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Journal

Gastroenterology, 164(5)

ISSN

0016-5085

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Publication Date

2023-04-01

DOI

10.1053/j.gastro.2023.02.021

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Peer reviewed

Gastroenterology

Colorectal Cancer Risk Assessment and Precision Approaches to Screening: Brave New World or Worlds Apart? --Manuscript Draft--

Manuscript Number:	GASTRO-D-23-00114
Full Title:	Colorectal Cancer Risk Assessment and Precision Approaches to Screening: Brave New World or Worlds Apart?
Article Type:	Invited 2023 13th Issue: GI Cancer Risk Assessment
Keywords:	Precision Colorectal Cancer Screening, Colorectal Cancer Risk Prediction Models, Polygenic Risk Scores, Colorectal Cancer Risk Assessment
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Abstract:	<p>The premise for precision cancer screening is that an individual's risk, related to age, genetics, environment, and lifestyle factors, is associated with the level of benefit received from cancer screening.¹ Under this framework, risk stratification for cancer screening can be used to identify, recommend, and tailor screening for those whose cancer risk is high enough that benefits outweigh potential harms. In the case of colorectal cancer (CRC), traditional population-based screening programs take a one size-fits-all approach by using age as the major criterion to initiate screening. However, systematic use of well-established risk factors associated with CRC, beyond age, could better identify those who might harbor advanced colorectal neoplasia, improve the diagnostic yield of current screening modalities, and optimize selection of individuals who might benefit most from preventive strategies.² "Personalization" of population screening through risk stratification using prediction models has the potential to further reduce CRC morbidity and mortality, by targeting high-risk groups for more intensive screening, with the potential to more appropriately use non-invasive screening tests and less intense screening frequency in low-risk groups. In fact, the benefit of screening colonoscopy has been shown to be greatest among individuals with high-risk profiles determined by prediction models.³ In this review, we address current CRC screening strategies and highlight the potential benefits and challenges related to precision CRC screening. We evaluate evolving approaches to risk assessment in precision CRC screening and potential issues related to the implementation of systematic risk assessment in clinical practice. While precision cancer screening holds promise for further reducing CRC incidence and mortality, additional research is needed to optimize the benefits of these approaches in a comprehensive and equitable manner.</p>
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[Click here to view linked References](#)

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4 **Colorectal Cancer Risk Assessment and Precision Approaches to Screening:**
5 ***Brave New World or Worlds Apart?***
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8
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39 **Grant Support:**

40
41
42 National Cancer Institute (NCI) grant support: R01 CA257333 F.K.; R01 CA220329 to S.S.K.;
43 R37 CA222866 to S.G.; UG3 CA233314 to S.G. (MPI)

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59 **Word Count:** 6,179
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Introduction

The premise for precision cancer screening is that an individual's risk, related to age, genetics, environment, and lifestyle factors, is associated with the level of benefit received from cancer screening.¹ Under this framework, risk stratification for cancer screening can be used to identify, recommend, and tailor screening for those whose cancer risk is high enough that benefits outweigh potential harms. In the case of colorectal cancer (CRC), traditional population-based screening programs take a one size-fits-all approach by using age as the major criterion to initiate screening. However, systematic use of well-established risk factors associated with CRC, beyond age, could better identify those who might harbor advanced colorectal neoplasia, improve the diagnostic yield of current screening modalities, and optimize selection of individuals who might benefit most from preventive strategies.² "Personalization" of population screening through risk stratification using prediction models has the potential to further reduce CRC morbidity and mortality, by targeting high-risk groups for more intensive screening, with the potential to more appropriately use non-invasive screening tests and less intense screening frequency in low-risk groups. In fact, the benefit of screening colonoscopy has been shown to be greatest among individuals with high-risk profiles determined by prediction models.³ In this review, we address current CRC screening strategies and highlight the potential benefits and challenges related to precision CRC screening. We evaluate evolving approaches to risk assessment in precision CRC screening and potential issues related to the implementation of systematic risk assessment in clinical practice. While precision cancer screening holds promise for further reducing CRC incidence and mortality, additional research is needed to optimize the benefits of these approaches in a comprehensive and equitable manner.

One-size-fits-all vs. Precision CRC Screening

CRC incidence and mortality can be reduced by screening.⁴ However, CRC remains the second leading cause of cancer death and the third most commonly diagnosed cancer worldwide.⁵ This, along with the increasing incidence in early-onset CRC in recent years, highlights challenges related to CRC screening and prevention. While a number of screening tests are available,⁶ the effectiveness of any population-based screening program extends beyond the performance of those tests and also relates to successful delivery and organization of testing programs, patient participation, and risk stratification to improve personalized screening. Furthermore, tailoring CRC risk assessment can also address issues related to appropriate distribution and utilization of available resources, where the best test is chosen based on an individual's risk profile.

Most CRC screening programs in the US and globally recommend screening for adults over a certain age and do not consider additional individual CRC risk factors.⁷⁻⁹ However, the screen-eligible "average risk" population 45 years and older actually represents a wide range of risk that can be estimated based on additional demographic, lifestyle, and genetic risk factors. For example, older age, male sex, obesity, and cigarette smoking¹⁰⁻¹⁵ are all associated with colorectal adenomas and cancer and these factors may be used to provide risk-based CRC screening recommendations, versus the current, "one-size-fits all" reliant solely on age. A risk-based approach aims to define a group of individuals with a "high-risk" profile that is associated with a high prevalence of advanced colorectal neoplasia who could benefit most from referral directly to colonoscopy.^{2,16} In contrast, those individuals with a predicted "low-risk" profile are selected for alternative non-invasive screening tests that are easily accessible and carry less risk and cost than colonoscopy (Figure 1). This is particularly relevant since CRC screening leads to a large clinical benefit for the minority but exposes many to potential burden and harms with low likelihood to benefit.

