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## Authors

Dong, Bin

Popescu, Alex

Tribaldos, Verónica Rodríguez

et al.

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# Real-time and Post-hoc Compression for Data from Distributed Acoustic Sensing

Bin Dong<sup>a</sup>, Alex Popescu<sup>b</sup>, Verónica Rodríguez Tribaldos<sup>a</sup>, Suren Byna<sup>a</sup>,  
Jonathan Ajo-Franklin<sup>a,c</sup>, Kesheng Wu<sup>a</sup> and the Imperial Valley Dark Fiber Team

<sup>a</sup>Lawrence Berkeley National Laboratory, Berkeley, CA, USA.

<sup>b</sup>University of California, Berkeley, CA, USA.

<sup>c</sup>Rice University, Houston, TX, USA

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## ABSTRACT

Distributed Acoustic Sensing (DAS) is an emerging sensing technology that records the strain-rate along fiber optic cables at high spatial and temporal resolution. This technique is becoming a popular tool in seismology, hydrology, and other subsurface monitoring applications. However, due to the large coverage (10's of km) and high density of measurements (1 m spacing at 100's of Hz), a DAS installation could produce terabytes of data records per day. Because many of DAS devices are deployed in remote locations, this large data size poses significant challenges to its transfer and storage. In this paper, we explore lossless compression methods to reduce the storage requirement in both real-time and post-hoc scenarios. We propose a two-stage compression method to improve the compression ratio and the compression speed. This two-stage compression method could reduce the storage requirement by 40%, which is 20% more than other lossless methods, such as ZSTD. We demonstrate that the compression method could complete its operation well before the DAS device needs to output the next file, making it suitable for real-time DAS acquisition. We also implement a parallel compression method for a post-hoc scenario and demonstrate that our method could effectively utilize a parallel computer. With 256 CPU cores, our parallel compression method achieves the speed of 26GB/seconds.

## CRedit authorship contribution statement

**Bin Dong:** Conceptualization, Data curation, Methodology, Software, Writing-original draft. **Alex Popescu:** Investigation, Writing-review & editing. **Verónica Rodríguez Tribaldos:** Data curation, Validation, Writing-review & editing. **Suren Byna:** Methodology, Writing-review & editing. **Jonathan Ajo-Franklin:** Conceptualization, Data curation, Validation, Funding acquisition, Writing-review & editing, Project administration. **Kesheng Wu:** Conceptualization, Validation, Funding acquisition, Writing-review & editing, Project administration. **the Imperial Valley Dark Fiber Team:** Validation, Project administration.

## 1. Introduction

Distributed Acoustic Sensing (DAS) systems record strain-rate caused by ground motion along optical-fiber cables that are deployed in surface trenches, boreholes, or the seafloor (Hartog, 2017; Ajo-Franklin et al., 2017; Lindsey et al., 2020). These DAS data records can be used to detect earthquakes, image subsurface structures, and enable a range of geophysical applications (Xing et al., 2018; Shiloh et al., 2018). DAS systems can record strain-rate on optical-fibers up to 10s of kilometers in length and sample strain-rate at high spatial (e.g., 1 m) and temporal (up to 10s of kHz) resolution, generating dataset of significant size (> 1TB per day) (Paitz et al., 2021; Ajo-Franklin et al., 2017). Because DAS deployments are often at remote locations with limited network accesses, this large size poses significant challenges for both local and archival storage as well as telemetry and data distribution.

ORCID(s): 0000-0002-0725-0833 (B. Dong)

44 As an illustration of these challenges, here we describe a DAS deployment, currently operating in the Imperial  
45 Valley in Southern California (Verónica and Jonathan, 2021). The DAS Interrogator Unit (IU) used in the experiment  
46 is deployed at an isolated telecommunication in-line amplification (ILA) hut near Calipatria, CA. The geoscience  
47 project team, however, is entirely remote, distributed among Berkeley (CA), Houston (TX), Livermore (CA), and San  
48 Diego (CA). The IU produces a datastream of approximately 597 GB/day or 4.2 TB/week, which is locally recorded  
49 on a RAID array connected to the IU. The RAID array has a capacity for about 5 months of recording and requires  
50 three annual trips for data exchange followed by a laborious multi-institution transfer. These visits are expensive and  
51 this setting and delays the analysis of the data. Additionally, acquisition parameters had to be chosen to reduce the  
52 number of field trips thus the team could not exploit the IUs optimal performance.

53 This particular deployment and many other DAS efforts share a common challenge in data acquisition, that is their  
54 data acquisition rates significantly exceed their network connection speed, which requires the data to be stored on  
55 local disks that have to be periodically replaced. In this work, we explore compression methods to reduce the storage  
56 requirements for DAS data and therefore reduce field visits and potentially offer opportunities to optimize both local  
57 storage and telemetry (Foukas et al., 2017). In particular, this paper considers two scenarios for DAS data compression:

- 58 • a real-time “edge compression” scenario, where the DAS data is continuously produced from DAS interrogator  
59 units and saved in an attached computer disk in a field deployment (Rodríguez Tribaldos et al., 2020). In this  
60 scenario, the compression time is bounded by the cycle of DAS sampling.
- 61 • a post-hoc scenario, where terabytes or even exabytes of DAS data have been acquired in previous experiments  
62 and can be compressed to reduce storage space (Zhan, 2019; Verdon et al., 2020; Feigl, 2016; Ajo-Franklin et al.,  
63 2017). Although there is no time limit in this case, finishing compression quickly is still beneficial, for example  
64 to reduce the time period where both compressed and uncompressed data files are needed.

65 DAS is still a relatively new technology for geophysical applications, and the community has not yet achieved  
66 a consensus on what data elements are valuable and which ones can be discarded. Thus, we will consider lossless  
67 compression methods in this work. Our study targets the raw optical phase data recorded as short integers. The datasets  
68 used here were recorded using a Silixa iDAS V.2 interrogator unit, a system in wide academic and commercial use.  
69 Scaling raw optical phase recorded in integer formats to floating point representation is a common operation in data  
70 analysis (Feigl, 1969, 2016). This scaled version can be compressed too (Zhao et al., 2020; Diffenderfer et al., 2019),  
71 but we will focus on the integer version of the raw data as we explore compression approaches without the need for data  
72 pre-processing. To the best of the authors knowledge, this is the first work to explore a lossless compression method  
73 for raw DAS data. We have identified the following challenges towards this goal:

- 74 • DAS data has several unusual features. First, the raw data recorded by DAS interrogator units is stored as  
75 short integers, which is already relatively compact. Second, the DAS data exhibits normal distribution with

76 both negative and positive values. However, many lossless integer compression methods only work on positive  
 77 values (Powturbo, 2021). This is because the commonly used binary representation of a negative integer sets the  
 78 leading bits to 1, and most encoding schemes require more space to represent 1s than 0s (Lemire and Boytsov,  
 79 2015; Trotman, 2014).

- 80 • Geoscientists have established software tools, such as DASSA (Dong et al., 2020), MATLAB (Higham and  
 81 Higham, 2016), and HDF5 (The HDF Group, 2010), to analyze and store the DAS data. However, most com-  
 82 pression methods have their own software stack (Lemire and Boytsov, 2015; Trotman, 2014). Thus, it is critical  
 83 to seamlessly integrate compression methods into these existing DAS software without significant modification.
- 84 • Existing DAS data accumulated from past experiments are large. Most existing compression methods only  
 85 support thread based parallelization, which uses only a single computing node (Trotman, 2014). To compress  
 86 large-scale DAS data quickly in a post-hoc scenario, a parallel compression method across nodes is needed.

87 To address these challenges, we have studied the characteristics of DAS data and used them to develop a lossless  
 88 DAS data compression method. Our goal is to find a generic data compression method that could compress DAS data  
 89 with high compression ratio and fast compression speed. We then develop algorithms to customize this generic data  
 90 compression method to work in a real-time scenario and a post-hoc scenario. In summary, our contributions include:

- 91 • We propose a two-stage DAS data compression method, consisting of a pre-processing stage and an encoding  
 92 stage. The pre-processing stage transforms the raw DAS data to better fit the encoding method, and the encoding  
 93 stage finds a compact representation for the pre-processed data.
- 94 • Through extensive experimentation, we find a combination of ZigZag as pre-processor (Google, 2021) and  
 95 TurboPFOR (Powturbo, 2021) as the encoder producing the highest compression ratios.
- 96 • For the real-time scenario, we developed a new filter using the HDF5 library (Dong et al., 2020) so that DAS  
 97 data could be seamlessly outputted as compressed HDF5 files.
- 98 • For parallel use cases, we develop a parallel implementation that supports different parallelization strategies.

