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### Title

Exploring substrate/ionomer interaction under oxidizing and reducing environments

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### Authors

Tesfaye, Meron

MacDonald, Andrew N

Dudenas, Peter J

et al.

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1 **Exploring substrate/ionomer interaction under oxidizing and**

2 **reducing environments**

3

4 Meron Tesfaye,<sup>a,b</sup> Andrew MacDonald,<sup>a</sup> Peter J. Dudenas,<sup>a,b</sup> Ahmet Kusoglu,<sup>b</sup> Adam Z. Weber<sup>b,\*</sup>

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6<sup>a</sup> Department of Chemical and Biomolecular Engineering, University of California, Berkeley,

7CA, 94720, USA

8<sup>b</sup> Energy Technologies Area, Lawrence Berkeley National Laboratory (LBNL), Berkeley, CA,

994720, USA

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11\* Author to whom correspondence should be addressed. [azweber@lbl.gov](mailto:azweber@lbl.gov)

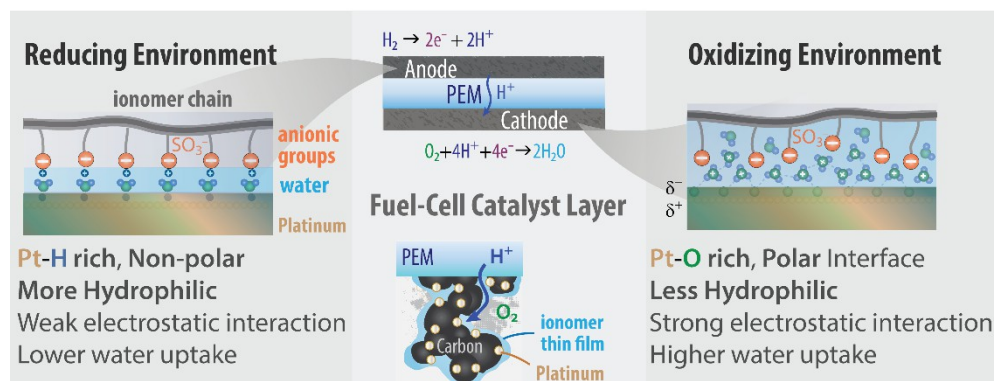
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### 13 Graphical Abstract



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### 17 Keywords:

18 Hydrogen; Fuel cell; Ionomer; Confinement; Thin film; Water uptake

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### 21 Highlight:

- 22 • Revealed swelling of ionomer thin-film on Pt in H<sub>2</sub> for the 1<sup>st</sup> time.
- 23 • Demonstrated lower swelling of ionomer on both Pt and Si/SiO<sub>2</sub> under H<sub>2</sub> gas.
- 24 • Observed densification in ionomer thin-film under H<sub>2</sub> environment via GISAXS.
- 25 • Thin-film swelling dynamics is surface/ionomer interaction dependent.
- 26 • Polarity and hydrophilicity are key factors in Pt interface/ionomer interaction.

**27Abstract**

28       Local gas transport limitation attributed to the ionomer thin-film in the catalyst layer is a  
29major deterrent to widespread commercialization of polymer-electrolyte fuel cells. So far  
30functionality and limitations of these thin-films have been assumed identical in the anode and  
31cathode. In this study, Nafion thin-films on platinum(Pt) support were exposed to H<sub>2</sub> and air as  
32model schemes, mimicking anode and cathode catalyst layers. Findings indicate decreased  
33swelling, increased densification of ionomer matrix, and increased humidity-induced aging rates  
34in reducing environment, compared to oxidizing and inert environments. Observed phenomenon  
35could be related to underlying Pt-gas interaction dictating Pt-ionomer behavior. Presented results  
36could have significant implications about the disparate behavior of ionomer thin-film in anode  
37and cathode catalyst layers.

