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1Linking energy-cyber-physical systems with occupancy predication 2and interpretation through WiFi probe-based ensemble classification

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13Abstract:

14With rapid advances in sensing and digital technologies, cyber-physical systems are
15regarded as the most prominent platforms to improve building design and
16management. Researchers investigated the possibility of integrating energy
17management system with cyber-physical systems as energy-cyber-physical systems to
18promote building energy management. However, minimizing energy consumption
19while fulfilling building functions for energy-cyber-physical systems is challenging
20due to the dynamics of building occupants. As occupant behavior is one major source
21of uncertainties for energy management, ignoring it often results in energy wastes
22caused by overheating and overcooling as well as discomfort due to insufficient
23thermal and ventilation services. To mitigate such uncertainties, this study proposed
24an occupancy linked energy-cyber-physical system that incorporates WiFi probe-
25based occupancy detection. The proposed framework utilized ensemble classification
26algorithms to extract three types of occupancy information. It creates a data interface
27to link energy management system and cyber-physical systems and allows automated
28occupancy detection and interpretation through assembling multiple weak classifiers
29for WiFi signals. A validation experiment in a large office room was conducted to

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30examine the performance of the proposed occupancy linked energy-cyber-physical
31systems. The experiment and simulation results suggest that, with a proper classifier
32and occupancy type, the proposed model can potentially save about 26.4% of energy
33consumption from the cooling and ventilation demands.

34

35**Keywords:** Energy-Cyber-Physical Systems, Building occupancy, Wi-Fi probe
36technology, ensemble algorithm

Nomenclatures

TPM	Transition probability matrix of one occupant x_k	G_{other}	Load from other potential sources
x_k^{i-o}	Probability that occupancy status transfers from “in” to “in” or “out”	Q_r	Load of room r
x_k^{i-i}			
N_{i-i}	Frequency that occupancy status transfers from “in” to “in” or “out”	E_r	Energy cost to satisfy the cooling load at room r
N_{i-o}			
x_k^{Mac}	MAC address of occupancy x_k	m_r	Total supply air flow rate
$X(t)$	Input feature vector at time t	T_s	Supply air temperature
Y	Actual occupancy vector	$m_{OA,r}$	Outdoor air flow rate of room r
$F(x)$	Ensemble occupancy algorithm function	R_p	Outdoor air requirement for each occupant
$f_m(x)$	Meta occupancy algorithm function	P_r	Total number of occupants
m			
w_m	Weight value of function m	R_a	Outdoor air requirement for per area
L	Loss function	A_r	Total floor area of room r
Q_{nor}	Non-occupant-related load	$E_{ven,r}$	Energy use for ventilation of room r
Q_i	Occupant-related load	$Q_{vent,i}$	Ventilation load of room r
$Q_{inf,r}$	Heat gains from infiltration of room r	h_{OA}, h	Enthalpy value of outdoor and room air
$Q_{surf,r}$	Heat gains from surface of room r	$P_{pred.A}^r$	Prediction value of occupancy type A
$m_{inf,r}$	Flow rate of the infiltration air	t_0	Time resolution of the occupancy
C_p	Specific heat capacity of air	T	Length of the averaging time window
$T_{i,r}$	Temperature of room r	TP	Number of true positives
T_{air}	Temperature of outdoor air	TN	Number of true negatives
$A_{surf,r}$	Surface area of room r	FP	Number of false positives
K_{surf}	Heat transfer coefficient of surface	FN	Number of false negatives
G_p	H eat gain from per occupant	BM	Baseline model
G_{eq}	Load from equipment	OLE	Occupancy-linked e-CPS model
		M	

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38

391. INTRODUCTION

40Buildings consume more than 40% of primary energy among all energy-consuming
41sectors [1] and energy bills become the largest overhead in building maintenance and
42operation budget. An increasing number of building owners and decision makers
43recognize promoting building energy efficiency as the most cost-effective approach
44for conservation. In modern buildings, the majority of energy is consumed by the
45mechanical/facility systems, which consists of heating, ventilation, air-conditioning
46(HVAC), lighting, water, safety, and similar allied subsystems. However, promoting
47energy efficiency of these facility systems is extremely challenging, as they usually
48have to comply with complicated working conditions, comfort requirements, and
49dynamic energy demand. In recent years, researchers propose to integrate both
50physical building systems with engineered cyber models so that building systems can
51be monitored, coordinated, controlled, optimized with a computing and
52communication core [2]. The integrated system is able to model, visualize, and
53operate complex building systems with various computing tools, and such systems are
54called cyber-physical systems (CPSs). With advances in the sensors, sensor networks,
55and embedded computing systems, CPSs unlocked the potential of optimizing
56building energy systems, such consolidated system is called energy-cyber-physical
57systems (e-CPSs) [3]. The ideal e-CPSs are designed to reduce the power demand
58through computational optimization so that the demand can be satisfied by the
59available power with minimum waste [3]. In this context, strategies were developed to
60optimize building facility operation through frequency control, voltage control, or
61sleep state scheduling [4]. However, dynamic demand caused by occupants and
62distributed operation cause poor system coordination in the centralized control system
63[5]. The physical facility systems require the computational outcomes from cyber
64model to optimize their operation, but the biggest challenge is the unreliable and
65incorrect demand estimation, which often results in energy wastes or unsatisfied
66thermal comfort.

67Therefore, a well-integrated e-CPSs should ensure reliability of demand information,
68which is usually captured by the physical system. With accurate and meaningful data
69inputs, the cyber model can provide effective operation suggestions. However, a
70building's energy demand is mainly generated by occupants' thermal, lighting, and

71functional requirements, which are extremely dynamic and difficult to be captured by
72the physical building system. Conventional e-CPSs can synchronize physical
73mechanical and energy management systems with digital models, but they lack the
74ability to respond to uncertain demand of occupants. Due to this constraint, in
75practice, conventional e-CPSs usually are rigid and static systems that based on
76certain assumed operation schedules. To fill this research gap, this study proposes to
77implement structured occupancy information to bridge the cyber and physical systems
78and form a new occupancy linked e-CPSs. Such system incorporates WiFi probe
79technology and interpreters that are based on ensemble Wi-Fi signals classifiers. The
80WiFi probe infrastructure on the physical model side and the ensemble signal
81classifiers on the cyber model side can be integrated and bridged by the accurate and
82reliable occupancy estimation. With such occupancy information, accurate demand
83can be estimated and the facility operation can be optimized for the energy saving
84purpose.

85The rest of the paper is organized as follows. Section 2 reviews related works,
86including energy-cyber-physical systems (e-CPSs) studies and buildings. Section 3
87introduces the framework and quantitative occupancy linked e-CPSs. Section 4
88describes the validation experiment. Section 5 presents the results of experiment and
89simulation. Section 6 discusses the implication and limitation of this study, and
90Section 7 concludes this study.

91

92**2. BACKGROUND**

93**2.1 Energy management and cyber-physical system**

94With the increased capability and decreased cost of wireless sensors, CPSs are
95capable of capture various building information through efficient networks and
96abundant computing powers. Thus, researches proposed to develop CPSs for building
97energy management systems in future smart buildings [6]. Kleissl and Agarwal looked
98at modern smart buildings entirely as a cyber-physical energy systems and examined
99the opportunities with joint optimization of energy use by occupants and information
100processing equipment [7]. Balaji et al. explored two case studies on smart buildings
101and electric vehicles to examine the feasibility of implementation of CPSs for energy

102management [8]. Zhao et al. developed a conceptual scheme for CPSs based energy
103management in buildings that combines the building energy information system, net-
104zero energy system, and demand-driven system [9]. Paridari et al. proposed a cyber-
105physical-security framework that also includes building energy management system
106(BEMS) with resilient policy and security analytics [10]. Based on upon these efforts,
107researchers concluded that e-CPSs is one of most prominent platforms in promoting
108building efficiency by introducing energy management into the cyber-physical
109interaction loop.

110Current research on e-CPSs mainly focuses on framework design and data-driven
111control. For the framework design studies, researchers integrate building information
112models (BIM) [11] and energy simulation programs [12], such as Modelica [13] or
113EnergyPlus [14], with physical sensor networks. For example, Delwati et al.
114compared the design features of the demand-controlled-ventilation methods with
115Modelica and proposed guidelines for building ventilation designers [15]. Hong et al
116simulated variable refrigerant flow systems with EnergyPlus and tested the model
117with typical houses in California [16]. Grigore et al. studied a case of deploying an e-
118CPSs for thermal optimization through electrical load monitoring, forecasting, HVAC
119control, and smart grid integration [17]. Behl et al. proposed an open source e-CPSs,
120DR-Advisor, which also allows data-driven modeling and control with rule-based
121algorithms. Based on a comparison with DOE commercial reference buildings, their
122system showed a 17% energy saving [18]. For the data-driven thermal control studies,
123researchers focus on converting physically captured data to system operation schedule
124and settings. For example, Ferreira et al. utilized neural network to implement
125predictict control to imporve thermal comfort in public buildings [19]. Costanzo et
126al.employed reinforcement learning tool to develop data-driven control for heating
127systems [20].

128As the premise of effective e-CPSs is to ensure human-centric services (e.g. thermal
129comfort, visual comfort) while saving as much as possible energy, researchers
130recognized that occupancy information played a central role to guarantee the e-CPSs'
131performance in smart buildings [21]. Latest studies suggest that accurate occupancy
132information not only links the physical building systems and cyber models but also
133mitigates the discrepancies between the designed/simulated and the actual building

134operation performance [22]. Menezes et al. conducted a comprehensive study on the
135non-domestic buildings and concluded that occupancy information is significant to
136building energy and occupancy comfort benchmarking [23]. Liang et al. also stated
137occupancy data should be included to improve accuracy of building energy use
138predicting since occupancy is highly correlated with energy use and thermal comfort
139[24]. Wang et al. applied neural networks and WiFi technology to predict occupancy
140and integrate it to efficient building HVAC control and save 20% energy through
141avoiding overheating and overcooling [25]. Barbeito et al. assessed occupant thermal
142comfort and energy efficiency in buildings using statistical quality control (SQC) with
143integrated big data web energy platform [26]. Zhang et al optimized ventilation
144systems to satisfy occupant thermal comfort and saved 7.8% of total energy
145consumption [27]. Korkas et al. proposed a study of matching energy generation and
146consumption with occupant behavior to guarantee occupant thermal comfort and
147developing demand response in microgrids with renewable energy sources [28]. Chen
148et al. applied occupant feedback based model predictive control (MPC) for thermal
149comfort and energy optimization and proposed a novel dynamic thermal sensation
150model, saving 25% of energy use while maintaining thermal comfort level [29]. Lim
151et al. discussed occupant visual comfort in office spaces based on occupants'
152behaviors and reported 33.39% of lighting energy saving [30]. Shen et al. integrated
153lighting control strategies with occupancy state to guarantee visual comfort and
154resulted in a 48.8% saving [31].

