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Repair and regeneration of the respiratory system: complexity, plasticity, and mechanisms of lung stem cell function

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Abstract

Respiratory disease is the third leading cause of death in the industrialized world. Consequently, the trachea, lungs, and cardiopulmonary vasculature have been the focus of extensive investigations. Recent studies have provided new information about the mechanisms driving lung development and differentiation. However, there is still much to learn about the ability of the adult respiratory system to undergo repair and to replace cells lost in response to injury and disease. This review highlights the multiple stem/progenitor populations in different regions of the adult lung, the plasticity of their behavior in injury models, and molecular pathways that support homeostasis and repair.

INTRODUCTION

The reparative behavior of adult tissues falls along an injury-response spectrum. At one end of the scale are tissues such as the epidermis, intestine, and hematopoietic system with a constitutively high rate of cell turnover and a well-delineated stem/progenitor cell hierarchy. At the other end are organs like the heart and brain that contain few stem cells and cannot repair efficiently, resulting in scarring after injury. In between these two extremes are tissues such as the lung, liver, and pancreas that have a low steady state cell turnover yet can respond robustly after injury to replace damaged cells. This remarkable capacity has prompted studies into the mechanisms that mediate inducible repair, as well as strategies to harness them therapeutically. This review, written by members of the NIH funded Lung Repair and Regeneration Consortium (LRRRC; www.lungrepair.org) has three goals: first, to provide an overview of the stem/progenitor cells that build the respiratory system and their descendants that repair the adult organ, second, to survey some of the molecular pathways regulating lung stem/progenitor populations, and, third, to highlight recent discoveries in lung regeneration biology, including bioengineering of the lung.

STEM/PROGENITOR POPULATIONS IN LUNG DEVELOPMENT

The mammalian respiratory system consists of a tree-like arrangement of branched airway tubes connected to a single trachea and terminating in millions of delicate and highly vascularized gas-exchange units known as alveoli (Figure 1). The epithelium lining the whole system is continuous, and initially arises from a small region of anterior ventral foregut endoderm, marked by the transcription factor Nkx2.1. By the time the organ is mature the epithelium differs significantly along the proximal-distal axis, both in cellular composition and structural organization and, related to this, in stem cell composition and strategies for repair. Most of the lung mesenchyme likewise arises from a small population of mesoderm cells that will generate airway and vascular smooth muscle, cartilage, myofibroblasts, lipofibroblasts, and pericytes. The development and patterning of lung endoderm and mesoderm has been the topic of several comprehensive reviews (Cardoso and Whitsett, 2008; HERRIGES and MORRISSEY, 2014; MORRISSEY and HOGAN, 2010; ORNITZ and YIN, 2012; Shi et al., 2009), and only recent highlights are discussed here.

From the point of view of regenerative biology there are multiple reasons why studying lung development is important. For example, some preterm babies are born at the stage of lung

development when progenitors of alveolar stem cells are being laid down (Blackwell et al., 2011). Perinatal infections and inflammation that disrupt alveologenesis and cause bronchopulmonary dysplasia (BPD) may therefore have long-term consequences that might be avoided if we knew more about underlying mechanisms. More detailed information about the molecular identity of different cell types and their lineage specification can also inform strategies for generating lung cells *ex vivo* from pluripotent stem cells and provide new tools to mark and follow the behavior of stem/progenitor cells in models of human lung disease.

Branching morphogenesis and proximal-distal patterning of the epithelium occur early in lung development

Perhaps the best-studied phase in lung development to date is the process of branching morphogenesis by which the two primary lung buds that arise around E(embryonic) day 9.5 in the mouse and 4–5 weeks gestation in the human give rise to the airway tree. The buds are composed of a simple endodermal epithelium, surrounded by mesoderm and a vascular plexus. These tissues are encased in a thin layer of mesothelium that makes a transient early contribution to mesenchymal lineages (Dixit et al., 2013). The buds extend and branch in a pattern that is initially very stereotypic but becomes less so as development proceeds (Metzger et al., 2008; Morrisey and Hogan, 2010; Short et al., 2013). All lung endodermal cells initially express *Nkx2.1* and this marker persists into the adult. However, as the primary buds extend and branch, distinct patterns of gene expression emerge in the endoderm of the stalks versus the buds. This proximal-distal difference is exemplified by the expression domains of *Sox2* and *Sox9* - two transcription factors required for early lung development. *Sox2* expression is confined to the proximal stalks, while *Sox9* is dynamically expressed in the more highly proliferative cuboidal cells of the distal buds (Chang et al., 2013; Rockich et al., 2013). Many other genes are differentially expressed in the tips, including *Id2* encoding a bHLH transcription factor (Alanis et al., 2014; Herriges et al., 2012; Rawlins et al., 2009a). Original lineage labeling experiments suggested a model in which the *Id2*⁺ tip cells are a population of multipotent progenitors (Rawlins et al., 2009a). Early in development the tip cells generate daughters that translocate into the stalks and give rise to *Sox2*⁺ precursors of all the cell types in the intrapulmonary bronchi and bronchioles. These progeny include neuroendocrine cells, multiciliated cells, and dome shaped secretory cells (originally called Clara cells but now known as Club cells), and evidence suggests that notch signaling plays a key role in the patterning and specification of these different cell types (Morimoto et al., 2012; Tsao et al., 2009). Later in development the *Id2*⁺ tip cells give rise to alveolar cell types. More recent lineage tracing studies with a *Shh-CreER* allele support the idea that tip cells are multipotent progenitors, although whether activity of this cre driver is restricted to the distal tip at this stage of development was not directly tested (Desai et al., 2014). Future studies using *Sox9-CreER* and other progenitor cell-specific drivers should further refine our understanding of the multipotency of distal tip cells.

Alveologenesis- a critical stage in preparing the lung for gas-exchange

Around E15.0, branching morphogenesis slows and important changes takes place in the distal epithelium (Figure 2). The tubes become narrower or “canalicular” and more closely associated with surrounding vasculature. Cells in the distal part of the tubules begin to express genes characteristic of the two epithelial cell types that make up the mature alveoli,

namely the type 1 cells (AEC1s, that express *Hopx*, *Pdpn* and *AGER*) and type 2 cells (AEC2s, that express proteins associated with high levels of surfactant production and secretion)(Treutlein et al., 2014). Subsequently, the tubules enter the “saccular” phase that involves the “budding” of tiny peripheral sacs separated by primary septa. Postnatally, these sacs are further subdivided by secondary septa (Figure 2). Lineage tracing suggests the presence of bipotential cells in the tips of the distal tubules that can give rise to both AEC1 and AEC2 cells (Desai et al., 2014). There has been progress in defining more precisely the intermediate progenitors in the lineages between bipotential cells and mature AEC1 and AEC2 cells and single cell RNA-seq analysis has emerged as a powerful tool in this endeavor (Chang et al., 2013; Desai et al., 2014; Treutlein et al., 2014). A major question for the future is the nature of the signals that control the onset of the canalicular and saccular stages. Roles for signals from the adjacent mesoderm have been proposed, including glucocorticoids, retinoic acid, *Fgf*, parathyroid hormone, and insulin like growth factor 1 (Alanis et al., 2014; Chang et al., 2013; El Agha et al., 2014; Epaud et al., 2012; Moreno-Barriuso et al., 2006; Ramirez et al., 2000). Signals from the vasculature may play important roles too, given the close association between endothelium and endoderm at this time (Figures 2).

Development of the alveoli does not stop at birth; their number and surface area increases dramatically postnatally and continues for weeks (mice) and months (humans) with the formation of new secondary septa (Figure 1B and 2). The secondary septa arise from ridges on the wall of the alveolar sacs and the capillaries within them undergo remodeling by mechanisms that are poorly understood. The capillary meshwork also increases in size by a process known as intussusceptive microvascular growth (Burri et al., 2004). Only a very thin layer of matrix, a shared basal lamina, eventually separates AEC1s and the capillary endothelial cells. Stromal cells also move into the septa and differentiate into pericytes, lipofibroblasts, myofibroblasts, and other poorly defined lineages. Lipofibroblasts appear to associate closely with AEC2s while myofibroblasts lay down elastin fibers at the tip of the septa and in the stalks. These fibers form an integrated network throughout the alveolar region, providing a flexible and elastic scaffold that is critical for maintaining lung function and for keeping the small terminal airways and alveolar ducts open (Weibel, 2013). Mechanical forces and physical stress are emerging as key regulators of alveolar development, maintenance, repair and regrowth (reviewed in (Hsia et al., 2004; Wirtz and Dobbs, 2000).

