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1 **nRespiratory Exposure to Thirdhand Cigarette Smoke Increases**  
2 **Concentrations of Urinary Metabolites of Nicotine.**

3

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## 1 **IMPLICATIONS**

2 This study shows that a three-hour inhalational exposure to the tobacco smoke  
3 aerosol that forms in a room that has been smoked in and left unventilated  
4 overnight causes increases in urinary metabolites of nicotine, but not of the  
5 tobacco-specific nitrosamine NNK. This suggests that cleaning personnel and others  
6 who live and work in rooms polluted with aged or thirdhand cigarette smoke  
7 regularly may have inhalational exposures and potential health effects related to  
8 their exposure to nicotine and other smoke toxicants.

9

## 10 **ABSTRACT**

11 **Introduction.** The aims of this study were to characterize particle size in a  
12 thirdhand smoke aerosol and measure the effects of controlled inhalational  
13 exposure to thirdhand smoke on biomarkers of tobacco smoke exposure,  
14 inflammation and oxidative stress in human subjects Secondhand cigarette smoke  
15 changes physically and chemically after release into the environment. Some of the  
16 resulting chemicals persist indoors as thirdhand cigarette smoke. Thirdhand smoke  
17 that is sorbed to surfaces can emit particles back into the air.

18 **Methods.** Smoke particle size was measured with a scanning mobility particle  
19 sizer/condensation particle counter. Using a crossover study design, 18 healthy  
20 nonsmokers received a three-hour inhalational exposure to thirdhand smoke and to  
21 filtered, conditioned air. Thirdhand smoke was generated with a smoking machine  
22 and aged overnight in a chamber. The chamber was flushed with clean air to create  
23 the THS aerosol. The tobacco smoke metabolites cotinine, 3-hydroxycotinine and 4-  
24 (methylnitrosamino)-1-(3-pyridyl)-1-butanol (NNAL) were measured in urine.

1 Vascular endothelial growth factor and interleukin-6 in plasma, and 8-isoprostane in  
2 urine, were measured using enzyme-linked immunosorbent assay kits.

3 **Results.** Mean smoke particle size increased with aging (171 nm to 265 nm). We  
4 found significant increases in urinary cotinine and 3-hydroxycotinine after three  
5 hours of exposure to thirdhand smoke and no significant increases in NNAL,  
6 interleukin-6, vascular endothelial growth factor or 8-isoprostane.

7 **Conclusions.** Acute inhalational exposure to 22-hour old tobacco smoke aerosol  
8 caused increases in the metabolites of nicotine but not the metabolites of the  
9 tobacco-specific nitrosamine NNK (4-(Methylnitrosamino)-1-(3-pyridyl)-1-butanone).

10 This corroborates the utility of cotinine and NNAL for secondhand and thirdhand  
11 smoke exposure screening.

12

13

14

## 1 INTRODUCTION

2 Thirdhand smoke (THS) is a term for a third major route of exposure to cigarette  
3 smoke, in addition to active smoking and secondhand smoke (SHS) exposure(1).  
4 When tobacco smoke is released into the air, semi-volatile organic compound  
5 including nicotine, nitrosamines and polycyclic aromatic hydrocarbons (PAHs), stick  
6 to surfaces and persist in the indoor environment for hours, days, months and years  
7 after smoking(2, 3). In some cases, these chemicals can be perceived by their  
8 smell, or as a yellow-brown stain on light colored walls and surfaces. The chemicals  
9 in THS can react, at any time, to form new chemicals, such as formaldehyde and  
10 tobacco-specific N-nitrosamines (TSNA), 4-(methylnitrosamino)-4-(3-pyridyl) butanal  
11 (NNA) (4) and 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK) (5). Many THS  
12 components are oxidants(6) and some, such as benzo[a]pyrene and formaldehyde,  
13 are known human carcinogens (7).

14

15 Research has shown that reaction of THS with ambient concentrations of oxidant  
16 gases can form ultrafine particles(8-10), and that cigarette smoke chemicals can  
17 sorb to existing particles indoors(11). Our unique secondhand smoke generation  
18 system uses a smoking machine to generate cigarette smoke, dilutes it with  
19 conditioned, filtered air and ages it for 30 minutes in a stainless steel chamber, to  
20 mimic the changes in smoke chemistry that occur in realistic indoor  
21 environments(5, 12). We observed particles coming out of our secondhand smoke  
22 generation system the morning after the system was used to generate smoke. The  
23 smoking machine was not in operation, the smoke aging chamber was sealed  
24 overnight, with near zero air exchange, and our previous studies had shown that the  
25 SHS particles, nicotine and PAHs sorbed rapidly to surfaces in the chamber(5, 12).

