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Intraguild predation enables coexistence of competing phytoplankton in a well-mixed water column.

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**Running Head:** Mixotrophy in planktonic communities

**Title:** Intraguild predation enables coexistence of competing phytoplankton in a well-mixed water column

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**Abstract:**

Resource competition theory predicts that when two species compete for a single, finite resource, the better competitor should exclude the other. However, in some cases, weaker competitors can persist through intraguild predation, i.e., by eating their stronger competitor. Mixotrophs, species that meet their carbon demand by combining photosynthesis and phagotrophic heterotrophy, may function as intraguild predators when they consume the phototrophs with which they compete for light. Thus, theory predicts that mixotrophy may allow for coexistence of two species on a single limiting resource. We tested this prediction by developing a new mathematical model for a unicellular mixotroph and phytoplankter that compete for light, and comparing the model's predictions with a laboratory experimental system. We find that, like other intraguild predators, mixotrophs can persist when an ecosystem is sufficiently productive (i.e., the supply of the limiting resource, light, is relatively high), or when species interactions are strong (i.e., attack rates and conversion efficiencies are high). Both our mathematical and laboratory models show that, depending upon the environment and species traits, a variety of equilibrium outcomes, ranging from competitive exclusion to coexistence, are possible.

**Keywords:** community ecology, competition, *Micromonas commoda*, mixotrophy, *Ochromonas*, model-data comparison

## Introduction

21 Competition among species for limited resources plays a fundamental role in structuring ecological communities. All else equal, when two species are limited by the same available resource, the species that can persist at the lowest level of the resource when grown in isolation will competitively displace the weaker competitor (Tilman, 1977, 1990). This  $R^*$  theory (where  $R^*$  is the resource availability threshold required for a species to persist) predicts that the composition of an ecological community can be understood by identifying the resources that limit each member species. The same theory holds for light limitation in planktonic communities: Huisman and Weissing (1994) derived  $I_{out}^*$ , the “critical light intensity” to which phytoplankton monocultures draw down available light in a well-mixed water column. In their analysis, the phytoplankter with the lowest  $I_{out}^*$  competitively excludes all other species.

However, the observed diversity of some communities exceeds predictions grounded in resource theory. For example, Hutchinson’s description of the “Paradox of the Plankton” notes the surprising diversity of phytoplankton communities despite the fact that their member species appear to occupy the same resource niche delimited by the availability of light and abiotic resources (Hutchinson, 1961). In part, some of this surprisingly high diversity can be explained by a subtler understanding of resource partitioning among taxa (e.g., use of different forms of nitrogen in phytoplankton, Moore et al., 2002) and by non-equilibrium dynamics (as was Hutchinson’s original point; see Hutchinson, 1941, 1961).

39 Trophic interactions can also enhance community diversity above resource-based expectations. In addition to keystone predators, which exert top-down control on community composition by feeding on competitively superior community members (Paine, 1969), intraguild predators, species that eat their competitors, may also increase community diversity (Polis and Holt, 1992; Polis et al., 1989). Specifically, an intraguild predator that is otherwise a weaker competitor may persist in a community by consuming its competitors (Holt and Polis, 1997), a mechanism that has been empirically demonstrated in several cases (Borer et al., 2003; Diehl and Feissel, 2001; Price and Morin, 2004; Wilken et al., 2013).

Intraguild predation is widespread in biological systems, from protists (Diehl and Feissel,



48 2001; Morin, 1999) to insects (Borer et al., 2003; Wissinger and McGrady, 1993) to mammals  
(Fedriani et al., 2000), and most attention has been focused on taxa that compete for a living  
prey item as opposed to a common, abiotic resource (Arim and Marquet, 2004). In contrast, in  
51 planktonic communities, mixotrophy is a common form of intraguild predation by which preda-  
tors and their prey compete for an abiotic resource (Thingstad et al., 1996; Wilken et al., 2014b).  
Mixotrophic species, which combine photosynthesis with phagotrophic heterotrophy, come in  
54 two forms: (1) Algae that ingest prey are termed *constitutive mixotrophs* because they maintain  
and regulate their own permanently incorporated plastids. (2) Protozoa that host symbiotic al-  
gae or steal their plastids are known as *non-constitutive mixotrophs* because, in the absence of  
57 prey, they lack photosynthetic machinery (Flynn and Hansen, 2013; Mitra et al., 2016). Consti-  
tutive mixotrophs (referred to as “mixotrophs” hereafter) function as intraguild predators when  
their prey are the phytoplankton with which they compete for light and inorganic nutrients.  
60 Mixotrophs are thought to gain a competitive advantage over phytoplankton because they can  
continue to obtain limiting nutrients, in addition to organic carbon, by grazing (Mitra et al., 2016;  
Rothhaupt, 1996b). Their ability to supplement their energetic and carbon needs through photo-  
63 synthesis also gives them a competitive advantage over pure heterotrophs when prey are scarce  
(Rothhaupt, 1996a; Tittel et al., 2003).

To date, most studies of mixotroph persistence have focused on competition for nutrients.  
66 A number of theoretical studies have modeled mixotroph persistence in communities that also  
contain autotrophs (e.g., phytoplankton) and heterotrophs (e.g., bacteria) (Crane and Grover,  
2010; Cropp and Norbury, 2015; Thingstad et al., 1996), leading to the prediction that mixotrophs  
69 should become dominant in ecosystems in which nutrients are limiting (Mitra et al., 2016). This  
prediction is consistent with the observation that mixotrophs are particularly abundant in open  
ocean oligotrophic gyres (Hartmann et al., 2012) and in coastal ecosystems where a single nutri-  
72 ent, such as phosphorus, is scarce (Burkholder et al., 2008). However, Rothhaupt (1996a) showed  
that the persistence of mixotrophs alongside strictly heterotrophic competitors depended upon  
the availability of light and food as joint limiting resources.

75 Here, we instead focus on competition between mixotrophs and their intraguild prey for

sunlight. In keeping with intraguild predation theory, mixotrophs should gain a competitive advantage when light is the sole limiting resource because, by consuming their phytoplankton competitors, they reduce competition for light. In this paper, we report on our test of this prediction using a combination of mathematical and experimental approaches. First, we constructed a mathematical model for the interaction of a phytoplankter (the intraguild prey) and a mixotroph (the intraguild predator) in a well-mixed water column with a single limiting resource (light). We evaluated how coexistence and competitive exclusion depend upon the strength of species interactions (i.e., intraguild predation) and upon ecosystem productivity (i.e., the availability of sunlight). We then compared our theoretical results with experiments using two widely distributed marine planktonic taxa. Our analysis highlights the generality of intraguild predation as a mechanism for coexistence and enhanced diversity among organisms that share a resource niche.

## The Model

To study the effects of intraguild predation on the coexistence dynamics of two competing phytoplankton species, we modified the classic model of Huisman and Weissing (1994) for phytoplankton living in a well-mixed water column (i.e., each cell experiences, on average, the same amount of light). At a given depth  $z$ , the biomass-specific growth rate  $g_i$  of a phytoplankter of species  $i$  is determined by the difference between its photosynthetic rate, which is a function of its inherent maximum rate of carbon uptake via photosynthesis  $p_i$  and local light availability  $I(z)$ , and its respiration rate  $\ell_i$ . Thus:

$$g_i(z) = p_i \frac{I(z)}{h_i + I(z)} - \ell_i, \quad (1)$$

where  $h_i$  is the light level at which half the maximum photosynthetic rate is achieved. (All variables and parameters are listed in Table 1.)

Following Huisman and Weissing (1994), we assumed that available light declines with depth following the Lambert-Beer law and that each species has a per-cell light absorptivity  $k_i$ . Since

the water column is well-mixed, the cell density  $w_i$  for each species does not depend on depth. As a result of absorption, the light intensity  $I(z)$  decreases with depth from the incident level  $I_{\text{in}}$

102 at the surface according to:

$$I(z) = I_{\text{in}} \exp [-(k_1 w_1 + k_2 w_2)z]. \quad (2)$$

Integrating over the total depth of the water column, the rate of change in each of the two species' total biomass  $W_1$  and  $W_2$  is given by

$$\frac{dW_1}{dt} = \frac{p_1 W_1}{k_1 W_1 + k_2 W_2} \ln \left[ \frac{h_1 + I_{\text{in}}}{h_1 + I_{\text{in}} \exp [-(k_1 W_1 + k_2 W_2)]} \right] - \ell_1 W_1 \quad (3)$$

$$\frac{dW_2}{dt} = \frac{p_2 W_2}{k_1 W_1 + k_2 W_2} \ln \left[ \frac{h_2 + I_{\text{in}}}{h_2 + I_{\text{in}} \exp [-(k_1 W_1 + k_2 W_2)]} \right] - \ell_2 W_2. \quad (4)$$

105 (See Huisman and Weissing, 1994, for the complete derivation.) Thus, competition between the two phytoplankton species is mediated by competition for light.

We modified these equations to account for intraguild predation by allowing species 2 (now denoted  $M$  for "mixotroph") to predate species 1 (denoted  $W$ , in keeping with Huisman & Weissing's (1994) original notation for phytoplankton) with an attack rate  $a$  and biomass conversion efficiency  $b$ :

$$\frac{dW}{dt} = \frac{p_w W}{k_w W + k_m M} \ln \left[ \frac{h_w + I_{\text{in}}}{h_w + I_{\text{in}} \exp [-(k_w W + k_m M)]} \right] - \ell_w W - aWM \quad (5)$$

$$\frac{dM}{dt} = \frac{p_m M}{k_w W + k_m M} \ln \left[ \frac{h_m + I_{\text{in}}}{h_m + I_{\text{in}} \exp [-(k_w W + k_m M)]} \right] - \ell_m M + baWM. \quad (6)$$

111 Note that, for consistency with Huisman & Weissing's original formulation, the units of the attack rate  $a$  are in area per time per mixotroph ( $\text{cm}^2 \cdot \text{day}^{-1} \cdot \text{cell}_M^{-1}$ , Table 1). Thus our model assumes that grazing is proportional to the integrated population sizes of the phytoplankter and the mixotroph, rather than to their population densities (i.e., that grazing is independent of mixed layer depth). However, in planktonic communities, grazing is likely to depend upon absolute concentration (i.e., in  $\text{cells} \cdot \text{mL}^{-1}$ ). Thus, our model strictly applies only when the mixed layer depth does not change with time, as is the case in our subsequent analyses.

## Analysis

### Relative competition for light

120 In model (5)-(6), the minimum surface light levels  $I_w$  and  $I_m$  required for the persistence of the phytoplankter and the mixotroph in monoculture are:

$$I_w = \frac{\ell_w h_w}{p_w - \ell_w} \quad \text{and} \quad I_m = \frac{\ell_m h_m}{p_m - \ell_m} \quad (7)$$

These light levels are called the “compensation irradiances” (sensu Huisman and Weissing, 1994).

123 As long as  $I_{in}$  exceeds either  $I_w$  or  $I_m$ , an isolated phytoplankton or mixotroph population, respectively, will grow when small.

Huisman & Weissing’s (1994) previous analysis of the pure competition model (Equations  
126 3-4) showed that, except for special parameter combinations that produce functionally identical phytoplankton species, competition for light results in competitive exclusion at equilibrium. Specifically, each species when grown in monoculture reduces light at the bottom of the water  
129 column to a fixed, species-specific, value  $I_{out}^*$ . In two-species cases, the species with the lowest  $I_{out}^*$  outcompetes the other. There is no closed-form expression for  $I_{out}^*$ —it must be calculated numerically—but it depends upon all the model parameters except for light absorptivity. When  
132 phytoplankton differ in only one trait, the outcome is straightforward: the species with the highest photosynthetic rate, lowest half-saturation intensity, or lowest mortality rate is competitively dominant.

135 In our model (Eqs. 5-6), whenever the mixotroph  $M$  is the superior competitor, it competitively excludes the phytoplankter  $W$  as long as surface light  $I_{in}$  is sufficient for the mixotroph’s persistence. Therefore, we confined our analyses to the more dynamically interesting situation  
138 in which the phytoplankter  $W$  is the superior competitor (i.e., where  $I_w < I_m$ , or, equivalently, where all other parameters are equal and  $p_w > p_m$  or  $h_w < h_m$  or  $\ell_w < \ell_m$ ). In this case, the persistence of the mixotroph  $M$  is promoted by its intraguild predation. This scenario is also likely  
141 to be the most biologically relevant because mixotrophs, which incur the increased metabolic costs of maintaining two forms of metabolic machinery (Raven, 1997), are typically thought to

be weaker competitors for light than phytoplankton (Adolf et al., 2006; Crane and Grover, 2010; 144 Skovgaard et al., 2000).

### Model equilibria and their stability

Our model (Eqs. 5-6) has four possible non-negative equilibria:  $(0, 0)$ , at which no species are 147 present;  $(W^*, 0)$ , at which only the phytoplankter persists;  $(0, M^*)$ , at which only the mixotroph persists; and  $(W^c, M^c)$ , at which the two species coexist.

The population dynamics of both species fundamentally depend upon the availability of light. 150 When surface light  $I_{in}$  is lower than  $I_w$ , the no-species  $(0, 0)$  equilibrium is stable (because there is insufficient light for phytoplankton growth). With more light, the phytoplankter persists. Further increases in light produce coexistence of the phytoplankter and the mixotroph, and, ultimately, 153 result in competitive exclusion of the phytoplankter by the mixotroph (Appendix S1: Figure S1).

Transitions between the three positive equilibria also depend upon the species interaction 156 parameters  $a$  and  $b$ , which determine the attack rate of the mixotroph, and the conversion efficiency from phytoplankton to mixotroph biomass, respectively (Figure 1). For  $I_{in} > I_m$  (i.e., surface light is sufficiently high that the mixotroph could persist in monoculture), the effects of  $a$  and  $b$  on the stability of equilibria can be understood by examining the relationship between 159 the zero net growth isoclines (“nullclines”) for each species. These nullclines are determined by setting  $dW/dt$  and  $dM/dt$  equal to zero. There are two nullclines for the phytoplankter, defined by the equations  $W = 0$  and

$$0 = \frac{p_w}{k_w W + k_m M} \ln \left[ \frac{h_w + I_{in}}{h_w + I_{in} \exp[-(k_w W + k_m M)]} \right] - \ell_w - aM, \quad (8)$$

162 and two nullclines for the mixotroph, given by  $M = 0$  and

$$0 = \frac{p_m}{k_w W + k_m M} \ln \left[ \frac{h_m + I_{in}}{h_m + I_{in} \exp[-(k_w W + k_m M)]} \right] - \ell_m + baW. \quad (9)$$

These nullclines determine the regions in the phase plane for which each species experiences either positive or negative population growth. On the nullclines themselves, the species from

165 whose equation the nullcline is derived does not grow, and thus the nullclines' intersections represent the system's equilibria. When  $a = b = 0$ , the interior (i.e., non-axis) nullclines are parallel (Huisman and Weissing, 1994, Figure 4), leading to competitive exclusion by the phytoplankter  
 168 because it has the greatest competitive ability for light. However, changing values of  $a$  and  $b$  can cause the interior nullclines to intersect up to two times, allowing for multiple stable states (Figure 1, middle column).

