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### Authors

Chobpattana, Varistha  
Mates, Thomas E  
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## Scaled ZrO<sub>2</sub> dielectrics for In<sub>0.53</sub>Ga<sub>0.47</sub>As gate stacks with low interface trap densities

Varistha Chobpattana, Thomas E. Mates, Jack Y. Zhang, and Susanne Stemmer

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## Scaled ZrO<sub>2</sub> dielectrics for In<sub>0.53</sub>Ga<sub>0.47</sub>As gate stacks with low interface trap densities

Varistha Chobpattana, Thomas E. Mates, Jack Y. Zhang, and Susanne Stemmer  
 Materials Department, University of California, Santa Barbara, California 93106-5050, USA

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ZrO<sub>2</sub> dielectrics were grown on n-In<sub>0.53</sub>Ga<sub>0.47</sub>As channels by atomic layer deposition, after employing an *in-situ* cyclic nitrogen plasma/trimethylaluminum surface cleaning procedure. By scaling the ZrO<sub>2</sub> thickness, accumulation capacitance densities of 3.5 μF/cm<sup>2</sup> at 1 MHz are achieved. The midgap interface trap density is estimated to be in the 10<sup>12</sup> cm<sup>-2</sup> eV<sup>-1</sup> range. Using x-ray photoelectron spectroscopy, it was shown that the interface contained the oxides of In, Ga, and Al, but no As-oxides or As-As bonds within the detection limit. The results allow for insights into the effective passivation of these interfaces. © 2014 AIP Publishing LLC.

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III–V metal-oxide-semiconductor field effect transistors (MOSFETs) are currently being investigated for post-Si complementary metal-oxide-semiconductor technology.<sup>1</sup> III–V MOSFETs require high-permittivity ( $\kappa$ ) gate dielectrics that allow for low leakage currents and low interface trap densities ( $D_{it}$ ). Al<sub>2</sub>O<sub>3</sub> gate dielectrics, while allowing for unpinned interfaces,<sup>2</sup> severely limit equivalent oxide thickness (EOT) scaling due to the low dielectric constant ( $\kappa \sim 9$ ) of Al<sub>2</sub>O<sub>3</sub>. We have recently reported on *in-situ*, plasma-based surface treatments<sup>3</sup> prior to atomic layer deposition (ALD) of HfO<sub>2</sub> gate dielectrics on In<sub>0.53</sub>Ga<sub>0.47</sub>As.<sup>4,5</sup> This process allowed for low  $D_{it}$  and scaling of the accumulation capacitance densities to  $\sim 3 \mu\text{F}/\text{cm}^2$  (EOT of less than 1 nm) on n-type doped In<sub>0.53</sub>Ga<sub>0.47</sub>As channels, as well as high-performance MOSFETs.<sup>6</sup> We note that the accumulation capacitance density of metal-oxide capacitors (MOSCAPs) on n-In<sub>0.53</sub>Ga<sub>0.47</sub>As is not equivalent to the oxide capacitance, due to the low conduction band density of states of the In<sub>0.53</sub>Ga<sub>0.47</sub>As. These results suggest that this process may enable further scaling of the EOT, using dielectrics with higher  $\kappa$  than HfO<sub>2</sub>, while maintaining a low  $D_{it}$ .

ZrO<sub>2</sub> has a wide band gap and a higher dielectric constant than HfO<sub>2</sub>.<sup>7,8</sup> The use of ZrO<sub>2</sub> as a dielectric in III–V MOSCAPs has been investigated previously, but MOSCAPs typically show a very high  $D_{it}$ .<sup>9–12</sup> Large  $D_{it}$  is relatively easy to detect for n-type In<sub>0.53</sub>Ga<sub>0.47</sub>As channels as a pronounced frequency dispersion at negative gate biases and the failure of the gate stack to reach deep depletion.<sup>13,14</sup> In contrast, high-quality stacks show only a small “ $D_{it}$  hump,” and they exhibit deep depletion as the Fermi level moves into the lower half of the band gap in capacitance-voltage measurements.<sup>5,13</sup> In this work, we show that a cyclic, *in-situ* nitrogen plasma/trimethylaluminum (TMA) pre-cleaning procedure allows for ZrO<sub>2</sub> dielectrics on n-In<sub>0.53</sub>Ga<sub>0.47</sub>As with low  $D_{it}$  and low EOT. We characterize the interface chemistry, which, in conjunction with the electrical results, allows for insights into the passivation of such interfaces.