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5 Structured screening programs are needed to optimal CRC screening participation rates.
6 Currently, the most accepted screening testing across most programs globally are colonoscopy
7 and fecal testing, notably fecal immunohistochemical testing (FIT).^{17,18} While there is world-wide
8 variation in implemented screening strategies, virtually all programs limit their risk assessment
9 to age, with a few including a family history of CRC.¹⁸
10

11 **Why Precision CRC Screening?**

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13
14 Improved risk assessment for CRC has the potential to address a number of
15 shortcomings across the care continuum that relate to the patient, provider, and healthcare
16 system (Table 1).¹⁹ A one-size-fits-all approach to CRC screening is unlikely to increase
17 screening uptake or desired outcomes owing to barriers stemming from behavioral, cultural, and
18 socioeconomic causes²⁰, especially when combined with inefficiencies in delivery of screening
19 technologies across various healthcare systems. However, improved risk-based CRC screening
20 may offer benefits to patients and healthcare providers related to improved decision-making
21 which may improve uptake of screening and influence the choice of screening test.^{21,22} This
22 may further lead to improved utilization of resources, many of which are finite and potentially
23 limited by geographic location, healthcare systems and institutions.
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25

26 **Patient.** A personalized risk assessment has the potential to better educate patients on their
27 individual risk of colorectal neoplasia which may promote participation in CRC screening
28 programs. In a systematic review of 11 randomized trials that evaluated the use of seven CRC
29 risk assessment tools among 7,677 subjects in diverse practice settings, there was improved
30 patient knowledge, understanding of the importance of screening and the perception of CRC
31 risk among those who used the risk assessment tools.^{19,23} Additionally, the intention to
32 participate in CRC screening and screening uptake was increased in the intervention group
33 compared with the control group (43% versus 5%).²⁴ Furthermore, patient participation is an key
34 determinant in assessing a screening strategy's effectiveness, where individual preferences
35 should be solicited with shared decision-making to select the most acceptable strategy, and
36 support strategy adherence.
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40 **Provider.** For healthcare professionals, enhanced risk assessment could help improve the
41 identification of patients at greatest risk of harboring or developing advanced colorectal
42 neoplasia, thereby improving test selection, and, for patients referred for colonoscopy, the
43 diagnostic yield of colonoscopy. This aspect has the further important benefit of identifying
44 patients who might benefit from other targeted prevention strategies such as lifestyle
45 modification, including weight loss, dietary changes, or smoking cessation. Furthermore, risk
46 stratification can potentially enable tailoring of the post- polypectomy surveillance interval to the
47 individual patient.¹⁹
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49

50 **Healthcare system.** The impact on healthcare systems is that appropriate risk-based screening
51 can translate into improved efficiency and utilization of services. A reduction in overutilization or
52 mismatched resources, along with an increased capacity for appropriate procedures with
53 decreased waiting times, ultimately leads to higher health-care cost savings.¹⁹ An example
54 where this is relevant is the expansion of CRC screening to individuals between 45 to 49 years
55 and the burden it poses on the healthcare system. Initiation of CRC screening at age 45 years
56 has been estimated to add 21 million eligible individuals to the existing screening pool of 94
57 million, which represents a 22% increase. Increased uptake in the younger age group, who
58 have low absolute numbers of CRC, potentially diverts already limited resources from higher
59 risk populations who are more likely to harbor advanced neoplasia or CRC²⁵⁻²⁷; these individuals
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4 include not only older, unscreened individuals, but also ethnic minorities and individuals of low
5 SES, potentially deepening already present racial and socioeconomic disparities in screening.²⁸
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7 **What approaches could be used for risk assessment in precision CRC screening?**

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10 **Individual Risk Factors for CRC risk assessment.** Most population-based cancer screening
11 programs use age as the major criterion to initiate screening. There is additional use of family
12 history and assessment of inherited risk to help guide recommendations that tailor preventive
13 strategies, including the age of screening initiation, the type of screening test selected, and the
14 appropriate interval for surveillance.
15

16 **Age.** The incidence of CRC is strongly related to chronologic age.²⁹ There has been an
17 alarming increase in the incidence of CRC before age 50 over the last 20 years due to a birth
18 cohort effect, where individuals born 1960 and later are experiencing an earlier rise in age
19 specific incidence.³⁰ In the US, the rates of colon and rectal cancer in those between 40 to 49
20 years old have increased by 1.3% and 2.3% per year, respectively and the proportion of CRC in
21 those less than 50 years has doubled since 1990.^{30,31} Further, more recent data suggests that
22 the birth cohort effect is increasing CRC incidence among individuals 50 to 59 year old.³² As
23 such, longstanding decreasing trends in incidence among individuals age 50 years and older,
24 attributed to CRC screening and uptake of colonoscopy, as well as reduction in exposure to
25 CRC lifestyle factors such as smoking, are at risk for flattening and reversing.^{31,33}
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29 **Genetic Risk: Familial, Germline, and Polygenic Risk of CRC.** Current recommendations
30 modify screening initiation and surveillance intervals based on the presence of at least one first-
31 degree relative (FDR) with CRC or a known inherited cancer syndrome, and intensive
32 colonoscopy is the preferred screening approach. The presence of a family member with CRC
33 or advanced neoplasia increases an individual's risk of CRC two-fold,^{34,35} and the number of
34 relatives, degree of relatedness and age of diagnosis are important factors to consider,³⁶
35 particularly in the evaluation for a genetic predisposition to cancer development, such as Lynch
36 syndrome.³⁷
37

38 Genomic medicine can inform cancer preventive care by assessing an individual's genetic
39 information, i.e. by DNA sequencing or SNP genotyping, and thereafter personalize cancer
40 screening and risk-reducing strategies. A successful example of CRC prevention has been the
41 implementation of genetic risk assessment for the identification and management of the most
42 common monogenic CRC cancer syndrome, Lynch syndrome (see "xxx" in this Special issue).
43 In addition to the well-described monogenic CRC cancer syndromes, it is well-established by
44 heritability analyses that CRC is highly polygenic. Data from genome-wide association studies
45 (GWAS) for CRC risk prediction holds promise for risk stratification for primary and secondary
46 prevention.^{38,39} PRS is a quantifiable genetic risk score determined by the cumulative impact of
47 genome-wide variants, aimed to improved risk prediction.
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50 **Lifestyle associated Risk:** Numerous risk factors are linked to CRC and include smoking,
51 obesity, increased consumption of red meat,⁴⁰ excessive alcohol consumption,⁴¹ and physical
52 inactivity.⁴² Conversely, protective factors reduce CRC risk and involve regular aspirin use,^{43,44}
53 particular dietary patterns,^{45,46} and increased physical activity.⁴² The impact of each individual
54 risk factor is modest with low relative risk estimates and none carry sufficient risk on their own to
55 be incorporated into current screening recommendations. However, combining these risk factors
56 and creating composite scores can better discern individuals at high and low risk of CRC
57 development.
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4 **Sex:** Men have a 1.3 fold higher risk of developing CRC^{47,48} than women and comparison of
5 age-specific rates reveal that women develop CRC 4-6 years older than men.¹⁵ However,
6 results from decision analyses do not report differences in optimal screening strategies between
7 the sexes and the majority of screening programs worldwide do not include sex-specific
8 recommendations.⁴⁸⁻⁵¹
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10
11 **Race, ethnicity and social determinants of health.** It is well-established that CRC incidence
12 and mortality vary by race and ethnicity. Non-Hispanic Black (NHB) individuals have the highest
13 incidence and mortality rates of CRC of any ethnic group in the US, with an incidence of CRC
14 that is more than 20% higher than in White individuals and an even larger difference in
15 mortality.^{52,53} When disaggregated from American Indian populations, Alaska Native people
16 have even higher incidence and mortality.⁵⁴ While these differences are most likely driven by
17 social determinants of health such as access to care, including CRC screening, and other
18 socioeconomic factors, a significant portion of the disparity remains after adjustment for these
19 factors. Furthermore, NHB individuals are diagnosed at earlier ages and with later stages of
20 disease. The increased risk of disease and cancer-related death in this patient population has
21 led to changes in screening recommendations, specifically in lowering the age to initiate
22 screening to 45 years for NHB individuals.⁵⁵
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25 **CRC Prediction models to improve and systematically provide risk assessment**