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#### 411. Introduction

42 As polymer-electrolyte fuel cells (PEFCs) gain traction in the energy-device landscape, they  
43face a major hurdle from significant mass-transport losses associated with the ionomer/catalyst  
44interface [1], [2]. Sources of mass-transport losses include: confinement driven gas transport  
45losses in ionomer thin-film coating carbon-supported platinum, interfacial resistances caused by  
46structural changes at local ionomer-platinum boundary, and partial electrochemical deactivation  
47of platinum surfaces [3]–[6]. The latter can impact overall kinetics on platinum(Pt) surfaces [7],  
48[8], however such effects on ionomer mass-transport and the interplay with reducing  
49atmospheres are unknown. As a result, explicit understanding of losses at the ionomer/Pt  
50interface is required for optimal electrode-ionomer design and accelerating market penetration of  
51PEFCs.

52 Ionomer thin-films cast onto a Pt surface can serve as model systems providing a focused  
53glimpse into the catalyst layer. Although bulk, continuous polycrystalline Pt does not fully  
54describe Pt nanoparticle phenomenon present in real catalyst layers, it can still elucidate surface  
55specific interactions that impact ionomer properties and morphology [9], [10]. While impact of  
56Pt substrate on ionomer performance have been shown [8], [11], efforts to clarify the source of  
57this impact have been contradictory, especially in elucidating the role of water on oxidized and  
58unoxidized Pt surfaces [12], [13]. Additionally, the extent of Pt surface influence on ionomer  
59during exposure to oxidative/reductive environments remains unexplored. In this study, water-  
60vapor-sorption dynamics of dispersion-cast Nafion thin-films under reducing ( $H_2$ ), oxidizing  
61(Air), and inert (Ar,  $N_2$ ) environments are investigated in order to understand the Pt/ionomer  
62interaction in anode and cathode catalyst layers.

63

#### 642. Material and Methods

### 652.1. *Thin-film Preparation*

66 Nafion dispersions (5 wt%, 1100 g/mol  $\text{SO}_3^-$  equivalent-weight, Sigma Aldrich) were diluted  
67 in isopropanol, spin cast onto Pt-coated Si, and Si/SiO<sub>2</sub> wafers to form ~50 nm films. Pt  
68 substrates were prepared via e-beam evaporation of 5nm Ti adhesion layer followed by 60nm of  
69 Pt. Pt substrates were cleaned with benchtop Ar plasma for 6 minutes prior to casting. Thin-films  
70 were annealed at 150°C under vacuum for 1 hr before measurement.

### 712.2. *Water-Uptake Measurement*

72 Thickness change of Nafion films was monitored using *in-situ* spectroscopic ellipsometry  
73 (J.A. Woollam) as detailed in Ref [14]. Measurements shown are the average of at least two  
74 separate samples measured <15 minutes after annealing. To create a consistent water history, all  
75 measurements were preceded with an hour exposure to dry (0%) and saturated (96%) relative  
76 humidity (RH) (See Fig 1a for hydration protocol). Humidity-dependent thickness ( $L(t, RH)$ ) was  
77 an average of the last 10 min of set humidity. The % change from dry ( $L_o$ ) is given by:

$$78 \quad \text{Change in Thickness (\%)} = 100 \times \frac{L(t, RH) - L_o}{L_o} \quad (1)$$

### 792.3. *Grazing Incidence Small Angle Scattering (GISAXS) Measurements*

80 Pt-coated Nafion films were placed into an in-house built environmental chamber with X-ray  
81 transparent Kapton windows as in Ref [6]. The sample was equilibrated in dry H<sub>2</sub> and N<sub>2</sub> gas at  
82 room temperature and GISAXS patterns were collected after multiple purges for 5 to 10 minutes  
83 in each gas, at varying incidence angles ( $\alpha_i$ ).

