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# Unifying structural signature of eukaryotic $\alpha$ -helical host defense peptides

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Diversity of  $\alpha$ -helical host defense peptides ( $\alpha$ HDPs) contributes to immunity against a broad spectrum of pathogens via multiple functions. Thus, resolving common structure-function relationships among aHDPs is inherently difficult, even for artificial-intelligencebased methods that seek multifactorial trends rather than foundational principles. Here, bioinformatic and pattern recognition methods were applied to identify a unifying signature of eukaryotic αHDPs derived from amino acid sequence, biochemical, and threedimensional properties of known  $\alpha$ HDPs. The signature formula contains a helical domain of 12 residues with a mean hydrophobic moment of 0.50 and favoring aliphatic over aromatic hydrophobes in 18-aa windows of peptides or proteins matching its semantic definition. The holistic  $\alpha$ -core signature subsumes existing physicochemical properties of αHDPs, and converged strongly with predictions of an independent machine-learning-based classifier recognizing sequences inducing negative Gaussian curvature in target membranes. Queries using the  $\alpha$ -core formula identified 93% of all annotated  $\alpha$ HDPs in proteomic databases and retrieved all major  $\alpha$ HDP families. Synthesis and antimicrobial assays confirmed efficacies of predicted sequences having no previously known antimicrobial activity. The unifying  $\alpha$ -core signature establishes a foundational framework for discovering and understanding aHDPs encompassing diverse structural and mechanistic variations, and affords possibilities for deterministic design of antiinfectives.

antimicrobial | host defense | antiinfective | amphipathic | bioinformatics

Antimicrobial host defense peptides (HDPs) are an evolutionarily ancient arm of host immunity that first arose in prokaryotes as a means to counter microbial competitors. Subsequently, such peptides evolved through adaptive radiation to exist in all classes of eukaryotes, where they continue to act in first-line defense against infection (1). Extensive studies have established that such peptides are not indiscriminant detergents, but rather have complex and multimodal mechanisms of action (2–4).

As a group, α-helical HDPs (αHDPs) are among the most rapidly evolving molecules characterized to date. Moreover, genes encoding aHDPs are under strong positive selection, affording a high degree of mutational tolerance despite being limited by the biophysical constraints of an amphipathic helix (5–8). When compounded over an evolutionary timescale, this process has generated an exceptionally diverse repertoire of peptides capable of exerting multiple antimicrobial mechanisms. However, such diversity and context-dependent activity have also presented challenges to identifying common aHDP structure-activity relationships (SARs). While a number of groups have used computational or quantitative SAR (QSAR) methods, these efforts have largely focused on drug candidate optimization (9–12). As a result, other than charge or amphipathicity, resolving the unifying physicochemical requisites in three-dimensional space that confer antimicrobial activity to native aHDPs has remained elusive. Moreover, results from machine-learning-based methods are difficult to translate into first principles. Thus, whereas machine-learning-based classifiers can discriminate between HDPs and non-HDPs, none to date have yielded more generalizable definitions of  $\alpha$ HDPs in a formulaic manner.

Here, knowledge-based annotation and iterative pattern recognition analyses of bioinformatic databases yielded a unifying definition of structural elements common to native eukaryotic  $\alpha$ HDPs. Termed the  $\alpha$ -core signature, this sequence formula positions specific amino acid residue patterns on polar or nonpolar peptide facets in the context of a three-dimensional amphipathic helix. This signature formula retrieved all known families of aHDPs when queried against well-established proteomic databases. The veracity of the  $\alpha$ -core signature to recognize cognate semantic patterns was validated by machinelearning methods in silico. Furthermore, a recently developed support vector machine (SVM) classifier affirmed the α-core signature corresponds with peptide ability to induce negative Gaussian curvature (NGC) in microbial membranes. Topologically, this function enables a wide spectrum of membrane perturbing and ensuing mechanisms that exert direct antimicrobial functions (13-15). As proof of concept, sequences scoring highest relative to multiple parameters retrieved by the  $\alpha$ -core

#### **Significance**

The emergence of multidrug-resistant pathogens is outpacing development of new antibiotics, which remains a slow and empirical process. Although machine-learning-based metrics exist, no principle-based formulae have been applied to define  $\alpha$ -helical host defense peptides ( $\alpha$ HDPs). Here, knowledge-based annotation of bioinformatic databases was validated with artificial intelligence methods to converge on a common structural framework for eukaryotic  $\alpha$ HDPs. This multidimensional structural formula successfully predicted antimicrobial activity in  $\alpha$ -helical peptides and domains embedded in larger proteins that had no previously known antimicrobial activity. The  $\alpha$ -core signature provides a foundational definition for evolutionary diversity among  $\alpha$ HDPs, sheds light on host defense immunology, and may accelerate the process of anti-infective discovery and development.

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signature formula, but having no known or anticipated antimicrobial function, were synthesized and assayed against a panel of bacterial and fungal pathogens. All of the predicted peptides exerted significant antimicrobial activity in vitro.

Beyond resolving a unifying structural signature among diverse  $\alpha$ HDPs, and its use to discover previously unknown antimicrobial peptides, the current findings address the more foundational question of how to define HDPs. Understanding functions mediated by the  $\alpha$ -core signature can offer insights into the structural commonalities among HDPs that allow pleiotropic mechanistic profiles of diverse  $\alpha$ HDPs. Furthermore, the  $\alpha$ -core signature advances knowledge of host defense immunology and its evolution, and enables possibilities for deterministic design of antiinfectives to meet the challenge of drug-resistant infections.

#### Results

**Derivation of the α-Core Sequence Formula.** Proteomic databases searched using the logic-based strategy identified consensus motifs in proteins or peptides having α-helical sequence and three-dimensional structure (Fig. 1*A*). A mathematical formula was then derived and iteratively refined to fulfill consensus positional and physicochemical patterns of amino acid residues characteristic of known αHDPs (Fig. 1*B*). These analyses revealed that the inclusion of proline interrupted helical spans, while glycine and alanine are typically well tolerated in helices in membrane mimetic environments (refs. 16–18 and Fig. 1*C*). For this reason, proline was excluded from the explicit α-core formula, although degeneracy at positions 1 and 10 allows for this residue, whereas glycine and alanine were allowed at all positions (Fig. 1).

