during PKS
216 engineering in combination with various promoters, terminators, ribosome-binding sites (RBSs), linker
217 domains, and other parts. If DNA cloning is high-fidelity, sequencing the individual parts may be
218 avoided to reduce cost and effort and save time. Different parts could then be assembled by several
219 methods including yeast assembly [57], Gibson assembly [58], and Golden Gate assembly [59]. In the
220 case of PKS gene construction, although yeast assembly takes a few days, it has the advantages of
221 generating large final gene constructs and promoting recombinational joining of unrelated DNA
222 fragments with the aid of “stitching oligonucleotides”, compared with *in vitro* methods [60]. The
223 construction of shuttle vectors that allow amplification, replication, and expression in *S. cerevisiae*, *E.*
224 *coli* and the selected host would greatly facilitate this process, as well as the development of automation
225 method such as high-throughput transformation of *S. cerevisiae* using liquid handling robots [61].

226 **5. Production and analysis**

227

228 The introduction of the assembled PKS genes into the selected host yields the candidate strain,
229 which needs the appropriate conditions to produce the target molecules. After production, analytical
230 methods allow researchers to assess the production of the desired product and/or possible shunt products

231 to evaluate the performance of the engineered PKS. Finally, troubleshooting and optimization can be
232 facilitated by detection of intermediates and high throughput screens.

233

234 A major consideration in the production stage is the selection of a growth medium. A well-
235 selected medium facilitates the strain's growth and PKS biosynthesis [62, 63] and ultimately increases
236 the chance of detecting the final product [64], considering most engineered PKSs result in a decreased
237 production [6, 8]. The media preferences for the PKS production vary from host to host. For common
238 heterologous hosts the media selection can be straightforward since the media for these organisms are
239 widely used and commercially available. Additionally, PKS production in these organisms is clear, as
240 the precursor biosynthetic genes and PPtase gene are usually under the control of strong constitutive
241 promoters [48, 65, 66]. Hence, rich media are usually the first choice for these hosts. Examples include
242 LB medium used to produce lactones [67, 68] and short chain ketones [17] by engineered PKSs in *E.*
243 *coli*, while YPD medium was used to produce lactones by engineered PKSs in *Saccharomyces*
244 *cerevisiae* [65]. However, as most native PKS producers are not model organisms, the media selection
245 could be challenging when these organisms are chosen as hosts. Rich media usually suitable for cell
246 growth may not be suitable for PKS production in these hosts, since these hosts typically produce their
247 native PKSs under nutritional deficiencies [69]. Therefore, for the PKS production in these non-model
248 hosts, production mediums screens are usually required to produce the desired molecules [64, 70]
249 (**Table 1**).

250

251 Once production of the target molecule is confirmed, media optimization is required to
252 maximize the yield and reduce cost. This optimization is crucial for low-value commodity
253 chemicals/biofuels production. In this process, carbon source, nitrogen source, phosphate, and other
254 nutrients are carefully adjusted via a one-factor-at-a-time method or statistical method [71]. In the case
255 of short chain ketone production by an engineered PKS in *S. albus*, when the carbon source was
256 supplemented by the plant-biomass hydrolysates the product titer increased more than four-fold and
257 further titer increases were achieved by feeding specific acyl-CoA precursors [12]. Aside from

258 traditional methods, recently developed machine learning algorithms have great potential to accelerate
259 the media optimization process [72].

260

261 Researchers receive feedback regarding the functionality of their engineered PKS when
262 analytical methods are used to detect reaction products. Gas/liquid chromatography coupled to mass
263 spectrometry (GC/LC-MS)-based methods are usually the first choice for analysis [12, 73, 74] because
264 of their low detection limit for commodity chemicals and biofuels. However, these methods only detect
265 released molecules and leave the PKS biosynthetic pathway a black box. Engineered PKSs frequently
266 fail to produce any free products *in vivo* in the first Design-Build-Test-Learn (DBTL) cycle. Thus, other
267 analytic approaches are needed to debug these failed PKSs for the next DBTL cycle. Transcriptome
268 [75] and proteomics [76] analysis are well developed to detect the expression of PKSs. Ppant ejection
269 methods are designed to detect on-line PKS intermediates [43]; this method was used to optimize an
270 adipic acid producing PKS *in vitro* [74] (**Fig. 5(B)**). Together, these analytical methods can be used
271 effectively to detect the production of target molecules or help troubleshoot malfunctioning modules.

