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# Cardiac hypertrophy and thyroid hormone signaling

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**Abstract** Thyroid hormone exerts a large number of influences on the cardiovascular system. Increased thyroid hormone action increases the force and speed of systolic contraction and the speed of diastolic relaxation and these are largely beneficial effects. Furthermore, thyroid hormone has marked electrophysiological effects increasing heart rate and the propensity for atrial fibrillation and these effects are largely mal-adaptive. In addition, thyroid hormone markedly increases cardiac angiogenesis and decreases vascular tone. These multiple thyroid hormone effects are largely mediated by the action of nuclear based thyroid hormone receptors (TR) the thyroid hormone receptor alpha and beta. TR $\alpha$  is the predominant isoform in the heart. Rapid nongenomic thyroid hormone effects also occur, which can be clearly demonstrated in ex-vivo experiments. Some of the most marked thyroid hormone effects in cardiac myocytes involve influences on calcium flux, with thyroid hormone promoting expression of the gene encoding the calcium pump of the sarcoplasmic reticulum (SERCa2). In contrast, in hypothyroid animals phospholamban levels, which inhibit the SERCa2 pump, are increased. In addition, marked effects are exerted on the calcium channel of the sarcoplasmic reticulum the ryanodine channel. Related to myofibrillar proteins, myosin heavy chain alpha is increased by T3 and MHC beta is decreased. Complex and interesting interactions occur between cardiac hypertrophy induced by excess thyroid hormone action and cardiac hypertrophy occurring with heart failure. The thyroid hormone mediated cardiac hypertrophy in its initial phases presents a physiological hypertrophy with increases in SERCa2 levels and

decreased expression of MHC beta. In contrast, pressure overload induced heart failure leads to a “pathological” cardiac hypertrophy which is largely mediated by activation of the calcineurin system and the MAPkinases signaling system. Recent evidence indicates that heart failure can lead to a downregulation of the thyroid hormone signaling system in the heart. In the failing heart, decreases of thyroid hormone receptor levels occur. In addition, serum levels of T4 and T3 are decreased with heart failure in the frame of the non-thyroidal illness syndrome. The decrease in T3 serves as an indicator for a bad prognosis in the heart failure patient being linked to increased mortality. In animal models, it can be shown that in pressure overload-induced cardiac hypertrophy a decrease of thyroid hormone receptor levels occurs. Cardiac function can be improved by increasing expression of thyroid hormone receptors mediated by adeno-associated virus based gene transfer. The failing heart may develop a “hypothyroid” status contributing to diminished cardiac contractile function.

**Keywords** Thyroid hormone action · Thyroid hormone receptors · Heart failure · Non-thyroidal illness syndrome · Hypothyroidism · Calcium flux

## Introduction

Thyroid hormone exerts a large number of influences on the cardiovascular system involving cardiac contractile effects, electrophysiological function, and cardiac structure [1–3]. In addition, vascular tone, lipid levels and oxygen consumption are markedly influenced by the thyroid status. Related to cardiac contraction, thyroid hormone stimulates the rate and force of systolic contraction and the rate of

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diastolic relaxation [3]. These beneficial cardiovascular effects occur in animal models and patients with heart failure. Electrophysiological effects manifest themselves as an increased heart rate, which is sometimes apparent as resting tachycardia in patients with hyperthyroidism. In addition, an increased propensity to arrhythmias especially atrial arrhythmias and atrial fibrillation occurs [4, 5]. Increased thyroid hormone action of some duration markedly stimulates the cardiac protein synthesis and leads to a concentric cardiac hypertrophy [6, 7]. Return from a hyperthyroid to a eu-thyroid status results in a return of the cardiac hypertrophy to a normal cardiac configuration. In addition, less well recognized effects of thyroid hormones are exerted on the cardiac vascular system with a promotion of angiogenesis [8, 9]. Increased thyroid hormone action leads to a decrease of the tone of arterial vascular smooth muscle and a markedly decreased cardiac afterload [10]. In contrast 20–40% of patients with hypothyroidism can exhibit an increase in blood pressure [11]. Thyroid hormone action also effects lipid level. Hypothyroidism leads to increased cholesterol levels because of decreased clearance and increased levels of LDL [12, 13]. Overall, thyroid hormone stimulates metabolic rate and oxygen consumption which results in a loss of body weight in the majority of patients with hyperthyroidism.