155

1562.2 e-CPS and occupancy information

157Usable and efficient building cyber models require a good understanding of
158occupants' energy demand and meaningful inputs from physical building systems
159[32,33]. Many studies suggested that the actual energy consumption of physical
160buildings severely deviates from the estimations of cyber models due to incorrect
161estimation of occupancy behavior [34]. Significant discrepancies between actual and
162estimated energy performance have been observed due to the complicated
163interrelationship between occupancy and building facility operation and the
164uncertainty of human behavior [35]. Oldewirtel et al. investigates the potential of
165using occupancy information to realize a more energy efficient building climate

166control and in the simulations with alternating occupancy, the savings are in the range
167of 50% of the savings with homogeneous occupancy [36]. Hong et al. discussed ten
168questions concerning occupant behavior and building energy performance [37]. The
169International Energy Agency (IEA) Energy in Building and Community (EBC)
170Programme Annex 66 also highlighted and concluded that occupancy and occupants'
171behaviors are the most significant role for various research of enhancing building
172performance and human-centric services [38]. However, both physical building and
173cyber model are seldom changed in CPSs after the building has been built and the
174system uncertainties mainly arise from dynamic occupants' behavior and weather
175conditions. Many studies concluded that the occupancy information is one of the most
176significant considerations in energy conservation or low energy building design
177[39,40]. Therefore, as occupancy is the most critical data sources in energy demand
178estimation, e-CPSs should allow accurate and reliable occupancy information
179exchange between the physical system and cyber model.

180Real opportunities for improving current e-CPSs exist where sensors, Information and
181Communication Technology (ICT), and data analytics can provide real-time occupant-
182related energy demand to guide building operation. Due to the complicated
183interrelationship of the energy consumption in building facilities and occupant
184behaviors [35,36,41], implementing occupancy information to improve building
185energy efficiency has been proven a feasible and cost-effective approach. For
186example, Kim et al. employed occupancy in simulation models and significantly
187reduced the deviated plug-load estimation [42]. Yang et al. investigated energy
188consumption of three institutional building in Singapore with the variability of daily
189occupancy and additional occupancy due to visitors [43]. Yang and Becerik-Gerber
190reported in their studies that the occupancy profiles-based operation schedule and
191room assignment can reduce 8% of HVAC energy use [44]. Pisello et al. suggested
192human-based energy retrofits can effectively promote energy efficiency in residential
193buildings with simulated post-occupancy information [45]. Chen et al. utilized
194occupancy information to visualize and validate the impact of occupants' behavior on
195commercial buildings [46].

196To acquire occupancy information, researchers have proposed various methods. Jin et
197al. detected occupancy information through environmental sensing based on proxy

198 measurements, such as temperature and CO₂ concentrations, and achieved 0.6044
199 mean squared error and 55% ventilation cost reduction [47]. Other researchers
200 focused on using smart meters to infer occupancy presence when no data or limited
201 data is available and reported a detection accuracy of 93% for residences and 90% for
202 offices, respectively [48]. On the other hand, Radio frequency identification (RFID)
203 can be applied for indoor occupant positioning, e.g. Weekly applied RFID based
204 sampling importance resampling particle filtering algorithm for occupant positioning
205 in a real office and achieved an accuracy of 50% estimates within 3 m range and 90%
206 estimates within 5 m range [49]. WiFi networks are the most preferable infrastructure
207 in existing buildings, since they are efficient, affordable, and convenient [50]. In
208 addition, WiFi access points are usually pre-installed in most modern buildings and
209 multiple networks can cross-reference each other. The occupants' smartphones can
210 serve as signal receivers or tags by measuring the signal strength indicators (RSSI)
211 and hardware addresses. Thus, with these considerations, researchers developed
212 various WiFi-based occupancy approaches to optimize HVAC operation [51]. For
213 example, Chen et al. showed the number of Wi-Fi connections have a positive
214 relationship with building energy consumption [52]. Balaji utilized WiFi networks
215 and smartphones to adjust HVAC operation setting and achieved a 17.8% electricity
216 saving [53]. Jin et al. proposed a PresenceSense research with data collection through
217 multiple sensing sources, including ultrasonic sensors, acceleration sensors, and WiFi
218 [54]. Zou et al. proposed a non-intrusive occupancy sensing system, called WinOSS,
219 to count WiFi-enabled mobile devices, which can achieve 98.85% occupancy
220 detection accuracy when occupants stay stationary [55]. Zou et al. claimed
221 implementing Internet of Things (IoT) technologies the counting accuracy can be as
222 high as 99.1% [56].

223 Although many researchers recognized that the key of e-CPSs to promote building
224 energy efficiency is integrating occupancy information, the interface to bridge sensing
225 outcomes and e-CPS platform remains unfeasible. Inspired by previous researches,
226 this study intends to develop a quantitative framework to interpret dynamic WiFi
227 signals as useful occupancy schedules and profiles for cyber energy models. To
228 achieve this goal, this study proposed an occupancy linked e-CPSs model (OLEM) to
229 take advantage of existing Wi-Fi infrastructure in buildings and to incorporate

230ensemble classification algorithm for occupancy detection and predication. The
231proposed OLEM utilized three occupancy data formats as interface and WiFi probe
232technology toolset to bridge energy management system and CPSs.

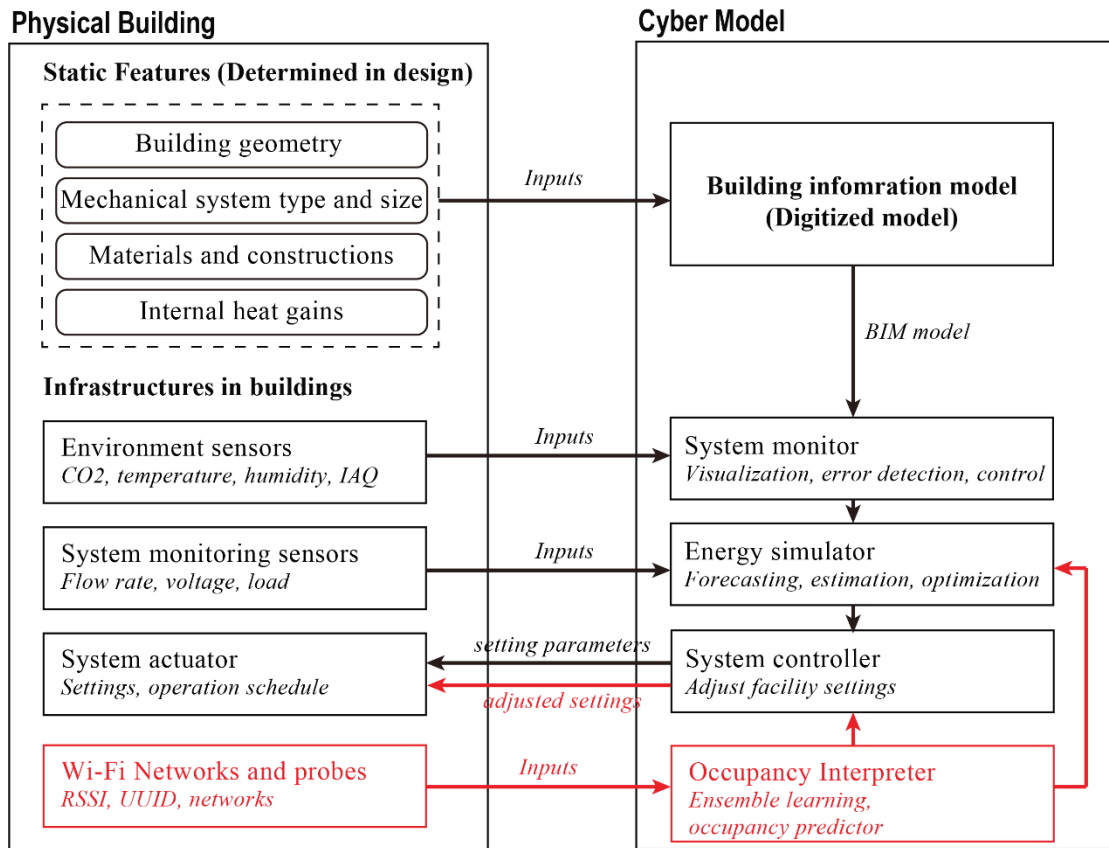
233

234**3. METHODOLOGY**

235**3.1 Occupancy linked e-CPSs**

236A fundamental e-CPSs framework includes at least a physical building system and
237cyber model for energy management and optimization. The physical building model
238reflects the actual conditions and performance of a building while the cyber model is a
239digital twin that can be used for various computational processes. The physical
240buildings usually have sensors and sensor network installed which allows acquiring
241the various types of environmental information, such as temperatures, CO₂
242concentration, and relative humidity (RH), and system operation information, such as
243supply/outdoor air flow rate and temperature, pump efficiency, and instantaneous
244energy load. The building information model is the key to associate both components
245and to create a dependable digital twin for the actual building. The building
246information model contains static features and dynamic operation settings. The static
247features include building materials, geometry, location, system type, and etc., while
248the dynamic operation settings include the operation schedule, efficiency, and settings
249of HVAC, lighting, and security systems.

250To extend conventional e-CPSs, this study proposes to integrate dynamic occupancy
251information to enable data exchange between the physical building and cyber model.
252As the physical infrastructure of the building system, Wi-Fi networks were utilized to
253obtain the signal strength of occupants' device/tag. The obtained occupancy
254information serves as the inputs for a cyber model for data analysis and system
255optimization. To connect both components of e-CPSs, this study also developed an
256occupancy interpreter based on ensemble algorithms to convert Wi-Fi signal strengths
257to occupant number and schedule. Once detailed occupancy information is captured,
258the cyber model can conduct energy simulation with the building information model
259and suggest proper operational settings for the facility/mechanical systems. The
260Figure 1 shows the structure of the proposed occupancy linked e-CPSs.