272

273 Aside from these ‘rational’ approaches, high throughput screening/selection is another possible
274 route to create a functional PKS *in vivo*. With well-developed mutagenesis methods, generating a big
275 mutant library is feasible for a specific PKS [77]. The methods used to select or screen for an improved
276 mutant result in the largest bottleneck in a high throughput approach. Screening via GC/LC-MS systems
277 is a possibility [78], however, despite the availability of high throughput GC/LC-MS systems that have
278 reached the speed of several seconds per sample [79], it is still ineffective when the size of mutagenesis
279 library becomes large ($>10^{10}$). High throughput detection could be achieved by tying the production of
280 the target molecule with a detectable signal or with the viability of the hosts [80]. For most compounds
281 lacking bioactivity or a chromophore, biosensors are usually required to perform the connections.
282 Although to our knowledge there are no reported cases of a biosensor being coupled with type I PKS
283 production *in vivo*, some biosensors are reported to detect chemicals that are made or can be possibly
284 made by engineered PKSs, such as diacids [74, 81], lactams [82–84], and pyrones [85, 86]. These
285 compounds are promising targets for high throughput PKS engineering (**Fig. 5(B)**).

286

287 **6. Conclusions**

288

289 Currently, engineered PKSs can produce several products that are not naturally occurring
290 products in microorganisms. These accomplishments benefit from an expanded understanding of type
291 I modular PKS biosynthetic mechanisms, as well as technical advances summarized here. Similar
292 advances will continue to emerge and promote PKS engineering to be a reliable retro-synthetic platform
293 for the production of commodity chemicals and biofuels. We speculate that over the next few decades,
294 an automatic solution to produce new chemicals by engineered PKS will be available. This technology
295 will include an automatic retro-synthesis software, super hosts for PKS production, automatic DNA
296 synthesis and assembly platforms, and high-throughput production and analytical methods. This has
297 begun to be realized since these technologies have been developed in related fields such as PKS derived
298 natural products discovery [80, 87–89]. By harnessing these advances in PKS engineering and synthetic
299 biology technologies, we will be able to engineer PKS to access an extensive chemical space.

300

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308 **Conflict of interest**

309 J.D.K. has financial interests in Amyris, Lygos, Constructive Biology, Demetrix, Napigen, Maple Bio,
310 and Apertor Labs.

311 **Table 1.** Selected cases of engineered PKSs used to produce target chemicals in microbial hosts.

312

Organism	Strain	Media	Product	Highest titer	Reference
<i>Escherichia coli</i>	K207-3	Terrific Broth (TB)	Short-chain ketone	4 mg/L	[17]
	K207-3	Luria-Bertani (LB)	Triketide lactone	23 mg/L	[68]
	BAP1	LB	6-deoxyerythronolide B (6-dEB) analog	1 mg/L	[90]
	K207-3	LB	Long side chain triketide lactone	14.6 mg/L	[91]
<i>Synechococcus elongatus</i>	AMC2302	BG11	Multimethyl-branched fatty acid	N/A ^a	[32]
<i>Streptomyces albus</i>	J1074	Modified 042	Short-chain ketone	1 g/L	[12]
<i>Streptomyces coelicolor</i>	OP	SCFM6-2	Triketide lactone	500 mg/L ^b	[92]
	CH999	R2YE	Triketide lactone	3 mg/L	[93]
<i>Streptomyces lividans</i>	K4.114/ K4.115	R5 or R6	6-deoxyerythronolide B (6-dEB) analog	20 mg/L	[94, 95]