Substrates consisted of 300-nm-thick, n-type In<sub>0.53</sub>Ga<sub>0.47</sub>As (Si:  $1 \times 10^{17} \text{cm}^{-3}$ ) layers grown by molecular beam epitaxy on (001) n<sup>+</sup>-InP (IntelliEpi, Richardson, Texas). Samples were cleaned in buffered HF for 3 min before they were transferred to the ALD reactor (Oxford Instruments FlexAL ALD). The substrate temperature was

300 °C. Alternating *in-situ* nitrogen plasma/TMA-pulse cycles were used immediately prior to ALD, as described in detail elsewhere.<sup>5</sup> The chamber reactor was held at 80 mTorr during ALD. The 40-cycle deposition consisted of the following steps: A TEMA Zr (tetrakis[ethylmethylamino]zirconium) pulse, followed by an Ar gas purge, a pump step, and a short pulse of deionized water, followed by additional purge and pump steps. The oxide thicknesses were determined using variable angle spectroscopic ellipsometry. After ALD, 500 nm of SiO<sub>2</sub> was deposited on the sample backside by plasma-enhanced chemical vapor deposition to protect the InP substrate. The samples were annealed in a tube furnace at 400 °C for 15 min in flowing forming gas (95% of N<sub>2</sub> and 5% of H<sub>2</sub>). MOSCAPs were fabricated by thermal evaporation of 80 nm thick Ni top contacts ( $7.8 \times 10^{-5} \text{cm}^2$ ) through a shadow mask. The back Ohmic contact, consisting of Cr (20 nm)/Au (100 nm), was deposited using thermal evaporation after SiO<sub>2</sub> removal. An impedance analyzer (Agilent 4294 A) was used for frequency-dependent capacitance-voltage (CV) and conductance-voltage measurements in the dark from 1 kHz to 1 MHz. High-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) was carried out using a 300 kV field-emission transmission electron microscope. X-ray photoelectron spectroscopy (XPS) was used to investigate the interface. Scans were run using monochromatic Al K $\alpha$  radiation at a pass energy of 20 eV (Ga 3p was run at 40 eV). The energy scales were calibrated by setting the surface aliphatic hydrocarbon peak to 285.0 eV.

Figure 1 shows the electrical characteristics of MOSCAPs with  $\sim 4$ -nm-thick-ZrO<sub>2</sub>. As seen from the CV data in Fig. 1(a), the accumulation capacitance density is 3.5 μF/cm<sup>2</sup> at 1 MHz, which is larger than that of any other III–V gate stack reported in the literature.<sup>5,15,16</sup> A small CV hysteresis of 0.2 V at 1 MHz could be detected (see inset). At low frequencies, the measured accumulation capacitance,  $C_m$ , suffers from artifacts from gate leakage, which is expected, given the low physical thickness. The grey markers in Fig. 1(a) indicate where  $C_m < G/\omega$ , where  $G_m$  is the measured conductance, and  $\omega$  is the frequency.  $C_m$  values above those points are not a reliable measure of the capacitance because of the large error in the measurements of the capacitance. We note, however, that the leakage current density was less than