* Conventional e-CPSs

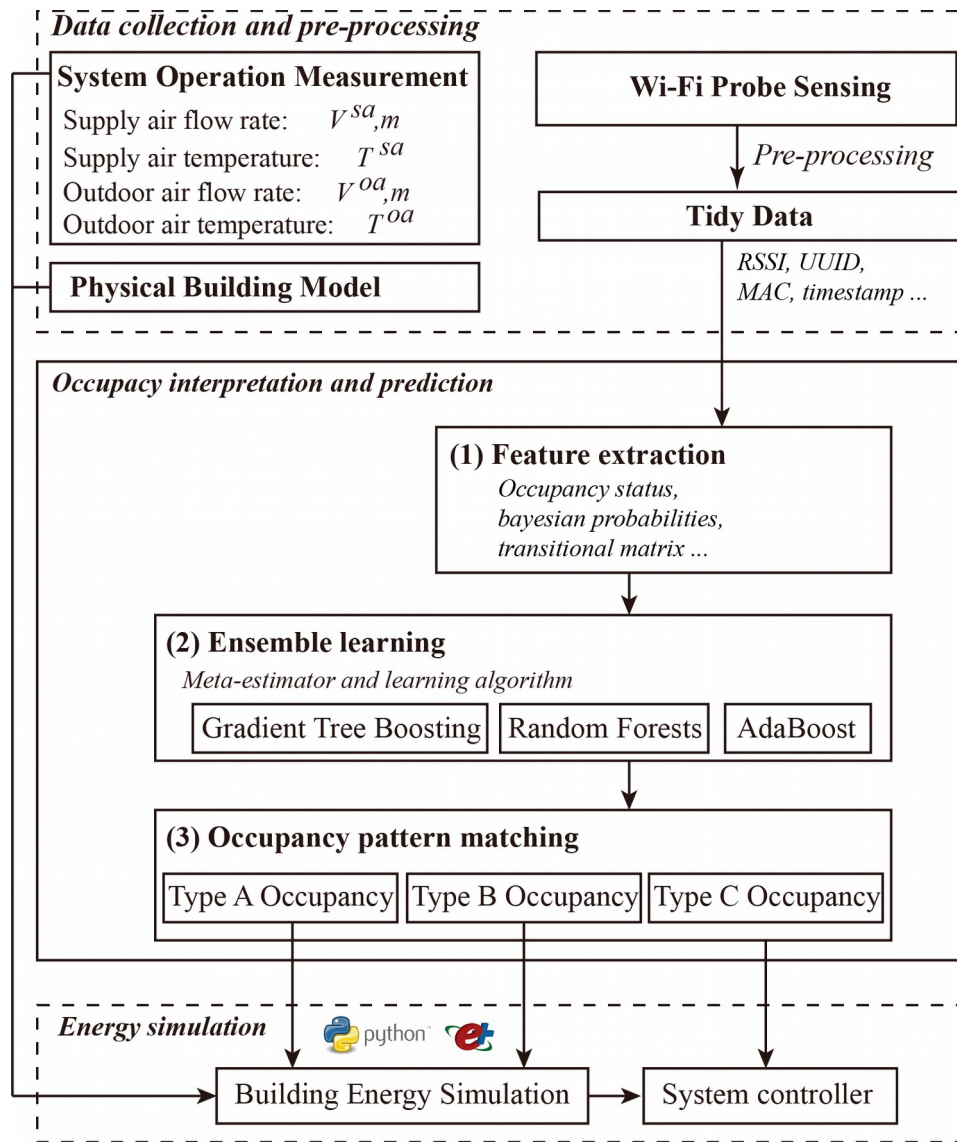
261 * Occupancy linked e-CPSs

262 Fig. 1. The scheme of the occupancy linked e-CPSs.

263

2643.2 Wi-Fi Probe-based ensemble learning algorithm for occupancy prediction

265 This study proposes to utilize Wi-Fi probes as the active detector for occupants
 266 (occupants are assumed to have a smartphone or tag with the capacity of Wi-Fi
 267 connection) and the proposed prediction algorithm implements a set of ensemble
 268 algorithms. The algorithm serves as the occupancy interpreter to convert received Wi-
 269 Fi signal strengths to the number and residency patterns of occupants and send the
 270 results as the inputs for energy simulator. The process of data interpretation includes
 271 three steps: (1) Feature extraction; (2) Ensemble learning; and (3) Occupancy pattern
 272 matching. Figure 2 shows a simplified process of the proposed algorithm.



273

274 Fig. 2. The process of the Wi-Fi Probe-based ensemble learning for occupancy.

275

2763.2.1 Feature extraction

277The appearance of occupants in a building space shows a strong stochastic
 278characteristic [57], thus, the occupancy prediction is usually modeled as a Markov
 279process [58,59], in which current occupancy status depends on previous occupancy
 280status. For example, the probability of an occupant leaves a space only feasible when
 281he/she is already in the space. Therefore, the feature extraction step models an
 282occupant status in a given space as “in” or “out” and the transfer probability and
 283transition matrix of the Markov process can be modeled as

$$TPM|_{x_k} = \begin{bmatrix} x_k^{i-o} & x_k^{i-i} \\ x_k^{o-o} & x_k^{o-i} \end{bmatrix} \quad (1)$$

284Where $TPM|_{x_k}$ represents the transition probability matrix of one occupant x_k .
 285In the transition matrix, x_k^{i-o} and x_k^{i-i} denote the observed probability that one
 286occupant whose status is “in” at the current time would be “out” or still “in” at the
 287next time. x_k^{o-o} and x_k^{o-i} denote the observed probability that one occupant
 288whose status is “out” at the current time would be “out” or “in” in the next time
 289interval. The probability can be computed with an observed conditional probability
 290based on Bayesian models.

$$x_k^{i-i} = P(\text{observed state} = i | \text{observed state} = i) \quad (2)$$

291Therefore, the occupied probability of one media access control (MAC) address is

$$x_k^{i-i} = \frac{\sum N_{i-i}}{\sum N_{i-i} + \sum N_{i-o}} x_k^{o-o} = \frac{\sum N_{o-o}}{\sum N_{o-o} + \sum N_{o-i}} \quad (3)$$

292Where N_{i-i} is the frequency in which the occupancy status transfers from “in” to
 293“in”. N_{i-o} is the frequency in which the occupancy status transfers from “in” to
 294“out”. Similarly, N_{o-o} and N_{o-i} represent the frequencies in which the
 295occupancy status transitioned from “out” to “out” and from “out” to “in”, respectively.
 296With an assigned probability for MAC addresses in the room. Each MAC address is
 297formatted as

$$x_k = \{x_k^{Mac}, x_k^{o-i}, x_k^{i-i}\} \quad (4)$$

298Then, suppose there are n occupants at one time spot t, then input feature vector at
 299time can be as

$$X(t) = \{x_1^{Mac}, x_1^{o-i}, x_1^{i-i}, \dots, x_k^{Mac}, x_k^{o-i}, x_k^{i-i}, \dots, x_n^{Mac}, x_n^{o-i}, x_n^{i-i}\} \quad (5)$$

300

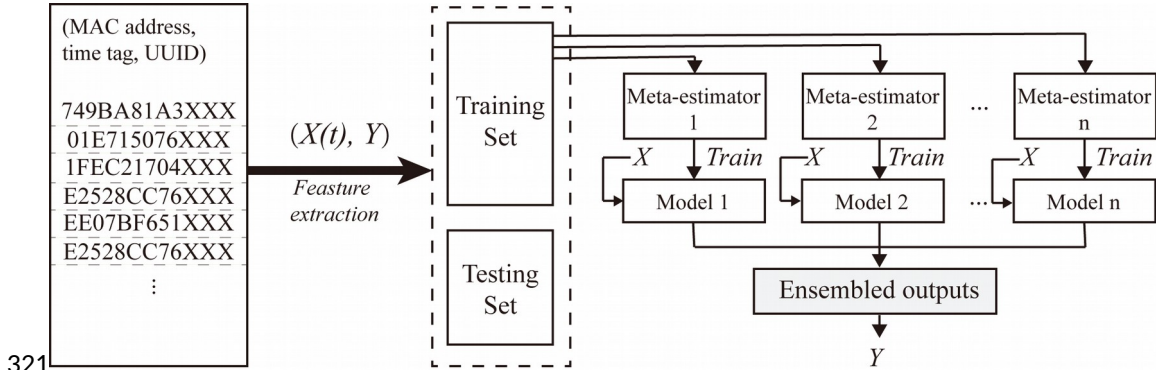
3013.2.2 Ensemble learning algorithms

302There are main families of ensemble methods. The first method is averaging, which
 303builds several estimators independently and then average predictions through
 304minimizing their prediction variance, such as Bagging methods and Forests of

305 Randomized Trees. The second method is boosting, which builds sequential
 306 estimators to reduce the bias by combining several weak models, such as AdaBoost
 307 and Gradient Tree Boosting. The ensemble learning algorithm in this study integrates
 308 multiple meta-estimators through boosting method.

309 Through feature extraction, raw data can be interpreted as an input vector of (
 310 $X(t), Y$). Where Y is actual occupancy (label) as the learning object and $X(t)$
 311 are extracted features in previous section. The ensemble learning is built upon
 312 numbers of multiple meta-estimators, which are usually simple and weak models,
 313 such as a decision tree. Decision tree uses a tree structure to create a model that
 314 predicts the value of a target variable based on several input variables. The tree can be
 315 learned by splitting the source set into subsets based on an attribute value test. This
 316 process is repeated on each derived subset until the splitting no longer adds value to
 317 the predicting model. Figure 3 shows the structure of the ensemble learning for
 318 occupancy prediction. $X = \{x_1, x_2, \dots, x_N\}$ is defined as a set of N observations
 319 of Wi-Fi dataset inputs with associated output $Y = \{y_1, y_2, \dots, y_N\}$.

320



321

322 Fig. 3. The ensemble learning algorithm for occupancy prediction.

323

324 Suppose the ensemble outputs can be estimated from the aggregated results from
 325 multiple meta-estimators as:

$$F(x) = \sum_{m=1}^M w_m f_m(x) \quad (6)$$

326 Where $f_m(x)$ are the basis functions of meta-estimators. n is the index of meta-
 327 estimators and w_m is the weight parameter assigned to one meta-estimator. The

328 iterative form of above equation can be represented as:

$$F_m(x) = F_{m-1}(x) + w_m f_m(x) \quad (7)$$

329 w_m is the weight of the estimators. In each iteration, the decision tree $f_m(x)$ is
330 chosen to minimize the loss function L given the current model $F_{m-1}(x_i)$.

$$F_m(x) = F_{m-1}(x) + \arg \min_f \sum_{i=1}^n L(y_i, F_{m-1}(x_i) + f(x)) \quad (8)$$

331 Other than the regular decision tree, the meta-estimators can be substituted with other
332 more complicated classifiers. This study also embedded three other ensemble
333 algorithms (Gradient Tree Boosting classifier, Random Forest classifier, and Adaptive
334 Boosting classifier) in the occupancy prediction model.

335

336(1) *Gradient Tree Boosting (GTB)*

337 Gradient Tree Boosting (GTB) classifier is a generalization of boosting to arbitrary
338 differentiable loss functions. GTB classifier can easily handle the mixed type of data
339 and is robust to outliers with improved loss functions. GTB attempts to solve the
340 minimization problem numerically via steepest descent, the direction of which is the
341 negative gradient of the loss function.

342 The GTB algorithm generates a model, which combines multiple simple trees in
343 sequence. The minimum error is achieved by searching the best split of trees. The
344 simple process of GTB can be illustrated as:

- 345 • Initial predicted value is assumed for all observation in the datasets. Error is
346 calculated using the assumed predictions and actual datasets.
- 347 • A decision tree model is created using the errors. Split the tree branches to
348 search the minimal error.
- 349 • Model should be updated and be used to generate new predictions. New errors
350 can be calculated with new predictions and actual datasets.
- 351 • Repeat this process till maximum number of iterations is reached or error
352 converges.

353

354(2) *Random Forests (RF)*

355 Random Forests (RF) is another ensemble machine learning algorithm that follows
356 the bagging technique. The base estimators in random forest are decision trees. Unlike
357 bagging meta estimator, RF classifier randomly selects a set of features which are
358 used to decide the best split from the training set. By doing this, the sample bias can
359 be eliminated and the best split among trees can be selected. With averaging, the
360 variance of meta-estimators can be minimized, hence yielding a better model.

361 The RF model create multiple trees for subsets of the whole dataset. Each tree is much
362 smaller than that of GTB. The final classification is the aggregated results based on all
363 trees. The minimum error is achieved by properly selecting trees for subsets. The
364 process of a random forest algorithm can be summarized as:

- 365 • Random subsets are created from the original dataset (as bootstrapping).
- 366 • Formulate decision trees for subsets. At each node in the decision tree, only a
367 random set of features are considered for the best split.
- 368 • An optimized decision tree model is fitted for each subset for all features.
- 369 • The final predictions of the outputs are averaged from the predictions of all
370 decision trees.