Embryonic mesoderm contributes to lung development and promotes epithelial differentiation

The mesodermal component of the lung primordium plays multiple roles in lung development (reviewed in (Herriges and Morrissey, 2014). The cells around the distal tips function as a critical signaling population producing *Fgf10* and other signaling molecules that drive outgrowth of the distal buds and branching morphogenesis. This early mesoderm also contains progenitors for specific adult cell populations of the adult lung. A major focus of recent studies is to understand how these mesodermal derivatives contribute to adult lung function and to regeneration and repair. Recent cell lineage tracing experiments have demonstrated that the early mesoderm harbors a cardiopulmonary mesoderm progenitor

(CPP) that expresses Wnt2/Gli1/Isl1 and can generate both cardiac and lung mesodermal derivatives including cardiomyocytes, endocardium, pulmonary vascular and airway smooth muscle, and pulmonary Pdgfr β + pericyte like cells (Peng et al., 2013). As development proceeds, CPPs lose their ability to contribute to the various lung mesodermal derivatives and by the end of gestation can only make Pdgfr β + pericyte-like cells but not smooth muscle or endothelium. This same study also showed that the bulk of the vascular endothelial plexus in the alveolar region is derived from preexisting embryonic endothelium, likely through an angiogenic process (Peng et al., 2013). Since Wnt2+ and Gli1+ cells persist in the adult lung, an important question is whether these cells play a role in regeneration after injury. Gli1 is a down-stream effector of hedgehog signaling and components of this pathway have been associated in GWAS studies with chronic obstructive pulmonary disease (COPD) (Pillai et al., 2009; Wang et al., 2013a; Wilk et al., 2009). Therefore, the hedgehog pathway and Gli1+ cells may play a role in adult lung homeostasis and injury response by controlling mesodermal expansion.

Lineage tracing studies have also shown that the Fgf10 producing cells of the distal lung give rise to multiple mesodermal components in the adult, including airway smooth muscle and alveolar lipofibroblasts (El Agha et al., 2014). There is evidence that Fgf10 is reactivated in parabronchial smooth muscle after injury, including exposure to naphthalene and may promote the reparative process (Volckaert et al., 2011).

Previous work has established a requirement for Pdgfra expressing cells in the distal embryonic lung for the development of alveolar myofibroblasts (Bostrom et al., 1996). Recent studies have indicated that Pdgfra is dynamically expressed in alveolar myofibroblasts during regrowth after pneumectomy (Chen et al., 2012b). Pdgfra also marks a population of lipofibroblast-like cells that are spatially associated with AEC2 cells and likely form part of the alveolar stem cell niche (Figure 2; (Barkauskas et al., 2013)). Studies such as these have begun to address some of the outstanding questions about the origin, heterogeneity and function of lung mesodermal cells and how they interact with the various epithelial components to establish and maintain normal lung function and contribute to repair.

Applying developmental pathways to derive lung progenitors from pluripotent stem cells

One area of research where developmental studies are having a big impact is in the derivation of lung cells from pluripotent stem cells (PSCs). Several groups have demonstrated that step wise application of signaling factors in a manner that mimics the sequence of events during early anterior foregut and lung development is critical for deriving lung endoderm progenitors from mouse and human PSCs. Key pathways include those downstream of activin/nodal, Wnts, Bmps, and Fgfs (Ghaedi et al., 2013; Huang et al., 2014; Longmire et al., 2012; Mou et al., 2012; Wong et al., 2012). PSC-derived lung endoderm can be used for basic studies of diseases such as cystic fibrosis and potentially for cell-based therapies. This area of research will undoubtedly continue to be an important focus in lung regeneration research.

REGIONAL EPITHELIAL STEM/PROGENITOR CELL POPULATIONS MEDIATING ADULT LUNG HOMEOSTASIS AND REGENERATION

Three interrelated concepts have emerged from recent studies on adult lung reparative cell biology. The first is that depending on the composition and organization of the respiratory epithelium distinct regions of the lung contain different populations of epithelial cells that function as adult stem cells, as defined by their ability to undergo long term self-renewal and give rise to different cell types during homeostatic turnover or cell replacement after injury. The second is that lung cells exhibiting stem/progenitor activity are not necessarily undifferentiated. While Trp63+ basal cells in the pseudostratified mucociliary epithelium are morphologically simple, AEC2 cells in the alveoli and Club cells in mouse bronchioles, both of which function as long-term stem cells, also express genes associated with specialized functions, such as surfactant protein synthesis and secretion and glycoprotein production, respectively. The third concept is that in response to tissue damage, epithelial cells that express markers of one differentiated cell type can, under certain circumstances, change their phenotype and give rise to cells that either transiently or stably express markers characteristic of another cell type. In some cases lineage tracing experiments have shown that this phenotypic switching or plasticity involves a process of “de-differentiation” to a less specialized, multipotent and proliferative intermediate, followed by re-differentiation. In other cases the precise steps involved have not yet been identified and it remains possible that the phenotypic switch is “direct” and does not involve a specific undifferentiated and proliferative intermediate. Phenotypic plasticity is not unique to the lung and de-differentiation or trans-differentiation apparently occur quite frequently in response to adverse events in various tissues (Blanpain and Fuchs, 2014; Fuhrmann et al., 2013). Importantly, the process can be strongly influenced by the particular kind of damage sustained, whether it is acute or chronic, and whether it involves inflammation or immune modulation.

Modern studies of stem cell behavior, including investigation of plasticity in the physiological context of an adult tissue undergoing repair, are heavily dependent on the technique of lineage tracing (Blanpain and Simons, 2013). In the following sections we summarize some of the recent findings about epithelial stem/progenitor cell lineages in different regions of the adult lung, focusing on studies based on rigorous lineage labeling strategies.

Stem and progenitor cells of the pseudostratified mucociliary airway epithelium contribute to repair and regeneration

Most of the airways of the human lung, down to about 1.0 –1.5 mM in diameter are lined by a pseudostratified mucociliary epithelium containing multiciliated, secretory, tuft and neuroendocrine cells, as well as a population of basal cells (BCs) that are tightly attached to the basal lamina through hemidesmosomes containing $\alpha6\beta4$ integrin. The height of the luminal cells and properties such as the proportion of goblet and different secretory cells and the density of basal cells, vary along the proximal-distal axis. Underlying the epithelium are blood vessels, smooth muscle, cartilage, stromal fibroblasts and nerves. As shown in Figure 1 and schematically in Figure 3, the normal organization of the mucociliary epithelium is

disrupted in common pathological conditions. In the case of mucus hyperplasia there are many more goblet cells than normal, while in squamous metaplasia there are multiple layers of basal cells that give rise to keratinized squamous cells. Both mucus hyperplasia and squamous metaplasia are seen in the condition known as chronic obstructive pulmonary disease (COPD).

A similar basic organization of pseudostratified mucociliary epithelium and underlying mesenchyme is found in the mouse trachea (which is about 1.5 mm in diameter), extralobar bronchi and the first 2–3 generation of branches along the intralobar main axial pathway. These regions therefore provide an experimental platform for modeling human airways (Rock et al., 2010) (Figure 3). The BCs of the mouse proximal airways characteristically express Trp63, Ngfr, podoplanin (Pdpn, also known as T1alpha), the alpha 1–3 gal epitope that binds GSIB4 lectin, and cytokeratin (Krt)5 (Paul et al., 2014; Rock et al., 2009; Tata et al., 2013). At steady state about 20% of BCs also express Krt14 (Cole et al., 2010). This cytokeratin is also constitutively expressed in BCs lining the ducts of submucosal glands (that are not considered further here) and is upregulated in most Krt5 BC cells during repair (Hong et al., 2004; Wansleeben et al., 2014). The number and proportion of Krt5 basal cells declines with age in the mouse trachea, along with other significant changes in epithelial architecture and composition of stromal immune cells (Wansleeben et al., 2014). The consensus from *in vivo* lineage tracing experiments is that BCs are stem cells that self-renew over the long term and give rise to ciliated and secretory luminal cells during postnatal growth, homeostasis and epithelial repair following loss of luminal cells (Figure 3). Different experimental models have been developed to induce this loss in the mouse. For example, exposing mice to toxic gases (SO₂, or chlorine) or to detergent kills all proximal luminal cells, while systemic naphthalene kills Club cells in the trachea as well as the bronchioles. There are many questions about BCs that have not yet been fully answered. For example, we do not know whether all Trp63+/Krt5+ BCs are multipotent, with the same reparative capacity, or whether there are subsets that are quiescent (for example Krt5+ cells versus Krt5/Krt14+ cells) or have intrinsically different potentials. These questions await quantitative, single cell clonal analysis under both steady state and different reparative conditions. In addition, we do not know the precise mechanisms by which BCs give rise to ciliated and secretory cells and what environmental signals affect the fate decision. One model, based on studies of the intestinal stem cells of the *Drosophila* larval midgut (Lucchetta and Ohlstein, 2012; Ohlstein and Spradling, 2007) is that BCs give rise to Trp63–/Krt5+/Krt8+ early progenitors or “intermediate” cells that can proliferate and give rise to either secretory or ciliated cells depending on local signals, including the level of intracellular notch signaling (Guseh et al., 2009; Rock et al., 2011b). Recent studies in the human lung have described a powerful non-invasive lineage tracing methodology for addressing the potential of individual airway progenitor cells to self-renew and differentiate (Teixeira et al., 2013). The results are consistent with a model in which BCs are a population of multipotent progenitors that self-renew and/or differentiate stochastically to maintain tissue homeostasis. However, they do not rule out the existence of a quiescent stem cell pool that is only activated after injury.