### 842.4. *Mechanical-Property Measurement*

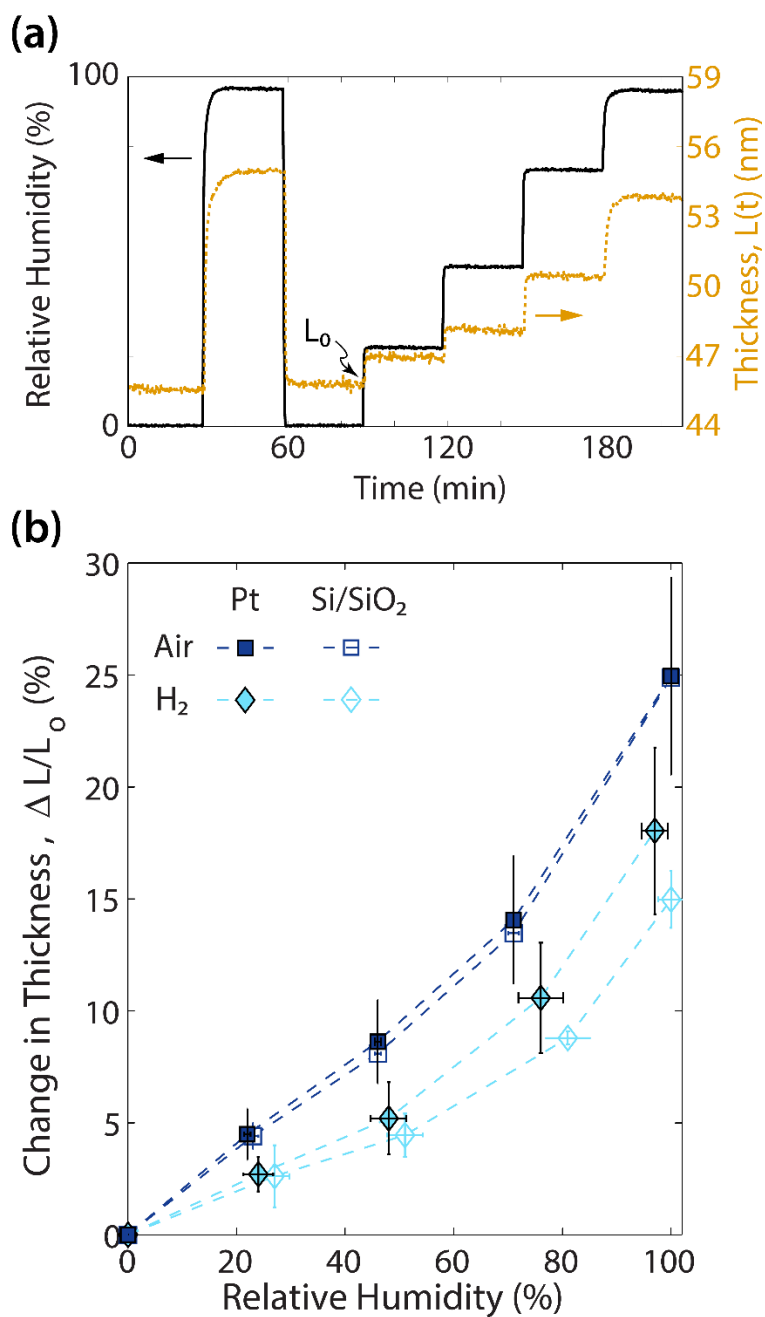
85 100 nm Nafion films were prepared on Pt-coated thin Si cantilever wafers (105µm thickness  
86 by approximately 0.5cm x 4cm). Sample was clamped in an environmental cell with humidified

87gas feeds. Constrained swelling due to the substrate results in a compressive force, which bends  
88the Si cantilever. Using a laser array reflected off the backside of sample, change in curvature of  
89the cantilever was measured and related to stress-thickness via Stoney's equation, see Ref [15].  
90Humidity-induced stress-strain curves were generated by combining stress and strain (from  
91ellipsometry, see Equation 1) under the same humidity conditions, and the deformation energy  
92density was calculated by integrating the area under the curve.

93

### 943. Results and Discussion

95 Figure 1b compares swelling of ionomer films on Pt and Si/SiO<sub>2</sub> under different humidified  
96gas feeds. Swelling values demonstrate a depression in swelling for ionomer thin-films exposed  
97to H<sub>2</sub>. The authors note values reported here fall between previous studies [13, 16]. Differences  
98in swelling values between studies are ascribed to different ageing, conditioning, and annealing  
99protocols.



100

101 Figure 1: (a) Humidity protocol applied during in-situ tracking of spin-cast Nafion thin-films

102 (~50 nm) (b) % Change in thickness on Pt and Si/SiO<sub>2</sub> substrate under H<sub>2</sub> and Air

103 environments as a function of relative-humidity.