1 Thus, we hypothesized that the particles that came out of the chamber in the  
2 morning were derived from the smoke generated the prior day and would be  
3 representative of particulate exposures that people receive when they enter rooms  
4 12-20 hours after smoking has occurred.

5

6 The chemicals found in THS can be inhaled, ingested or dermally absorbed. Young  
7 children, who spend most of their time exploring their environment, are at  
8 increased risk of THS exposure. Little is known about the health effects of THS  
9 exposure. While THS is a new area of research, there is strong scientific evidence of  
10 the harmful health effects of SHS. SHS is defined as the combination of exhaled  
11 mainstream and sidestream smoke. Mainstream smoke is the smoke that is inhaled  
12 and exhaled by the smoker, and makes up ~15% of SHS. Sidestream smoke, the  
13 smoke that is emitted from the end of a burning cigarette, composes the other  
14 ~85% of SHS (13). SHS is a pollutant indoors and outdoors (14) and is classified as  
15 a Group 1 carcinogen (15). SHS exposure increases the risk of developing coronary  
16 heart disease by 25-35%, the risk of stroke by 20-30% and the risk of lung cancer  
17 by 20-30% (13).

18 Systemic inflammation and oxidative stress, terms that describe an overall  
19 imbalance in inflammatory regulation, have been linked with SHS exposure and a  
20 variety of disease states. This linkage suggests that the measurement of  
21 inflammation and oxidative stress during and after exposure to THS may be a useful  
22 indication of the potential health effects caused by THS. Inflammation and oxidative  
23 stress play important roles in the pathogenesis of diabetes, autoimmune diseases  
24 and cancer (16), cardiovascular disease (17), asthma (18) and chronic obstructive  
25 pulmonary disease (19).

1 The aims of this study were to characterize the THS aerosol and evaluate the acute  
2 effects of respiratory exposure to THS on urinary cotinine and NNAL, in healthy  
3 human volunteers. Demonstrating statistically significant increases in these  
4 biomarkers with exposure to THS corroborates the reliability of using these  
5 biomarkers as indication of exposure to tobacco smoke. We also investigated the  
6 effects of respiratory THS exposure on systemic inflammation and oxidative stress  
7 by measuring circulating levels of IL-6, VEGF and urinary 8-isoprostane.

## 8 **METHODS**

### 9 **Study Design**

10 This study used a randomized, crossover study design with a convenience sample of  
11 healthy nonsmokers. Each subject was exposed to the respirable aerosol fraction of  
12 THS (THS exposure) and to conditioned, filtered air (control exposure) for 3 hours on  
13 separate study visits. A sample size of 18 was chosen based on a prior study with  
14 similar concentration smoke exposures ( $350 \mu\text{g}/\text{m}^3$  for 3 hours), that showed  
15 statistically significant increases in urinary cotinine, 3-hydroxycotinine and NNAL  
16 and circulating VEGF with 12 participants (20). The sequence of exposures was  
17 randomized and the two study visits were separated by a minimum of 21 days to  
18 avoid carry-over effects.

### 19 **THS Generation and Characterization**

20 One day prior to each THS exposure, Marlboro Red cigarettes (hard pack), were  
21 smoked by an automatic cigarette smoking machine (TE-10z, Teague Enterprises,  
22 Woodland, California, USA) according to International Standards Organization (ISO)  
23 standard 3308, with a two second puff every minute (ISO, 2012). The smoke was  
24 conducted through a smoke aging chamber (Figure 1) at the rate of 2 air changes

1 per hour. The aging chamber contained common indoor furnishing including carpet,  
2 painted wall board, cloth and paper. After SHS generation, the system was shut  
3 down and the smoke in the chamber was allowed to age for 22 hours. A head-only,  
4 respiratory THS exposure was created by flushing the smoke aging chamber with  
5 conditioned, filtered air, driving the aerosol from the chamber to a Tyvek hood with  
6 transparent, full-face shield (Airmate # BE-10-3, 3M, Inc., St. Paul, MN).