### **Mechanisms of thyroid hormone action in the cardiovascular system**

Thyroid hormone acts in large part by binding to nuclear thyroid hormone receptors [14]. Binding of the T3 ligand to the thyroid hormone receptor results for a great majority of genes in their increased transcription. In the absence of the ligand T3, the thyroid hormone receptor can repress the expression of genes leading to gene silencing. The communication between the thyroid hormone and the basal transcription machinery occurs through a complex set of co-activators and co-repressors [15]. The ligand-activated thyroid hormone receptor recruits co-activators which have a positive stimulatory interaction with the basic transcriptional machinery. In contrast recruitment of thyroid hormone related co-repressors leads to decreased transcription of thyroid hormone responsive genes. Thyroid hormone responsive genes contain thyroid hormone response elements (TRE's) in their promoter, which can be configured as cononical elements consisting of two direct six nucleotide repeats spaced by a four nucleotide sequence [14]. Other elements are composed as palindromic or inverted palindromic TRE's, and in addition, highly complex TRE's have been described [16]. Two genes, thyroid hormone receptor alpha and thyroid hormone receptor beta, encode thyroid hormone receptors. The thyroid hormone

receptor alpha gene encodes the ligand-activated thyroid hormone receptor alpha 1 receptor. Due to alternate splicing, thyroid hormone receptor alpha 2 does not bind to thyroid hormone and has a weak silencing effect [14, 16]. In addition, short delta fragments of the thyroid hormone receptor are expressed, which do not include the N and C terminal portions of the T3 receptor protein [17]. This TR delta alpha 1 and TR delta 2 fragments exert a dominant negative effect. A specific splice variant of the thyroid hormone receptor alpha, which is preferentially expressed in mitochondria (P43, P28) has been described [18]. For the thyroid receptor beta, the major transcript which is ubiquitously present is the T3 binding thyroid hormone receptor beta 1 isoform. The TR beta 2 isoform has a different N-terminal configuration and is preferentially expressed in the central nervous system and the pituitary. In addition, a TR beta 3 isoform is expressed in an ubiquitous fashion at low levels and a delta isoform TR delta beta 3 is expressed which exerts dominant negative effects [16]. The mediation of nuclear T3 receptor-based thyroid hormone action is therefore a complex process which is influenced by the thyroid hormone concentration and the level and type of the thyroid hormone receptors alpha and beta isoforms. In addition the thyroid hormone receptors can form homo and heterodimers, which is the most frequently occurring form. The configuration of the thyroid hormone response elements onto which the TR binds, also influences the thyroid hormone action. The important role of interactions with co-repressors and co-activators was already indicated and the interactions with cell-type specific factors can occur. These interactions lead to changes in the histone acetylation status of chromatin.

In addition to the classical nuclear thyroid hormone receptor-based mediation of T3 action recently rapid effects of thyroid hormone especially in cell culture experiments have been demonstrated [19]. The importance of these effects under in vivo conditions is currently explored actively. These rapid effects of thyroid hormone may be mediated by the binding of thyroid hormone to integrin-based receptors on the cell surface. It is also possible that a subplasma membrane compartment of thyroid hormone receptors exist, as it has been described for the estrogen receptor [20].