371

372(3) *Adaptive Boosting (AdaBoost)*

373 Adaptive Boosting (AdaBoost) classifier, one of the simplest boosting algorithms,
374 implements multiple sequential rules (weak classifiers) on the meta-estimators. The
375 predictions from all of the estimators are combined through a weighted majority vote
376 (or sum) to produce the final prediction. For each successive iteration, the weights are
377 individually modified and the learning algorithm is reapplied to the reweighted data.

378 The AdaBoost uses rules to classify the inputs, and the final classification is the
379 aggregated results based on all rules. Different from RF, AdaBoost assigns unequal
380 weights to subsets. The minimum error is achieved by properly selecting rules and
381 subset weights. Below is a brief summary of the process of performing the AdaBoost
382 algorithm:

- 383 • Assign equal weights to all observations in the dataset.

- 384 • Rule models are built for subsets and compute the predictions for the whole
- 385 data set.
- 386 • Compute errors by comparing the predictions and actual data. Update the rule
- 387 models and assign higher weights for incorrectly predicted observations.
- 388 • Repeat above steps until errors are minimized.

389

3903.2.3 Occupancy pattern matching

391 Buildings consume energy to ensure the thermal comfort and indoor air quality for
 392 occupants. The energy load of a building can be categorized as non-occupant-related

393 load ($Q_{nor,i}$) and occupant-related load ($Q_{i,i,i}$) . The non-occupant-related load

394 comes from the heat transfer across the building envelope and outside environment,
 395 which highly depends on weather conditions. The total energy load can be roughly
 396 estimated as

$$Q_{nor,r} = Q_{inf,r} + Q_{surf,r} \quad (9)$$

$$Q_{inf,r} = m_{inf,r} * C_p * (T_{i,r} - T_{air}) \quad (10)$$

$$Q_{surf,r} = A_{surf,r} * K_{surf} * (T_{i,r} - T_{air}) \quad (11)$$

397 Where $Q_{inf,r}$, $Q_{surf,r}$ are the heat gains from infiltration and surface,
 398 respectively. $m_{inf,r}$ is the flow rate of the infiltration air; C_p is the specific heat
 399 capacity of air; $T_{i,r}$ and T_{air} are the temperature of a room and outdoor air,
 400 respectively; $A_{surf,r}$ is the surface area of a room; K_{surf} is the heat transfer
 401 coefficient.

402 The occupant-related load includes internal gain from occupants and equipment
 403 operated by occupants.

$$Q_{i,r} = \sum_{P_r} G_p + \sum_{P_{eq}} G_{eq} + \sum G_{other} \quad (12)$$

$$Q_r = Q_{nor,r} + Q_{i,r} \quad (13)$$

404 Where P_r is the number of occupants and G_p is the heat gain from per
 405 occupant. G_{eq} contains the load from computers, water heaters, lights etc.; P_{eq}

406 is the index of equipment; Q_r is the total cooling load of a room. At room level,
 407 the ventilation and air conditioning system should provide enough conditioned air to
 408 maintain proper indoor temperature and the air handling system should supply
 409 sufficient fresh air.

$$E_r = Q_r = m_r * C_p * (T_{i,r} - T_{s,r}) \quad (14)$$

410 Where E_r is the energy cost to satisfy the cooling load at room level. m_r is the
 411 total supply air flow rate. T_s is the supply air temperature.

412 In practice, American Society of Heating, Refrigerating and Air-Conditioning
 413 Engineers (ASHRAE) standards recommends minimum ventilation approach, which
 414 requires a rough estimation on the number of occupants. The suggested ventilation
 415 amount includes both a people component (to dilute contaminants from people and
 416 their activities) and an area component (to dilute contaminants from non-occupant-
 417 related sources that are more related to floor area than occupants) [60]. Outdoor
 418 airflow required in the breathing zone of the occupied space or spaces in a zone
 419 should be computed first.

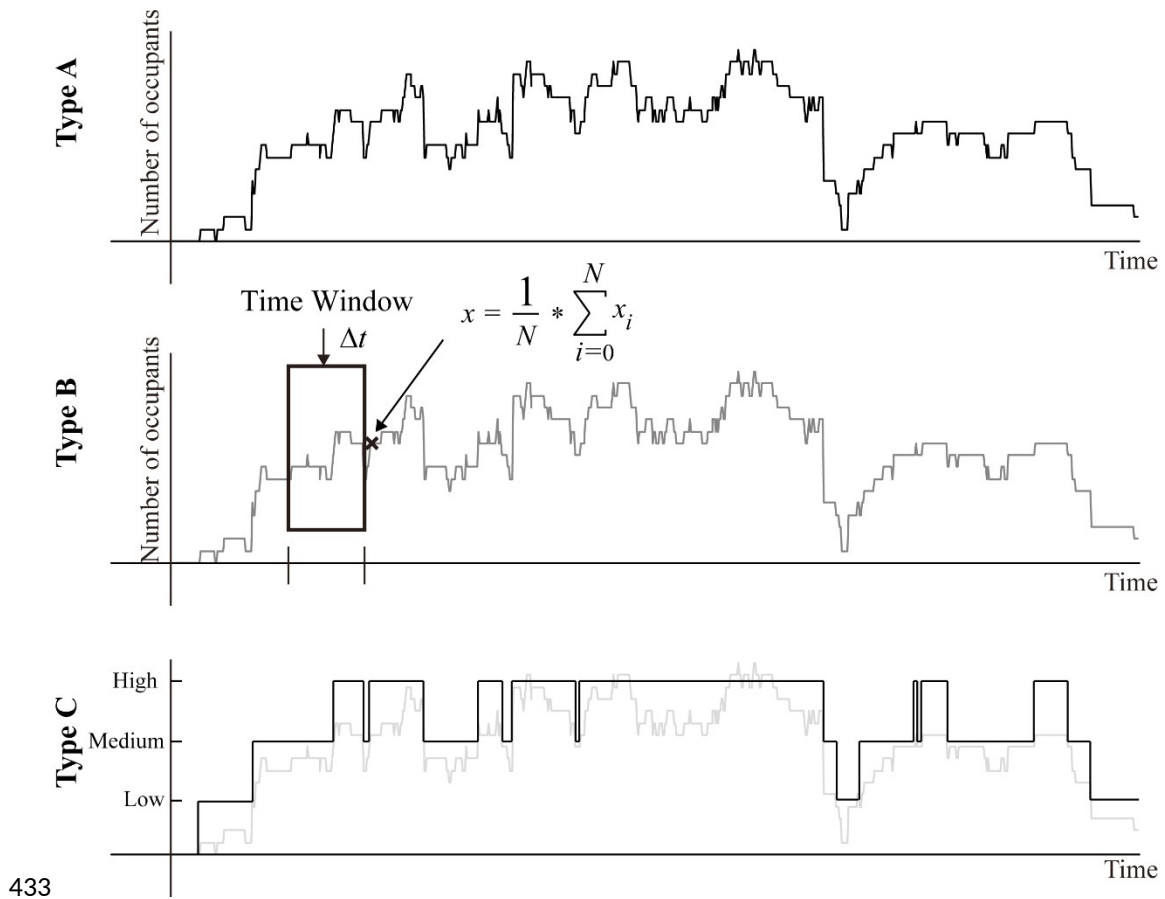
$$m_{OA,r} \geq R_p * P_r + R_a * A_r \quad (15)$$

420 Then,

$$E_{ven,r} = Q_{vent,r} = m_{OA,r} * (h_{OA} - h_i) = m_{OA,r} * (f(T_{air}, H_{air}) - f(T_{i,r}, H_{i,r})) \quad (16)$$

421 Where $m_{OA,r}$ is the outdoor air flow rate of a room. R_p is the outdoor air flow
 422 rate requirement for each occupant. R_a and A_r are the outdoor air flow rate
 423 requirement for per area and the total floor area of room, respectively. $E_{ven,r}$ and
 424 $Q_{vent,r}$ are the energy consumption for cooling of ventilation. h_{OA} and h_i are
 425 the enthalpy value of outdoor air and room air, respectively. H_{air} and $H_{i,r}$ are
 426 the humidity of outdoor air and indoor air, respectively.

427 Based on above itemized energy loads, to match the system operation and energy
 428 simulation model, this study utilized three operation schedules based on different
 429 occupancy types. Figure 4 illustrates a typical occupancy schedule of each occupancy
 430 type. In the baseline simulation model, all other system operation settings, such as the
 431 supply air flow rate and outdoor air flow rate, are either set by facility managers or
 432 captured by sensors.



434 Fig. 4. Sample occupancy schedules for three occupancy types.

435

436(1) **Type A occupancy**

437Type A occupancy reports the continuous and exact occupancy information (number
 438of occupants in a space) that estimated by the ensemble algorithm. The operative
 439temperature and relative humidity settings are computed with ASHRAE standard
 44062.1-2013 recommended thermal comfort based on the number of occupants. Then
 441the minimum outdoor air flow rate can be computed accordingly.

$$m_{OA} = m_{pred.min}^{OA} = R_p * p_{pred.A}^r + R_a * A_r \quad (17)$$

442Where $T_{i,r} = T_{setting}$ and $H_{i,r} = H_{setting}$ are the temperature and humidity settings.
 443 $p_{pred.A}^r$ is the predicted results of type A occupancy. $m_{pred.min}^{OA}$ is the minimum
 444outdoor air flow rate based on such data type.

445

446(2) **Type B occupancy**

447As the detected occupancy is often contaminated by random noise and the
 448optimization for system operation is periodical, discrete occupant number with
 449suitable time interval is preferable in many cyber energy models. In addition,
 450fluctuations in occupancy could result in excessive adjustments. Therefore, Type B
 451occupancy applies time window to average occupancy within its length.

$$p_r = p_{pred.B}^r = \frac{t_0}{T} * \sum_{i=0}^{T/t_0} x_i \quad (18)$$

452Where $p_{pred.B}^r$ is the predicted occupancy. t_0 is the time resolution of the
 453occupancy. T is the length of the averaging time window.

454

455(3) Type C occupancy

456Type C is a simplified categorical scale occupancy for the ease of system operation. In
 457type C occupancy, the predicted results are divided into four levels, including zero,
 458low, medium, and high. The mechanical system can switch between setting scenarios
 459based on the building occupancy level.

460In summary, the entire process of occupancy prediction with the ensemble algorithm
 461is illustrated in Figure 2.