7 Exposures took place in a stainless steel chamber (9 x 9 x 9 feet) supplied with  
8 HEPA and charcoal filtered conditioned air at 0.85 air changes per minute (21).

9 Clean air exposures were produced by attaching the exposure hood to a powered  
10 air purifying respirator system (GVP-100. 3M, Inc., St. Paul, MN) with a high-

11 efficiency particulate air filter (GVP-440, 3M, Inc., St. Paul, MN). Particle

12 concentration in the exposure aerosol was measured using a laser photometer

13 (Dusttrak II, model 8530, TSI Inc., Shoreview, MN) and gravimetrically (12). We

14 used the area under the laser photometer curve as the measure of particle

15 exposure. Nicotine was measured in six of the experiments using two, stacked

16 filters. The front filter was untreated and collected particles. The rear filter was

17 treated with sodium bisulfate to collect gas/vapor phase nicotine and quantified by

18 GC/MS(5). The total nicotine mass was the sum of gas and particle phase nicotine.

19 Measurements of the particle size distribution in the source SHS, the THS exposure

20 aerosol and in the conditioned, filtered control air were made with a TSI (Shoreview,

21 MN) scanning mobility particle sizer (SMPS, model 3077 differential mobility

22 analyzer, model 3025 condensation particle counter).

### 23 **Study Participants**

24 Participants were recruited using online advertisements in the San Francisco Bay

25 area. Inclusion criteria included age (18-50), ability to exercise and no history of



1 chronic diseases. Exclusion criteria included smoking, ongoing or recent exposure to  
2 secondhand smoke, occupational exposure to smoke, dust or fumes, allergies,  
3 pregnancy, recreational drug use, and use of medications for high blood sugar,  
4 blood pressure, cholesterol, autoimmune disorders, tendonitis and arthritis.  
5 Nonsmokers were determined as having smoked no more than 50 packs of  
6 cigarettes in their life and not smoking cigarettes in the past year. Marijuana  
7 smokers were excluded if they had ever smoked daily and if they had smoked in the  
8 preceding 3 months (22). This study was approved by the University of California,  
9 San Francisco Institutional Review Board.

## 10 **Study Procedures**

11 The patients were asked to withhold food, alcohol and caffeinated beverages for 12  
12 hours before the study visits. On the day of the exposure visit, the subject was  
13 seated in the exposure chamber and donned the exposure hood, which allowed the  
14 subject to breathe either smoke or conditioned, filtered air. Air flowed through the  
15 exposure hood at 200 liters/minute. Each exposure session started with 30 minutes  
16 of exposure through the hood, then the subject left the exposure chamber to  
17 provide a blood sample, and returned for another 2.5 hours of exposure. Spot urine  
18 samples were collected before exposure, 3 hours after exposure, before bed, at  
19 waking and at the follow up visit in the laboratory the next day. The times at which  
20 the before bed and at waking samples were collected was set by the participants.  
21 The final sample was collected 22 hours after the start of exposure.

22

23 **Biospecimen analysis procedures.** Banked plasma and urine samples, stored at -  
24 80 degrees C, were thawed and assayed with commercially available enzyme-linked  
25 immunosorbent assay (ELISA) kits for VEGF (#BMS2019, ThermoFisher Scientific

1 Invitrogen, Camarillo, CA)), IL-6 (#D6050, R&D systems, Minneapolis, MN) and 8-  
2 isoprostane (#8iso1, Detroit R&D systems, Detroit, MI) according to the  
3 manufacturers' instructions. The level of quantitation was 0.70 pg/mL for IL-6, 5 pg/  
4 mL for VEGF and 10 pg/ml for 8-isoprostane. All samples were run in triplicate and  
5 the values were averaged. The final concentrations were expressed as picograms  
6 per microliter (pg/ml).

7 Banked urine samples, stored at -20 degrees C, were thawed and assayed for  
8 cotinine, 3-hydroxycotinine and NNAL by liquid chromatography-atmospheric  
9 pressure chemical ionization tandem mass spectrometry, following the methods of  
10 Bernert et al. (23) and Jacob et al. (24)The level of quantitation was 0.05 ng/ml for  
11 cotinine, 0.1 ng/ml for 3-hydroxycotinine and 0.25 pg/ml for NNAL.

