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# **RIPK1 Mediates Axonal Degeneration By Promoting** Inflammation and Necroptosis in ALS

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#### Abstract

Mutations in Optineurin (*Optn*) gene have been implicated in both familial and sporadic amyotrophic lateral sclerosis (ALS). However, the role of this protein in the central nervous system (CNS) and how it may contribute to ALS pathology is unclear. Here, we found that optineurin actively suppressed RIPK1-dependent signaling by regulating its turnover. Loss-of-OPTN led to progressive dysmyelination and axonal degeneration through engagement of necroptotic machinery, including RIPK1, RIPK3 and MLKL, in the CNS. Furthermore, RIPK1/ RIPK3-mediated axonal pathology was commonly observed in SOD1<sup>G93A</sup> transgenic mice and

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Supplementary Materials Materials and Methods Figs. S1–S8. Tables. S1–S4.

pathological samples from human ALS. Thus, RIPK1/RIPK3 plays a critical role in mediating progressive axonal degeneration and inhibiting RIPK1 kinase may provide an axonal protective strategy for the treatment of ALS and other human degenerative diseases characterized by axonal degeneration.

Loss-of-function mutations in the Optineurin (*Optn*) gene have been implicated in both familial and sporadic cases of amyotrophic lateral sclerosis (ALS), a devastating degenerative motor neuron disease (1–3). The *Optn* gene encodes a ubiquitin-binding protein involved in TNFa signaling but is dispensable for NF- $\kappa$ B activation (4, 5). It is still unclear how the loss of function of *Optn* leads to human ALS.

RIPK1 is a critical regulator of cell death and inflammation (6). RIPK1 regulates necroptosis, a form of regulated necrotic cell death, by promoting the sequential activation of two downstream targets, RIPK3 and MLKL (7–9). Application of Nec-1s, a highly specific inhibitor of RIPK1 kinase activity, blocks necroptosis and inflammation in vitro and in vivo (10, 11). However, the pathophysiological significance of RIPK1 and necroptosis in the genetic context of human diseases remains to be established.

Axonal degeneration is frequently observed before the death of neuronal cell bodies in patients with neurodegenerative disorders including ALS and contributes significantly to neurological disability (12). Axonal degeneration induced by direct nerve injury, known as Wallerian degeneration, is mediated through a mechanism distinct from apoptosis of neuronal cell bodies (13, 14). Axonal degeneration in patients with neurodegenerative diseases may also exhibit features similar to Wallerian degeneration and is referred to as "Wallerian-like" degeneration. The mechanism of Wallerian degeneration is still unclear.

To understand the mechanism by which the loss of OPTN could lead to ALS, we developed *Optn*-/- mice (fig. S1A-B). We examined the impact of *Optn* loss in the spinal cord of *Optn* -/- mice. We found that the number and morphology of spinal cord motor neurons in Optn -/- mice were indistinguishable from WT mice (fig. S1C-D). However, we observed a marked reduction in the number of motor axons and abnormal myelination in the ventrolateral spinal cord white matter in the Optn-/- mice from 3 weeks to 2 years (Fig. 1A–D; fig. S1E). The axonal pathology presented as a decompaction of myelin sheaths with a decreased g-ratio, an increased large-diameter axons and a decreased axonal number in the ventrolateral white matter (Fig. 1B-D), suggesting degeneration and swelling of motor neuron axons in Optn-/- mice. This finding is similar to axonal pathology observed in the spinal cords of ALS patients in early stages of the disease (15). The pathology was progressive as a reduction in axonal numbers was observed at 12 weeks or older but not at 3 weeks (fig. S1F). Similar pathology was observed in the ventral roots of motor axons in Optn-/- mice (fig. S1G-J). In addition, denervation of neuromuscular junctions in the tibialis anterior muscle was observed in *Optn*-/- mice (fig. S1K-L). Thus, OPTN deficiency leads to axonal pathology without affecting motor neuron cell bodies. Consistent with this notion, we observed a significant increase in the number of TUNEL+ in the ventrolateral white matter of spinal cords of Optn-/- mice (Fig. 1E). Thus, OPTN deficiency sensitizes cells to non-apoptotic cell death in Optn-/- mice.

To determine the cell types involved in mediating OPTN-deficiency-induced axonal degeneration, we generated lineage-specific deletion of OPTN using *Cnp-cre*, *Lyz2-cre*, *Gfap-cre* and *Mnx1-cre* mice (16–18) (fig. S2). Loss of OPTN from oligodendrocytes and myeloid cells, but not that of astrocytes or motorneurons were sufficient to reproduce axonal myelination pathology (Fig. 1F–I). Furthermore, we induced OPTN loss from microglial lineage by dosing *Optn<sup>F/F</sup>; Cx3cr1<sup>Cre</sup>* mice (19) with tamoxifen for one month (fig S3A) and also found axonal pathology as that in *Optn–/–* mice (fig. S3B–E).

