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1 The Glacial Mid-Depth Radiocarbon Bulge and Its Implications for the
2 Overturning Circulation

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26 **Abstract**

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Published reconstructions of radiocarbon in the Atlantic sector of the Southern Ocean

indicate that there is a mid-depth maximum in radiocarbon age during the last glacial maximum

(LGM). This is in contrast to the modern ocean where intense mixing between water masses

results in a relatively homogenous radiocarbon profile. *Ferrari et al.* [2014] suggested that the

extended Antarctic sea ice cover during the LGM necessitated a shallower boundary between

the upper and lower branches of the meridional overturning circulation (MOC). This shoaled

boundary lay above major topographic features associated with strong diapycnal mixing,

isolating dense southern-sourced water in the lower branch of the overturning circulation. This

isolation would have allowed radiocarbon to decay, and thus provides a possible explanation

for the mid-depth radiocarbon age bulge. We test this hypothesis using an idealized, 2D,

residual-mean dynamical model of the global overturning circulation. Concentration

distributions of a decaying tracer that is advected by the simulated overturning are compared to

published radiocarbon data. We find that a 600 km ($\sim 5^\circ$ of latitude) increase in sea ice extent

shoals the boundary between the upper and lower branches of the overturning circulation at

45°S by 600 m, and shoals the depth of North Atlantic Deep Water (NADW) convection at

50°N by 2500 m. This change in circulation configuration alone decreases the radiocarbon

content in the mid-depth South Atlantic at 45°S by 40%, even without an increase in surface

radiocarbon age in the source region of deep waters during the LGM.

47 1 Introduction

48

49 Atmospheric CO₂ reconstructed from Antarctic ice cores is highly correlated with
50 Antarctic atmospheric temperatures, varying between 180 and 290 ppmv from glacial to
51 interglacial periods [*Petit et al.*, 1999; *Siegenthaler*, 2005]. The magnitude and pacing of these
52 atmospheric CO₂ variations over the past 800 ky (thousand years) suggests that the ocean plays
53 a key role in driving these changes. In particular, the similarity between Antarctic temperature
54 and atmospheric CO₂ suggests that the mechanisms connecting climate changes in the Southern
55 Ocean to atmospheric CO₂ are likely major drivers of glacial-interglacial CO₂ variations
56 [*Fischer et al.*, 2010]. One such hypothesis invokes changes in the strength or position of the
57 westerly winds [*Toggweiler et al.*, 2006; *Anderson et al.*, 2009; *Denton et al.*, 2010], suggesting
58 that a decrease in the strength or an equatorward displacement of the westerlies during the last
59 glacial period would reduce the Ekman transport and upwelling in the Southern Ocean, and
60 thus would reduce the transport of carbon to the surface ocean (and atmosphere) [*Toggweiler et*
61 *al.*, 2006]. However, ice core evidence suggests that there was no significant change in the
62 strength of the westerly winds between the glacial period and today [*Fischer et al.*, 2007], and
63 the evidence to support a latitudinal shift in the westerlies is inconclusive [*Kohfeld et al.*, 2013;
64 *Sime et al.*, 2013]. Furthermore, it is necessary to take into account the eddy-driven circulation
65 as well as the wind driven (Ekman) circulation in order to determine the transport of tracers
66 (such as carbon) in the Southern Ocean. Eddy-resolving models show a much reduced
67 sensitivity of the overturning circulation to changes in wind strength compared to lower
68 resolution models [*Munday et al.*, 2013], indicating that the sensitivity of overturning
69 circulation to changes in the wind might not be as strong as originally thought.

70 Recent research on the Southern Ocean shows that the combination of eddy and Ekman
71 transport is supported by surface buoyancy forcing [*Karsten and Marshall*, 2002], and so past
72 variations in surface buoyancy forcing provides an alternative mechanism to alter Southern
73 Ocean circulation (and potentially atmospheric CO₂) [*Watson and Garabato*, 2006].
74 Furthermore, because the extent of sea ice (which varied by ~5 degrees latitude between the
75 LGM and today [*Gersonde et al.*, 2005]) would strongly influence the surface buoyancy
76 forcing in the Southern Ocean, it provides a mechanistic link that ties Antarctic temperature to
77 Southern Ocean circulation and potentially atmospheric CO₂ rise [*Fischer et al.*, 2010]. A

78 recent paper [*Ferrari et al.*, 2014] further extends this connection by linking the extent of
79 glacial sea ice in the Southern Ocean with the depth of NADW and the boundary between the
80 upper and lower branches of the overturning circulation in the Atlantic, which shoaled to ~2 km
81 in the LGM [*Curry and Oppo*, 2005; *Lund et al.*, 2011].

82 Although physical oceanographers rely on surface buoyancy fluxes to place constraints
83 on the rate of deep water formation and overturning circulation [*Speer and Tziperman*, 1992],
84 there is no obvious way to reconstruct past buoyancy fluxes from the sediment record. Instead,
85 paleoceanographers typically use geochemical tracers in the interior of the ocean to reconstruct
86 past circulation [*Curry and Oppo*, 2005; *Lund et al.*, 2011; *Adkins*, 2013]. The radiocarbon
87 content of water (as reconstructed from foraminifera or deep-sea corals) is a particularly useful
88 tracer because it provides information on the amount of time that has elapsed since the water
89 was last at the sea surface. For instance, a comparison (Figure 1) between radiocarbon in the
90 modern Atlantic sector of the Southern Ocean [*Key et al.*, 2004] versus the reconstructed
91 radiocarbon [*Barker et al.*, 2010; *Skinner et al.*, 2010; *Burke and Robinson*, 2012] from the last
92 glacial maximum (LGM; 19-22 ka) indicates that the glacial ocean was more isolated from the
93 atmosphere (i.e. the water was ‘older’, or more depleted in radiocarbon). Additionally, in the
94 LGM profiles there appears to be a ‘mid-depth age bulge’, where the most radiocarbon-
95 depleted (‘oldest’) water is not the deepest water. This feature is mostly absent in the modern
96 Southern Ocean, although there is a slight radiocarbon age bulge in the Pacific sector of the
97 Southern Ocean (Figure 2). The presence of a mid-depth age bulge in the Atlantic sector of the
98 glacial Southern Ocean suggests a circulation that differed greatly from the modern.

99 We combine the insights about surface buoyancy forcing on glacial Southern Ocean
100 circulation [*Watson and Garabato*, 2006; *Fischer et al.*, 2010] with ocean interior ^{14}C
101 reconstructions [*Barker et al.*, 2010; *Skinner et al.*, 2010; *Burke and Robinson*, 2012] to test the
102 new idea [*Ferrari et al.*, 2014] that the extent of the quasi-permanent sea ice edge around
103 Antarctica plays a crucial role in setting the depth of the boundary between the upper and lower
104 overturning branches, and thus the mixing between northern-sourced and southern-sourced
105 water masses. We hypothesize that the circulation geometry and dynamics that are required by
106 this mechanistic link between the sea ice edge and the boundary between the northern and
107 southern sourced water masses can help to explain the radiocarbon distribution in the glacial
108 high-latitude Atlantic.

109 Today, the quasi-permanent sea ice edge approximately coincides with the transition
110 between positive and negative buoyancy fluxes in the Southern Ocean [*Ferrari et al.*, 2014].
111 South of the sea ice boundary, water becomes denser as a result of cooling and brine rejection.
112 In contrast, north of the sea ice boundary water becomes less dense due to atmospheric
113 warming, precipitation, and melting of transported sea ice and icebergs. These buoyancy
114 fluxes can be used to diagnose the direction of the meridional flow if the system is in steady
115 state: since surface water increases in density towards the pole, water that is subjected to a
116 negative buoyancy flux flows poleward, and water that is subjected to a positive buoyancy flux
117 flows northwards. Thus, water that is upwelled south of the sea ice boundary flows poleward,
118 forming the lower overturning branch, whereas water that is upwelled north of this boundary
119 flows equatorward forming the upper overturning branch.

120 In today's ocean, the circulation forms one continuous overturning cell [*Lumpkin and*
121 *Speer*, 2007; *Talley*, 2013]. Water sinks in the North Atlantic, and is adiabatically upwelled
122 along isopycnals south of the sea ice boundary in the Southern Ocean. It is transformed into
123 Antarctic Bottom Water near the continent and flows northward into the Indo-Pacific and
124 Atlantic Basins. In the Atlantic, AABW is mixed with the southward flowing North Atlantic
125 Deep Water (NADW) and is thus brought back up to the surface again south of the sea ice
126 edge. In the Indian and Pacific Oceans however, diapycnal diffusion transforms AABW into
127 Indian and Pacific Deep Water (PDW), which is less dense than NADW and thus outcrops
128 farther north in the Antarctic Circumpolar Current. While a fraction of PDW outcrops south of
129 the sea ice boundary and is recycled back into the lower cell as AABW, the remainder outcrops
130 north of the sea ice boundary and thus flows northwards and supplies the upper overturning
131 branch, which eventually feeds back into the North Atlantic, closing the full overturning
132 circulation. This circulation can be thought of as one continuous overturning loop, or a "figure-
133 eight" circulation.

134 At the LGM, *Ferrari et al.* [2014] suggest that a greater equatorward extent of sea ice
135 shifted the boundary between negative and positive buoyancy forcing to the north, which
136 resulted in a shoaling of the boundary between the upper and lower overturning circulations in
137 the Atlantic and Indo-Pacific Basins. Shoaling the boundary between these two cells moves it
138 away from the regions of intense mixing near the rough topography of the sea floor [*Polzin*,
139 1997; *Lund et al.*, 2011; *Adkins*, 2013]. The depth of the southward PDW return flow to the

140 Southern Ocean was likely similar during the glacial to what it is today (~2000 m) because the
141 depths of the major bathymetric features were the same. Therefore, if the boundary between the
142 upper and lower overturning branches shoals to depths shallower than PDW, then PDW must
143 upwell south of the sea ice edge and so cannot supply the northward-flowing component of the
144 upper cell in the Atlantic [Ferrari *et al.*, 2014]. Instead the upper cell must close on itself,
145 splitting the LGM overturning circulation into two distinct overturning cells instead of a figure-
146 eight [Ferrari *et al.*, 2014]. We hypothesize that this two-celled circulation is the reason for the
147 pronounced radiocarbon depletion at mid-depths in the glacial Southern Ocean: not only would
148 radiocarbon-enriched northern sourced waters be limited to the upper ocean, the reduced
149 mixing between northern and southern sourced waters would accentuate the radiocarbon
150 depletion at mid-depth as seen in the modern Pacific [Roussenov *et al.*, 2004].

151 In this study we test this hypothesis using a two-dimensional residual overturning
152 model with a radioactive tracer. This model set-up cannot recreate the modern figure-eight
153 circulation since it only has one basin, and the figure eight circulation requires two distinct
154 basins. However, the model is suitable for testing the impact of shoaling the boundary between
155 the upper and lower branches of the overturning circulation in the LGM, associated with
156 expanded sea ice cover in the Southern Ocean, and allows us to explore the implications of that
157 transition on the radiocarbon distribution in the high latitude South Atlantic. In section 2 we
158 describe the radiocarbon data compiled from the last glacial period, as well as from modern
159 water column measurements. In section 3 we provide background on the modern theory for the
160 global overturning circulation, and introduce a two-dimensional model based on that theory. In
161 section 4 we present and discuss results from the model, and we summarize our conclusions in
162 section 5.

163 **2 Radiocarbon profiles at the LGM**

164 **2.1 Water column radiocarbon data**

165

166 To highlight the dramatic $\Delta^{14}\text{C}$ changes seen in the LGM water column we compare it
167 to modern profiles near the sample sites. The modern data (both measured and bomb-
168 corrected) for this study were compiled from the GLODAP database [Key *et al.*, 2004].

169 Measured and bomb-corrected data are plotted in terms of $\Delta^{14}\text{C}$ (‰), which is a measure of the
170 relative difference between the radiocarbon activity of an absolute standard and that of the
171 sample, after correcting for both fractionation and the time since the sample was collected. The
172 bomb-corrected data (“Natural $\Delta^{14}\text{C}$ ”) uses potential alkalinity to correct the measured $\Delta^{14}\text{C}$ for
173 the presence of radiocarbon that can be attributed to nuclear testing, and the spike in
174 atmospheric ^{14}C .