### **From gene to contractile effect**

The diastolic function of the heart is influenced by the thyroid status in a marked fashion. Hypothyroidism is frequently combined with delayed diastolic relaxation of the heart. The speed of diastolic relaxation of the heart is markedly influenced by the lowering of the calcium levels. In the mammalian cardiac myocyte, 70–90% of calcium

lowering is achieved by pumping of calcium into the sarcoplasmic reticulum by the calcium ATPase of the sarcoplasmic reticulum. This ATP consuming calcium pump is inhibited by phospholamban. The phosphorylation of phospholamban removes this inhibitory effect. In order to demonstrate how thyroid hormone effects can alter the expression of a specific gene leading to a contractile phenotype, the expression of the SERCa2 gene is considered. Experiments in our laboratory using nuclear run-on assays demonstrated that thyroid hormone stimulates the transcription of the SERCa2 gene. We analyzed the promoter of the SERCa2 gene in detail and found three different thyroid hormone response elements. One of the elements is a direct repeat and the second and the third element are inverted palindromic elements. The thyroid hormone response elements were mutated to non-functional TRE's which eliminated thyroid hormone effects on the activation of the SERCa2 promoter [21, 22]. These mutational studies also revealed that the first TRE was the most powerful one in mediating a stimulatory effect on the SERCa2 gene. Thyroid hormone also effects the expression of phospholamban. In the hypothyroid, heart phospholamban levels are increased which will lead to an inhibition of SERCa2. The mRNA level of the ryanodine channel is also markedly increased with an increased thyroid status [2]. In addition to influences on calcium handling, thyroid hormone influences the expression of myofibrillar proteins in important ways. Thyroid hormone exerts a positive effect on the transcription of the myosin heavy chain MHC alpha gene inhibiting MHC $\beta$  [2]. In contrast the mRNA and protein levels of myosin heavy chain beta are decreased in hypothyroid animals. Recent interesting studies have indicated that MHC expression is modulated by micro RNA's which influence MHC mRNA turnover and translation [23].

### **Predominance of thyroid hormone receptor TR alpha and beta in the heart and influences of thyroid hormone receptor depletion**

To explore in further detail the influence which the thyroid hormone receptor isoforms TR alpha and TR beta have on cardiac function, mice with an ubiquitous constitutional knock out (KO) of TR $\alpha$  and TR $\beta$  were used. The TR $\alpha$  KO and TR $\beta$ KO mice were generated by J. Samarut (Lyon, France) [24] and provided on a collaborative basis. In TR $\beta$ KO mice, alpha Exon 5, 6, and 7 are deleted [24] and for the thyroid hormone receptor beta Exon 4 and 5 are removed [25]. TR $\alpha$ KO mice have low normal thyroid hormone levels. In contrast, mice with deletion of TR $\beta$  are hyperthyroid most likely due to the loss of the inhibitory effects of TR $\beta$  on TSH expression. In order to achieve a euthyroid status, the TR $\beta$ KO mice are placed on a low

iodine/PTU diet and then replaced with a physiological dose of thyroid hormone. Cardiac papillary function in TR $\alpha$ KO and TR $\beta$ KO knockout mice has been determined [26, 27]. Cardiac capillary muscle function in TR $\alpha$ KO mice were markedly abnormal showing delayed time for relaxation and decreased tension development [26]. In contrast, the cardiac papillary muscle from TR $\beta$ KO mice exhibited normal contractile function. In addition, mice with the deletion of TR $\alpha$  have a lower heart rate whereas TR $\beta$ KO mice exhibit a normal heart rate [26, 28]. The contractile abnormalities observed in the hearts of TR $\alpha$ KO mice are in line with the fact that thyroid hormone receptor alpha at the mRNA and protein level presents 70% of all thyroid hormone receptor present with thyroid hormone receptor beta 1 accounting for the remaining 20%. The marked effect of thyroid hormone receptor alpha deletion on contractile function could be explained by the fact that thyroid hormone receptor alpha is the more predominant receptor or it also could be due to specific qualitative characteristics of the thyroid hormone receptor alpha which are different from those of thyroid hormone receptor beta. Quantitative versus qualitative effects of TR isoforms are further discussed below.

We also wanted to explore if the decrease in SERCa2 expression makes a significant contribution to the delayed diastolic contraction observed in hypothyroid mice. Transgenic mice in which the SERCa2 transgene is driven by a promoter not containing thyroid hormone response elements were generated [27]. These transgenic mice and wild type mice are made hypothyroid. Hypothyroid wild type mice show delayed diastolic cardiac relaxation or delayed relaxation of papillary muscle. In contrast, SERCa2 transgenic mice made hypothyroid exhibit a normal contractile phenotype related to diastolic relaxation or relaxation of papillary muscle. These findings therefore indicate that the diastolic contractile effects mediated by hypothyroidism are largely influenced by the calcium handling of myocytes and decreased SERCa2 expression. Holding SERCa2 levels in hypothyroid transgenic animals at the normal levels leads to normal diastolic contractile function.