Next, a systematic approach enabled optimization of the linear α-core sequence formula (Fig. 2). This process iteratively refined 18 successive residue positions of a canonical right-handed α-helix consistent with consensus patterns of antimicrobial sequences. When translated into three dimensions, this formula describes an idealized amphipathic helix, with distinct hydrophobic and hydrophilic facets (Fig. 1A). Positions interposing the polar and nonpolar faces were assigned a value of "X" within the linear formula, yielding a polar angle ( $\theta$ ) minimum of 120° and maximum of 180°. Through this iterative pattern recognition and refinement process, an 18-residue generalized amphipathic formula was resolved that fulfilled the  $\alpha$ -core consensus sequence and integrated a positional, hydrophobic, and hydrophilic residue pattern as distributed along a three-dimensional amphipathic helix. Sequences adherent to this signature ranged from 11 to 16 residues in length, corresponding to a span of 3-4.5 turns across an  $\alpha$ -helix. This pattern was ultimately termed the  $\alpha$ -core signature formula and represented all major classes and families of eukaryotic αHDPs.

Primary Database Searches Using the  $\alpha$ -Core Sequence Formula. The refined  $\alpha$ -core sequence formula was used as a query against public domain sequence databases (UniProtKB, Swiss-Prot). The formula was implemented using successive 12-residue scanning windows (Fig. 14), and searches were further refined to sequences eukaryotic in origin, within proteins of less than 200 residues in length, containing a signal sequence, and within 50 residues of the C terminus. These characteristics enriched biological plausibility, as the vast majority of eukaryotic  $\alpha$ HDPs are secreted into the extracellular environment.

Resulting raw data consisted of more than 70,000 sequences, often representing multiple target hits shifted along amphipathic helical spans of a single protein. Hits were compiled and duplications extracted to yield a nonredundant dataset of ~13,000 unique sequences for subsequent studies. Dataset proteins lacking a signal sequence motif were excluded to generate a final dataset of ~5,200 sequences (Table 1). Inclusion of the signal peptide allowed for enrichment of sequences that are secreted for potential activity toward extracellular targets. To retain a sharp

focus, protein sequences not containing a signal sequence are the topic of our separate studies.

Efficiency of the  $\alpha$ -Core Sequence Formula. The  $\alpha$ -core signature exhibited excellent sensitivity and specificity, retrieving all major classes of  $\alpha$ HDPs and 106 distinct helical peptide families, including 93% of all known individual  $\alpha$ HDPs (more than 800 peptides). Further specificity of the  $\alpha$ -core signature was affirmed by the observation that peptides not retrieved by the formula were typically very short mature peptides of <12–14 residues, or containing incomplete or interrupted helical domains, such as amphibian "ranabox" peptides categorized as helix–turn–helix in structure.

Beyond its considerable sensitivity, the formula was also tunable in terms of specificity or biochemical classification. For example, in selected searches, sequences were gated as having an isoelectric point (pI) of  $\geq$ 8.5, and carried out in stages of either 0– 50 or 51-200 residues. For the 0- to 50-residue set, ~71% of retrieved sequences were known αHDPs; whereas ~27% of the identified sequences were  $\alpha$ -helical antimicrobial proteins in the 51to 200-residue set. Illustrating the veracity of this approach, the α-core formula retrieved 827 of the ~885 known αHDPs represented in the 555,594-sequence UniProtKB/Swiss-Prot database (93% of known sequences). This outcome is equivalent to an in silico enrichment of ~90-fold. Moreover, the formula retrieved an additional 4,412 sequences representing peptides having hypothetical antimicrobial functions. The  $\alpha$ -core algorithm retrieved only 0.17% of all sequences in the known Swiss-Prot/UniProt database, attesting to its high specificity.

Residue Composition Frequency Within Search Hits. Sequences retrieved using the  $\alpha$ -core signature formula were diverse, but logically categorized and analyzed as belonging to one of three distinct groups:  $\alpha$ HDPS, Toxins, or Other (Table 1).

αHDPs. Within the αHDP group, the most abundant residues were the low–molecular-mass amino acids, glycine and alanine, present at frequencies of 14% and 13%, respectively. Of the polar amino acids, lysine was the most abundant residue (14%), preferred over the cationic residue arginine at a 5:1 ratio (Fig. 3A). In a more detailed analysis (Fig. 3 B and C), this preference was greatest in amphibians (10:1), and somewhat less in arthropods and mammals (3:1). Of the hydrophobic residues, leucine was most abundant and preferred over isoleucine or valine at a ratio of  $\sim$ 2:1.

Toxins and Other peptides. In addition to αHDPs, Toxins, and Other peptide sequences were also retrieved using the α-core formula (Tables 1 and 2). One highly represented family was that of toxin/venom peptides, making up ~17% of the dataset. In the Toxin group, glycine (14%), cysteine (12%), and alanine (10%) were the predominant residues, whereas cationic lysine (10%) and arginine (6%) residue frequencies were lower compared with αHDPs (Fig. 3A). The abundance of cysteine within the Toxin group reflects the fact that the vast majority of these proteins are stabilized by disulfide arrays and have multiple structural domains including β-sheet, γ-core, as well as α-helical domains in their modular topology (19). Within the Other group, residue frequency trends were generally similar to αHDPs and Toxins; however, this group had comparatively lower frequencies of glycine.