175 We plot both individual station data near the locations of sediment cores and corals
176 (Figure 1), as well as regional averages (Figure 2). For the individual stations, we use the
177 measured $\Delta^{14}\text{C}$ for deep samples, and bomb-corrected $\Delta^{14}\text{C}$ for shallow and intermediate
178 depths¹. For the regional averages (Figure 2), measured and bomb-corrected radiocarbon data
179 were considered separately, although we only included bomb-corrected data from locations that
180 also had measured radiocarbon (rather than the gridded GLODAP field). The radiocarbon data
181 from 35-45° S were interpolated to a 200 m depth resolution. Then the data were binned by
182 geographical area (west and east regions of the Atlantic, Pacific, and Indian Oceans), and an
183 average radiocarbon profile was calculated from the interpolated station data within each bin.
184 Error bars represent one standard error of the $\Delta^{14}\text{C}$ values in each bin.

185 **2.2 LGM radiocarbon Data**

186

187 LGM radiocarbon data from the Atlantic sector of the Southern Ocean come from
188 foraminifera from two sediment cores— MD07-3076 (44.1°S, 14.2°W, 3770 m) [*Skinner et al.*,
189 2010] and TN057-21 (41.1°S, 7.8°E, 4981 m) [*Barker et al.*, 2010]—and a uranium-thorium
190 dated deep-sea coral from the Drake Passage [*Burke and Robinson*, 2012] (Figure 1, stars). We
191 plot this data as atmosphere-normalized $\Delta^{14}\text{C}$ (‰), which is directly comparable to modern
192 water column $\Delta^{14}\text{C}$ (‰), as it takes into account that atmospheric radiocarbon in the LGM was
193 ~40% higher than it is today. In order to calculate this value, it is necessary to have an accurate

¹ Although the bomb-corrected ^{14}C is an estimate for what the pre-anthropogenic water column radiocarbon should be, there is unrealistically large and systematic scatter in many bomb-corrected deep samples. Additionally, some deep bomb-corrected ^{14}C values are more radiocarbon enriched than what was actually measured, which is the wrong direction of change. In Figure 1, the point at which we switch between measured and bomb-corrected $\Delta^{14}\text{C}$ is the depth where the two profiles intersect (500 m in the Drake Passage and 1500 m in the South Atlantic).

194 calendar age for the sample, which is either the uranium-thorium age for the coral or the age
 195 model for the sediment core. The calendar age and the radiocarbon age of the sample can then
 196 be combined (Equation 1) to determine the $\Delta^{14}\text{C}_{\text{sample}}$:

$$\Delta^{14}\text{C}_{\text{sample}} = \left(\frac{e^{\left(\frac{-^{14}\text{C age}}{8033}\right)}}{e^{\left(\frac{-\text{Calendar age}}{8266}\right)}} - 1 \right) \times 1000 \quad (1)$$

197 The two different decay rates (1/8033 y and 1/8266 y) in this equation derive from the initial
 198 determination of the radiocarbon half-life (5568 y, known as the Libby half-life [*Libby, 1955*]),
 199 which is still used to convert a measured ^{14}C concentration into radiocarbon years, and the
 200 more accurate half-life of radiocarbon which was later determined to be 5730 ± 40 years
 201 [*Godwin, 1962*]. After calculating $\Delta^{14}\text{C}_{\text{sample}}$, we normalize it with the concurrent $\Delta^{14}\text{C}_{\text{atm}}$
 202 (determined from the IntCal13 atmospheric record [*Reimer et al., 2013*]) to get the atmosphere
 203 normalized $\Delta^{14}\text{C}$:

$$\Delta^{14}\text{C}_{\text{atm normalized}} = \left(\frac{\left(\frac{\Delta^{14}\text{C}_{\text{sample}}}{1000} + 1 \right)}{\left(\frac{\Delta^{14}\text{C}_{\text{atm}}}{1000} + 1 \right)} - 1 \right) \times 1000 \quad (2)$$

204 For sediment core MD07-3076, there are five depth intervals within the LGM (18-22
 205 ka) with benthic radiocarbon measurements [*Skinner et al., 2010*]. For core TN057-21, we use
 206 the updated age model [*Barker and Diz, 2014*], and on this new age model there are four depth
 207 intervals with benthic radiocarbon measurements during the LGM. In Figure 1 we plot the
 208 average and standard deviation of the atmosphere normalized $\Delta^{14}\text{C}$ during the LGM at the
 209 depths of these sediment cores, taking into account uncertainty in the calendar age, radiocarbon
 210 measurement, and atmospheric ^{14}C record. The shallowest data point (820 m) in Figure 1
 211 comes from a deep-sea coral from the Drake Passage with a U-Th age of 20.27 ± 0.17 ky BP
 212 [*Burke and Robinson, 2012*], and the plotted uncertainty of the atmospheric normalized $\Delta^{14}\text{C}$
 213 represents one standard deviation based on uncertainty in the U-Th age, the ^{14}C measurement,
 214 and the atmospheric ^{14}C record.

215 As shown in Figure 1, the Atlantic sector of the glacial Southern Ocean has a
216 radiocarbon depth profile that is characterized by a mid-depth depletion in radiocarbon
217 (maximum in radiocarbon age), or a ‘mid-depth bulge’. This feature is significantly different
218 from the modern radiocarbon profiles at these sites. A similar feature can be seen in pre-LGM
219 (prior to 25.36 ka [*Vandergoes et al.*, 2013]) radiocarbon data from the Pacific sector of the
220 Southern Ocean; there are large benthic-planktic radiocarbon offsets at mid-depths (2700 m)
221 off the coast of New Zealand [*Sikes et al.*, 2000], although deeper radiocarbon data at this site
222 do not yet exist in the published literature.

223 **2.3 Interpretation**

224

225 The effect of circulation on ^{14}C in the modern ocean can be seen in depth profiles from
226 the Atlantic and Pacific sectors of the Southern Ocean (Figure 2). In the Pacific sector, there is
227 a hint of a mid-depth radiocarbon bulge resulting from relatively old Pacific Deep Water,
228 which is the return flow of diffusively upwelled AABW. In contrast, in the Atlantic sector of
229 the Southern Ocean, this mid-depth age bulge is absent due to the presence of relatively
230 radiocarbon-enriched NADW. These two main water masses in the Atlantic differ in
231 radiocarbon content by more than 60‰, and a combination of isopycnal and diapycnal mixing
232 works to homogenize the gradients in radiocarbon content of these waters, as shown in Figure
233 3.

234 The approximate boundary between NADW and AABW lies along the neutral density
235 surface $\gamma^n = 28.15 \text{ kg/m}^3$ [*Jackett and McDougall*, 1997; *Lumpkin and Speer*, 2007]. Tracing
236 the evolution of radiocarbon along neutral density surfaces above and below this boundary
237 provides insight into how mixing in the modern ocean affects the radiocarbon distribution
238 (Figure 3a,b). The neutral density surface $\gamma^n = 28.20 \text{ kg/m}^3$ is within AABW, and the
239 radiocarbon along this surface stays relatively constant until it begins increasing at around
240 30°S . Because this surface does not outcrop in the northern high-latitudes, the source of
241 radiocarbon must be from diapycnal mixing with more radiocarbon enriched waters above.
242 The deeper neutral density surface $\gamma^n = 28.28 \text{ kg/m}^3$ is further removed from the boundary
243 between radiocarbon-enriched NADW and radiocarbon-depleted AABW. Thus even though
244 this surface is subject to strong diapycnal mixing, the vertical gradient of radiocarbon at this
245 depth is weak and as a result $\gamma^n = 28.28 \text{ kg/m}^3$ maintains an approximately constant radiocarbon

246 content along its path. The neutral density surface $\gamma^n = 28.05 \text{ kg/m}^3$ is within NADW, and, in
247 contrast to the deeper neutral density surfaces, it outcrops in both the Southern Ocean and the
248 North Atlantic. Thus, there is a strong gradient in radiocarbon content along this surface that is
249 efficiently mixed by isopycnal diffusion. Diapycnal diffusion would also act to decrease
250 radiocarbon content of water flowing southward along $\gamma^n = 28.05 \text{ kg/m}^3$ as it mixes with
251 deeper, radiocarbon-depleted waters. However, since this neutral density surface is shallower
252 than 3000 m, diapycnal diffusion is probably less important than it is for $\gamma^n = 28.15 \text{ kg/m}^3$,
253 which defines the boundary between NADW and AABW and is deeper than 3500 m over most
254 of the Atlantic basin. Although radioactive decay would also decrease radiocarbon content
255 along the flow path of NADW, the rapid decrease in radiocarbon content along $\gamma^n = 28.05$
256 kg/m^3 occurs over a short distance (between 40°S and 60°S), and so radioactive decay is
257 unlikely to be the main cause of this decrease. A plot of salinity versus $\Delta^{14}\text{C}$ (Figure 3c) from
258 the South Atlantic along neutral density surfaces ($\gamma^n = 28.12 - 28.18 \text{ kg/m}^3$) shows a simple
259 mixing relationship between two end members (southern and northern); if radioactive decay
260 was important over these spatial scales then the data would lie on a curved line between the two
261 end members [Broecker and Peng, 1982; Adkins and Boyle, 1999].

262 There are two main features of the glacial radiocarbon distribution that stand out when
263 compared to the modern distribution of radiocarbon: 1) overall the glacial ocean is more
264 radiocarbon depleted than the modern ocean and 2) the maximum radiocarbon depletion is at
265 mid-depths, forming a bulge that is absent in the modern high latitude Atlantic Ocean (Fig 1).
266 Previous studies have identified that the glacial ocean was more radiocarbon depleted from the
267 atmosphere than the modern ocean (e.g.[Skinner and Shackleton, 2004; Robinson et al., 2005;
268 Galbraith et al., 2007; Barker et al., 2010; Skinner et al., 2010; Burke and Robinson, 2012]),
269 and this feature has been explained by various processes, including decreased air sea gas
270 exchange due to increased sea ice cover [Schmittner, 2003], and an increase in the residence
271 time of the deep ocean [Sarnthein et al., 2013]. While these processes would indeed contribute
272 to the greater depletion of radiocarbon, they do not offer a straightforward explanation for the
273 change in the depth structure of radiocarbon in the glacial ocean. Previous studies in the glacial
274 Pacific have shown that there was a stronger gradient in radiocarbon content between
275 surface/intermediate-depth (0-1 km) and mid-depth waters (2.7-3.6 km) during the glacial
276 compared to the modern [Sikes et al., 2000; Galbraith et al., 2007], and this feature also

277 appears to be true in the Atlantic sector of the Southern Ocean [*Skinner et al.*, 2010; *Burke and*
278 *Robinson*, 2012] (Fig. 1). The added constraints on glacial radiocarbon from the abyssal ocean
279 (~5 km depth [*Barker et al.*, 2010]) imply that the deepest ocean is still relatively well
280 ventilated, and that the most radiocarbon depleted waters (or the ‘oldest’ waters) lie at mid-
281 depths. In other words, the radiocarbon distribution in the glacial Atlantic looks like a more
282 extreme version of the modern North Pacific.

283 We suggest that the reconstructed glacial radiocarbon distribution is a consequence of
284 reduced mixing between northern and southern-sourced waters in the Atlantic, which results in
285 a more isolated southern-sourced water mass. Model studies investigating the response of
286 radiocarbon in the modern North Pacific to changes in the diapycnal mixing show stronger
287 gradients (and a more pronounced ‘bulge’) when the diapycnal mixing is reduced [*Rousenov*
288 *et al.*, 2004]. In an earlier companion paper [*Ferrari et al.*, 2014], we put forth the idea that
289 extended sea ice during the glacial requires there to be a shoaled boundary between the two
290 overturning branches, and this necessarily confines the northern sourced water mass to the
291 upper overturning branch. Moving this boundary away from rough bottom topography would
292 decrease the diapycnal mixing across the boundary between northern and southern sourced
293 waters [*Lund et al.*, 2011]. The simple lack of northern sourced waters at mid depths in the
294 high-latitude South Atlantic would result in more depleted radiocarbon at these depths (as
295 evidenced by the difference between the Atlantic and Pacific sectors of the Southern Ocean
296 today (Fig. 2)). Additionally, the circulation geometry required by the increased extent of sea
297 ice would force northern-sourced waters to upwell north of the sea ice edge forming a separate
298 circulation cell [*Ferrari et al.*, 2014], and thus the isopycnal mixing between northern and
299 southern sourced waters that is observed in the modern ocean would be reduced. In the
300 following sections, we test these ideas with an idealized two-dimensional model, and add a
301 decaying tracer to investigate the effect of shoaling the boundary between the upper and lower
302 circulation branches on the distribution of radiocarbon.