### **Interaction between thyroid status cardiac hypertrophy and heart failure**

A longstanding debate has occurred in the literature if cardiac hypertrophy can be separated into a physiological versus a pathological type of cardiac hypertrophy [29, 30]. Physiological hypertrophy can be induced by exercise or by increased thyroid hormone action. It is characterized by increased SERCa2 levels, increased myosin heavy chain (MHC) alpha levels, and decreased MHC beta levels. In

contrast, pathological hypertrophy is, for example, mediated by increase in pressure overload or hypertension with the heart contracting against an increased after load. Some of its hallmarks are decreases in SERCa2 levels, decreases in MHC $\alpha$  levels, and increases in MHC $\alpha$  levels.

It has been proposed that different signaling members mediate cardiac hypertrophy in physiological vs. pathological hypertrophy. For physiological hypertrophy, one of the models proposes that IGF1 binds to its receptor on cardiac myocyte. This stimulates the activation of PI3 kinase leading to AKT phosphorylation which then initiates changes in gene expression which are compatible with the physiological cardiac hypertrophy phenotype. In contrast, major players in pathological cardiac hypertrophy include the activation of MAP kinase cascade with ERK1/2, p38 MAPK and JNK 1/2/3 [29]. In addition, the calcineurin system plays an important role in pathological cardiac hypertrophy [31]. A clear separation between physiological and pathological hypertrophy is properly not feasible, and over time, a compensated physiological hypertrophy may result in cardiomyopathic dilatation and pathological type of hypertrophy [30]. Interesting studies in which an AKT transgene was expressed in a conditional manner in cardiac myocytes showed that initially the hearts showed a phenotype which is compatible with physiological hypertrophy. However, after 20 weeks duration, a phenotype, which is more compatible with a dilated cardiomyopathy resulting in decreased fractional shortening, occurred [32]. A contributing factor in the transition to a pathological hypertrophy may have been inadequate vascular supply by capillary density not keeping pace with the enlarging heart.

In summary, hyperthyroidism of limited duration can lead to a compensated concentric cardiac hypertrophy. Since hyperthyroidism is in general of a limited duration, a physiological hypertrophic phenotype prevails. It also needs to be noted that in hyperthyroid patients, tachycardia and atrial fibrillation can occur and some of the heart failure observed in hyperthyroid patients may result from rate related heart failure. Experiments using healthy dogs indicate that pacing their hearts at an increasing rate results in heart failure [33]. It also should be noted that patients with hyperthyroidism who developed congestive heart failure and a dilated cardiomyopathy have been reported [34, 35] but this is an infrequent outcome. It is possible that these patients did have underlying heart disease. It should also be noted that in patients with hyperthyroidism, pulmonary hypertension and right heart failure can occur [36, 37].

### Hypothyroidism and heart failure

Several reports in the literature have indicated that hypothyroidism can result in dilated cardiomyopathy and

congestive heart failure [38, 39]. In addition, subclinical hypothyroidism can also increase the risk for heart failure [40]. In one report, a cardiac biopsy was undertaken because a cardiac transplant was planned before the hypothyroidism was diagnosed [41]. The mRNA analysis from the patient's heart, when he was severely hypothyroid indicated that MHC $\alpha$  was of markedly lower predominance than after treatment for the hypothyroidism had occurred with opposite changes for MHC $\beta$ . In addition, ANF mRNA was markedly elevated in the hypothyroid heart and not detectable in the normal heart. Related to proteins involved in calcium handling, phospholamban levels were 10-fold higher in the hypothyroid than in the eu-thyroid heart [41]. Results in various animal models of hypothyroidism indicate that the level and activity of SERCa2 is markedly decreased and similar changes occur in animals or patients with heart failure [42, 43]. These changes can be linked to a decrease in diastolic relaxation. In addition, phospholamban levels are markedly increased at the mRNA levels in hypothyroid and failing hearts, further contributing to decreased SERCa2 function and delayed diastolic relaxation [44, 45]. The ryanodine receptor is markedly decreased in hypothyroid hearts [46] and due to alterations in ryanodine receptor phosphorylation in failing hearts, problems with appropriate calcium handling occur [47]. Ryanodine receptor abnormalities may be linked to abnormal systolic function and a decrease in systolic force generation [47]. Findings in transgenic mice expressing a SERCa2 transgene driven by a promoter, which does not contain thyroid hormone response elements, lead to a complete rescue of the hypothyroid contractile phenotype as mentioned above [27].