12

### 13 **2.6 Statistical Analysis**

14 Correlations between particle mass input and output and between nicotine  
15 exposure and metabolite concentrations, were tested using linear regression in  
16 Excel. For metabolite concentration summary statistics and analyses, all  
17 participants' data were used. Values below the level of quantitation were set to  
18 LOQ/ $\sqrt{2}$ .: Cotinine = 0.0353 ng/ml, 3-hydroxycotinine = 0.071 ng/ml, NNAL = 0.177  
19 pg/ml. The total excreted moles of the metabolites of nicotine and NNK was  
20 estimated by calculating the change in concentration from one time point to the  
21 next. Where both values were below the level of quantitation, the difference was  
22 recorded as zero. Where a missing value was subtracted from a missing value, the  
23 difference was left blank. Where a known value was subtracted from a missing  
24 value, the difference was left blank. Where a missing value was subtracted from a  
25 known value the difference was recorded as the known value. The change values

1 were multiplied by the elapsed hours between the time points, and summed to yield  
2 the total change in metabolite concentration between baseline and the final  
3 timepoint. This change value was divided by the molecular weight of the  
4 metabolite to give the change in moles. An estimate of total nicotine metabolites  
5 were calculated by adding the masses of cotinine and 3-hydroxycotinine(25). The  
6 data were tested for normality with the Shapiro Wilk test. The metabolite change  
7 data for cotinine and 3-hydroxycotinine were not normally distributed, so the  
8 potential effects of the exposures were tested using the Wilcoxon signed rank test.  
9 The NNAL data were normally distributed and a one-tailed, paired t-test was used.  
10 Statistical significance was determined at  $p < 0.05$ . SigmaPlot version 14.0 was  
11 used for the Shapiro Wilk, Wilcoxon and t-tests and the linear regressions.

12

13 A univariate analysis of variance with a fixed-effects model for repeated measures  
14 was performed using SAS software (2014, Cary, NC) to determine the differences  
15 between the mean concentrations of cotinine, NNAL, 3-hydroxycotinine, IL-6, VEGF  
16 and 8-isoprostane after THS exposures and conditioned, filtered air exposures. Data  
17 were log transformed prior to analysis. The models were estimated using maximum  
18 likelihood estimation. The models include effects for time, order of exposure and  
19 clean or smoke exposure. No data were excluded from these analyses. Statistical  
20 significance was determined at  $p < 0.05$ .

21

## 22 **RESULTS**

### 23 **THS Aerosol.**

24 Before the clinical study, we compared the average particle concentration and total  
25 particle mass for the SHS input and the THS output on the following day. Using

1 particle mass data from laser photometers, calibrated to gravimetric  
2 measurements, we found a linear relationship between SHS particle input and THS  
3 particle output. Approximately 2% of the total input particle mass (area under the  
4 photometer data curve) emerged as THS particles and the peak THS output  
5 concentration was approximately 50% of the average SHS input concentration. We  
6 measured particle size to see how aging affected particle diameter and potential  
7 penetration into the respiratory tract. SMPS measurements comparing particle size  
8 distribution for the source air, source SHS, the THS aerosol and the ambient  
9 laboratory air concentrations (Table 1) show that particle mass and particle number  
10 decreased with aging, but particle size increased. Over the course of the clinical  
11 study, the average particle exposure mass (area under the photometer curve) was  
12 1.15 +/- 0.95 mg.

13 In the subset of 6 experiments where nicotine was measured, the majority of the  
14 nicotine was in the gas/vapor phase, with an average ratio of gas/vapor phase to  
15 particle phase of  $15 \pm 2$ . Particle concentration was highest at the start of  
16 exposure, declining gradually throughout the exposure. Supplementary Figure 1  
17 shows representative particle concentration data from an exposure. The gap from  
18 10:27 AM to 11:00 AM represents the pause in exposure for the 30-minute blood  
19 draw. The last 1.5 hours of exposure were characterized by low concentrations of  
20 particles.