171 The parameters  $a$  and  $b$  determine equilibrium stability through their effects on the shape of the nullclines. First, note that  $M^*$ , the monoculture abundance of the mixotroph, is defined mathematically as the  $M$ -axis intercept of the  $M$  interior nullcline, found by setting  $W = 0$  in Eq.  
 174 9.  $M^*$  is determined only by surface light  $I_{in}$  and the traits that govern the mixotroph's photosynthetic growth,  $p_m$ ,  $k_m$ ,  $h_m$ , and  $\ell_m$ ; it is independent of  $a$  and  $b$  because it is the abundance of the mixotroph in the absence of prey. The equilibrium point  $(0, M^*)$  is stable when the  $M$ -intercept  
 177 of the  $W$  interior nullcline is less than  $M^*$ . Because the latter intercept is controlled by  $a$ , we can define  $a^*$  mathematically by evaluating the  $W$  nullcline at  $(0, M^*)$ :

$$a^* = \frac{p_w}{k_m (M^*)^2} \ln \left[ \frac{h_w + I_{in}}{h_w + I_{in} \exp(-k_m M^*)} \right] - \frac{\ell_w}{M^*}. \quad (10)$$

When  $a > a^*$  (solid vertical line, left panel of Figure 1), the equilibrium point  $(0, M^*)$  is asymptotically stable. Thus  $a^*$  is the minimum attack rate above which the mixotroph  $M$  can, depending  
 180 upon initial conditions, exclude the phytoplankter  $W$  (regions III-V, Figure 1).

Second, the monoculture abundance of the phytoplankter,  $W^*$ , is defined as the  $W$ -axis intercept of the  $W$  interior nullcline, found by setting  $M = 0$  in Eq. 8. As with  $M^*$ ,  $W^*$  depends  
 183 only on phytoplankter photosynthetic traits and surface light. The prey-only equilibrium point  $(W^*, 0)$  is stable if the  $W$ -intercept of the  $M$  interior nullcline (which is also determined by  $a$  and  $b$ ; Figure 1, middle column) is less than  $W^*$ . Therefore, for any given attack rate  $a$ , we can compute a conversion efficiency  $b^*(a)$  at which the nullclines share a  $W$ -axis intercept. For the  
 186  $M$  nullcline, this intercept occurs at  $(W^*, 0)$ ; thus,  $b^*$  must satisfy:

$$0 = \frac{p_m}{k_w W^*} \ln \left[ \frac{h_m + I_{in}}{h_m + I_{in} \exp(-k_w W^*)} \right] - \ell_m + b^* a W^*. \quad (11)$$

189 When  $b > b^*(a)$  (dashed line, left panel of Figure 1), the  $(W^*, 0)$  equilibrium is unstable. In other words,  $b^*(a)$  is the minimum conversion efficiency above which  $M$  invades and persists regardless of initial conditions (regions II, IV, and V, Figure 1).

192 Finally, because  $b$  controls the curvature of the  $M$  interior nullcline, we define  $\hat{b}$  as the value of  $b$  at which the nullclines are just tangent to each other: that is, they transition from having two positive intersections to having none. Because the nullclines can only have two positive  
195 intersections (region IV, middle column of Figure 1) when  $a > a^*$ ,  $\hat{b}$  exists, and is a function of  $a$ , only when  $a > a^*$ . Biologically,  $\hat{b}(a)$  (dotted line, left panel of Figure 1) is the conversion efficiency above which  $M$  excludes  $W$  regardless of initial conditions (i.e., only the  $(0, M^*)$  equilibrium is  
198 stable; region V, Figure 1).

Because the model involves mixed exponentials, we solved for  $a^*$ ,  $b^*(a)$ , and  $\hat{b}(a)$  numerically for each set of parameters and used numerical simulations to check the asymptotic stability of  
201 predicted equilibria. We found that there are five distinct regions in the  $a$ - $b$  plane delimited by the curves  $a = a^*$ ,  $b = b^*(a)$ , and  $b = \hat{b}(a)$  (Figure 1). Two of these regions exhibit bistability. That is, there are two asymptotically stable positive equilibrium points, and the dynamics of  
204 the system are therefore dependent upon initial conditions (Figure 1, regions III and IV; see alternative population dynamics in the rightmost column and velocity diagrams and population trajectories in Appendix S1: Figure S2).

207 The location and extent of these stability regions depends upon model parameters. For example, more surface light (larger  $I_{in}$ ) increases the portion of  $a$ - $b$  parameter space over which the mixotroph can persist and competitively exclude the phytoplankton (Figure 2). Species traits,  
210 including half-saturation constants and mortality rates, affect the model's sensitivity to  $a$  and  $b$ , but do not qualitatively change the trajectory of the system's response to resource enrichment (Appendix S1: Figure S3).

## 213 Empirical Comparison

Collectively, our analysis of Model (5)-(6), leads to two main predictions: (1) With increasing surface light levels, mixotroph persistence should increase, and (2) with increasing attack rates,

216 mixotroph persistence should also increase. Further, our model makes specific predictions about  
the number and stability of equilibria in the system for given sets of parameters. To test our  
model's predictions, we developed a laboratory experimental system using mixotrophic and  
219 photosynthetic plankton, and manipulated light, attack rates, and initial conditions.

### Study organisms and culture conditions

Our laboratory experimental system used two taxa: *Micromonas commoda* (strain CCMP 2709;  
222 van Baren et al., 2016; Worden et al., 2009), a cosmopolitan eukaryotic phytoplankter which is  
dominant in both coastal and oligotrophic ocean regions (Cottrell and Suttle, 1991; Not et al.,  
2004), and *Ochromonas* sp. (strains CCMP 1391, 1393, and 2951), a mixotrophic chrysophyte  
225 (Rothhaupt, 1996a). *Ochromonas*-like flagellates are important grazers in a variety of marine and  
freshwater environments. Because both *Ochromonas* and *Micromonas* are known to be bacterivo-  
rous (McKie-Krisberg and Sanders, 2014; Sanders and Gast, 2011; Wilken et al., 2013), we worked  
228 with axenic cultures to ensure that growth was limited to phototrophy (both species) and in-  
traguild predation (grazing of *Micromonas* by *Ochromonas*). Cultures CCMP 2709 and 1391 were  
ordered from the National Center for Marine Algae and Microbiota (NCMA, Bigelow Laboratory,  
231 East Boothbay, ME, USA), and CCMP 1393 and 2951 were provided by S. Wilken.

All stock cultures were maintained in K medium (Keller et al. (1987); see Table S1 for list  
of nutrient contents) made by adding pre-mixed nutrients (ordered from the NCMA) to 0.2 $\mu$ m  
234 filtered Santa Barbara coastal seawater. Stock cultures were maintained in 40-mL tissue culture  
flask batch cultures at 24°C under a 12 hr:12 hr light:dark cycle with light illumination from  
above. We used mesh screening, in combination with varied shelf proximity to overhead light  
237 sources, to create three light environments (100, 50, and 20  $\mu$ mol quanta  $m^{-2} s^{-1}$ ) and acclimated  
cultures to their experimental light levels for a period of at least four weeks prior to beginning any  
data collection. All cell enumeration was done by subsampling each culture for analysis by size  
240 and fluorescence on a Guava easyCyte flow cytometer (EMD Millipore, Darmstadt, Germany).



## Quantifying relative photosynthetic growth rates

To verify that our experimental system was appropriate to our mathematical model, we conducted a series of preliminary experiments. First, we grew both *Micromonas* and *Ochromonas* in isolation and compared their maximum photosynthetic growth rates. Growth rates were measured by inoculating cells at 2000 cells/mL (*Ochromonas*) or 50,000 cells/mL (*Micromonas*) in triplicate, and quantifying population sizes for a period of up to eight days. For each replicate, we calculated growth rate as the maximum slope of a semilog plot of population size versus time. In some cases, this calculation required eliminating later (i.e., after Day 6) timepoints because population growth slowed as populations approached carrying capacity. We defined maximum photosynthetic growth rate as the growth rate achieved at  $100 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$  (the highest light level). We found that the phytoplankter *Micromonas* had a growth rate approximately three times as high as the three mixotrophic *Ochromonas* strains (Figure 3A, Appendix S1: Figure S4). In this two-species system, as in our model analysis, the mixotroph is the weaker competitor for light.

## Measuring a gradient of attack rates

We used different strains of *Ochromonas* to manipulate the variable of attack rate. Because, to our knowledge, grazing of *Micromonas* by *Ochromonas* has not been previously reported, we first measured attack rates (i.e., grazing rates) in a separate experiment. To do this, we incubated *Micromonas* with and without *Ochromonas* at all three light levels and at three predator:prey ratios (1:10, 1:20, and 1:100, with a starting *Ochromonas* concentration of 2,000 cells/mL and a starting volume of 2mL), and measured changes in population size over 24 hours. Over this time period, prey were never completely extirpated, and the maximum decrease in prey abundance was by 50% from starting densities. Thus, it is unlikely that prey depletion caused an underestimation of *Ochromonas* attack rates. We used the equations of Frost (1972) and Heinbokel (1978) (developed in Jeong and Latz, 1994) to calculate grazing rates for each of the three strains of *Ochromonas*. We found that *Ochromonas* did graze on *Micromonas*, with rates that differed consistently across strains and increased with increasing prey:predator ratios (Figure 3B). We confirmed that grazing

rates increased linearly with prey:predator ratio by fitting both linear (Holling Type I) and saturating (Holling Type II) models to the data, and comparing the associated AIC values. AIC values were the same (CCMP 1391 and 1393) or lower (CCMP 2951) for the linear models, indicating that a linear (Type I) approximation was a good fit for our empirical system. Grazing was confirmed by using fluorescence microscopy to visualize *Micromonas* prey cells inside of *Ochromonas* digestive vacuoles, and by verifying that *Ochromonas* growth rates increased with increasing prey availability in later experiments (Appendix S1: Figure S5). These data allowed us to manipulate the attack rate (i.e.,  $a$ ) by using three strains of *Ochromonas* with different grazing rates.

### Testing for light limitation

We verified that our system was light-limited by demonstrating that each organism's carrying capacity increased with increasing light availability. As part of our main experiment (details below) we measured carrying capacity as the maximum population size achieved by each taxon at each light level over a period of 20 (100  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ), 30 (50  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ), or 40 days (20  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ). For *Ochromonas*, we included data in which *Micromonas* prey were initially available but were ultimately eliminated by grazing, because some *Ochromonas* strains have been shown to have grazing-enhanced phototrophy (Lie et al., 2018). Indeed, we observed that two of our *Ochromonas* strains (CCMP 1393 and CCMP 2951) achieved higher population sizes when prey were available (Appendix S1: Figure S7). Because carrying capacity increased with increasing light levels for all four taxa used in the study, we inferred that light was a limiting resource in our experimental system (Figure 3C). However, we were surprised to note that, while increases in *Micromonas* populations with light were statistically significant, the magnitude of these changes was smaller than expected (approx. 5%). We also estimated absorption coefficients for each taxon by measuring light transmission through 1-cm of cultures of known concentration, and found that  $k_w = 1 \times 10^{-7} \text{ cm}^2 \text{ cell}^{-1}$  and  $k_m = 5 \times 10^{-7} \text{ cm}^2 \text{ cell}^{-1}$ . We did not observe significant differences in absorption coefficients for cells acclimated to different light levels, or for fed versus unfed mixotroph cells, so we pooled data across light levels. We used this information to select an experimental water column depth of 8cm for our experimental

test of model predictions.

We also tested for the role of other, potentially co-limiting resources in our experimental system. We verified that inorganic carbon was unlikely to be limiting by measuring pH of fresh media and of 30-day-old stock (non-experimental) cultures, and finding no significant difference (pH fresh: 8.41; pH old:  $8.51 \pm 0.05$ ;  $p=0.129$ ,  $t$ -statistic=1.811,  $df=5$ ). To prevent nutrients from becoming co-limiting during experiments, we ran our experimental test of model predictions in nutrient-rich 2K media (i.e., media with twice the nutrient content of K media, Keller et al., 1987). We used published estimates of cellular nitrogen content to estimate the N budget in our cultures (Appendix S1: Table S2) and found that at maximum population densities, *Micromonas* and *Ochromonas* would use approximately 4% to 20% of the available N in the 2K culture medium respectively (Appendix S1: Table S3). We also performed a separate experiment in which we tested for the effects of nutrients on carrying capacity by growing *Micromonas* at  $50 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$  in media with half (K/2), full (K), double (2K), or quadruple (4K) the nutrient content of K media, and found that carrying capacity was not significantly affected by nutrient content (Appendix S1: Figure S6).

## Generation of comparable model and experimental data

We used data on species traits to determine the appropriate qualitative comparisons between our mathematical and empirical systems. Specifically, we modeled the expected dynamics for varied surface light  $I_{in}$  and attack rates  $a$  given other parameters chosen based on empirical data:  $p_m = 0.3$  (i.e., the photosynthetic growth rate of the mixotroph was 30% that of the phytoplankter),  $h_m = 250$  (i.e., the half-saturation irradiance of the mixotroph was larger than that of the phytoplankter),  $k_m = 5 \times 10^{-7}$  (i.e., the absorptivity of the mixotroph was five times that of the phytoplankter), and  $\ell_m = 0.1$  (i.e., the intrinsic mortality rate of the mixotroph was twice that of the phytoplankter). Thus, unlike in our strictly theoretical exploration (Figures 1-2, Appendix S1: Figure S3) in which we varied traits independently, our empirical data suggested that *Ochromonas* strains were competitively inferior to *Micromonas* due to simultaneous differences in multiple traits. We varied light intensity and attack rate over parameter ranges that captured the

most dynamically interesting regions of parameter space; in both cases, this meant varying these parameters over ranges that were greater than in our empirical system.