303 **3 Conceptual Model of the MOC**

304

305 To explain the changes in the radiocarbon depth-profiles between the LGM and today
306 we construct a conceptual model of the ocean that includes only the physical elements

307 necessary to test our hypothesized changes in the circulation [Ferrari *et al.*, 2014]. Our model
 308 describes the zonal- and time-mean density stratification and overturning circulation in an
 309 idealized ACC and northern basin, following Nikurashin and Vallis [Nikurashin and Vallis,
 310 2011]. We allow the model's overturning circulation to advect a radioactively decaying tracer,
 311 and investigate the distribution of this tracer under different patterns of circulation. Our model
 312 configuration is sketched in Figure 4. In this section we outline the salient features of our
 313 model; full details are provided in the Appendix.

314 **3.1 Tracer advection and the role of mesoscale eddies**

315
 316 The ocean's overturning circulation has traditionally been quantified using a
 317 streamfunction in latitude-depth space, with mass transport following streamlines. Often
 318 incorrectly used for this purpose is the Eulerian mean streamfunction, defined as

$$\psi_{\text{mean}}(y, z) = \overline{\int_z^0 v(y, z') dz'}, \quad (3)$$

319 where v is the meridional velocity and for simplicity we assign Cartesian y and z coordinates
 320 to latitude and depth respectively. At each depth ψ_{mean} measures the northward transport
 321 between that depth and the surface by the mean velocity; the overbar indicates an average in
 322 time and longitude. However, ψ_{mean} is not actually the streamfunction that transports tracers
 323 (e.g. [Döös and Webb, 1994]): an additional component due to eddies, ψ_{eddy} , must be added to
 324 account for the transport of mass by mesoscale eddies [McIntosh and McDougall, 1996;
 325 Karsten and Marshall, 2002],

$$\psi_{\text{trc}} = \psi_{\text{mean}} + \psi_{\text{eddy}}, \quad \psi_{\text{eddy}} \approx \frac{\overline{v'\gamma'}}{\gamma_z}. \quad (4)$$

326 This may be thought of as a generalization of the “Stokes drift” effect [Plumb, 1979], wherein a
 327 series of waves or eddies passing through a fixed point in space induces an “eddy” transport of
 328 fluid parcels in addition to advection by the mean flow. Here γ denotes neutral density and
 329 primes denote departures from the time/longitudinal average, *i.e.* $\gamma = \bar{\gamma} + \gamma'$. We denote the
 330 overturning streamfunction as ψ_{trc} to emphasize that it describes the paths of tracer particles in

331 the absence of other effects, such as mixing. In the oceanographic literature ψ_{trc} is also referred
 332 to as the “residual” streamfunction ψ_{res} , or simply as ψ .

333 In practice the difference between ψ_{mean} and ψ_{trc} is most obvious in the ACC, where the
 334 strong westerly winds drive a mean northward Ekman transport within the surface mixed layer.
 335 There are no lateral boundaries in the upper ocean across the latitudes spanning the ACC, so
 336 the mean streamfunction ψ_{mean} is closed by a southward return flow beneath the Drake Passage
 337 sill depth (~ 2 km) [Zika *et al.*, 2013]. The tilted isopycnals in the ACC are baroclinically
 338 unstable, sustaining a vigorous mesoscale eddy field that releases potential energy by relaxing
 339 the isopycnal slopes. The resulting transport of mass via the eddy streamfunction ψ_{eddy} almost
 340 completely compensates ψ_{mean} , leaving ψ_{trc} as a relatively small “residual” that tends to be
 341 aligned with the isopycnals [Marshall and Radko, 2003]. These concepts are illustrated
 342 schematically in Figure 5.

343 **3.2 Residual-mean conceptual model of the MOC**

344

345 Our residual-mean model solves for the mean neutral density $\bar{\gamma}$ and tracer
 346 streamfunction ψ_{trc} in an idealized ACC/Southern Ocean channel ($70^\circ\text{S} - 45^\circ\text{S}$) connected to
 347 an extended northern basin ($45^\circ\text{S} - 50^\circ\text{N}$), as shown in Figure 4. For simplicity the
 348 longitudinal extent of the domain is taken to be a constant, $L_x = 28,000$ km, approximately
 349 equal to the Earth’s circumference at 45°S . In the Southern Ocean, above the topographic sill
 350 at $Z_{\text{sill}} = -2$ km, the mean and eddy components of ψ_{trc} are related to the surface wind forcing
 351 and stratification via

$$\psi_{\text{mean}} = -\frac{\tau}{\rho_0 f}, \quad \psi_{\text{eddy}} = Ks; \quad \text{for } 70^\circ\text{S} < y < 45^\circ\text{S}, \quad z > -2000 \text{ m.} \quad (5)$$

352 The mean component ψ_{mean} may be derived by vertically integrating the zonal momentum
 353 budget from the ocean surface [Marshall and Radko, 2003], and states that all mean northward
 354 transport is contained in the wind-driven surface Ekman layer. Here τ is the zonal surface
 355 wind stress, $\rho_0 = 1000 \text{ kg m}^{-3}$ is the reference density, $s = -\bar{\gamma}_y / \bar{\gamma}_z$ is the mean isopycnal
 356 slope, and $f = -10^{-4} \text{ s}^{-1}$ is the Coriolis parameter. To simplify the interpretation of our model

357 results, we set these parameters as uniform constants. The eddy component ψ_{eddy} employs a
 358 Gent and McWilliams [*Gent and McWilliams, 1990*] down-gradient parametrization of the
 359 meridional eddy density flux with diffusivity K , and thereby becomes proportional to the mean
 360 isopycnal slope s . In the northern basin the isopycnals are assumed to be flat, and the vertical
 361 transport is determined by the change in ψ_{trc} between the northern and southern ends of the
 362 basin [*Nikurashin and Vallis, 2011*]. Below the sill depth, the mean streamfunction ψ_{mean} is
 363 reduced linearly to zero at the ocean bed, which serves as a simple representation of the mean
 364 southward geostrophic return flow in the Southern Ocean [*Ito and Marshall, 2008*]. The
 365 buoyancy diffusivity K is also reduced linearly to zero over the same range of depths in order
 366 to avoid creating a singularity at the ocean bed.

367 As fluid parcels are advected, they are subject to small-scale diapycnal mixing due to
 368 internal wave breaking, parametrized via a diffusivity κ_{dia} . In our model, the diapycnal
 369 diffusivity varies (Figure 6) from $\kappa_{\text{surf}} = 10^{-5} \text{ m}^2 \text{ s}^{-1}$ at the surface to $\kappa_{\text{deep}} = 10^{-4} \text{ m}^2 \text{ s}^{-1}$ in the
 370 deep ocean, with a rapid transition across the sill depth Z_{sill} ,

$$\kappa_{\text{dia}} = \frac{1}{2}(\kappa_{\text{surf}} + \kappa_{\text{deep}}) + \frac{1}{2}(\kappa_{\text{surf}} - \kappa_{\text{deep}}) \tanh\left(\frac{z - Z_{\text{sill}}}{H_{\text{sill}}}\right). \quad (6)$$

371 This idealized profile reflects the intensification of diapycnal mixing close to rough bathymetry
 372 and was designed to capture the transition between large vertical values at the ocean bottom
 373 and small values at depths above the top of most ridges and rises. The top and bottom values
 374 are representative values based from oceanic estimates [*Nikurashin and Ferrari, 2013*;
 375 *Waterhouse et al., 2014*]. Here $H_{\text{sill}} = 750 \text{ m}$ measures the vertical extent of the transition
 376 between the weakly mixed surface and the strongly mixed abyss. Ferrari et al. (2014)
 377 hypothesize that this transition is key to the shoaling of NADW with the expansion of Southern
 378 Ocean sea ice at the LGM.

379 In the Southern Ocean, thermodynamic surface fluxes beneath ice are crudely
 380 parametrized as a prescribed uniform density input $\Gamma_{\text{ice}} = 1.5 \times 10^{-7} \text{ kg m}^{-2} \text{ s}^{-1}$ over a latitudinal
 381 extent L_{ice} from the southern boundary. In the “modern” model ocean ($L_{\text{ice}} = 500 \text{ km}$), the total
 382 density input beneath Antarctic ice compares closely with the Southern Ocean State Estimate
 383 (SOSE, [*Mazloff et al., 2010*]). North of this, we apply a buoyancy flux that restores the density

384 in the surface model grid boxes to a prescribed linear profile over a timescale of $T_\gamma = 2$ weeks,
385 ranging from $\bar{\gamma} = 27.9 \text{ kg m}^{-3}$ at the ice edge to $\bar{\gamma} = 25.9 \text{ kg m}^{-3}$ at 45°S . This reflects the
386 rapid equilibration of ocean surface temperature with the atmosphere [Haney, 1971], and the
387 general warming towards the equator. Ferrari et al. (2014) identify $\bar{\gamma} = 27.9 \text{ kg m}^{-3}$ as the
388 isopycnal that emanates from the quasi-permanent sea ice edge at the ocean surface, and
389 separates the upper and lower branches of the present-day global MOC.

390 Our residual-mean model cannot directly simulate the process of deep convection, so at
391 the southernmost and northernmost edges of our model domain we add convective regions of
392 width $L_{\text{AABW}} = 100 \text{ km}$ and $L_{\text{NADW}} = 150 \text{ km}$ respectively. In these regions we assume that
393 convective motions vertically homogenize density and linearly map deep isopycnals to the
394 ocean surface, as shown in Figure 4 and explained in the Appendix. In the AABW convective
395 region the surface density input is a fixed flux with an exponential profile, but the average
396 density input remains equal to Γ_{ice} , defined above. The NADW convective region
397 parameterizes formation of NADW within the deep North Atlantic marginal seas [Spall, 2004;
398 2011]. The density input takes the form of a relaxation towards a prescribed density
399 $\gamma_{\text{NADW}} = 28.1 \text{ kg m}^{-3}$, which corresponds approximately to the density of Denmark Strait
400 Overflow Water, with a timescale $T_{\text{NADW}} = 10 \text{ yr}$.

401 Once our model ocean has established a steady density distribution and tracer
402 streamfunction, we solve for the mean distribution of radiocarbon, which we denote as \bar{C} for
403 notational convenience. Radiocarbon is similarly advected by ψ_{trc} and diffused across
404 isopycnal surfaces by κ_{dia} , in addition to decaying with a half-life of 5730 yr. Importantly,
405 radiocarbon, as a dynamically passive tracer, is stirred *along* isopycnal surface by mesoscale
406 eddies, an effect that we parametrize using a uniform constant isopycnal diffusivity κ_{iso} . We
407 impose a surface flux of radiocarbon that restores the concentration in the model surface grid
408 boxes, with a timescale of $T_C = 1 \text{ yr}$, to a constant value of -125‰ beneath ice in the Southern
409 Ocean, a constant value of -50‰ at 45°S and throughout the northern basin, and linearly
410 varying between the two. This profile qualitatively captures the structure of modern surface
411 ocean radiocarbon reconstructions [Bard, 1988; Key et al., 2004]. Radiocarbon is similarly
412 restored to its surface concentration in the high-latitude convection regions, under the

413 assumption that it is mixed rapidly throughout the water column by deep convection. We
414 emphasize here that our goal is not to predict the surface radiocarbon distribution. Rather, we
415 will investigate how the deep radiocarbon distribution is modified by changes in the ocean
416 circulation, treating the modern radiocarbon distribution as a surface boundary condition.

417 We have purposefully made the simplest choices possible for the various model
418 parameters described above. We have therefore constructed a model of minimal complexity,
419 including only the most salient features of the ocean circulation. To summarize, these features
420 are: (1) residual mean overturning circulation in the channel, (2) convection at the northern and
421 southern boundaries of the model domain, (3) stratification in the basin that is set at the
422 northern edge of the channel, and (4) a southward return flow below the depth of the sill in the
423 channel. Consequently the model output should not be expected to compare precisely with the
424 real ocean; rather, it illustrates the qualitative response of large-scale features of the ocean
425 circulation and radiocarbon distribution to changes in surface forcing.