21

22 **Participants.** 18 participants completed both exposures (9 women and 9 men). 11  
23 of the participants received their THS exposure first. Two additional participants  
24 completed the THS exposure only and one completed the Clean Air exposure only

1 for a total of 21 participants. Participants ranged in age from 21 to 50 years  
2 (median = 37.5). 12 participants identified as Caucasian, 3 as Asian, 2 as African  
3 American and 4 identified as 2 or more races and Hispanic.

4

#### 5 **Biomarkers of Tobacco Smoke Exposure.**

6 *Missing Data:* From a total of 195 results possible ([18 participants x 5 time points x  
7 2 exposures] + [3 participants x 5 time points x 1 exposure]), there were 176  
8 results available for cotinine, 182 for 3-hydroxycotinine and 162 for NNAL.

9 *Baseline biomarker concentrations:* Participants had low concentrations of tobacco  
10 smoke metabolites in their urine at baseline indicating that their exposure to  
11 secondhand and thirdhand cigarette smoke outside of the study was low. 14 of the  
12 21 participants had cotinine values below the limit of quantitation at one study visit  
13 and, of these, five had cotinine values below the limit of quantitation at both study  
14 visits. The corresponding numbers of participants with baseline metabolite  
15 concentrations below the level of quantitation were six and one for 3-  
16 hydroxycotinine and nine and four for NNAL. The tobacco biomarker data were thus  
17 skewed toward zero (Table 2). Baseline creatinine data were only slightly skewed.

#### 18 **Metabolites of Nicotine and NNK**

19 Concentrations of urinary cotinine and 3-hydroxy cotinine increased after THS  
20 exposure, but not after clean air exposure (Table 3 and Supplementary Table 1).  
21 There was a statistically significant difference between THS and clean air exposure  
22 for total cotinine and 3-hydroxycotinine ( $P < 0.001$ ), but not for total NNAL ( $P =$   
23 0.088). Likewise, there was a statistically significant difference between THS and

1 clean air exposure for total nicotine metabolites (cotinine + 3-hydroxycotinine) (P <  
2 0.001). Peak cotinine and 3-hydroxycotinine concentrations exposure were seen 22  
3 hours (Supplementary Table 1) after THS exposure began.  
4 When analyzed using univariate analysis of variance with a fixed-effects model for  
5 repeated measures, cotinine (P = 0.0011 ) and 3-hydroxycotinine (P = <0.001)  
6 changed significantly with time after exposure and NNAL did not. However, we also  
7 saw significant effects for order of exposure (P = 0.0132 for cotinine, P = 0.0217 for  
8 3-hydroxycotinine).

### 9 **Correlations to Exposure Metrics**

10 There was a weak correlation between nicotine minute exposure (nicotine  
11 concentration x respiratory rate x total exposure time) and total nicotine  
12 metabolites ( $R^2 = 0.64$ ) in the subset of exposures with complete nicotine data (n =  
13 6). There were no correlations between total particle minute exposure or peak  $PM_{2.5}$   
14 concentration and total nicotine metabolites ( $R^2 < 0.5$ ).

15

16 **Biomarkers of Inflammation and Oxidative Stress.** No exposure-dependent  
17 effects of THS on plasma IL-6, plasma VEGF or urinary 8-isoprostane were found in  
18 this study. We observed a trend toward an increase in VEGF but the finding was not  
19 statistically significant.

20

### 21 **DISCUSSION**

22

23 This is the first controlled human exposure study to show that inhalational exposure  
24 to THS aerosol causes increases in urinary metabolites of nicotine, but not NNAL.  
25 The exposure was designed to mimic the experience of a nonsmoker entering an

1 unventilated room where smoking occurred on the preceding day. Normally,  
2 particle concentration decreases rapidly after smoking. However, in rooms where  
3 people smoke regularly and where the ventilation rate is low, particles created by  
4 desorbition of chemicals from surfaces and chemical reactions may prevent airborne  
5 particle concentrations from decreasing to the low levels normally associated with  
6 clean indoor spaces. Research has shown that THS and many other mixtures of  
7 semi-volatile organic compounds can react with ambient concentrations of oxidant  
8 gases to create ultrafine particles(8-10, 26). Reaction of surface-sorbed nicotine  
9 with ozone formed particles under 50 nm in diameter and displayed surface and  
10 humidity-dependent effects(9).