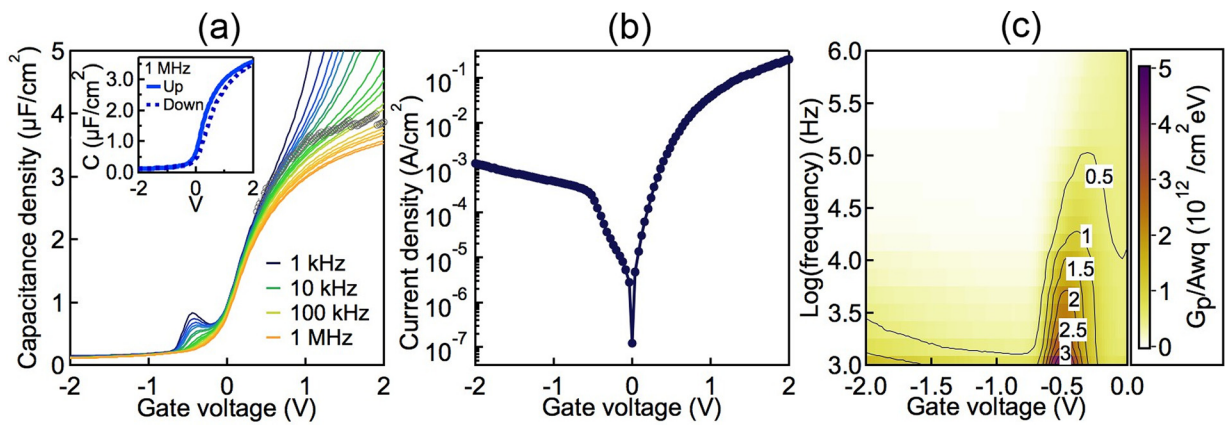


FIG. 1. Electrical characteristics of  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  MOSCAPs with  $\sim 4$  nm  $\text{ZrO}_2$ . (a) CV characteristics as a function of frequency. Grey symbols indicate where  $G/A\omega$  value becomes higher than the capacitance density. The inset shows CV hysteresis at 1 MHz. (b) Current-voltage characteristics between  $-2$  V and  $2$  V. (c) Normalized parallel conductance maps, showing  $(G_p/A\omega q)_{\max}$  as a function of gate voltage and frequency.

$0.04 \text{ A/cm}^2$  at  $1 \text{ V}$  [Fig. 1(b)], orders of magnitude below Si roadmap specifications. Thus leakage is unlikely to be an issue for MOSFETs, which have a much smaller area than these MOSCAPs.

In addition to their high capacitance density, the CV exhibit characteristics consistent with low  $D_{it}$ , including a steep slope and a small midgap  $D_{it}$  hump at negative biases.<sup>14,17,18</sup> Deep depletion is reached, as can be seen from the finite slope at negative biases. The large band bending is, of course, facilitated by the high oxide capacitance density. These qualitative observations can be confirmed using conductance maps [Fig. 1(c)], which show the normalized conductance peaks,  $(G_p/A\omega q)_{\max}$ , where  $G_p$  is the parallel conductance,  $A$  the capacitor area, and  $q$  the elemental charge, as a function of gate voltage and  $\omega$ . Such maps provide a measure of Fermi level efficiency.<sup>19</sup> Here,  $(G_p/A\omega q)_{\max}$  shifts more than two orders of magnitude in frequency as the gate bias is changed between  $-0.25$  and  $-0.75 \text{ V}$ , consistent with a large band bending in response to a change in gate bias. The  $(G_p/A\omega q)_{\max}$  values (see scale bar on the right) can be used to estimate the  $D_{it}$  by multiplication with a factor of  $\sim 2.5$ .<sup>20</sup> The  $D_{it}$  values are in the  $10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$  range around midgap. We note that there are great inconsistencies in the reported  $D_{it}$  in the dielectric/III-V literature. In particular, very low values have been reported even in cases where the CV shows a large  $D_{it}$  response. One issue is an overestimation of the oxide capacitance, which makes  $(G_p/A\omega q)_{\max}$  appear too low.<sup>14</sup> To avoid this pitfall, we have previously used a thickness series to determine the interfacial layer capacitance density and the dielectric constant of ALD  $\text{HfO}_2$  dielectrics.<sup>5</sup> Assuming that the semiconductor and interfacial layer capacitances are the same here (the interfacial layer is a result of the cleaning procedure, as discussed below), the increase in capacitance at  $1 \text{ MHz}$  for films of the same physical thickness corresponds to an increase of  $\kappa$  from 18 (ALD  $\text{HfO}_2$ ) to 23 for the  $\text{ZrO}_2$  in this study, which is a reasonable value for amorphous or nanocrystalline films.<sup>21</sup>