324 For a given attack rate, our model predicted transitions from phytoplankton-dominated to  
mixotroph-dominated equilibria with increasing surface light (Figure 4). The sequence of tran-  
sitions depends upon attack rate: For relatively low values of  $a$ , increasing light should cause  
327 systems to transition from phytoplankter-only, to bistability of single-species equilibria, to bista-  
bility of coexistence and mixotroph-only, to mixotroph-only states. For higher values of  $a$ , there  
is no bistability of single-species equilibria (Figure 4). Furthermore, in regions of bistability,  
330 increasing surface light reduces the basin of attraction for the coexistence equilibrium (Figure  
4, compare panels II and III), meaning that a wider range of initial conditions should lead to  
exclusion of the phytoplankter by the mixotroph.

333 We tested this prediction by generating time series population data on *Ochromonas* and *Mi-  
cromonas* in co-culture. We manipulated the availability of light, the limiting resource, using mesh  
screens as described above, and manipulated attack rate by using three strains of *Ochromonas*. Be-  
336 cause our mathematical model predicted bistability for some parameter combinations, we also  
varied experimental initial conditions by initiating experiments with 1:10, 1:20, or 1:100 ratios  
of *Ochromonas* to *Micromonas*. For example, when the initial density of *Ochromonas* was 2,000  
339 cells/mL, we initialized *Micromonas* at 20,000, 40,000, or 200,000 cells/mL. To verify that experi-  
mental conditions were viable for population growth of *Micromonas* in the absence of competition,  
we also set up three *Ochromonas*-free controls with initial abundances of 20,000, 40,000, or 200,000  
342 *Micromonas* cells/mL. Note that some variation in initial abundances did occur, but ratios were  
held constant.

For each parameter and initial condition combination (3 light levels  $\times$  3 attack rates  $\times$  3 initial  
345 conditions = 27 treatments), we grew *Ochromonas* and *Micromonas* together in co-culture and col-  
lected population size data at intervals of one ( $100 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ), two ( $50 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ),  
or three ( $20 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ) days until the populations reached equilibrium sizes (20 days,  
348 30 days, and 40 days, respectively). Each treatment and control was run in triplicate. Each ex-  
perimental replicate contained a 10-mL semi-continuous batch culture in a 14-mL culture tube.

Although the sides of the tubes were clear, cultures were illuminated from above to improve  
351 model-experiment agreement. Batch cultures were initialized by inoculating sterile media with a  
known concentration of *Micromonas* and/or *Ochromonas*. At each sampling point, cultures were  
mixed thoroughly by inversion, and a 250 $\mu$ L sample was removed for flow cytometry enumera-  
354 tion. Culture volume was then replenished by adding 275 $\mu$ L of fresh 2K media (the extra 25 $\mu$ L  
compensated for low levels of evaporation); this corresponded to low dilution rates of 0.0275 (at  
high light) to 0.009 (at low light) per day.

### 357 **Experimental data are consistent with model predictions**

Our population time series data qualitatively support model predictions. At the lowest attack  
rate (CCMP 1393, leftmost column of Figure 5), as surface light increased, observed population  
360 dynamics transitioned from a *Micromonas*-only equilibrium to bistability between *Micromonas*-  
only and *Ochromonas*-only equilibria. At higher attack rates (CCMP 1391 and 2951, center and  
rightmost columns of Figure 5, respectively), increasing light drove a transition from coexistence,  
363 to bistability between coexistence and an *Ochromonas*-only equilibrium (Figure 5; see also Ap-  
pendix S1: Figures S7-S8 for individual replicate time series data). Furthermore, comparisons of  
initial condition-dependence between intermediate and high light levels suggest that, consistent  
366 with model predictions, the basins of attraction for *Ochromonas*-only equilibria are growing with  
increasing light (Figure 5, compare top and middle rows).

However, some interesting contrasts between our empirical and mathematical models emerged.  
369 First, our empirical results indicate that at low light levels and intermediate attack rates, the *Mi-*  
*chromonas*-only and coexistence equilibria were simultaneously stable (Figure 5, middle of bottom  
row). This contradicted our mathematical model, which did not predict the simultaneous sta-  
372 bility of this pair of equilibria. Second, our empirically observed  $I_{out}$  light levels at the base of  
the experimental water columns were more variable than the model's predictions (Appendix S1:  
Figure S9). Because our experimental water columns were not amenable to direct measurements  
375 using our light meter, we instead calculated  $I_{out}$  by multiplying taxon-specific absorptivities by  
population densities at the final timepoint and the 8cm water column depth. While *Micromonas*

control and *Micromonas*-only populations consistently drew  $I_{out}$  down to low levels, there were  
378 no significant differences among  $I_{out}$  levels (Appendix S1: Figure S9), in contrast to a predicted  
decrease in  $I_{out}$  with increasing  $I_{in}$  (Huisman and Weissing (1994), empirically demonstrated by  
Huisman (1999)). This was due to the relatively small population response of *Micromonas* to  
381 increasing light levels (Figure 3C), which may indicate that, despite our other measurements,  
*Micromonas* experienced limitation by a non-light resource in some of the experiments. Over-  
all, trends for *Ochromonas*-only and coexistence equilibria followed model predictions, with in-  
384 creasing  $I_{out}$  levels for coexistence equilibria as attack rates increased (Appendix S1: Figure S9).  
However, *Ochromonas* population sizes were sometimes low (Appendix S1: Figure S7), which  
contributed to divergence from model predictions (especially for prey-free treatments).

## 387 Discussion

Using a mathematical model for mixotrophy grounded in resource competition theory, we demon-  
strated that two plankton species may coexist even when competing for a single limiting resource  
390 (light). Consistent with other forms of intraguild predation (Holt and Polis, 1997; Mylius et al.,  
2001), mixotrophy permits the persistence of a weaker competitor for light that would otherwise  
be competitively excluded (Huisman and Weissing, 1994). Our results complement the mathe-  
393 matical modeling work of Thingstad et al. (1996), who also showed that mixotrophs can coexist  
alongside their prey when competing for a limiting resource (in their case, nutrients). However,  
coexistence is not guaranteed: If the mixotroph has a high attack rate or conversion efficiency, or  
396 if surface light intensity is high, the mixotroph can exclude the phototroph. This is in keeping  
with other theoretical results that predict mixotroph dominance in high-resource environments  
(Ptacnik et al., 2016; Wilken et al., 2014a) such as eutrophic freshwater, estuarine, and coastal  
399 marine ecosystems.

Our experimental study qualitatively supported our mathematical model's predictions, with  
the mixotroph *Ochromonas* becoming more dominant (i.e., more likely to exclude the phytoplank-  
402 ter *Micromonas*) with increasing surface light intensity and increasing attack rates. The increasing  
dominance of the mixotroph as ecosystem productivity increased is consistent with a number of

empirical studies of intraguild predator abundance in protistan (Diehl and Feissel, 2001; Morin,  
405 1999; Price and Morin, 2004) and insect (Borer et al., 2003) systems. We observed all three types  
of non-negative model equilibria (phytoplankter-only, mixotroph-only, and coexistence) and five  
types of model dynamics (monostability of each of the equilibria, simultaneous stability of the  
408 phytoplankter-only and mixotroph-only equilibria, and simultaneous stability of the coexistence  
and mixotroph-only equilibria). Surprisingly, we also observed simultaneous stability of the  
phytoplankter-only and coexistence equilibria (Figure 5, middle column of bottom row), which  
411 was not predicted by our mathematical model. We postulate that this outcome (in which *Mi-*  
*chromonas* competitively excluded *Ochromonas* when it was inoculated at the highest population  
densities) may have resulted from stochastic extinction of a small population of *Ochromonas* as  
414 the populations dynamically approached equilibrium.

Huisman and Weissing (1994)'s model for competing phytoplankton also allows for competi-  
tive outcomes to depend upon the productivity of an ecosystem. In their model, when phyto-  
417 plankton differ in multiple traits (e.g., one species has a larger photosynthetic rate *and* a higher  
half-saturation light intensity than the other), the competitively dominant species may change as  
incoming light levels increase. In our analysis, we focused only on single-trait differences that re-  
420 sult in productivity-independent competitive dominance for the phytoplankter. This allowed us  
to highlight the role that intraguild predation plays in permitting persistence in spite of competi-  
tive inferiority. We expect that expanding our analysis to allow tradeoffs among species' traits  
423 would also reveal a complex dependence of competitive superiority on productivity, consistent  
with Huisman and Weissing (1994). For example, coexistence may also be mediated by divergent  
use of light (e.g., by maintaining photosynthetic pigments with absorption spectra that are max-  
426 imized at different wavelengths, Stomp et al., 2004) and nutrient resources (e.g., by contrasting  
nutrient use efficiencies, but see Passarge et al., 2006). Furthermore, in Huisman & Weissing's  
(1994) analysis, outcomes could be predicted on the basis of  $I_{out}^*$  (which is inversely proportional  
429 to  $I_{in}$ ) measured on each competitor in monoculture. In contrast, in our study, the mixotroph may  
competitively exclude the phytoplankter even when, in monoculture, its  $I_{out}^*$  is greater, because it  
is also able to feed on the phytoplankter (Appendix S1: Figure S9). Because competition for light

432 is mediated by standing stock biomass rather than production of new biomass, the competitive  
interactions between mixotrophs and phytoplankton mimic interference competition. Curvature  
of the corresponding nullclines (e.g., Figure 1) therefore allows for coexistence under specific  
435 ranges of parameter values.

The outcomes of our laboratory experiment also differed from model predictions in ways  
that illustrated the importance of grazing to mixotroph growth. For example, we found that  
438 *Ochromonas* strains CCMP 1393 and 2951 often attained higher population sizes when grown  
with *Micromonas* prey than when grown in isolation, even if they subsequently competitively  
excluded *Micromonas* (Figures 5 and Appendix S1: Figure S7). This had implications for light  
441 transmission ( $I_{out}$ ), as light transmission for mixotroph-only equilibria tended to be higher than  
model predictions (Appendix S1: Figure S9). Although all three strains used in this study were  
capable of transiently sustaining photosynthetic growth in the absence of prey (Fig. 3), other  
444 studies have shown that prey can enhance mixotroph photosynthetic capacity, perhaps by pro-  
viding nutrients inaccessible in the culture media (Lie et al., 2018; Sanders et al., 2001).

Our goal in this study was to develop and test a model with a very general representation  
447 of intraguild predation among competitors competing for light as a single, shared limiting re-  
source. Therefore, in our mathematical model, we disregarded the possibility of other limiting  
resources, such as nutrients, in our system. Although we did not measure nutrient levels over  
450 the course of our study, several lines of evidence indicate that light was the major limiting factor  
in our system. First, we initiated the experimental cultures with high concentrations of nutrients  
in the media (Appendix S1: Table S1; Keller et al., 1987) relative to estimated cellular quotas  
453 (Appendix S1: Table S3). Second, carrying capacity increased with increasing light availability  
(Figure 3C) but was insensitive to media type (Appendix S1: Figure S6). Finally, existing photo-  
physiological studies of *M. commoda* (Thompson et al., 1991) and *Ochromonas* (Wilken et al., 2013)  
456 indicate that growth rates are light limited at irradiances below  $100 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$  in both  
taxa. The qualitative agreement between our mathematical and empirical systems suggests that,  
indeed, the single-resource model captured a core phenomenon about the experimental system.  
459 However, transcriptomic data suggest that *Ochromonas* strain CCMP 1393 may not be capable of



using nitrate (Lie et al., 2018), which was the most abundant source of inorganic nitrogen in our culture medium (Appendix S1: Table S1). If strains preferentially utilized ammonium, this form of nitrogen could have been completely depleted (Appendix S1: Table S3), potentially causing the mixotrophs to function more like strict predators and promoting coexistence (Wilken et al., 2014b).

Incorporating co-limitation by nutrients (Crane and Grover, 2010; Stickney et al., 1999), as well as other more complex mechanisms of species interactions such as alternate predation functional responses (Holling, 1959), and light-dependence of photosynthetic (Flynn and Hansen, 2013) and grazing rates (Holen, 1999; Skovgaard, 1996; Strom, 2001), could improve the predictive abilities of a model for a specific system. For example, while in our study grazing rates did not systematically increase with increasing light (sensu Strom, 2001, see Appendix S1: Figure S10, especially higher prey ratios), correlations between attack rates and surface light would accelerate the transition to mixotroph-dominated equilibria. Our approach also does not account for changes in physiology under resource-limited conditions, such as photoacclimation (Herzig and Dubinsky, 1992) or shifts in reliance on different forms of metabolism under different light regimes (Mitra et al., 2016).

Our *Ochromonas* strains did not exhibit a clear tradeoff between investing in phototrophic versus heterotrophic metabolisms that has been hypothesized to constrain mixotroph ecology: While strain CCMP 2951 had the highest grazing rate and lowest photosynthetic rate, CCMP 1391 had the second highest grazing rate yet highest photosynthetic rate (Figure 3). Further, strain CCMP 2951 achieved the highest mixotrophic growth rates, but did so at intermediate light levels (Appendix S1: Figure S5). These results highlight the complexities of real mixotroph physiology, wherein different taxa may derive different forms and degrees of benefit from ingesting prey (Lie et al., 2018; Mitra et al., 2016). Mixotrophs are also known to adjust their metabolic strategy as a function of environmental conditions: In addition to photoacclimation responses to different light levels, mixotrophs may downregulate photosynthetic machinery in the presence of high prey abundances (Holen, 1999; Sanders et al., 1990; Wilken et al., 2013, 2014b). Such a response would cause *Ochromonas* to function more like a predator than a competitor, potentially

altering dynamic outcomes, and/or accelerating extirpation of the prey. However, though we did  
489 not quantify cellular chlorophyll-*a* content or other photophysiological parameters, we observed  
no differences in either per-cell absorption coefficients or red fluorescence (a proxy for photosyn-  
thetic pigment content, measured by the flow cytometer) for *Ochromonas* regardless of light and  
492 prey environment. One possible explanation is that undigested *Micromonas* chlorophyll inside of  
*Ochromonas* digestive vacuoles may have increased per-mixotroph pigment estimates, countering  
reductions in chlorophyll production by *Ochromonas*. Additional experiments would be needed  
495 to test this hypothesis.