**Spatial Distribution of Residues in \alphaHDPs.** The distribution of residues in three-dimensional space of the  $\alpha$ -core signature placed polar amino acids at positions 2, 5, 6, 9, 12, 13, 16, and 17, and nonpolar residues at positions 3, 4, 7, 8, 11, 14, 15, and 18 (Fig. 3C). Molecules returned using this signature revealed distinct patterns recurring within phylogenetic classes. For example, at positions 5, 6, and 9 in arthropods, the most common polar residue is lysine, with positions 5 and 6 typically bracketed by

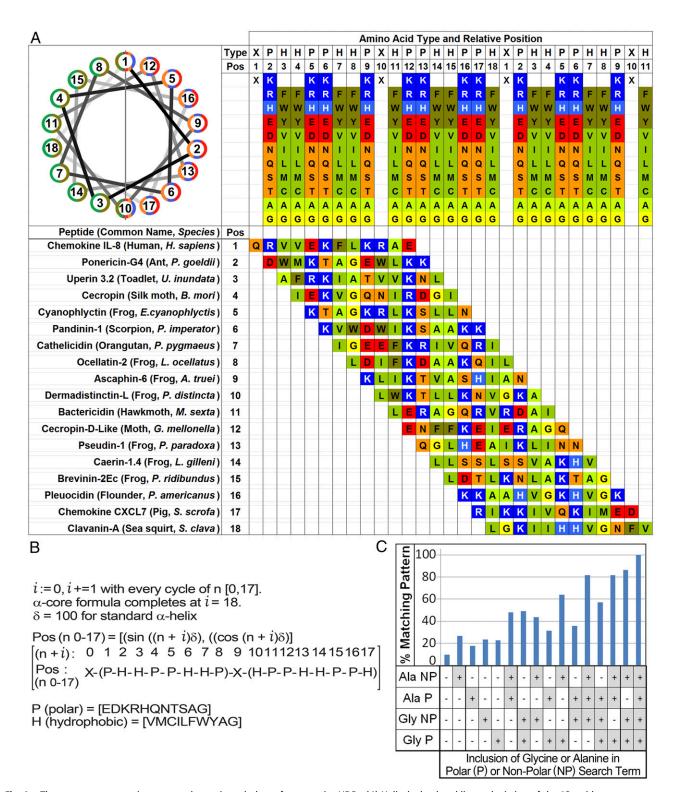
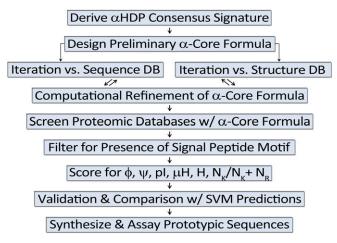


Fig. 1. The α-core consensus signature and scanning window of prototypic αHDPs. (A) Helical wheel and linear depiction of the 18-residue α-core sequence formula. For alignment with a HDP prototypes, 18 initiation points for the 12-residue scanning window of the formula are shown, representing the process by which the ProSite pattern search tool was utilized to query UniProtKB databases. Hydrophobic residues (F, W, Y, V, I, L, M, and C) are in various shades of green, with greater hydrophobicity indicated by increasingly darker hues. Hydrophilic residues (K, R, H, E, D, N, Q, S, and T) are represented by the following: blue, cationic; red, anionic; and orange, uncharged polar. Alanine (light green) and glycine (yellow) are included with both hydrophilic and hydrophobic groups. H, hydrophobic; P, polar. (B) Mathematical representation of the  $\alpha$ -core formula. (C) Optimization of  $\alpha$ -core sequence formula by localization of glycine and alanine residues. Iterative refinement of the α-core formula was carried out to assess the requirement for glycine and/or alanine as a component of either the polar (hydrophilic) or nonpolar (hydrophobic) residue set. Percentage of returned sequences from a control aHDP dataset of more than 600 peptides are shown.



**Fig. 2.** The α-core signature iterative optimization algorithm. Development and implementation of the α-core signature formula and its application proceeded through quantitative and logic-driven steps to optimize sensitivity and specificity in retrieval of known and hypothetical  $\alpha$ HDPs.

leucine residues. By comparison, amphibian  $\alpha$ HDPs share a high frequency of lysine at position 5, but much less so at positions 6 or 9. This trend is extended in mammals, with position 4 dominated by glutamine. On the contrary, lysine occurs much more frequently at positions 2 and 12 in mammals than in amphibians or arthropods. Furthermore, the observed enrichment of tryptophan at the first nonpolar residue at helix position 3 is in agreement with prior findings that documented an increased level of tryptophan near the beginning of  $\alpha$ -helical spans (20). Thus, the  $\alpha$ -core signature identified unforeseen patterns of spatial distribution and periodicity among seemingly diverse  $\alpha$ HDPs.

Physicochemical Parameters Distinguishing aHDPs. Study proteins were analyzed for individual or composite parameters distinguishing  $\alpha$ HDPs: hydrophobic moment ( $\mu$ H), net charge (Q), hydrophobicity (H), pI, and lysine-to-arginine ratio  $(N_K/N_K + N_R)$ ; Table 1). Univariate analyses revealed that  $\mu H$  and either Q or pI were important parameters discriminating aHDPs from other sequences (Fig. 4). Multivariate analyses revealed that one of the most distinguishing characteristics of aHDPs results from an integration of hydrophobic moment and charge ( $\mu HQ$ ). When  $\mu HQ$ data were binned, rankings representing the top 25th ( $\mu HQ_{25}$ ) and 50th ( $\mu HQ_{50}$ ) percentiles were most predictive of sequences having antimicrobial activity (Table 2). Similarly, direct positive correlations between  $N_{\rm K}/N_{\rm K}$  +  $N_{\rm R}$  and mean hydrophobicity were observed in sequences retrieved by the  $\alpha$ -core signature (Fig. 5), consistent with sequence trends deduced from geometric and topological requirements for membrane permeation (21, 22).

Correlation of  $\alpha$ HDP Signature and NGC Propensity. Datasets returned by the  $\alpha$ -core formula were categorized by  $\mu HQ$  ( $\mu HQ_{25}$ ;  $\mu HQ_{50}$ ) and screened with a recently developed SVM-based machine-learning classifier to assess propensity to induce NGC in target membranes (13-15). A strong, statistically robust correlation was seen between sequences identified by the  $\alpha$ -core formula and those predicted by the SVM protocol to be membrane active ( $\sigma$ score; Fig. 6 and Table 2). Comparison of the two methods in relative monotonic ranking of the datasets achieved highly significant correlations ( $P = 2.2 \times 10^{-6}$  to  $1.2 \times 10^{-46}$ ) for nearly all groups (Fig. 6). The  $\alpha$ HDPs were predicted to be membrane active with an average probability of 0.93 (scale 0-1) for the  $\mu HO_{25}$ dataset, and 0.91 for the  $\mu HQ_{50}$  subset by the SVM. Similarly, the Toxin and Other datasets were also predicted to be membrane active by the SVM, with average probabilities of 0.94 ( $\mu HQ_{25}$ ) or  $0.88 (\mu HQ_{50})$  for the Toxins, and  $0.95 (\mu HQ_{25})$  or  $0.89 (\mu HQ_{50})$  for

the Other sequences. As a negative control, a nonsense version of the  $\alpha$ -core formula that prevented amphipathic helicity was used to probe a randomized sample of the UniProtKB database. On SVM analysis, the probability of this sequence ensemble to have antimicrobial activity was only 0.06 (Table 2 and SI Appendix).