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28 Development of effective CRC risk prediction models and suitable risk-adapted
29 screening strategies are highly promising and deserve further attention in the era of precision
30 medicine. A number of prediction models that provide risk scores and/or profiles have been
31 developed in recent years and show modest discriminative ability to distinguish between
32 individuals with and without CRC and its precursors (Table 2).^{16,56-70} However, performance of
33 these prediction models warrants scrutiny as there has been variability in the eligibility criteria
34 used for development and external validation is often limited.
35

36 **Clinical CRC Prediction Models**

37

38
39 A systematic review and meta-analysis of 17 risk models in 22 studies found that five
40 risk factors were consistently identified as predictive of advanced colorectal neoplasia and
41 CRC.⁵⁶ These predictors included age, sex,¹² family history of CRC in FDRs,⁷¹ body mass index,
42 and history of smoking.¹⁰ The risk scores' discrimination was associated with area under the
43 receiver operating characteristic curve (ROC) ranging from 0.62 to 0.77 in the individual studies
44 and 0.61 to 0.70 in the meta-analyses.⁵⁶
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46
47 The predictive power of risk models can be further refined with the inclusion of additional
48 risk factors related to lifestyle, diet and exposure history. They include but are not limited to
49 obesity, tobacco and alcohol use, red meat consumption, and sedentary lifestyle. While these
50 factors provide minimal improvement in prediction, the ability to readily retrieve all of this
51 information may be challenging. While age, sex, BMI, and smoking history may be easily
52 obtained, other lifestyle and dietary-related factors are more difficult to ascertain and collection
53 of lifestyle factors especially over one's lifetime may also be prone to recall bias.⁷² Continued
54 collection over time and selection of key factors, while leveraging the electronic medical record
55 (EMR) or other technologies may streamline this evaluation.
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58 The Asia-Pacific Colorectal Screening (APCS) score is a well-validated prediction model
59 derived from asymptomatic individuals undergoing screening colonoscopy that combines
60 demographic and clinical risk factors, associated with CRC and advanced neoplasia, including
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4 family history.⁷³ In its original form, the score relied on age, sex, family history of CRC in a FDR,
5 and smoking exposure, generating an AUC of 0.64 in a validation cohort. The model has been
6 expanded to improve discrimination with the addition of BMI and dietary information, yielding an
7 AUC as high as 0.74.^{62,74}
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10 The National Cancer Institute's Colorectal Cancer Risk Assessment Tool is a rigorously
11 developed and extensively validated calculator that can be used in the clinical setting to provide
12 5-year and lifetime risk estimates.⁷⁵⁻⁷⁸ The model estimates relative risks and attributable risk
13 parameters from US population-based case-control data separately for proximal, distal, and
14 rectal cancer and combines these estimates with baseline age-specific cancer hazard rates
15 based on Surveillance, Epidemiology, and End Results (SEER) incidence rates and competing
16 mortality risks; key risk factors include demographic data, diet, lifestyle, and medical histories,
17 as well as any colonoscopy findings. In addition to estimating future CRC risk, it may also be
18 used to estimate current risk for advanced neoplasia, making it potentially useful for tailoring
19 and improving CRC screening efficiency among average-risk individuals.
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22 A more recent prediction model was developed to generate low-risk, intermediate-risk
23 and high-risk groups for advanced colorectal neoplasia and had high discrimination with AUC of
24 0.78 in the development and internal validation cohorts.¹⁶ The model includes
25 sociodemographic and physical features, medical and family history and lifestyle factors and
26 results from first-time screening colonoscopy in average risk, asymptomatic individuals. The
27 model's ability to generate risk categories based on risk cut-off values can facilitate patient-
28 provider discussions of screening options, notably non-invasive testing in the low-risk subgroup,
29 with colonoscopy preferred in the high-risk subgroup.
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32 ***Multifactorial Prediction Models***

33 ***Incorporating FIT results to Improve CRC Risk Assessment***

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36 Risk prediction models have also combined FIT results with demographic, clinical and
37 lifestyle factors, given the promising discriminatory performance of fecal hemoglobin
38 concentration at screening. A number of studies have combined clinical risk factors for
39 advanced neoplasia with FIT and have reported similar increases in the accuracy of FIT-based
40 screening⁷⁹⁻⁸⁵ (Table 2); in one study discrimination improved from an AUC of 0.69 to 0.76 with
41 the risk-based plus FIT approach.⁸³ In addition, incorporating clinical factors, such as age and
42 sex, for a risk-stratified FIT screening approach and using risk cut-off values instead of a FIT
43 cut-offs may potentially improve the selection of high-risk individuals to colonoscopy by
44 identifying more advanced neoplasia and CRC. However, in the United States, no currently
45 available FIT is marketed to report quantitative hemoglobin concentration, even though some
46 available FITs are indeed quantitative FITs.
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50 ***Incorporating Genetic Risk to Personalize CRC Risk Assessment.***