99 We demonstrate the effectiveness of our methods on three real DAS data sets from the Imperial Valley Dark Fiber  
 100 Experiment (Ajo-Franklin et al., in press), the Fiber Optic Sacramento Seismic Experiment (FOSSA) (Ajo-Franklin  
 101 et al., 2019) and the Monterey Bay Experiment (Lindsey et al., 2019a). Our method reduce the DAS data size by up  
 102 to 40%. Comparing with state-of-the-art compression method ZSTD (Collet and Kucherawy, 2021), our method saves  
 103 as much as 21% extra space. The real-time DAS data compression takes around two seconds on a single CPU core to  
 104 process a data file representing 1 minute of DAS recording, which means the compression method finishes before the  
 105 IU produces the next data file, thus allowing real-time compression. Tests with our parallel implementation show that  
 106 it scales nearly linearly up to 256 CPU cores and achieves  $26GB/second$  with 256 cores.

107 The paper is organized as follows. Section 2 introduces related work. Section 3 describes our DAS data compres-

108 sion method. Section 4 reports performance evaluation. Section 5 concludes the paper with future work.

## 109 2. Related Work

110 Data compression methods are extensively documented in the literature (Jayasankar et al., 2021; Smith, 2010;  
111 Holtz, 1993; Mittal and Vetter, 2016). DAS data has its unique characteristics, such as short integer type. Thus, here  
112 we use data type to classify existing compression methods into three categories: universal compression method, integer  
113 compression method, and DAS-specific compression method.

114 **Universal compression method:** LZ77 (Ziv and Lempel, 1977) is a universal and lossless method for data com-  
115 pression. The general idea for LZ77 is to find repeated items in the data and let all these items refer to the first one  
116 with a length-offset pair encoding. A sliding window is maintained to track how far in the data the repeated items are  
117 looked for. LZ77 has inspired many data compression methods, such as LZ78, LZW, LZSS, LZMA, GIF in PNG,  
118 and DEFLATE in ZIP (Kinsner and Greenfield, 1991; Pylak, 1990; Liaw, 1995; Deutsch, 1996). Huffman code is  
119 another lossless compression method (Huffman, 1952) which uses optimal prefix code based on a frequency-sorted  
120 binary tree. Similarly, the information theory based compression method, entropy coding, can encode data items by  
121 using the number of bits that is inversely proportional to its probability (MacKay, 2002). Zstandard (or ZSTD) (Collet  
122 and Kucherawy, 2021) is one of the latest lossless compression methods with advantages from LZ77, entropy encod-  
123 ing, and Huffman coding. Thus, we take ZSTD as a representative method of universal compression methods in our  
124 performance evaluation to compress DAS data.

125 **Integer compression method:** There are a number of techniques optimized for compressing integer data with  
126 higher compression ratio or speed. For example, differential coding could convert a series of integers to have more  
127 repeated data items to compress. Also, considering the type of data provides the opportunity to view data with large  
128 granularity (e.g., 4-byte integers or 2-byte short integers) rather than one byte. The latest compression methods for  
129 integer compression methods include TurboPFor (Powturbo, 2021) and FastPFor (Lemire and Boytsov, 2015). FastP-  
130 For does not support compressing 2-byte short integers. TurboPFor supports 2-byte short integers, but it only accepts  
131 unsigned short integers. As mentioned before, most raw DAS data are stored as short integers with both negative and  
132 positive values. In this work, we extend the TurboPFor to work on DAS data and also to improve its compression ratio.

133 **DAS-specific compression method:** Convolutional neural networks (CNN)(Liehr et al., 2020), principal compo-  
134 nent analysis (PCA)(Ibrahim et al., 2020), Curvelet (Qin et al., 2017), and other methods (Meng et al., 2019; Soto  
135 et al., 2016) have been applied to reduce noise in DAS datasets (Liehr et al., 2020). These de-noising methods can be  
136 regarded as lossy compression methods designed to reduce the cost or improve quality of specific analysis procedures.  
137 In this paper, we explore a lossless compression method that would benefit all types of analyses.

**Table 1**

A summary of the DAS datasets used in this paper. The "Measure Length" is the length of the optical-fiber cable and the "Spatial Resolution" defines the length of the optical fiber which can be treated as one channel (sensor). Hence,  $\frac{\text{Measure Length}}{\text{Spatial Resolution}}$  defines how many channels (sensors) per experiment. The "one minute" within the "Output Interval" column specifies that the DAS system outputs a data file per minute. Each output from the one minute interval is a separate file. Our compression method treats each file as the smallest granularity in compression. Each file consists of a 2D array whose size is  $[\text{Frequency} \times 60, \frac{\text{Measure Length}}{\text{Spatial Resolution}}]$  (i.e., [rows, columns]). The total number of files and the total size of the data are listed in the column "File Count/Total Size". These files are collected between the "Start Time" and the "End Time" (UTC). The format for the time is "month/day/year hour:minute:second".

	Measure Length(m)	Spatial Resolution (m)	Frequency (Hz)	Output Interval	File Count / Total Size	Start Time	End Time
Sacramento	23296	2	500	One Minute	7200/4.6T	01/02/18 16:17:29	01/07/18 16:16:29
ImpValley	27648	4	500	One Minute	7200/2.7T	11/10/20 23:18:85	11/15/20 23:46:31
MBARI	39168	4	500	One Minute	2859/0.8TB	06/22/19 00:10:34	06/22/19 23:59:34

### 138 3. Two-stage DAS Data Compression Method

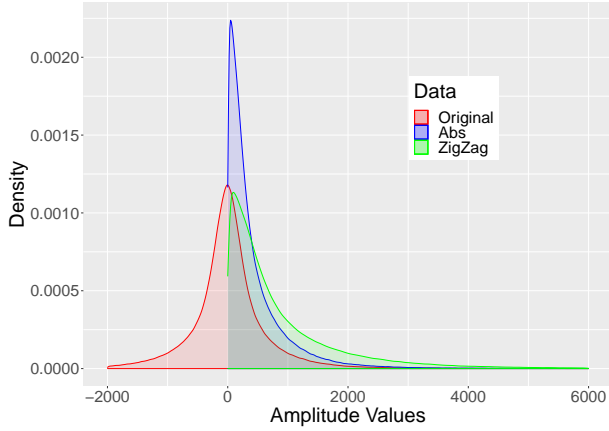
139 In this section, we introduce the characteristics of the raw DAS data, the two-stage compression method, and its  
140 implementation for both real-time and post-hoc scenarios.

#### 141 3.1. Characteristics of DAS Data

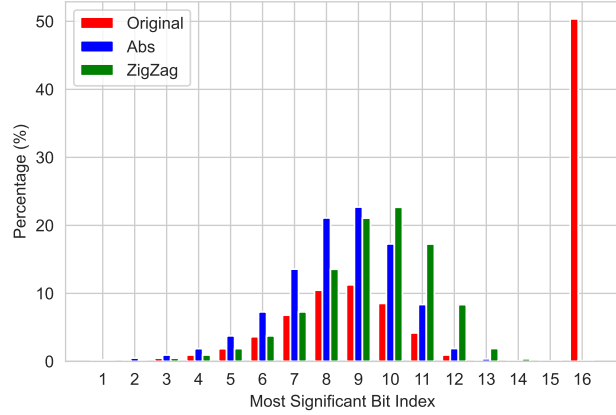
142 Three DAS datasets are used in our work and their basic information is summarized in Table 1. The first dataset,  
143 referred to as "Sacramento", is from an experiment conducted in the Sacramento Valley, CA (Ajo-Franklin et al., 2019).  
144 The second one referred as "ImpValley", comes from an ongoing experiment in the Imperial Valley, CA (Ajo-Franklin  
145 et al., in press). The third one (referred as "MBARI") comes from the Monterey Accelerated Research System (MARS)  
146 in Monterey Bay, California (Lindsey et al., 2019a). The statistical properties of these datasets are shown in red in  
147 Fig. 1 ("Original" legend). From the large number of data files, only two randomly selected files from "Sacramento"  
148 dataset and "ImpValley" dataset are shown here. The "MBARI" dataset and other files "Sacramento" and "ImpValley"  
149 in have similar statistical properties. From these figures, we can draw the following conclusions:

- 150 • **Density** describes the histogram of values in the data. For the original data, both the Sacramento data and  
151 Imperial Valley data show a normal distribution with both negative and positive values.
- 152 • **Most significant bit (MSB)** of a value refers to the left-most bit that is 1 in its binary representation<sup>1</sup>. An  
153 example of the MSB is presented in Fig. 2. About 50% of the original data have the MSB at index 16. Except  
154 these negative values, the MSB of positive values shows normal distribution with center at 9 and 7 for Imperial  
155 Valley and Sacramento, respectively.