 $\alpha$ HDP Prediction and Proof of Concept. The  $\alpha$ -core signature formula retrieved many sequences having no prior known or anticipated antimicrobial function. To test predictive accuracy, 10 candidate sequences representing diverse functional families retrieved by the formula were synthesized by standard F-moc chain assembly, purified, authenticated, and assessed for antimicrobial efficacy in vitro (ref. 23 and Fig. 7A). All test peptides exerted potent activity against one or more of the prototypic human pathogens studied (Fig. 7B). Many of these peptides exerted activities greater than a prototypic control αHDP (LL-37) at either pH 7.5 (simulating bloodstream) or pH 5.5 (simulating abscess). Of great interest, several peptides exhibited preferential pH or target organism activity. For example, anti-staphylococcal activity of the sequence from human IFN-y was essentially specific to pH 7.5, while minimal at pH 5.5. Alternatively, the anti-candidal activities of sequences from human IL-13 or IL-21 were minimal at pH 7.5 but significant at pH 5.5. By comparison, sequences such as Pp2 from Phytophthora demonstrated striking activity against all organisms tested at both pH 7.5 and 5.5. Other interesting patterns included Acinetobacter being susceptible to all test peptides under either pH condition. A comparative statistical analysis of the activities of study peptides is included in SI Appendix, Fig. S1.

#### Discussion

The present findings define the α-core signature as a multidimensional pattern integrating physicochemical and three-dimensional relationships common to evolutionarily diverse aHDPs. Furthermore, this signature represents a foundational structure-activity framework for delineating necessary or sufficient determinants of antimicrobial activity. For example, the present data identify key physicochemical and structural patterns that appear requisite for antimicrobial activity of aHDPs, including the following: (i) a periodic enrichment of low-molecular-weight amino acids glycine, alanine, and to a lesser extent serine; (ii) a pI typically >8 with a preference for lysine over arginine; by comparison, β-sheet HDPs tend to use arginine vs. lysine (21); (iii) a minimum helical core domain spanning ~12 residues (~18 Å; ref. 20), with a mean hydrophobic moment of  $\sim 0.50$ ; and (iv) propensity for aliphatic over aromatic hydrophobic residues. Collectively, these signature elements enable the quantitative definition of αHDPs, which have previously been described generally as cationic, amphiphilic peptides. Therefore, the α-core signature affords unique insights into evolutionary, phylogenetic, and molecular effectors of antiinfective immunology, as well as fundamental strategies for rapid discovery, prototyping, and optimization of antiinfective peptide or biologic designs.

The current findings also extend beyond prior understanding of properties that correlate with microbicidal activities of  $\alpha$ HDPs, such as small mass, cationic charge, and amphipathicity (2, 4, 24). While they can exhibit varied effects on distinct pathogens, all  $\alpha$ HDPs initiate their antimicrobial mechanisms by engaging, perturbing, and/or transcending the target cell membrane. Importantly, the  $\alpha$ -core signature correlates with the

Table 1. Biophysical properties of retrieved dataset proteins

| Group  | n     | Q   | $N_{\rm K}/N_{\rm K}+N_{\rm R}$ | $\mu H$ | Н    | pl   |
|--------|-------|-----|---------------------------------|---------|------|------|
| αHDPs  | 907   | 2.0 | 0.82                            | 0.50    | 0.42 | 9.23 |
| Toxins | 787   | 1.1 | 0.62                            | 0.48    | 0.32 | 7.44 |
| Other  | 3,539 | 0.5 | 0.57                            | 0.49    | 0.41 | 6.98 |

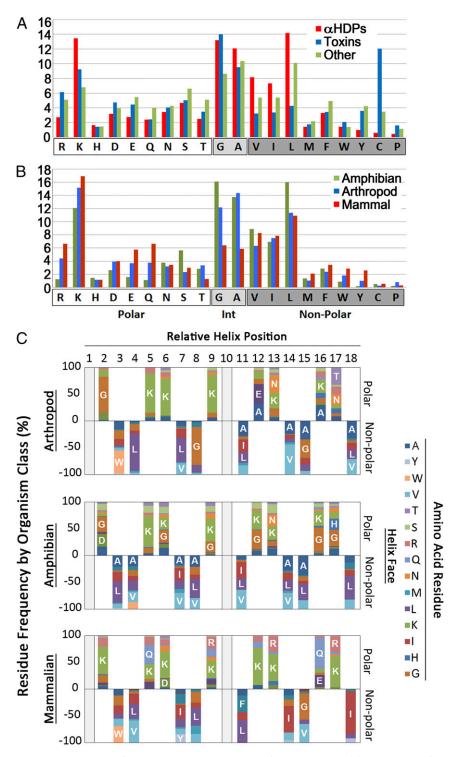


Fig. 3. Positional and spatial amphipathic residue frequency by organism class and functional group. (A) αHDP residue frequency by functional group. Relative amino acid percentages are displayed for the αHDP (red), Toxin (blue), and Other (green) groups. (B) αHDP residue frequency by organism class. Relative amino acid percentages for amphibians (green), arthropods (blue), and mammals (red). Int, intermediate. (C) Percentages of individual residues on either the polar or nonpolar peptide face of study peptides are represented as various color blocks. Residues above the x-axis are found on the polar face of retrieved peptides, and residues below the axis are found on the nonpolar face.