104

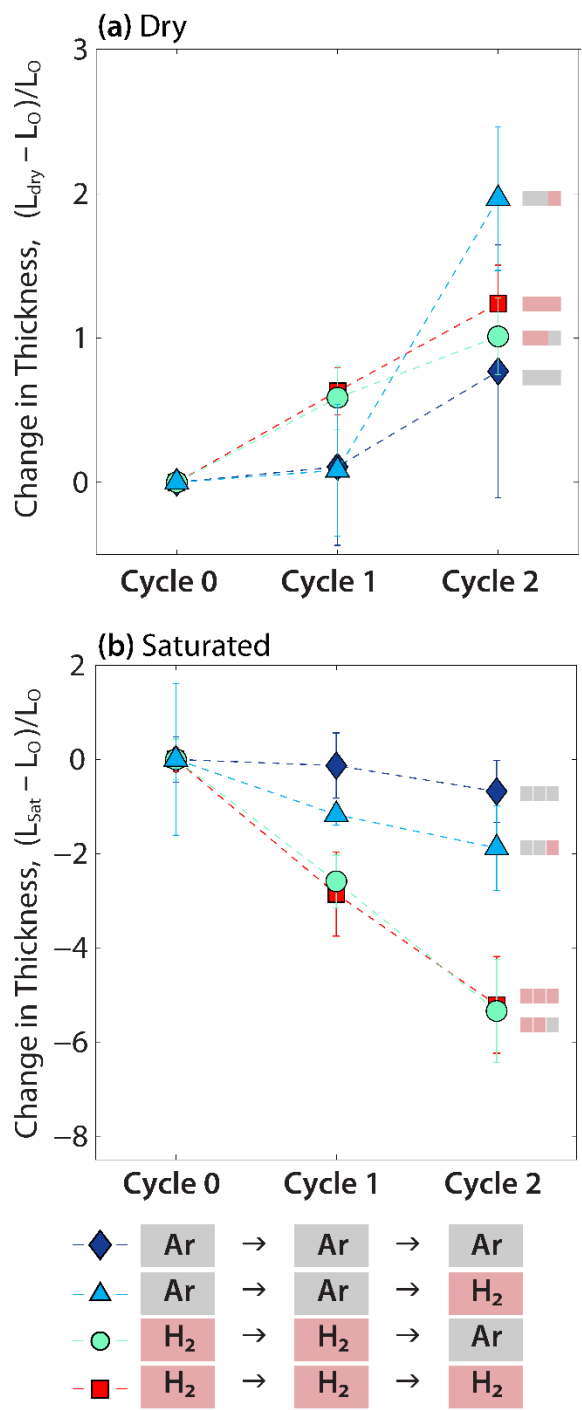
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105 The reversibility and persisting impact of the gaseous environment on ionomer swelling  
 106 was explored using humidity-cycling by alternating inert and reducing gas exposure. *In-situ*  
 107 ionomer thickness change on Pt was monitored over three hydration cycles: first, a single step of  
 108 dry to 96% RH gas exposure (Cycle 0, gas 1); second, humidity was stepped down to 0% RH  
 109 prior to hydration cycling (Cycle 1, gas 1); finally, gas 1 was switched and stepped RH was  
 110 applied (Cycle 2, gas 2). Here, the dry reference thickness was set to the thickness from Cycle 0.  
 111 Figure 2a shows difference in swelling in each gas combination under dry conditions, i.e.,  $(L_{\text{Dry}} -$   
 112  $L_{0, \text{Cycle } 0})/L_{0, \text{Cycle } 0}$ . Humidity-cycling under inert gas environment (Ar  $\rightarrow$  Ar  $\rightarrow$  Ar) displays  
 113 minimal thickness hysteresis from start to finish. However, cycling in H<sub>2</sub> only (H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>  $\rightarrow$   
 114 H<sub>2</sub>) and H<sub>2</sub> then Ar (H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>  $\rightarrow$  Ar) introduces a continual increase in dry thickness upon  
 115 repeated cycling. Swelling in Ar then H<sub>2</sub> (Ar  $\rightarrow$  Ar  $\rightarrow$  H<sub>2</sub>) demonstrates a large relative shift  
 116 in dry thickness immediately after H<sub>2</sub> exposure. Figure 2b shows swelling under saturated (96%  
 117 RH) conditions for each gas combination, i.e.  $(L_{\text{Saturated}} - L_{\text{Saturated, Cycle } 0})/L_{0, \text{Cycle } 0}$ . The thickness  
 118 change in humid inert gas environment (Ar  $\rightarrow$  Ar  $\rightarrow$  Ar) is maintained despite repeated  
 119 relaxation and tension introduced by changes in humidity. However, RH cycling in both H<sub>2</sub> only  
 120 (H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>) and H<sub>2</sub> then Ar (H<sub>2</sub>  $\rightarrow$  H<sub>2</sub>  $\rightarrow$  Ar) reduces the maximum water-uptake  
 121 capacity. A reducing environment not only diminishes water uptake successively upon cycling,  
 122 but does so to a greater extent than an inert environment. Switching order of H<sub>2</sub> and Ar (H<sub>2</sub>  $\rightarrow$   
 123 H<sub>2</sub>  $\rightarrow$  Ar versus Ar  $\rightarrow$  Ar  $\rightarrow$  H<sub>2</sub>) exhibits a reduction in swelling under saturated