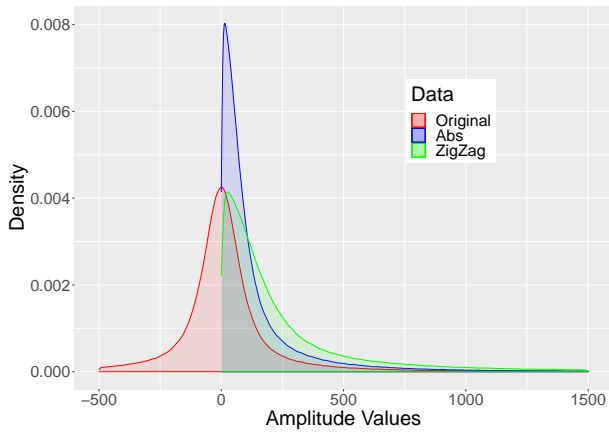
156 .  
<sup>1</sup>Two's complement: [https://en.wikipedia.org/wiki/Two%27s\\_complement](https://en.wikipedia.org/wiki/Two%27s_complement)



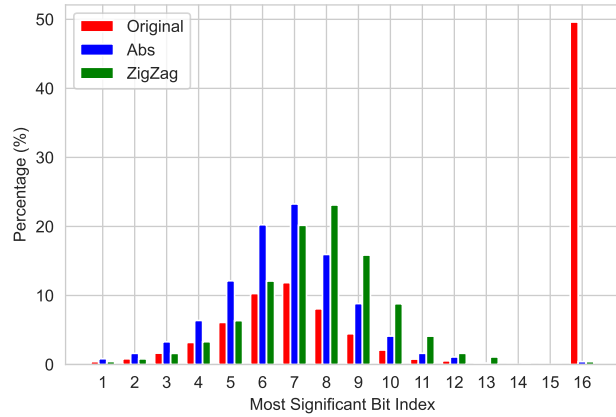
(a) ImpValley Data: Density



(b) ImpValley Data: Most Significant Bit



(c) Sacramento Data : Density



(d) Sacramento Data: Most Significant Bit

**Figure 1:** Statistical properties of the DAS data from the Sacramento and Imperial Valley experiments. The data file from the Sacramento dataset is 01/04/2018 23:35:31 and from the Imperial Valley dataset is 11/12/2020 18:41:32. To easily compare the density plots shown in the figure, we drop the long tail parts of the distribution but only focus on the majority parts of the data (See Appendix 3 for the full plot of the density). We observe the same distribution in the MBARI data.

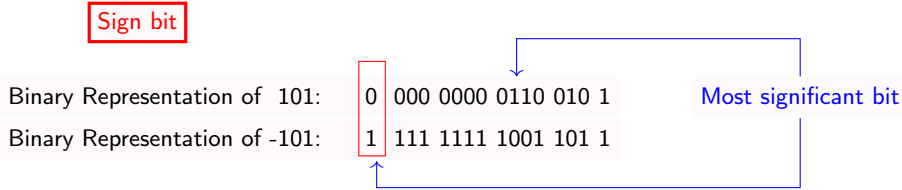
### 157 3.2. Two-stage DAS Data Compression Method

158 The two-stage DAS compression method includes a pre-processing stage and an encoding stage, as shown in Fig. 3.  
 159 The pre-processing stage converts DAS values into proper shapes to fit the subsequent encoding better. The encoding  
 160 stage uses short data representation to store the converted DAS data and therefore reduces its size. We will present  
 161 how to find proper methods for the pre-processing and the encoding of DAS data.

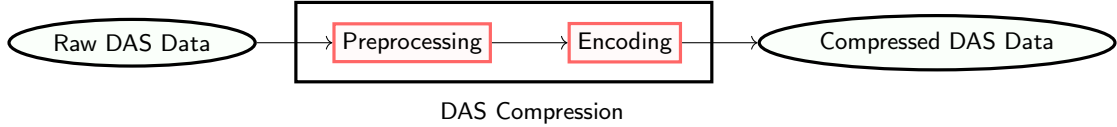
#### 162 3.2.1. DAS Data Pre-processing Methods

163 Since the DAS data examined has around 50% negative values and most integer specific compression methods only  
 164 work on positive values, our specific goal for the pre-processing is to convert negative values into positive ones without

## DAS Data Compression



**Figure 2:** Binary representation and most significant bit of a 101 and -101 with the signed magnitude method. Note that two's complement format is used here to present the -101.



**Figure 3:** Overview of the two-stage compression method for the DAS data

165 loss of information. In our pre-processing stage, we consider two methods:

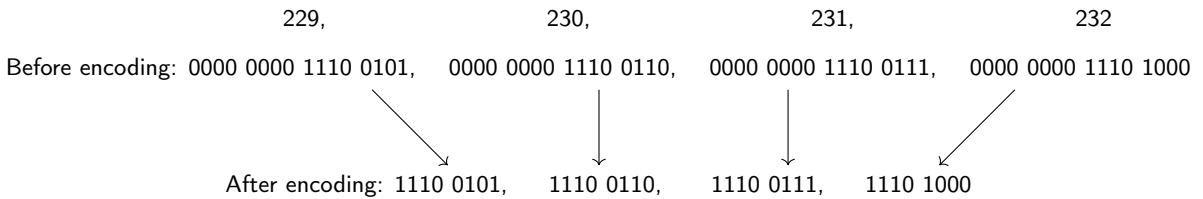
- 166 • **Abs** method converts negative values into positive ones by obtaining their absolute values. During this con-  
 167 version, the sign of negative values is lost. Thus, a separate bitmap is created to record the sign of each value.  
 168 After applying the **Abs** method on DAS data, the normal distribution of DAS datasets is converted into a skewed  
 169 distribution, which gives the compression method more chance to find repeated values. This is shown by the  
 170 plot marked in the blue color in Fig 1a and Fig.1c. For the most significant (MSB) bit after applying the **Abs**,  
 171 the negative values with 16 MSB bit index (as the highest red bar in Fig. 1b and Fig. 1d) are transformed into  
 172 positive ones with small MSB bit index. Therefore, this transform can help the encoding stage to find shorter  
 173 representation to compress DAS data.
- 174 • **ZigZag** method converts negative values to positives and also appends the sign bit to the beginning of binary  
 175 representation (Google, 2021). For the short typed DAS data, the ZigZag can be expressed as  $(v \ll 1) \wedge (v \gg 15)$ ,  
 176 where  $v$  is the value and the  $\ll$ ,  $\gg$  and  $\wedge$  are all bit operators. The  $v \ll 1$  shifts the value to the left by 1 bit  
 177 to get the magnitude of the value<sup>2</sup>. The  $v \gg 15$  shifts the value to the right by 15 bits to get the sign bit. The  
 178  $\wedge$  is the bitwise exclusive “OR” operator to merge the magnitude and the sign bit. To convert the value back,  
 179 the operator is  $(v \gg 1) \wedge (-(v \& 1))$ , where  $\&$  is the bitwise “AND” operator. After applying the ZigZag method  
 180 on the DAS data, the results have the similar distribution as the one from the **Abs** method above, as shown in  
 181 Fig. 1b and Fig. 1d. Compared to the centers from the **Abs** method (9 for ImpValley and 7 for Sacramento), the  
 182 centers of the ZigZag method are larger (10 for ImpValley and 9 for Sacramento). This is because ZigZag stores  
 183 the sign bit at the least significant bit but the **Abs** method stores the sign bit with an extra bitmap.