ability of a peptide to induce NGC in microbial target membranes, such that deviations away from the signature diminishes their ability to do so. Positive charge is thought to contribute to antimicrobial selectivity, given relative electronegative charge and inward-rectified potential  $(\delta \psi)$  of prokaryotic cell membranes or mitochondria of eukaryotic pathogens (2, 25-28). Moreover, the high concentration of lipids with negative intrinsic curvature in a target membrane, as is the case for most bacteria, also contributes to specific activity of αHDPs (29-31) by modifying the Gaussian modulus. The present finding that lysine is

Table 2. Biophysical properties of retrieved dataset proteins

|          | Total | $\mu H^*Q > 1.5$ |                     |      |     |      | SVM  |    |
|----------|-------|------------------|---------------------|------|-----|------|------|----|
| Group    | n     | n                | %                   | μΗ   | Q   | Н    | pl   | %  |
| αHDPs    | 907   | 237              | 26                  | 0.57 | 4.5 | 0.29 | 10.3 | 93 |
| Toxins   | 787   | 123              | 16                  | 0.54 | 4.0 | 0.21 | 8.9  | 94 |
| Other    | 3,539 | 376              | 11                  | 0.65 | 3.8 | 0.37 | 8.7  | 95 |
|          |       |                  | μ <b>H</b> *Q > 1.0 |      |     |      |      |    |
| αHDPs    | 907   | 426              | 47                  | 0.54 | 3.6 | 0.36 | 10.1 | 91 |
| Toxins   | 787   | 200              | 25                  | 0.54 | 3.4 | 0.24 | 8.7  | 88 |
| Other    | 3,539 | 659              | 19                  | 0.61 | 3.2 | 0.36 | 8.4  | 89 |
| Nonsense | 1,820 |                  |                     |      |     |      |      | 6  |

preferred in  $\alpha$ HDPs corroborates the observation that there exist regions in amino acid sequence space with specific ratios of lysine, arginine, and hydrophobic residues that are conducive to topological remodeling of the membrane (21, 32, 33). Serine residues also contribute, but appear to play a more supporting role as spacers and mediators of hydrogen bonding (19, 34).

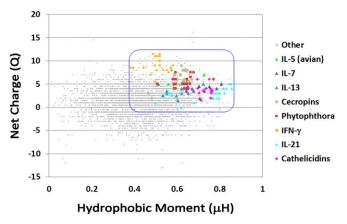
Cross-validation using ML suggests the α-core signature reveals basic principles not previously accessible regarding essential structural elements of αHDPs. For example, these peptides have periodic distribution of low-molecular-weight and low-steric bulk residues glycine, alanine, and to a lesser extent serine. The systematic interposition of these residues among larger charged and hydrophobic residues likely accounts for the three-dimensional conformational optimization of aHDPs in membrane target environments (17, 18) to achieve local charge density (e.g.,  $+1/70 \text{ Å}^2$ to +1/350 Å<sup>2</sup>) necessary to maximize entropy gain for initial membrane binding (29). Consistent with this view, proline residues disrupt requisite helical periodicity in the  $\alpha$ -core signature, and native αHDPs lack proline in the helical domains (positions 2– 9 and 11–18 within the  $\alpha$ -core signature). This efficiency in identifying helical spans compares favorably with algorithms reported to correctly identify helices, with accuracies ranging from 81.5 to 94% (35-37). Previous QSAR and other in silico methods have been useful to assess SARs in specific peptide-based libraries (9-12). However, these approaches leave the unique biophysical and three-dimensional properties conferring antimicrobial mechanisms in the native peptide realm relatively unexplored. Similarly, artificial-intelligence-based methods are typically optimized to identify multifactorial trends rather than common principles. In contrast, the present investigation integrated knowledge-driven as well as stochastic bioinformatic and pattern recognition strategies to resolve the  $\alpha$ -core signature as it is conserved throughout the eukaryotic proteome. Thus, the α-core signature overcomes historic limitations that no general model had defined what αHDPs are, especially given their complex and diverse mechanistic profile.

Adaptation of the  $\alpha$ -core signature within specific phylogenetic groups revealed interesting insights into evolution of  $\alpha$ HDPs and other host defense molecules. While an abundance of lysine as a preferred cationic residue in  $\alpha$ HDPs has been reported (21), the present data revealed frequencies of lysine differ among  $\alpha$ HDPs in distinct phylogenetic groups. For example, lysine–arginine ratios in arthropods or mammals (3:1) differ significantly from those of amphibians (10:1). In general, arginine-rich HDPs tend to have  $\beta$ -sheet secondary structure (e.g.,  $\beta$ -sheet defensins of higher mammals), whereas lysine-rich peptides tend to be  $\alpha$ -helical. There are interesting functional consequences of lysine vs. arginine substitution as well. For example, as the arginine-to-lysine ratio is increased in a  $\alpha$ -helical peptides, less hydrophobicity is needed to generate NGC required for membrane perturbation. Consequently, the membrane res-

idence time of the peptide is decreased, and it begins to function progressively more like a cell-penetrating peptide that transcends the membrane without killing the cell, rather than a cidal peptide that kills the target cell via long-lived pores (32).

Moreover, glycine and alanine were highly represented in  $\alpha$ HDP helices from arthropods or amphibians (G + A content, 30% or 26%, respectively), whereas their frequency is much lower in mammals (12%). The evolutionary basis for such residue preferences is unknown; however, there are intriguing hypothetical possibilities. Given their propensity to allow conformational freedom that deforms α-helical structure in aqueous environments, it is conceivable that glycine and alanine may allow peptides to remain in relatively unstructured, inactive (e.g., nontoxic) conformations before interacting with the microbial target (38). A number of studies have demonstrated that aHDPs can be unstructured in aqueous environments, adopting their strong α-helical conformation only upon encountering hydrophobic lipid membrane environments characteristic of microorganisms (2, 17, 18, 39, 40). Likewise, the fact that amphibian, arthropodian, and mammalian αHDP sequences all fulfill the saddle-splay selection rule for lysine, arginine, and hydrophobes (ref. 21 and Fig. 5) suggests different sequence selection pressures imparted structural adaptations that optimized antimicrobial function in context of the specific physiology of the host.

