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## ARTICLE

# Functional characterization of novel rare *CYP2A6* variants and potential implications for clinical outcomes

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## Abstract

*CYP2A6* activity, phenotyped by the nicotine metabolite ratio (NMR), is a predictor of several smoking behaviors, including cessation and smoking-related disease risk. The heritability of the NMR is 60–80%, yet weighted genetic risk scores (wGRSs) based on common variants explain only 30–35%. Rare variants (minor allele frequency <1%) are hypothesized to explain some of this missing heritability. We present two targeted sequencing studies where rare protein-coding variants are functionally characterized *in vivo*, *in silico*, and *in vitro* to examine this hypothesis. In a smoking cessation trial, 1687 individuals were sequenced; characterization measures included the *in vivo* NMR, *in vitro* protein expression, and metabolic activity measured from recombinant proteins. In a human liver bank, 312 human liver samples were sequenced; measures included RNA expression, protein expression, and metabolic activity from extracted liver tissue. In total, 38 of 47 rare coding variants identified were novel; characterizations ranged from gain-of-function to

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loss-of-function. On a population level, the portion of NMR variation explained by the rare coding variants was small (~1%). However, upon incorporation, the accuracy of the wGRS was improved for individuals with rare protein-coding variants (i.e., the residuals were reduced), and approximately one-third of these individuals (12/39) were re-assigned from normal to slow metabolizer status. Rare coding variants can alter an individual's CYP2A6 activity; their integration into wGRSs through precise functional characterization is necessary to accurately assess clinical outcomes and achieve precision medicine for all. Investigation into noncoding variants is warranted to further explain the missing heritability in the NMR.

### Study Highlights

#### WHAT IS THE CURRENT KNOWLEDGE ON THE TOPIC?

Common *CYP2A6* variants (minor allele frequency >1%) explain 30–35% of the variation in *CYP2A6* activity, despite high heritability estimates (60–80%) in the *CYP2A6* activity biomarker measure. One hypothesis is that rare coding variants (minor allele frequency <1%) may explain a portion of the missing heritability from pharmacogenes, including *CYP2A6*.

#### WHAT QUESTION DID THIS STUDY ADDRESS?

What is the relative contribution of rare coding variants in explaining variation in *CYP2A6* activity? How necessary is the incorporation of rare coding variants in predicting individual metabolic status, and consequent tailoring of treatment?

#### WHAT DOES THIS STUDY ADD TO OUR KNOWLEDGE?

Rare coding variants may explain only a small fraction of the variation on a population level; however, their role may be important on an individual level, altering the predicted metabolic status in a third of the individuals with these rare coding variants.

#### HOW MIGHT THIS CHANGE CLINICAL PHARMACOLOGY OR TRANSLATIONAL SCIENCE?

Evaluating rare coding variants in pharmacogenes, such as *CYP2A6*, will be valuable in enhancing the investigation of *CYP2A6*'s influence on tobacco addiction and disease pathogenesis, by providing a more accurate reflection of the phenotypic metabolic status through improved genetic assessments.

## INTRODUCTION

Nicotine is responsible for tobacco's addictive properties,<sup>1</sup> and the rate of metabolic inactivation is associated with several smoking behaviors and cessation outcomes. Nicotine is predominately metabolized by the cytochrome P450 2A6 (*CYP2A6*) enzyme to form inactive cotinine (COT).<sup>2</sup> COT is further metabolized to 3-hydroxycotinine (3HC), exclusively by *CYP2A6*<sup>3</sup>; the 3HC/COT ratio, called the nicotine metabolite ratio (NMR), is an index of *CYP2A6* activity and a proxy for nicotine clearance.<sup>3</sup> Variation in the NMR is associated with several smoking phenotypes including acquisition,<sup>4</sup> quantity,<sup>5,6</sup> topography,<sup>7</sup> dependence,<sup>5,6</sup> and smoking-related disease risk,<sup>6,8</sup> and is a biomarker for personalizing smoking cessation treatment.<sup>9–12</sup> For example, in the Pharmacogenetics of Nicotine Addiction and Treatment 2 (PNAT2) smoking

cessation trial, normal metabolizers (i.e., higher NMR) quit more on varenicline versus nicotine patch, whereas slow metabolizers (i.e., lower NMR) benefited more from the nicotine patch<sup>10</sup> (equal quit rate, but lower incidence of side effects on the patch).

The NMR requires COT to be at steady-state, and thus it can only be reliably measured in regular smokers. This limits the assessment of the impact of NMR for *CYP2A6* substrates<sup>13</sup> as well as for tobacco-related disease consequences (lung cancer, chronic obstructive pulmonary disease [COPD], and diabetes)<sup>6,8</sup> in intermittent-, non-, and former-smokers.

Heritability estimates for the NMR range between 60% and 80%.<sup>14,15</sup> Ninety-six percent of NMR genome-wide association studies (GWASs) hits are within or close to *CYP2A6*.<sup>16</sup> Weighted genetic risk scores (wGRSs) based on common variants (minor allele frequency [MAF] >1%) explain 30–35% of the variation in the NMR phenotype.<sup>17,18</sup>

Although substantial, this shortfall is not uncommon; many heritable phenotypes have only a portion of variation accounted for by identified genetic variants (e.g., human height<sup>19</sup>). Several researchers have hypothesized that rare variants (MAF < 1%), which are typically not assessed in GWAS genotyping arrays,<sup>20</sup> contribute to this missing heritability, including for pharmacogenes; *CYP2A6* rare variants could account for up to 40% of the functional variability.<sup>21</sup>

Nevertheless, there are several obstacles in understanding the role of rare variants on CYP function. (1) The high nucleotide sequence similarity/homology within *CYP* subfamily genes creates potential for sequencing errors: sequencing *CYP2A6* is particularly difficult due to its high nucleotide similarity (94%) with the *CYP2A7* pseudogene,<sup>22</sup> making it challenging to verify variants from public sequencing databases. (2) The lack of rare variant functional characterization: often functional assignments are heavily reliant on in silico predictions,<sup>23</sup> which are particularly inaccurate for *CYP* genes.<sup>23</sup> Recently, a combined assortment of in silico prediction tools, weighted specifically for *CYPs*, has been put forth.<sup>23</sup>

In this paper, we present two studies to identify, functionally characterize, and assess the impact of rare *CYP2A6* coding variants. We use two targeted sequencing approaches designed for accurate *CYP2A6* sequencing, in conjunction with variant confirmation through orthogonal genotyping methods. Further, we integrate several in silico, in vivo, and in vitro approaches to characterize variants. Because statistical power is limited when studying rare coding variants individually using solely in vivo measures, we pair our assessments with in vitro functional assays to confirm variant effects on enzyme activity. Our group and others have made use of in vitro cDNA expression systems to assist in interpreting the effect of *CYP* protein-coding variants.<sup>24-26</sup> We assess the extent to which rare coding variants account for heritable variation, and if their incorporation leads to improved prediction of *CYP2A6* metabolizer status. Furthermore, we present another set of *CYP2A6* measures assessed in a human liver bank,<sup>27</sup> including RNA quantification, protein abundance, and substrate metabolic activity assessed using human liver microsomes (HLMs).