In the course of our experiments, we obtained, to our knowledge, the first measurements of  
marine *Ochromonas* grazing on a eukaryotic phytoplankter, though such observations have been  
498 made on freshwater strains (Boraas et al., 1992). Both *Micromonas commoda* and *Ochromonas* are  
important in planktonic food webs. *Ochromonas* has long been recognized as a bacterivore that  
can exert top-down control on cyanobacteria (Wilken et al., 2014a) and heterotrophic bacteria  
501 (Katechakis and Stibor, 2006), allowing it to outcompete strict heterotrophs (Katechakis and Sti-  
bor, 2006; Rothhaupt, 1996a). *Micromonas* is a cosmopolitan eukaryotic picophytoplankter which  
may be dominant in both coastal and open-ocean settings (Cottrell and Suttle, 1991; Not et al.,  
504 2004). The two species have been observed to co-occur at high abundances (Furuya and Marumo,  
1983), though no studies of potential grazing interactions have yet been conducted. Because the  
relative contribution of heterotrophy to *Ochromonas*'s metabolism is expected to increase with  
507 warming surface ocean temperatures (Wilken et al., 2013), understanding the breadth of this  
mixotroph's potential prey will be critical to predicting changes to planktonic production and  
nutrient cycling.

510 A number of theoretical studies have considered the role of constitutive mixotrophy, more  
generally, in constraining the dynamics and function of planktonic communities. By providing  
intermediate linkages within and between food chains, mixotrophs may serve as stabilizers of  
community dynamics (Hammer and Pitchford, 2005; Jost et al., 2004) and as important medi-  
513 ators of the transfer of primary production to higher trophic levels (Ptacnik et al., 2004) and,  
potentially, carbon export (Ward and Follows, 2016). Their generalist metabolic strategy also

516 makes them more likely to dominate late-successional communities (i.e., late-season, stratified  
water columns) where nutrients have been depleted (Mitra et al., 2014; Stickney et al., 1999).  
Non-constitutive mixotrophs (which obtain their photosynthetic abilities from their prey) may  
519 also coexist with stronger competitors, sometimes creating cyclic, bloom dynamics with pulsed  
biogeochemical impacts (Moeller et al., 2016); in contrast to the constitutive mixotrophs in our  
*Ochromonas-Micromonas* system, however, these taxa cannot competitively exclude their prey be-  
522 cause they rely on them as a source of photosynthetic machinery (Moeller et al., 2016).

In conclusion, by functioning as intraguild predators, mixotrophs that eat phytoplankton can  
coexist with these phytoplankton, even when the mixotrophs are the weaker competitor for the  
525 single limiting resource of light. Our results provide further evidence that, across aquatic and  
terrestrial systems, from microscopic to macroscopic organisms, intraguild predator persistence  
is mediated by resource supply levels and the strength of species interactions. These observa-  
528 tions are consistent with the omnipresence of mixotrophs in the world's marine and freshwater  
systems.

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Table 1: Model symbols and their meanings

Symbol	Description	Typical Units	Simulation Values
<b>Variables:</b>			
$W$	phytoplankton (intraguild prey)	$cells \cdot cm^{-2}$	
$M$	mixotroph (intraguild predator)	$cells \cdot cm^{-2}$	
$t$	time	$days$	
<b>Parameters:</b>			
$I_{in}$	surface (incoming) light intensity	$\mu mol \cdot quanta \cdot m^{-2} \cdot s^{-1}$	varied
$p_w$	maximum carbon uptake rate, phytoplankter	$day^{-1}$	1
$p_m$	maximum carbon uptake rate, mixotroph	$day^{-1}$	1, 0.3
$k_w$	light absorbance of phytoplankter	$cm^2 \cdot cell_W^{-1}$	$1 \times 10^{-7}$
$k_m$	light absorbance of mixotroph	$cm^2 \cdot cell_M^{-1}$	$1 \times 10^{-7}, 5 \times 10^{-7}$
$h_w$	half-saturation light intensity for phytoplankter	$\mu mol \cdot quanta \cdot m^{-2} \cdot s^{-1}$	200
$h_m$	half-saturation light intensity for mixotroph	$\mu mol \cdot quanta \cdot m^{-2} \cdot s^{-1}$	200, 250
$\ell_w$	mortality rate of phytoplankter	$day^{-1}$	0.05
$\ell_m$	mortality rate of mixotroph	$day^{-1}$	0.05, 0.1
$a$	attack rate of mixotroph on phytoplankter	$cm^2 \cdot day^{-1} \cdot cell_M^{-1}$	varied
$b$	conversion rate of phytoplankter to mixotroph	$cell_M \cdot cell_W^{-1}$	varied

## Figure Legends

726 **Figure 1:** The effect of species interaction parameters (attack rate  $a$  and conversion efficiency  
 $b$ ) on equilibrium population outcomes. When attack rates are low ( $a < a^*$ ), the phytoplankter  
always persists; for sufficiently high conversion efficiencies ( $b > b^*(a)$ ), the phytoplankter and  
729 mixotroph coexist. When  $a > a^*$ , the asymptotic population dynamics depend upon  $b$ . As  $b$   
increases, model stability transitions from bistability (either the phytoplankter or the mixotroph  
outcompetes the other, depending upon initial conditions) to a different type of bistability (either  
732 the mixotroph outcompetes the phytoplankter, or the two species coexist) to a single equilibrium  
point (the mixotroph outcompetes the phytoplankter). Roman numerals on the leftmost panel  
correspond to  $a$  and  $b$  parameter choices whose nullclines are displayed in the middle column.  
735 Stable equilibria are marked by colored symbols (blue stars = phytoplankter-only stable, red  
stars = mixotroph-only stable, purple squares = coexistence stable), with corresponding example  
population trajectories shown in the rightmost column. Positive, unstable equilibria are indicated  
738 by gray circles. Two nullclines ( $W = 0$  and  $M = 0$ ) and the unstable equilibrium at  $(0,0)$  are  
unmarked in each nullcline plot). Parameters are listed in Table 1, with  $I_{in} = 100$ ,  $p_m = 0.3$ ,  
 $h_m = 200$ ,  $k_m = 1 \times 10^{-7}$ , and  $\ell_m = 0.05$ .

741

**Figure 2:** Effect of changing light levels on equilibrium population outcomes. Each panel shows  
the stability of equilibria for a range of attack rates  $a$  and conversion coefficients  $b$ . Surface  
744 light increases from top to bottom. As the resource becomes more enriched (increasing light),  
the range of parameter space over which the mixotroph may persist increases. For example, the  
yellow triangles, which represent a system with  $a = 2 \times 10^{-9}$  and  $b = 0.05$ , indicate that, as surface  
747 light increases, the system would transition from a phytoplankter-only equilibrium ( $I_{in} = 15, 20$ )  
to coexistence ( $I_{in} = 50$ ) to mixotroph-only ( $I_{in} = 100$ ). Equilibrium stability is indicated as in  
Figure 1.

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**Figure 3:** Traits of the four experimental organisms. Panel A: The phytoplankter *Micromonas*  
CCMP 2709 exhibited a significantly greater maximum photosynthetic growth rate than the three

753 mixotrophic *Ochromonas* strains CCMP 1391, 2951, and 1393 ( $p < 0.01$ , Tukey's HSD); the three  
*Ochromonas* strains also differed, though not as substantially, from one another ( $p < 0.05$ , Tukey's  
HSD). Data are from pilot experiments. Panel B: The three *Ochromonas* strains differed in their  
756 grazing rates, with strain 2951 exhibiting the highest grazing rates, and strain 1393 the lowest.  
Note that empirically measured grazing rates are equivalent to  $aM$  (the product of the math-  
ematical model's attack rate and the population of *Micromonas*). To convert between grazing  
759 rates and attack rates used in the mathematical model, one must divide by the population size  
of *Micromonas*. Grazing rates increased with increasing initial prey concentrations. Letters indi-  
cate significant differences at the  $p < 0.05$  level (Tukey's HSD comparing grazing rates grouped  
762 by prey concentration). Data are from pilot experiments. Panel C: Carrying capacities of the  
four experimental organisms as a function of light level. Both *Micromonas* (left) and the three  
*Ochromonas* strains (right) exhibited increasing maximum population sizes with increasing input  
765 light (Tukey's HSD comparing population size grouped by species; letters indicate significant  
differences at the  $p < 0.05$  level). Data are from the main experiment.

768 **Figure 4:** Dependence of mathematical model predicted dynamics on surface light  $I_{in}$  and attack  
rate  $a$ . Legends and notation as in Fig. 1. As available light increases, the system transitions  
from competitive exclusion by prey, to alternate competitive exclusion states or coexistence, to  
771 bistability of coexistence and competitive exclusion by the mixotroph, and finally to competitive  
exclusion by the mixotroph. Nullclines are shown for increasing values of  $I_{in}$  at a fixed value of  
 $a = 1 \times 10^{-7}$  (right column); Roman numerals are used to indicate specific parameter values and  
774 are chosen for consistency with Roman numerals in Figure 1. Note that the basins of attraction  
for the bistable equilibria shift. For example, as  $I_{in}$  increases, the basin of attraction for the  
coexistence equilibrium (purple square) shrinks (nullclines corresponding to locations IVa and  
777 IVb). Parameters are as listed in Table 1, with  $p_m = 0.3$ ,  $h_m = 250$ ,  $\ell_m = 0.1$ ,  $k_m = 5 \times 10^{-7}$ , and  
 $b = 0.005$ .

780 **Figure 5:** Empirical test of model predictions. We experimentally manipulated light (by conduct-

ing experiments at three different light levels, top row = 100, middle row = 50, and bottom row = 20  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ), attack rate (by using three different *Ochromonas* strains, left column = CCMP 1393 with the lowest attack rate, right column = CCMP 2951 with the highest attack rate), and initial conditions (subplots grouped in threes, with initial conditions of 1:10, 1:20, and 1:100 *Ochromonas: Micromonas* from left to right). Time series of mean population sizes with standard errors are plotted for the mixotroph *Ochromonas* (solid lines) and the phytoplankter prey *Micromonas* (dashed lines). Y-axes (log scale) are the same for all plots. Extinctions are marked with an "X," and subplot background color indicates the type of equilibrium observed (blue = phytoplankter only, red = mixotroph only, and purple = coexistence). Our data show a transition from *Micromonas*-dominated equilibria (phytoplankter-only and simultaneous stability of alternate competitive exclusion) to *Ochromonas*-dominated equilibria (mixotroph-only and simultaneous stability of mixotroph-only and coexistence) as grazing rates and light levels increase (from lower left to upper right). This is qualitatively consistent with the mathematical model predictions presented in Figure 4.

Figure 1:

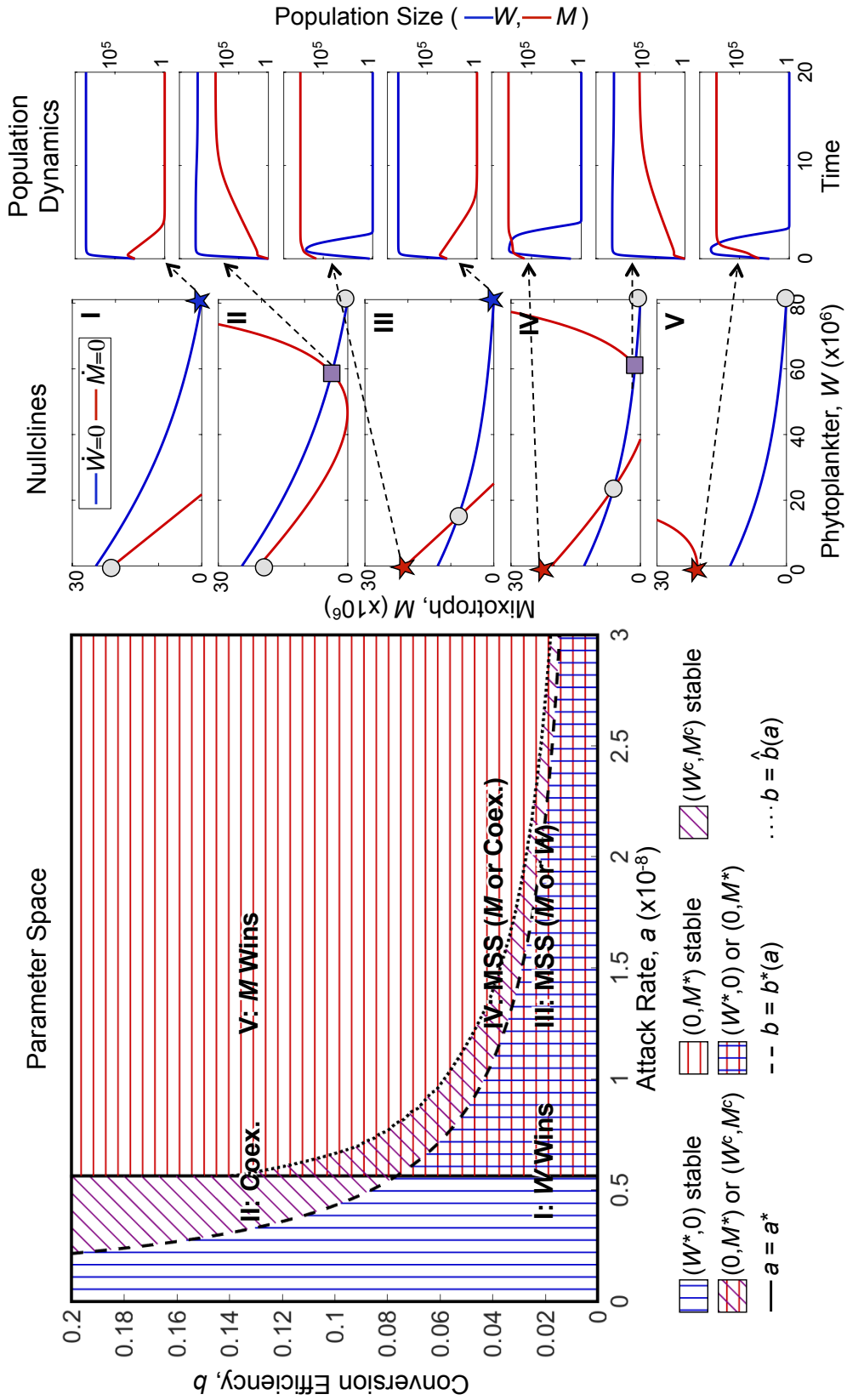




Figure 2:

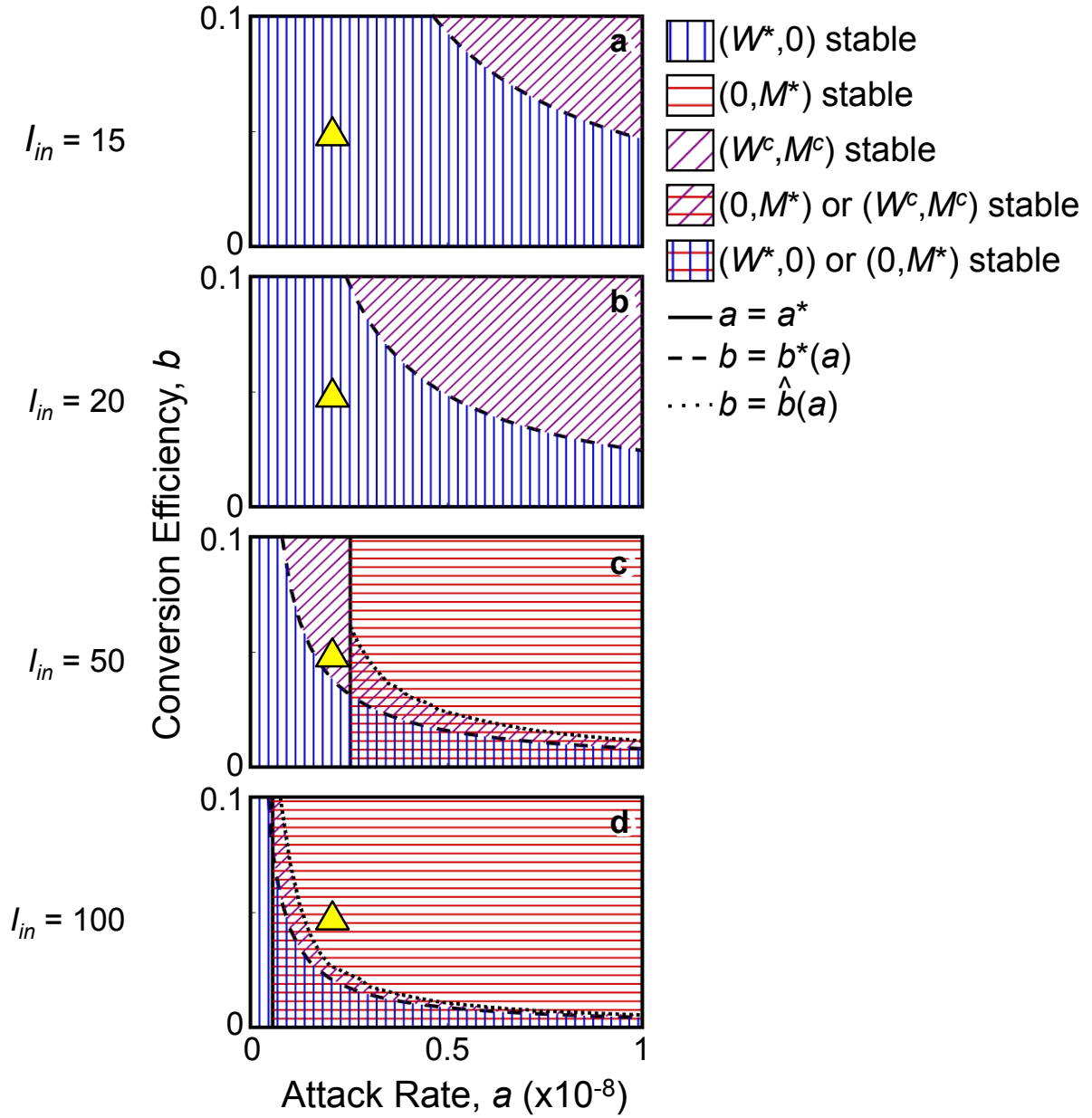


Figure 3:

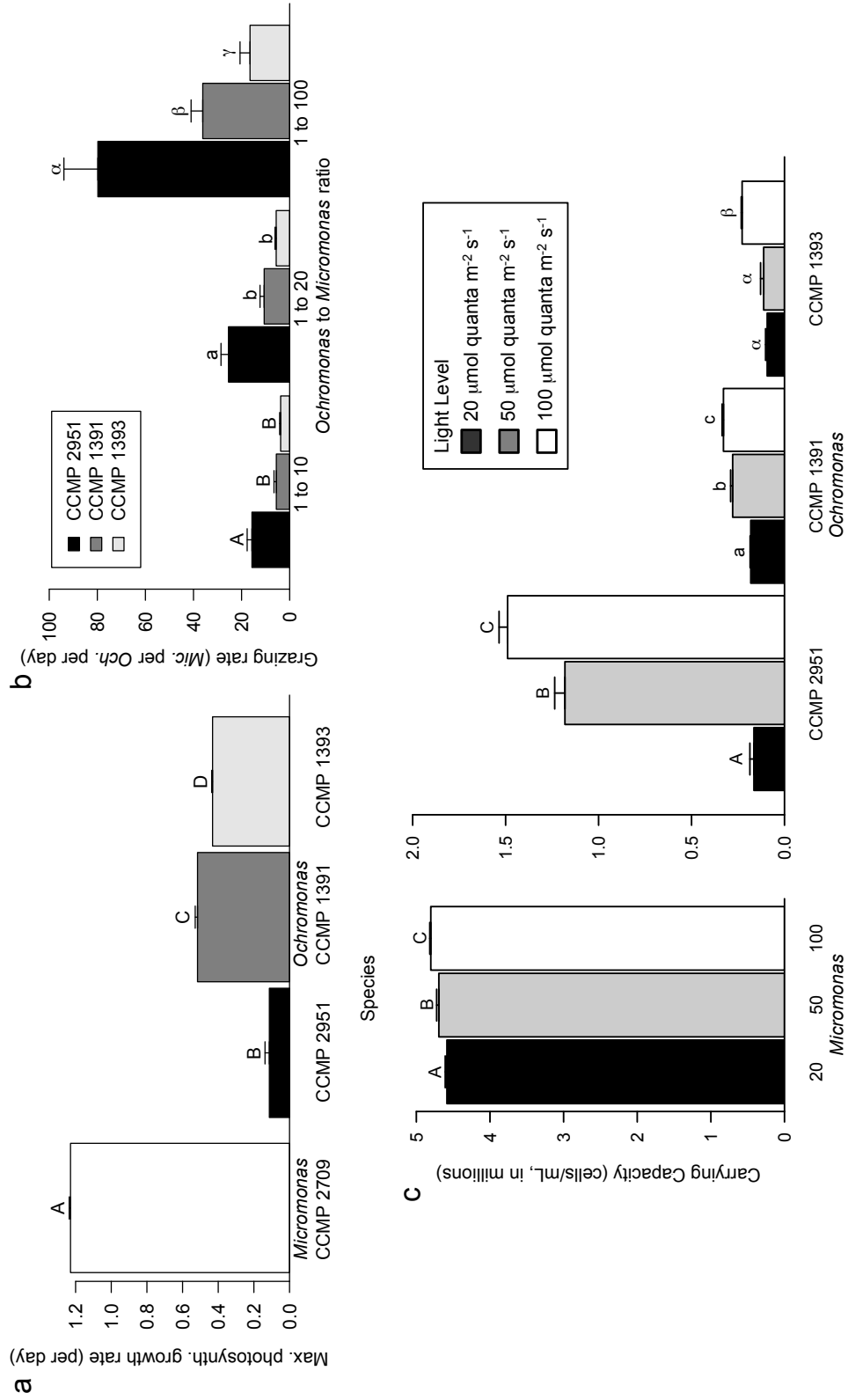


Figure 4:

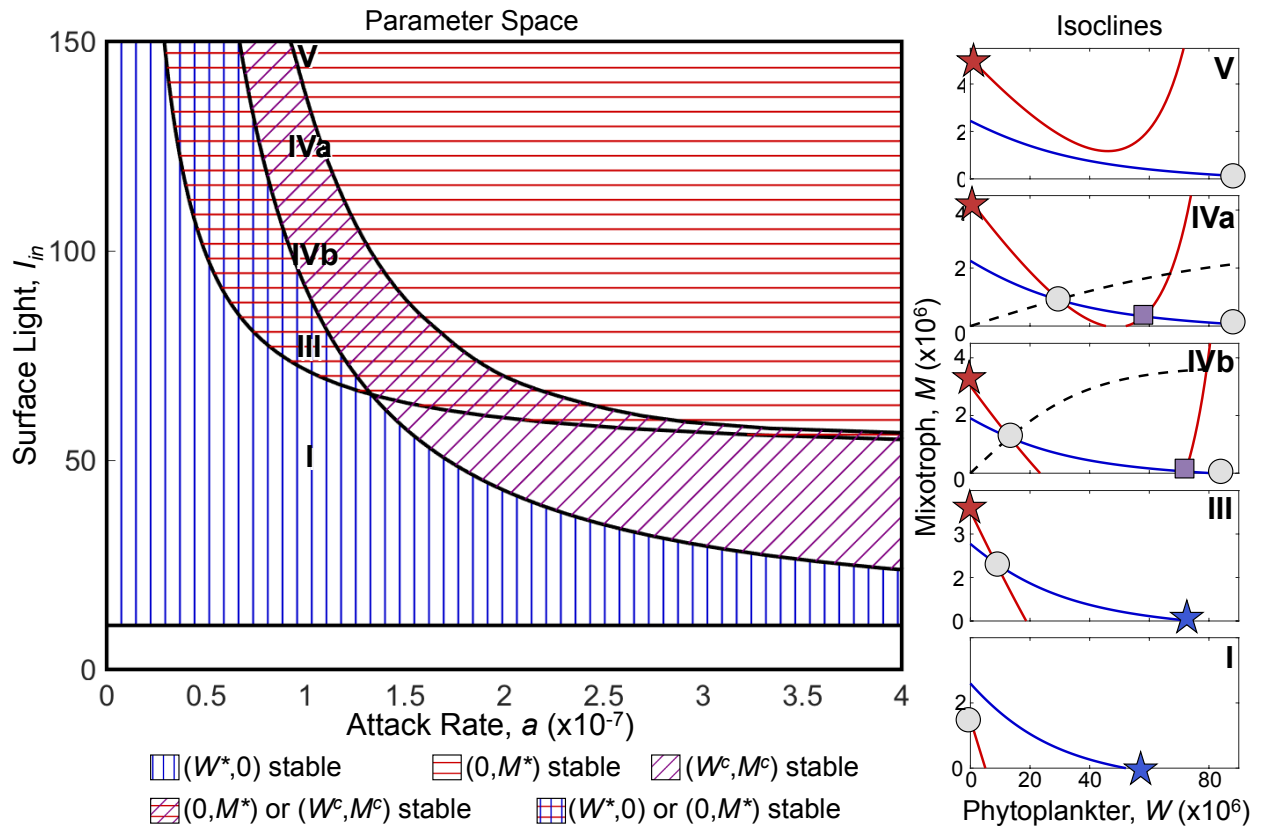
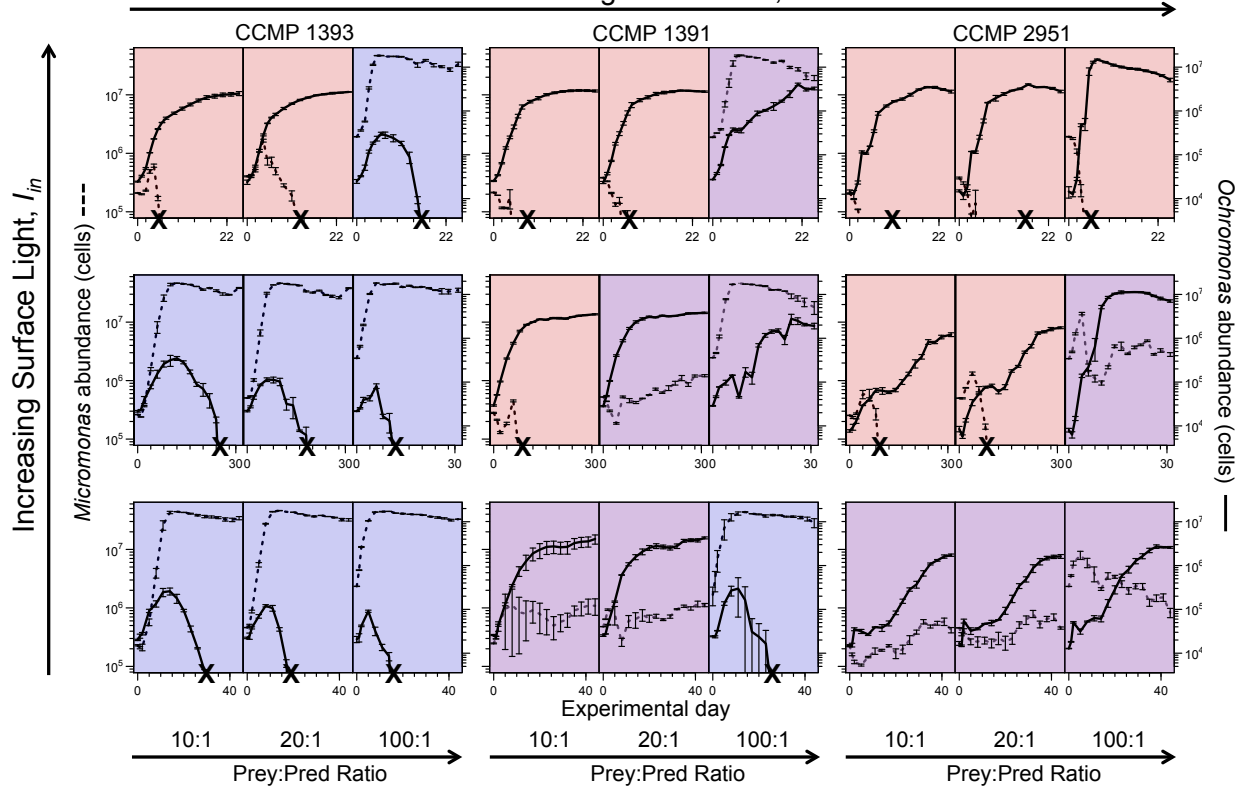


Figure 5:  
Increasing Attack Rate,  $a$



795 **Supporting Information:** Holly V. Moeller, Michael G. Neubert, and Matthew D. Johnson. 2019.  
Intraguild predation enables coexistence of competing phytoplankton in a well-mixed water col-  
umn. *Ecology*.