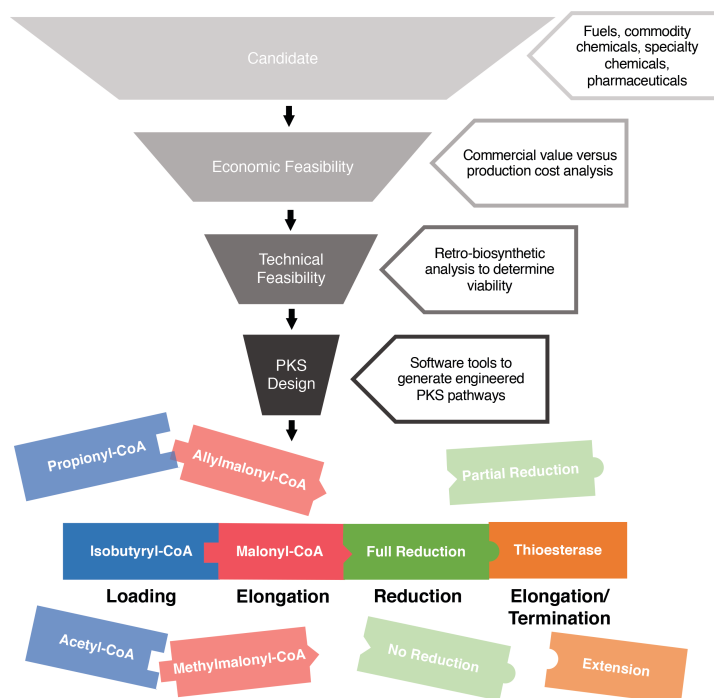
<i>Streptomyces venezuelae</i>	ATCC 10712	042	3-Hydroxycarboxylic acid	13.8 mg/L	[64]
	N/A ^a	SCM	Triketide lactone	N/A ^a	[96]
<i>Saccharomyces cerevisiae</i>	BJ5464	YPD	Triketide lactone	1 mg/L	[65]