## METHODS

### *CYP2A6* read alignment simulation

A simulation was performed, aligning simulated reference *CYP2A6* sequencing reads of varying lengths and insert sizes to assess public whole-genome sequencing accuracy, where similar analyses have been described for the *CYP2D6* gene.<sup>28</sup> The read alignment simulation suggests

there are several exons in which *CYP2A6* reads could misalign, specifically exons 5 and 9 (Figure S1). Further details are described in the Supplementary Material.

The following studies were approved by institutional review boards at all participating sites. Flowcharts of the different analyses and cohorts are summarized in (Figure S2).

## Smoking cessation trial

### Study population

There were 1684 treatment-seeking smokers, including 541 of self-reported African ancestry (AFR) and 1026 of self-reported European ancestry (EUR), were screened at baseline as part of the PNAT2 (NCT01314001) smoking cessation trial.<sup>10</sup> The remaining 117 subjects consisted of small numbers of other racial/ethnic populations; thus we focused on individuals of AFR and EUR ancestry.

### Sequencing

Targeted deep exon sequencing was performed through the Illumina MiSeq sequencing system on the *CYP2A6* gene.<sup>18</sup> All exons were amplified through multiplex polymerase chain reaction (PCR) using gene-specific primers, where amplicon sizes ranged from 260 to 490 bp; where the difficult-to-sequence exon 9 was captured through a nested PCR approach. Paired-end sequencing reads were mapped to the Hg19 reference genome using BWA-mem (version 0.7.15).<sup>29</sup> Variants were called using GATK's haplotype caller pipeline (version 3.3).<sup>30</sup> Validation of the sequencing protocol was assessed in a sample of 120 polymerase chain reaction Japanese individuals (not part of the trial) to ensure targeted sequencing accuracy of *CYP2A6* compared to Sanger sequencing, details of this validation can be found in Supplementary Table S1. All coding variants not yet described as a *CYP2A6* \* allele (pharmvar.org) were considered novel (i.e., whereas variants may be catalogued in other public sequencing databases), they have not previously been functionally characterized. Furthermore, all novel variants identified were confirmed through Sanger sequencing, the gold-standard for validating next-generation sequencing variant calls.<sup>31</sup>

### In vivo measure quantification

Free (unconjugated) concentrations of COT and 3HC, used to calculate the NMR, were measured from whole blood, where samples were collected at intake while individuals were smoking ad libitum.<sup>32</sup>

## In silico prediction

To designate in silico assignments, an optimized pharmacogenomic/absorption, distribution, metabolism, and excretion (ADME) prediction framework, published elsewhere,<sup>23</sup> was utilized. Details are described in the Supplementary Material.

## In vitro functional characterization

Details on the construction, expression, measure of protein quantity, and enzyme activity for the *CYP2A6* variant constructs has been described elsewhere<sup>24,25</sup> and is described in full in the Supplementary Material. In vitro metabolism of nicotine to cotinine was used to determine *CYP2A6* activity for each construct, as described elsewhere<sup>24,25</sup> and in the Supplementary Material.

## Variant functional assignments

Assignments of loss-of-function, decrease-of-function, neutral, and gain-of-function were determined relative to the reference constructs *CYP2A6* wildtype (WT) and *CYP2A6\*17* (known decrease-of-function variant). An aggregate functional assignment based on the three measures was made, and the level of evidence was assigned based on agreement between measures (3-most confident, 1-least confident). In cases where the in vitro and in vivo measures were in disagreement, the construct would be designated “inconsistent evidence” (IE) and assigned a level of evidence of 1. Together, two versions of aggregate functional assignments were created, a four-level assignment distinguishing between loss and decrease-of-function, and a simplified three-level assignment, which merged these two groups into a single decrease-of-function group. In vitro assignments for known rare coding variants were based on previous publications.<sup>24,26,33</sup>

## Human liver bank

### Study population

There were 312 human liver tissue samples, including 298 EUR individuals from two liver banks (smoking status unknown), (1) the St. Jude Liver Resource at the St. Jude Children’s Research Hospital (Memphis, TN) and (2) the University of Washington Human Liver Bank (Seattle, WA).<sup>27</sup> The remaining 14 subjects consisted of small numbers of other racial/ethnic populations.

## Sequencing and in vitro measures

*CYP2A6* DNA sequencing, mRNA and protein quantification, and enzyme activity assays have been described previously,<sup>27,34</sup> and is briefly redescribed in the Supplementary Materials. All novel variants identified were confirmed through RNA sequencing using a different set of primers and library construction than those in the DNA sequencing.

## Statistical analyses

### Rare variant analyses

Rare variant association testing using the SKAT method was performed in BioBin version 2.3.0,<sup>35</sup> where variants were binned based on an MAF cutoff of less than 1% and restricted to comparing *CYP2A6* coding variants to the reference groups.

### Reference groups

Reference groups were defined without a *CYP2A6* rare variant and without one of the common *CYP2A6* \* alleles that are associated with the NMR (and included in their respective wGRSs). For AFR individuals, excluded common alleles were *CYP2A6 \*1X2*, \*4, \*9, \*12, \*17, \*20, \*25, \*26, \*27, and \*35.<sup>18</sup> For EUR individuals, excluded common alleles were *CYP2A6 \*2*, \*4, \*9, and \*12.<sup>17</sup>

### Updated wGRSs

*CYP2A6* activity wGRSs have been described for both AFR<sup>18</sup> and EUR<sup>17</sup> populations, based on 11 and seven common variants, respectively. For the wGRS analyses, ancestry was determined using principal components analysis, restricting to 504 AFR and 933 EUR individuals from the PNAT2 trial. To incorporate rare coding variants, effect sizes for the rare coding variants group were calculated through SNPTTEST (version 2.5.2), as described previously<sup>17</sup>; remaining statistical analyses were performed in R (version 3.6.0) or RStudio (version 1.1.463). Effect sizes were computed either from the novel and previously known rare coding variants grouped together, or the novel rare coding variants alone. The variant weight was estimated by multiplying the standard deviation of NMR (0.181 and 0.205 in the AFR<sup>18</sup> and EUR<sup>17</sup> groups, respectively) by the effect size (i.e., beta) of the grouped rare variant alleles. The NMR was not normally distributed (by the Shapiro-Wilk test) and was therefore log-transformed, which best represents the nicotine clearance rate.<sup>17</sup> Linear regression assessed log-transformed NMR

(log-NMR) variation accounted for by the wGRS models, and residuals were calculated for individual participants from the wGRS line of best fit (i.e., distance between the wGRS model and outlier data points). A paired *t*-test was used to assess the improvement in residual distribution after incorporating rare coding variants into the wGRS.

## RESULTS

### Smoking cessation trial

#### *Identification of rare protein-coding variants in CYP2A6 among 541 of African ancestry and 1026 individuals of European in the PNAT2 trial*

There were 37 rare coding variants identified across 70 individuals (Table 1) through targeted *CYP2A6* exon sequencing. Of the 37, eight were known, whereas 29 were novel and have not been previously identified as part of a *CYP2A6* \* allele or functionally characterized (pharmvar.org). All novel variants would be defined as rare (MAF <1%) based on the sequenced sample and based on public sequencing databases (e.g., gnomAD). Some of the novel variants were found concurrently with other novel variants or other common variants (Table S2). There were 15 rare coding variants identified in 31 of 541 AFR individuals, and 23 rare coding variants in 39 of 1026 EUR individuals (one of these variants overlapped between the AFR and EUR groups). There was a higher frequency of rare coding variants overall in AFRs (31/541; 5.7%) versus EURs (39/1026; 3.8%).