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**Online Appendix S1: Supplemental Tables and Figures**

Table S1: Media Nutrient Content

Component	Concentration in K medium* (used for stock cultures)	Concentration in 2K medium** (used for comparative experiment)
NaNO <sub>3</sub>	8.82x10 <sup>-4</sup> M	1.76x10 <sup>-3</sup> M
NH <sub>4</sub> Cl	5.00x10 <sup>-5</sup> M	1.00x10 <sup>-4</sup> M
Na <sub>2</sub> <i>b</i> -glycerophosphate	1.00x10 <sup>-5</sup> M	2.00x10 <sup>-5</sup> M
Na <sub>2</sub> SiO <sub>3</sub> · 9H <sub>2</sub> O	5.04x10 <sup>-4</sup> M	1.01x10 <sup>-3</sup> M
H <sub>2</sub> SeO <sub>3</sub>	1.00x10 <sup>-8</sup> M	2.00x10 <sup>-8</sup> M
Tris-base (pH 7.2)	1.00x10 <sup>-3</sup> M	2.00x10 <sup>-3</sup> M
Na <sub>2</sub> EDTA · 2H <sub>2</sub> O	1.11x10 <sup>-4</sup> M	2.22x10 <sup>-4</sup> M
FeCl <sub>3</sub> · 6H <sub>2</sub> O	1.17x10 <sup>-5</sup> M	2.34x10 <sup>-5</sup> M
MnCl <sub>2</sub> · 4H <sub>2</sub> O	9.00x10 <sup>-7</sup> M	1.80x10 <sup>-6</sup> M
ZnSO <sub>4</sub> · 7H <sub>2</sub> O	8.00x10 <sup>-8</sup> M	1.60x10 <sup>-7</sup> M
CoCl <sub>2</sub> · 6H <sub>2</sub> O	5.00x10 <sup>-8</sup> M	1.00x10 <sup>-7</sup> M
Na <sub>2</sub> MoO <sub>4</sub> · 2H <sub>2</sub> O	2.60x10 <sup>-8</sup> M	5.20x10 <sup>-8</sup> M
CuSO <sub>4</sub> · 5H <sub>2</sub> O	1.00x10 <sup>-8</sup> M	2.00x10 <sup>-8</sup> M
thiamine · HCl (vit. B <sub>1</sub> )	2.96x10 <sup>-7</sup> M	5.92x10 <sup>-7</sup> M
biotin (vit. H)	2.05x10 <sup>-9</sup> M	4.10x10 <sup>-9</sup> M
cyanocobalamin (vit. B <sub>12</sub> )	3.69x10 <sup>-10</sup> M	7.38x10 <sup>-10</sup> M
<b>Total N</b>	9.32x10 <sup>-4</sup> M	1.86x10 <sup>-3</sup> M
<b>Total P</b>	1.00x10 <sup>-5</sup> M	2.00x10 <sup>-5</sup> M

\*Concentrations listed below are provided by the National Center for Marine Algae and Microbiota based on the use of their K medium kit. Kits are designed to recapitulate the media described by Keller et al. (1987). Kit nutrients were added to autoclaved, 0.2 $\mu$ m-filtered Santa Barbara Coastal Seawater. Because coastal seawater is usually relatively replete in inorganic nutrients, actual concentrations in the growth media were likely higher than listed in the table.

\*\*2K medium was made by adding double the amount of nutrients per liter of seawater called for in the K medium kit; thus, estimated nutrient concentrations are double that in typical K medium.

Table S2: Cellular Nutrient Content

Species	Carbon per cell <i>f</i> mol/cell	Nitrogen per cell <i>f</i> mol/cell	Phosphorus per cell <i>f</i> mol/cell	Source
<i>Micromonas</i>	146	14.8	0.18	(Maat et al., 2014)
<i>Ochromonas</i> 1391	4973	705		(Verity et al., 1992)
<i>Ochromonas</i> 1393	2133	310		(Verity et al., 1992)
<i>Ochromonas</i> 2951	3505	502		(Verity et al., 1992)

Table S3: Nutrient Budget

Experiment	<i>Micromonas</i> Abundance (cells/mL)	<i>Ochromonas</i> Abundance (cells/mL)	Carbon (M)	Nitrogen (M)	Phosphorus (M)	% N remaining	% P remaining
Fresh media				$1.86 \times 10^{-3}$	$2.00 \times 10^{-5}$	100	100
<i>Micromonas</i>	$4.80 \times 10^6$		$7.01 \times 10^{-4}$	$7.11 \times 10^{-5}$	$9.00 \times 10^{-7}$	96.2	95.5
<i>Och.</i> 1391		$3.80 \times 10^5$	$1.89 \times 10^{-3}$	$2.68 \times 10^{-4}$		85.6	
<i>Och.</i> 1393		$2.72 \times 10^5$	$5.80 \times 10^{-4}$	$8.42 \times 10^{-5}$		95.5	
<i>Och.</i> 2951		$1.49 \times 10^6$	$5.22 \times 10^{-3}$	$7.47 \times 10^{-4}$		59.9	
<i>Mic.</i> + <i>Och.</i> 1391	$4.84 \times 10^6$	$4.06 \times 10^5$	$2.72 \times 10^{-3}$	$3.57 \times 10^{-4}$		80.8	
<i>Mic.</i> + <i>Och.</i> 1393	0	$2.61 \times 10^5$	$5.57 \times 10^{-4}$	$8.10 \times 10^{-5}$		95.7	
<i>Mic.</i> + <i>Och.</i> 2951	$1.40 \times 10^6$	$1.18 \times 10^6$	$4.34 \times 10^{-3}$	$6.13 \times 10^{-4}$		67.1	

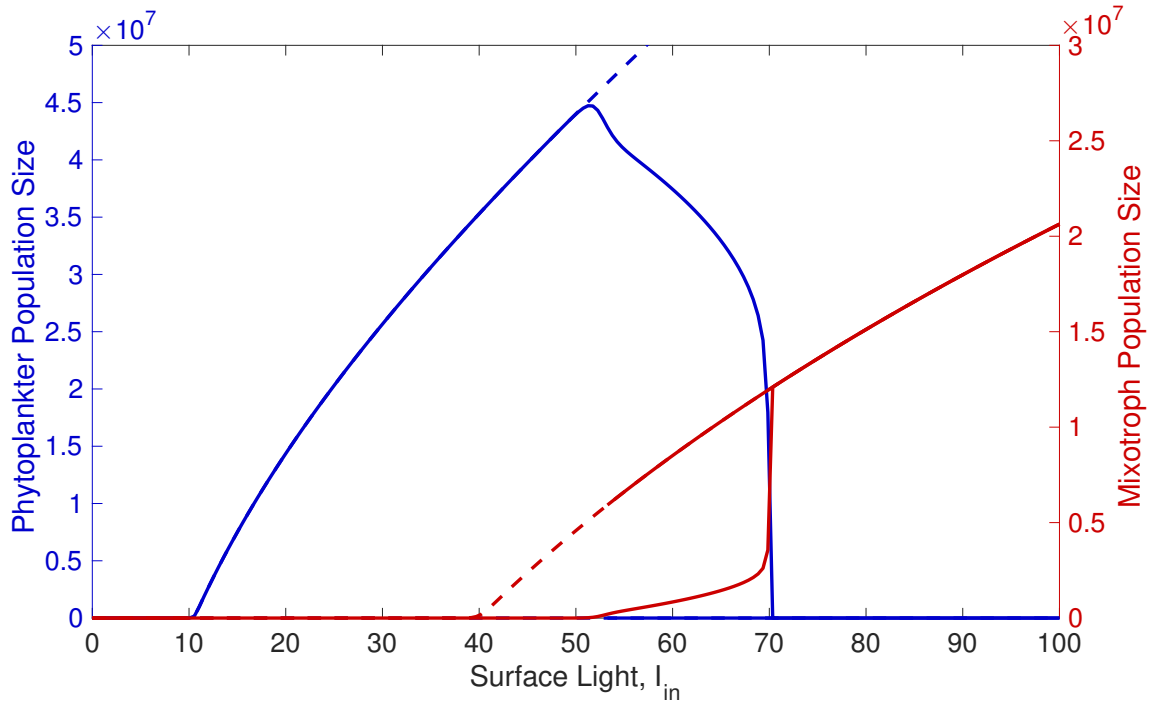


Figure S1: Response of population sizes of the phytoplankter (blue) and mixotroph (red) to surface incoming light (x-axis). Once light increases above  $I_W$ , the compensation irradiance for the phytoplankter, the phytoplankter persists in isolation, with a carrying capacity that increases monotonically with surface light input. The phytoplankter's superior competitive ability for light prevents the invasion of the mixotroph, although it could persist in isolation (dashed red line), until light levels are sufficiently high for coexistence ( $I_{in} > 51$ ). The system becomes bistable when  $I_{in}$  exceeds 54: Depending upon initial conditions, the mixotroph either coexists with, or competitively excludes, the phytoplankter. For much higher surface irradiances ( $I_{in} > 70$ ), the mixotroph competitively excludes the phytoplankter regardless of initial conditions. Parameters are listed in Table 1, with  $p_m = 0.3$ ,  $h_m = 200$ ,  $\ell_m = 0.05$ ,  $k_m = 1 \times 10^{-7}$ ,  $a = 2 \times 10^{-8}$ , and  $b = 0.04$ .



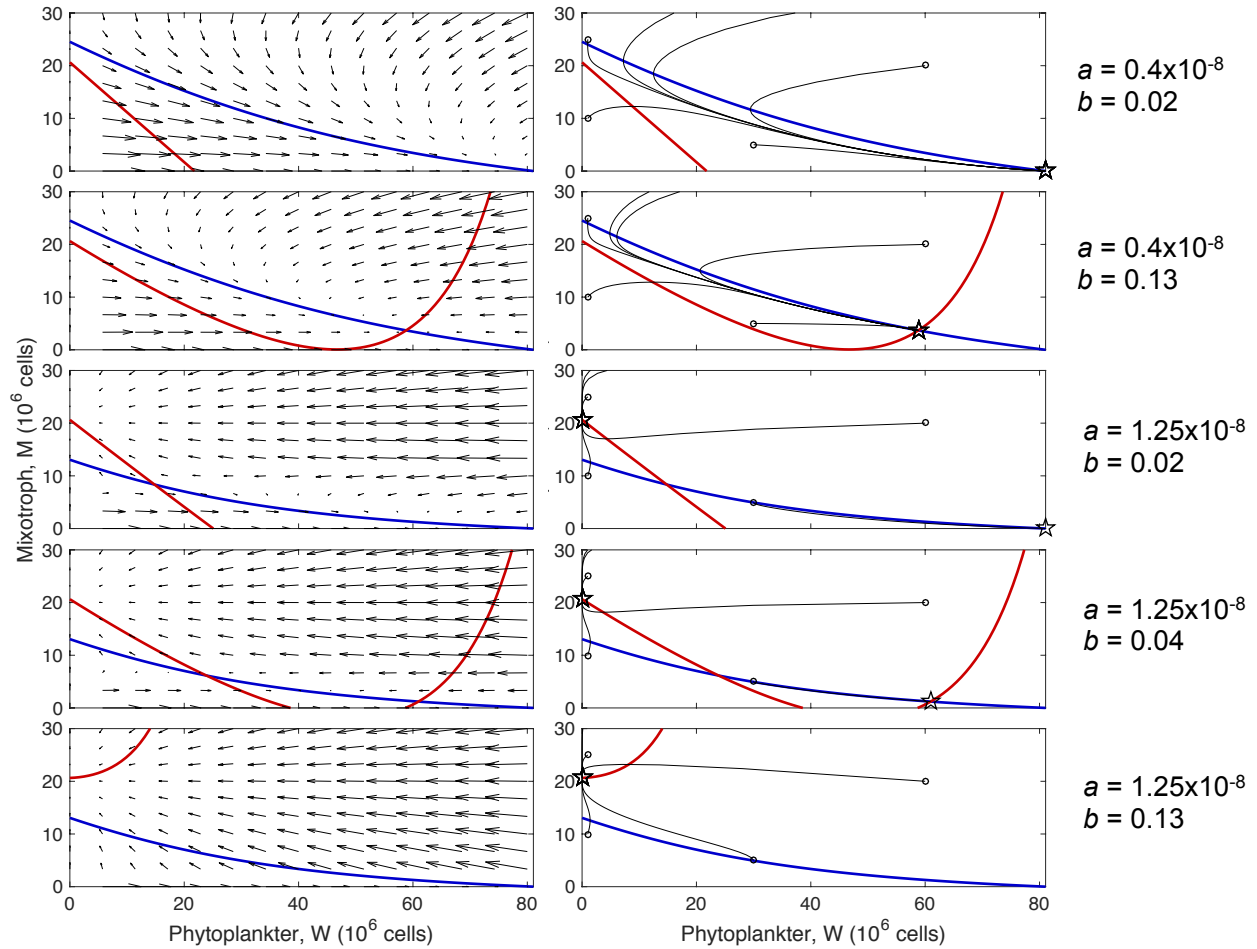


Figure S2: Zero net growth nullclines for the phytoplankter  $W$  in blue and the mixotroph  $M$  in red, for five different sets of  $a$  and  $b$  (different rows, as in Figure 1). Nullcline plots are underlaid by a velocity field (left column) indicating short-term changes in population sizes for various initial conditions, or by five example population trajectories (right column) indicating how populations change over time from initial conditions (indicated by circles) to equilibrium states (stars). Parameters are listed in Table 1, with  $p_m = 0.3$ ,  $h_m = 200$ ,  $\ell_m = 0.05$ .

