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### Title

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### Permalink

<https://escholarship.org/uc/item/9192q3m1>

### Journal

Arteriosclerosis Thrombosis and Vascular Biology, 38(9)

### ISSN

1079-5642

### Authors

El Khoudary, Samar R  
Ceponiene, Indre  
Samargandy, Saad  
et al.

### Publication Date

2018-09-01

### DOI

10.1161/atvbaha.118.311017

Peer reviewed

# HDL (High-Density Lipoprotein) Metrics and Atherosclerotic Risk in Women

## Do Menopause Characteristics Matter? MESA

Samar R. El Khoudary, Indre Ceponiene, Saad Samargandy, James H. Stein, Dong Li, Matthew C. Tattersall, Matthew J. Budoff

**Objective**—HDL-C (high-density lipoprotein cholesterol) may not always be cardioprotective in postmenopausal women. HDL particles (HDL-P) via ion-mobility may better reflect the antiatherogenicity of HDL. Objectives were (1) to evaluate associations of HDL-C and ion-mobility HDL-P with carotid intima-media thickness (cIMT) and carotid plaque separately and jointly in women; and (2) to assess interactions by age at and time since menopause.

**Approach and Results**—Analysis included 1380 females from the MESA (Multi-Ethnic Study of Atherosclerosis; age:  $61.8 \pm 10.3$ ; 61% natural-, 21% surgical-, and 18% peri-menopause). Women with unknown or early menopause (age at nonsurgical menopause  $\leq 45$  years) were excluded. Adjusting for each other, higher HDL-P but not HDL-C was associated with lower cIMT ( $P=0.001$ ), whereas higher HDL-C but not HDL-P was associated with greater risk of carotid plaque presence ( $P=0.04$ ). Time since menopause significantly modified the association of large but not small HDL-P with cIMT; higher large HDL-P was associated with higher cIMT close to menopause but with lower cIMT later in life. The proatherogenic association reported for HDL-C with carotid plaque was most evident in women with later age at menopause who were  $>10$  years postmenopausal.

**Conclusions**—Elevated HDL-C may not always be cardioprotective in postmenopausal women. The cardioprotective capacity of large HDL-P may adversely compromise close to menopause supporting the importance of assessing how the menopause transition might impact HDL quality and related cardiovascular disease risk later in life. (*Arterioscler Thromb Vasc Biol.* 2018;38:00-00. DOI: 10.1161/ATVBAHA.118.311017.)

**Key Words:** atherosclerosis ■ carotid intima-media thickness ■ cholesterol ■ perimenopause ■ postmenopause

## Arteriosclerosis, Thrombosis,

The well-known cardioprotective features of HDL (high-density lipoprotein) can be lost under certain conditions such as chronic inflammation.<sup>1</sup> Women who transition through menopause are subjected to many adverse physiological changes including alterations in sex hormones, body fat deposition, and lipid profile.<sup>2</sup> The accrual of these changes could trigger a status of chronic inflammation over time<sup>3</sup> that could potentially impact the cardioprotective capacity of HDL. Several studies have shown that higher level of the conventional measure of HDL, HDL-cholesterol (HDL-C), in middle-aged and older women is not always protective and are associated with greater risk of nonfatal stroke, cerebral infarction, and carotid atherosclerosis.<sup>4-7</sup> Recent findings from the SWAN (Study of Women's Health Across the Nation) suggest a potential role of the menopause transition. A larger increase in HDL-C over time was significantly associated with a greater progression of carotid intima-media thickness (cIMT) independent of potential risk factors in

women at midlife.<sup>8</sup> It is unknown whether the cardioprotective capacity of HDL is compromised in postmenopausal women. The crude measure of total cholesterol carried by HDL particles may not fully characterize the HDL effect on cardiovascular disease (CVD) risk.

A novel promising method that physically quantifies HDL subclasses is the ion-mobility analysis, a well-established method in the field of aerosol science. It is the latest method that provides accurate, reproducible, direct determination of size and concentration for a wide range of lipoproteins by separating lipoproteins by size based on movement of charged particles in a gas-phase under the impact of an electric field.<sup>9,10</sup> No previous study has evaluated the effect modification of menopause-related factors including age at menopause and time since menopause on the associations between concentrations of ion-mobility HDL particles (HDL-P) and carotid atherosclerosis (level of cIMT and risk of carotid plaque [cPlaque] presence) in midlife and older women.

Received on: March 5, 2018; final version accepted on: June 11, 2018.

From the Department of Epidemiology, University of Pittsburgh, PA (S.R.E., S.S.); Division of Cardiology, Los Angeles BioMedical Research Institute at Harbor UCLA Medical Center, Torrance, CA (I.C., D.L., M.J.B.); Department of Cardiology, Lithuanian University of Health Sciences, Kaunas, Lithuania (I.C.); and Department of Medicine, University of Wisconsin School of Medicine and Public Health, Madison (J.H.S., M.C.T.).

The online-only Data Supplement is available with this article at <http://atvb.ahajournals.org/lookup/suppl/doi:10.1161/ATVBAHA.118.311017/-/DC1>.