### In vivo associations

When grouped, rare coding variants were associated with decreased NMR relative to the reference group (those without rare coding variants and without common functionally relevant *CYP2A6* \* alleles; Figure 1). However, relative to the reference group, some variants appeared to have neutral or gain-of-function relationships with the NMR; these were more common in the EUR smoker group than in the AFR smoker group.

### In silico predictions

The in silico-based predictions using the optimized ADME-prediction framework to assess variant outcomes were not able to distinguish the unique in vivo NMR associations between variants (Figure S3), which prompted further testing using in vitro approaches.

## In vitro functional characterization

All 29 novel rare coding variants were introduced into respective *CYP2A6*-*POR* bicistronic constructs and proteins were expressed. A WT, *CYP2A6*\*17 construct (a common decrease-of-function *CYP2A6* variant), and a construct with *CYP2A6* excised (CYP-DEL) were expressed concurrently with the novel variants and used as reference points.<sup>24-26</sup> For immunoblotting, quality control checks were performed to confirm antibody specificity and protein identification in the WT and CYP-DEL constructs, and a commercially expressed *CYP2A6* protein source against pooled HLM where *CYP2A6* and *POR* is expressed; further details are explained in Supplementary Figure S4. *CYP2A6* protein levels from the constructs were determined using a standard curve of commercially expressed *CYP2A6* protein (250–1000 fmol; Figure S5).

The ratio of *CYP2A6* protein levels to *POR* protein levels was determined by loading approximately equivalent *POR* (internal plasmid expression control; Figure 2a). The sample dilution curves (Figure S5) were used to determine an equivalent amount of *POR*. The ratio of *CYP2A6* to *POR* was used to evaluate changes in *CYP2A6* expression for each variant relative to the WT construct (Figure 2b). The in vitro (i.e., 2A6/*POR* protein expression and  $V_{max}/K_m$  catalytic efficiency corrected for *CYP2A6* expression level) and in vivo (i.e., NMR) measures are summarized in (Tables 2 and 3).

### Variant integration into CYP2A6 wGRSs

Most individuals with a functionally important rare variant (variants with a level of evidence of 2 or 3; Table 3) were outliers from the lines of best fit in the original wGRS to log-NMR correlations, as assessed by their residual value (Figure 3a,b; i.e., distance between the wGRS line of best fit and data points, to measure of how well the line fits for individuals sequenced with rare coding variants). In the AFR population, the average residual value of individuals with a functionally relevant rare variant was 0.238, compared to the general AFR population with an average residual of 0.188. In other words, the wGRS (based on common variants) is a poorer fit for those with rare coding variants than for everyone else. Likewise, in the EUR population, these values were 0.213 and 0.140, respectively. This suggests that the wGRS score assigned for those with rare coding variants was less reflective of their log-NMR (i.e., weaker metabolizer status prediction).

The rare coding variants were then weighted as a collective decrease-of-function group (based on the 3-level functional assignment) and incorporated into the previously reported *CYP2A6* wGRSs. Individuals without rare

TABLE 1 Rare CYP2A6 variants identified in study one

Amino acid change	rsID	hg19 Position	hg19 REF	hg19 ALT	Transcript change	Frequency count (ancestry)	Novel or known	In silico prediction
I61F	rs200554095	41355885	T	A	c.181A>T	1 (AFR)	Novel	0 = Neutral
R128L	rs4986891	41354629	C	A	c.383G>T	4 (AFR)	CYP2A6*26	1 = Deleterious
S131A	rs59552350	41354621	A	C	c.391T>G	4 (AFR)	CYP2A6*26	1 = Deleterious
R190L	rs571335587	41354209	C	A	c.569G>T	1 (AFR)	Novel	0.8 = Deleterious
Q239K	rs138978736	41352896	G	T	c.715C>A	4 (AFR)	Novel	0 = Neutral
P264T	rs761666827	41352821	G	T	c.790C>A	1 (AFR)	Novel	0.8 = Deleterious
R265Q	rs140471703	41352817	C	T	c.794G>A	3 (AFR)	CYP2A6*41	1 = Deleterious
M275I	rs111869995	41352786	C	A	c.825G>T	1 (AFR)	Novel	0.6 = Deleterious
E330D	rs137904044	41351370	C	A	c.990G>T	1 (AFR)	Novel	0.8 = Deleterious
R333X	rs61605570	41351363	T	A	c.997A>T	3 (AFR)	Novel	1 = Deleterious
M368I	rs772964366	41351256	C	G	c.1104G>C	1 (AFR)	Novel	0.2 = Neutral
T378I	rs114558780	41351227	G	A	c.1133C>T	3 (AFR)	Novel	0.4 = Neutral
E419K	rs768416963	41350584	C	T	c.1255G>A	1 (AFR)	Novel	0 = Neutral
I434V	rs1302192284	41350539	T	C	c.1300A>G	2 (AFR)	Novel	0 = Neutral
R64C	rs374515279	41355875	G	A	c.191G>A	2 (1 AFR, 1 EUR)	Novel	0 = Neutral
P35L	rs377713545	41356228	G	A	c.104C>T	1 (EUR)	Novel	1 = Deleterious
R64H	rs374515279	41355875	C	T	c.191G>A	1 (EUR)	Novel	0.4 = Neutral
E97K	rs145308399	41355777	C	T	c.289G>A	8 (EUR)	Novel	0.6 = Deleterious
G115D	rs758479488	41354668	C	T	c.344G>A	1 (EUR)	Novel	1 = Deleterious
V140G	rs777098658	41354593	A	C	c.419T>G	1 (EUR)	Novel	0.6 = Deleterious
V140A	rs777098658	41354593	A	G	c.419T>C	1 (EUR)	Novel	0.6 = Deleterious
D158E	rs60605885	41354538	G	C	c.474C>G	3 (EUR)	CYP2A6*22	0 = Neutral
L160I	rs60563539	41354534	G	T	c.478C>A	3 (EUR)	CYP2A6*22	0 = Neutral
K194E	rs199916117	41354198	T	C	c.580A>G	1 (EUR)	CYP2A6*15	0 = Neutral
R257C	rs145157460	41352842	G	C	c.769C>T	1 (EUR)	Novel	0.4 = Neutral

(Continues)

TABLE 1 (Continued)

Amino acid change	rsID	hg19 Position	hg19 REF	hg19 ALT	Transcript change	Frequency count (ancestry)	Novel or known	In silico prediction
R257G	rs145157460	41352842	G	A	c.769C>G	2 (EUR)	Novel	0 = Neutral
E279Q	rs58261757	41351999	C	G	c.835G>C	1 (EUR)	Novel	0.2 = Neutral
I300T	rs148693084	41351935	A	G	c.899T>C	2 (EUR)	Novel	0 = Neutral
R311C	rs58571639	41351903	G	A	c.931C>T	1 (EUR+AFR) <sup>a</sup>	Novel	0.8 = Deleterious
YGFL312-315L	Unavailable	41351891	AAGAAGCCAT	A	c.944del	1 (EUR)	Novel	1 = Deleterious
V323M	rs1303839356	41351867	C	T	c.967G>A	1 (EUR)	Novel	0.4 = Neutral
R339Q	rs150247689	41351344	C	T	c.1016G>A	1 (EUR)	Novel	0.8 = Deleterious
M352T	rs143841823	41351305	A	G	c.1055T>C	3 (EUR)	Novel	0.2 = Neutral
F362S	rs778019189	41351275	A	G	c.1085T>C	1 (EUR)	Novel	1 = Deleterious
S465T	rs746173331	41349793	A	T	c.1393T>A	1 (EUR)	Novel	0 = Neutral
I471T	rs5031016	41349774	A	G	c.1412T>C	1 (EUR)	CYP2A6 <sup>**</sup> /I <sup>**</sup> 19	0.6 = Deleterious
G479V	rs5031017	41349750	C	A	c.1436G>T	2 (EUR)	CYP2A6 <sup>*5</sup>	1 = Deleterious