One question of practical relevance for regenerative therapies in the human lung, is whether BCs are the only cell that can efficiently repair the pseudostratified epithelium or whether

differentiated cells can become BCs under certain conditions. This question has been addressed using the rodent trachea as a model. Pioneering studies by Randell and colleagues using denuded rat tracheas suggested that GSIB4 lectin negative luminal cells were just as able to regenerate the entire epithelium as lectin+ basal cells (Liu et al., 1994). Subsequent lineage tracing studies showed that secretoglobin 1a1 (Scgb1a1) expressing secretory cells can give rise to Krt5+ BCs after SO₂ induced injury but the frequency of conversion was very low, probably because mostly BCs survive this injury (Rawlins et al., 2009b). To overcome this problem, Rajagopal and colleagues used a strategy to specifically kill Krt5+ BCs in the mouse trachea in vivo (Tata et al., 2013). Under these conditions they found that differentiated Scgb1a1+ or Atpv1b1+ lineage labeled secretory cells can undergo dedifferentiation into Trp63+/Krt5+ BCs. These BCs persist over the long term and behave like normal Krt5+ stem cells. An important question raised by all these studies concerns the mechanisms that normally constrain the potential plasticity of secretory cells. Data from the studies by Tata et al using in vitro culture experiments suggest that contact with BCs prevents luminal cells from dedifferentiation but the precise mechanisms driving reprogramming and subsequent stem cell function need further study.

How basal and luminal cells repopulate an airway denuded of epithelium by injury has considerable relevance to proposals to bioengineer replacement lungs or airway segments by seeding cells into de-cellularized lung “scaffolds” (see later section). Evidence from in vivo injury/repair models suggests that if the basal lamina is not completely covered by BCs the underlying stroma proliferates out of control and gives rise to granulation tissue containing fibroblasts and immune cells and blocks the airways (O’Koren et al., 2013). This finding suggests that there is normally a tight interplay between the airway epithelium and the underlying stroma that keeps fibrosis in check. Identifying the factors that mediate this signaling may not only inform strategies for bioengineering replacement parts but also provide insights into respiratory disorders in which fibrosis restricts small airways in the human lung such as bronchiolitis obliterans (Figure 1).

Lung injury models reveal differential regenerative capacities of epithelial cells of the mouse bronchioles

The small intralobar airways of the mouse lung are called bronchioles; they do not have cartilages or a dedicated systemic blood supply and are surrounded by airway smooth muscle and fibroblasts (Figures 1 and 4). The epithelial lining is a simple cuboidal epithelium containing Foxj1+ multiciliated cells and neuroendocrine cells that are usually clustered into neuroendocrine bodies (NEBs). The bronchioles also contain a heterogeneous population of secretory cells that are still not fully defined. The best studied are called Club cells (previously known as Clara cells) and in the mature state have a characteristic domed appearance with vesicles containing the secretoglobin Scgb1a1 (also called CCSP or CC10). There has been recent progress in identifying new markers for Club cells that can be used to follow their development and functional heterogeneity (Guha et al., 2014). Club cells immediately adjacent to NEBs are resistant to naphthalene-induced depletion and characteristically express low levels of Scgb1a1 but high levels of Scgb3a2 and uroplakin 3a (Upka3) (Guha et al., 2012).

Using standard immunohistochemical analysis, the bronchioles of the mouse lung do not appear to contain basal cells, but the presence of rare Trp63+ cells has not been ruled out. The transition from bronchioles to alveolar sacs in the mouse lung is known as the bronchioalveolar duct junction (BADJ). It contains a few cells (<1 per BADJ) that co-express Scgb1a1 and surfactant protein C (Sftpc) proteins and are proposed as putative bronchioalveolar stem cells (BASCs) (Kim et al., 2005) (Figures 3 and 4). It should be noted that the transition between terminal bronchioles and alveoli is quite different between mouse and human lungs (Figure 1)

Turnover of mouse bronchiolar epithelium is normally quite low but lineage tracing studies have established that Scgb1a1+ cells do self-renew and give rise to ciliated cells over the long term (Rawlins et al., 2009b). Thus, the Scgb1a1+ population meets the definition of long-term stem cells, although demonstrating a differentiated phenotype. Moreover, as discussed here and in the next section there is now evidence that the proliferation and phenotype of Scgb1a1+ Club cells can change in response to certain injury/repair models (Figures 4 and 5).

Systemic treatment of mice with naphthalene kills Club cells that express the cytochrome Cyp2f2. Ciliated cells spread to cover denuded matrix but do not proliferate and the epithelium is restored by the proliferation of naphthalene resistant Club cells, including those adjacent to NEBs and in the BADJ (Giangreco et al., 2002; Guha et al., 2014). It has been suggested that the NEBs provide a specific niche for reparative Club cells. However, deletion of neuroendocrine cells did not affect the ability of Club cells to regenerate after naphthalene injury (Song et al., 2012). Lineage tracing studies using a *Cgrp-CreER* allele have provided evidence that differentiated neuroendocrine cells can proliferate after naphthalene-induced loss of Club cells and give rise to lineage labeled Club and ciliated cells. This conclusion is tempered by the fact that the wash-out period between tamoxifen administration and naphthalene treatment was very short, so that in these experiments Club cells up-regulating *Cgrp* might have been lineage labeled (Song et al., 2012).

The damage caused by naphthalene treatment is relatively specific and appears to be rapidly repaired. However, much more extensive damage is caused in the mouse lung by H1N1 influenza viral infection (Kumar et al., 2011). Although there is clinical evidence for repair of the human lung after acute influenza infection (Toufen et al., 2011) precise mechanisms are still poorly understood. In the mouse it appears that a quite unexpected level of plasticity can be evoked in epithelial cells in response to signals expressed following such viral infection. Immunohistochemistry of mouse lung following infection shows the presence of epithelial “pods” containing Krt5+ Trp63+ basal-like cells both around the bronchioles and within the alveoli in the areas of greatest damage (Kumar et al., 2011) (Figure 3). Lineage tracing experiments using an *Scgb1a1-CreER* allele have suggested that the “pods” arise from Club cells. However, in these experiments the wash-out period between administration of Tamoxifen and viral infection was again quite short (7 days). Consequently, an Scgb1a1 negative cell activated by the injury might have been labeled if it transiently up-regulated *Scgb1a1* early during the repair process (Zheng et al., 2014). Alternatively, the pods may arise from as yet uncharacterized progenitor populations in the bronchioles and/or from AEC2 cells in the alveoli (Xian and McKeon, 2012). Krt5+ “pods” have been identified in

mouse lungs following bleomycin injury (Zheng et al., 2014) but, again, their origin is not yet resolved. Given the high relevance of influenza infection to human health this is an important area of research. More information is needed about the extent to which the Krt5 reparative cells do in fact contribute to alveolar repair, how this is achieved, and whether the same cell type(s) plays a role in the human lung.

The importance of injury models for studying stem and progenitor cells of the alveolar compartment

The major epithelial cell types of the gas exchange region are cuboidal AEC2s specialized for surfactant protein production and secretion, and flat, highly extended AEC1s, specialized for gas exchange (Figure 5). Cell turnover is normally very low, but numerous studies have shown changes in cell behavior, including proliferation, normal differentiation and phenotypic plasticity, in response to a variety of injury models. These include exposure to nitric oxide, high levels of oxygen (hyperoxia), the chemotherapy drug bleomycin, cigarette smoke, irradiation, and viral infection.

Alveolar epithelial type 2 cells (AEC2)—Studies more than 40 years ago with H³ thymidine labeling showed that AEC2s in adult monkeys and rats proliferate in response to injury by hyperoxia and nitric oxide and give rise to AEC1s (Evans et al., 1975; Kaplan et al., 1969). This capacity for self-renewal and differentiation of adult AEC2s has been confirmed by recent in vivo genetic lineage tracing studies using Cre recombinase driven by genes associated with differentiated functions such as *Sftpc* and *Lyz2* (*LysM*) (Barkauskas et al., 2013; Desai et al., 2014). In steady state, there is relatively little clonal expansion of individual AEC2s and very little differentiation into AEC1s. After injury of the alveolar region by bleomycin (Rock et al., 2011a) and by hyperoxia (Desai et al., 2014), the rate of differentiation of lineage labeled AEC2s into AEC1s is much higher. To follow this regenerative capacity in the absence of confounding fibrosis a new model of lung injury was developed in which about 50% of the AEC2 cells are specifically killed by induced expression of diphtheria toxin. Lineage tracing, coupled with new imaging techniques, showed that surviving AEC2 cells undergo clonal expansion, with daughter cells dispersing among neighboring alveoli, most likely by active migration (Barkauskas et al., 2013). The rate of differentiation into AEC1s is low, presumably because this cell population is not damaged.