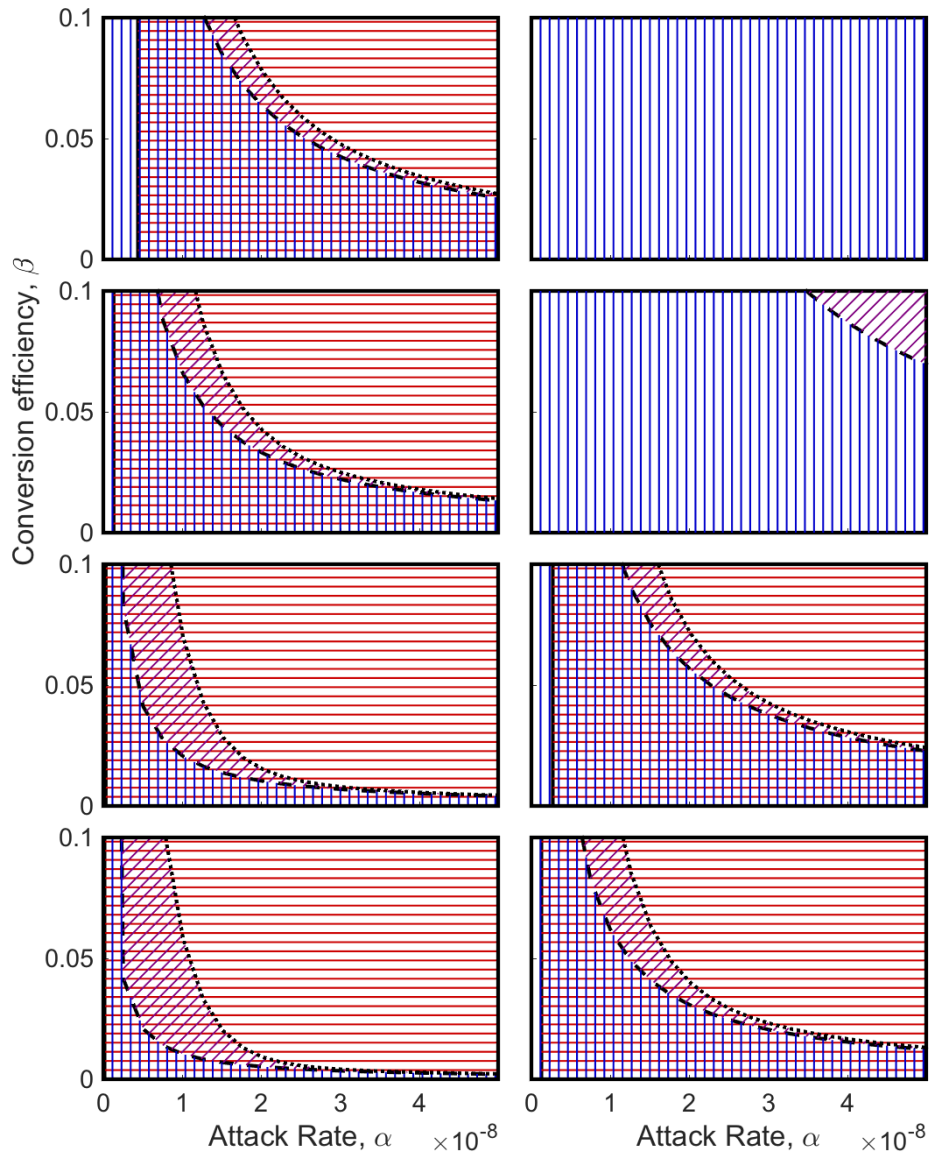


Figure S3: Effects of input light and trait differences on the stability of model equilibria as a function of attack rate  $a$  and conversion efficiency  $b$  parameter space. Surface light  $I_{in}$  is set at 15, 20, 50, and 100 for the first, second, third, and fourth rows respectively. For the left column, the phytoplankter and mixotroph differ only in half-saturation constants ( $h_m = 250$ ;  $l_m = 0.05$ ). For the right column, the phytoplankter and mixotroph differ in mortality rates ( $l_m = 0.1$ ;  $h_m = 200$ ). All other parameters are listed in Table 1, with  $p_m = 1$  and  $k_m = 1 \times 10^{-7}$ . Stability regions are colored and hatched as in Figure 1.

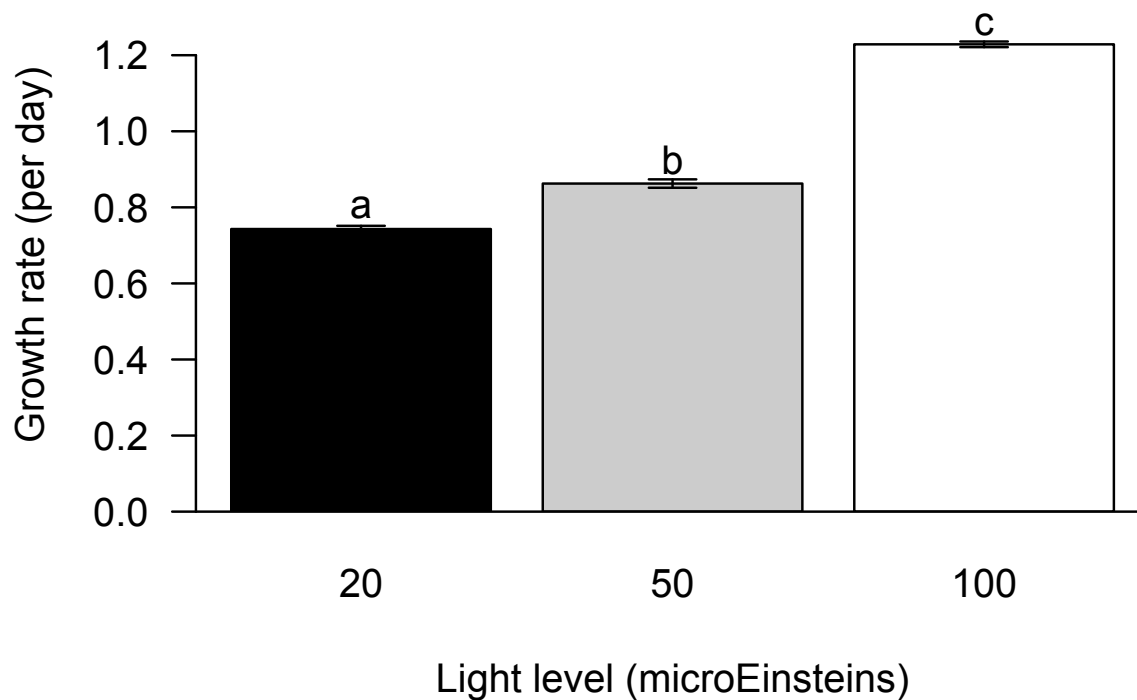


Figure S4: Growth rate of *Micromonas* as a function of light. Initial growth rates were measured over the first 24 hours of the main experiment, in order to reduce the effects of intraspecific competition as population sizes increased. *Micromonas*'s growth rate increased with increasing availability of light. Bar heights represent means; whiskers indicate 95% confidence intervals. Letters indicate significant differences at the  $p < 0.001$  level (Tukey's HSD comparing population size grouped by *Ochromonas* strain).

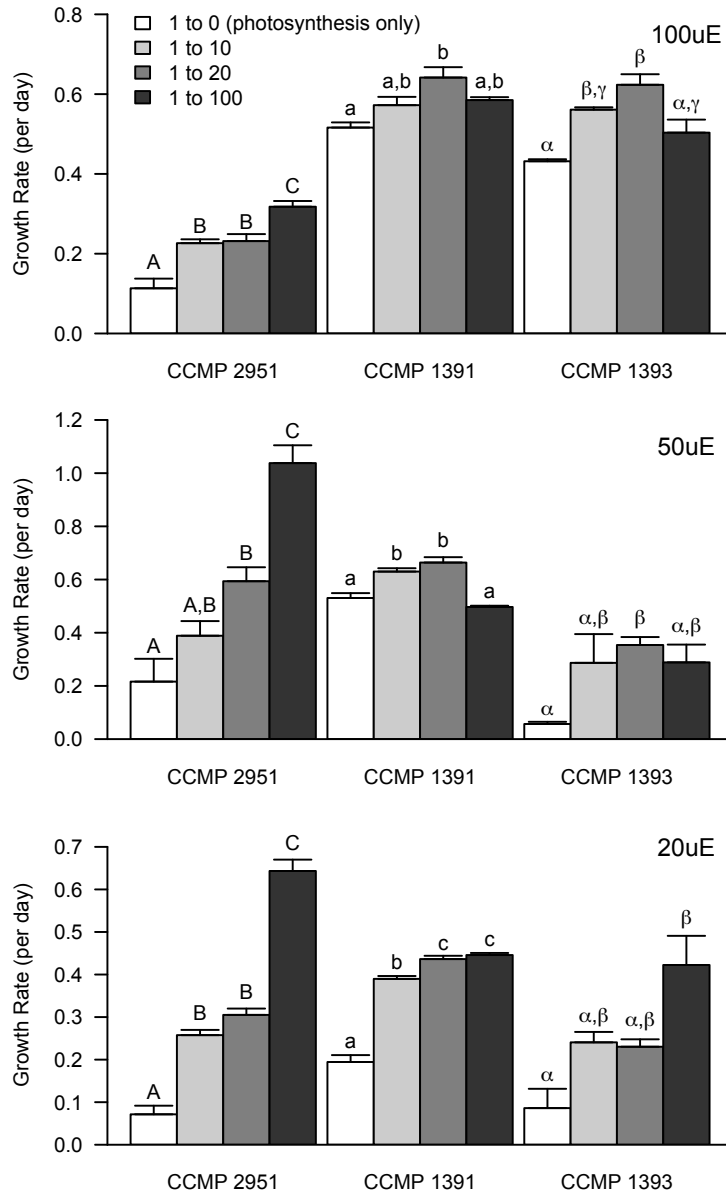


Figure S5: Mixotrophic growth response of *Ochromonas*. Initial growth rates were measured over the first 24 hours of the main experiment, in order to reduce the effects of competition as population sizes increased. *Ochromonas*'s mixotrophic growth rate increased with increasing availability of the phytoplankter prey species *Micromonas*. Data are shown for four initial prey concentrations ranging from no prey (i.e., 0 initial *Micromonas* cells/mL, so that all measured growth is photosynthetic; white bars) to high prey (i.e., 200,000 initial *Micromonas* cells/mL; dark gray bars). Bar heights represent means; whiskers indicate 95% confidence intervals. Letters indicate significant differences at the  $p < 0.05$  level (Tukey's HSD comparing population size grouped by *Ochromonas* strain).

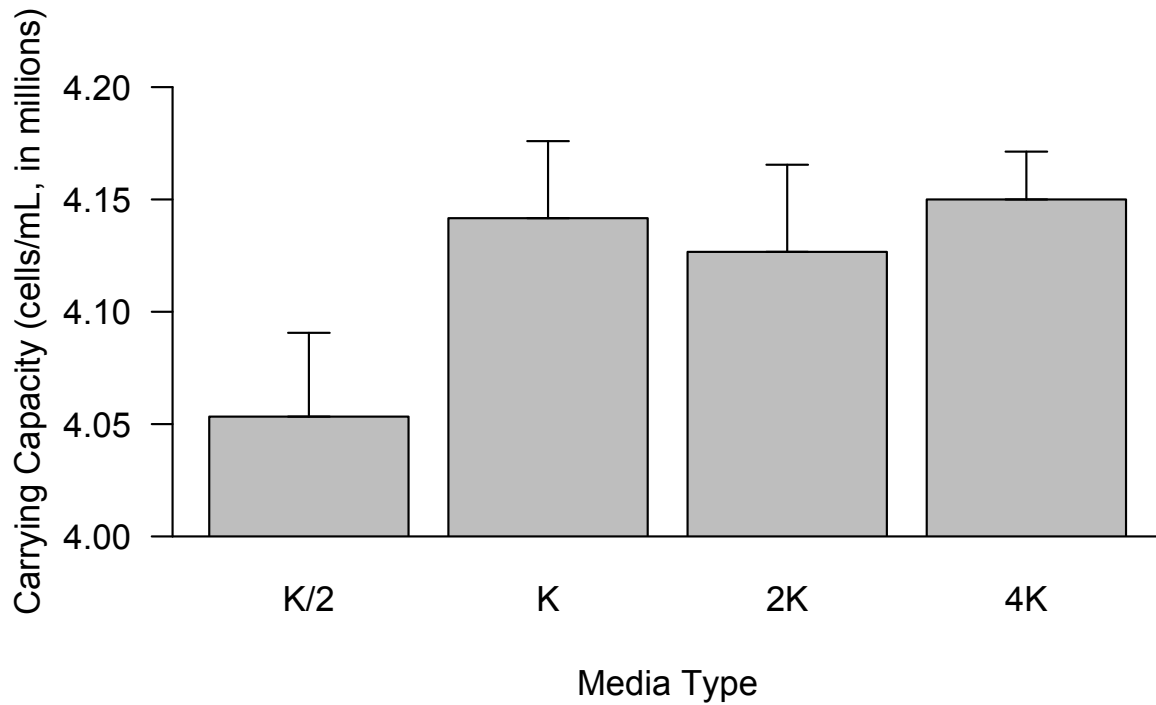


Figure S6: Carrying capacity of *Micromonas* grown in media with varied nutrient content. We observed no significant differences in carrying capacity across four nutrient conditions (Tukey's HSD,  $p > 0.05$ ). Although carrying capacity was lower for K/2 media, this difference was not significant. Bar heights represent means; whiskers indicate 95% confidence intervals. Data are from a pilot experiment.