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52 Risk prediction based on polygenic risk scores (PRS) may be used to identify individuals
53 at high risk of CRC to enable enhanced screening and other interventions, including lifestyle
54 related recommendations and possibly chemoprevention.⁸⁶ Furthermore, the age of screening
55 initiation, surveillance intervals, or the modality of screening could potentially be informed by
56 PRS. As the identified genetic risk variants tend to show similar risks in advanced adenomas, it
57 is likely that the PRS can be important for the prediction of both advanced adenoma and CRC.⁸⁷
58 As the number of CRC risk loci continue to increase, it is also expected that the predictive
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4 power of the PRS will further improve as machine learning approaches are applied to very large
5 genetic studies to refine genome-wide genetic risk scores.⁸⁸
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8 Predictive models that include both non-genetic and genetic risk factors could provide a
9 more complete assessment of CRC risk (Table 3).⁸⁸⁻⁹⁴ Of critical importance are large-scale
10 GWAS collaborations, including one that combines data from three consortia including the
11 Genetics and Epidemiology of Colorectal Cancer Consortium (GECCO), the Colorectal Cancer
12 Transdisciplinary Study (CORECT), and the Colon Cancer Family Registry (CCFR).³⁸ With
13 GWAS results from over 125,000 individuals, the study demonstrated how a PRS derived from
14 95 independent association signals could impact clinical guidelines for preventive screening.
15 The results were able to guide the age to initiate screening for those in the highest 1% (and
16 10%) percentiles of polygenic risk compared with lowest percentiles where the age difference
17 decreased by 18 years (and 10 years) for men, and 24 years (and 12 years) for women.⁹⁵
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21 Data from this collaborative initiative also evaluated CRC risk based on lifestyle and
22 environmental factors, in addition to genetic variants, in order to identify an optimal age to begin
23 screening.⁸⁸ This model of CRC risk was created based on family history, 19 lifestyle and
24 environmental factors (E-score), and 63 CRC-associated SNPs to incorporate genetic risk (G-
25 score). The model projected a 10-year absolute risk of CRC for given risk profiles with
26 recommended ages to initiate screening, as compared to the current practice of screening
27 average risk individuals based on age alone. E-score and G-score each determined risk of
28 CRC with greater accuracy than family history and the model that combined all factors
29 estimated CRC risk with an AUC value of 0.63 (95% confidence interval (CI), 0.62-0.64) for men
30 and 0.62 (95% CI, 0.61-0.63) for women. Discrimination was lowest based only on family
31 history (ranging from 0.53 to 0.54) with comparable improvement based on E-score or G-score
32 (ranging from 0.59 to 0.60). When using a combined E-score and G-score, starting age of
33 colonoscopy differed by 12 years for men and 14 years for women, for those with the highest vs
34 lowest 10% of risk, respectively.
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36 **What is the potential impact of population-based implementation of precision CRC** 37 **screening?** 38

39
40 There is growing evidence that a risk-adaptive screening approach may improve the
41 yield of screening tests with a relatively lower utilization of colonoscopy than traditional
42 strategies, in addition to changing the age of screening initiation. In a prospective study using
43 data from 2 large US cohorts, the Nurses' Health Study and Health Professionals Follow-up
44 Study, the relative and absolute risk of CRC incidence and mortality associated with
45 colonoscopy exposure according to individuals' risk profiles was assessed.³ A CRC risk score
46 from 0 to 8 was generated based on family history, height, body mass index, smoking, physical
47 activity, alcohol, aspirin use, and diet. The absolute benefit of colonoscopy exposure was more
48 than twice higher for individuals with the highest than lowest CRC risk profile. In addition, those
49 with a high- and low-risk profile could potentially start CRC screening up to 6-7 years earlier and
50 later, respectively, than the currently recommended age of 45 years.
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54 The TARGET-C Study is currently the only large-scale, multicenter randomized
55 controlled trial to report on the feasibility, participation, yield and cost related to colonoscopy,
56 FIT and a risk-adapted approach for three rounds of CRC screening conducted in China.^{96,97}
57 The study used a modified version of the Asia-Pacific Colorectal Screening score for risk
58 stratification in the risk-adaptive screening cohort; the score uses age, sex, family history of
59 CRC among FDRs, smoking, and body mass index. A score of 4 or greater categorizes a
60 patient as high risk, and less than 4 defines low-risk patients. High- and low-risk patients were
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4 referred to either colonoscopy or FIT screening, respectively and patients with positive FIT were
5 referred for a diagnostic colonoscopy. The primary outcome of the study was detection rate of
6 advanced colorectal neoplasms, including CRC and advanced adenomas.
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9 Among 19,373 subjects, higher participation rates were in patients undergoing first-time
10 FIT (94.0%, 7326/7793) and risk-adapted screening (85.2%, 6557/7697) compared with those
11 undergoing colonoscopy (42.3%, 1644/3883). In the risk-adapted screening group, high-risk
12 subjects (18.9%) were referred for colonoscopy and low-risk (80.8%) were referred for FIT. The
13 detection rate of advanced neoplasms during the intention-to-screen analysis was highest for
14 the colonoscopy group (2.76%) and lowest for the FIT group (1.15%), with the risk-adaptive
15 approach yielding 1.65%. There were no statistically significant differences noted in the
16 corresponding odds ratios for the detection rate of advanced neoplasia between the subgroups
17 of risk-adapted and FIT screening at baseline. However, with cumulative screening and
18 participation in all three rounds, adenoma detection rates increased to 2.17 for FIT and 2.35 for
19 the risk-adapted screening group, with a final screening yield comparable to that of the one-time
20 colonoscopy subgroup. More prospective studies are needed to understand the potential
21 effectiveness and yield of screening strategies that take into account risk stratification.
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23

24 **What are the major challenges related to the realization of precision CRC screening?**