These observations raise several interesting questions. For example, is there heterogeneity in the AEC2 population or do they all have the same capacity for self-renewal and differentiation? Some evidence for heterogeneity comes from the fact that about 10% of adult AEC2s are lineage labeled in vivo using an *Scgb1a1*-CreER “knock-in” allele and give rise to adenocarcinomas after *Kras* activation (Xu et al., 2012). In addition a recent report suggests that an *Sftpc*⁻/integrin beta 4⁺ cell type exists in the alveoli that can generate *Sftpc*⁺ AEC2 and AEC1 cells after injury (Chapman et al., 2011). Another important question is the nature of the niche in which AEC2s reside and whether it produce specific survival and homing signals after loss of AEC2s. To address this question, assays have been developed for clonal expansion of lineage labeled AEC2s in 3D organoid culture. In this assay, AEC2s only proliferate in the presence of *Pdgfra*⁺ stromal cells and they form “alveolopheres”

containing lineage labeled AEC1s expressing a number of markers, including podoplanin, *Hopx*, *AGER*, and Aquaporin 5 (Barkauskas et al., 2013). Similar assays are being used to identify factors made by other mesodermal lineages such as endothelial cells that together with the fibroblasts likely comprise the alveolar stem cell niche (Lee et al., 2014). In turn, understanding how AEC2s regulate the behavior of the niche is also important and recent studies suggested a role for BMP4 and thrombospondin in the reciprocal interaction between epithelial progenitors and endothelial cells (Lee et al., 2014).

It has been hypothesized that AEC2s that are stressed due to cellular damage or aging are unable to undergo self-renewal and generation of AEC1s but instead signal fibroblastic proliferation, or at least fail to keep stromal proliferation in check. In the human, stress may be caused by genetic mutations resulting in unfolded surfactant proteins. In the disease dyskeratosis congenita, environmental stimuli such as smoking or dust combined with a relative stem cell deficiency due to lack of telomerase components results in pulmonary fibrosis (Noble et al., 2012). Identification and characterization of the signals generated by AEC2s that keep stromal populations in a quiescent state will be important for future development of therapies for fibroproliferative diseases such as idiopathic pulmonary fibrosis and bronchiolitis obliterans.

Alveolar epithelial type 1 cells (AEC1)—Previous studies have suggested that AEC1s normally have a limited proliferative capacity *in vivo* and can modulate their identity when cultured *in vitro* (Danto et al., 1995; Evans et al., 1973). In the future, novel tools including inducible Cre lines made using genes such as *Hopx* and *AGER* expressed in mature AEC1s (Barkauskas et al., 2013; Takeda et al., 2013; Treutlein et al., 2014) may allow the ability of these cells to proliferate and give rise to AEC2 cells in specific injury models to be addressed unambiguously. The potential role of changes in AEC1s, AEC2s and mesenchymal cells in the development of smoking- and age-related emphysema, in which there is a decline in the number of AEC2s and simplification of alveoli (Figure 1), is also an important avenue of inquiry.

REMODELING AND REGROWTH OF THE ALVEOLAR REGION AFTER PNEUMONECTOMY AND BLEOMYCIN INJURY

Upon reaching adulthood, cessation of rib cage expansion coincides with the cessation of lung growth in large mammals. Thereafter, re-initiation of lung growth/regeneration requires changes in mechanical signals and the availability of space for the lung to grow. This can be provided experimentally by the process of unilateral pneumonectomy (PNX) (Dane et al., 2013; Ravikumar et al., 2013; Ravikumar et al., 2014). In this procedure in mice the single left lobe is removed, leaving the four right lobes intact. This results in the regrowth or “re-alveolarization” of the remaining lungs. New lobes are not added but there is a dramatic increase in alveolar number through addition of new septa and a restoration in respiratory capacity in the remaining lung, within 2–3 weeks for rodents. New alveolar tissue is preferentially laid down at the periphery of the lung (Foster et al., 2002; Massaro and Massaro, 1993) where mechanical strain of the septa is accentuated due to a relative lack of bronchovascular support (Yilmaz et al., 2011). Evidence exists for proliferation and changes

in the behavior of multiple cell lineages in the PNx model, including bronchiolar cells, AEC2s, endothelial cells and Pdgfra+ stromal cells (Chen et al., 2012b; Eisenhauer et al., 2013; Hoffman et al., 2010; Hsia, 2004; Thane et al., 2014; Voswinckel et al., 2004).

Evidence points to the increase in proliferation following PNx being regulated by a combination of signaling pathways, matrix composition and remodeling, especially related to elastin, and mechanical forces. Early studies suggested that EGF and FGF signaling promote post-PNx alveolar regeneration (Kaza et al., 2002; Kaza et al., 2000) and this has been supported by later work focusing on the role of pulmonary capillary endothelial (PCE) cells in producing paracrine growth factors (Ding et al., 2011). After PNx, there is increased proliferation of PCEs, and their activation of VEGFR-2 and upregulation of FGFR1 and MMP-14 are necessary for the restoration of lung mass and function. Despite these intriguing observations, the precise cellular mechanisms that result in the dramatic increase in alveolar number and lung capacity remain unclear. Detailed anatomical studies, including scanning electron microscopy of vascular casts, suggest that alveolar number increases by the ingrowth of crests of tissue containing capillaries and stromal cells from the walls of preexisting alveoli, a process that mimics normal postnatal development (Figure 2) (Ackermann et al., 2013). Elucidation of the mechanisms that drive regrowth, and how they are attenuated as lung capacity is restored, may suggest potential therapeutic interventions for when repair does not occur properly. However, it will first be important to translate findings with small rodent models to larger animal models with different mechanical and growth conditions in their lungs (Hsia, 2004; Thane et al., 2014).

An injury model that is widely used in research is exposing mice to intratracheal bleomycin, a chemotherapy drug that causes damage to multiple cell types in the alveoli, including AEC1 and endothelial cells. One of the consequences of bleomycin exposure is a transient disruption of alveolar architecture and fibrosis, together with the appearance many myofibroblasts and AEC2s with abnormal morphology (Figure 5). Lineage tracing studies in this injury model have shown two main sources of reparative AEC2s and AEC1s. Some of them are derived from Sftpc+ AEC2s in the alveoli, while others are derived from differentiated Scgb1a1+ Club cells in the terminal bronchioles (Barkauskas et al., 2013; Chen et al., 2012a; Rock et al., 2011a; Tropea et al., 2012). Dual positive Scgb1a1+/Sftpc+ cells that reside in the BADJ, and which have been termed bronchoalveolar stem cells or BASCs, also contribute to this phenotypic conversion. However, whether they are more efficient at this than neighboring Club cells will require cell-specific lineage tracing tools. Significantly, Club cells do not give rise to AEC2 and AEC1 cells following injury to the alveoli by hyperoxia. Thus, while Club cells can be considered a facultative stem cell population capable of extensive expansion and phenotypic flexibility during regeneration, this does not occur in all injury/repair models.

PATHWAYS THAT PROMOTE LUNG REPAIR AND REGENERATION

A major goal in the field of lung repair is to define the molecular pathways that regulate the activation, expansion, and differentiation of stem and progenitor lineages in response to injury and repair. One strategy is to focus on interactions between epithelial and mesenchymal cells in the reparative niche since cross-talk between these populations is

known to be an essential process for proper development of the lung. A recent example of this approach is a study showing that thrombospondin-1, expressed by lung endothelial cells, controls the differentiation of a subpopulation of Sca1+ self-renewing lung epithelial cells (Lee et al., 2014). Bmp4 activated expression of thrombospondin-1 in lung endothelial cells, which in turn regulated the differentiation of Sca1+ lung epithelial cells. However, the source of Bmp4 in the adult lung in vivo was not determined in these studies (Lee et al., 2014).

Other signaling pathways known to play important roles in stem cell self-renewal and differentiation such as Wnt and Notch also play key roles in lung repair and regeneration. Wnt signaling is an essential regulator of early lung endoderm specification and development and has been implicated in regulating regenerative responses. Using Wnt reporter lines, several groups have demonstrated activation of canonical Wnt signaling in various compartments in the lung undergoing active regrowth and regeneration (Al Alam et al., 2011; Aumiller et al., 2013; Flozak et al., 2010; Hashimoto et al., 2012; Zhang et al., 2008). In the airway epithelium, Wnt signaling is activated upon secretory cell depletion by naphthalene treatment. Activation of Wnt signaling through loss of the critical transcription factor Gata6 leads to expansion of the putative bronchioalveolar stem cell population after naphthalene injury (Zhang et al., 2008). However, postnatal deletion of β -catenin (Ctnnb1) in this model did not inhibit secretory cell regeneration, suggesting that canonical Wnt signaling is not essential in this model of lung regeneration (Zemke et al., 2009).

Wnt signaling is also pro-fibrotic and increased Wnt signaling has been demonstrated in idiopathic fibrosis lesions (Chilosi et al., 2003). Loss of Ctnnb1 in postnatal alveolar epithelium leads to increased fibrosis and alveolar epithelial cell death (Tanjore et al., 2013). Moreover, blocking Wnt signaling pharmacologically can reduce fibrosis caused by bleomycin treatment in mice, although whether this is an effect on alveolar epithelial or mesodermal lineages is unknown (Henderson et al., 2010). Thus, the ability of Wnt signaling to promote proper repair and regeneration after injury is context dependent and chronic activation could lead to increased fibrosis.