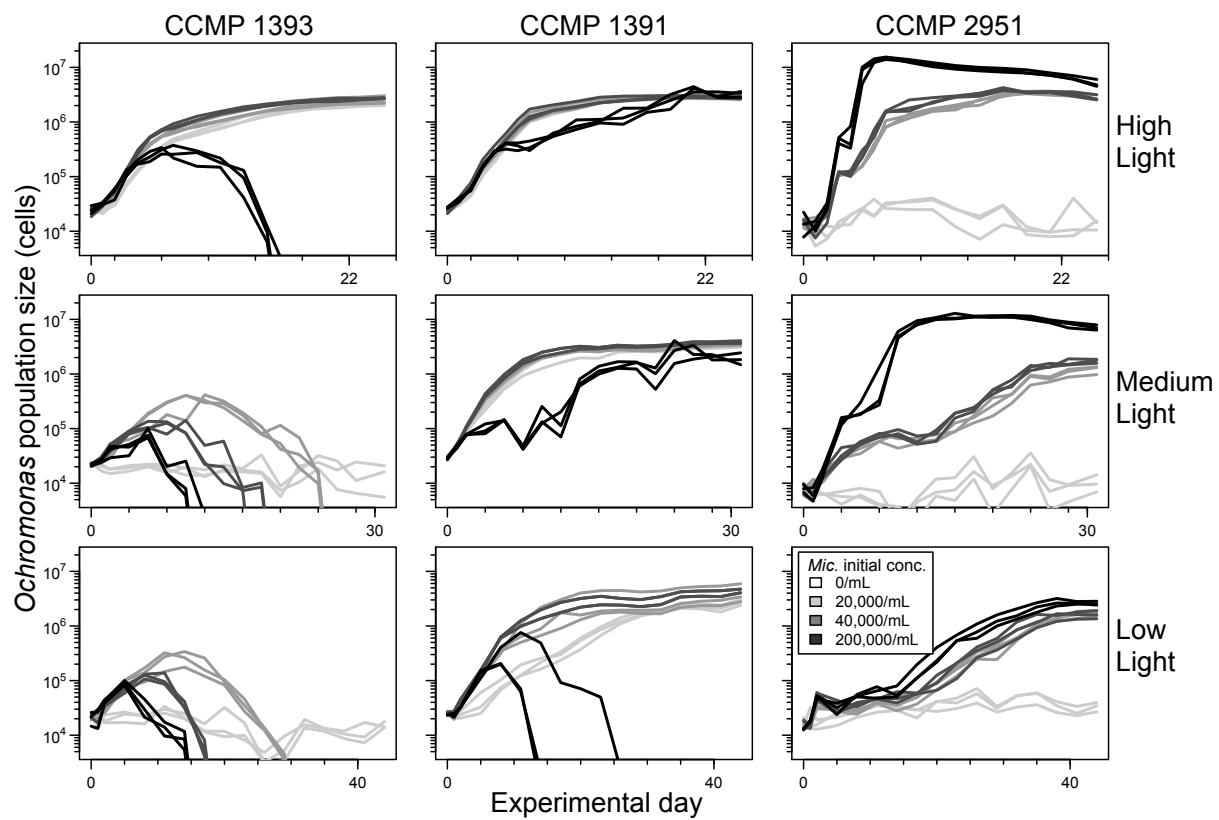


Figure S7: Individual replicate population trajectories of *Ochromonas* by light level (different rows), strain (different columns), and initial conditions (grayscale; see legend in bottom right panel) in the main experiment.

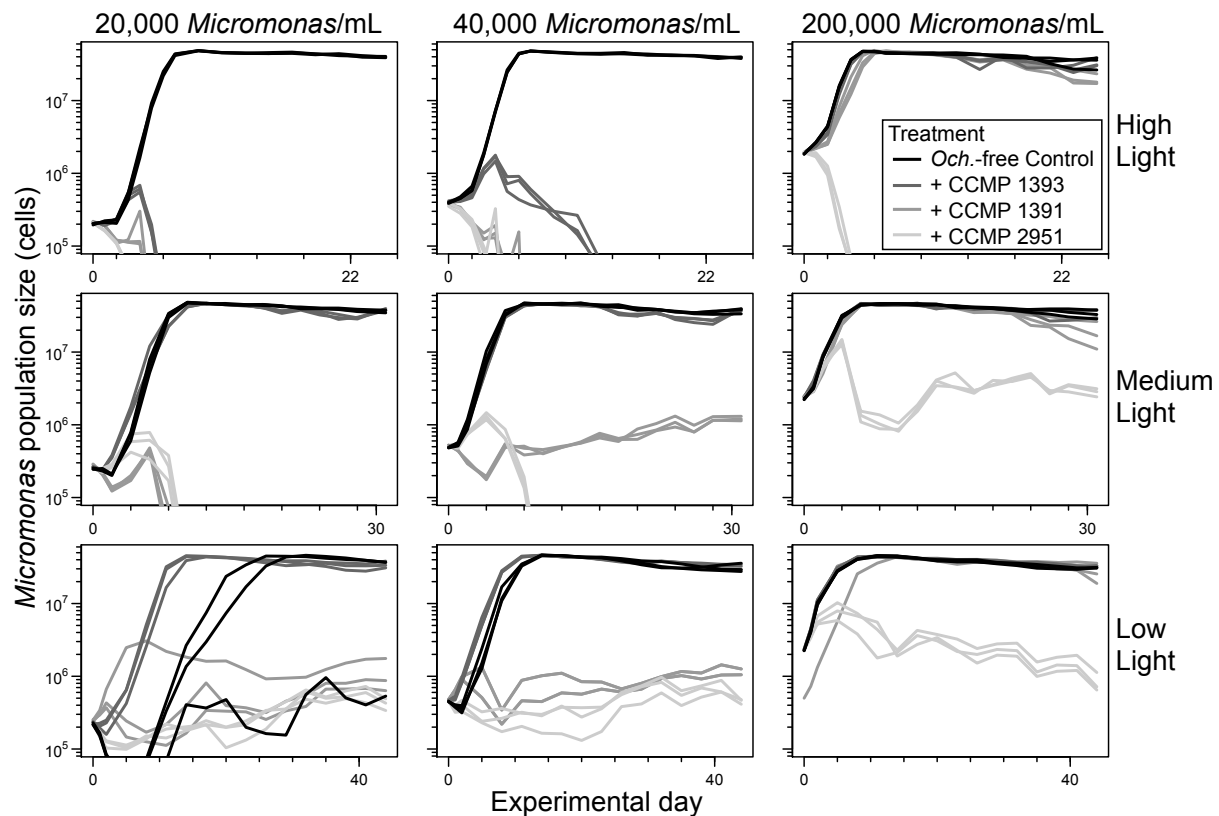


Figure S8: Individual replicate population trajectories of *Micromonas* grown in co-culture with *Ochromonas*, grouped by light level (different rows), initial condition (different columns), and *Ochromonas* strain (grayscale; see legend in upper right panel) in the main experiment. For reference, controls (*Ochromonas*-free growth curves) for each experimental treatment are plotted in black.

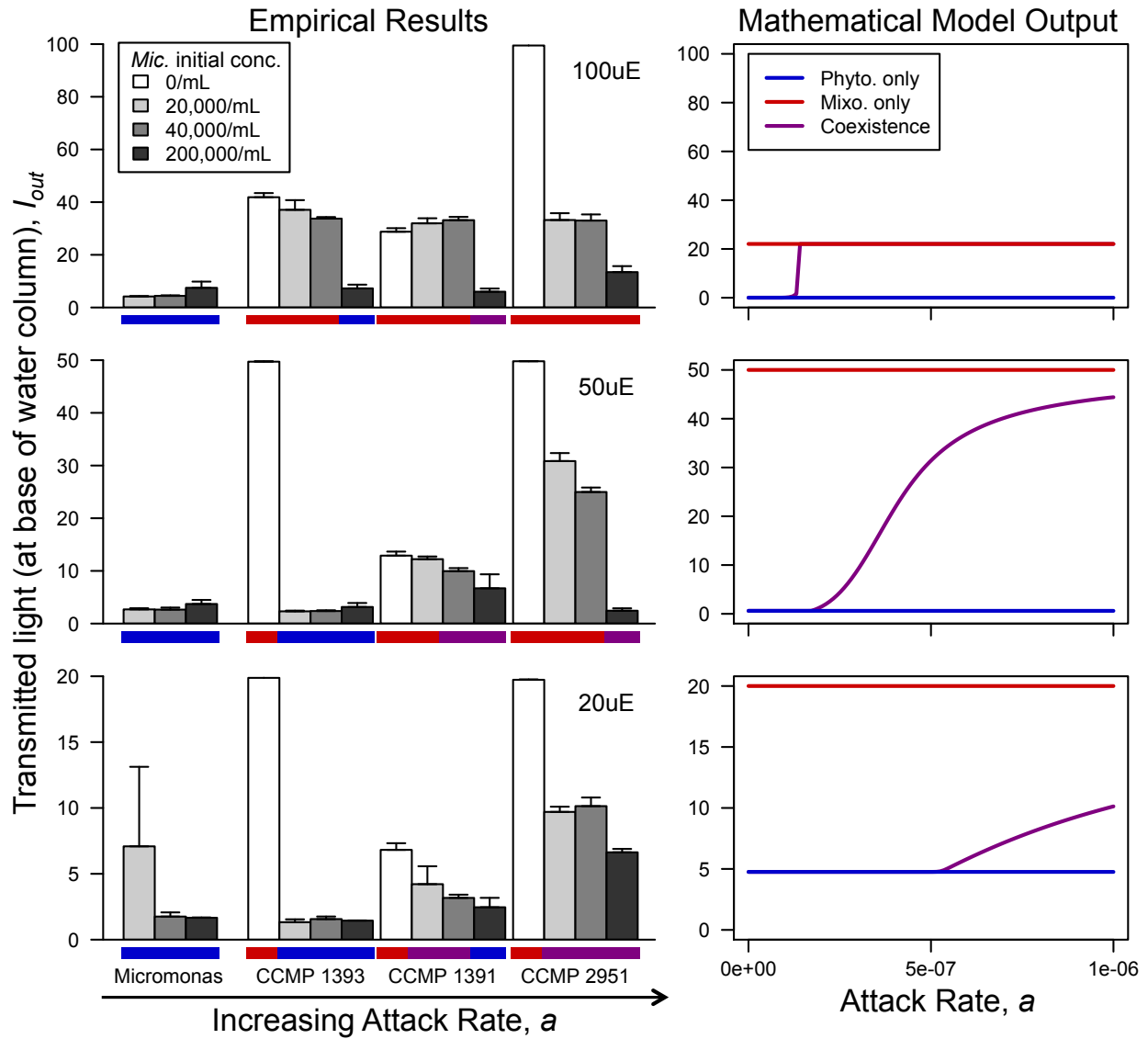


Figure S9: Transmitted light ( $I_{out}$ ) calculated for the end of the main laboratory experiment (left column) and predicted by the mathematical model (right column). Results are shown for all three experimental light levels (top row: 100  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ; middle row: 50  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ; bottom row: 20  $\mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ). For the empirical results, bar heights represent means; whiskers indicate 95% confidence intervals. Results are shown for *Micromonas* alone (leftmost set of bars) and for all three strains of *Ochromonas*. Bar shading represents initial inoculation concentration of *Micromonas* (see legend; for *Micromonas*-only treatments, *Ochromonas* was not inoculated). Color bars below the x-axis indicate the equilibrium outcome (blue: *Micromonas* only; red: *Ochromonas*-only; purple: coexistence). For the mathematical results, parameters are set as in Figure 4:  $p_m = 0.3$ ,  $h_m = 250$ ,  $\ell_m = 0.1$ , and  $k_m = 5 \times 10^{-7}$ .



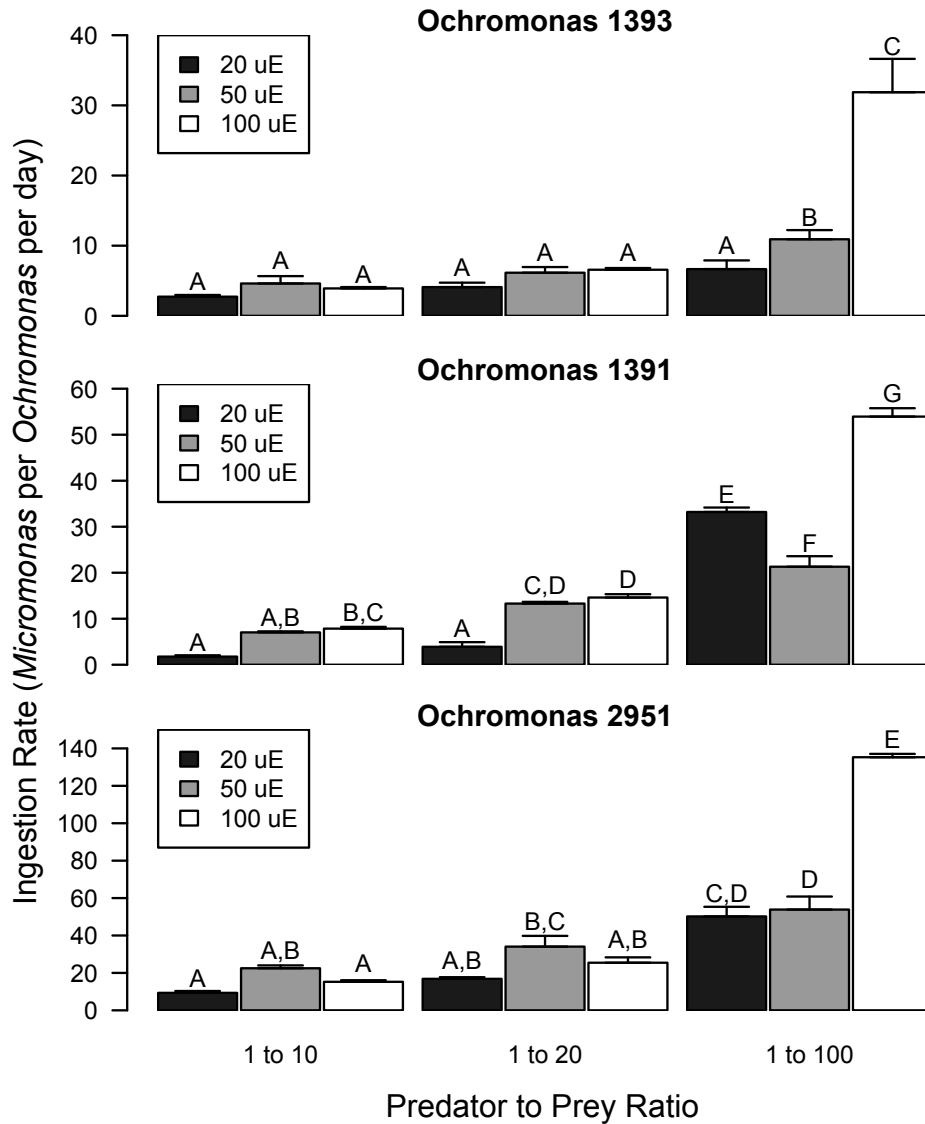


Figure S10: Grazing rates of *Ochromonas* on *Micromonas* by prey initial concentration and experimental light level. Bar heights represent means, whiskers indicate 95% confidence intervals, and different letters indicate treatments with statistically significantly different means (Tukey's HSD,  $p < 0.05$ ). In general, grazing rates increased with increasing prey availability (from left to right), with CCMP 2951 having the highest grazing rates overall (note different y-axis scales). While grazing rates did tend to increase with increasing light, this trend was not consistent across all treatments. Data are from a preliminary experiment designed to specifically quantify grazing rates.