-
1. Feature abstraction from Wi-Fi dataset
 2. Define occupancy patterns
 3. Define Input $X = \{x_1, x_2, \dots, x_n\}$, Output $y = \{y_1, y_2, \dots, y_n\}$, a set of base estimators $F = \{f_1(x), f_2(x), \dots, f_M(x)\}$. Loss function L
 4. Select parameter in parameters tuning set
 - For $i = 1$ to M number of iterations):
 - (a). Compute residuals
 - (b). Fit pseudo-residuals using base estimator
i.e. set f_m to minimize $L(y, f_m(x))$
 - (c). Find multiplier, $w_m = \operatorname{argmin}_f \{L(y_i, F_{m-1}(x) + f_m(x))\}$
 - (d). Update $F_{m-1}(x) + w_m f_m(x)$
 - Output: occupancy model $F_m(x)$
 - Calculate assessment metric (MAE, RMSE)
 5. Output occupancy model to minimize assessment metric for occupancy patterns
 6. Output occupancy pattern file
-

462

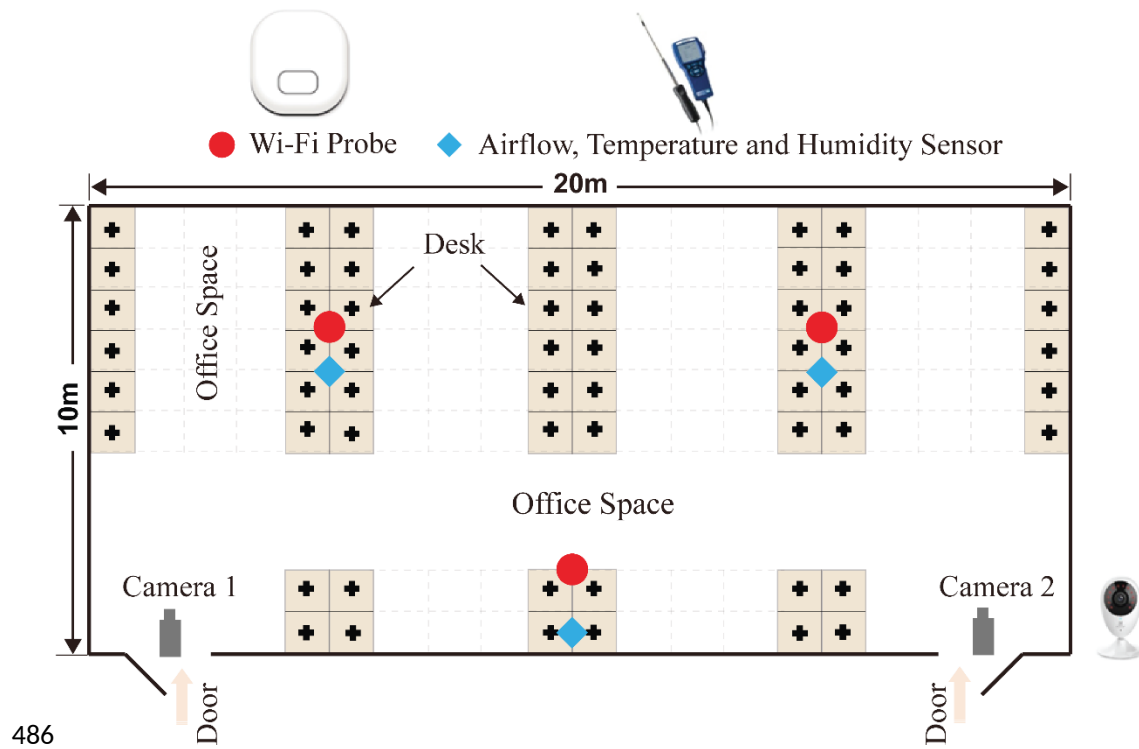
463 Fig. 5. The pseudocode of the ensemble algorithm for occupancy prediction

464

465**4. VALIDATION EXPERIMENT**

466**4.1 Physical conditions of the experiment testbed**

467To examine the proposed occupancy linked e-CPSs, this study also conducted a
468validation experiment in a large office space. The testbed has an area of about 200
469square meters and 20 long-term residents during the experiment period. Figure 6
470shows the space layout and sensors setup. The room equipped with a dedicated
471outdoor air system to bring outdoor air into indoor areas without air handling process.
472The indoor air is conditioned by the fan coil unit with the variable refrigerant flow
473and the indoor air circulation is driven by positive pressure. The entire room has Wi-
474Fi coverage with three Wi-Fi probes. During the experiment, TA465-X sensor system
475(produced by TSI Co.) was utilized to monitor the indoor air temperature, relative
476humidity, and airflow rate. The CO₂ concentration of return air of the fan coil unit
477was used to approximate the CO₂ concentration of the indoor air after air mixing. To
478eliminate the uneven air mixing, three environmental sensors were evenly installed at
479the ceiling (3m). Air flow meters were installed near outdoor inlets to monitor the air
480flow rate of the ventilation system. Two overhead cameras were installed to record the
481entrance and exit events of occupants. During the experiment, the occupants aware of
482the Wi-Fi experiment and were instructed to switch on their Wi-Fi signal on their
483mobile devices. Table 1 shows the specifications of the installed sensors, including
484data storage types, sensing intervals, range, accuracy, and resolution. The experiment
485lasted for nine days.



486

487

Fig. 6. Space layout and equipment setup.

488

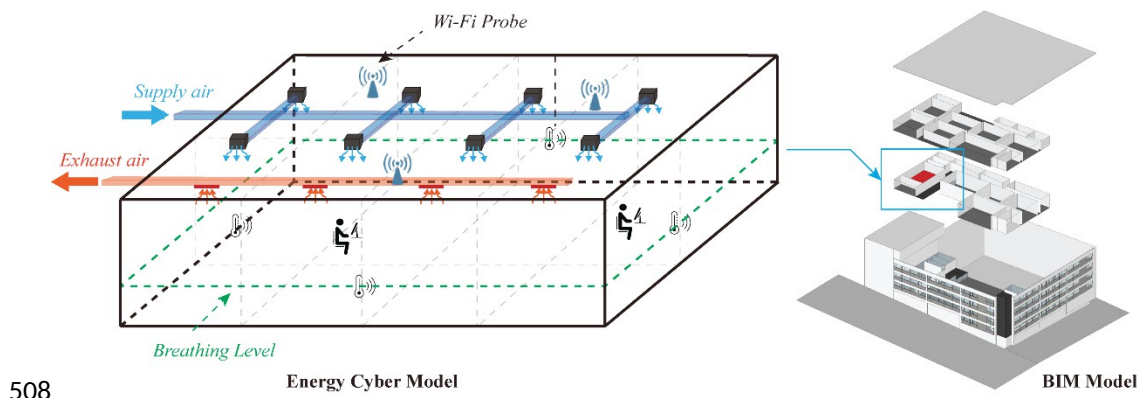
489

Table 1. Sensors used in the experiment.

Sensors	Camera	Wi-Fi Probe	Environmental Sensors			
			Air flow rate	Temperature Sensors	Humidity Sensors	Other Sensors
Recorded Variables	Time, Actual occupancy	Time, MAC address, RSSIs	Time, Temperature, Relative humidity, Air flow rate, Air pressure			
Data Storage	Online	Online	Local			
Sensing interval		30s	1min	1min	1min	
Range			0 - 9999 ft/min	14 - 140 °F -10 - 60 °C	0 to 95%	
Accuracy			±3% or ±3 ft/min	±0.5°F (±0.3°C)	< 3%	
Resolution			1 ft/min	0.1°F (0.1°C)	0.10%	

4914.2 Cyber model for energy management and simulation

492Figure 7 shows the energy cyber model applied in this study. The model was
 493developed with EnergyPlus and DOE2 to optimize facility operation. Based on BIM
 494models, the energy cyber model is able to incorporate construction materials, building
 495geometries, and schedule of operation to estimate the energy consumption of the
 496building. With co-simulation with other programming languages, such as Matlab or
 497Python, the model is capable of tuning system settings to minimize energy
 498consumption. This study employed Eppy, a Python package that can manipulate
 499EnergyPlus IDF files [61], to search for the optimal system settings. It takes full
 500advantage of the rich data structure and idioms that are available in Python and
 501provide availability of designing expected energy model and algorithm to integrate
 502physical and cyber models. Eppy can help programmatically navigate, search, and
 503modify EnergyPlus IDF files. Users can use Eppy to create one or multi new IDF
 504files, make changes to original IDF files, change occupancy schedule in all the
 505interior zones, and read data from the output files after EnergyPlus simulation run.
 506Related to occupancy linked e-CPSs, Eppy provides an interface to link occupancy
 507results from ensemble models as the input to cyber energy model with Python.



509 Fig. 7. The energy cyber model for the experiment tested.

510The cyber model matches the physical room with a size of 20 m (length) x 10 m
 511(width) x 3 m (height) and 20 occupants. Internal heat sources were set as 75W for per
 512person, 150W for per computer, and 35W for per lamp. The light schedule followed
 513the on/off schedule and the schedule for computers was assumed to same as the

514occupancy schedule. Hong Kong has a subtropical climate and high-density highrise
 515urban form. According to statistics [62], the typical mean, minimum, maximum
 516values of monthly average temperature are around 23.4°C, 13.3°C, and 29.8°C,
 517respectively. Also, relative humidity (RH) of Hong Kong is high and minimum,
 518maximum values of monthly average RH are 78.2%, 60%, and 90%, respectively. The
 519typical Hong Kong weather condition was used and the heat transfers from wall, floor,
 520and ceiling were ignored since the experiment was conducted in one inner zone
 521adjacent to conditioned zones. The cooling temperature setpoint is 24°C and there was
 522no heating.

523

5244.3 Data processing

5254.3.1 Actual occupancy information

526To collect the ground truth for training the ensemble learning algorithms and
 527assessing the model errors, two cameras were installed above the two entrances of the
 528experiment testbed. The number of occupants was counted through video analysis
 529based on the camera records. The counted numbers were synchronized with the
 530internet timestamp with a five-minute interval. To match the Type C occupancy data,
 531the number also was also translated to categorical occupancy levels as specified in
 532Table 2.

533Table 2. The threshold setting for categorical occupancy levels

Occupancy level	Number of people
Zero (0)	0
Low (25%)	1-6
Medium (50%)	7-14
High (75%)	15-20

534

5354.3.2 Model parameters tuning

536To improve the facility operation with reliable occupancy information, it is necessary
 537to identify, compare, and optimize the ensemble model through parameter tuning. The
 538training model implemented n-fold cross-validation method. In this study, the raw
 539dataset has total 882 samples and about 70% of dataset was used for model training

540and 30% for model validation and test. Table 3 shows the search space for the
 541parameters tuning. The multi-variable comparison in the exhaustive grid search is
 542applied to identify the best assembly of model parameters. For the RF classifier, the
 543number of estimators determines the results precision and training time, while the
 544number of features affects the accuracy and the diversity of results. For GTB and
 545AdaBoost classifiers, learning rate affects the boosting step length of the gradient
 546descent procedure.