25
26 Targeted CRC screening through risk assessment was a concept introduced 30 years
27 ago⁹⁸ and despite a growing number of studies providing reliable prediction through use of
28 models, large-scale, implementation-based studies to integrate risk scores into clinical practice
29 are currently lacking. A number of potential barriers and limitations need consideration prior to
30 use of prediction models in real-world practice settings (Table 4).
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33 ***Reliable and accurate models are needed, in addition to patient and provider***
34 ***acceptability.*** Cost-effectiveness analysis has shown that a discriminatory performance with
35 AUC of at least 0.65 is required for risk-based screening to be more cost-effective than uniform
36 screening^{48,99} and values of 0.7-0.8 are considered to indicate modest/good discrimination.²
37 Some of the existing prediction models have low discriminative accuracy with AUCs less than
38 0.65 and resulting misclassification can produce an incorrect risk profile and recommendations.
39 In addition, appropriate risk-thresholds need to be defined in order to best identify who and how
40 to consider screening and to avoid excluding a large group of individuals who are moderate (or
41 low risk) that may harbor CRC. Model risk cut-offs and provider recommendations could be
42 adapted to specific contexts and available resources. For example, a model with cutoffs
43 selected for high sensitivity could help providers feel comfortable offering non-invasive options.
44 In the case of tests with moderate sensitivity (such as non-invasive tests), model cut-offs
45 optimized for sensitivity could help providers and patients consider colonoscopy over a non-
46 invasive test. Furthermore, acceptability by healthcare providers may rely on how confident they
47 feel in recommending reduced screening intensity or later age to initiate screening for those
48 individuals identified with a low-risk profile. There is likely to be less hesitancy by healthcare
49 providers to accept a prediction score that identifies high-risk patients and allows for screening
50 that may have not been considered by an age only eligibility criterion. Conversely, if individuals
51 with a low-risk profile do not accept an alternative screening strategy to colonoscopy (which
52 may be the case most often in opportunistic CRC screening programs), the cost-benefit of risk-
53 based screening will be mitigated; more devastating would be if these individuals chose not to
54 undergo any CRC screening. In a cost-effective analysis, tailored screening with risk prediction
55 tools was preferred over the usual care of uniform screening only when there was no
56 misclassification of risk and no cost to apply the risk-prediction tool; imperfect risk prediction can
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4 lead to potential harms through misclassification than a simpler, uniform population-wide
5 approach.¹⁰⁰
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8 **Moving from a risk score to clinically actionable recommendations.** Use of prediction
9 models for risk assessment can identify low and high-risk groups, but how that data is used to
10 determine when to initiate screening, how often, and by what approach, on an individual basis,
11 will generate a wide range of screening and surveillance possibilities that will require the
12 development of smart algorithms to efficiently determine optimal screening strategies by
13 risk.⁴⁸ Such algorithms extrapolate data from risk-based screening trials which are currently
14 limited. We also assume that the biological progression from precancerous neoplasia to CRC is
15 the same in individuals with high and low risk profiles, which will also be elucidated through
16 clinical trials to inform optimal surveillance strategies. We postulate that algorithms might be
17 most useful when interactive, and able to elicit information about the clinical decision-making
18 scenario. For example, an algorithm might return a different test recommendation for a patient-
19 provider scenario where default is to pursue colonoscopy screening, and there is a desire to
20 know whether a non-invasive option with lower sensitivity for CRC could be a safe option vs. a
21 clinical scenario where the default is to elect for a less sensitive, non-invasive test, and there is
22 a desire to make sure that choosing the non-invasive option is safe with respect to CRC risk.
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26 **Data collection and capture of key risk factors.** Collection of relevant data elements to
27 predict risk may require standardization to ensure that a score can be generated from complete
28 and correct data. In addition, determining the appropriate time to generate a prediction score
29 and how often, will require active engagement by both patient and caregiver as many risk
30 factors, particularly those related to lifestyle, do not remain fixed over time. Systematic risk
31 assessment that is structured and applied often and consistently is of importance and
32 implementation strategies may leverage the EMR. In addition, patient engagement and
33 personalizing risk could enhance patients' understanding of risk information and even
34 encourage patient-driven risk assessment with potential to alter modifiable risk factors.
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37 **Translation and integration of 'omic' data.** The additional risk stratification provided by PRS,
38 and other 'omic strategies (e.g., transcriptomics, proteomics, methylome, metabolomics and
39 microbiome), may be outweighed by their cost, complexity of the interpretation of data and
40 population risk-profiling, with the potential for reduced patient access and participation,
41 particularly among underrepresented populations. While the value of additional biomarkers to
42 improve the targeting of measures for cancer prevention and early detection may be significant,
43 for PRS to be clinically useful, it must provide sufficient risk discrimination that is also
44 meaningful in the context of absolute CRC risk and applicable in the context of respective
45 screening for CRC prevention and early detection.¹⁰¹ Future research will likely extend from
46 clinical risk factors and genomics to integrative multi-omic strategies to optimize risk
47 assessment and to identify and validate biomarkers that underpin precision approaches.
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50 **What is the future for precision CRC screening?**

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52 Do the "one-size-fits-all" and "personalized risk-based" approaches to CRC screening
53 need to be mutually exclusive? We should not lose sight that proven prevention measures exist
54 and new strategies are meant to help improve these measures so that they are more broadly
55 accessible, clinically applicable and used, particularly by patients who are from
56 underrepresented populations. First steps to address the potential challenges of risk-based
57 CRC screening programs require well-designed studies to help inform what personalized risk
58 assessment approaches are reliable and feasible to apply across diverse populations and
59 communities, so that they can provide more efficient and equitable CRC screening programs
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4 without compromising current prevention efforts or widening the gap for those who remain
5 unscreened. The outlook for precision CRC screening requires a research agenda to better
6 prepare for a more comprehensive approach to risk-based CRC screening.
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9 ***Build and validate better models, for all:*** The majority of current models need further
10 validation in large, diverse populations. There has been little investigation of whether or how
11 race and ethnicity, and other social determinants of health should be included in risk models,
12 likely owing to the dearth of data from large cohorts with sufficient numbers of individuals in
13 underrepresented groups, notably NHB and Hispanic individuals. For example, data on PRS for
14 CRC risk assessment in diverse populations is limited, so current models that incorporate
15 genomic data may only be considered for White individuals. Genomic studies have been
16 predominantly conducted in White individuals of European descent and CRC associated SNPs
17 may not apply to individuals with other genetic ancestry. In addition, individuals with other
18 ancestry might have other risk relevant SNPs that are not incorporated into recent risk
19 prediction models. Even clinical prediction models with simple demographic and lifestyle factors
20 need additional external validation and potential correction for simple variables that may impact
21 performance, such as race. Furthermore, to optimize clinical utility there should be a balance
22 between simplicity of the model and prediction accuracy so that the risk factors are easy to
23 obtain and measure and the means to generate the risk scores are not only reliable, but user-
24 friendly.
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28 ***Engage providers and patients to assess “buy-in”:*** Precision screening and its
29 implementation can become complex across the multiple phases needed to assess CRC risk. If
30 a validated and reliable prediction model is identified, will it be consistently used, by whom, and
31 which patients are more likely to be impacted? For the provider, it has been well-established
32 that provider behaviors are difficult to modify, even when there is evidence to suggest operator-
33 dependence can improve CRC screening and outcomes. Will providers consistently complete
34 and update multiple data points for a CRC prediction model, at least annually? Will providers
35 interpret the results accurately and provide information reliably and consistently to all patients
36 for shared decision-making? Will providers adhere to recommendations for less
37 intensive/optimal screening strategies for low-risk individuals? For the patients, we may
38 potentially decrease screening participation if recommendations are not perceived to be
39 universal and are difficult to interpret. Furthermore, mistrust may be a potential issue for certain
40 patient populations and the precision screening message may get lost or misconstrued,
41 particularly when the “best” screening tests are not offered to many. Risk perception drives
42 participation in cancer screening programs;¹⁰²⁻¹⁰⁴ those who participate in cancer screening
43 versus those who do not, usually differ in their risk and perception of cancer and its associated
44 mortality, comorbidities, and socioeconomic status.
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48 ***Incorporate CRC screening results to redefine (and refine) risk assessment:*** It is unclear
49 whether CRC risk scores can be applied over time to adjust screening intensity or whether
50 previous findings at screening or surveillance will prove to be more relevant. The preventive
51 effect of colonoscopy may offset certain clinical and genetic risk factors and impact future
52 screening and surveillance recommendations. In a population-based study, colonoscopy was
53 found to drastically reduce the absolute risk of CRC at a predefined genetic risk.¹⁰⁵ Future risk
54 assessment should incorporate at least results from colonoscopy since many years of screening
55 can be saved with a negative evaluation which provides cost savings and optimizes allocation of
56 available resources.
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59 ***Assess feasibility, resources, cost and cost-effectiveness of precision CRC screening on***
60 ***a population scale:*** Successful implementation of precision CRC screening on a population
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4 level across various screening programs and healthcare systems will require careful
5 assessment of feasibility and practicality. Decision-making about CRC screening in
6 opportunistic programs is already time-consuming and complex for providers and patients due
7 to availability of several screening modalities; the addition of detailed risk assessment could
8 make this process more complicated and thereby limit efficiency and efficacy. Addition of risk
9 assessment in organized screening programs would also add complexity in that additional
10 clinical information and/or biospecimens (i.e., blood or saliva) would need to be collected and
11 input into models. Automated risk assessment using accurate and up-to-date data from the
12 EMR and other reliable sources will need to be considered and could be facilitated by artificial
13 intelligence and machine learning approaches. For payers, the economic value of risk
14 stratification will need to be demonstrated prior to widespread adoption through modeling and
15 real-world studies.
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18 **“The Future depends on what we do in the Present”**