Because necroptosis is an important non-apoptotic cell death *mechanism* (20), we searched our dataset for genes that could sensitize to necroptosis (21). Knockdown of *Optn* sensitized L929 cells to necroptosis induced by TNFa or zVAD.fmk, the latter is known to involve TNFa autocrine (Z-score = -2.07, Table S1; fig. S4A–B) (22). Thus, *Optn* deficiency sensitized to necroptosis (fig. S4C). The biochemical hallmarks of necroptosis, including the upshifts of RIPK1, RIPK3 and p-MLKL, and the levels of complex IIb were significantly higher in *Optn*–/– MEFs than in *Optn*+/+ MEFs (fig. S4D). Importantly, *Optn*–/– oligodendrocytes were sensitized to die by TNFa-induced necroptosis which was protected by Nec-1s and in *Optn*–/–; *Ripk1*<sup>D138N/D138N</sup> and *Optn*–/–; *Ripk3*–/– double mutants (23, 24) (Fig. 2A). Thus, we conclude that OPTN deficiency can promote necroptosis of oligodendrocytes.

The expression levels of RIPK1, RIPK3 and MLKL, the key mediators of necroptosis, were all increased in the spinal cords of *Optn*–/– mice (Fig. 2B). Furthermore, we detected the interaction of OPTN and RIPK1 in WT spinal cords (Fig. 2C). Compared to WT mice, RIPK1 K48 ubiquitination levels were decreased while RIPK1 mRNA unchanged in the spinal cords of *Optn*–/– mice (Fig. 2D–E). Furthermore, RIPK1 was degraded slower in *Optn*–/– MEFs than that in WT cells (Fig. 2F). Thus, OPTN might control sensitivity to necroptosis by regulating proteasomal turnover of RIPK1.

Phosphor-Ser14/15, a marker of RIPK1 activation, was increased in *Optn*-/- microglia relative to WT microglia, which was inhibited by Nec-1s and *Ripk1*<sup>D138N/D138N</sup> mutation (Fig. 2G). Because microglia express little MLKL, we hypothesize that RIPK1 activation in microglia promotes inflammatory signaling, not necroptosis. Consistently, we detected an increased production of multiple proinflammatory cytokines, including IL1a, IL1β, IL2, IL12, IFNγ and TNFa in the spinal cords of *Optn*-/- mice, which were markedly reduced in the *Optn*-/-; *Ripk1*<sup>D138N/D138N</sup> mice (Fig. 2H). In addition, *Optn*-/- microglia had elevated TNFa, which was inhibited by Nec-1s (fig. S5A). Consistently, the levels of TNFa were also increased in the spinal cords of *Optn*<sup>F/F</sup>; *Lyz2-cre* mice (fig. S5B).

To explore the effect of OPTN deficiency on transcriptions, we performed RNA-seq on WT, *Optn*-/-, and *Optn*-/-; *Ripk1*<sup>D138N/D138N</sup> primary microglia. Co-expression analysis (25) identified a module with approximately 1300 genes (ME1) differentially-expressed between WT and *Optn*-/- microglia and suppressed by *Ripk1*<sup>D138N/D138N</sup>. The top 71 genes in this module include the LPS receptor CD14 and CD86, a biomarker for the proinflammatory M1-like state (26) (Fig. 2I; Table S2). Elevated CD14 and CD86 in *Optn*-/- microglia were suppressed by Nec-1s and *Ripk1*<sup>D138N/D138N</sup> (fig. S5C). Thus, OPTN deficiency promotes an M1-like inflammatory microglia.

We analyzed the genes differentially expressed in *Optn*–/– microglia using MSigDB (Molecular Signatures Database) (27) to identify transcription factors whose targets were overrepresented. We found a significant overrepresentation of the predicted Sp1 transcription factor targets in ME1 module (Table S3) with a network (28) of 225 Sp1 targets regulated by RIPK1 (fig. S6A). We found that increased production of TNFa and death of L929 cells can be blocked by knockdown of Sp1 and by Nec-1s (fig. S6B–C). Thus, loss-of-OPTN in the spinal cord may increase RIPK1-dependent inflammation.