426 One important limitation of this model is that because it only has one global ocean
427 basin, it is impossible to recreate the modern figure-eight circulation, whereby NADW feeds
428 the lower overturning branch and is closed diffusively in the Pacific Basin. We will partially
429 address this limitation by running the same model with two different northern boundary
430 conditions for deep convection, providing us with an Atlantic-like and a Pacific-like simulation.
431 The model is also missing additional inter-basin water pathways, such as the flow of NADW
432 into the Pacific and Indian Oceans (e.g. [Talley, 2013]). Since this water upwells diffusively in
433 the closed basins and mostly adiabatically in the Southern Ocean, it is not expected to modify
434 the general picture discussed here. In addition, Talley [2013] suggests that a portion of
435 NADW, PDW and IDW (6 Sv out of a total of 30 Sv) upwells diffusively through the main
436 thermocline. This conclusion, which was based on observationally derived geostrophic and
437 Ekman transports, is in apparent contradiction with inverse-modeling approaches [Lumpkin and
438 Speer, 2007], which suggest that the least dense NADW/PDW upwells in the Southern Ocean
439 and returns north as Antarctic Intermediate Water/SubAntarctic Mode Waters. This latter
440 estimate is supported by the distribution of radiocarbon in the modern Pacific, which limits the
441 diffusive upwelling to the thermocline to be less than 3 Sv [Toggweiler and Samuels, 1993].
442 Although diffusive upwelling of deep waters across the thermocline is missing in our model,
443 they represent a relatively small transport compared to the return flow of PDW to the Southern

444 Ocean surface. Thus our model is well posed to assess whether increased sea ice extent in the
445 Southern Ocean would shoal the boundary between the upper and lower overturning branches,
446 and the influence of the depth of this boundary on the distribution of radiocarbon in the glacial
447 ocean.

448 **4 Model results**

449 **4.1 The modern ocean**

450

451 We define a reference or “modern” case in which the sea ice extent is $L_{\text{ice}} = 500 \text{ km}$, the
452 surface wind stress is $\tau = 0.1 \text{ N m}^{-2}$, and the buoyancy diffusivity and isopycnal diffusivity are
453 $K = \kappa_{\text{iso}} = 1000 \text{ m}^2 \text{ s}^{-1}$. This leaves around 2000 km of the channel surface ice-free and yields
454 an estimate for the ACC isopycnal slope of $s \approx -10^{-3}$, so that the $\bar{\gamma} = 27.9 \text{ kg m}^{-3}$ isopycnal
455 lies close to 2000 m depth in the basin region, in agreement with observations [Ferrari *et al.*,
456 2014]. This configuration is Atlantic-like in the sense that there is a deep-water source at the
457 northern boundary. For comparison, we also define a Pacific-like reference case (Figure 7 b,d,f)
458 with identical parameters, but we remove northern deep-water formation by turning off surface
459 restoring in the northern convection region.

460 Figure 7(a,b) compares the density stratification and overturning streamfunction
461 between our Atlantic-like and Pacific-like reference simulations. In both of these reference
462 simulations, water is exported at all depths from the AABW convective region, and enters the
463 northern basin below 4000 m. It then upwells diffusively across density classes and returns
464 southward along isopycnals to the surface, where it becomes denser due to density input under
465 sea ice, and thus flows southward. In our Pacific-like simulation, the upper overturning cell is
466 weak and northern-sourced water sinks to a depth of 1500 m. In our Atlantic-like simulation,
467 the upper overturning cell is much stronger: NADW is exported down to 4000 m from the
468 northern convective region and upwells to around 2000 m as it flows southward. It then upwells
469 along isopycnals to the surface of the Southern Ocean, where the surface restoring increases its
470 buoyancy and drives the waters northward. Notice again that the model here is idealized in that

471 it represents the overturning in the two basins as independent. In the real ocean the circulations
472 in the two basins are connected as discussed in Section 1.

473 Figure 7(c,d) shows that the presence of North Atlantic deep convection dramatically
474 alters the radiocarbon distribution in the deep ocean. In our Pacific-like simulation the absence
475 of northern deep convection results in water with $\Delta^{14}\text{C} = -230\text{‰}$ in the mid-depth northern
476 basin. By contrast, in the Atlantic-like simulation the most radiocarbon-depleted waters have
477 $\Delta^{14}\text{C} = -160\text{‰}$, and lie at mid-depth close to 45°S . Figure 7(e,f) compares the simulated
478 radiocarbon profiles at 45°S with radiocarbon profiles from the western Atlantic and eastern
479 Pacific (see Figure 2). Below 1500 m, Atlantic radiocarbon is relatively uniform, with $\Delta^{14}\text{C}$
480 between -135‰ and -160‰ , while the Pacific exhibits a mid-depth bulge of $\Delta^{14}\text{C} = -220\text{‰}$ at
481 2400 m. Our idealized Atlantic-like and Pacific-like simulations capture the qualitative features
482 of the actual profiles.

483 Even in our idealized two-dimensional circulation model, several physical processes
484 contribute to the distribution of radiocarbon (see Appendix). In order to identify which
485 processes are most important for radiocarbon as a tracer, we conducted a series of model runs
486 with the same circulation and stratification, but when calculating the radiocarbon distribution
487 we independently activated or deactivated the residual circulation ψ_{trc} , isopycnal mixing κ_{iso} ,
488 and diapycnal mixing κ_{dia} . Figure 8 shows the radiocarbon profiles at 45°S for each parameter
489 combination in our Atlantic-like (a) and Pacific-like (b) simulations. In the simulation with
490 only diapycnal mixing enabled, bottom waters have $\Delta^{14}\text{C}$ values of -730‰ , due to the slow
491 timescale associated with vertical diffusion. Including the residual circulation dramatically
492 improves the profiles, but a $\Delta^{14}\text{C} = -260\text{‰}$ (Atlantic) or $\Delta^{14}\text{C} = -300\text{‰}$ (Pacific) mid-depth
493 bulge remains due to the long transit time for AABW to travel from the southern boundary to
494 the northern boundary and then return to 45°S . The Atlantic case has a less pronounced mid-
495 depth bulge because of the presence of Northern-sourced waters at mid-depths, however it
496 maintains a bulge because the water at mid-depths has a longer transit time from its source
497 region than the waters above and below. The addition of isopycnal mixing reveals two
498 surprising features: (i) Isopycnal mixing is necessary to obtain a mid-depth radiocarbon content
499 that lies even remotely close to observations; (ii) Isopycnal mixing *alone* can produce a mid-
500 depth bulge in the Pacific, but not in the Atlantic, as in the modern ocean.

501 To understand feature (i) physically, we use the radiocarbon evolution equation (S10 in
 502 the Supplementary Information) to create a straightforward estimate of the time scales over
 503 which advection, isopycnal mixing, diapycnal mixing, and radioactive decay affect the
 504 radiocarbon concentration at 45°S,

$$T_{\text{adv}} = \frac{HL_{\text{basin}}}{[\psi_{\text{trc}}]}, \quad T_{\text{iso}} = \frac{L_{\text{channel}}^2}{\kappa_{\text{iso}}}, \quad T_{\text{dia}} = \frac{H^2}{\kappa_{\text{dia}}}, \quad T_{\text{decay}} = \frac{1}{r}. \quad (7)$$

505 These are derived by comparing each term in equation (S10) to the time derivative \bar{C}_t , and
 506 replacing partial derivatives with simple scalings, e.g. $\bar{C}_z \sim C_0/H$ or $\partial\psi_{\text{trc}}/\partial y \sim [\psi_{\text{trc}}]/$
 507 L_{channel} , where $[\psi_{\text{trc}}]$ is an estimate of the strength of the overturning streamfunction and C_0 is
 508 a typical radiocarbon concentration. For example, for diapycnal mixing we take $\bar{C}_t \sim$
 509 $(\kappa_{\text{dia}}\bar{C}_z)_z$, so $C_0/T_{\text{dia}} \sim \kappa_{\text{dia}}C_0/H^2$, and therefore we choose $T_{\text{dia}} = H^2/\kappa_{\text{dia}}$. Importantly we
 510 use L_{channel} as the lengthscale for isopycnal mixing, rather than L_{basin} : radiocarbon mixed
 511 isopycnally to mid-depth at 45°S is sourced either from the surface of the Southern Ocean or
 512 from the northern convection region. The Southern Ocean surface is much closer ($\sim L_{\text{channel}}$),
 513 and therefore supplies radiocarbon much more rapidly to 45°S. By contrast, L_{basin} is the
 514 correct horizontal lengthscale for advection because fluid parcels advected to mid-depth at
 515 45°S must typically traverse the length of the northern basin, following the streamlines shown
 516 in Figure 7. Substituting $H = 5000$ m, $L_{\text{basin}} = 10,000$ km, $L_{\text{channel}} = 2,500$ km, $\kappa_{\text{iso}} =$
 517 $1000 \text{ m}^2\text{s}^{-1}$, $\kappa_{\text{dia}} = 10^{-4} \text{ m}^2\text{s}^{-1}$, and $[\psi_{\text{trc}}] = 0.5 \text{ m}^2\text{s}^{-1}$ into equation (7) yields estimates of
 518 $T_{\text{adv}} \approx 3.2$ ky, $T_{\text{iso}} \approx 0.2$ ky, $T_{\text{dia}} \approx 7.9$ ky, and $T_{\text{decay}} \approx 8.3$ ky. Thus the timescale for
 519 isopycnal mixing is more than an order of magnitude shorter than any other process, and so the
 520 relatively high radiocarbon content of the water column at 45°S is principally due to isopycnal
 521 mixing.

522 To understand feature (ii), first note that in Figure 7(a,b) small variations in the
 523 isopycnal slope result in substantial “thickness gradients”, where the vertical spacing between
 524 isopycnals changes with latitude. As indicated in Figure 5, these thickness gradients are
 525 necessary to support the latitudinal advective transport across the ACC, and therefore to close
 526 the overturning cells. However, they have additional implications for isopycnal mixing: where
 527 waters upwell from mid-depth in the Atlantic to the surface of the southern ocean, the isopycnal
 528 thickness decreases southward. These density classes therefore outcrop over a relatively narrow

529 surface area, and receive a relatively small flux of radiocarbon from the atmosphere. Thus
530 isopycnal mixing is less effective at maintaining the radiocarbon content of the mid-depth
531 waters than the near-surface or abyssal waters.

532 We emphasize that the surprisingly strong influence of isopycnal mixing should not be
533 interpreted to mean that the overturning circulation is unimportant for the radiocarbon content
534 at 45°S. If there were no overturning circulation then no isopycnal thickness gradient would
535 exist in the ACC, and so isopycnal diffusion could no longer produce a mid-depth bulge.
536 Furthermore, Figure 8(b) shows that the structure of the mid-depth bulge is substantially shaped
537 by the overturning circulation and diapycnal mixing, rather than isopycnal mixing alone.

538 **4.2 Sea ice expansion and the transition from modern to LGM**

539

540 Ferrari et al. (2014) analyzed Community Climate System Model version 3 (CCSM3)
541 simulations of the modern and LGM climates [Otto Bliesner et al., 2007], which suggest that
542 the quasi-permanent Antarctic sea ice extended around 5° further north at the LGM.
543 Reconstructions of sea ice extent based upon diatom and radiolarian assemblages from LGM
544 sediment also indicate an increased extent of both summer and winter sea ice [Gersonde et al.,
545 2005]. We therefore define an LGM reference experiment in which the sea ice extends by
546 600km, setting $L_{ice} = 1100\text{ km}$ and keeping all other parameters identical to our modern
547 Atlantic-like reference case. We conservatively assume that the density input per unit area
548 beneath sea ice remains fixed at the LGM, though CCSM3 predicts that it should be stronger
549 [Ferrari et al., 2014]. Figure 9(a) shows the stratification and overturning circulation for our
550 LGM reference simulation. Neutral density is not defined for the LGM, so we have retained
551 $\bar{\gamma} = 27.9\text{ kg m}^{-3}$ as the surface density at the sea ice edge for the purpose of comparison (we
552 are free to make this choice because adding a constant to $\bar{\gamma}$ everywhere in the domain does not
553 change the solution). The expansion of the sea ice shoals this isopycnal by around 600 m, and
554 with it the deep cell of the MOC. As a result, NADW no longer sinks below 2000 m, and thus it
555 is subject to much weaker diapycnal diffusivity, resulting in a largely adiabatic southward flow
556 along isopycnals to the surface of the Southern Ocean.

557 Figure 9 (b) shows that shoaling the NADW above 2000m ages the waters at the deep
558 northern boundary, producing a radiocarbon distribution that more closely resembles the

559 Pacific-like modern reference simulation. This result is supported by available reconstructions
560 of older (more radiocarbon depleted) water in the deep North Atlantic during the LGM
561 [Keigwin, 2004; Robinson *et al.*, 2005; Skinner *et al.*, 2014]. Shoaling NADW above 2000m
562 removes the source of radiocarbon-rich water in the mid-depth northern basin, so the $\Delta^{14}\text{C}$ of
563 mid-depth waters at 45°S decreases by $\sim 40\%$, as shown in Figure 9(c). As discussed above,
564 isopycnal mixing also plays a key role in moderating the magnitude of the mid-depth
565 radiocarbon bulge at 45°S , and so the oldest curve in Figure 9(c) corresponds to an extreme
566 case in which the sea ice is extended to $L_{\text{ice}} = 1100\text{ km}$ and the isopycnal diffusivity is reduced
567 to $\kappa_{\text{iso}} = 100\text{ m}^2\text{ s}^{-1}$.