124 conditions at a rate that is between that of H<sub>2</sub>- and Ar-only environments, confirming the impact  
 125 of the H<sub>2</sub> environment.



126

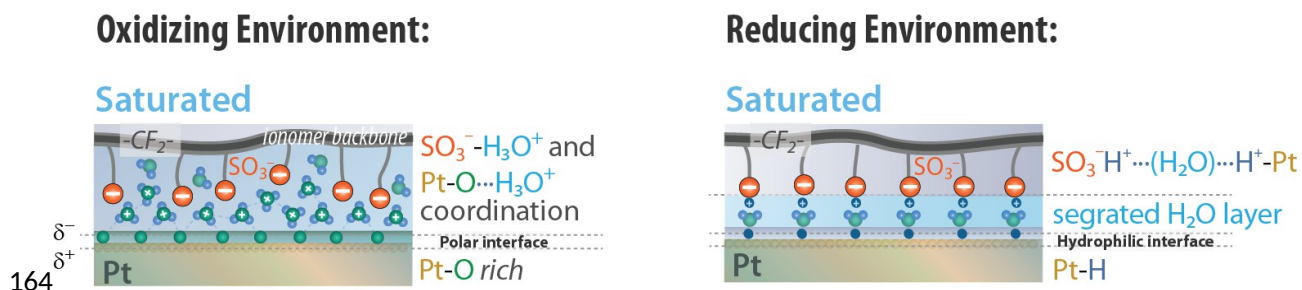
127 Figure 2: Humidity-cycling of Pt-supported Nafion thin-films (~50 nm) with alternating inert and  
 128 reducing gas. Comparison of Cycle 1 in gas 1 and Cycle 2 in alternative gas 2.

129 Thickness change in (a) Dry and (b) Saturated (96% RH) relative to dry and saturated  
130 thickness in Cycle 0 exposed to gas 1, respectively.

131

132 The findings in Fig. 1 and 2 are consequences of changes at the ionomer/Pt interface  
133 induced by gas/Pt interaction. Surface oxidation on Pt metal can occur via electrochemical and  
134 thermochemical pathways [17]. In a thermochemically oxidized Pt surface, exposure to an  
135 oxidative gaseous environment like air will enlarge oxidized metal islands on Pt, while exposure  
136 to a reducing environment like H<sub>2</sub> can reduce the unstable passivated surface even under ambient  
137 conditions [17]–[20]. Pt substrates in this study are likely to exist with some surface oxidation as  
138 they are stored under ambient conditions. This oxide surface continues to grow with continued  
139 exposure to an oxidizing environment or, is reduced and saturated with dissociated atomic  
140 hydrogen during H<sub>2</sub> exposure; a phenomenon that has been reported experimentally and  
141 computationally [10], [21]–[23]. As a result, during exposure to Air and H<sub>2</sub>, Pt interface can exist  
142 at varied states of oxidation and reduction resulting in sample-to-sample variability. Nonetheless,  
143 adsorbed hydrogen reduces the solid-surface free energy [21], resulting in a more hydrophilic but  
144 nonpolar Pt/H interface compared with that of oxidized Pt. This phenomenon was verified by  
145 using bare Pt-coated crystal in a quartz-crystal microbalance, which exhibited significant  
146 adsorption of H<sub>2</sub> on Pt surface when dry, and greater absorption of water when saturated due to  
147 greater affinity for water at the Pt/H interface (data not shown). The Pt/H interface lacks strong  
148 electrostatic interactions, resulting in possible ionomer restructuring to orient hydrophilic  
149 sidechains towards the Pt/H interface, where water molecules are likely to gather, thereby  
150 creating a dense region of hydrophobic ionomer away from the interface. In such a scenario, the  
151 bulk of the ionomer behaves like a higher equivalent-weight ionomer with lower water uptake.