### Interaction between T3 action and heart failure-induced signaling mechanisms

A crosstalk between signaling cascades related to thyroid hormone mediated increases in SERCa2 expression and factors, which are altered in heart failure, have been noted in the past. For example, when neonatal myocytes are transfected with the SERCa2 promoter and  $\beta$  galactosidase ( $\beta$ -gal) reporter, addition of thyroid hormone leads to a two-fold increase in expression of the 3.2 KB SERCa2 promoter driven  $\beta$ -gal reporter. Incubation of these neonatal myocytes with a combination of T3 and the cytokines LIF and IL-6 [48] completely abolished the T3 mediated increase in SERCa2 promoter–reporter activity. These findings indicate that increased levels of cytokines, as they occur with heart failure, can markedly counteract thyroid hormone-mediated increases in the expression of SERCa2.

It can be demonstrated that hypothyroidism, including sub-clinical hypothyroidism, is a risk factor for heart

failure [38–40]. Both hypothyroidism and heart failure result in delayed diastolic relaxation and abnormal calcium handling. Both hypothyroidism and heart failure lead to decreased expression of SERCa2. In addition, the inhibitory action of phospholamban is enhanced, either due to increased phospholamban expression or due to decreased phospholamban phosphorylation. Heart failure is frequently accompanied by elevated cytokine levels and cytokines oppose the positive regulatory effects of thyroid hormone on SERCa2 expression.

### Heart failure and ischemia decrease thyroid hormone signaling members

Interactions between thyroid hormone action and heart failure are also noted in the non-thyroidal illness syndrome (NTIS). The non-thyroidal illness syndrome results, when severe systemic illness including heart failure or myocardial infarction leads to changes in the function of the hypothalamic pituitary axis. A decrease in T4 to T3 conversion occurs, which is followed by decreased secretion of thyroid stimulating hormone (TSH) and diminished thyroid hormone release from the thyroid gland. Hallmark laboratory findings of NTIS are decreases in T3, increases in reverse T3, which are followed by decreases in T4 and TSH. With recovery from the severe illness, a rebound happens and TSH levels can exceed the upper normal range for a short while before re-adjustment to a normal condition occurs and normalization of thyroid hormone levels follows. It is interesting to note that cytokines have been invoked to play a significant role in inducing the non-thyroidal illness syndrome. Several recent reports indicate that a very strong correlation exists between the decrease in T3 levels induced by congestive heart failure and the survival [49]. The report demonstrates that the lower the heart failure-induced decrease in T3 is the more significant of a decrease in survival occurs. The connection between thyroid hormone signaling and heart failure is also documented in studies in which thyroid hormone receptor levels were determined in explanted hearts from patients undergoing cardiac transplantation [50]. In one report, a significant decrease of thyroid hormone receptor alpha 1 expression and an increase in the non-ligand binding thyroid hormone receptor alpha 2 isoform were noted [50]. We pursued these studies in a mouse model using ascending aortic constriction to induce cardiac hypertrophy and heart failure [51]. We then quantitated the mRNA level for thyroid hormone receptor alpha 1 and beta 1. Both thyroid hormone receptor alpha 1 and beta 1 were significantly decreased in the pressure overloaded failing hearts. Other investigators have reported that ischemic heart disease leads to alterations in thyroid hormone receptor levels [52].