We examined the involvement of necroptosis in *Optn*-/- mice in vivo. We found that the increase in TUNEL+ cells and axonal pathology of *Optn*-/- mice were all rescued in the *Optn*-/-; *Ripk1*<sup>D138N/D138N</sup> double mutant and the *Optn*-/-; *Ripk3*-/- double mutant mice, and by Nec-1s (Fig. 3A–E). Behaviorally, *Optn*-/- mice showed no difference in the total locomotor activity while the vertical rearing activity was significantly reduced compared to that of WT mice (Fig. 3F–H). These data suggest that OPTN deficiency leads to hindlimb weakness. Furthermore, the vertical rearing deficit in *Optn*-/- mice was rescued pharmacologically by Nec-1s and genetically in the *Optn*-/-; *Ripk1*<sup>D138N/D138N</sup> mice and *Optn*-/-; *Ripk3*-/- mice. Thus, OPTN deficiency leads to the activation of necroptotic machinery to promote axonal pathology.

To explore the involvement of RIPK1 mediated axonal pathology in ALS in general, we used *SOD1*<sup>G93A</sup> transgenic mice. Early degeneration of oligodendrocytes *SOD1*<sup>G93A</sup> mice was reported but mechanism is unclear (29). We found that the expression of RIPK1, RIPK3 and MLKL in the spinal cords of *SOD1*<sup>G93A</sup> transgenic mice was elevated (Fig. 4A). In addition, we observed a similar axonal pathology as that of *Optn*–/– mice in *SOD1*<sup>G93A</sup> mice before the onset of motor dysfunction (Fig. 4B–C). Furthermore, these axonal myelination defects were blocked and motor dysfunction onset delayed by genetically *Ripk3* knockout or by oral administration of Nec-1s (Fig. 4D–E). Thus, while we cannot rule out the contribution of RIPK1 or other pro-apoptotic factors to the degeneration of motor neuron cell bodies (30, 31), the activation of necroptosis contributes to axonal pathology and motor dysfunction in the *SOD1*<sup>G93A</sup> transgenic mice.

We next characterized the role of RIPK1 and necroptosis in human ALS. We found evidence of demyelination in the lateral column white matter of lower spinal cord pathological samples from ALS patients as reported (Fig. 4F). In human ALS pathological samples, we also detected multiple biochemical hallmarks of necroptosis including increased levels of RIPK1, RIPK3 and MLKL and increased RIPK1 p-Ser14/15 and p-MLKL in both microglia and oligodendrocytes (Fig. 4G; fig. S7; Table S4). Importantly, p-MLKL was primarily localized in the white matter, where demyelination was found.

Taken together, our results provide a direct connection between Wallerian-like degeneration induced by OPTN deficiency and RIPK1 regulated necroptosis and inflammation. By promoting both inflammation and cell death, RIPK1 may be a common mediator of axonal pathology in ALS (fig. S8). Because RIPK1 is recruited specifically to TNFR1 to mediate the deleterious effect of TNFa (32), blocking RIPK1 may provide a therapeutic option for the treatment of ALS without affecting TNFR2. Finally, given the recruitment of OPTN to intracellular protein aggregates found in pathological samples from patients with

Alzheimer's disease, Parkinson's disease, Creutzfeldt-Jakob disease, multiple system atrophy and Pick's disease (33, 34), a possible role of RIPK1 in mediating the wide presence of axonal degeneration in different neurodegenerative diseases should be considered.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgments

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#### **One Sentence Summary**

RIPK1-mediated inflammatory and cell death mechanism may provide a common underlying etiology for mediating axonal pathology in ALS.



Fig. 1. Optn deficiency in oligodendrocyte and myeloid lineages promotes axonal loss and dysmyelination in the spinal cords of Optn-/- mice

(A) Top: Toluidine blue staining sections from the ventrolateral lumbar spinal cords of WT and *Optn*-/- mice. The brackets showing axons in the ventrolateral lumbar spinal cord white matter, and the motor neurons in the ventral lumbar spinal cord grey matter, respectively. Bottom, electron microscopic analysis of motor axonal myelination in the ventrolateral lumbar spinal cords from WT and *Optn*-/- mice. (**B**-**D**; **F**-**I**) The mean axonal numbers, mean g-ratios and mean axonal diameters, individual g-ratios distribution and distributions of axonal diameters in the ventrolateral lumbar spinal cord white matter (L1-L4) of WT,

*Optn*–/– mice, *Optn*<sup>F/F</sup> mice, *Optn*<sup>F/F</sup>; *Cnp-cre* mice, *Optn*<sup>F/F</sup>; *Lyz2-cre* mice, *Optn*<sup>F/F</sup>; *Gfap-cre* mice and *Optn*<sup>F/F</sup>; *Mnx1-cre* as indicated. (E) The number of TUNEL+ cells in the lumbar spinal cords (L1–L4, one section each) of indicated genotype (5 mice each genotype).