152 On the other hand, negatively charged oxygen atoms on an oxidized Pt surface, which, while  
 153 comparatively less hydrophilic, induce a strong polar dipole and enhance electrostatic  
 154 interactions between hydronium ions and sulfonic-acid moieties. Similar depression in water-  
 155 vapor uptake in thin-films on Si/SiO<sub>2</sub> support under H<sub>2</sub> also point towards impact of oxidized  
 156 surfaces. Under ambient conditions, growth of native oxide layer of 1 to 2 nm is expected on a Si  
 157 substrate. Continued layer-by-layer growth of SiO<sub>2</sub>; however, requires presence of both water  
 158 and oxygen [24], [25]. Although reduction of the oxide layer is not occurring under H<sub>2</sub>  
 159 environment on Si/SiO<sub>2</sub> support, oxide formation is actively being facilitated under humidified  
 160 air. These interactions enhance the overall effective water uptake within the ionomer on oxidized  
 161 surface, which is consistent with predictions from molecular-dynamics simulations [26]. Figure 3  
 162 schematically portrays the balancing impacts of polarity and hydrophilicity in reducing and  
 163 oxidizing environment.

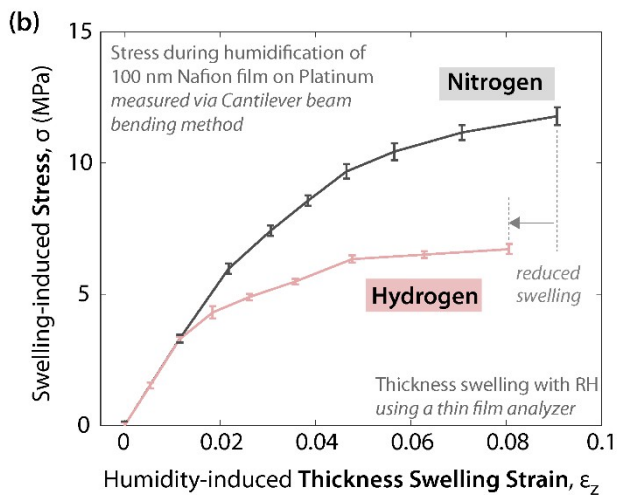
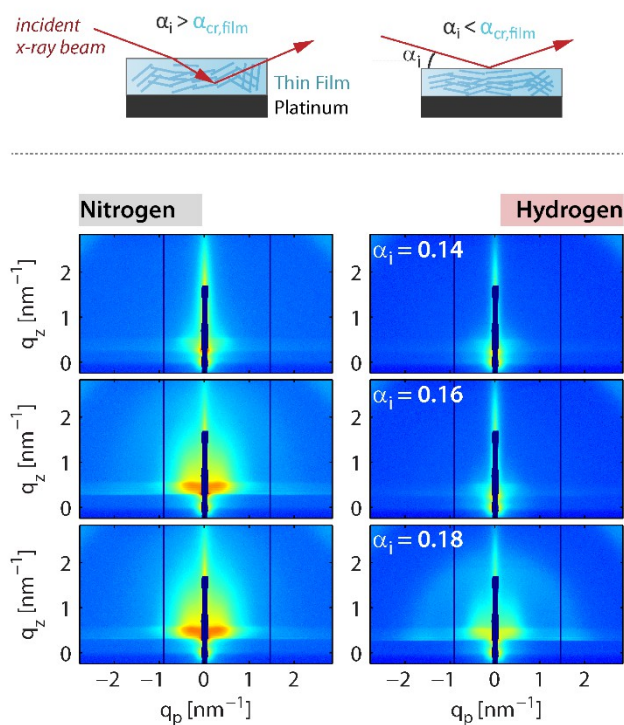


165 Figure 3: Schematic representation of platinum(Pt)/ionomer thin-film interface impact in  
 166 oxidizing and reducing environment resulting from differences in polarity and  
 167 hydrophilicity.