We note that because of the extremely scaled capacitance density of these gate stacks, CV-based  $D_{it}$  extraction methods are unreliable for determining the  $D_{it}$  of n-doped channels, at least in their current implementation.<sup>22</sup> One reason is that  $D_{it}$  near the conduction band influences the

measured capacitance even at  $1 \text{ MHz}$  due to short trap time constants.<sup>23</sup> As a result, a true high-frequency curve is not obtained, which precludes methods such as the high-low or Terman methods for n-type channels. It has been suggested previously that the  $D_{it}$  in the conduction band is high.<sup>24</sup> The results shown here, in particular the fact that the capacitance

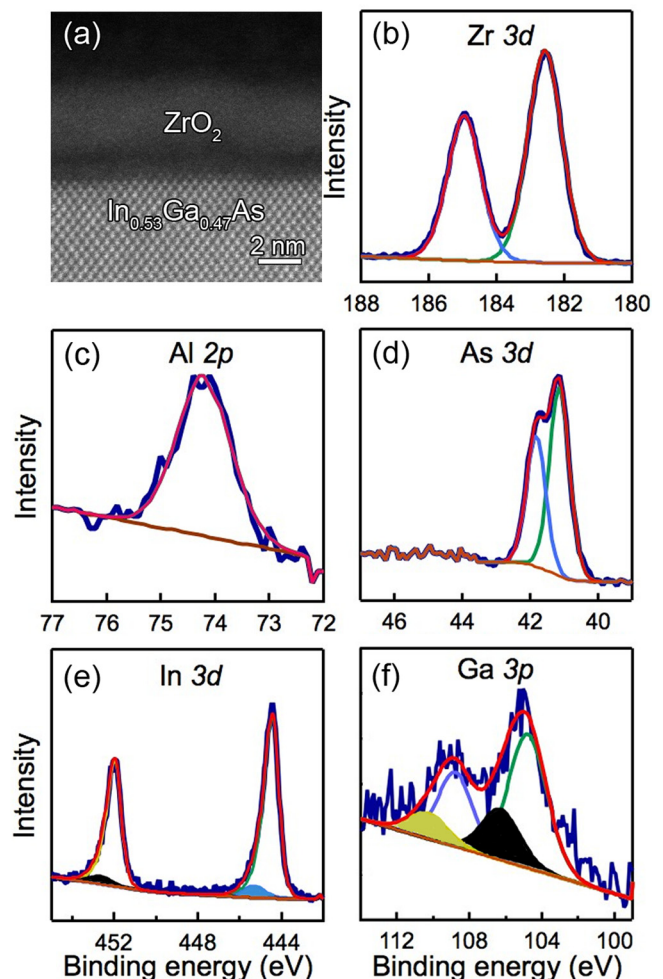


FIG. 2. (a) HAADF/STEM cross-section image of the  $\text{ZrO}_2/\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  interface after a forming gas anneal at  $400^\circ \text{C}$ . (b)–(f) XPS of  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  MOSCAPs with  $\sim 4$  nm  $\text{ZrO}_2$ . (b) Zr  $3d$  peaks; (c) Al  $2p$  peak; (d) As  $3d$  peaks; (e) In  $3d$  peaks; and (f) Ga  $3p$  peaks. The thin lines are fits to the data.



density scales with  $\kappa$  of the dielectric, show that the Fermi level is not pinned in the conduction band for these gate stacks. With regards to obtaining midgap- $D_{it}$  from CV, deep depletion precludes matching to calculations that do not take deep depletion into account.