11

12 Our data show that a substantial mass of particles can emerge from a closed  
13 chamber 20 hours after smoke generation stops (Figure S1) and that the diameter  
14 of the particles increases over time (Table 1). We hypothesize that a complex cycle  
15 of evaporation of sorbed chemicals from interior surfaces, particle enucleation,  
16 particle diameter growth through sorbtion of vapor phase chemicals to existing  
17 particles and collisions between particles (coagulation) and particle losses to  
18 sorbtion and deposition occurs in environments that are heavily contaminated with  
19 thirdhand smoke(27). Further research, in real-world environments, is needed.

20

21 We found a positive correlation between the nicotine concentration in the exposure  
22 aerosol and the creatinine-corrected total urinary nicotine metabolite concentration  
23 (cotinine + 3-hydroxycotinine) with  $R^2 = 0.64$ . The net increases in cotinine and 3-  
24 hydroxycotinine were smaller than those observed in a previous study by our group,  
25 after a 30 minute exposure to aged secondhand smoke at  $1,000 \mu\text{g}/\text{m}^3$ . However,

1 the metabolites showed similar kinetics to the previous study, with cotinine  
2 concentrations peaking first, then 3-hydroxycotinine(22). Our findings are also  
3 somewhat consistent with a previous THS study by Matt et al., where urinary  
4 cotinine and NNAL concentrations increased after nonsmokers slept in smoking  
5 rooms in hotels (28). The difference in findings regarding NNAL may be due to the  
6 differences in how the participants were exposed. Our exposure was strictly  
7 inhalational. Participants inhaled THS through a Tyvek hood while sitting in an  
8 exposure chamber that was continuously flushed with conditioned, HEPA-filtered air  
9 at 0.85 air changes per minute. Thus, any dermal exposure was through the skin of  
10 the head and neck only. Participants in the hotel exposure study had both  
11 inhalational and dermal contact as they slept in a room that had been smoked in.  
12 NNK is much less volatile than nicotine and resides primarily in the particle phase.  
13 During the 22 hour aging period in our study, the NNK present in the input SHS  
14 aerosol probably sorbed to surfaces and was removed from the airborne fraction.

15

16 Baseline levels of cotinine and 3-hydroxycotinine in this study were similar to those  
17 in a previous study performed by the same laboratory between 2010 and 2011(22)  
18 and lower than in a previous study performed in the San Francisco Bay area prior to  
19 2005(24). 89% of the baseline urine samples were below 0.2 ng/ml cotinine, 40%  
20 were below 0.2 ng/ml 3-hydroxycotinine and 52% were below 0.5 pg/ml NNAL. The  
21 maximum baseline tobacco smoke metabolite values were 0.576 ng/ml for cotinine,  
22 3.957 ng/ml for 3-hydroxycotinine and 4.417 pg/ml for NNAL. For perspective, the  
23 current, validated cut-point values for discriminating between smokers and  
24 nonsmokers are 31 ng/ml for cotinine and 47.3 pg/ml for NNAL(29). Our data show  
25 that while many nonsmokers in the San Francisco Bay Area have some exposure to



1 the chemicals found in tobacco and tobacco smoke, the baseline exposure levels for  
2 this group were quite low.

3         Although SHS exposure has been associated with higher levels of circulating  
4 VEGF and IL-6 (30, 31), this study did not find a statistically significant increase in  
5 levels of circulating VEGF and IL-6 after THS exposure. This finding suggests that  
6 increases in IL-6 and VEGF may be potentiated by higher exposure concentrations.  
7 We observed a trend toward an increase in circulating 8-isoprostane levels but the  
8 finding was not statistically significant. Earlier studies of inhalational exposure to  
9 SHS, with more intensive exposures and similar sample size, have shown significant  
10 increases in urinary isoprostanes(32, 33). Without any specific exposure or disease  
11 state, the level of endogenous isoprostanes vary widely throughout the day due to  
12 physiologic factors such as age, gender, ethnicity and hormones(34, 35). It is likely  
13 that this study was not adequately powered enough to detect an association  
14 between the THS exposure and elevated VEGF, IL-6 and 8-isoprostane levels.