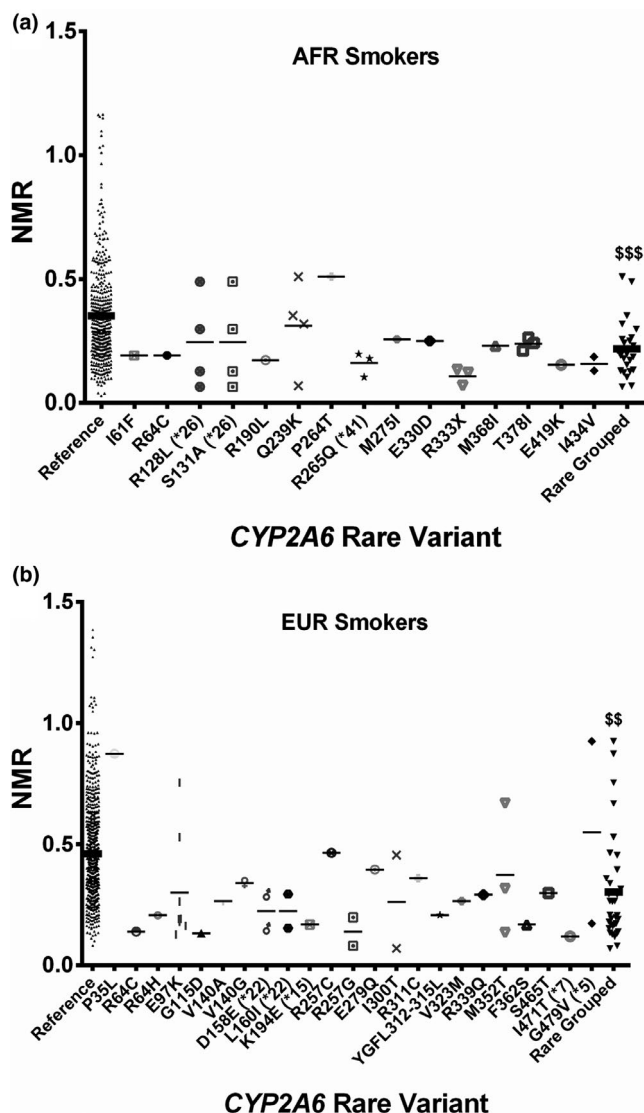
Note: In silico prediction based off framework using several prediction tools that are optimized for ADME or pharmacogenes.<sup>23</sup> Novel is defined as not previously functionally characterized or part of a known CYP2A6\* allele. X: Premature stop codon.

Abbreviations: ADME, absorption, distribution, metabolism, and excretion; AFR, African ancestry; ALT, alternate allele on the positive strand of the hg19 reference genome build; EUR, European ancestry; REF, reference allele.

<sup>a</sup>Participant self-reported race is multi-racial.



coding variants, or with rare coding variants deemed to have inconsistent evidence (Table 3), retained their original wGRS values. The overall beta evaluated for the decrease of function rare variant group in the AFR population was  $-0.695$  (the consequent variant weight was  $-0.126$ ), and this beta was consistent when incorporating the list of known rare coding variants. Likewise, in the EUR population, the overall beta was  $-0.819$  (variant weight  $-0.168$ ).



**FIGURE 1** Beeswarm plots of the rare coding variants plotted individually, and grouped, with their NMR values in the (a) AFR ( $N = 541$ ) and (b) EUR ( $N = 1026$ ) populations. Reference: Individuals without a *CYP2A6* rare variant and without any common functional *CYP2A6* \* alleles. X: Premature stop Codon. Statistical analyses were based off the SKAT test statistic for continuous traits comparing the Rare Grouped and Reference groups. \$\$:  $p < 0.001$  \$\$\$:  $p < 0.0001$ . AFR, African-ancestry; EUR, European-ancestry; NMR, nicotine metabolite ratio

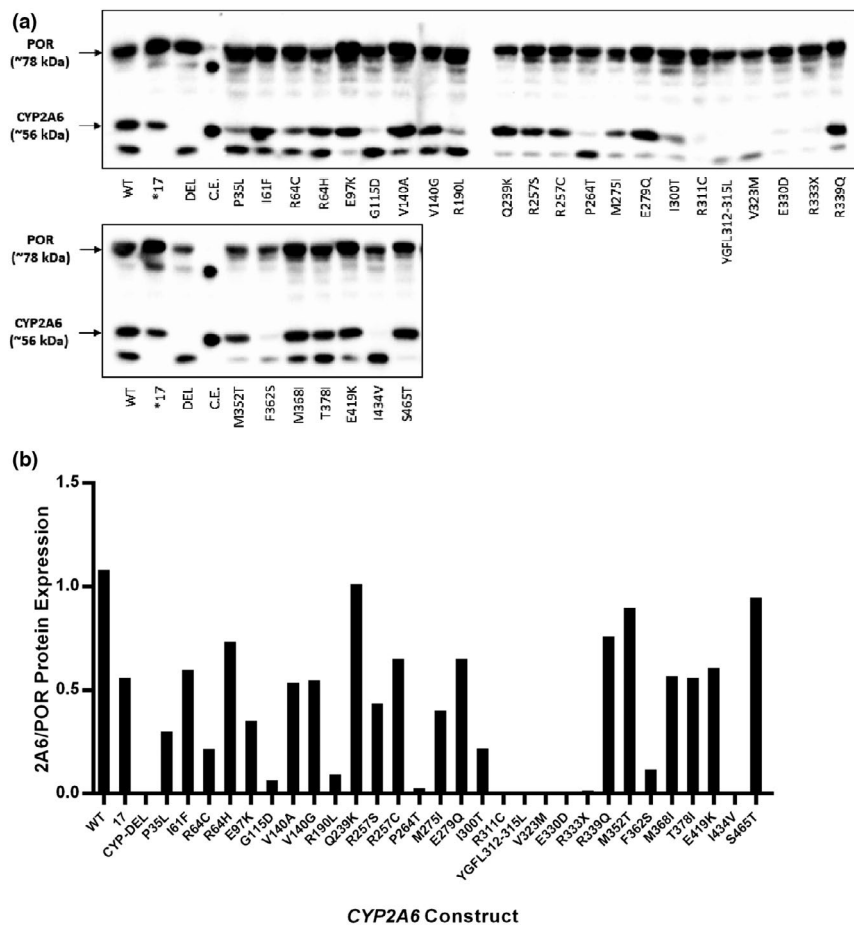
There was only a minor improvement in the overall variance captured, as expected, given the small number of people with functionally important rare coding variants. In AFR smokers, the variance of NMR captured increased from  $R^2 = 30.7\%$  (Figure 3a) to  $31.9\%$  (Figure 3c), whereas in EUR smokers, the variance increased from  $R^2 = 33.8\%$  (Figure 3b) to  $34.8\%$  (Figure 3d). Similar findings were observed when incorporating known demographic covariates of the NMR (sex, age, and body mass index [BMI]) in both AFR smokers ( $R^2 = 33.5\text{--}34.6\%$ ) and EUR smokers ( $R^2 = 37.6\text{--}38.6\%$ ). However, when examining the specific individuals with functionally important rare coding variants in the AFR population ( $N = 18$ ), the average residual value for individuals with a rare variant decreased from  $0.238$  to  $0.158$ . Likewise, in the EUR population ( $N = 21$ ), the average residual value for individuals with a rare variant decreased from  $0.213$  to  $0.179$ . Together, there was a significant improvement in the residuals for those with rare coding variants ( $p < 0.001$  based on a paired  $t$ -test).