Based on the sensitivity and specificity with which the  $\alpha$ -core signature formula retrieved a HDPs, it was applied as a discovery tool to seek: (i) unknown or uncharacterized antimicrobial proteins; (ii) sequences with an assigned function that also have a previously unidentified antimicrobial function; or (iii) helical antimicrobial peptide domains within larger proteins. This analysis uncovered a large number of sequences having no previously known direct antimicrobial functions and exhibited high scores on the ML classifier. Confirming the predictive accuracy of the α-core signature formula, all study sequences synthesized and assayed were found to have robust antimicrobial activity in vitro. Examples included members of the γ-chain-dependent IL family, along with type II IFNs. These results are consistent with unforeseen antimicrobial activities discovered in chemokines (2, 4, 26) and selected ILs (4, 17, 18, 41, 42). Of the ILs, sequences derived from turkey IL-5 (tIL-5) exhibited striking efficacy against all pathogens at pH simulating bloodstream (pH 7.5) and abscess (pH 5.5) environments. Sequences derived from human ILs (hIL-7, hIL-13, hIL-21) also exerted significant activity, enhanced at pH 5.5.



**Fig. 4.** Prioritization of predicted α-helical peptide sequences selected for experimental assessment. Values for net charge (Q) versus hydrophobic moment ( $\mu$ H) are shown for the retrieved peptide dataset. All retrieved sequences are shown in gray. Peptide groups among which prototypic sequences were selected for further characterization are shown in color. For comparison, prototypic αHDPs are shown in pink and olive.

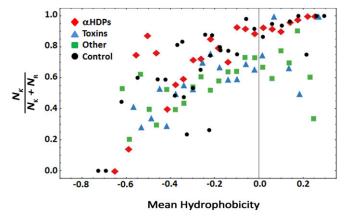


Fig. 5. Comparative physicochemical properties of  $\alpha$ -core helices. Percentage of lysine  $(N_K)$  relative to arginine  $(N_R)$  expressed as  $(N_K/N_K + N_R)$  versus hydrophobicity (H) in study αHDPs and toxins. Preference of lysine compared with arginine is reflected in an increased value of H for peptides capable of generating NGC in membranes as predicted by the saddle-splay rule (21). Binned along x-axis into 31 bins based on mean hydrophobicity; helical AMPs in black are from the APD2 database.

Likewise, peptides derived from human or bat IFN-y had significant activity against most test organisms, with an efficacy profile somewhat greater at pH 7.5 than 5.5. Unanticipated αHDP sequences were also discovered in nonvertebrate species. For example, among the highest scoring peptides were discovered in the ubiquitous plant pathogen, Phytophthora parasitica. Of these, Pp-2 was particularly active against bacteria and fungi at pH 7.5 and pH 5.5.

It should be noted that methods in which peptides are assessed for antimicrobial activity in artificial media significantly underestimate the potent efficacy of many HDPs. Therefore, the antiinfective roles of these peptides may be even greater in vivo. For example, we have previously shown the efficacy of representative HDPs to be logarithmically greater in whole human blood, plasma, or serum compared with artificial media (43). Similarly, ionic strength can significantly influence HDP efficacy (44). Divalent and monovalent ions behave very differently in their interactions with peptide sequences that form electrostatically distinct domains as is evident in the α-core. These effects may extend beyond contributions imposed by the Poisson–Boltzmann formalism (45).

Current findings also suggest insights into evolution and multifunctionality of HDPs across the phylogenetic spectrum. For example, we have shown that amphiphilic  $\alpha$ -helical HDPs having specific sequence patterns can assemble into superhelical coiledcoil protofibrils that organize dsDNA into ligands that optimally engage TLR9 receptors (46, 47). Therefore, beyond their direct antimicrobial activities, such a HDPs appear to activate synergistic immune responses that promote holistic host defense. In these ways, the α-core signature discovered in the present study may enable precise definition of these SARs, which, along with target membrane perturbation, are likely integrated into HDP sequences and their multiplex superstructures.

The  $\alpha$ -core signature, and its demonstrated ability to identify antimicrobial sequences (Fig. 8), underscores its practical implications to mine proteomic databases for antimicrobial sequences. In this respect, it reveals structural determinants that are essential for membrane-specific antimicrobial activity, compared with those which have been adapted to a given host. In turn, this knowledge should enable peptide or biologic designs that optimize antimicrobial activity and pharmacology relative to toxicity. As there have been historical challenges in translating HDPs into antiinfectives, a clearer understanding of their structuremechanism relationships is of high priority. For example, as discovered in the current work, enhanced insights into pharmacophores of HDP sequences—beyond simply positive charge and amphiphilicity—will be invaluable to drive next steps toward development of antiinfectives that address the threat of pathogenresistant conventional antibiotics. In this respect, of special interest is the ability of the  $\alpha$ -core signature formula to recognize cryptic or antimicrobial sequences embedded in larger proteins. In these ways, the  $\alpha$ -core signature may accelerate the identification or engineering of innovative antiinfectives that are urgently needed to meet the rising challenge of antibiotic resistance.

#### **Materials and Methods**

Bioinformatic Identification of the  $\alpha ext{-Core}$  Formula. To identify a consensus formula that encompassed all major classes of  $\alpha HDPs$ , successive sequence alignments were carried out using prototypical  $\alpha$ -helical antimicrobial peptides in CLUSTAL W (https://www.ebi.ac.uk/Tools/msa/clustalw2/). Alignments were refined for consensus optimization using MEGA 6 (48). Through iterative analyses, an 18-residue generalized amphipathic formula was resolved that fulfilled the overall consensus sequence and integrated the positional hydrophobic

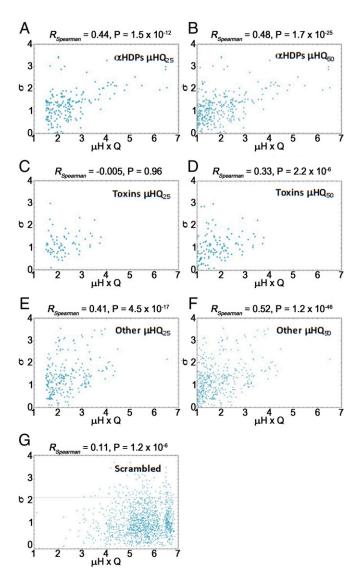


Fig. 6. Spearman correlation analysis of study peptide  $\mu HQ$  and SVM  $\sigma$ . Spearman correlations for the  $\alpha$ -core  $\mu HQ_{50}$ ,  $\mu HQ_{25}$ , and scrambled peptide groups and SVM  $\sigma$  are shown. Panels depict  $\mu HQ_{25}$  and  $\mu HQ_{50}$  for  $\alpha HDP$  (A and B), Toxin (C and D), Other (E and F), and scramble groups, respectively. P values indicate level of significance.