#### 15 **Limitations.**

16 This study included a small number of participants (18 who completed both  
17 exposures), but the crossover study design supported results that are both  
18 statistically significant and consistent. We found an order effects in the analysis of  
19 variance suggests that the order in which the exposures were given influenced the  
20 magnitude of the responses. The two exposures were performed a minimum of 21  
21 days apart so the likelihood of genuine carryover effects was minimal. We used a  
22 simple randomization of the participants which led to 15 of the 22 participants  
23 receiving their THS exposure first. This may have biased the results and caused the  
24 apparent order effect. Another limitation of this study is that the air exchange  
25 through our smoke generation system, when it is shut down overnight, is lower than

1 the air exchange through most homes and businesses. Thus, the exposure in our  
2 study may be higher than real-world exposures. However, real THS exposures  
3 usually last longer than three hours and include respiratory, dermal and sometimes  
4 oral exposure routes. Longer exposures may have greater effects on biomarkers of  
5 inflammation and oxidative stress. The absence of significant effects on urinary  
6 NNAL in our study, and the fact that NNK is primarily in the particle phase suggest  
7 that the skin may be a more important route for NNK exposure.

## 8 **Conclusions**

9 This study highlights the need for further research exploring the effects of THS  
10 exposure on human health and the effects of inhalational exposure in occupational  
11 categories like cleaning where there are similar exposures. Given that this study  
12 only explored inhalational exposure of THS, further studies should also examine  
13 dermal exposure to THS, especially since a major component of THS, nicotine, is  
14 readily absorbed through the skin.

15

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18 samples for cotinine/3-hydroxycotinine and NNAL. We thank Kevin Delucci for  
19 performing the mixed methods analyses and Neal Benowitz for suggesting that we  
20 use analyze the total change in biomarkers of exposure.

21

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2 Related Disease Research Program: 20PT-0184, 21 ST-011, 24RT-0039 and 28PT-  
3 0081

4

#### 5 DECLARATION OF INTERESTS

6 The authors have no conflicts of interest to declare.

7

#### 8 DATA AVAILABILITY STATEMENT

9 The raw urinary tobacco metabolite data for this study are available at  
10 Datadryad.org (DOI <https://doi.org/10.5061/dryad.rr4xgxdbv>). Limited biometric  
11 data on the study participants are available upon request.

12

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**Table 1: SHS and THS Aerosol Particle Characterization**

	Particle mass ( $\mu\text{g}/\text{m}^3$ )	Total particles ( $\#/ \text{cm}^3$ )	Count Median Diameter (nm)	Geometric standard deviation	Skew
Filtered Source Air	<10	3,700	55	2.122	0.548
Ambient Air	<10	8,550	45	1.850	0.357
SHS aged 3 minutes	1,396	54,720	171	1.686	0.286
SHS aged 30 minutes	1,310	27,590	190	1.723	0.234
THS aged 22 hours	Initial: 414 Final: 100	11,582	265	2.011	-0.093

1

**Table 2: Baseline Urinary Tobacco Metabolite and Creatinine Concentrations (n = 21)**

	Cotinine ng/ml	3-HC ng/ml	NNAL pg/ml	Creatinine mg/ml
Minimum	BLOQ	BLOQ	BLOQ	0.157
25 <sup>th</sup> Percentile	BLOQ	0.112	BLOQ	0.511
Median	0.0433	0.254	0.455	1.067
75 <sup>th</sup> Percentile	0.131	0.613	1.132	1.790
Maximum	0.576	3.957	4.417	3.999
Skewness	2.325	2.280	1.914	1.079
Kurtosis	5.768	4.492	3.0655	0.662

The limits of quantitation (LOQ) were: cotinine = 0.05 ng/ml, 3-hydroxycotinine = 0.1 ng/ml, NNAL = 0.25 pg/ml, creatinine = 0.05 mg/ml. For the statistical calculations, values below the LOQ were set to LOQ/ $\sqrt{2}$ .

2

**Table 3: Total Change in Metabolites 0-22 h**

	Average (standard deviation)	
	THS	CA
Cotinine (nM)	0.280 (0.378)	0.004 (0.011)
3-Hydroxycotinine (nM)	1.796 (3.386)	-0.021 (0.101)
NNAL (pM)	0.055 (0.095)	0.028

The total moles of metabolites excreted were calculated by subtracting the concentration at each time point from the timepoint after it. Each metabolite concentration was multiplied the number of elapsed hours since the prior sample and the totals were summed to generate the total amount of metabolite

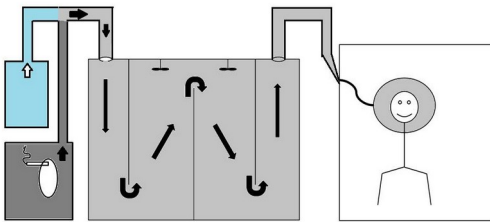
excreted. The total changes in mass were divided by the molecular weight of the molecules to yield the moles.