In the AFR group, eight individuals were reclassified from normal to slow metabolizer status after incorporating the rare coding variants (Figure 3c). In the EUR group, four individuals were reclassified from normal to slow metabolizer status after incorporating the rare coding variants (Figure 3d). For these 12 individuals, this reclassification based on their improved wGRS predicted metabolizer status (based on a  $2.089$  AFR and  $2.14$  EUR cutoff point)<sup>17,18</sup> lead to improved concordance with their NMR-based metabolizer status (based on a  $0.31$  cutoff point).<sup>10</sup>

## Human liver bank

### Identification and characterization of rare protein-coding variants in *CYP2A6* among 312 individuals in a human liver bank

Ten rare coding variants (one known and nine novel) were identified among 17 individuals of the 312 total individuals sequenced (Table S3). When grouped, the rare coding variants were not associated with overall changes in *CYP2A6* mRNA or protein (Figure 4a,b). However, they were overall associated with a decrease in enzyme activity (Figure 4c), suggesting some variants may alter transcription or translation/stability and resulting activity, whereas most coding variants directly altered intrinsic metabolic activity. Like in the Smoking Cessation Trial, the variants demonstrated a spectrum of functional assignments. Two of the variants identified in the Smoking Cessation Trial were also identified in the Human Liver Bank (E97K and R311C); the effects on *CYP2A6* activity were consistent



**FIGURE 2** (a) Western blot loading approximately equal POR amounts for each novel CYP2A6 rare variant identified in Smoking Cessation Trial. Sample loading was based on sample dilution curves (Figure S4), adjusting to achieve similar POR amounts between constructs (i.e., more sample was loaded for variant constructs with low expression), and within the respective POR and 2A6 linear ranges. (b) Bar graph displaying the CYP2A6/POR protein expression ratios for each variant construct. kDa, kilodalton; WT, wildtype expressed in *E. coli*; DEL, CYP2A6 excised from bicistronic construct with POR intact; C.E., Commercially Expressed CYP2A6 (CAT #456254; Corning); X, Premature stop Codon

for E97K between the two studies. The 311C variant was associated with higher mRNA and protein expression in the human liver bank, neutral in vivo activity (NMR) in the smoking cessation trial and decreased intrinsic in vitro activity (based on the constructs); overall, this suggests that R311C is associated with higher expression, but lower intrinsic enzyme activity, leading to neutral in vivo activity. A summary of the functional characterizations of the rare coding variants identified in the Human Liver Bank are in (Figure 4d).

## DISCUSSION

There were 47 rare coding variants identified among 87 individuals of 1996 sequenced in the two studies, 38 of which were novel and have not been previously functionally characterized. These rare coding variants were collectively associated with decreased enzyme activity, as observed in vivo by the NMR (Figure 1) and in vitro through metabolic assays using cDNA expressed enzymes (Tables 2 and 3) and HLM (Figure 4c). Most of these coding rare variants impose effects on metabolic activity (Tables 2 and 3, and Figure 4); some mediate

these effects through changes in mRNA/protein expression (Figure 4), but most altered intrinsic enzyme properties, perhaps through alterations to the enzyme's access channel or affecting substrate binding. Most rare coding variants reported in *CYP* genes, including previously for *CYP2A6*, are associated with decreased CYP enzyme activity<sup>24,36</sup>; exploration of noncoding rare variants may yield more neutral or gain of function effects. The region containing the stretch of amino acid residues 300–330 may play an important function in the protein folding and stability of CYP2A6, as indicated by a consistent reduction of CYP2A6 protein from variant constructs in this region (Figure 2), and the high conservation of this region according to in silico predictions (Table 1). At a population level, the impact of rare coding variants appears to be small, represented by a minor increase in the variance ( $R^2$ ) captured in the NMR (Figure 3c,d; i.e., ~ 1% of variance was explained by the rare coding variants in the AFR and EUR samples). Even when controlling for nongenetic factors (sex, age, and BMI), the variance captured by the rare variants was still 1%, suggesting that this fraction of variation is directly explained by the variants and is not skewed by nongenetic factors.

**TABLE 2** Detailed  $V_{\max}$ ,  $K_m$ ,  $V_{\max}/K_m$  (Turnover), and percentage of WT (Turnover Rates) for all novel rare variants identified in the Smoking Cessation Trial

Variant construct	$V_{\max}$ (pmol COT/ min/pmol 2A6)	$K_m$ ( $\mu$ M)	$V_{\max}/K_m$ (nl/ min/pmol 2A6)	% WT (turnover rate)
WT	6.0	48.0	125	100
V365M (CYP2A6*17)	3.3	42.2	79	64
P35L	6.0	134.0	45	36
I61F	8.7	63.5	137	110
R64H	5.6	60.6	93	74
R64C	3.7	39.8	93	75
E97K	7.8	82.9	95	76
Q239K	12.7	67.2	189	152
V140A	5.7	35.7	160	128
V140G	3.5	34.9	100	80
R190L	2.9	153.4	19	15
R257C	5.0	124.3	40	32
R257G	5.4	152.7	35	28
P264T	3.1	87.4	36	29
M275I	7.3	68.6	106	85
E279Q	4.6	62.2	74	60
I300T	7.2	185.3	39	31
R311C	2.8	107.1	26	21
YGFL312-315L	1.0	112.0	9	7
V323M	1.1	130.2	8	7
E330D	8.9	97.1	91	73
R333X	0.5	375.9	1	1
R339Q	8.2	41.0	201	161
M352T	11.2	55.5	201	161
F362S	3.4	108.5	31	25
M368I	10.3	74.1	140	112
T378I	4.9	111.3	44	35
E419K	3.9	75.5	52	42
I434V	3.5	83.0	42	34

Abbreviations: COT, cotinine;  $K_m$ , kinetic metabolite; WT, wildtype;  $V_{\max}$ , maximum value; X, premature stop Codon.

However, on an individual level, the inclusion of rare coding variants led to a shift for these individuals from predicted normal to predicted slow metabolizer status (Figure 3c,d), which was more in line with their NMR metabolizer status (i.e., 12 of 39 individuals sequenced with rare coding variants were re-assigned to slow metabolizer status when incorporating rare coding variants into their wGRS). In the context of smoking cessation treatment, based on the findings from the PNAT2 clinical trial,<sup>10</sup> to derive the greatest quitting rates with the fewest incidences of side effects, treatment recommendations for 12 of 39 individuals would shift from varenicline to the nicotine patch. The importance of integrating rare coding variants for these individuals was also observed by

the significant reduction in the residual values from the wGRS line of best fit (i.e., the wGRSs now worked more accurately for those with rare coding variants).