568 To illustrate the importance of the circulation's interaction with the vertical mixing
569 profile we have conducted simulations for sea ice extents ranging from $L_{\text{ice}} = 500\text{ km}$ to
570 $L_{\text{ice}} = 2000\text{ km}$, as shown in Figure 10(a). As the sea ice expands, the radiocarbon content of
571 mid-depth waters decreases rapidly due to the shoaling of NADW. The NADW shoals most
572 rapidly between $L_{\text{ice}} = 500\text{ km}$ and $L_{\text{ice}} = 1100\text{ km}$ because over this range the $\bar{\gamma} = 27.9\text{ kg m}^{-3}$
573 isopycnal shoals from the sill depth of 2000m up to around 1400m, and the diapycnal
574 diffusivity on that isopycnal decreases from $\kappa_{\text{dia}} \approx 5 \times 10^{-5}\text{ m}^2\text{ s}^{-1}$ to $\kappa_{\text{dia}} \approx 1 \times 10^{-5}\text{ m}^2\text{ s}^{-1}$ (Figure
575 6). Recall that $\bar{\gamma} = 27.9\text{ kg m}^{-3}$ marks the sea ice edge, and thus the division between the upper
576 and lower overturning cells at the ocean surface. Any NADW that sinks deeper than
577 $\bar{\gamma} = 27.9\text{ kg m}^{-3}$ at the northern boundary must upwell across this isopycnal before reaching the
578 surface in the Southern Ocean. In the modern case, strong diapycnal mixing permits a large
579 fraction of the NADW to sink to 4000m and then upwell across $\bar{\gamma} = 27.9\text{ kg m}^{-3}$. At the LGM,
580 weak diapycnal mixing shallower in the water column essentially confines NADW to lie
581 shallower than $\bar{\gamma} = 27.9\text{ kg m}^{-3}$, with the same northern boundary conditions as in our modern
582 simulations. This is consistent with the Ferrari *et al.* (2014) hypothesis that NADW can only
583 sink below the sill depth as long as the $\bar{\gamma} = 27.9\text{ kg m}^{-3}$ isopycnal also lies below the sill.

584 To demonstrate that the shoaling of NADW with increasing sea ice extent is a robust
585 feature of this model, we have conducted a series of simulations with LGM sea ice cover
586 $L_{\text{ice}} = 1100\text{ km}$ and NADW density increasing from $\gamma_{\text{NADW}} = 28.1\text{ kg m}^{-3}$ to

587 $\gamma_{\text{NADW}} = 29.1 \text{ kg m}^{-3}$. The largest densities in our modern and LGM reference simulations were
588 close to 28.2 kg m^{-3} and 28.5 kg m^{-3} respectively, so this constitutes an extreme range of
589 NADW densities. Figure 10(c) shows that increasing γ_{NADW} somewhat offsets the shoaling
590 effect of the sea ice expansion, but bringing NADW as deep as 3000m requires an extreme
591 increase in density to $\gamma_{\text{NADW}} = 29.1 \text{ kg m}^{-3}$. In this case the very large density input in the
592 northern convective region produces a strong stratification in the deep ocean that allows
593 NADW to upwell across $\bar{\gamma} = 27.9 \text{ kg m}^{-3}$, despite very weak diapycnal mixing on that
594 isopycnal. Finally, although the overturning circulation is sensitive to the diffusivity profile
595 (Figure 6) employed in the model [Nikurashin and Vallis, 2011; 2012]), the expansion of sea
596 ice results in significant shoaling of NADW at the northern boundary for pretty much any
597 sensible mixing profile which decreases rapidly above major topographic ridges. This is
598 because with a sea ice expansion, the only isopycnals that connect to the portion of the surface
599 that gains buoyancy from the atmosphere are sitting above ridges and rough bathymetry, where
600 the diffusivity is weak. As long as topography and the associated mixing profile are not
601 changed between our modern and LGM scenarios, we are led to conclude that this connection
602 between sea ice extent and NADW depth is robust to the shape of the profile.

603 A common hypothesis used to explain differences in the deep ocean circulation during
604 the glacial period relies on shifts in the location and strength of the Southern Hemisphere
605 westerly winds [Toggweiler *et al.*, 2006; Anderson *et al.*, 2009]. We explore how this
606 mechanism would affect the radiocarbon distribution by conducting a series of simulations with
607 surface wind stresses ranging from $\tau = 0.05 \text{ N m}^{-2}$ to $\tau = 0.1 \text{ N m}^{-2}$, using both modern and
608 LGM sea ice extents, as well as with and without eddy saturation. The eddy saturation
609 hypothesis argues that the buoyancy diffusivity K in the ACC adjusts linearly with the surface
610 wind stress, such that the isopycnal slope in the Southern Ocean remains unchanged [Munday
611 *et al.*, 2013]. We find that reducing the surface wind stress alone reduces the isopycnal slope in
612 the channel, and thereby shoals the $\bar{\gamma} = 27.9 \text{ kg m}^{-3}$ isopycnal that separates the upper and
613 lower overturning cells. This shoaling results in older radiocarbon ages at mid-depth (Figure
614 10(c)). This effect is offset when eddy saturation is included, particularly at the LGM.
615 Furthermore, a 50% reduction in the wind stress is required to reduce radiocarbon in mid-depth
616 waters at 45°S by 20‰, in contrast to the 40‰ reduction associated with a greater sea ice

617 extent. This 50% reduction in the wind stress is far greater than the most recent estimates from
618 paleo-archives, which suggest at most a 10% change in the westerly wind stress at the LGM
619 [Fischer *et al.*, 2007; 2010; Kohfeld *et al.*, 2013; Sime *et al.*, 2013].

620 In our reference experiments we simply set κ_{iso} equal to the buoyancy diffusivity K ,
621 which in turn was selected to produce a realistic isopycnal slope in the Southern Ocean, but in
622 reality these diffusivities may differ substantially [Abernathy and Marshall, 2013]. The
623 isopycnal diffusivity is one of the least well-constrained parameters in our model, though our
624 reference value of $\kappa_{\text{iso}} = 1000 \text{ m}^2 \text{ s}^{-1}$ lies just within the error range of the ACC isopycnal
625 diffusivity measured in the DIMES experiment [Tulloch *et al.*, 2014]. There are currently no
626 observational constraints for the isopycnal diffusivity in the northern basins; it may vary
627 substantially between the surface-intensified gyre circulations and the deep flows of NADW
628 and AABW. We investigate the model sensitivity to κ_{iso} via an additional series of
629 radiocarbon-only simulations using the reference circulation and stratification, but with
630 isopycnal diffusivities ranging from $\kappa_{\text{iso}} = 0$ to $\kappa_{\text{iso}} = 2000 \text{ m}^2 \text{ s}^{-1}$. Figure 10 (d) shows that
631 radiocarbon in the modern and LGM simulations are strongly sensitive to κ_{iso} : halving κ_{iso} may
632 decrease mid-depth radiocarbon at 45°S by ~30‰. However, a κ_{iso} of 100 m²/s is likely not
633 physical.

634 **5 Discussion and Conclusions**

635

636 In this article we have demonstrated that the high-latitude South Atlantic during the LGM has a
637 pronounced “mid-depth bulge” in radiocarbon, and this feature is consistent with a shoaling of
638 northern-sourced waters and expansion of southern-sourced waters. The mid-depth waters (at
639 3.7 km) are 150‰ more depleted than the waters at 1 km, and 100‰ more depleted than the
640 abyssal waters (5 km). By contrast, the mid-depth bulge in the modern South Pacific is only
641 40‰ more depleted than the abyssal waters and 120‰ older than the waters at 1 km, and the
642 age maximum lies around 2-3 km. Our idealized model results consistently predict an age
643 maximum around 2-3 km. There are currently no LGM radiocarbon reconstructions from this

644 depth range in the high latitude South Atlantic, so the available data may underestimate the size
645 of LGM mid-depth bulge.

646 The aging of the mid-depth South Atlantic is an expected consequence of the
647 reorganization of the overturning circulation at the LGM, as discussed in our companion paper
648 [Ferrari *et al.*, 2014]. The expansion of the Antarctic sea ice at the LGM [Gersonde *et al.*,
649 2005] shifts equatorward the transition from negative to positive surface buoyancy fluxes in the
650 Southern Ocean [Fischer *et al.*, 2010], and thus the division between southward-flowing and
651 northward-flowing surface waters. This in turn raises the $\gamma = 27.9 \text{ kg m}^{-3}$ isopycnal, which
652 separates the branches of the overturning circulation in the Southern Ocean, above the
653 topographic sill depth ($\sim 2 \text{ km}$). This precludes any southern-sourced waters from upwelling
654 above $\gamma = 27.9 \text{ kg m}^{-3}$ and being driven northward at the surface of the Southern Ocean; such
655 upwelling does occur in the modern Pacific Ocean [Talley, 2013], and results in a single figure-
656 eight-shaped global overturning cell [Ferrari *et al.*, 2014]. Mass conservation necessitates that
657 LGM northern-sourced water forms a separate cell that closes on itself, with NADW confined
658 above the depth of most ridges and rises. Ferrari *et al.* (2014) hypothesize that this shoaling of
659 NADW reduces the mixing between southern and northern sourced waters, and thereby ages
660 the ocean at mid-depth.

661 To test this hypothesis we constructed an idealized two-dimensional residual-mean
662 circulation model with a rapid increase in diapycnal mixing below 2 km depth, and imposed an
663 expansion of the sea ice around Antarctica (compare Figure 7(a) and Figure 9(a)). Expanding
664 the sea ice by 600 km ($\sim 5^\circ$) raises the $\gamma = 27.9 \text{ kg m}^{-3}$ isopycnal by around 600m, and thereby
665 shoals the depth of NADW formation by around 2500 m (Figure 10(a)). As argued by Ferrari *et al.*
666 (2014), NADW formed beneath the depth of most ridges cannot upwell diffusively across
667 the shoaled $\gamma = 27.9 \text{ kg m}^{-3}$ isopycnal at the LGM, so the deep ocean responds by becoming
668 increasingly dense until NADW sinks to above the ridge depth. Consequently, increasing the
669 density of newly formed NADW has little impact on the depth to which it sinks (Figure 10(c)).
670 The shoaling of NADW dramatically reduces lateral mixing between northern- and southern-
671 sourced waters, as the upper and lower overturning cells no longer share isopycnals (contrast
672 Figure 7(a) and Figure 9(a)). Via this mechanism the sea ice expansion alone decreases
673 radiocarbon content in the mid-depth waters by $\sim 40\%$, making them $\sim 45 \%$ more depleted
674 than the deepest waters and $\sim 50\%$ more depleted than the waters at 1km depth (Figure 9 (c)).

675 This glacial shoaling of NADW is consistent with other LGM proxy records, including
676 glacial reconstructions of water mass distributions from conservative tracers ($\delta^{18}\text{O}$) and nutrient
677 proxies (e.g. $\delta^{13}\text{C}$ and Cd/Ca) [Curry and Oppo, 2005; Marchitto and Broecker, 2006; Lund et
678 al., 2011]. A recent study [Gebbie, 2014] put forth the suggestion that the available LGM $\delta^{18}\text{O}$,
679 $\delta^{13}\text{C}$, and Cd/Ca data in the Atlantic can be explained by a slowing of the upper overturning
680 circulation without any significant shoaling. However this result is obtained without imposing
681 that the glacial circulation in the global ocean is physically consistent. Specifically, our model
682 circulation satisfies momentum and buoyancy equations for a given surface forcing (winds,
683 buoyancy fluxes) and interior properties (diapycnal diffusivity). We are unable to recover a
684 slower, deep overturning without a dramatic (arguably unrealistic) alteration of the Southern
685 Ocean buoyancy fluxes, deep diffusivity or NADW production. Furthermore, Nikurashin and
686 Vallis [2012] and {Kostov:2014wh} have shown that a slower overturning cell is by necessity
687 shallower. In our model the reduced radiocarbon content is a result of a change in the
688 overturning cell structure as opposed to the strength of that overturning.

689 Our model suggests that the absence of the mid-depth radiocarbon bulge in the modern
690 South Atlantic is principally due to the presence of Northern-sourced waters at mid-depths and
691 isopycnal mixing by mesoscale eddies (Figure 8). If true, this means that deep radiocarbon
692 profiles may be used to infer the volumes of the southern- and northern-sourced waters, but tell
693 us little about the strength of the circulation. The effects of isopycnal diffusion may be
694 particularly pronounced in radiocarbon because radioactive decay leads to the formation of
695 strong isopycnal gradients, absent in conservative tracers like $\delta^{18}\text{O}$.