<sup>2</sup>Also, note that  $v \ll 1$  is removing the sign bit and in most language standards, For negative value, the behavior of  $\ll$  may be undefined or implementation-dependent, even though most compilers are doing arithmetic shifts. Details can be found [https://en.cppreference.com/w/cpp/language/operator\\_arithmetic](https://en.cppreference.com/w/cpp/language/operator_arithmetic)



184 **3.2.2. DAS Data Encoding Methods**

185 In our two-stage DAS data compression method, most existing algorithms can be used to encode the pre-processed  
 186 DAS data. Here, we only consider TurboPFor (Powturbo, 2021) as an example to introduce how the encoding works.  
 187 TurboPFor gives the highest encoding efficiency and the fastest compression speed for the DAS data in our experiments,  
 188 as shown later in Section 4. The general idea behind TurboPFor is the bit-packing technology, which selects as few  
 189 bits as possible to store a group of data. In TurboPFor, data series are split into small groups, i.e., 256 short integers  
 190 per group. Then, the minimum number of bits for each group is determined to store all its integers inside. TurboPFor  
 191 records the minimum number of bits and the type of a group at the beginning of each block. To work on data with  
 192 various inputs, TurboPFor may support two types of groups: the constant group and the bit-packing group. The  
 193 constant group stores the data with the same values. The bit-packing group may use bitmap and variable-type to store  
 194 non-constant values. Theoretically, TurboPFor only scans data once and it can finish the encoding quickly. Further  
 195 details of the bit-packing can be found in the paper (Powturbo, 2021). Fig. 4 gives an example of how bit-packing  
 196 encoding works for a few example data points. When applied to real DAS data, as shown in the previous Fig. 1, the  
 197 bit-packing method can drop the zero, which are converted from 1 from during ZigZag, after the MSB. With the help  
 198 the pre-processing stage, the sign bit of negative values is removed from the left-most bit and therefore can improve  
 199 the chances to find zero after the MSB.

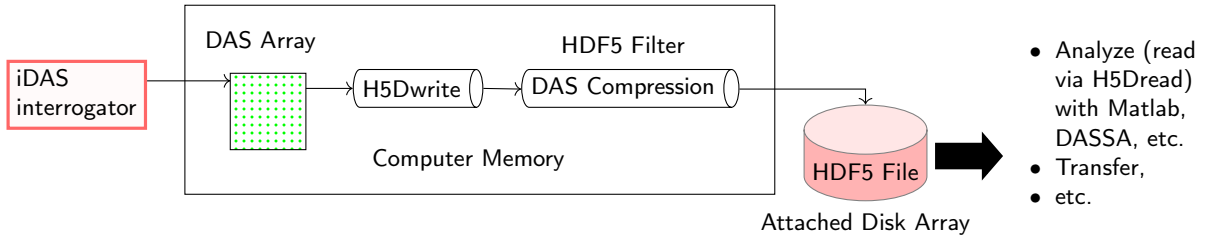


**Figure 4:** An example of encoding of 229, 230, 231, 232 with bit-packing. Before the encoding, each value is stored as a 16-bit short integer type. After the encoding, each value is stored as 8 bits, saving 50% of storage space. In TurboPFor, the type of group and number of integers are stored too. The commas are just added for visualization purposes.

200 **3.3. Real-time DAS Data Compression**

201 Based on the two-stage DAS data compression method above, we develop an in-situ implementation to support  
 202 real-time DAS data compression. Most DAS systems are already using or transitioning to use the HDF5 format for  
 203 storage (Feigl, 1969, 2016; The HDF Group, 2010). Our implementation uses the HDF5 filter plugin mechanism,  
 204 which allows for DAS compression to happen on the data write path from memory to disk, as shown in Fig. 5. Most  
 205 compression methods have their own file formats for the compressed data (Powturbo, 2021), but our implementation  
 206 can avoid significant changes to the existing software stack used to store DAS data in the field and read back for analysis.  
 207 Also, it avoids generating an extra copy of the data during compression.

208 HDF5 filter plugin mechanism requires the chunk size as a whole unit to apply compression. However, our ex-  
 209 periments find chunk size has negligible impact on compression of DAS data (see Appendix 1). Therefore, we set  
 210 the chunk size to be the whole array size. After compression, the whole HDF5 file can be transferred as a single unit  
 211 without decompression. If users want to read back for analysis, they can reuse the same DAS data analysis software  
 212 which already uses H5Dread to read the data. The HDF5 library can automatically find the decompression library and  
 213 decompress the data. The implementation of the HDF5 filter plugin can be found in the code availability section.



**Figure 5:** Overview of the real-time DAS Compression with the HDF5 filter. It receives each data, an Array, from iDAS interrogator and stores the data in computer memory. Then, it calls H5Dwrite function from HDF5 library to writes data to disk. Before the actually data is written, the DAS compression method is applied to reduce the size of data. Once data is written to the disk, it is stored as HDF5 file too. The compressed HDF5 file can be read back in the same way as before compression for analysis via H5Dread, transfer or other operations. When the compressed data in HDF5 are read via H5Dread for analysis, the HDF5 library can automatically find proper decompression library to extract data (assumed that the decompression library are set correctly in the system.).

### 214 3.4. Post-hoc DAS Data Compression

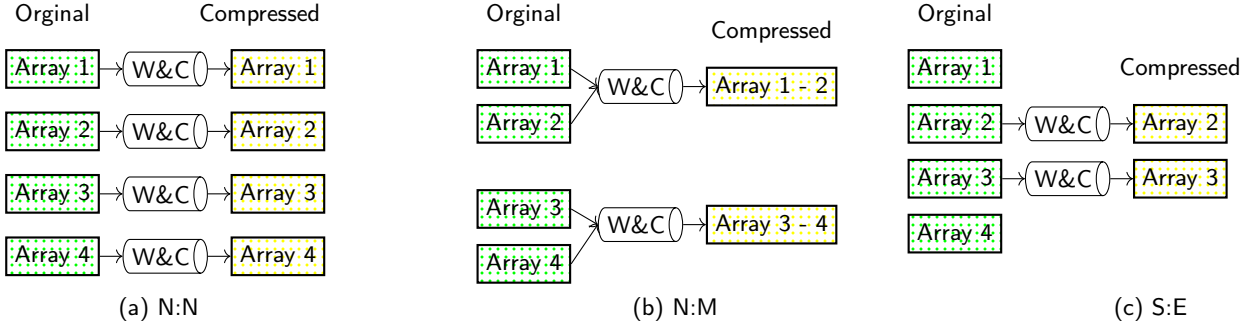
215 In this section, we present the method to parallelize the two-stage DAS data compression method to repack the  
 216 DAS data. We use the DASSA framework (Dong et al., 2020) as the driver during the parallelization. Specifically,  
 217 the DASSA framework supports various user-defined function(UDF)s with the help of FasTensor (Dong et al., 2021).  
 218 We use the UDF to read the DAS data. When the data is written to disk, the compression occurs. The UDF from  
 219 FasTensor can run in parallel, and therefore the compression implementation on top of it can function in parallel.  
 220 During the compression, different H5Dwrite calls associated with their DAS compression method are assigned to  
 221 different computing processes on the same compute node or striped across multiple nodes. This utilizes their aggregate  
 222 computing power and I/O bandwidth. Based on this general idea, we support three different parallelization patterns:

- 223 • N:N pattern (shown in Fig. 6 (a)) compresses each input DAS data file separately, where  $N$  ( $N \in \mathbb{Z}$ ) is the  
 224 number of DAS files to compress. Each computing process compresses a file and multiple processes work  
 225 concurrently. At most  $N$  computing processes can be used to archive maximum performance. When the number  
 226 of computing processes is smaller than  $N$ , each process can compress multiple files one by one.
- 227 • N:M pattern ( $0 < M < N$ ,  $M \in \mathbb{Z}$ ) concatenates the data first and compresses each concatenated data as a single  
 228 file. In the example by Fig. 6 (b), two DAS arrays are concatenated; it then calls H5Dwrite function and the

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DAS compression method. Different concatenated files can be compressed concurrently.