The 1% of variation captured falls short of the 40% estimate previously suggested to explain functional variation in CYP2A6.<sup>21</sup> Three potential elements may have contributed to the high estimate. The first is the use of public sequencing databases. As demonstrated by the read alignment simulation, there is a potential for sequencing errors if the sequencing protocol does not meet rigorous criteria in terms of read length or selection of probes that prevent off-target sequencing (Figure S1); caution is necessary when assessing whole-genome sequencing databases. The second element is the dependence on in

**TABLE 3** Summary of in vitro assessments, in vivo associations, and variant construct functional assignments in AFR ( $N = 541$ ) and EUR ( $N = 1026$ ) populations

Variant construct		CYP2A6/POR protein	$V_{max}/K_m$ (nl/min/pmol 2A6)	Average NMR (Individual NMR) <sup>a</sup>	Aggregate functional assignment (4-level)	Aggregate functional assignment (3-level)	Level of evidence
<b>AFR</b>							
Reference	WT	1.08	125	0.351	N	N	—
	V365M (CYP2A6*17)	0.56	79	0.235	D	D	—
Novel rare	R64C	0.22	93	0.192	L	L	3
	R190L	0.09	19	0.173	L	L	3
	R333X	0.01	1	0.108 (0.069, 0.123, 0.133)	L	L	3
	E419K	0.61	52	0.154	L	L	3
	I434V	0.09	42	0.158 (0.13, 0.186)	L	L	3
	M275I	0.40	106	0.257	D	D	3
	E330D	0.01	92	0.250	D	D	3
	T378I	0.56	44	0.239 (0.211, 0.242, 0.264)	D	D	3
	I61F	0.60	137	0.192	L	D	2
	M368I	0.57	140	0.231	D	D	2
	Q239K	1.01	189	0.394 (0.319, 0.353, 0.509)	N	N	3
	P264T	1.01	36	0.509	G	N	2
Known rare	F118L, R128L, S131A (CYP2A6*26) <sup>b</sup>	0 <sup>c</sup>	0% <sup>d</sup>	0.245 (0.065, 0.128, 0.298, 0.489)	D	L	3
	R265Q (CYP2A6*41)	0 <sup>e</sup>	5% <sup>d</sup>	0.16 (0.104, 0.179, 0.197)	L	L	3
<b>EUR</b>							
Reference	WT	1.08	125	0.458	N	N	—

(Continues)

TABLE 3 (Continued)

Variant construct	CYP2A6/POR protein	$V_{\max}/K_m$ (nl/min/pmol 2A6)	Average NMR (Individual NMR) <sup>a</sup>	Aggregate functional assignment (4-level)	Aggregate functional assignment (3-level)	Level of evidence
Novel rare						
R64C	0.22	L 93	D 0.139	L	D	3
G115D	0.06	L 9	L 0.132	L	D	3
R257S	0.43	D 35	L 0.139 (0.08, 0.197)	L	D	3
I300T	0.22	L 39	L 0.262 (0.069, 0.455)	D	D	3
YGFL312—315L	0.00	L 9	L 0.207	D	D	3
V323M	0.00	L 8	L 0.265	D	D	3
F362S	0.12	L 32	L 0.168	L	D	3
R64H	0.73	D 93	D 0.206	D	D	3
E97K	0.35	L 95	D 0.29975 (0.127, 0.162, 0.18, 0.191, 0.192, 0.263, 0.529, 0.754)	D	D	3
V140G	0.55	D 100	D 0.339	D	D	3
V140A	0.54	D 160	D 0.265	D	D	2
M352T	0.90	N 201	G 0.372 (0.134, 0.315, 0.668)	N	N	3
R339Q	0.76	D 201	G 0.291	D	N	2
P35L	0.30	L 45	L 0.873	G	I.E.	1
R257C	0.65	D 40	L 0.464	N	I.E.	1
E279Q	0.65	D 74	D 0.395	N	I.E.	1
R311C	0.00	L 26	L 0.359	N	I.E.	1
S465T	0.95	N 189	G 0.298	D	I.E.	1
L160I, D158E (CYP2A6*22) <sup>d</sup>	Unknown	66% <sup>d</sup>	D 0.223 (0.153, 0.293)	D	D	2
K194E (CYP2A6*15)	Unknown	219% <sup>d</sup>	G 0.168	L	I.E.	1
I471T (CYP2A6*7)	Unknown	5% <sup>d</sup>	L 0.119	L	D	2
G479V (CYP2A6*5)	Unknown	0% <sup>d</sup>	L 0.549 (0.172, 0.925)	G	I.E.	1

Note: CYP2A6/POR: ratio of CYP2A6 protein expression relative to POR.

$V_{\max}/K_m$ : measure of catalytic efficiency based on in vitro metabolism to the nicotine substrate. X: Premature stop Codon. L: loss-of-function, D: decrease-of-function, N: neutral-function G: gain-of-function. Aggregate Functional Assignment (4-level): overall functional assignment of variants split into four groups: L, D, N, and G. Aggregate Functional Assignment (3-level): overall functional assignment of variants split into three groups: D, N, and G. I.E.: inconsistent evidence between in vitro and in vivo parameters. Cut points for definitions were based on reference constructs and were as follows. CYP2A6/POR: L: 0–0.4, D: 0.4–0.8, N: 0.8–1.1, G: >1.1.  $V_{\max}/K_m$ : L: 0–55, D: 55–110, N:110–160, G: >160. Average NMR: L: 0–0.2, D: 0.2–0.35, N: 0.35–0.5, G: >0.5.

Abbreviations: AFR, African ancestry; EUR, European ancestry;  $K_m$ , kinetic metabolite; NMR, nicotine metabolite ratio;  $V_{\max}$ , maximum value; WT, wildtype.