696 The model employed in this study is highly idealized, incorporating the simplest
697 possible parameter choices to obtain a qualitatively correct representation of the overturning
698 circulation. This allows the mechanisms controlling both modern and LGM radiocarbon ages to
699 be readily understood. Yet there are some important caveats to this approach. Being two-
700 dimensional, our model is unable to represent the “figure-of-eight” structure of the modern
701 overturning circulation, which would require separate representations of the Atlantic and
702 Pacific basins. Nevertheless, the model captures the key mechanism underlying the formation
703 of the mid-depth bulge at the LGM: shoaling of NADW concurrent with the expansion of
704 Antarctic sea ice, resulting in reduced mixing between northern-sourced and southern-sourced
705 waters. However, the use of what is essentially a single, global northern basin may

706 underestimate the relative importance of advection in setting radiocarbon distributions. If the
707 modeled modern southward transport of northern-sourced waters were instead concentrated in
708 boundary currents in a narrow Atlantic basin, the velocities associated with that flow would be
709 larger, and might further reduce the radiocarbon age of the mid-depth South Atlantic via
710 advection of radiocarbon-enriched waters from the North Atlantic surface. This could account
711 for the slight, oppositely signed, mid-depth bulge in radiocarbon age in the modern South
712 Atlantic (Figure 2).

713 An outstanding question, not satisfactorily answered by the model results presented
714 herein, is: why is the LGM mid-depth bulge so old? Our model must employ unrealistically
715 weak lateral mixing in order to reproduce the atmosphere-normalized contrast between the
716 LGM mid-depth, 1 km, and abyssal radiocarbon ages (Figure 1 (a) and Figure 9(c)). A possible
717 explanation is that our prescription of the surface radiocarbon concentration, chosen to ensure a
718 fair test between the modern and LGM model results, could be keeping the LGM waters
719 artificially young. Separation of the southern-sourced and northern-sourced waters at the LGM
720 could increase the surface reservoir age beneath sea ice (beyond which would be expected from
721 simply air-sea gas exchange limitation), and thereby further increase the age of the mid-depth
722 bulge. Additionally, the core data plotted in Figure 1 were retrieved from sites spanning $\sim 70^\circ$
723 of longitude and $\sim 20^\circ$ of latitude, and the sparseness of paleoceanographic data in the region
724 precludes quantification of how accurately these samples represent the broader South Atlantic.
725 Both more radiocarbon reconstructions of the glacial ocean and a three-dimensional treatment
726 of ocean circulation will help to ultimately resolve this discrepancy, and would yield further
727 insight into the transition between the modern and LGM overturning circulations.

728

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732 paper can be found in supplemental tables from previous publications or from the GLODAP
733 database [Key *et al.*, 2004]. Model results are available by request to ALS.

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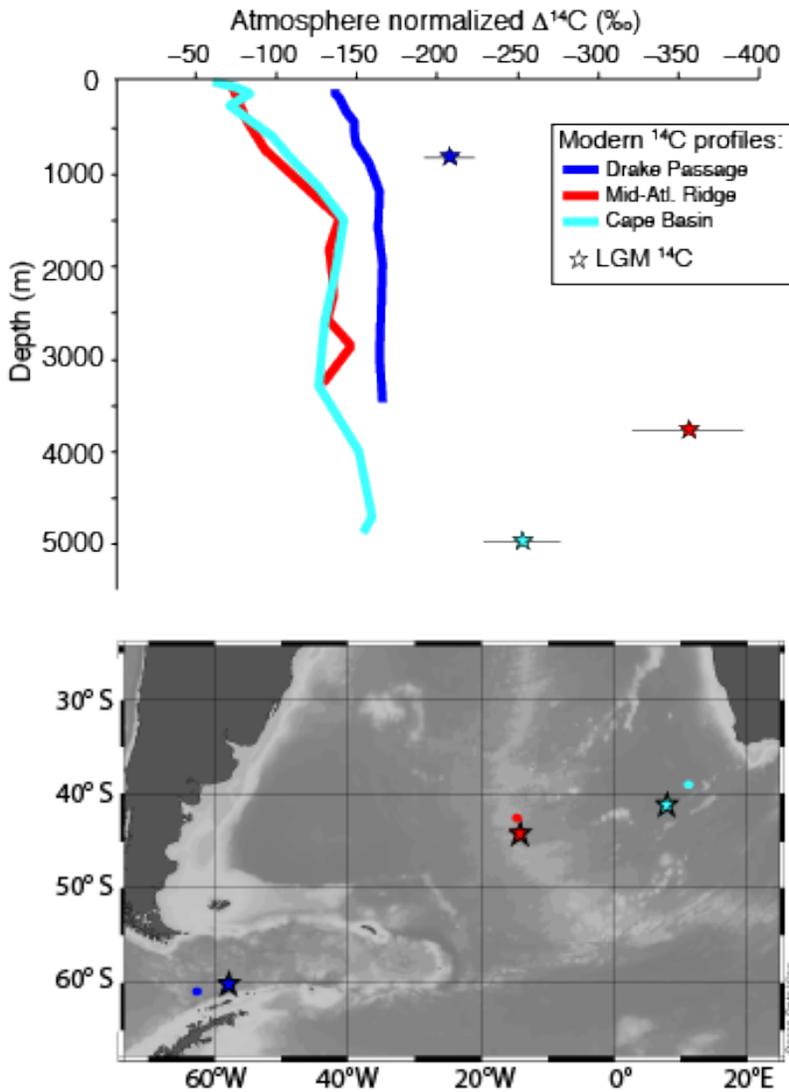
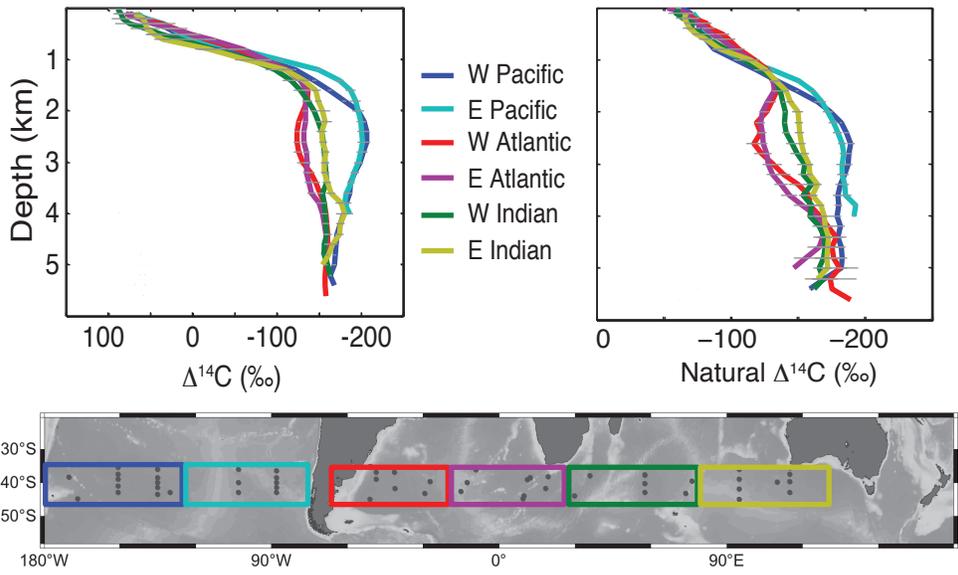


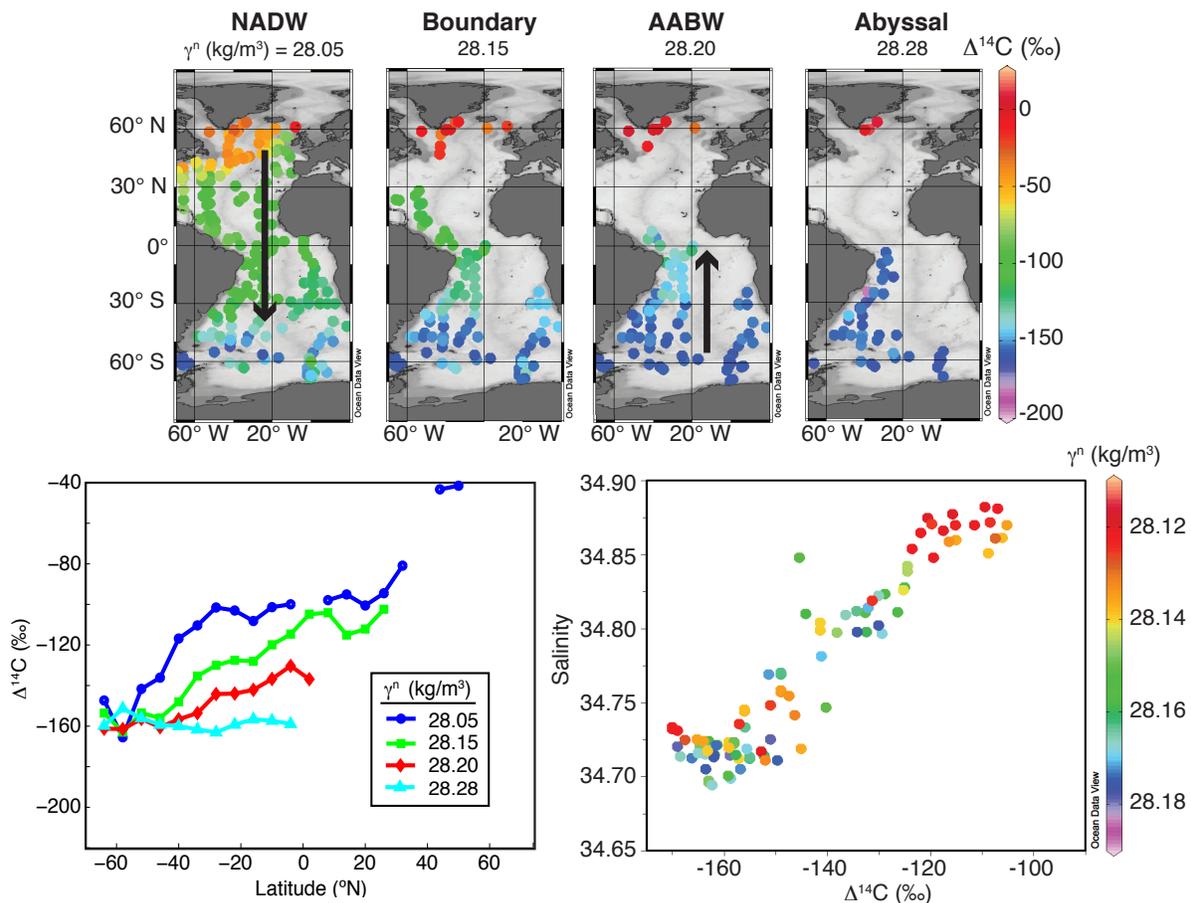
Figure 1: (top) Radiocarbon data plotted as atmosphere normalized $\Delta^{14}\text{C}$ (see Section 2 for explanation) from the Atlantic sector of the Southern Ocean. Modern water column data are plotted as lines and come from GLODAP (Key et al., 2004). Measurement uncertainties are smaller than the line width. Data reconstructed from the LGM are plotted as stars (Burke and Robinson, 2012; Skinner et al., 2010; Barker et al., 2010). For the two sediment cores (Mid-Atlantic Ridge and Cape Basin) the values represent averages of the discrete samples between 18 – 22ka ($n = 5$ and $n = 4$ respectively). Error bars are one standard deviation of the atmospheric normalized $D^{14}\text{C}$ values, which is larger than the uncertainty propagated from the uncertainties in the atmospheric ^{14}C record. For the coral sample (Drake Passage) the error bar represents one standard deviation based on the uncertainty in the calendar (U-Th) age, ^{14}C measurement, and atmospheric record. (bottom) Map showing location of sediment cores or corals (stars) and water column stations (dots). Blue is for data from the Drake Passage, red is from near the mid-Atlantic ridge, and cyan is from the Cape Basin.