- S:E pattern specifies a start file index (i.e., S) and an end file index (i.e., E) to compress a subset of the whole dataset. ( $0 \leq S < E < N$ , S and E are zero-based). In the example in Fig. 6 (c), two files (S=1, E= 2) are selected to be compressed separately. These selected files can be merged too before compression.



**Figure 6:** Overview of different supported patterns in the parallel DAS data compression. We demonstrate it with four example files, which are denoted with Array 1, Array 2, Array 3, and Array 4. The W&C in the cylinder refers to H5Dwrite call and Compressing, which can be concurrently executed on the path of writing data from memory to disk.

## 233 4. Experimental Results

234 Our experiments utilized the Cori HPC system at NERSC<sup>3</sup>. Details of the DAS data are presented in the previous  
235 Table 1. The metric to measure the efficiency of the compression is the compression ratio  $R$ :  $R = \frac{S_{original}}{S_{compressed}}$ , where  
236  $S_{original}$  is the size of the original data and  $S_{compressed}$  the size of the compressed data. Larger values of  $R$  correspond to  
237 better compression methods. The  $1 - \frac{1}{R}$  gives the percentage of data size reduced by the compression. We also measure  
238 and report the compute overhead (i.e., seconds) to compress the data. Although the overhead of decompression could  
239 be another factor to consider, the DAS data are more sensitive to the compression overhead, especially in the real-time  
240 scenario where limited computing power is available. Our experiments find that this set of compression methods have  
241 very similar overheads during compression and decompression (see Appendix 2).

### 242 4.1. Comparison of Different Compression Methods

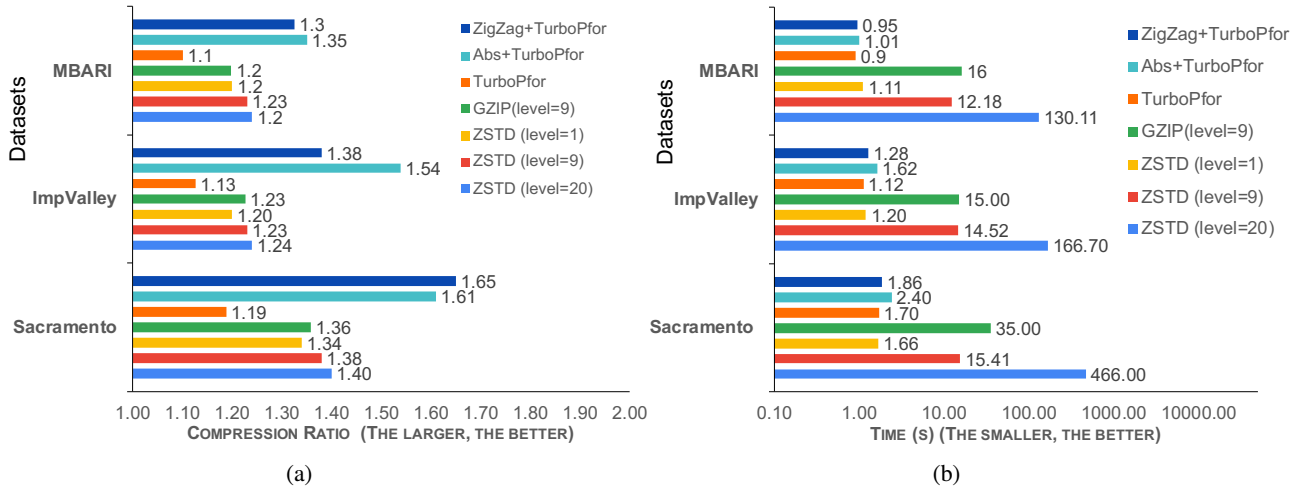
243 In this experiment, we compress our DAS data with TurboPFor, Abs+TurboPFor, ZigZag+TurboPFor, ZSTD<sup>4</sup> and  
244 GZIP<sup>5</sup>. TurboPFor means that we compress the DAS data directly with TurboPFor by treating the negative values as  
245 positive values. Abs+TurboPFor and ZigZag+TurboPFor are our two-stage compression methods, which pre-process  
246 the data with the **Abs** and **ZigZag** method, respectively, and then use TurboPFor to encode the data. ZSTD has different  
247 compression levels. Lower levels give fast compression speed and higher levels give best compression ratio. Our tests

<sup>3</sup><https://www.nersc.gov/>

<sup>4</sup>ZSTD is obtained at <http://facebook.github.io/zstd/> and it can be used as a HDF5 plugin via HDF5Plugin-Zstandard <https://github.com/aparamon/HDF5Plugin-Zstandard>

<sup>5</sup>GZIP is the H5Z\_FILTER\_DEFLATE filter available in HDF5

## DAS Data Compression



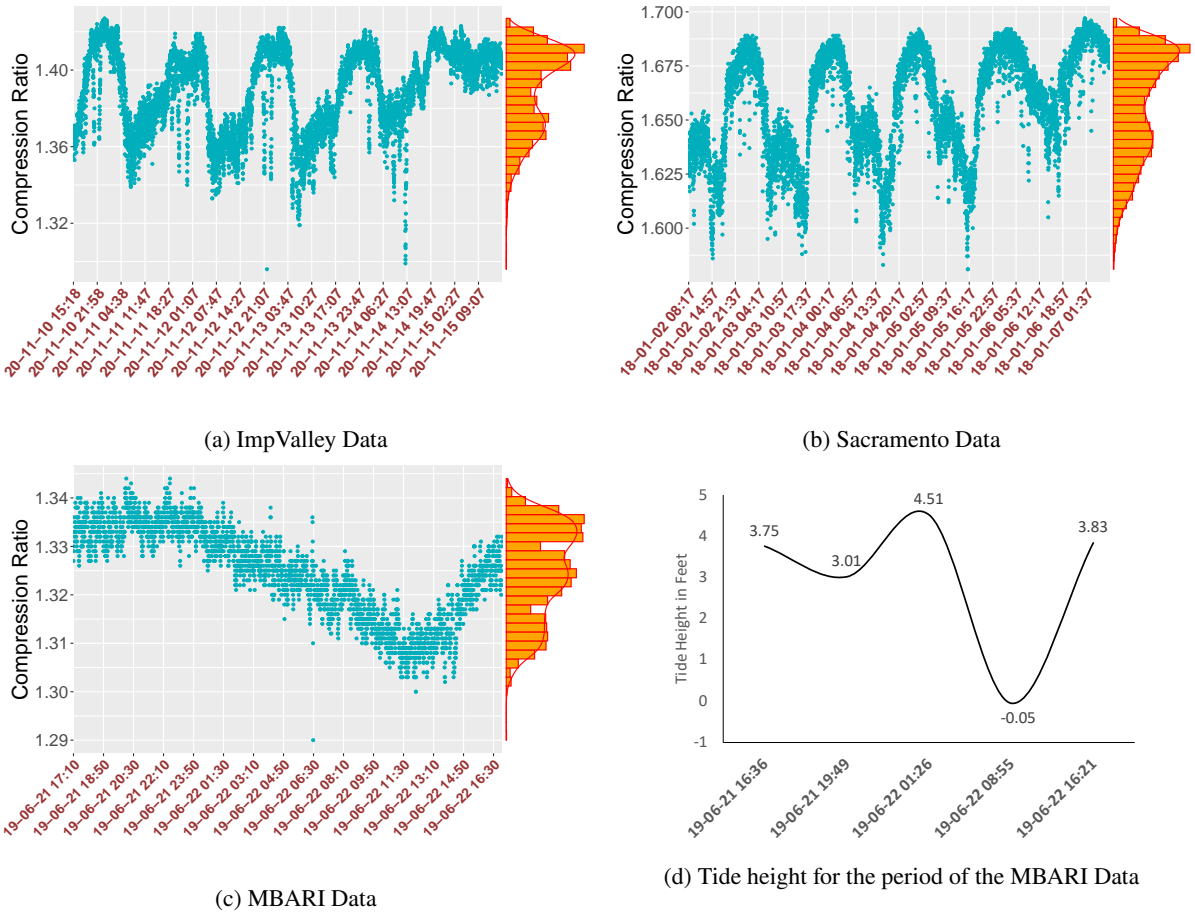
**Figure 7: Evaluation of Different Compression Methods**

248 choose level 1, level 9 and level 20 for ZSTD. GZIP has multiple levels too, but our tests find similar results among  
 249 these levels. Therefore, we only report level 9 for GZIP. We compress each file separately and report the average  
 250 compression ratio and time here. Details of compression ratio for each file can be found in the following subsection.