313

314 ^a not available

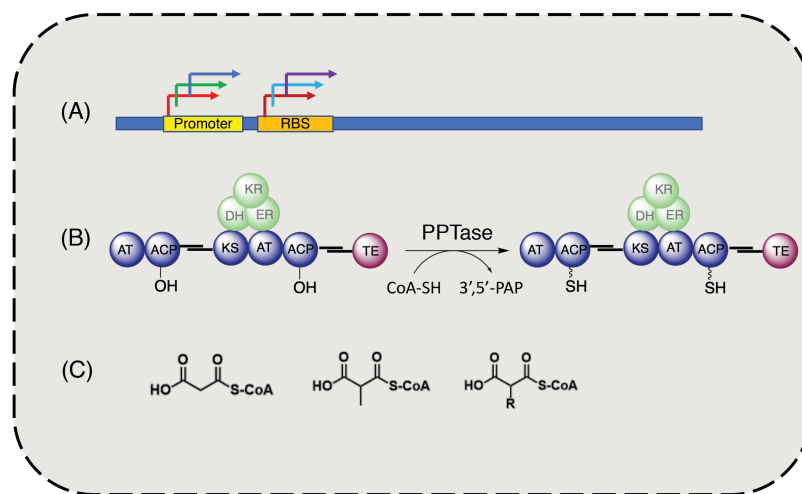
315 ^b with diketide feeding

328 **Fig. 2.** Economic and technical considerations in PKS design



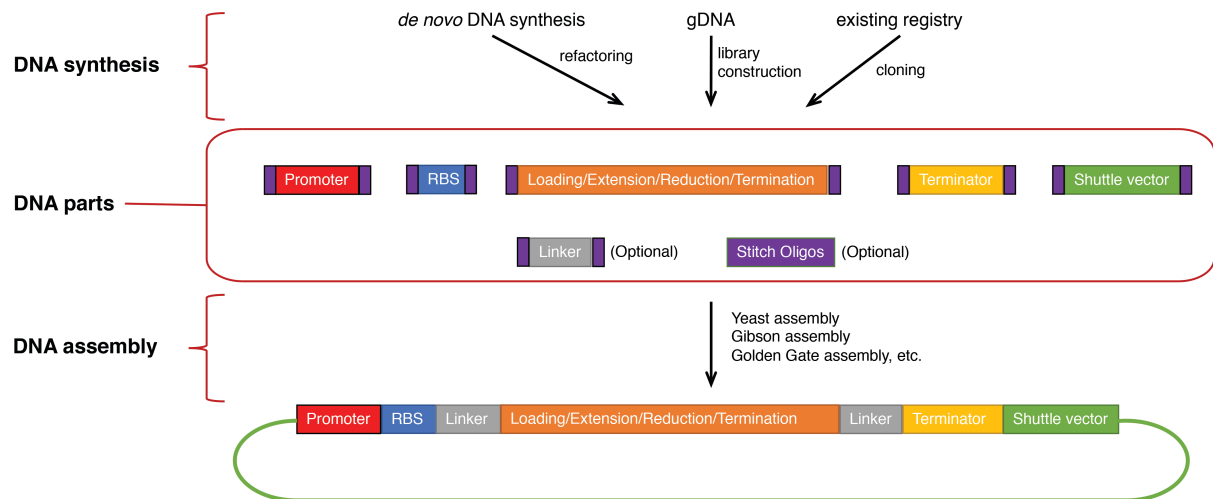
329

330 **Fig. 3.** Heterologous host selection must take into account the genetic tools available for the host as
 331 well as the metabolic precursors produced by the host. (A) Promoter/RBS libraries help control
 332 transcription and translation levels of heterologous proteins. (B) Appropriate PPTases are needed to
 333 adequately phosphopantetheinylate heterologous ACP domains. (C) Malonyl-CoA and methylmalonyl-
 334 CoA serve as the most common extender unit precursors for type I PKSs though rare extender units
 335 exist. RBS - ribosomal binding site, PPTase - phosphopantetheinyl transferase, CoA-SH - coenzyme A,
 336 3',5'-PAP – 3',5'-phosphoadenosine phosphate.



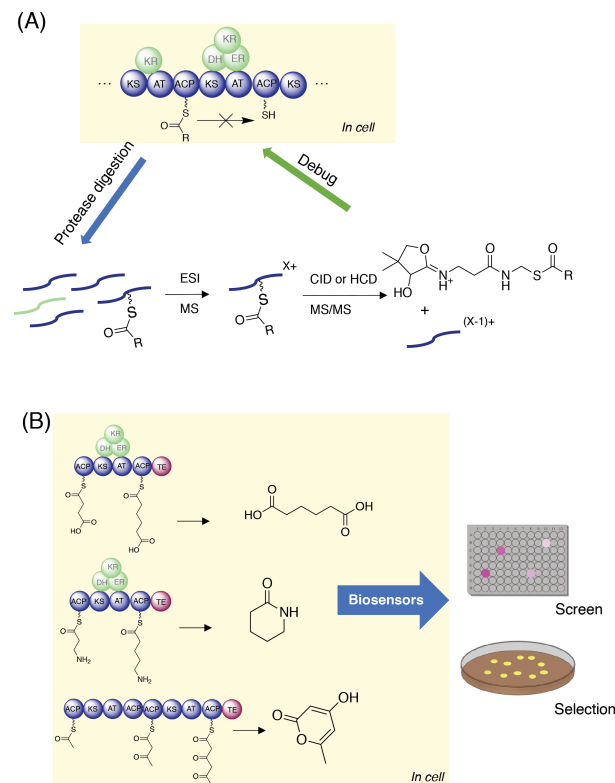
337

338 **Fig. 4.** DNA synthesis and assembly in new PKS construction. Purple square represents corresponding
 339 homology region for yeast assembly and Gibson assembly, or corresponding sticky end for Golden Gate
 340 assembly. gDNA - genomic DNA, RBS - ribosome binding site,
 341 Loading/Extension/Reduction/Termination - different modules of PKS genes, Linker - linker domains.



342

343 **Fig. 5.** Proposed methods to troubleshoot the stalled PKS in the host: A) Ppant ejection, B) high
 344 throughput screen/selection. ESI - electrospray ionization, MS - mass spectrometry, CID - collision-
 345 induced dissociation, HCD - higher-energy collisional dissociation, MS/MS - tandem mass
 346 spectrometry.



347

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