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21 The armamentarium of CRC screening tests, particularly non-invasive options, will
22 continue to grow. Biomarker discovery for potential blood-based CRC screening tests is gaining
23 momentum and will undoubtedly outpace our efforts to identify and implement the optimal risk
24 assessment strategy for precision prevention in the very near future. Results from the ECLIPSE
25 (Evaluation of ctDNA LUNAR Assay In an Average Patient Screening Episode) study highlight
26 the urgency to appropriately identify high-risk individuals most suitable for colonoscopy rather
27 than the option for a more convenient blood-based test that has lower reported sensitivity and
28 specificity (83% and 13% sensitivity for CRC and advanced adenomas, and 90% specificity).
29 For individuals at higher than average risk for CRC, opting for “up-front” colonoscopy, the test
30 most sensitive for CRC and advanced adenomas, will help to optimize the impact of CRC
31 screening efforts. As more convenient options such as blood tests become available, there is a
32 danger the high-risk patients most likely to benefit from exposure to the most sensitive test may
33 systematically be offered a one-size all approach and miss opportunities for early detection and
34 prevention. While at a population-level we can subscribe to “the best test is the one that gets
35 done” for CRC screening, we have the opportunity to optimize the benefits of CRC screening for
36 early detection and prevention if we select “the right test for the right patient” and increase the
37 diagnostic yield and preventive potential through colonoscopy in high-risk individuals.
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41 Selecting the “right test for the right patient” in the near term can have a narrow focus,
42 with systematic assessment of simple risk factors known to be associated with CRC which are
43 readily available in the EMR or can be easily provided by the patient. Educating patients about
44 their individual risk profile allows them to appreciate why they may be at high risk, that
45 colonoscopy is the optimal screening approach, and how they may benefit from other targeted
46 prevention strategies such as lifestyle modification, including weight loss, dietary changes, or
47 smoking cessation. Knowledge of one’s high-risk profile may also improve adherence to CRC
48 screening. Even if high-risk individuals are “over-identified” and proceed to screening
49 colonoscopy without any detected colorectal neoplasia, they would not warrant further testing
50 for 10 years⁶ (as per current guidelines; 5 years if FDR with CRC), where the relative single-time
51 sensitivity of colonoscopy versus an alternate non-invasive test becomes relevant. Furthermore,
52 colonoscopy will outperform alternate tests (including less expensive FIT) on a per high-risk
53 participant basis for the detection of advanced adenomas and sessile serrated lesions, which
54 account for up to 30% of CRC cases.¹⁰⁶
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58 Another simple way to optimize CRC risk assessment in the present is to increase the
59 identification of individuals with family history of CRC. It is estimated that less than 40% of
60 individuals with family history of CRC have disclosed this information to their healthcare
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4 providers¹⁰⁷; this can be increased with more patient access to the EMR and completing family
5 history information in FDRs, which providers inconsistently obtain and record.^{86,108} The critical
6 importance of family CRC history has been recently elucidated among new CRC cases
7 diagnosed in individuals younger than 50 years. Amongst those diagnosed with early CRC, two
8 registry studies report that approximately 25% of these patients have a family history of CRC
9 which would make them eligible for earlier colonoscopy initiation with the potential for CRC
10 prevention.^{109,110} In addition, 83-98% of patients could have been screened earlier than their age
11 at diagnosis, suggesting a missed opportunity for averted or down-staged cancers.¹¹⁰ Providers
12 have an opportunity to identify those at high risk through family cancer history assessment and
13 can recommend to CRC patients screening guidelines for at-risk relatives that include younger
14 age of colonoscopy initiation.
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18 Given the many potential benefits of risk-based CRC screening discussed in this review,
19 it is incumbent upon the gastroenterology community to conduct well-designed studies that
20 demonstrate the effectiveness of precision approaches. In addition to showing benefits over
21 current one-size-fits-all approaches, these studies must also address feasibility, resources,
22 efficiency, acceptability, cost, and cost effectiveness of risk-based strategies in order to ensure
23 these approaches are generalizable, adaptable, acceptable and equitable across communities,
24 screening programs and healthcare systems. Current ongoing risk-based breast cancer
25 screening studies (i.e., US WISDOM study; European My Personal Breast Cancer Screening,
26 MyPeBS; Canadian PERSPECTIVE I & II; UK PROCAS) could provide examples and
27 cautionary tales of precision cancer screening, understanding that there are unique
28 considerations in CRC screening.
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31 Precision CRC screening has the potential, in theory, to further reduce CRC incidence
32 and mortality through targeted screening with the hope of increasing patient participation and
33 providing the “right test for the right patient”. Achieving precision CRC screening will require
34 accurate and validated risk models, adaptation of model cutoffs depending on different clinical
35 scenarios and settings, buy-in from all stakeholders as well as assessment of practicality,
36 resources, cost and cost effectiveness. While we design studies and await data on
37 comprehensive risk-based CRC screening approaches, we should continue our efforts to
38 provide risk-based screening recommendations based on age and family history that are
39 already part of screening guidelines, as this will prepare us for the brave new world of precision
40 CRC screening in the future.
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Figure 1

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Figure 1. Current and Proposed Precision CRC Screening Paradigms