Correspondence to Samar R. El Khoudary, PhD, MPH, Department of Epidemiology, University of Pittsburgh, 4420 Bayard St, Suite 600, Pittsburgh, PA 15260. Email [elkhoudarys@edc.pitt.edu](mailto:elkhoudarys@edc.pitt.edu)

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*Arterioscler Thromb Vasc Biol* is available at <http://atvb.ahajournals.org>

DOI: 10.1161/ATVBAHA.118.311017

### Nonstandard Abbreviations and Acronyms

<b>apo</b>	apolipoprotein
<b>cIMT</b>	carotid intima-media thickness
<b>cPlaque</b>	carotid plaque score
<b>CRP</b>	C-reactive protein
<b>CVD</b>	cardiovascular disease
<b>HDL</b>	high-density lipoprotein
<b>HDL-C</b>	HDL cholesterol
<b>HDL-P</b>	HDL particles
<b>IL-6</b>	interleukin-6
<b>LDL</b>	low-density lipoprotein
<b>LDL-C</b>	LDL cholesterol
<b>LDL-P</b>	LDL particles
<b>MESA</b>	Multi-Ethnic Study of Atherosclerosis
<b>SBP</b>	systolic blood pressure

The MESA (Multi-Ethnic Study of Atherosclerosis) is a large, well-characterized, ethnically diverse cohort providing a unique opportunity to assess whether the cardioprotective associations of conventional and ion-mobility measures of HDL vary by menopause-related parameters in midlife and older women. The proposed analyses will help in identifying other metrics that could better capture the cardioprotective capacity of HDL in women.

### Materials and Methods

The authors declare that all supporting data are available within the article and in the [online-only Data Supplement](#).

### Study Population

MESA is a longitudinal multicenter cohort study of the prevalence and correlates of subclinical CVD and the factors that impact its development.<sup>11</sup> At baseline (2000–2002), 6814 community-dwelling multiracial/multiethnic men (n=3213) and women (n=3601) 45 to 84 years old were recruited from 6 US sites. Exclusion criteria at baseline included self-reported CVD, weight >136 kg, pregnancy, cancer, or cognitive impairment.

For the current analysis, 2028 women had carotid artery scan and HDL ion-mobility data available at MESA baseline visit (examination 1). Women with triglycerides level >400 mg/dL (n=24) and those with unknown menopausal status (n=367) or early menopause (age at nonsurgical menopause ≤45 years; n=257) were excluded, leaving 1380 women for the current analyses on HDL metrics, carotid measures, and menopausal status. Analyses that assessed effect modifications by age at and time since menopause additionally excluded 242 women for whom age at and time since menopause were missing, leaving 1138 women for these analyses.

Study protocols were approved by the institutional review board at each site and participants provided written informed consent.

### Carotid Ultrasonography

At baseline, B-mode ultrasound images of the left and right common, bifurcation, and internal carotid artery segments were recorded using the M12L transducer (General Electric Medical Systems; common carotid artery frequency, 13 MHz) on Super-VHS videotape with a Logiq 700 ultrasound system. Video images were digitized at high resolution and frame rates using a Medical Digital Recording device (PACSGEAR, Pleasanton, CA) and converted into DICOM-compatible digital records. Ultrasound images were read at the University of Wisconsin Carotid Ultrasound Reading Center. Images were imported into syngo Ultrasound Workplace reading stations

loaded with Arterial Health Package software (Siemens Medical, Malvern, PA) for cIMT and cPlaque presence. As described previously,<sup>12</sup> cIMT was defined as the mean of the mean left and right mean far wall distal common carotid artery wall thicknesses (the distal 10 mm of the common carotid artery, proximal to the carotid bifurcation point, where the diameter remains uniform). cPlaque was defined as a discrete, focal wall thickening ≥1.5 cm or focal thickening at least 50% greater than the surrounding IMT.<sup>12</sup> The intraclass correlation coefficient for intrareader and inter-reader reproducibility for mean cIMT were 0.99 and 0.95, respectively. For cPlaque presence, intrareader and inter-reader reproducibility were κ=0.83 (95% CI, 0.70–0.96) and 0.89 (95% CI, 0.72–1.00), respectively.<sup>12</sup>