The role for Notch signaling in promoting the secretory cell phenotype over the ciliated cell phenotype during lung endoderm development (Guseh et al., 2009; Tsao et al., 2009), is recapitulated in the response of BCs after airway injury. Notch signaling is essential for BC self-renewal and differentiation of these cells into the secretory cell lineage after SO₂ mediated airway epithelial injury. Increased Notch activation can expand the secretory lineage at the expense of the ciliated lineage (Rock et al., 2011a; Xing et al., 2012). In recent studies it has been found that reactive oxygen species (ROS) activates Notch signaling by activating Nrf2 (Paul et al., 2014). The ROS-Notch pathway is important for BC self-renewal through regulation of cell proliferation, which may be critical for maintaining the correct number of BCs in the upper airways of mouse and human lungs.

Histone acetylation and deacetylation activities are also altered in lung diseases such as asthma and COPD. Asthmatic bronchial epithelium displays increased HAT activity and decreased HDAC activity (Gunawardhana et al., 2014). Corticosteroid treatment of asthma induces acetylation and activation of anti-inflammatory genes, and also recruitment of

HDAC2 complexes to deacetylate and silence pro-inflammatory genes (Ito et al., 2002). COPD patient biopsies show a correlation between disease progression and loss of HDAC2 expression and activity, but lower HDAC activity is resistant to anti-inflammatory steroid therapy (Ito et al., 2005). In mice, genetic deletion of HDAC1/2 in secretory Club cells prevented normal epithelial repair after naphthalene injury (Wang et al., 2013b). Loss of HDAC1/2 in the secretory epithelium lead to the induction of tumor suppressors Rb1, p21/Cdkn1a, and p16/Ink4a after injury, which resulted in reduced proliferation and poor epithelial regeneration (Wang et al., 2013b). This loss in regeneration was persistent, indicating that HDAC1/2 are required for regeneration of secretory epithelium after naphthalene induced depletion in the lung. HDAC function may also be important for regulating the proper balance of AEC1 and AEC2 cells during development and regeneration. Hoxp, expressed by AEC1s and by alveolar progenitors, recruits HDAC2 to negatively regulate AEC2-specific gene expression (Yin et al., 2006).

HDACs are also known to bind to non-histone proteins. The forkhead transcription factors Foxp1/2/4 are expressed at high levels in the developing lung epithelium (Lu et al., 2002; Shu et al., 2007). The interaction between Foxp1/2 and HDAC activity plays an important role in lung injury and regeneration, as demonstrated by the resistance of Foxp1/HDAC2 double heterozygous animals to hyperoxic injury mediated by the regulation of the cytoprotective cytokine IL-6 (Chokas et al., 2010). The combined loss of Foxp1/4 in the postnatal Scgb1a1+ secretory lineage results in spontaneous differentiation of Scgb1a1+ cells into Muc5a/c+ goblet cells (Li et al., 2012). Importantly, this abnormal secretory epithelium lacking Foxp1/4 is unable to regenerate after naphthalene induced injury suggesting that acquisition of a goblet like phenotype impairs airway secretory cell regeneration (Li et al., 2012).

Developmental studies in the lung have revealed critical roles for multiple species of non-coding RNAs, including miRNAs and long non-coding RNAs (lncRNAs), in epithelial branching and differentiation. Two miRNA clusters play important roles in lung epithelial endoderm proliferation and differentiation. miR17-92 and miR302-367 are both highly expressed during early lung endoderm development but are significantly down-regulated or extinguished by birth (Tian et al., 2011; Ventura et al., 2008). Several of the miRNAs in these two clusters share common seed sequences. Over-expression of these miRNA clusters results in increased lung epithelial proliferation but inhibition of differentiation with increased expression of progenitor markers (Lu et al., 2007; Tian et al., 2011). These data suggest that these miRNAs promote the early lung progenitor phenotype that is characterized by a highly proliferative, undifferentiated state. Since miRNAs can be used as small molecule therapeutics, such capabilities could be harnessed to promote lung regeneration via therapeutic use of miRNA mimics or antimiRs. A recent study identified hundreds of lncRNAs expressed in the developing and postnatal lung (Herriges et al., 2014). A significant subset of these lncRNAs is located near protein coding genes including important transcription factors such as Nkx2.1 and Foxf1. One of these lncRNAs, called NANJI, regulates Nkx2.1 and is essential for its expression as well as targets of Nkx2.1 function including Sftpc and Scgb1a1. Given the important role for Nkx2.1 in lung development and postnatal homeostasis, lncRNAs such as NANJI likely play a similarly important role in postnatal lung homeostasis and repair.

IMPORTANCE OF THE IMMUNE RESPONSE TO LUNG INJURY REPAIR

The lung contains an important population of mesodermal cells that arise from the hematopoietic lineage but reside for significant periods within the lung parenchyma and within alveoli. Significant evidence is emerging for a complex orchestration by these cells during repair and regeneration. Recent live-imaging approaches have highlighted resident populations of neutrophils and monocytes at sites of alveolar injury and highly localized damage in response to either ischemia reperfusion or response to TLR signaling (Kreisel et al., 2010; Looney et al., 2011). While immediate damage is likely a product of neutrophil extravasation and perhaps myeloid-mediated breakdown of alveolar epithelium, the immune response is also likely protective. For example, alveolar CD11c positive cells (macrophages and dendritic cells) utilize Connexin 43-mediated tight junctions to communicate with the epithelium and participate in calcium waves that propagate across damaged epithelium. Interactions of some CD11c cells in this way are apparently protective as CD11c-driven deletion of Cx43 resulted in augmented damage in response to TLR signals (Westphalen et al., 2014). It remains to be determined whether myeloid cells with a more 'M2' phenotype associated with TGF β and IL10 production have specific roles in injury/repair and indeed whether macrophage function in the lung falls under the control of the adaptive immune response. Early data using mice that are partially depleted for regulatory T cells indicated that these cells regulated the resolution of lung injury but the mechanism and extent of this remains unclear (D'Alessio et al., 2009)

BIOENGINEERING LUNG TISSUE

The current clinical approach for replacement of diseased lung tissue is allogeneic lung transplantation. However, this procedure remains limited due to a relative shortage of donor organs, immunologic rejection by the recipient, and complications due to intense immunosuppression necessary to avoid rejection (Lau et al., 2004). In the United States, 5-year patient survival following lung transplantation is approximately 50% compared to about 70% for liver (Wang et al., 2014).

Engineered Tracheal Replacements

Engineered airways (trachea and bronchi) have been in use for over a decade, the first being designed to repair a tracheobronchial anastomosis following surgery for malignancy (Macchiarini et al., 2004). Since this initial report, fully-engineered tracheal segments have been made using cadaveric donor decellularized matrices, upon which recipient-derived cells were cultured to generate an autologous airway graft to repair tracheobronchial malacia (Macchiarini et al., 2008). Synthetic scaffolds have also been explored for use as tracheal replacements. Clinical trials of solid prostheses have included materials such as stainless steel (Cotton et al., 1952), steel coil (Beattie et al., 1956), silicone (Neville et al., 1990), polyethylene (Clagett et al., 1952), Teflon (Ekestrom and Carlens, 1959), and hydroxylapatite (Hirano et al., 1989). However, most solid prostheses eventually fail to become well integrated with the surrounding tissue and cause problems with infection, dislodgement, migration, and obstruction with granulation tissue (Grillo, 2002).

Whole Lung Engineering

More recently, whole-lung engineering has been attempted, albeit in animal models only (Ott et al., 2010; Petersen et al., 2010). These approaches make use of whole-lung decellularization strategies (Price et al., 2010) followed by reseeding airways and vessels with primary epithelial and vascular endothelial cells from syngenic animals, respectively. Following culture within a bioreactor, the organs were re-implanted into syngenic recipients, and demonstrated efficient gas exchange (Ott et al., 2010; Petersen et al., 2010). However, the lungs ultimately fail after several hours to days due to a combination of intravascular coagulation (likely due to incomplete endothelialization of the decellularized vasculature) and defects in barrier function leading to exudation of fluid into the airways.

More recently, strategies for decellularizing whole lungs from pigs, non-human primates (Bonvillain et al., 2013) and humans (Booth et al., 2012) have been established, that pave the way for recellularization studies (Gilpin et al., 2013; Nichols et al., 2013; Wagner et al., 2014). Although these scaffolds provide anatomic access to the airway and vascular compartments, one key challenge will be delivery of mesenchymal populations to the interstitium and particularly the delicate septa. Regionally specific mesenchymal populations, coupled with distinct extracellular matrix cues (Hinenoya et al., 2008; Sannes, 1984) may significantly enhance the outcomes of epithelial repopulation of decellularized matrices. However, the complexity of human lung will make this a daunting task for many years to come.