Figure 2(a) shows a HAADF/STEM cross-section image of the  $ZrO_2/In_{0.53}Ga_{0.47}As$  interface. The  $ZrO_2$  film appears amorphous and uniform. A low-atomic number (dark contrast) interfacial layer forms during the nitrogen plasma/TMA clean. Previous investigations of  $HfO_2/In_{0.53}Ga_{0.47}As$  interfaces cleaned with the same method showed that the interface contained O, Al, and small amounts of N.<sup>5</sup> Because of peak overlap with Hf peaks in XPS, these prior studies could not determine if Ga or In oxides were present. To clarify this, we show in Figs. 2(b)–2(f) XPS spectra of the Zr 3d, Al 2p, As 3d, In 3d, and Ga 3p peaks. The fit of the Zr 3d peak [Fig. 2(b)], with Zr 3d<sup>5/2</sup> at 182.6 eV, is consistent with  $ZrO_2$ . Figure 2(c) shows that a fit of the Al 2p peak at 74.2 eV indicates that Al is fully oxidized. The As 3d region [Fig. 2(d)] could be fit using two peaks at 41.1 eV and 41.8 eV, respectively, both with a full width at half maximum (FWHM) of 0.7 eV, arising from the  $In_{0.53}Ga_{0.47}As$ .<sup>25</sup> No signatures could be detected that could be associated with  $As_2O_5$ ,  $As_2O_3$ , As suboxide, or As-As bonding,<sup>26</sup> similar to what was found previously for  $HfO_2/In_{0.53}Ga_{0.47}As$  interfaces.<sup>5</sup> In contrast, In 3d peaks [Fig. 2(e)] could be fitted using two components. An In 3d<sup>5/2</sup> peak component appears at 444.4 eV, with a FWHM of  $\sim 0.7$  eV, consistent with InGaAs.<sup>27</sup> A higher binding energy component [shaded in Fig. 2(e)], for which the In 3d<sup>5/2</sup> falls at 445.3 eV (FWHM of 1.2 eV), indicates bonding with oxygen in form of  $In_2O_3$ .<sup>28</sup> Quantification of the survey scan and the high-resolution peak of In 3d suggest that the amount of  $In_2O_3$  is at approximately 0.2 at. % (12% of In is oxidized). The Ga 3p region [Fig. 2(f)] could also be fitted with two components, with Ga 3p<sup>3/2</sup> peaks at 104.8 eV and 106.3 eV, consistent with Ga-As and  $Ga_2O_3$  bonding, respectively. The amount of  $Ga_2O_3$  is approximately 0.5 at. % (27% of Ga is oxidized). Because of N 1s-Ga Auger interference, the presence of N cannot be confirmed by XPS, but it was detected previously in secondary ion mass spectrometry.<sup>5</sup> Although the relative amounts of In, Ga, and Al oxides cannot be quantified, additional insight can be obtained from the contrast in HAADF-STEM. In particular, these images show increased brightness in the interface layer near the interface with  $In_{0.53}Ga_{0.47}As$ , which indicates the presence of In. Thus, we conclude that the bulk of the interface layer is mostly Al-oxide while the Ga and In oxide XPS signals are from a near-interface region. Investigations of the interface layer thickness as a function of the number of cleaning cycles (not shown here) show that the interface layer forms during the cleaning process (its thickness increases with the number of cycles). Thus, there is sufficient oxygen present in this process to oxidize the TMA and the semiconductor surface.

In summary, we have shown a pre-deposition *in-situ* clean using alternating cycles of remote nitrogen plasma and TMA allows for ALD of highly scaled dielectrics with higher dielectric constants, such as  $ZrO_2$ , low  $D_{it}$  in  $10^{12} \text{ cm}^{-2} \text{ eV}^{-1}$ , and sub-nm-EOT. Experiments in the literature have shown a correlation between Ga-O bonds and midgap  $D_{it}$ .<sup>18</sup> The relatively small concentration of Ga-O

bonding found in the present study may be responsible for the small residual midgap  $D_{it}$ . The interfacial layer provides effective passivation, by preventing the formation of detrimental As-oxides and As-As bonding, which theoretical calculations have shown to cause high  $D_{it}$ ,<sup>29–31</sup> during subsequent ALD. We suggest that the procedure should be suitable for other dielectrics that have even higher dielectric constants than  $ZrO_2$ , with no or minimal increase in  $D_{it}$ .

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