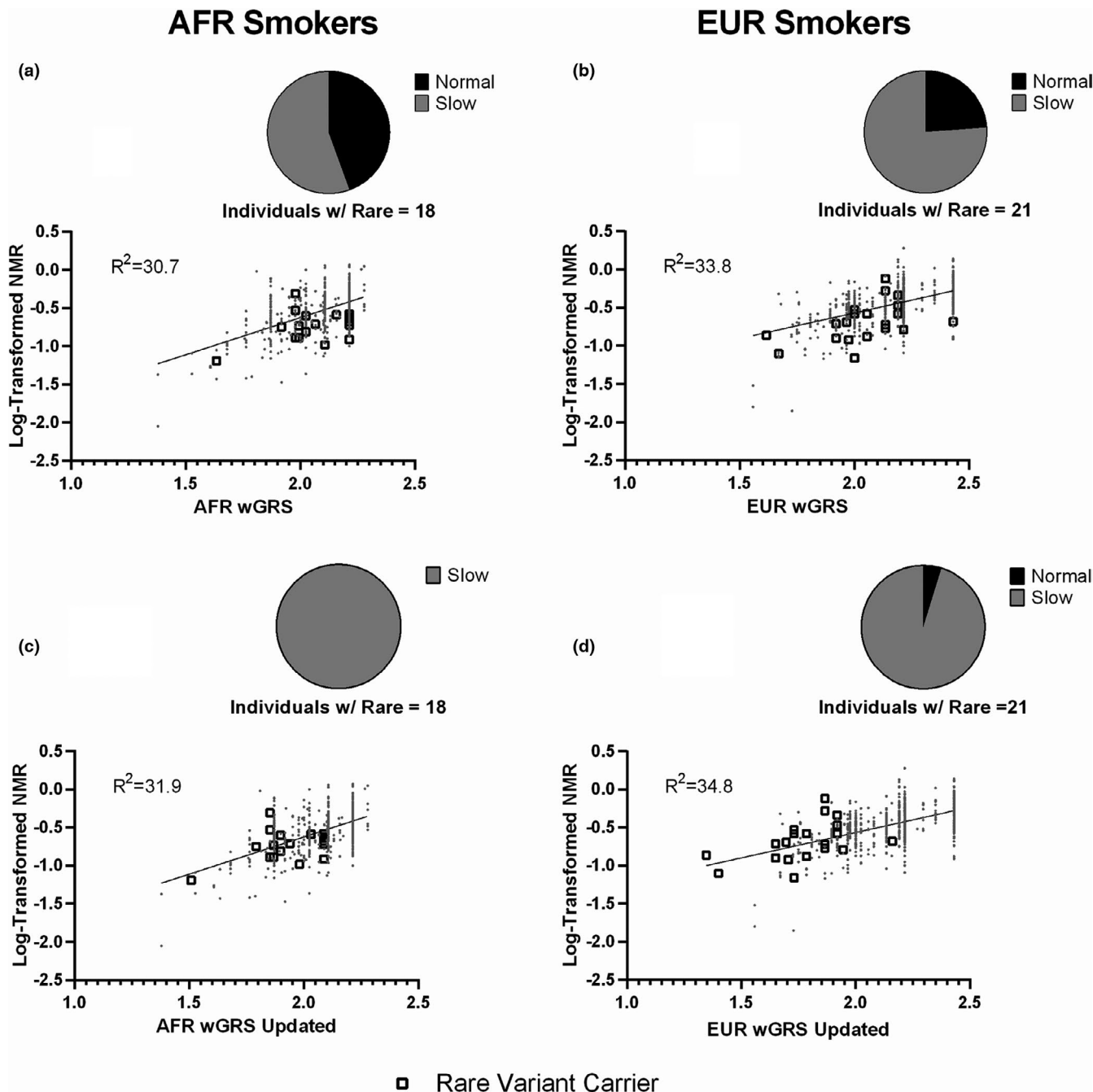
<sup>a</sup>Only individuals that were heterozygote for the allele were considered (i.e. CYP2A6 \*/\*17, and not \*/\*17/\*17).

<sup>b</sup>All coding variants in the haplotype were required to be considered part of the known \* allele.

<sup>c</sup>Reported in Mwenifumbo et al.<sup>33</sup>

<sup>d</sup>Reported in Hosono et al.<sup>26</sup>

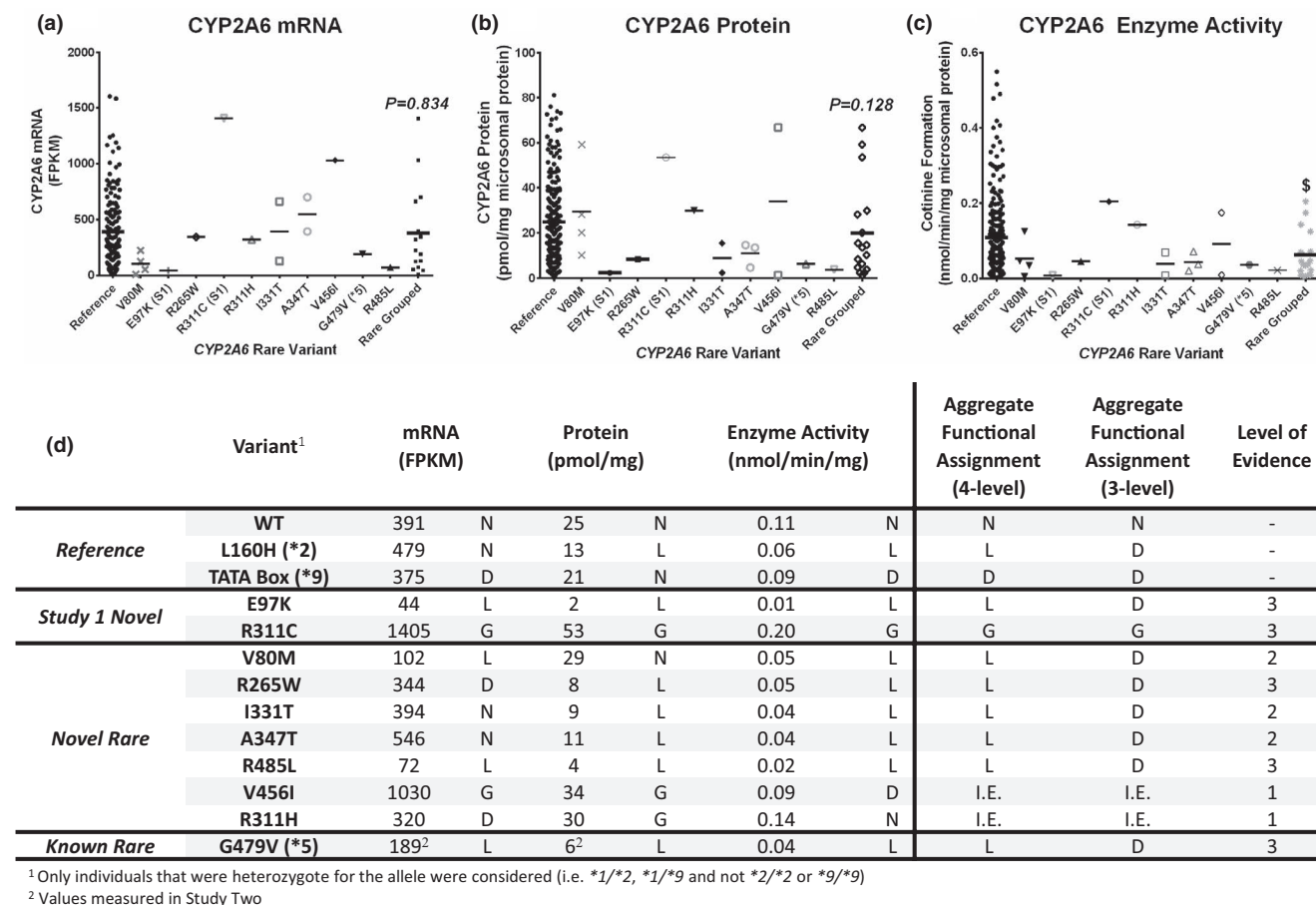
<sup>e</sup>Reported in Piliuguian et al.<sup>24</sup>