251 As shown by Fig. 7a, TurboPfor yields the lowest compression ratio by compressing the DAS data directly. DAS  
 252 data has both negative values and positive values. The negative values have a sign-bit as the left-most bit. This sign-bit  
 253 reduces the chance for TurboPfor to find short bit-packing encoding to reduce its size. By contrast, by pre-processing  
 254 the DAS data with either **Abs** or **ZigZag**, the compression ratio improves significantly, giving the best compression.  
 255 Our results suggest that these two methods can reduce the DAS data size by at most 40%. This is consistent with  
 256 our previous analysis which showed that **Abs** and **ZigZag** can store the sign-bit in separate bitmap or in lower bit  
 257 and therefore can increase the chance to find small bit-packing representation. The performance of GZIP is very  
 258 close the ZSTD, which has small variance among different compression levels. These universal compression methods  
 259 perform better than TurboPfor but still have lower compression ratios than Abs+TurboPfor and ZigZag+TurboPfor.  
 260 Compared with GZIP and ZSTD, Abs+TurboPfor and ZigZag+TurboPfor can save at least 21% extra space for the  
 261 Sacramento data and at least 14% extra space for the Imperial Valley data on average.

262 The time to compress DAS data has high variance, as shown by Fig. 7b. ZSTD (level=20) takes the longest time  
 263 to compress a single file: 566 seconds for the Sacramento data, 166 seconds for the the Imperial Valley data and 130  
 264 seconds for the MBARI data. As we stated before, the DAS compression method may work online to process data from  
 265 the interrogator unit. Given the output interval of the DAS data, there is a one minute upper bound for compression  
 266 time. ZSTD (level=20) takes more than one minute and therefore cannot be used for real-time DAS data compression.  
 267 In our tests, the average cost for writing the data is around 2 seconds. Theoretically, all other compression methods

268 can be used to perform online DAS compression. Amongst them, ZSTD (level=1), TurboPFor, Abs+TurboPFor and  
 269 ZigZag+TurboPFor have very close overhead. Combined with the analysis of the compression ratio presented before,  
 270 ZigZag+TurboPFor is the best candidate for online DAS compression because of its high compression ratio and low  
 271 overhead. As a result, we will focus on ZigZag+TurboPFor in following sections.

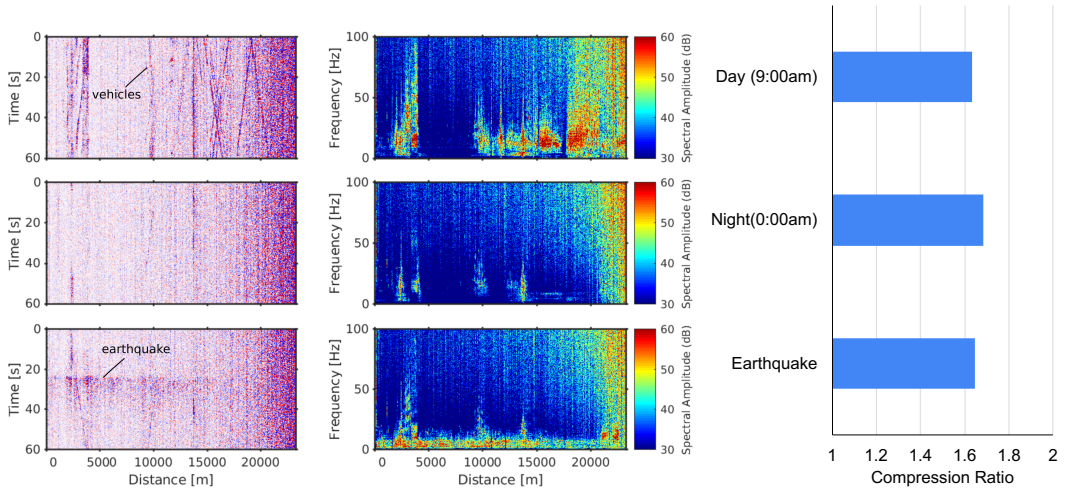


**Figure 8:** Compression ratio for the ImpValley data (a), the Sacramento data (b) and the MBARI data (c) with ZigZag+TurboPFor. The horizontal axis is the local time (Pacific Standard Time), which is converted from the UTC time zone. We also include tide height in (d) to explain the possible cause for the compression variance in the MBARI data.

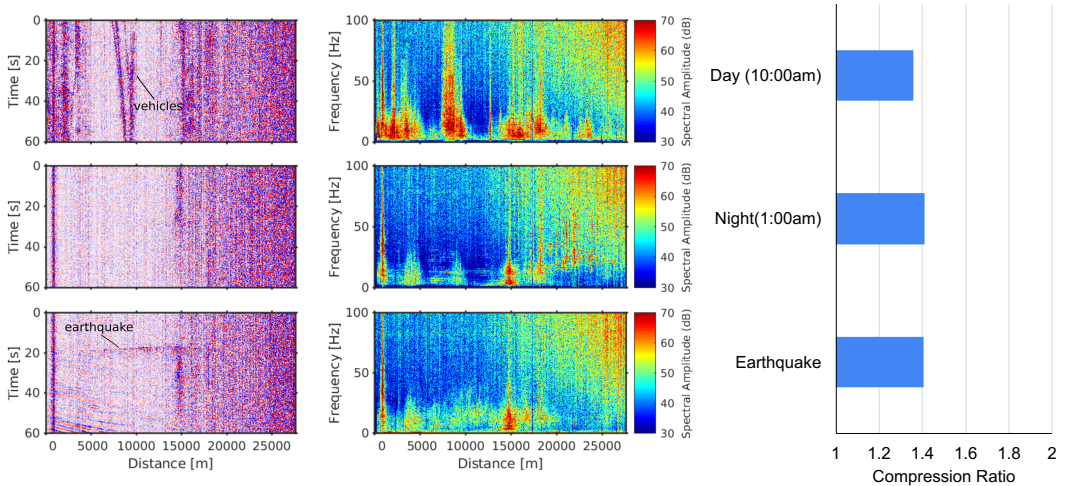
## 272 4.2. Compression Ratio for the Whole Dataset

273 The compression ratio for the whole DAS data is reported in Fig. 8, where each point represents compression ratio  
 274 for a file and the x-axis is timestamp (Pacific Standard time). The Sacramento data has a higher compression ratio than  
 275 the ImpValley data. One possible reason is that the Sacramento data is collected from rural area with less activities  
 276 around the optical fiber cable and hence less broadband ambient noise. Also, the Sacramento data has a smaller absolute  
 277 magnitude than the ImpValley data. Both the Sacramento data and the ImpValley data have clear day-night patterns:  
 278 the data collected at the nighttime has higher compression ratio than the data collected at the daytime. This is likely

## DAS Data Compression



(a) Sacramento data on the date 01/04/2018. The one minute data at day time is sampled at 9:00am local time with traffic noises. The one minute data at night time is obtained at 0:00am with only only noise. The bottom one minute data contains a earthquake M 4.4 - 2km SE of Berkeley, 01/04/2018 10:39:37 (UTC).



(b) ImpValley Data on the date 11/13/2020. The one minute data at day time is sampled at 10:00am local time with traffic noises. The one minute data at night time is obtained at 1:00am with only only noise. The bottom one minute data contains a earthquake M 5.3 - 33 km SE of Mina, Nevada, 11/13/2020 09:13:51 (UTC).