CONCLUSIONS

Much progress has been made in recent years in defining the cell lineages that contribute towards lung repair and regeneration. The focus of most of this work has been on the epithelium, given its essential function in the lung. However, other cellular constituents, including the lymphatic and vascular systems as well as the immune system, almost certainly play important roles in repair and regeneration, and determining their contribution is an important area of future research.

Recent evidence suggests that lung epithelial lineages that undergo long term self-renewal and differentiation are themselves functionally differentiated cells. Examples are Scgb1a1+ Club cells and Sftpc+ AEC2s. Moreover, these and other epithelial cells can undergo phenotypic switches in response to tissue damage and the response can vary according to the type and severity of injury. These concepts have had an important impact on our understanding of stem/progenitor biology, not only in the lung but in other tissues as well (Blanpain and Fuchs, 2014). How this plasticity is regulated is an exciting area of inquiry.

The identification of stem/progenitor lineages and activity in the adult lung has proceeded much faster than our understanding of the molecular pathways that regulate their cell behavior. The concept that developmental pathways are reactivated and play important roles in lung repair and regeneration requires additional testing, especially in more physiologically or clinically relevant models such as influenza injury. These studies will require additional information on how structures are formed in the lung, in particular the process of alveologenesis, which is still poorly understood. This is a topic that will greatly

benefit from additional research into the development and maturation of the lung. Importantly, the advent of new *ex vivo* models of lung stem cell activity such as tracheospheres and alveolospheres should allow for testing of factors that can promote either stem/progenitor self-renewal or differentiation.

New techniques such as a functional engraftment assay are also critical for testing the true ability of different stem/progenitor lineages that have been and will continue to be identified in the lung. This will entail a better understanding of the niches that lung stem/progenitor cells reside in, which will include defining the role of extracellular matrix and an improved understanding of cell-cell signaling mechanisms important for regional cell behavior after injury or in disease. All of these outstanding issues will require a renewed commitment to basic science as well as the ongoing push to better understand how animal models can be used to understand human lung disease and regeneration. Much has been gained from an investment into basic lung development and there remains much still to be discovered. Only by combining the strength of such basic studies along with exploration of how the human lung responds to injury and insult, can the field develop new strategies and therapies for combating human lung disease.

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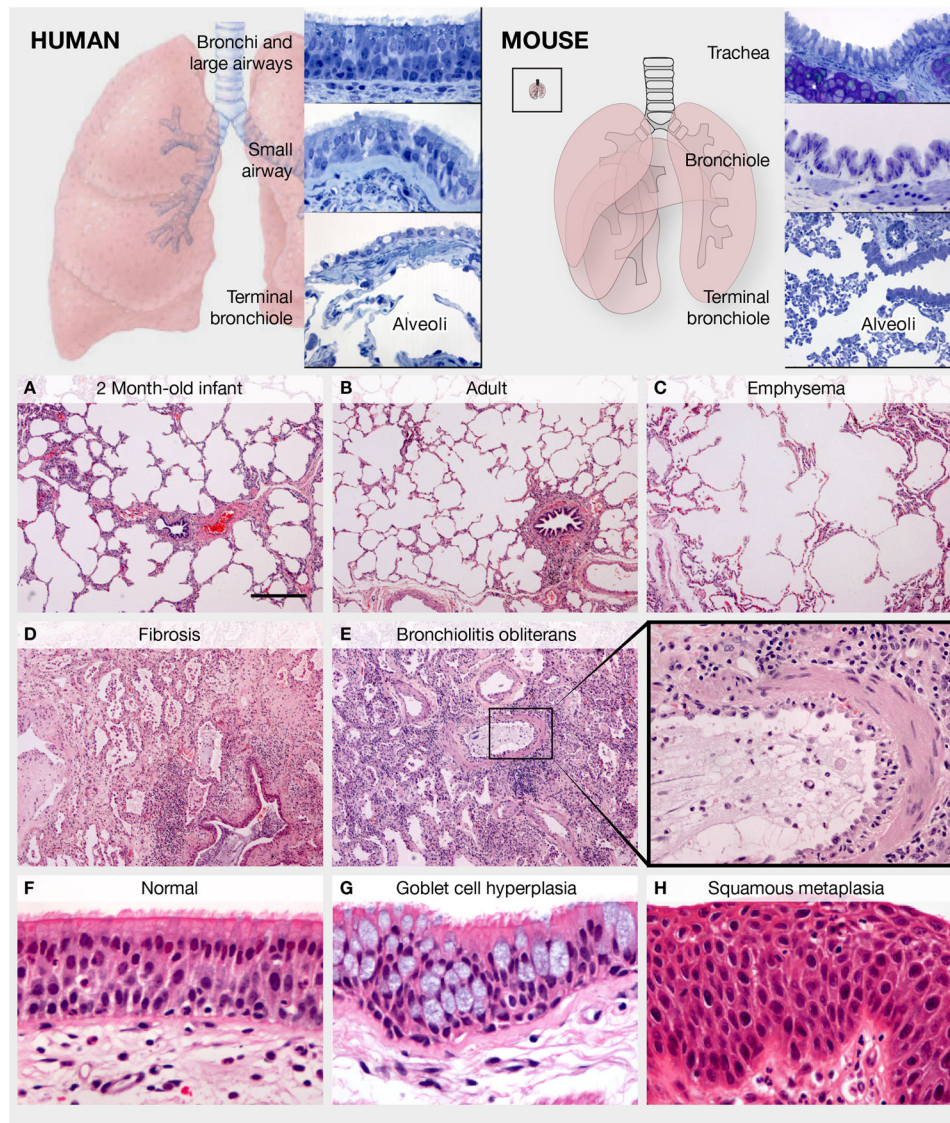


Figure 1. Anatomy of the adult human and mouse lung and examples of human lung pathology
Upper panels: Regional epithelial histology in human and mouse. **Left panel:** The human trachea, bronchi and bronchioles >1 mm in diameter are lined by a pseudostratified epithelium with basal, multiciliated and secretory cells. Mucous goblet cells predominate in the larger airways, and Club cells in the smaller airways. Individual neuroendocrine cells and neuroendocrine bodies (NEBs) are scattered in the larger airways and increase distally. Cartilage, smooth muscle and stromal cells are associated with intralobar airways down to the small bronchioles. The simple cuboidal epithelium lining the terminal bronchioles leading into the alveoli is poorly characterized. The alveoli are lined by squamous AEC1s and cuboidal AEC2s. **Right panel:** In the mouse, only the trachea and mainstem bronchi have cartilage and a pseudostratified mucociliary epithelium with basal cells. The smaller bronchi and bronchioles are lined by a simple epithelium with multiciliated and Club cells, and fewer neuroendocrine cells and NEBs. The inset illustrates a mouse lung to the same

scale as the human lung in left panel. **Lower panels:** Normal and pathologic human lung. (A, B) Images of the alveolar region in a 2 month-old infant and a normal adult illustrate that alveolar number increases postnatally through secondary alveolar septal crest formation. (C) In pulmonary emphysema, septal destruction and loss of alveolar cells results in alveolar enlargement. (D) In pulmonary fibrosis, the terminal bronchioles are plugged with mucus, alveolar epithelial morphology is abnormal, and alveolar architecture is dramatically altered by fibroblastic deposition of extracellular matrix. (E, E') Bronchiolitis obliterans syndrome showing massive infiltration of immune cells, severe disruption of the small airway epithelium, and thickening of the underlying smooth muscle and stroma (boxed region magnified in E'). (F) Normal pseudostratified mucociliary bronchial epithelium from a lung transplant donor. (G, H) Goblet secretory cell hyperplasia and squamous metaplasia, respectively, in chronic obstructive lung disease. A–E and F–H, respectively, are the same magnification. Scale bar in A is 400 μm .