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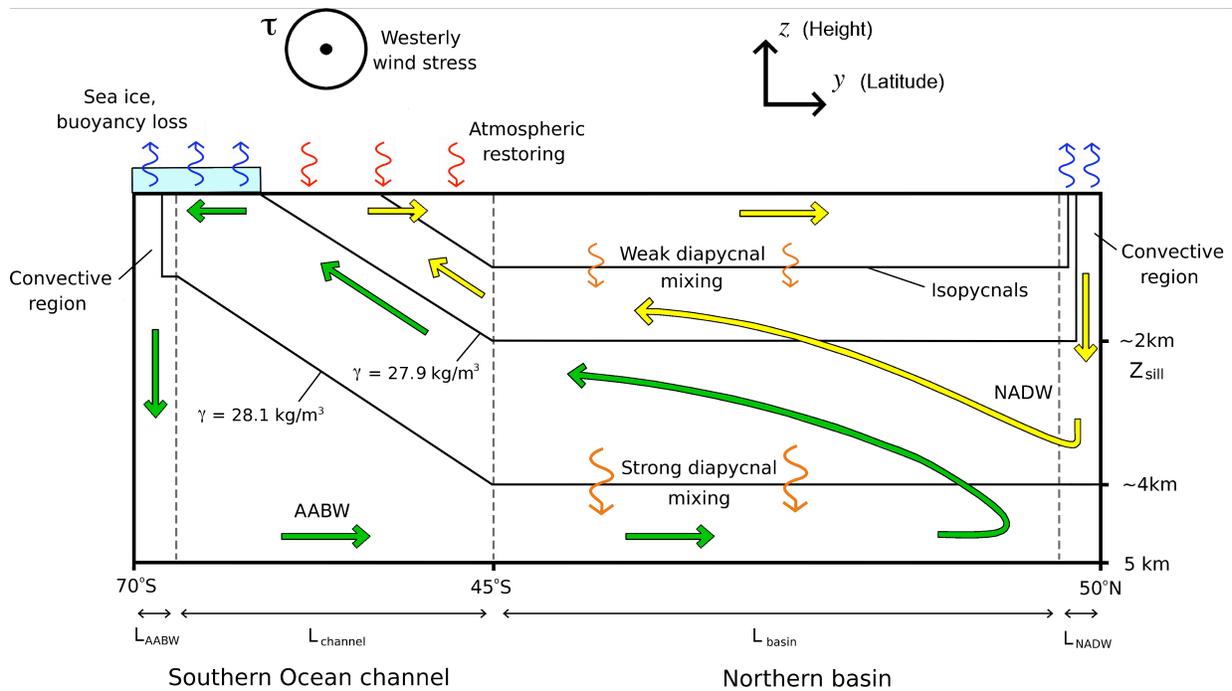
Figure 2: Radiocarbon data (measured $\Delta^{14}\text{C}$ (a) and bomb-corrected natural $\Delta^{14}\text{C}$ (b)) from GLODAP [Key et al., 2004], binned by longitude (as shown in the map) and by depth. Error bars represent one standard error. Figure made with Ocean Data View (Schlitzer, R., Ocean Data View, <http://odv.awi-bremerhaven.de>, 2004.)

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Figure 3: (top) Modern radiocarbon concentrations (uncorrected for bomb radiocarbon) from GLODAP [Key et al., 2004] on four abyssal neutral density surfaces in the Atlantic Ocean: $\gamma^n = 28.05, 28.15, 28.2,$ and 28.28 kg/m^3 . (bottom left) $\Delta^{14}\text{C}$ (‰) in the western Atlantic along the same neutral density surfaces as above, but binned and averaged every 5 degrees of latitude. (bottom right) $\Delta^{14}\text{C}$ versus salinity in the South Atlantic for samples collected from neutral density surfaces between 28.12 and 28.18 kg/m^3 . Figure made with Ocean Data View (Schlitzer, R., Ocean Data View, <http://odv.awi-bremerhaven.de>, 2004.)



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Figure 4: Schematic of our conceptual model of the MOC, corresponding to our Atlantic-like modern reference case. The thick arrows indicate mass transports, and thin curly arrows indicate the directions of buoyancy fluxes. Green and yellow arrows correspond to lower and upper overturning cells, respectively. In the model, $L_{basin} \gg L_{channel}$ (the schematic is not to scale).

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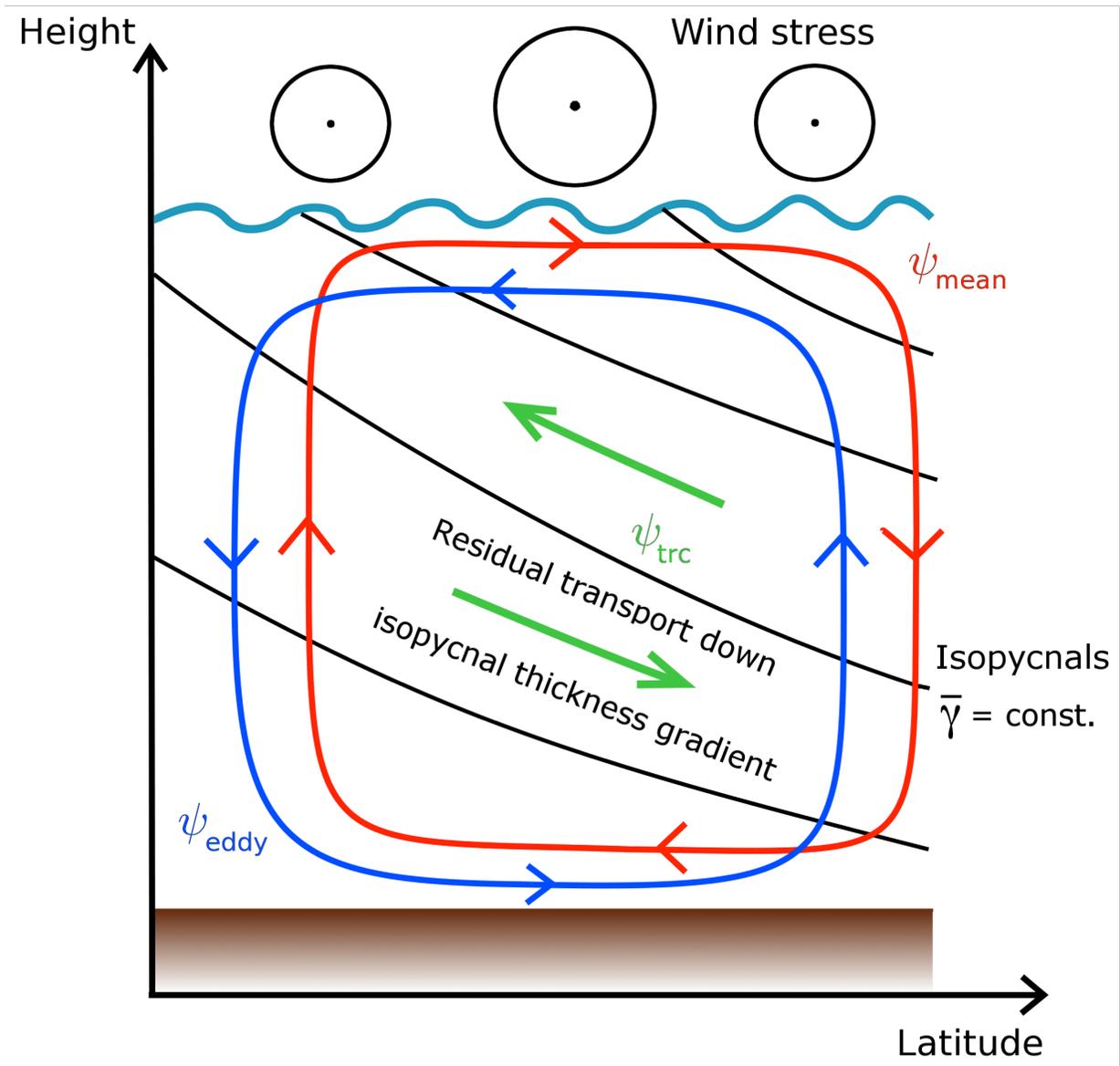


Figure 5. Schematic of the residual overturning circulation in the ACC. Strong westerly winds drive a northward Ekman transport at the ocean surface, with a return flow at the ocean bed (the “mean” circulation, red arrows). This shoals the density surfaces (isopycnals, black curves) to the south. The energy stored in the tilted isopycnals is released via the generation of mesoscale eddies, un-tilting the isopycnals in the process. This movement of water associated with this un-tilting is referred to as the “eddy” circulation (blue arrows). The mean and eddy circulations oppose each other but have a non-zero sum (the “tracer” or “residual” circulation ψ_{trc} , green arrows). It is this circulation that transports tracers such as radiocarbon. In the adiabatic limit, the residual transport tends to be directed along isopycnals and down the thickness gradient, but cross-isopycnal transports can be supported by diabatic processes like diapycnal mixing.

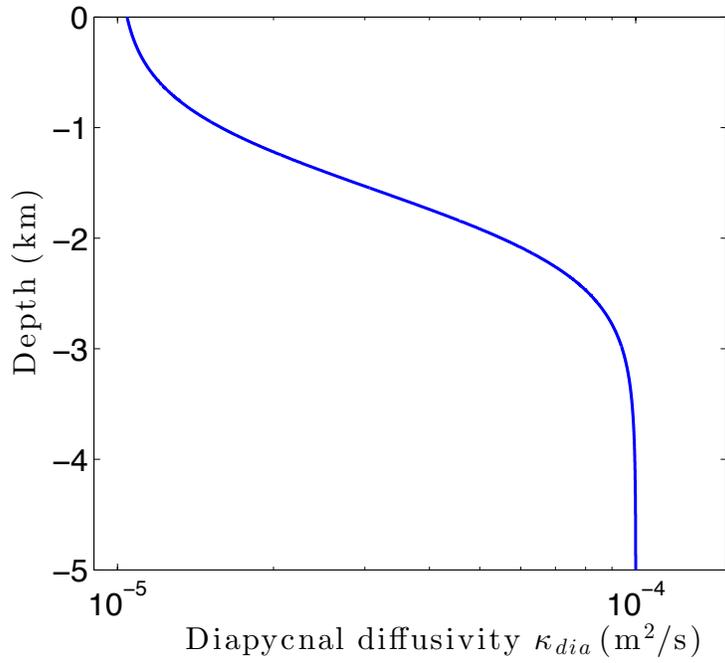


Figure 6. Diapycnal diffusivity profile used in our 2D model. The increase with depth reflects the enhanced diapycnal diffusivities found close to major bathymetric features, which extend to around 2000m depth in the Atlantic and Pacific [Nikurashin and Ferrari, 2013].