Figure 1A. Current CRC Screening Paradigm

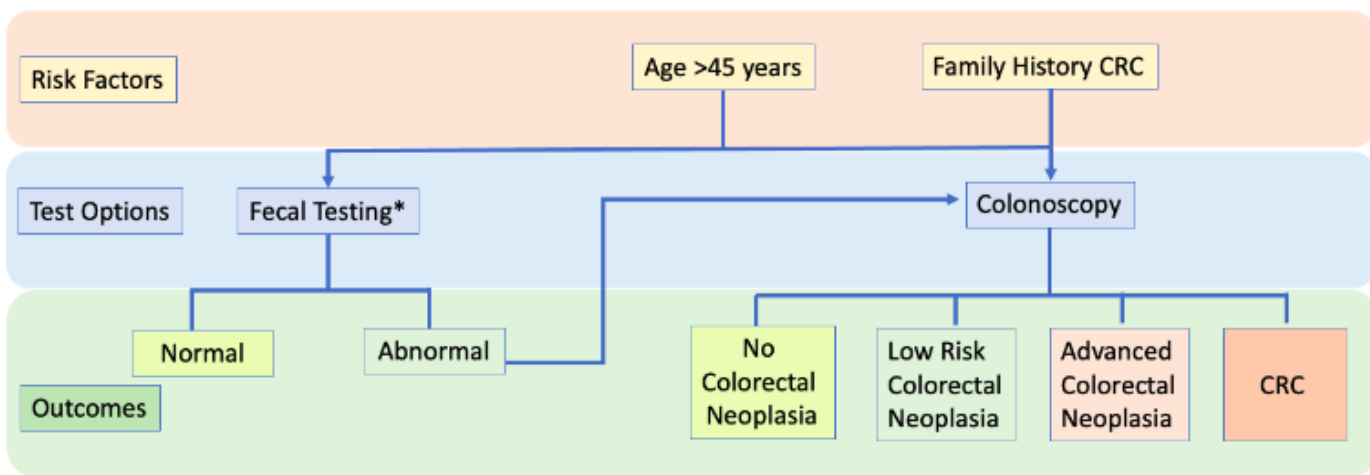
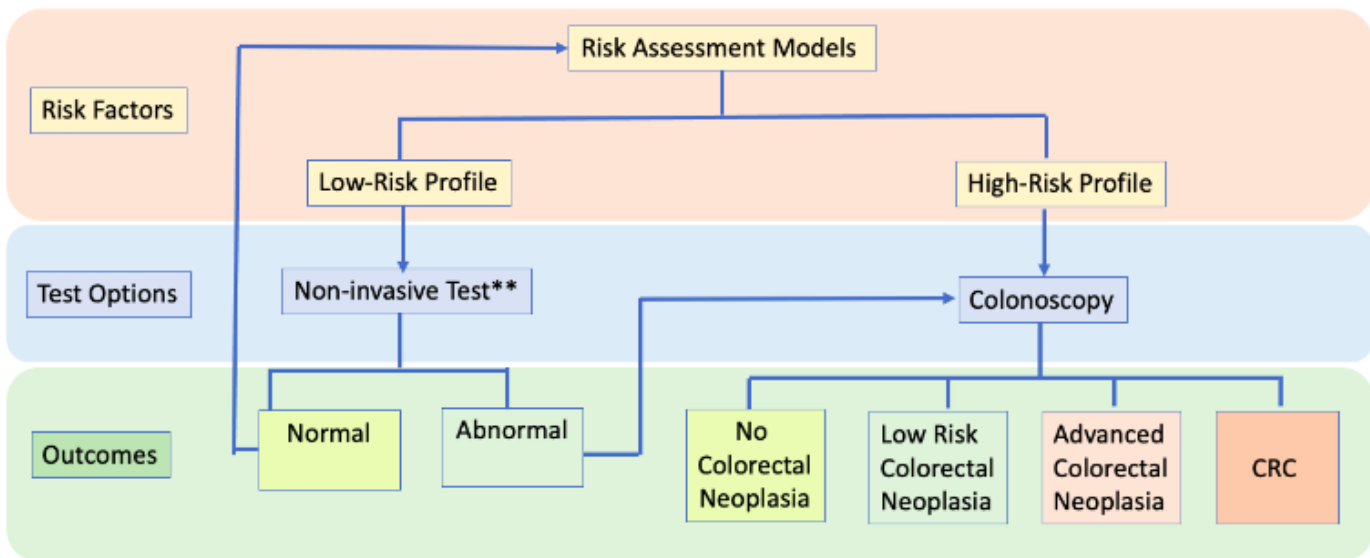


Figure 1B. Risk Assessment for Precision CRC Screening Paradigm



*Fecal testing: Fecal immunohistochemical testing (FIT) or multi-target stool DNA testing;

**Fecal testing or other future non-invasive testing options

[Click here to view linked References](#)

Table 1. Potential Benefits of Risk Assessment for Precision CRC Screening for Patient, Provider and Healthcare System

Patient	Provider	Healthcare system
<ul style="list-style-type: none"> ▪ Improved decision-making and test selection 	<ul style="list-style-type: none"> ▪ Improved decision-making and testing selection 	<ul style="list-style-type: none"> ▪ Optimize utilization/Decrease overutilization
<ul style="list-style-type: none"> ▪ Personalized care 	<ul style="list-style-type: none"> ▪ Improve diagnostic yield of tests based on high-risk profile 	<ul style="list-style-type: none"> ▪ Direct invasive, costly testing to those with high-risk profile
<ul style="list-style-type: none"> ▪ Mitigate unnecessary testing and associated harms 	<ul style="list-style-type: none"> ▪ Mitigate unnecessary testing and associated harms 	<ul style="list-style-type: none"> ▪ Cost-effective approach based on risk-profile
<ul style="list-style-type: none"> ▪ Opportunity for lifestyle modification 	<ul style="list-style-type: none"> ▪ Counsel on lifestyle modification 	<ul style="list-style-type: none"> ▪ Decrease time to testing
<ul style="list-style-type: none"> ▪ Recognize familial risk 	<ul style="list-style-type: none"> ▪ Improve patient adherence ▪ Recognize familial risk 	<ul style="list-style-type: none"> ▪ Improve patient adherence

Table 2. Select Risk Prediction Models for Advanced Colorectal Neoplasia

CRC Risk Model/First Author	Country/Region	Subject number**	Risk Factors	AUC (95% CI), Development	AUC (95% CI), Validation: Internal versus External*
Clinical Models					
Imperiale ¹⁶ , 2021	US	3,025	Age, sex, smoking, alcohol, NSAID, aspirin, metabolic syndrome, red meat, physical activity, education, marital status	0.77	Internal; 0.78
Sekiguchi ⁶³ , 2018	Japan	5,218	Age, sex, FH, BMI, smoking	0.70 (0.67-0.73)	Internal; 0.70 (0.67-0.73)
Hong ⁶⁴ , 2017	Korea	24,725	Age, sex, smoking, alcohol, aspirin	0.72 (0.69-0.74)	Internal; 0.71 (0.69-0.74)
Park ⁶⁹ , 2017	Korea	2,781	Age, sex, HPylori serology, low HDL, high triglycerides	0.74 (0.72-0.76)	Internal; 0.72 (0.70-0.75)
Sung ⁶⁶ , 2018	Hong Kong	3,829	Age, sex, FH, BMI, smoking	0.65 (0.61-0.69)	Internal; 0.65 (0.61-0.69)
Yang ⁶⁵ , 2017	Korea	49,130	Age, sex, FH, BMI, smoking, serum fasting glucose, LDL, CEA	0.73 (0.71-0.75)	Internal; 0.68 (0.67-0.69)
Imperiale ⁶⁷ , 2015	US	2,993	Age, sex, FH, smoking, waist circumference	0.72	Internal; 0.77
Kim ⁶² , 2015	Korea	3,561	Age, sex, FH, BMI, smoking	0.68 (0.61-0.76)	External*; 0.63 (0.59-0.67)