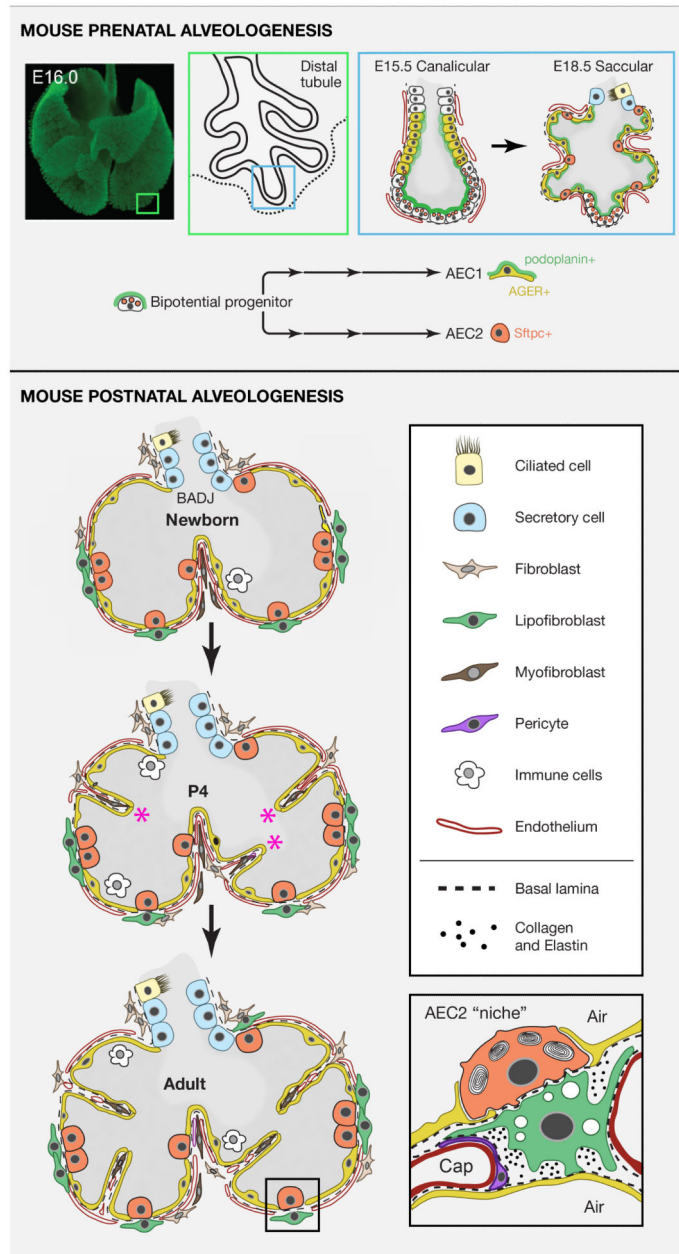


Figure 2. Pre- and postnatal alveogenesis in the mouse

Upper panel: Schematic of the canalicular and saccular stages indicating that precursors of alveolar epithelial cells are laid down before birth. Image of whole mount E16 lung immunostained for e-cadherin provided by Ross Metzger. A distal canalicular tubule adjacent to the outer mesothelium is shown in greater detail (boxes). Evidence supports a model in which the population of distal tip cells (that are Sox9+ Id2+) is bipotential, expresses markers of both mature AEC2 and AEC1 cells, and gives rise to AEC2s (Sftpc+, orange) and AEC1s (podoplanin+, green and AGER+, yellow) through a series of intermediate progenitors (Chang et al., 2013; Desai et al., 2014; Rawlins et al., 2009a; Treutlein et al., 2014). During the saccular stage the distal tubules begin to bud into multiple

sacs that are in close contact with vascular endothelial cells. A few Sox9+ Id2+ putative bipotential progenitors remain at this stage. **Lower panel:** Schematic representation of postnatal changes in lung architecture. The newborn lung has only primary septa. Around P4 secondary septa (asterisks) develop from crests of tissue containing capillary and stromal cells that migrate in from the walls and subdivide alveoli. The stromal population is incompletely defined but includes pericytes, fibroblasts, lipofibroblasts, and myofibroblasts. The latter are thought to be the main producers of the elastin deposited at the tip of each septum and in the walls, forming an integrated fibroelastic network. Inset (redrawn from Sirianni et al 2003) shows a schematic of the putative “niche” of an AEC2 stem cell. This includes AEC1s, lipofibroblasts, endothelial cells, pericytes and extracellular matrix (dashed line represents basal lamina, dots are collagen and elastin and many other components).

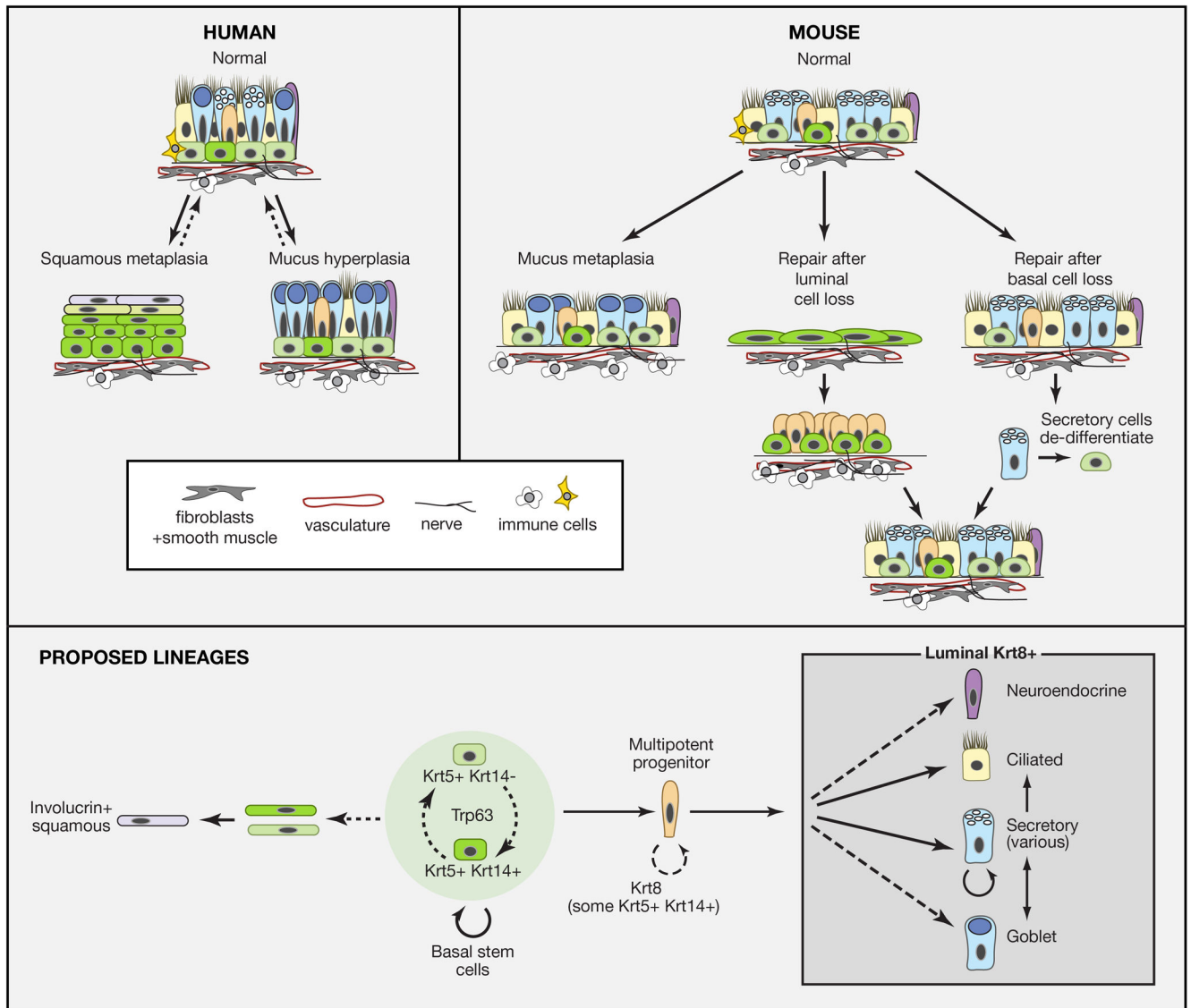


Figure 3. Homeostasis, repair and remodeling of pseudostratified mucociliary airway epithelium with basal cells

Solid lines represent transitions or lineages that are generally accepted, while dotted lines are speculative lineages or relationships. Curved arrows represent self-renewal. **Upper panel:** Schematic representation of pseudostratified mucociliary epithelium of the human lung. The density of BCs and height and composition of luminal cells varies along the main axis. A few “intermediate” cells are present which may represent immediate undifferentiated progeny of BCs. In mucus hyperplasia the number of goblet cells increases either by proliferation of existing goblet cells or by differentiation of other secretory cells. In squamous metaplasia, BCs change their behavior so that the pool of proliferative Krt5+ Krt14+ BCs expands and stratifies and upper layers differentiate into keratinized squamous cells. Both conditions may be reversible. **Middle panel:** Repair and remodeling in the mouse trachea and primary bronchi. Since few goblet cells are normally present in mouse airways, their increase in number in response to immune stimuli is called metaplasia rather

than hyperplasia. Genetic lineage tracing has shown that Scgb1a1+ cells are the predominant source of goblet cells in response to allergen exposure (Chen et al., 2009). If luminal cells are killed by SO₂, surviving BCs spread and proliferate. They give rise to a population of Krt8+ progenitors that then differentiate into ciliated and secretory cells. There is transient influx of immune cells into the underlying stroma. If basal cells are killed genetically, secretory cells lineage-labeled with a driver for differentiated cell products (Scgb1a1 or Atpv1b) give rise to some of the regenerated BCs that continue to function as stem cells (Tata et al., 2013). Inset shows some of the non-epithelial components of the BC “niche”. In addition to the basal lamina these include fibroblasts, vasculature, and immune cells. **Lower panel:** Summary of lineage relationships from studies with both mouse and human airways. In both tissues BCs are heterogeneous for expression of Krt14; whether these BCs are interconvertible and/or whether Krt14+ cells have a higher probability of differentiation rather than self-renewal is not known. The existence of an intermediate progenitor cell is inferred from studies on repair. This cell is Krt8+ but may transiently express Krt5/Krt14. BCs and their immediate daughters give rise to ciliated and secretory cells during repair (Rock et al., 2011b). Whether they directly give rise to neuroendocrine cells and goblet cells or whether goblet cells only arise from secretory cells is not known. Secretory cells include Scgb1a1+ Club cells as well as variant Club cells that predominantly express other products (see text). Scgb1a1+ cells can give rise to ciliated cells.