**Figure 9:** Raw data (left), spectrum plot (middle) and compression ratio (right) for the Sacramento and ImpValley. Each row data on the right. The top row is the one minute data at day time with traffic noises. The middle row is the one minute data at night time with only only noise. The bottom row is the one minute data with earthquake wave.

279 due to higher levels of seismic noise during the daytime, linked to anthropogenic activity. Peak compression ratios  
 280 are achieved at midnight when only low levels of anthropogenic noise are present. In Fig. 9, we present the raw data,  
 281 spectrum component, and compression ratio for the Sacramento data and the ImpValley data, which are consistent  
 282 with our analysis. For the summary histogram on the right margin, there is no clear distribution observed in the plot.  
 283 The compression ratio has long tails towards the smaller values, which represents the low compression ratio during  
 284 the daytime. Compression ratio for the MBARI is presented and analyzed in Fig. 8c. Clearly, the MBARI data has

285 different patterns from the previous two data sets. One major reason is the MBARI is collected from fiber under the  
 286 seawater. The noise from MBARI are impacted by water depth and water movement. There seems to be a correlation  
 287 between lower compression ratio and low tide, as shown in Fig. 8d. At low tide, the spread of the values seems slightly  
 288 larger (see Fig. 14 in Appendix 4). Previous analysis (Lindsey et al., 2019b) show small differences in the frequency  
 289 content of the data at high tide, compared with low tide. These differences could be an explanation for this very small  
 290 difference in compression ratio. A further analysis are needed to confirm the correlation between the compression ratio  
 291 and tide or other factors.

### 292 4.3. Scalability of DAS Compression Methods

293 Fig 10 reports test results from compressing our DAS  
 294 data with the ZigZag+TurboPFor using different number  
 295 of computing processes. The performance measurement  
 296 is the speed:  $\frac{\text{Total Data Size (GB)}}{\text{Time to read, compress and write the data (Sec)}}$ .

297 Each process is equal to a physical CPU core. Take the  
 298 256 CPU processes and Sacramento data (/w 7200 files)  
 299 as an example. We run these tests with N:N pattern,  
 300 where 256 files are compressed concurrently and each  
 301 file is compressed by one CPU process. For all 2880 files,

302 each CPU process compresses at most 29 files. These experimental results clearly show that our compression method  
 303 can scale linearly from 8 CPU processes to 256 CPU processes. For the 256 CPU processes, the speed is 20GB/second  
 304 for the Sacramento data and 26GB/second for the ImpValley data. The I/O cost to access the Sacramento data, whose  
 305 size is larger than that of the ImpValley data, make the scaling test results show high variance.

## 306 5. Conclusions

307 The volumes of Distributed Acoustic Sensing (DAS) data are very large (TB/day). Efficient compression of DAS  
 308 data can significantly reduce the cost of storage and transfer. This paper focuses on compressing raw DAS data as  
 309 directly acquired by the DAS interrogator unit, where optical phase is represented as short integer and has both negative  
 310 and positive values. We develop a two-stage compression method to convert negative values into positive ones and use  
 311 a bit-packing method to encode them. We also develop an in-situ method for HDF5 file format and a parallelization  
 312 method to compress DAS data in a real-time and post-hoc manner, respectively. Experimental results show that the  
 313 two-stage compression method can save 40% of the size of the DAS dataset, which is considerable given the 0.5 PB/yr  
 314 acquisition streams generated by single DAS arrays. For an installation that require disk retrieval every five months, this

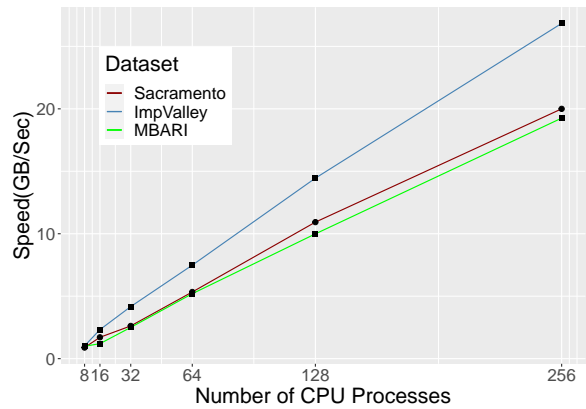


Figure 10: Scalability of Compression Method

315 compression could extend the retrieval time to seven months. Our method also scales linearly as we use more compute  
316 nodes, delivering  $26GB/seconds$  peak compression performance. Future work includes research and development of  
317 float point and lossy compression methods such as SZ and ZFP (Zhao et al., 2020; Diffenderfer et al., 2019; Kingma  
318 et al., 2019) for DAS data.

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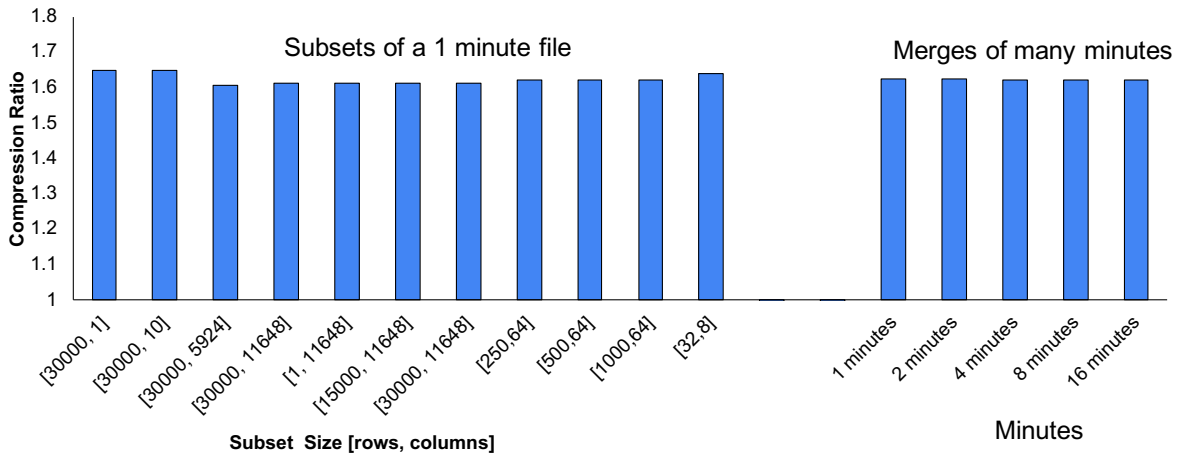
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326 ogy Development, Geothermal Technologies Office, under contract number DE-AC02-05CH11231 with LBNL. This  
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**Computer Code Availability**

- The source code used in this paper has two parts and both are open-sourced under a modified BSD license:
  - H5TuorbPFOR: The two-stage DAS data compression method is implemented as a HDF5 plugin. The H5TuorbPFOR source code and installation steps can be accessed at the public repository:  
<https://github.com/dbinlbl/H5TurboPFor>.  
Within the repository, we provide example Jupyter Notebook and C/C++ code to demonstrate how to use the compression method.
  - DASSA: The parallel DAS data compression method is implemented in DASSA. The DASSA source code can be accessed at the public repository:  
[https://bitbucket.org/dbin\\_sdm/dassa/](https://bitbucket.org/dbin_sdm/dassa/)
- Program language: C/C++
- Operating System: Linux/MacOS/Unix
- Software required: H5TuorbPFOR needs TuorbPFOR and HDF5; DASSA needs H5TuorbPFOR.

**Appendix 1: Impact of the subset size and merge size on compression ratio.**

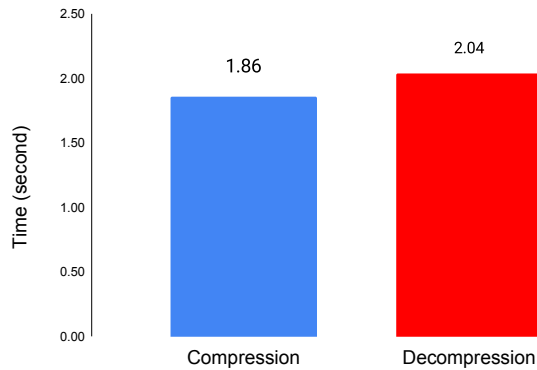


**Figure 11:** Measuring the impact of different subset sizes (left) and merging sizes (right) on compression ratio for the Sacramento data. We measured the compression ratio for the ZigZag+TurboPFor method. The subset sizes tested include wide variations, from compressing 1 time series per time, i.e., [30000, 1], to compressing all channels at one time, [1, 11648]. It suggests that compressing along time series yields a small performance advantage. We also test the size by merging different 1 minutes files together as input of compression. But, in all cases, the chunk size has almost negligible impact on the compression ratio. The same pattern is found on the ImpValley data.