168 The above hypothesis is supported by morphological changes tracked by GISAXS and  
 169 mechanical response of Nafion thin-film on Pt exposed to H<sub>2</sub> and N<sub>2</sub> gases. When  $\alpha_i$  of x-ray

170beam is below the critical angle of the polymer film,  $\alpha_{c, film}$ , total external reflection occurs  
171with a surface-sensitive scattering [27], whereas above  $\alpha_{c, film}$ , the x-ray beam penetrates  
172through the entire film and scattering from the paracrystalline Pt surface is observed. As shown  
173in Figure 4a, the paracrystalline peak is present at  $\alpha_i = 0.16$  in dry N<sub>2</sub>, but does not appear  
174until  $\alpha_i = 0.18$  in dry H<sub>2</sub>, indicating an increase in the ionomer's critical angle, which is a  
175function of chemical structure and density. This positive shift in  $\alpha_c$  points to restructuring of  
176the ionomer, including possible rearrangement of the hydrogen bonding of sidechains near the  
177Pt/H interface, resulting in a more effectively packed, dense, hydrophobic ionomer structure. In  
178addition, mechanical stress generated in thin film during its humidification in H<sub>2</sub> and N<sub>2</sub> is  
179measured on a cantilever beam. When this stress is plotted against thickness swelling measured  
180separately at the same RHs, shown in Fig 4b, a curve is generated, the area under which  
181demonstrates lower deformation energy density accumulated in the film in H<sub>2</sub> than N<sub>2</sub> (539  
182versus 680 kJ/m<sup>3</sup>). This provides further evidence to the more hydrophilic but weakly-interacting  
183Pt/H interface induced by H<sub>2</sub>, creating a water-rich layer (see Figure 3), thereby resulting in a  
184reduced translation of ionomer deformation onto the substrate.

(a) Grazing Incidence X-ray Scattering (GISAXS) of Nafion thin films



185

186 Figure 4: (a) 2D GISAXS pattern of Pt-supported Nafion thin-films ( $\sim 50$  nm) equilibrated in dry187  $\text{N}_2$  and  $\text{H}_2$  gas. The paracrystalline peak visibility shifts from  $\alpha_i \geq 0.16$  in  $\text{N}_2$  to188  $\alpha_i \geq 0.18$  in  $\text{H}_2$ . (b) Swelling-induced stress in the thin film measured via cantilever

189 method during humidification in N<sub>2</sub> and H<sub>2</sub> plotted against the thickness swelling of  
190 the film.

191

192 Despite being the least understood component, the gas/ionomer/Pt interface in the  
193catalyst layer bears the utmost duty for PEFC performance. Thus, there is need for greater  
194understanding of pairwise interaction between gas/ionomer, ionomer/Pt, and gas/Pt interfaces to  
195reduce critical transport losses and improve electrode design. To that effect, this study focused on  
196how gas/Pt interaction impacts Pt surface and ionomer thin-film morphology and properties.  
197Unexpectedly, a reduced swelling, increased densification, decreased deformation energy density  
198and continual reduction in effective water uptake in the ionomer during cycling were observed  
199under H<sub>2</sub> relative to oxidizing or inert environment. These observations demonstrate the coupled  
200impact of gas/substrate and ionomer/substrate interactions on ionomer thin-film's behavior and  
201ultimately it's transport properties [28]. Therefore, there is a need for increased electrode-specific  
202investigations and separate ionomer design for anode and cathode catalyst layers. The impact of  
203electronic potential going from oxidation to reduction potentials can also affect the surface-state  
204identity and ionomer thin-film morphology, which is a focus of current research. Furthermore,  
205existence of a water-rich phase at the Pt/ionomer interface in a reducing environment can impact  
206surface conductivity significantly, which may not occur in an oxidizing environment. The  
207findings herein also indicate heightened vulnerability to delamination of ultra-thin ionomer films  
208in the anode due to increased water-layer thickness and reduced deformation energy density.

209

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