**FIGURE 3** Respective (a) AFR wGRS ( $N = 504$ ) and (b) EUR wGRS ( $N = 933$ ), individuals with rare coding variants highlighted. Original wGRSs were based exclusively on common variants. (c, d) Updated wGRSs incorporating the functional rare coding variants weighted collectively as a decrease-of-function in the AFR and EUR population, respectively. Pie charts represent the proportion of individuals classified as slow or normal metabolizers by the wGRS before (a), (b), and after (c), (d) incorporating rare variant data. wGRS cut points for metabolizer status determination have been previously described (slow wGRS  $<2.089$ ; normal wGRS  $\geq 2.089$  in AFR<sup>18</sup>; slow wGRS  $<2.14$ ; normal wGRS  $\geq 2.14$  in EUR<sup>17</sup>). AFR, African-ancestry; EUR, European-ancestry; NMR, nicotine metabolite ratio; wGRS, weighted Genetic Risk Score

silico functional predictions. In silico variant predictions, even when aggregating a collection of approaches, were ineffective in distinguishing relationships with the NMR (Figure S3). The in vitro characterizations of variant effects on CYP2A6 activity were more consistent with in vivo measures of CYP2A6 activity (as demonstrated here

and previously<sup>24-26</sup>). Third, future sequencing and analyses should explore the role of noncoding rare coding variants, such as those in regulatory elements including promoter regions which can affect the expression of CYP2A6. Another source of CYP2A6 functional variability may be explained by rare genetic variants in other genes



**FIGURE 4** Association of rare *CYP2A6* coding variants with (a) *CYP2A6* mRNA levels (FPKM values), (b) *CYP2A6* protein levels (pmol/mg microsomal protein), and (c) *CYP2A6* enzyme activity (cotinine formation from nicotine, nmol/min/mg microsomal protein) in the human liver bank ( $N = 312$ ). Reference: Excluding those with a *CYP2A6* rare variant and/or common functional *CYP2A6* \* alleles. S1: Variant also identified in Smoking Cessation Trial. Statistical analyses were based off the SKAT test statistic for continuous traits comparing the Rare Grouped and Reference groups. \$:  $p < 0.05$ . (d) Summary of the assessments in the human liver bank. Enzyme activity, cotinine formation from nicotine in vitro; L, loss-of-function; D, decrease-of-function; N, neutral-function; G, gain-of-function. Aggregate Functional Assignment (4-level): overall functional assignment of variants split into four groups: L, D, N, and G. Aggregate Functional Assignment (3-level): overall functional assignment of variants split into three groups: D, N, and G. I.E.: inconsistent evidence between expression and kinetic parameters. Cut points for definitions were based on reference variants and were as follows. Defining parameters: mRNA: L: 0–250, D: 251–375, N: 376–600, G: greater than 600. Protein: L: 0–15, D: 16–20, N: 21–30, G: greater than 30. Enzyme Activity: L: 0–0.08, D: 0.09–0.10, N: 0.11–0.15, G: greater than 0.15

that affect the regulation or activity of *CYP2A6*, for example, the gene encoding *POR*, which is responsible for the electron transfer between NADPH and CYP enzymes.

In the Smoking Cessation Trial, a greater number of rare coding variants per capita was identified in the AFR population than in the EUR population (Table 1), as noted in other studies.<sup>36</sup> This reflects the greater genetic diversity found in AFR populations in general,<sup>37</sup> and is consistent with the on average lower NMR in AFR compared to EUR, partially explained by the greater frequency of variants in AFR individuals.<sup>38</sup>

An important role of rare coding variants in mediating smoking behaviors has also been shown for the genes encoding nicotine acetylcholine receptor alpha subunits (*CHRNA*). Rare coding variants identified in *CHRNA4*<sup>39</sup>

were associated with nicotine dependence measures and smoking-related disease risk.<sup>39</sup> As the NMR is also associated with several smoking behaviors, including nicotine dependence measures and disease risk, we predict functionally relevant *CYP2A6* rare coding variants would yield similar results. Indeed, in a previous study involving deep sequencing the *CYP2A6* gene, there was an association between smoking amount and rare *CYP2A6* variants predicted to be deleterious based on in silico predictions<sup>40</sup>; these analyses could be strengthened through the integration of in vitro functional characterization, as presented in this study. Due to our focus on coding rare coding variants, there was only a small number of participants with functionally important rare coding variants in our study, thus we were underpowered to study associations with

clinical smoking phenotypes, such as smoking quantity or cessation; inclusion of functional noncoding rare coding variants would provide greater power to explore clinical associations.

For our *in vitro* functional characterization in the Smoking Cessation Trial, we used an *E. coli* expression system. Whereas a major advantage of this expression system is a high protein yield that can be used for structural or metabolic assays, a disadvantage are the differences in transcriptional/translational/post-translational mechanisms in bacterial versus human cells, which could influence effects on enzyme protein levels. Despite this limitation, this system has been shown here and elsewhere to reflect *in vivo* CYP2A6 activity.<sup>24</sup> The use of RNA-seq to confirm rare coding variants identified in the Human Liver Bank is not as reliable as Sanger sequencing, as was done to confirm variants in the Smoking Cessation Trial; however, unique primer sets were used between the DNA and RNA sequencing, making it less likely for the two orthogonal approaches to result in identical variant calling errors. Due to the cDNA nature of our *in vitro* functional assay, we focused our assessments on coding variants; however, it is possible that rare coding variants within regulatory elements and splice sites could contribute to the functional variability in CYP2A6 and the NMR. Future studies should consider the implications of these noncoding rare coding variants. Finally, for our *in vitro* assessments all variants were characterized in individual constructs, however, as displayed in Table S2, it is possible that variant combinations could lead to alternative effects on CYP2A6 activity that were not explicitly tested for. However, the rare variants were most often found in the same individuals as CYP2A6\*9, a variant in the 5' regulatory element (TATA box) that affects expression which could not be explicitly tested in our *E. coli* (prokaryote) expression system. Furthermore, the integration of the genetic risk scores in Figure 3 accounts for circumstances where individuals also have other functional variants.

Considering there are 494 amino acids in the CYP2A6 protein with thousands of potential coding variant possibilities, expanding the catalogue of functionally characterized variants will be necessary. One approach would be through the techniques presented here; another approach would be to implement a deep mutational scanning approach. Yeast-based activity assays to test thousands of variants are being piloted in CYP2C9, although the reflection of these assays to gold standard *in vitro* metabolic assays is still undetermined.<sup>41</sup> Alternatively, current *in silico* prediction techniques could undergo further refinement with the growing knowledge of variant effects.

In conclusion, we demonstrate that incorporation of functionally relevant rare coding variants can be

important for the determination of individual metabolizer status. Incorporation of these rare coding variants led to more accurate CYP2A6 activity grouping (normal vs. slow) for individuals genotyped with rare coding variants. Improved CYP2A6 groupings can in turn provide better assessments of the impact of CYP2A6 on outcomes of interest, including smoking behaviors, disease risk assessment, as well as improving tailored selection of smoking cessation treatment. Currently, the high costs and poor accuracy of sequencing may prevent integration of rare coding variants, but as the accuracy, frequency, and appeal of sequencing increases, to achieve precision medicine for all, integration of these variants should be considered.

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### CONFLICTS OF INTEREST

R.F.T. has consulted for Quinn Emanuel and Ethismos on unrelated topics. N.L.B. has been a consultant to Pfizer and Achieve Life Sciences, companies that market or are developing smoking cessation medications, and has been an expert witness in litigation against tobacco companies. All other authors declared no competing interests for this work.

### AUTHOR CONTRIBUTIONS

A.E. and R.F.T. wrote the manuscript. A.E., A.Z.X.Z., K.F., T.M., M.K., and R.F.T. designed the research. A.E., J.T., K.G.C., B.P., and K.F. performed the research. A.E. analyzed the data. E.G.S., K.E.T., T.M., M.K., N.L.B., C.L., and R.F.T. contributed reagents/analytical tools.



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## SUPPORTING INFORMATION

Additional supporting information may be found in the online version of the article at the publisher's website.

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