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Schroy ⁶⁸ , 2015	US	3,543	Age, sex, race/ethnicity, FH, smoking, alcohol, height	0.69 (0.66-0.72)	External*; 0.68 (0.66-0.70)
Chen ⁷⁰ , 2014	China	905	Age, sex, cardiovascular disease, bowel movement frequency, egg intake	0.75 (0.69-0.82)	Internal; 0.75 (0.70-0.82)
Kaminski ⁶⁰ , 2014	Poland	17,979	Age, sex, FH, BMI, smoking	0.62 (0.60-0.64)	External*; 0.61 (0.59-0.64)
Tao ⁶¹ , 2014	Germany	7,891	Age, sex, FH, smoking, alcohol, NSAIDs, aspirin, physical activity, prior colonoscopy, history of polyps	0.67 (0.65-0.69)	External*; 0.65 (0.63-0.68)
Cai ⁷⁴ , 2012	China	5,229	Age, sex, smoking, diabetes, consumption of vegetables/specific foods	0.74 (0.72-0.77)	External*; 0.70 (0.61-0.79)
Yeoh ⁷³ , 2011	Asia-Pacific	860	Age, sex, FH, smoking	0.66 (0.58-0.74)	External*; 0.63 (0.60-0.66)
Freedman ⁷⁵ , 2009	US	Case/Control# Colon cancer: 1599/1974; Rectal cancer: 664/859	Age, sex, FH, smoking, BMI, NSAID, aspirin, physical activity, HRT, vegetable intake, prior colonoscopy, prior polyp	Relative and Absolute Risk estimates	External ⁷⁶ ; Women: 0.61 (0.59-0.62); Men: 0.61 (0.60-0.62)
Clinical + FIT Models					
Kortlever ⁸⁴ , 2019	Netherlands	1,112	Age, sex, FIT	0.71 (0.65-0.79)	n/a
Park ⁸¹ , 2019	Korea	3,733	Age, smoking, diabetes, FIT (square root)	0.75 (0.73-0.78)	n/a

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He ⁸⁰ , 2019	China	955	Age, BMI, FH, diabetes, smoking, alcohol, FIT	0.69 (0.65-0.73)	External; 0.74 (0.70-0.78)
Cooper ⁸² , 2018	UK	1,810	Age, sex, previous screening history, FIT	0.69 (0.66-0.71)	n/a
Chiu ⁷⁹ , 2016	Asia-Pacific	5,657	Age, sex, FH, smoking, FIT	Sensitivity 70.6% (AN), 95.1% (CRC)	External; Sensitivity 66.9% (AN), 96.7% (CRC)
Stegeman ⁸³ , 2014	Netherlands	1,112	Age, FH, calcium intake, FIT	0.76	n/a

*Pooled AUC from External Validation⁵⁶, **Development cohort, # SEER=Surveillance, Epidemiology, and End Results; FH=family history, BMI= body mass index, FIT=fecal immunohistochemical testing, LDL=low density lipoprotein, HDL=high density lipoprotein, CEA= carcinoembryonic antigen; AN=advanced neoplasia, n/a=not available.

Table 3. Select Studies of CRC Risk Models that Integrate Genetic Risk

Model/First Author	Country	Case/ Controls, n	SNPs, n	Risk Factors	AUC (95% CI) without SNPs	AUC (95% CI) with SNPs
Balavarca ⁸⁹ , 2019	Germany	291 CRC, 236 non-advanced adenomas/ 487 controls	39	Age, sex, FH, smoking, alcohol, red meat, NSAIDs, history of colonoscopy or polyps	0.58 (0.55-0.62)	0.62 (0.58-0.65)
Jeon ⁸⁸ , 2018	Europe	4,875/5291	63	Age, sex, FH, height, BMI, smoking, alcohol, diabetes, NSAID, Aspirin, HRT, physical activity, dietary factors, education	Men: 0.60 (0.59-0.61); Women: 0.60 (0.59-0.61)	Men: 0.63 (0.62-0.64); Women: 0.62 (0.61-0.63)
Smith ⁹¹ , 2018	UK	1294/286,877 (Wells model)	41	Age, FH, BMI, smoking, alcohol, diabetes, multivitamin, NSAID, red meat, HRT, physical activity, education	0.68 (0.67-0.69)	0.69 (0.65-0.68)
Weigl ⁹² , 2018	Germany	294 (advanced neoplasia), 249 (non-advanced adenomas)/ 500 controls	48	Age, sex, BMI, history of colonoscopy, physical activity	0.615	0.665
Ibanez-Sanz ⁹³ , 2017	Spain	1,336/2,744	21	FH, BMI, alcohol, red meat, vegetables, NSAID, Aspirin, physical activity	0.61 (0.59-0.64)	0.63 (0.60-0.66)

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Hsu, 2015 ⁹⁰	Europe/US	12,113	27	Age, sex, FH, history of colonoscopy	Women: 0.52 (0.50-0.55); Men: 0.51 (0.48-0.53)	Women: 0.56 (0.51-0.61); Men: 0.59 (0.54-0.64)
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SNP= single nucleotide polymorphism, AUC= area under the receiver operator curve, FH=family history, BMI=body mass index, NSAID=nonsteroidal anti-inflammatory drug; HRT=hormone replacement therapy

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Table 4. Potential challenges and opportunities related to predictive CRC risk models and implementation of precision CRC screening programs

CRC Prediction Models
<ul style="list-style-type: none"> ▪ Need for reliable and accurate models to mitigate misclassification ▪ Use of complex versus simple models and consideration for risk thresholds ▪ Development and validation of models inclusive of racially and ethnically diverse populations worldwide ▪ Translation of risk profile into clinically actionable recommendations ▪ Integration of genomic and other “omic” data over time ▪ Integration of exposure to colonoscopy and impact of results into risk profile ▪ Patient and provider understanding and acceptability of risk profiling and directed decision-making
Implementation of precision CRC screening programs
<ul style="list-style-type: none"> ▪ Feasibility and sustainability of integrating predictive CRC risk assessment ▪ Integration of risk factors and models into EMR ▪ Data collection and data integrity ▪ Cost and availability of resources for universal implementation of precision CRC screening programs ▪ Availability and delivery of colonoscopy for individuals with high risk profile and those with low risk and positive initial screening test ▪ Provider “buy in” and engagement by primary care physicians ▪ Stakeholder engagement at national, regional and community levels