547Table 3. Parameters search space for the occupancy ensembled model

Algorithm	Parameter	Range
GTB	Number of estimators	[100; 150; 200; 250; 300; 400; 500; 600; 800; 1000; 1200]
	Learning rate	[0.01; 0.02; 0.05; 0.1; 0.2; 0.25; 0.3; 0.4; 0.5]
	Min_samples_split	[2; 3; 4; 5; 6; 8; 10; 15]
	Max_tree_depth	[3; 4; 5; 6; 7; 8; 9; 10; 12; 15]
AdaBoost	Number of estimators	[100; 150; 200; 250; 300; 400; 500; 600; 800; 1000; 1200]
	Learning rate	[0.01; 0.02; 0.05; 0.1; 0.2; 0.25; 0.3; 0.4; 0.5]
Random Forest	Number of estimators	[100; 150; 200; 250; 300; 400; 500; 600; 800; 1000; 1200]
	Max_features	['all'; 'sqrt'; 'log2']
	Min_samples_leaf	[1; 2; 3; 4; 5; 6; 7; 8; 9; 10]

548

5494.3.3 Error assessment

550To evaluate the effectiveness and accuracy of the model, both the mean average error
 551(MAE) and root mean squared error (RMSE) metrics were used for Type A and Type
 552B occupancy. For discrete Type C occupancy, the Accuracy (ACC) is defined with
 553true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN)
 554of the confusion matrix.

$$TPR = \frac{TP}{TP + FP} \quad (19)$$

$$TNR = \frac{TN}{TN+FN} \quad (20)$$

$$ACC = \frac{TP+TN}{TP+TN+FP+FN} \quad (21)$$

555 Meanwhile, the value of the area under curve-receiver operating characteristic curve
 556 (AUC-ROC) is applied, which is created by the true positive rate (TPR) against the
 557 false positive rate (FPR) at various threshold settings. For the unbalanced dataset,
 558 Balanced Accuracy (bACC) can be used to average the TPR and TNR, which can be
 559 presented in the following formula:

$$bACC = \frac{TPR+TNR}{2} \quad (22)$$

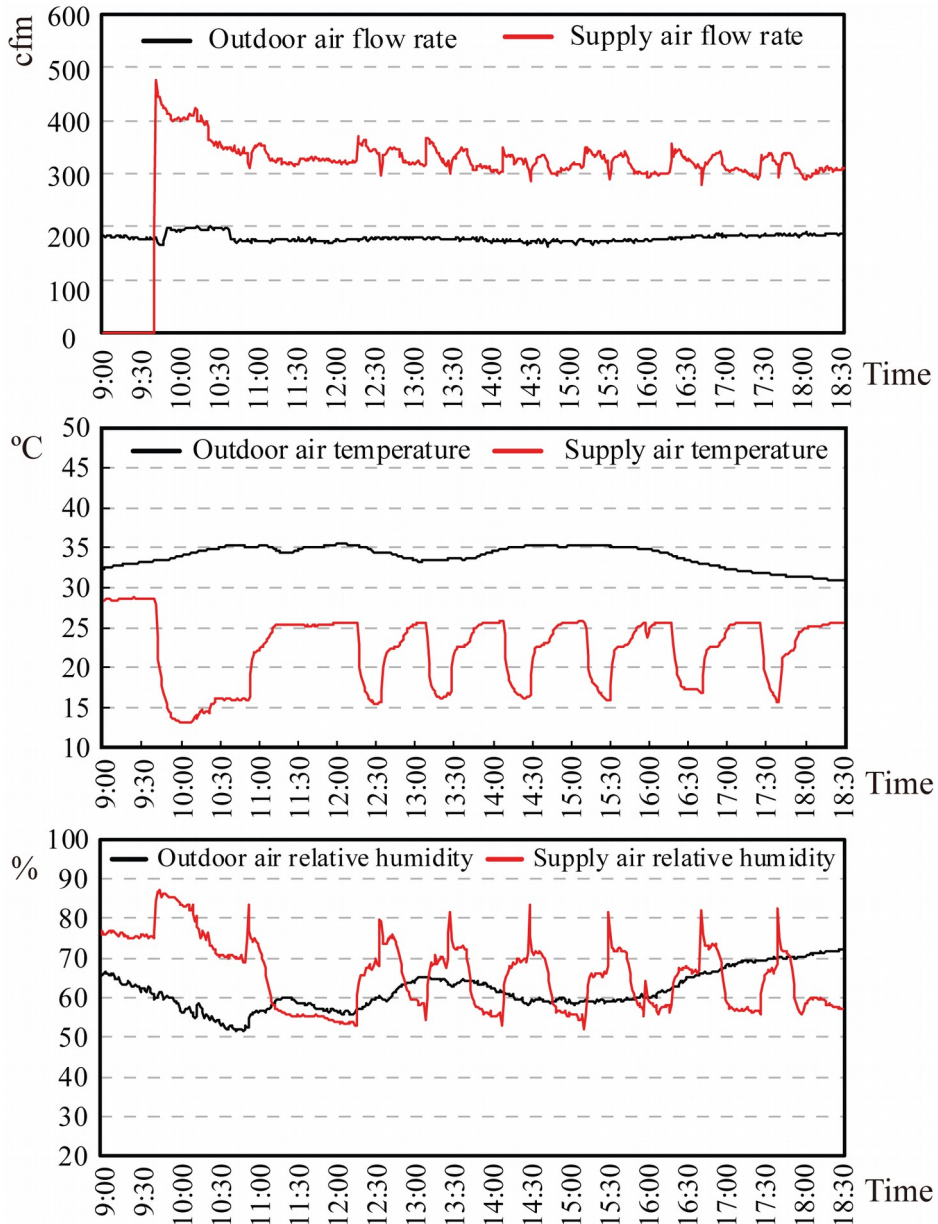
560 According to ASHRAE standard 62.1-2013 [60], the fresh air volume of the
 561 ventilation system and the occupant-related thermal load of the air conditioning
 562 system are determined by the number of occupants. The errors in the occupancy
 563 assessment could directly affect the energy usage of the building. Therefore, the e-
 564 CPSs can be significantly improved with the occupancy information incorporated.

565

566 5. RESULTS

567 5.1 Environmental conditions

568 In the experiment field, dedicated outdoor air system and fan coil unit is under
 569 operation. The former system delivers the outdoor air to inner space directly without
 570 cooling and the latter cools indoor circulating air. Figures 8 show the environmental
 571 conditions during the experiment period. In Figure 8 (a), the outdoor air supply flow
 572 rate is 180 cfm (cubic feet per minute) for each outdoor air inlet consistently and the
 573 supply air flow rate for each supply air inlet is over 300 cfm but less than 400 cfm
 574 most of the time. The outdoor air was supplied uninterrupted during the night even if
 575 the cooling services from supply air terminals were closed. Figure 8 (b) shows that the
 576 measured supply air temperature varies periodically from 15°C to 25°C, which is
 577 caused by the periodical cycling operation of the fan coil system. During the
 578 experiment, the outdoor air temperature ranged from 30°C to 35°C, which is a typical
 579 summer day in Hong Kong. Figure 8 (c) reports the relative humidity.



580

581 Fig. 8. Environmental conditions of a typical experiment day (a) Air flow rate (top)

582

(b) temperature (middle) (c) relative humidity (bottom).

583

5845.2 Predicted occupancy

585 This study performed a grid search to determine optimal values for the parameters of
 586 the tree-based ensembles. The features of Wi-Fi dataset described in Eq. 5 were
 587 considered as the input variables. The GTB classifier consists of 150 estimators with a
 588 learning rate of 0.01. To split an internal node, the model requires a minimum 8
 589 samples and a maximum tree depth of 15. The AdaBoost classifier has 100 estimators

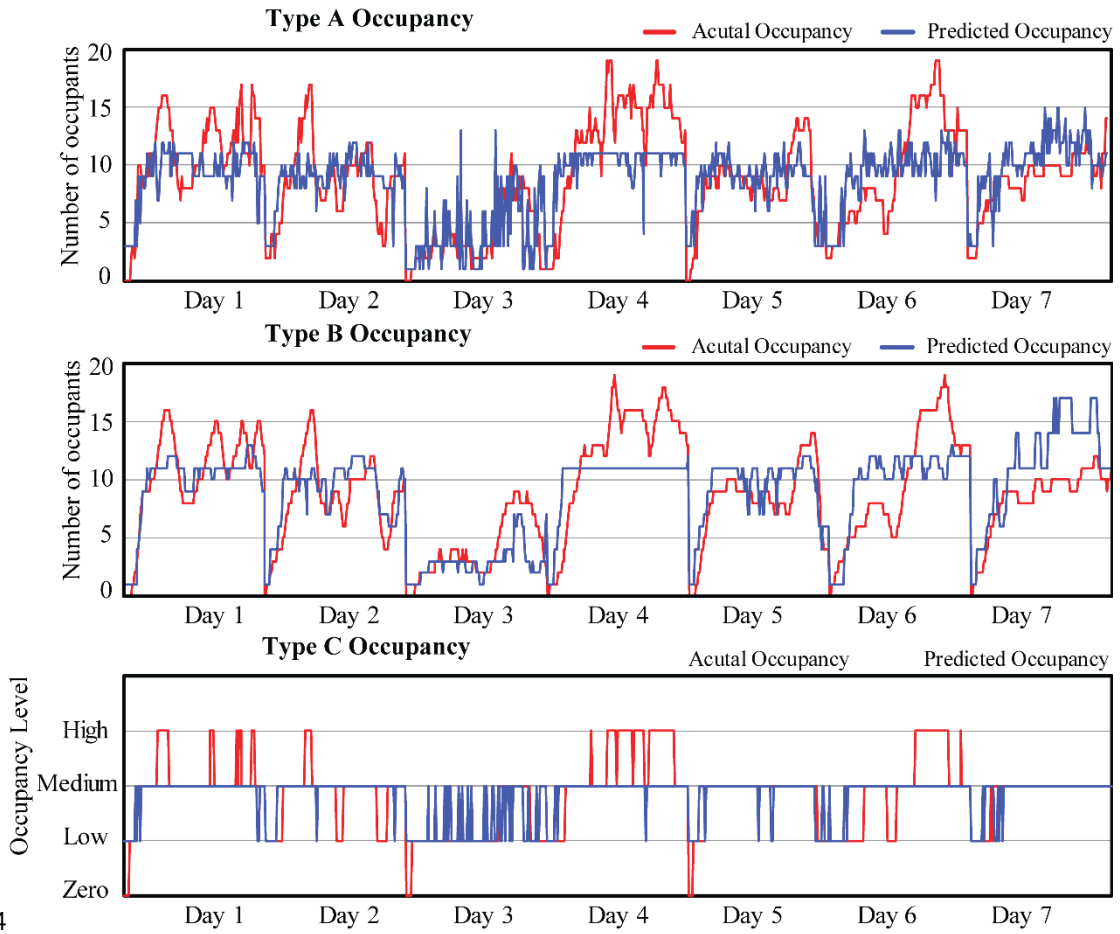
590with a learning rate of 0.2. The RF classifier has 250 estimators and 10 minimum
 591sample leaf. Table 4 summaries the averaged errors of all three type of classifiers after
 592tuning. Among all three types of classifiers, the AdaBoost classifier shows the highest
 593accuracy.

594 Table 4. Averaged errors for the three ensemble learning algorithms.

	RFs			GTB			AdaBoost		
	MAE	RMSE	Accu.	MAE	RMSE	Accu.	MAE	RMSE	Accu.
Type A	2.66	3.31		2.89	3.58		2.54	3.30	
Type B	2.63	3.32		2.81	3.53		2.41	3.06	
Type C			71.0%			66.0%			72.7%

595

596Figure 9 presents the predicted results for all three occupancy types with the
 597AdaBoost classifier. Type B occupancy used a 30 minutes sliding time window to
 598smooth the predicted occupancy. Type C occupancy levels are categorized as zero,
 599low, medium, high. The detailed error comparison by days is listed in Table 5 and
 600Table 6 shows the normalized confuse matrix of AdaBoost classifier for Type C
 601occupancy. From detailed assessment results, it shows Day 5 and 7 have the almost
 602best accuracies for type A occupancy with 1.88 and 1.91 of MAE and 2.40 and 2.30 of
 603RMSE respectively. For type B occupancy, Day 3 shows the best accuracy with 1.48
 604of MAE and 2.48 of RMSE. For the detailed accuracy of Type C occupancy, it can be
 605found that Day 2, 4, 6, and 7 have no “Zero” level occupancy, while Day 3, 5, and 7
 606have no “High” level occupancy. The best accuracy is shown on Day 7, where
 607accuracies are 61.1% for “Low” and “Medium” levels occupancy, respectively. The
 608total accuracy for Type C occupancy is 72.7% and AUC-ROC value is 0.82.
 609According to Eq. 22, bACC in this study is 70%. The results suggest that although
 610variance there is no significant differences or outlier are observed cross days for MAE
 611and RMSE. Results of Type C occupancy indicate that the classifiers are more
 612suitable for partial occupancy since the overall accuracy of medium occupancy level
 613is much higher than the other levels.