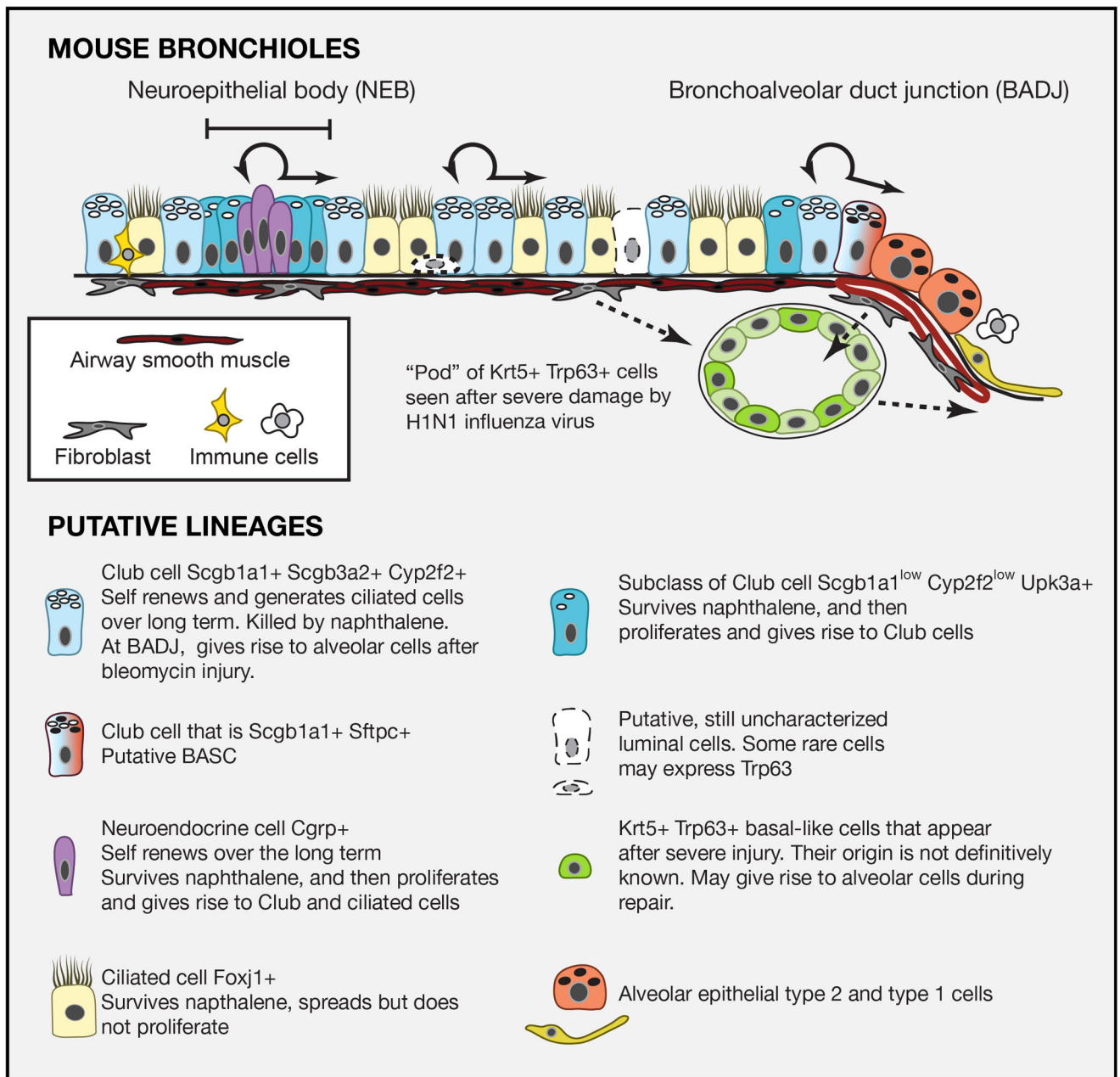


Figure 4. Epithelial cells of mouse bronchioles and the cellular responses to injury

Schematic representation of different cell types in the mouse bronchiolar epithelium. All cells are likely attached to the basal lamina through integrin alpha6beta4. The full heterogeneity of epithelial cell types is still under investigation and the presence of rare Trp63+ cells is controversial. Shown with dashed arrows are putative cells-of-origin of the Krt5+ Trp63+ basal-like cells present in “pods” in the lungs of mice after infection with H1N1 influenza virus. There is some evidence that these Krt5+ cells contribute to the regeneration of damaged alveoli but more lineage tracing data are required.

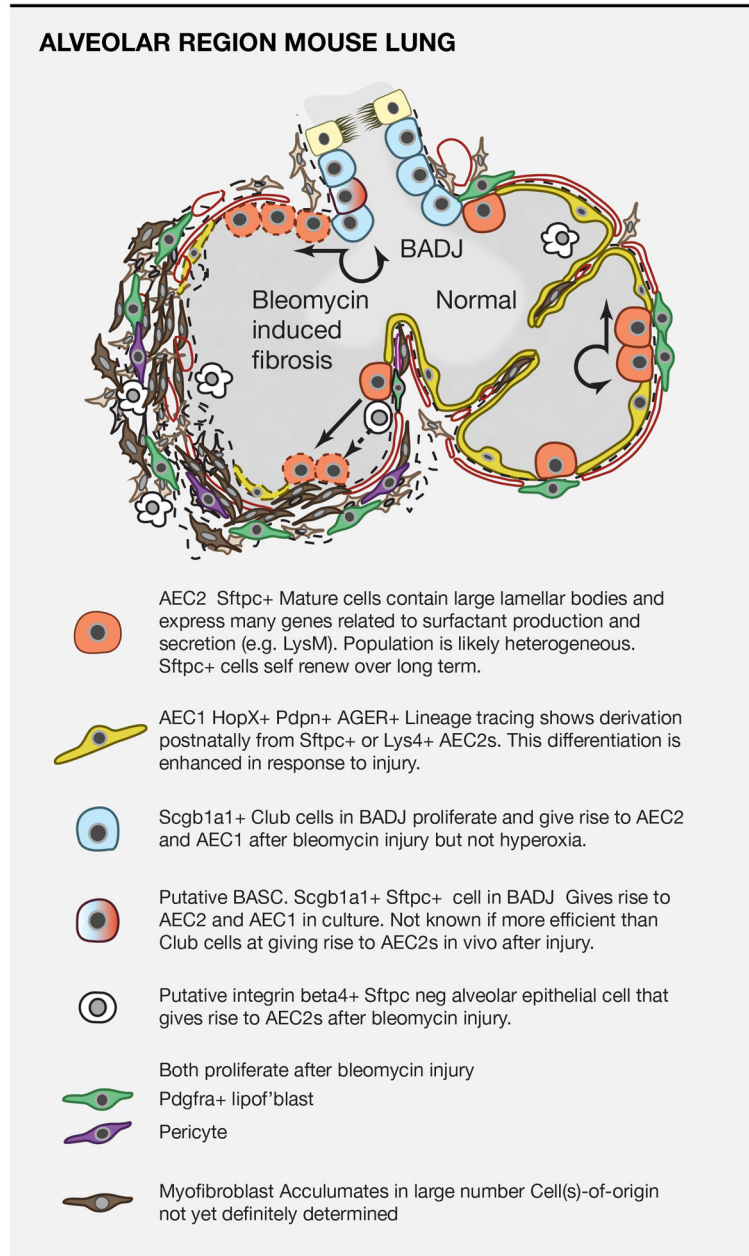


Figure 5. Stem cells of the mouse alveolar region and their role in response to injury

Upper panel: Schematic of normal mouse alveolar region and changes elicited by exposure to bleomycin. At steady state there is little cell turnover and AEC2s self renew and give rise to AEC1s with low frequency. Bleomycin damages multiple alveolar cell types resulting in the exposure of denuded basal lamina and matrix (dashed lines) and influx of immune cells. Various mesenchymal cells proliferate and give rise to myofibroblasts and large amounts of extracellular matrix. In this model evidence argues against myofibroblasts being derived from epithelial cells and the fibrosis is transient (Rock et al., 2011a). Both AEC2s and Scgb1a1+ cells in the BADAJ proliferate and give rise to the majority of the AEC2 and AEC1 cells (dotted cells) in the fibrotic regions (Barkauskas et al., 2013). Epithelial cells in

the alveolar region that are Sftpc- integrin beta4+ may also be a source of reparative AEC2s (Chapman et al., 2011). **Lower panel:** Schematic of different cell types involved in alveolar turnover and repair in the bleomycin injury model. A detailed inventory of markers has been compiled from single cell RNA-seq studies (Treutlein et al., 2014).