1

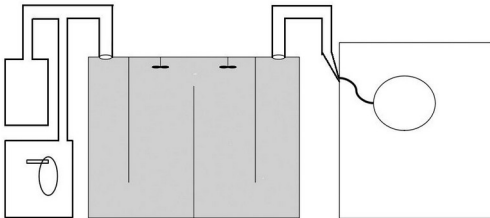
2

Figure 1: THS Generation

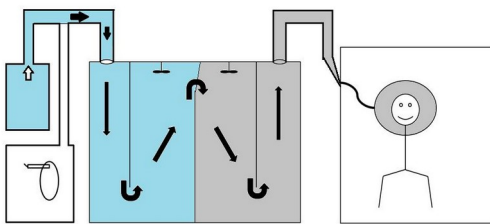
**A. SHS Generation Mode**



**B. THS Deposition Mode**



**C. THS Aerosol Mode**



A. One day prior to a THS exposure, Marlboro cigarettes were machine-smoked and diluted smoke was passed through the smoke system.

B. The smoke was held in the system overnight to sorb and react.

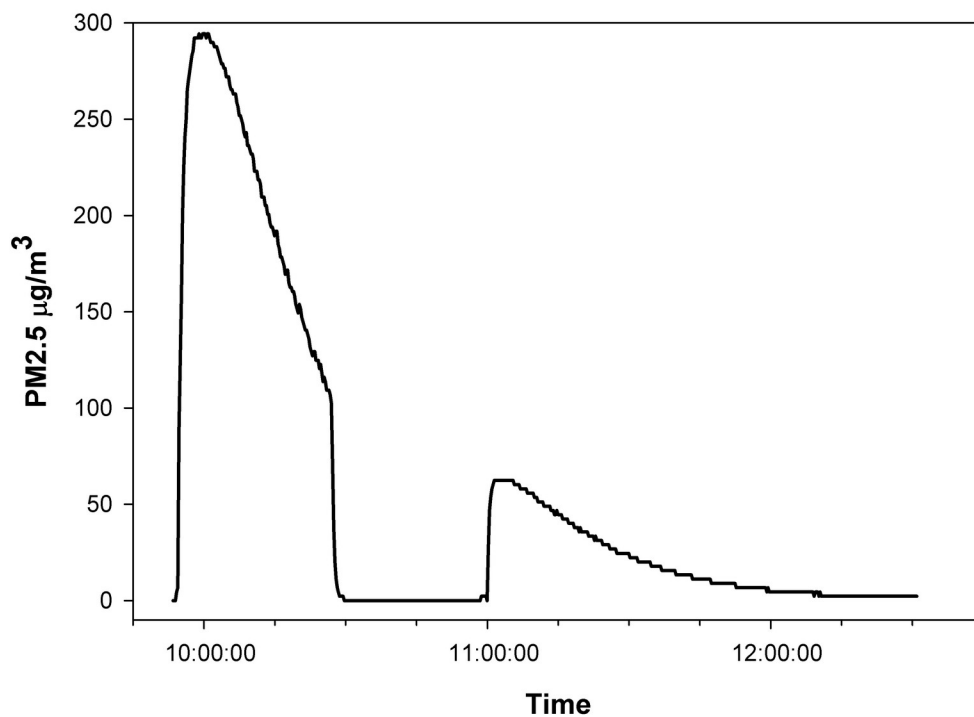
C. The THS aerosol was created by flushing the smoke system with clean air. Subjects were exposed to the aerosol head-only, while seated.



1

2 **Supplementary Materials**

3 Supplementary Figure 1: Particle concentration during a THS exposure



4

5 Legend:

6 Decreased particle concentration from 10:27-11:00 is because the airflow was  
7 turned off while the participant exited the exposure chamber for the 30-minute  
8 blood draw. When they returned, exposure resumed.

9