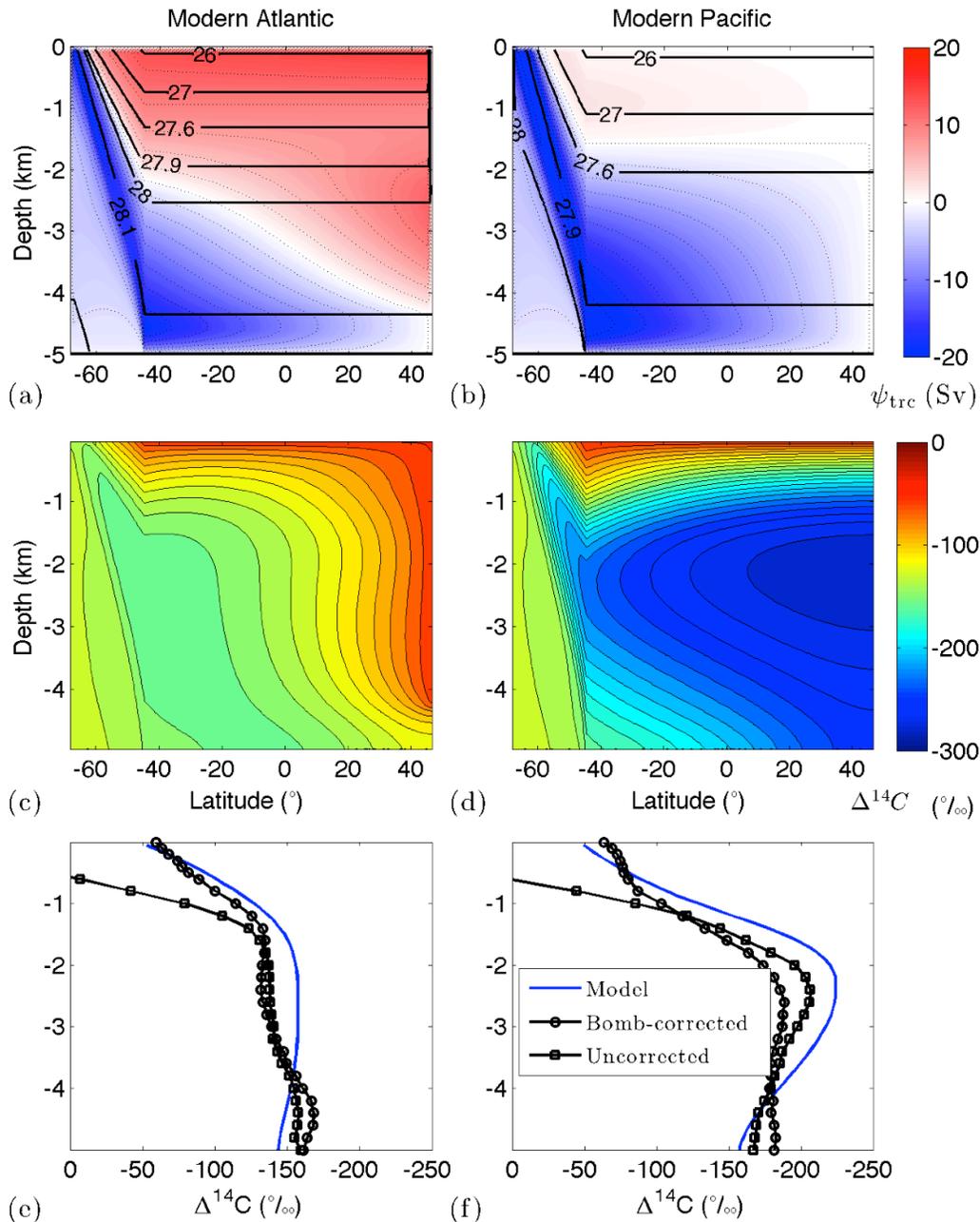
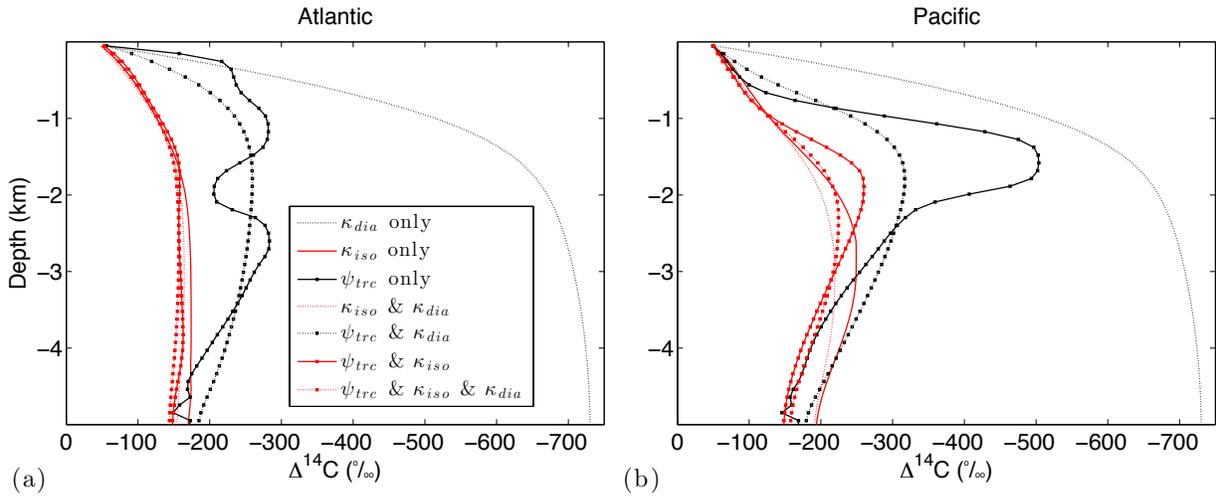


Figure 7: (a,b) Neutral density stratification (black contours) and overturning streamfunction (colors/dotted contours) in our modern Atlantic-like and Pacific-like reference simulations (positive is clockwise). The total overturning transport (Sv) is calculated by multiplying ψ_{trc} by L_x (width of the basin). (c,d) Radiocarbon distributions calculated for the circulations shown in panels (a) and (b) respectively. (e,f) Simulated radiocarbon profiles at 45°S (blue curves), alongside measured radiocarbon from (e) the western Atlantic and (f) the eastern Pacific.

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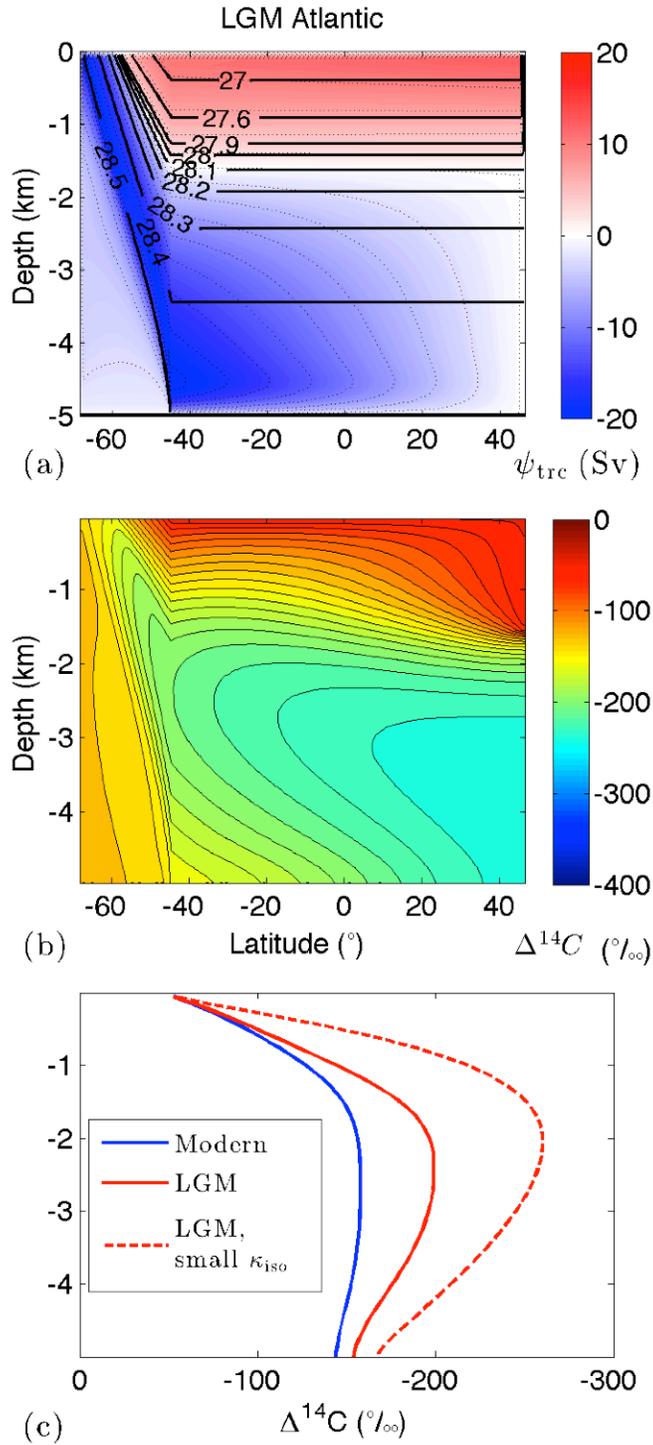
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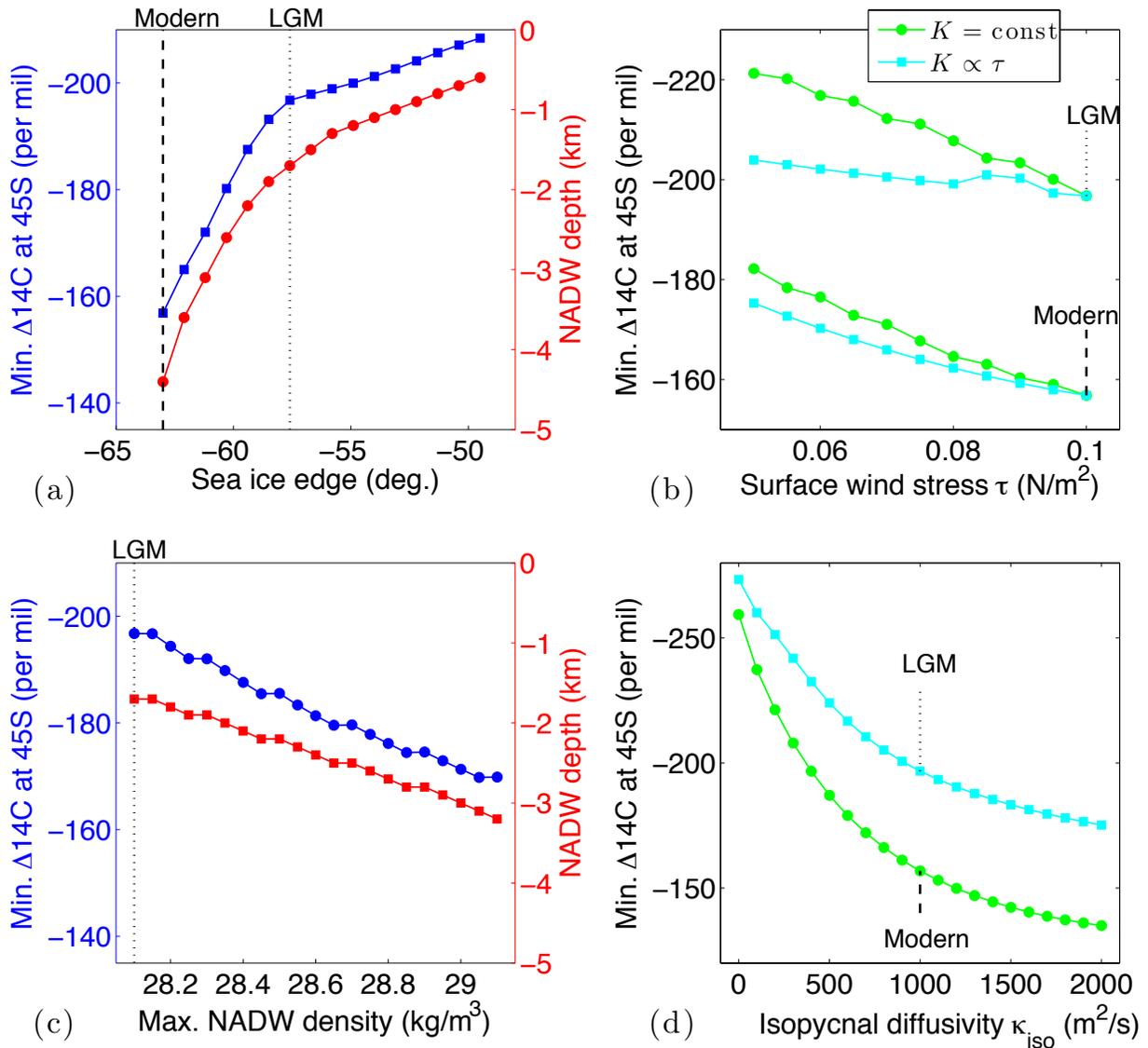
Figure 8: Radiocarbon profiles in our Atlantic-like (a) and Pacific-like (b) modern reference simulation, in which changes in radiocarbon due to the overturning circulation ψ_{trc} , isopycnal mixing κ_{iso} , and diapycnal mixing κ_{dia} have been independently activated or deactivated. Legend entries for each curve indicate which physical processes have been included in the radiocarbon model. Dashed lines indicate diapycnal mixing is turned on, red lines indicate isopycnal mixing is turned on, and square points indicate advection is turned on. Combinations of these styles indicate combinations of physical mechanisms activated in the solution.

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Figure 9: (a,b) As Figure 7(a,c) but for our LGM reference simulation. (c) Simulated radiocarbon profiles at 45°S in our Atlantic-like modern reference case, LGM reference case, and an extreme LGM case in which the isopycnal diffusivity is reduced by a factor of 10.



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Figure 10: (a) $\Delta^{14}\text{C}$ of the mid-depth bulge at 45°S and maximum depth of NADW formation from a series of model runs with increasing sea ice extent in the Southern Ocean. The modern Atlantic-like and LGM reference cases are indicated. (b) $\Delta^{14}\text{C}$ of the mid-depth bulge at 45°S over a range of surface wind stress magnitudes, using either the modern or the LGM sea ice extent (indicated on the plot). Results are shown which include eddy saturation (K varies linearly with τ), and which do not (K held constant), as discussed in the text. (c) As (a), but increasing NADW density while holding the sea ice extent fixed and equal to that of the LGM reference case, which is indicated on the plot. (d) $\Delta^{14}\text{C}$ of the mid-depth bulge at 45°S over a range of isopycnal diffusivities, using either the modern or the LGM sea ice extent (indicated on the plot).

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