614
615 Fig. 9. The predicted occupancy (a) Type A Occupancy (top), (b) Type B Occupancy
616 (middle), (c) Type C Occupancy (bottom).

617 Table 5. Averaged errors and accuracy of three occupancy types

	Type A		Type B		Type C				
	Occupancy		Occupancy		Occupancy				
	<i>MA</i>	<i>RMS</i>	<i>MA</i>	<i>RMS</i>	<i>Zer</i>	<i>Low</i>	<i>Mediu</i>	<i>Hig</i>	<i>Total</i>
	<i>E</i>	<i>E</i>	<i>E</i>	<i>E</i>	<i>o</i>		<i>m</i>	<i>h</i>	
Day 1	2.69	3.38	1.73	2.22	0	85.7%	96.8%	0	76.2%
Day 2	2.15	2.89	1.93	2.45	-	35.5%	93.3%	0	77.8%
Day 3	2.16	3.05	1.48	2.21	0	76.3%	64.2%	-	70.6%
Day 4	3.75	4.40	3.56	4.12	-	50.0%	98.2%	0	50.7%
Day 5	1.88	2.40	1.85	2.14	0	63.6%	95.0%	-	86.5%
Day 6	3.23	4.01	3.12	3.77	-	36.8%	100.0%	0	56.3%
Day 7	1.91	2.30	3.13	3.71	-	61.1%	95.4%	-	90.4%
Total	2.54	3.30	2.41	3.06	0	60.0%	95.0%	0	72.7%

618

59
60

619

Table 6. The normalized confusion matrix of Type C occupancy results

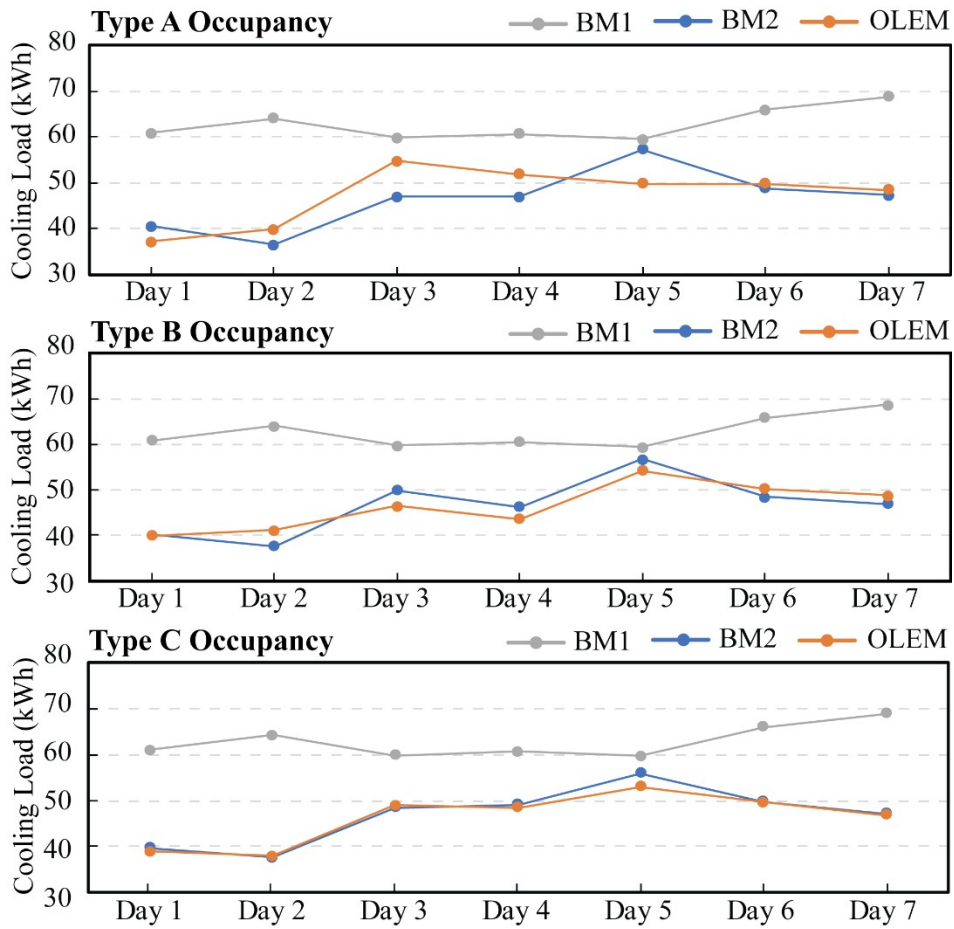
	Zero	Low	Medium	High
Zero	0.00	1.00	0.00	0.00
Low	0.00	0.60	0.40	0.00
Medium	0.00	0.05	0.95	0.00
High	0.00	0.00	1.00	0.00

620

6215.3 Energy performance and analysis of the occupancy linked e-CPSs

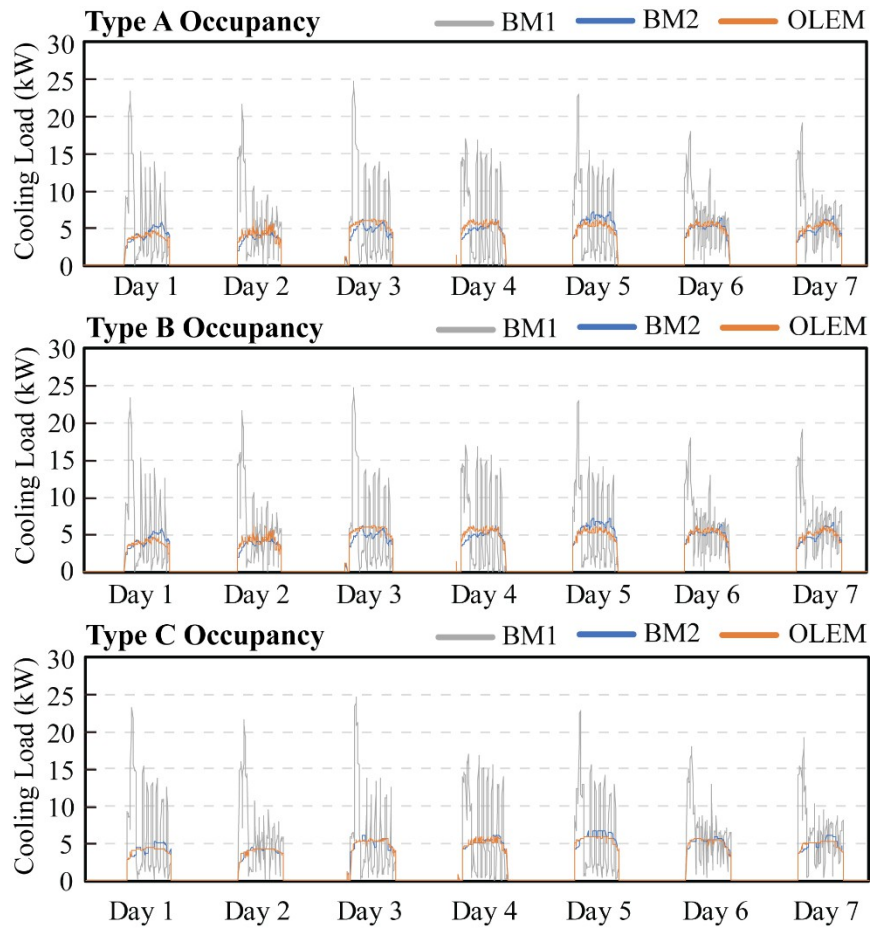
622To access the potential energy savings using occupancy-linked e-CPSs, this study
623simulated three scenarios of energy consumption for both the proposed model and
624traditional e-CPSs. The baseline model (BM1) is the traditional e-CPSs that use
625ASHRAE recommended occupancy (ASHRAE Standard 62.1-2013) schedule for
626energy management and facility operation. The occupancy-linked e-CPSs model
627(OLEM) implemented the three types of predicated occupancy as modeling input and
628updated the system operation with new optimized setting parameters. Another
629benchmarking model (BM2) implemented the actual occupancy information (captured
630by cameras) as the inputs for the occupancy linked e-CPSs model to estimate its
631energy saving potential and track the errors.

632Figure 10 and 11 shows the simulated cooling load with different occupancy types. In
633the simulation, the thermostat HVAC terminals in BM1 were set to default
634temperature and the mechanical operation was mainly affected by the weather
635condition. From both figures, it can be seen that the energy consumption for the
636cooling load in BM1 is significantly higher than BM2 and OLEM, which included
637occupancy as inputs for load estimation. In addition, all three occupancy types are
638similar to each other and Type C seems closer to the actual demand.