In addition, it has been reported that in ischemic heart disease the deiodinase type 3, which converts T4 to the biologically inactive reverse T3 is markedly elevated [53]. Furthermore, inducing right cardiac ventricular hypertrophy by a model of pulmonary hypertension resulted in significant increases in the type 3 deiodinase (D3) [54]. The mechanism leading to D3 induction was explored in more detail and the HIF1 alpha factor was found to directly stimulate the promoter of the T3 deiodinase gene resulting in increased T3 expression [55].

It could therefore be postulated that a close interaction between thyroid hormone action and normal cardiac function occurs. Hypothyroidism, including sub-clinical hypothyroidism, presents a risk to develop heart failure. In addition, the non-thyroidal illness syndrome, which is in part, mediated by cytokines leads to decreased thyroid hormone receptor levels in the failing heart and in some specific conditions to increased conversion of T4 to the biologically inactive reverse T3. It could therefore be postulated that the non-thyroidal illness syndrome generates a “hypothyroid” heart characterized by decreased T3 levels and decreased thyroid hormone receptor levels. It is therefore an interesting question to examine if increasing thyroid hormone action in the failing heart will result in improved cardiac function.

In order to address the question if increasing T3 action in the failing heart improves cardiac function, we pursued the following studies. Ascending aortic constriction was induced in mice, which resulted in pressure overload-induced heart failure [51]. As it was mentioned above, thyroid hormone receptor alpha and beta levels are decreased in these hearts. In order to restore thyroid hormone receptor levels toward the normal range, thyroid hormone receptor alpha 1 and beta 1 were cloned into adeno-associated viruses and injected into the left ventricular wall of the heart. In addition, some of these animals received a physiological replacement dose of 3.5 ngT3/gBW per day. Two weeks after administration of viral vector-based thyroid hormone receptor expression and T3 administration, the rate of cardiac relaxation was determined. When we determined dP/dt min as a parameter of cardiac relaxation, we noted that in pressure overloaded heart with increased expression of thyroid hormone receptor alpha or thyroid hormone receptor beta, a very significant increase in the speed of diastolic relaxation occurred, however complete normalization was not achieved. The addition of a T3 replacement dose did not lead to a further significant increase in contractile improvement. It has also been reported that a tetracycline system-based inducible increased the expression of the deiodinase type 2, which converts T4 to T3, in the heart of animals with pressure overload-induced cardiac hypertrophy led to a significant improvement in systolic and diastolic contractile function [56].

These studies in animal models therefore indicate that increasing thyroid hormone levels in failing hearts by AV expression leads to a significant and equal rescue effect by thyroid hormone receptor alpha 1 and beta 1. In addition, increasing T3 levels in the failing heart by increasing D2 activity also markedly improves contractile function. It appears therefore that the heart failure-induced lowering of thyroid hormone levels or thyroid hormone receptor levels in the failing heart exerts a mal-adaptive effect.

Previous studies in human beings, in which T3 therapy was administered to patients after cardiac surgery revealed a beneficial effect in lowering the incidence of atrial fibrillation [57]. In other studies thyroxin treatment had a beneficial effect on dilated cardiomyopathy [58]. A very recent trial indicates that T3 replacement in patients with heart failure has beneficial contractile effects [59]. It should also be noted that in other studies intravenous T3 administration to patients undergoing coronary artery bypass graft surgery in randomized double-blind placebo controlled trials did not lead to significant cardiac improvement [60]. Overall, it appears that in failing hearts, a hypothyroid cardiac state may occur due to decreased thyroid hormone and thyroid hormone receptor levels in failing hearts. Animal studies and a limited number of human trials indicate that increasing thyroid hormone action either by increasing T3 receptor levels or T3 in itself can improve cardiac function without significant detrimental effects. It is currently unclear if long term administration of thyroid hormone to patients in heart failure will be well tolerated and will lead to increased survival. Excessive thyroid hormone administration leading to a hyperthyroid state needs to be avoided because negative electrophysiological consequences such as an increase in heart rate and cardiac arrhythmias could result.

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