341

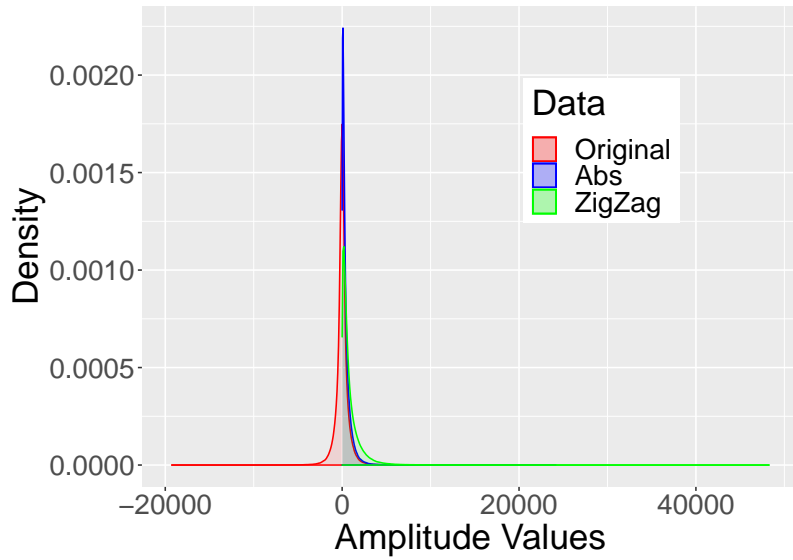
342

**Appendix 2: Time for DAS data compression and decompression**

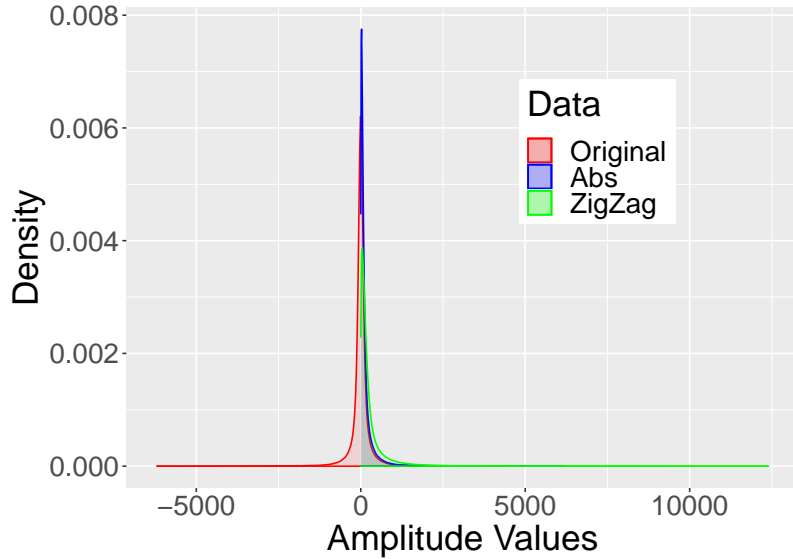


**Figure 12:** The average overhead of compressing and decompressing a file from the Sacramento data. We measured the compression and decompression time for the ZigZag+TurboPFor method. The compression and decompression have very close performance. The same pattern is found on the ImpValley data and other compression methods.

**Appendix 3: Full density plot for the DAS data from Sacramento and ImpValley.**



(a) ImpValley Data: Density

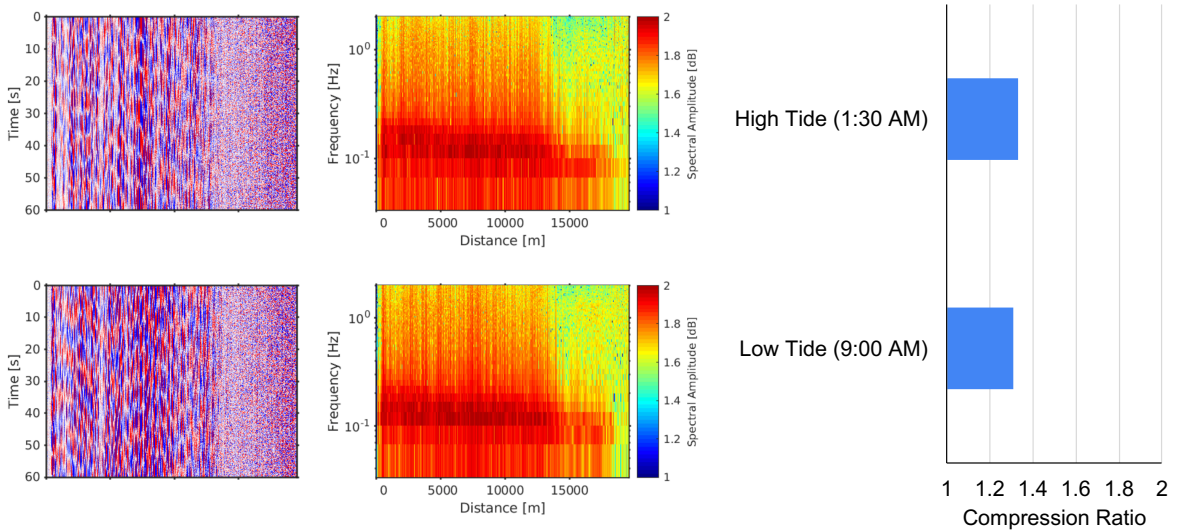


(b) Sacramento Data : Density

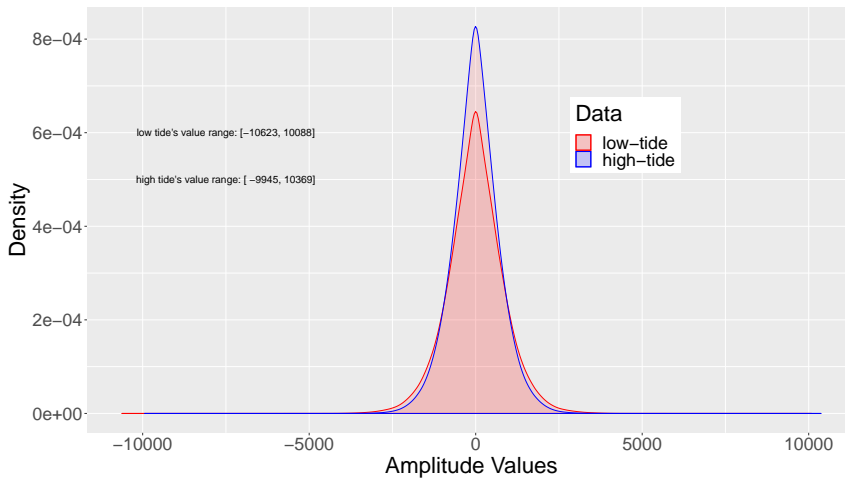
**Figure 13:** Full statistical density plot of DAS data from Sacramento and ImpValley. The data file from Sacramento dataset is 01/04/2018 23:35:31 and from the ImpValley data set is 11/12/2020 18:41:32.

**Appendix 4: Spectral and compression ratio for MBARI data.**

## DAS Data Compression



(a) Raw data, spectrum and compression ratio for data at high tide and low tide.



(b) Density of amplitude values for data at low tide and high tide.

**Figure 14:** Raw, spectrum, compression ratio, and density of amplitude for two files from MBARI datasets. The low tide file is the one minute data at local 9:00am (UTC time is: 06/22/2019 16:00:04); the high tide file is the one minute data at local 1:30am (UTC time is: 06/22/2019 08:30:04).

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