639

640 Fig. 10. Simulated daily cooling load based on three occupancy types.

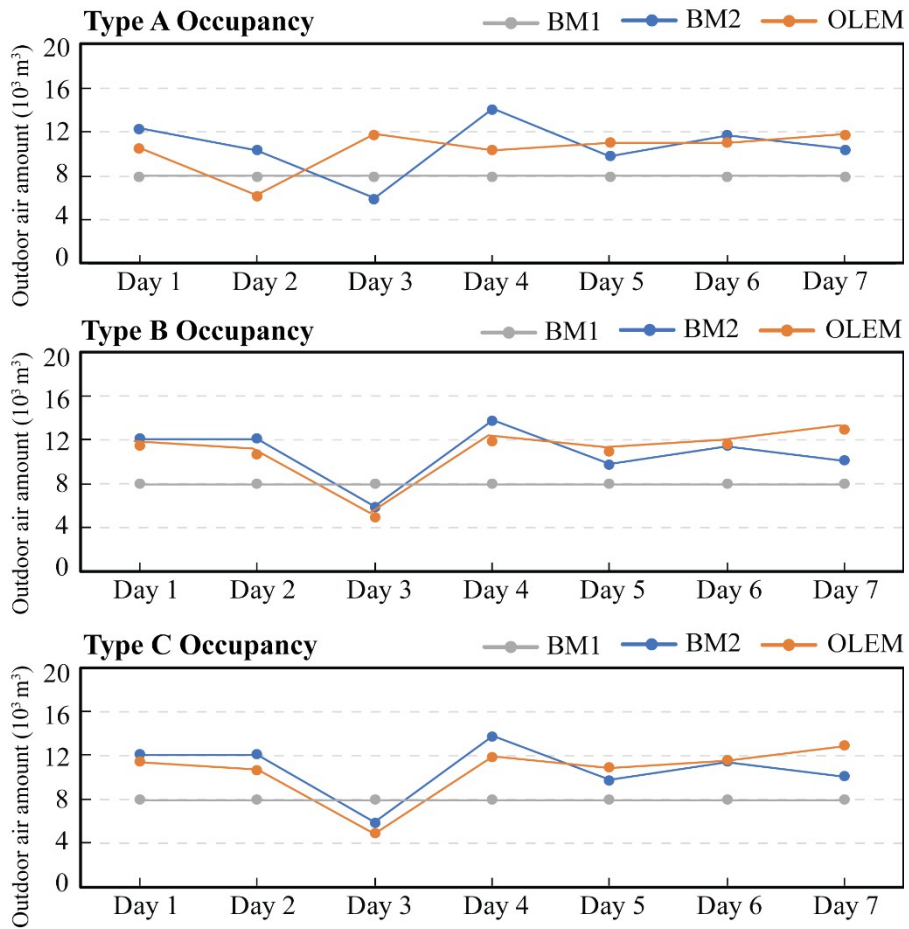


641

642 Fig. 11. Simulated hourly cooling load based on three occupancy types.

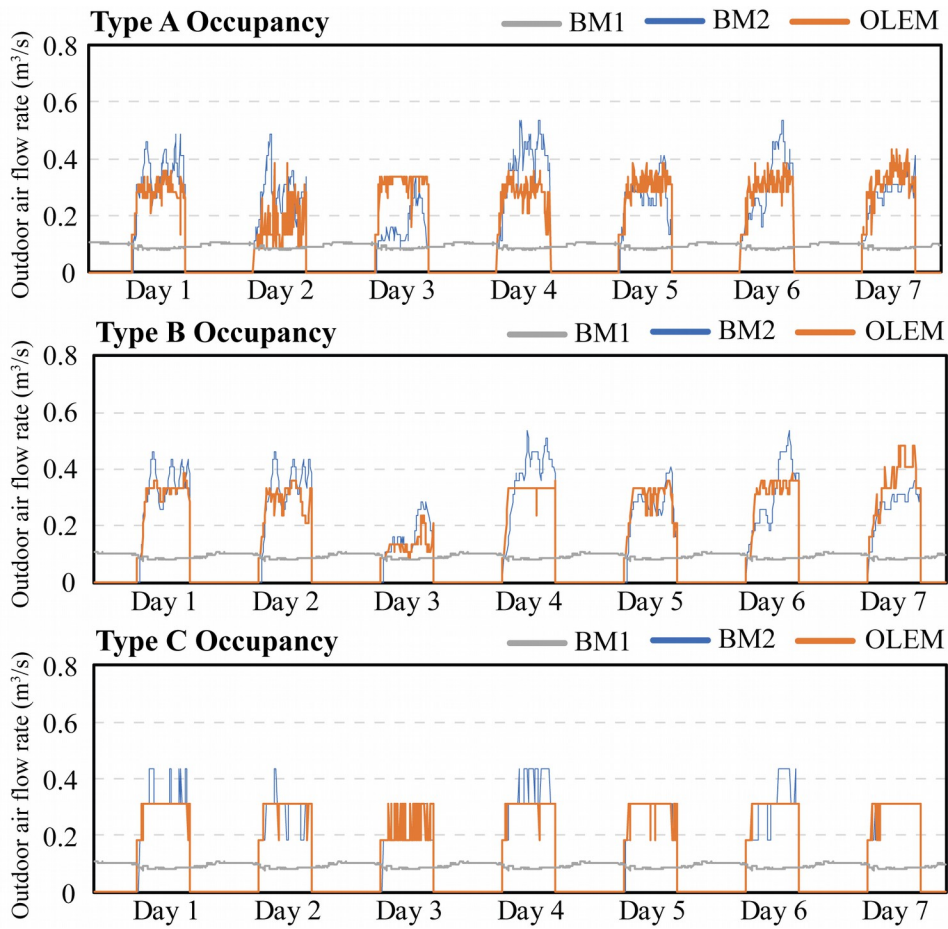
643

644 Another energy consumption component for the HVAC system is the fresh air
 645 amount. The mechanical drives and fans consume a large amount of energy when the
 646 air handling units deliver the outdoor air into indoor spaces. The physical building
 647 deploys on/off the system with a fixed flow rate about 1440 m³/h. However,
 648 according to ASHRAE standard, the flow amount is obviously insufficient given the
 649 number of occupants in the experiment office. Figure 12 and 13 show the simulated
 650 minimum outdoor air flow rate and amount. Both figures suggest that the outdoor air
 651 amount in BM1 is far less than the demand according to the number of occupants.
 652 Type A occupancy performs the worst among all three types, this could be caused by
 653 the tracking errors result from data fluctuation.



654

655 Fig. 12. Simulated daily outdoor air amount based on three occupancy types.



656

657 Fig. 13. Estimated hourly outdoor air flow rate based on three occupancy types.

658

659 Then the total energy consumption of air conditioning and ventilation was aggregated
 660 and compared for all three models. BM1 was used as the reference and potential
 661 savings are computed as a percentage less than the energy consumption of B1. Table 6
 662 summarizes the aggregated results. The averaged savings vary from 24.71% to 26.31%
 663 and all three occupancy types have a close performance. The results indicate that the
 664 fixed flow rate of conditioned air could easily result in over-cooling and energy
 665 wastes.

666 Table 6. Energy saving potentials for different occupancy types (compared with BM1)

	Type A		Type B		Type C	
	BM2 vs. BM1	OLEM vs. BM1	BM2 vs. BM1	OLEM vs. BM1	BM2 vs. BM1	OLEM vs. BM1
Day 1	33.46%	39.27%	34.27%	34.61%	34.65%	36.17%

Day 2	43.16%	38.07%	41.50%	36.08%	41.39%	40.77%
Day 3	21.54%	8.55%	16.38%	22.37%	18.99%	18.12%
Day 4	22.60%	14.61%	23.79%	28.01%	19.06%	20.08%
Day 5	3.86%	16.47%	4.69%	8.94%	6.12%	10.90%
Day 6	26.00%	24.62%	26.62%	23.87%	24.68%	24.83%
Day 7	31.26%	29.52%	31.81%	29.14%	31.31%	31.87%
Total	26.29%	24.71%	25.91%	26.31%	25.47%	26.37%

667

6686. DISCUSSION

669With the rapid technological development of ICT and IoT, an increasing number of
670buildings are encouraged to install various sensors and sensor networks to facility
671smarter management and control. Combining these technologies, e-CPSs allow new
672advances such as data analytics, artificial intelligence to be utilized in optimizing
673building control for higher energy efficiency and human-centric services. This study
674extended conventional e-CPSs by introducing occupancy detection and prediction
675components so that the occupancy information can be included for better service and
676less energy waste. The detected occupancy can be used as dynamic information
677exchange between the physical building and cyber models so that the optimization
678boundary conditions can be updated timely. For existing buildings, since all building
679features have been determined, the major uncertainties in e-CPSs arise from weather
680conditions and occupancy variations. The occupancy-linked e-CPSs mitigated the
681occupant-related uncertainty by incorporating a reliable occupancy prediction
682mechanism. Accurate occupancy information allows building management system to
683turn off certain functions when occupants are absent to avoid waste. The validation
684experiment results suggest that the accuracy can reach 72.7% and reveal that when
685incorporating occupancy information, the e-CPSs is capable of implementing the
686demand-based facility management to promote building energy efficiency. For
687example, the validation experiment suggests 24% of energy saving potential and
68833.3% air amount compensation. With the proposed ensemble algorithm, e-CPSs can
689receive occupancy information with acceptable accuracy, especially when the
690occupancy was categorized. Also, it can be observed from the experiment that three
691types of occupancy information show no significant differences in the simulation and
692Type C occupancy is more suitable for practical implementation in e-CPSs control as

693it requires less computational power and is easier for practical deployment.

694One challenge in conventional e-CPSs is that many predefined human-centric control
695approaches conflict with the occupants' actual preferences and activities since
696occupancy is stochastic and changeable in different buildings. This study contributes
697to the research gap by proposing a theoretical framework for occupancy-linked e-
698CPSs model and a feasible ensemble algorithm to predict occupancy with proper data
699sources. As WiFi networks become a premise of all cloud-based platforms and cyber
700models, it is naturally compatible with e-CPSs without additional cost. The highly
701accessible WiFi technologies in modern buildings can help boost applicability of
702proposed OLEM. For existing buildings with Wi-Fi installation, through deploying
703fast and reliable artificial intelligence technologies, such as the proposed ensemble
704algorithms, the occupancy becomes accessible to e-CPSs and creates a significant
705synergy among all cyber models. In addition, with the cumulation of the detected and
706predicated occupancy, designers also can rethink and refine the building space design
707and mechanical system selection for new buildings. For example, it is possible to
708integrate WiFi-based occupancy-driven lighting control for smart buildings [63] and
709include the lighting system into the e-CPSs platform. Additionally, the unprecedented
710increase of human activities in buildings, infrastructures, and vehicles generates a
711complex and interdependent system in modern cities. The advances in the world wide
712web technologies allow an efficient information sharing through cloud among e-
713CPSs. Under such a context, the occupancy studies for e-CPSs can also be extended to
714urban scale. For example, the occupancy information can be associated with the
715human mobility between buildings and can be used for inter-building energy demand
716assessment. The information gathered from occupancy linked e-CPSs can be used for
717regional electricity grid design and human-centric urban planning. Another inspiring
718research direction is to integrate OLEM with smart grids for dynamically computed
719demand at the building side to achieve smart grids or microgrids optimization. In
720addition, such implementation also requires new technologies to protect the
721occupants' security and privacy during occupancy detection [64].

722This study also yields to limitations, which can be resolved in future studies. Firstly,
723the validation experiment constraint to small space (an office room). It is suggested to
724study a larger building space with multiple rooms so that the impact of indoor

725commutes can be included. Also, rooms with different functions also have their
726unique occupancy patterns and mechanical system selection. Secondly, the energy
727consumption in this study mainly results from cooling load and ventilation due to the
728tropical climate condition and short experiment period. However, there are various
729energy consuming services systems in buildings, such as lighting, security, heating,
730and etc., which are also closely associated with human behaviors and inter-dependent
731with each other.

732

733**7. CONCLUSION**

734This study proposed a theoretical framework for implementing occupancy
735information as dynamic links for e-CPSs. The framework adopted WiFi Probe
736technology and ensemble classifiers to interpret WiFi connections as reliable and
737usable occupancy information. Three occupancy types (Type A, B, and C) have been
738compared in a validation experiment to examine the accuracy and feasibility of the
739proposed occupancy-linked e-CPSs. After a validation experiment, the proposed
740model can accurately report occupant counts for system energy management. The
741AdaBoost method and type C occupancy report the highest detection accuracy of
74272.7%. Type A occupancy has an absolute error and root mean squared error of 2.54
743and 3.30, and both values for type B occupancy are 2.41 and 3.06, respectively. The
744energy simulation reports 24.7%, 26.4%, and 26.3% energy saving potentials by
745implementing these three types of occupancy information in e-CPSs, respectively.

746This study contributes to the development of high-precision and large-scale human-
747centric services in e-CPSs. For future studies, it is suggested to investigate large-scale
748and more complicated system coordination and incorporate more information to
749bridge the energy system and CPSs, such as environmental conditions and occupants'
750feedback. In addition, the concept of occupancy-lined e-CPSs can be transplanted to
751smart grid management to optimize power supply across multiple buildings.

752

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