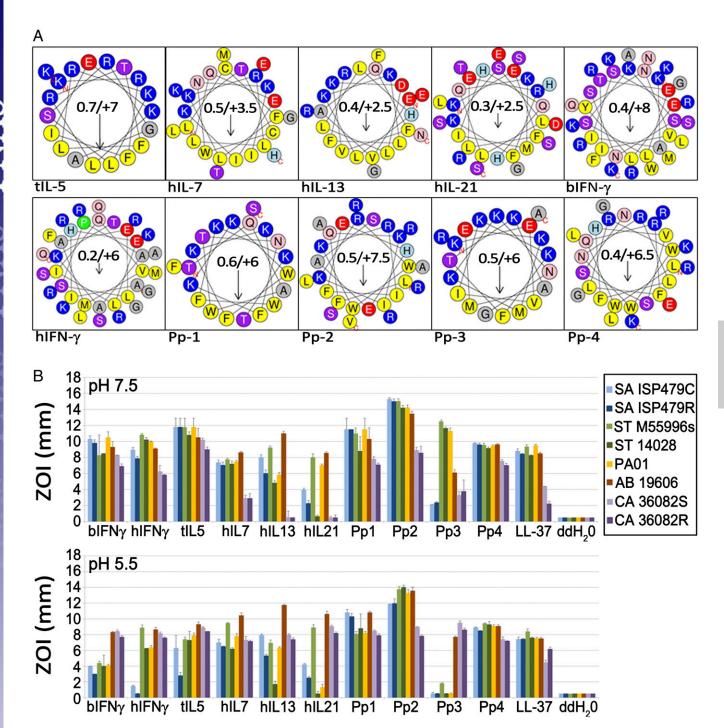


Fig. 7. Efficacy of predicted antimicrobial peptides retrieved by the α-core signature formula. (A) Helical wheel depiction of synthesized study peptides. Peptides derived from the following proteins were assayed for antimicrobial activity: ILS [IL-5, Meleagris gallopavo (common turkey); IL-7, human; IL-13, human; IL-21, human]; IFNs [IFN-γ, Myotis davidii (vesper bat); IFN-γ, human]; Phytophthora parasitica uncharacterized sequences (Pp-1, Pp-2, Pp-3, and Pp-4). Hydrophobic moment (μH), charge (Q), and moment magnitude and direction are indicated. Coloration: cationic full charge (KR), blue; partial charge (H), light blue; anionic, red; polar, yellow; tiny, gray; polar (NQ), pink, and (TS), purple. (B) Microbicidal activity of study test peptides versus a panel of prototypic Gram-positive (S. aureus), Gram-negative (S. typhimurium, P. aeruginosa, and A. baumannii) and fungal (C. albicans) pathogens at two pH conditions simulating bloodstream (pH 7.5) or phagolysosomal (pH 5.5) environments.

and hydrophilic residue pattern. This pattern was ultimately termed the  $\alpha$ -core signature formula, representing all major classes and families of eukaryotic  $\alpha$ HDPs.

Assignment of Residue Polarity in the  $\alpha$ -Core Formula. Within the  $\alpha$ -core formula, individual residues were categorized as either hydrophobic or hydrophilic as per the Wimley–White hydrophobicity scale (49) that has been empirically derived and includes contributions from the peptide bond.

One exception was for alanine (A), which was also included with the hydrophobic residues as per the Eisenberg (50) and Kyte and Doolittle (51) hydrophobicity scales. This assignment was made in part due to preliminary data that localized alanine to hydrophobic facets of many  $\alpha$ HDPs.

Accuracy of the  $\alpha$ -Core Formula in  $\alpha$ HDP Recognition. The  $\alpha$ -core formula was queried against the Protein Data Bank 3D database (www.wwpdb.org/) to

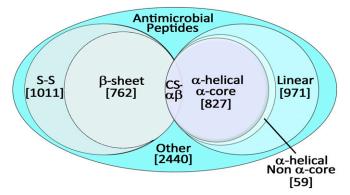


Fig. 8. Classification of αHDPs and other HDP classes retrieved by the α-core signature from the UniProtKB database. Data represent results from a query of all UniProtKB sequences retrieved with the search terms: keyword [antimicrobial] + length [0–200] + organism class [Eukaryota]. Peptides manually scored for structure and type and sequences within each group are enumerated. Sequences were categorized as follows: (i) α-helical returned by the α-core formula (α-helical α-core); (ii) α-helical not returned by the α-core formula (non–α-core); (iii) linear cysteine-free sequences with many being enriched for individual amino acids (linear peptides); (iv) β-sheet defensin or defensin-like peptides (β-sheet peptides); (v) other disulfide-containing peptides (S–S peptides); (vi) Cys-stabilized peptides containing α-helix and β-sheet domains (CS–αβ); and (vii) other group including proteins with no known structure or homology to other known sequences, as well as groups that are not traditionally categorized as HDPs such as enzymes, DNA binding proteins, protease inhibitors, lectins, and toxins.

assess the fidelity of the formula in recognizing the helical domain signature within proteins or peptides. One hundred nonredundant retrieved structures were evaluated for helicity of the target sequence. Hits were considered to be positive if the target sequence was ≥75% helical based on known or predicted structure (www.wwpdb.org/).

In addition to optimizations for the retrieval of three-dimensional structural elements, the  $\alpha$ -core formula was also iteratively refined to assess the requirement for glycine and/or alanine as a component of either the polar (hydrophilic) or nonpolar (hydrophobic) residue set. Variant versions of the formula containing all possible G/A combinations localized to either the polar, nonpolar, or both residue groups were queried against a control  $\alpha$ -HDP dataset of more than 600 peptides that was manually collated from documented  $\alpha$ -HDPs from the UniProtKB database.