#### Fig. 2. OPTN deficiency sensitizes to necroptosis

(A) Murine primary oligodendrocytes of indicated genotypes were treated with mTNFa (10 ng/mL) +/or- Nec-1s (10  $\mu$ M) for 24 hrs and cell death was assessed by using Toxilight assay (Lonza). Data are represented as the normalized means ± SEM, n=5–9 replicates per group. (B) The spinal cords of WT and *Optn*–/– mice were extracted with urea buffer and analyzed by western blotting using indicated abs. (C) The spinal cord lysates extracted with RIPA buffer were immunoprecipitated using anti-OPTN or anti-RIPK1 and the immunocomplexes were analyzed by western blotting using indicated abs. (D) The

spinal cords from mice of indicated genotypes were lysed in 6M urea and immunoprecipitated using anti-K48 ubiquitin chain abs. The isolated immunocomplexes and input were analyzed by western blotting with anti-RIPK1. (E) The mRNA levels of RIPK1 in the spinal cords with indicated genotypes were measured by qRT-PCR. (F) WT and *Optn* -/- MEFs were treated with CHX (2 µg/ml) for indicated periods of time and the lysates were analyzed by western blotting using indicated antibodies. (G) Microglia from newborns of indicated genotypes were extracted in TX114 buffer and the western blots were probed with anti-RIPK1 p-S14/15 phosphorylation and anti-RIPK1. (H) The cytokine profiles in the spinal cords were measured using a cytokine array by ELISA. (I) Heatmap of top 71 genes in the module ME1 differentially expressed in microglia of indicated genotypes. Low expression is shown in blue and high expression in red.



**Fig. 3. RIPK1 and RIPK3 mediate axonal pathology in the spinal cords of** *Optn-/-* **mice** (**A**) Dysmyelination in the spinal cords of *Optn-/-* mice was blocked by genetically inhibiting RIPK1 in *Optn-/-; Ripk1<sup>D138N/D138N* mice, pharmacologically inhibiting RIPK1 by Nec-1s (oral dosing of Nec-1s for one month starting from 8 weeks of age) and by loss of RIPK3 in *Optn-/-; Ripk3-/-* mice. (**B-D**) Mean axonal numbers, g-ratios and axonal diameters (**B**), individual g-ratio distributions (**C**), and axonal diameter distributions (**D**). (**E**) The number of TUNEL+ cells in the lumbar spinal cords (L1–L4, one section each) of indicated genotypes at 3 months of age (5 mice per genotype). (**F-H**) Mice of indicated</sup>

genotypes were tested in Open Field Test for spontaneous motor activity. The mice were at 3 months of age and 28–32 g of body weight (no statistical significant difference on body weight between different groups). The total distance traveled in one hour showed no difference between different groups (**F**). *Optn*–/– mice showed a significant deficit on the vertical rearing activity (frequency with which the mice stood on their hind legs). This deficit was blocked after dosing with Nec-1s for one month starting from 8 weeks old and in *Optn*–/–; *Ripk1*<sup>D138N/D138N</sup> double mutant mice and reduced in *Optn*–/–; *Ripk3*–/– double mutant mice (**G**–**H**).

Ito et al.



# (A) Urea buffer lysates of spinal cords from WT and $SOD1^{G93A}$ transgenic mice (12 weeks of age) were analyzed by western blotting using indicated abs. (**B**–**C**) The myelination morphology (**top**), mean axonal numbers (**bottom**), mean g-ratios (**bottom**), mean axonal diameters (**bottom**) of the ventrolateral lumbar spinal cord white matter of $SOD1^{G93A}$ mice, $SOD1^{G93A}$ ; *Ripk3–/–* mice (12 weeks of age) and $SOD1^{G93A}$ mice dosed with vehicle or

Nec-1s for one month starting from 8 weeks of age. (**D**–**E**) RIPK3 deficiency (**D**) and inhibition of RIPK1 by Nec-1s starting from 8 weeks of age (**E**) delayed the onset of motor dysfunction in SOD1<sup>G93A</sup> mice. (**F**) Sections of pathological spinal cords from human control and an ALS patient were stained with luxol fast blue for myelin to show reduced myelination in the lateral column of lower spinal cords of ALS. (**G**) Western blotting analysis of human control and ALS spinal cord samples using indicated abs (**top**) and the

quantitation of RIPK1, RIPK3 and MLKL levels from 10 controls and 13 ALS cases (**bottom**).