Use of  $\alpha$ -Core Formula as a Database Query Tool. The  $\alpha$ -core sequence formula was used with the ScanProsite search tool (https://prosite.expasy.org/scanprosite/) to seek iterative patterns in the UniProtKB Swiss-Prot database. Iterative searches with varying lengths of the  $\alpha$ -core formula found that a scanning sequence motif of 12 positions in length was most efficient at retrieving the majority of known  $\alpha$ HDP sequences (Fig. 1*B*).

The minimized sequence motif was then used to probe the UniProtKB Swiss-Prot and TrEMBL protein databases. The formula was advanced one position at a time through 18 iterations to represent an entire 18-residue helical wheel span. To explore structural and biological relationships, the ScanProsite search results were also filtered by the following: (i) sequence length <200 residues; (ii) eukaryotic origin; and (iii) localization to the C-terminal region of the target protein using a "X(0,50)>" logical operator. \alpha-Core formula (first iteration of 18). [KREDNQSTHAG]-[VILMCFWYAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[KRE

Nonsense formula (first iteration of 18). [KREDNQSTHAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-X-[VILMCFWYAG]-[KREDNQSTHAG]-[VILMCFWYAG]-X(0,50)>

**Signal Peptide and Physicochemical Parameter Assessment.** Retrieved datasets were screened for the presence or absence of a signal peptide structural domain using SignalP 4.1 (www.cbs.dtu.dk/services/SignalP/). Additionally, hydrophobic moment ( $\mu$ H) (52), mean hydrophobicity (H) (53), net charge [Q, K, and R (+1); H (+0.5); D and E (-1)], and K and R residue frequency were determined in batch using Python algorithms specifically created for this study. The Wimley–White hydrophobicity scale values were used for hy-

drophobicity and hydrophobic moment calculations (49). Sequence pl was determined using ExPasy Compute Pl (https://web.expasy.org/compute\_pi/).

The equation for mean hydrophobicity (H) is as follows:

$$\langle H \rangle = \frac{1}{N} \sum_{n=1}^{N} H_n.$$

The equation for hydrophobic moment ( $\mu H$ ),  $\delta = 100^{\circ}$ , is as follows:

$$\langle \mu H \rangle = \frac{1}{N} \left[ \left( \sum_{n=1}^{N} H_n \sin(n\delta) \right)^2 + \left( \sum_{n=1}^{N} H_n \cos(n\delta) \right)^2 \right]^{\frac{1}{2}}.$$

Machine-Learning Validation of Datasets. To further characterize the datasets retrieved by the α-core formula, a previously developed SVM-based classifier (13–15) was used to screen the obtained sequences for antimicrobial activity. Briefly, the SVM classifier was trained to optimally partition 243 known α-helical sequences present in the Antimicrobial Peptide Database (ref. 54; APD, aps.unmc.edu/AP/main.php) from 243 decoy peptides with no reported antimicrobial activity. A minimal model consisting of 12 physicochemical descriptors was obtained using feature selection from 1,588 starting descriptors (55, 56). The SVM generated 12 descriptors from the peptide seguence and output a score  $\sigma$  specifying the distance of the peptide from the 11-dimensional hyperplane separating antimicrobial and nonantimicrobial sequences. Experimental validation of computational predictions of membrane activity was carried out using small-angle X-ray scattering (SAXS) experiments. Here, model membranes were incubated with synthesized  $\alpha\text{-helical}$  test peptides, and induced NGC was quantified. Comparison of  $\sigma$ scores with calibrating SAXS data revealed a strong correlation between the ability to generate NGC in membranes and  $\sigma$ . Thus, a large, positive  $\sigma$  score correlates with the ability to induce NGC in membranes, whereas a negative  $\boldsymbol{\sigma}$  score indicates a lack of membrane-permeating activity. These features also correspond with the presence or absence of antimicrobial activity, respectively (13–15). Sequences retrieved from the  $\alpha$ -core search tool were screened using this algorithm, and  $\sigma$  scores calculated. Spearman correlations were quantified between  $\sigma$  and  $\alpha$ -core metrics using Mathematica.

Synthesis of Candidate Peptides. Selected candidate  $\alpha$ HDPs were synthesized using standard automated F-moc chain assembly, purified by reverse-phase HPLC, and authenticated by mass spectroscopy as previously detailed (43, 57). Lyophilized peptides were resuspended in ddlH<sub>2</sub>0 and stored in aliquots at -20 °C.

Assay for Antimicrobial Activity. Putative  $\alpha HDP$  or like peptides were assayed for microbicidal activity using a well-established radial diffusion method adjusted to pH 5.5 or 7.5 (58). Sequences representing  $\alpha HDP \mu HQ_{25}$  and  $\mu HQ_{50}$  prototypes and not known to have antimicrobial activity were prioritized for study. Sequences representing three diverse high-scoring groups were selected: ILs (tiL-5, hIL-7, hIL-13, and hIL-21); IFNs (bIFN-γ, hIFN-γ); and uncharacterized sequences from P. parasitica. These sequences were synthesized and tested for microbicidal activity against prototypic bacterial and fungal pathogens in vitro. Cathelicidin LL-37 (Peptides International), a prototypic human  $\alpha HDP\text{,}$  was used as a comparator. The efficacy of these peptides was evaluated against a panel of human pathogens, including αHDP susceptible and resistant isogenic pairs: Staphylococcus aureus [ISP479C/ISP479R (59)]; Salmonella typhimurium [MS5996s/MS14028 (43)]; Pseudomonas aeruginosa (PA01); Acinetobacter baumanni (19606); and Candida albicans [36082S/36082R (60)]. In brief, logarithmic-phase organisms were inoculated (10<sup>6</sup> CFU/mL) into molten buffered molecular-grade agarose plates. Peptides (10  $\mu g$ ) were introduced into wells in the seeded matrix and incubated for 3 h at 37 °C. Nutrient overlay medium was applied, and assays incubated at 37 or 30 °C for bacteria or fungi, respectively. After 24 h, zones of inhibition were measured. Independent experiments were repeated a minimum of two times.

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