### Ion Mobility and Conventional Lipids

Ion-mobility lipoproteins were measured at the Quest Diagnostics Nichols Institute (San Juan Capistrano, CA). Compared with nuclear magnetic resonance (NMR) spectroscopy methodology which relies on the composition of particles as it measures the proton resonance associated with the methyl group on esterified cholesterol, ion mobility separates particles based on size and counts the number of particles that are separated at each size. The measurement is independent of the composition of the particles. Ion mobility can measure lipoprotein concentration of the entire size spectrum of lipoprotein particles ranging from 5 nm–53 nm at a high-size resolution (<0.1 nm diameter on average). Thus, it allows unbiased analysis of the entire lipoprotein spectrum without making any assumption about what specific size range should be analyzed.<sup>10</sup> All specimens were collected as part of MESA examination 1 (2000–2002) and analyzed using ion mobility in 2015. The complete lipoprotein profiles from these samples were very much like the profiles obtained from fresh samples. The LDL (low-density lipoprotein) and HDL regions were similar in size, number, and shape. Before ion-mobility fractionation, lipoproteins were isolated by dextran sulfate precipitation (plasma was treated with 17% ethanol which removed >97% of fibrinogen, and lipoproteins were then precipitated with dextran sulfate [2 mg/mL] and calcium [0.15 mol/L]). Precipitated lipoproteins were harvested on paramagnetic particles, washed to remove free salt and proteins, and then resuspended in 25 mmol/L ammonium acetate for analysis by ion mobility. This method recovered all measureable apoB (apolipoprotein B; 105%), apoA-I (96%), and total cholesterol (103%). Removal of plasma proteins was assessed by the following proteins (final concentration remaining after extraction compared with original serum concentration): IgG (3%), albumin (<4%), and transferrin (0%). This new isolation procedure has excellent recovery of the lipoprotein particles based on the apolipoprotein and total cholesterol recoveries.<sup>13</sup> After isolation, the lipoproteins were fractionated and quantitated in a single scan using gas-phase electrophoresis.<sup>13</sup> Based on the electrical potential applied, the dimensions of the differential mobility analyzer, and the airflow rate, the particle diameter and the number of particles in each size range can be determined. HDL-P were separated to large HDL-P (size range: 14.5–10.5 nm), equivalent to HDL2b, or small particles (size range: 10.5–7.65 nm), equivalent to HDL3+2a.<sup>14</sup> Total HDL-P is the summation of the concentrations of the large and small HDL-Ps. Concentration of total LDL-P was available for the current analysis. The intra-assay and interassay variations were <10% and <13% for HDL-P and LDL-P concentrations, respectively. Since the original publication of the method,<sup>10</sup> refinement to the technique have been made that address concerns about the method.<sup>13,15,16</sup>

Total and HDL-C and triglycerides were measured at a central laboratory (Fairview-University Medical Center, Minneapolis, MN) after a 12-hour fast. LDL cholesterol (LDL-C) was calculated using the Friedewald equation.<sup>17</sup>

### Menopausal Status

Menopausal status was determined by asking women at baseline if they have gone through menopause or change of life. Women were required to state the age at which they experienced menopause if they answered yes to this question. Women who answered no or don't know were asked to provide the date of their last menstrual period

and the number of periods they had experienced in the last 12 months. Women were additionally asked if they have had surgery to remove uterus or ovaries. Those who answered yes to these questions were required to state the age at which they had their uterus or ovaries removed and how many ovaries removed if any. Responses to the above questions were cross-evaluated comprehensively to classify women into one of the following 4 categories: (1) perimenopause, (2) natural menopause, (3) surgical menopause, and (4) unknown menopausal status. Women were classified as perimenopause if they answered no or don't know to the question on menopause or change of life and reported at least 1 menstrual period in the last 12 months. Premenopausal women, who reported 12+ periods in the last 12 months, were combined with perimenopausal women because of small sample size (only 47 women were classified as premenopausal). Women were classified as natural menopause if they answered yes to the question on menopause or change of life or reported no period in the last 12 months that was not because of a hysterectomy procedure. Women were classified as surgical menopause if they reported that they had bilateral oophorectomy. Women who had hysterectomy or bilateral oophorectomy (with/out hysterectomy) at an age older than the reported age at menopause were classified as natural menopause. Women with inconsistent data about assessing menopausal status (eg, reported having bleeding in the past 12 months and said that they are gone through menopause) and those who could not be classified into any of the above categories (eg, women who did hysterectomy with/out unilateral oophorectomy, missing menopausal information, or answering unknown to menopause and surgical procedure questions) were considered as unknown menopausal status. Women who classified as menopause (not because of bilateral oophorectomy) at an age  $\leq 45$  years were considered early menopausal. Women with early or unknown menopausal status were excluded from the current analysis.

Ages at natural or surgical menopause were self-reported by study participants. Time since menopause in years was calculated as the age at baseline minus the age at natural menopause or surgical menopause. Women reported hormone therapy use as either current or ever.

### Study Covariates

Race/ethnicity was self-reported. Smoking status was coded as never, former, or current smoker. Body mass index was calculated as weight in kilograms divided by height in meters squared. Systolic blood pressure (SBP) was measured 3 $\times$  using an automated sphygmomanometer (Critikon, Tampa, FL) while seated, and the mean of the last 2 measurements was used. Physical activity was estimated as the total amount of intentional exercise performed in a usual week and measured in metabolic equivalent task–minutes per week. Hypertension was defined as having SBP  $\geq 140$  mmHg, diastolic BP  $\geq 90$  mmHg, or taking medications for high BP. Diabetes mellitus was defined as the use of insulin or oral hypoglycemic medications or a fasting glucose level of  $\geq 126$  mg/dL. CRP (C-reactive protein) was measured using the BNII nephelometer (N High Sensitivity CRP; Dade Behring Inc, Deerfield, IL) and IL (interleukin)-6 was measured by ultrasensitive ELISA (Quantikine HS Human IL-6 Immunoassay; R&D Systems, Minneapolis, MN).

### Statistical Analysis

The distribution of cIMT was slightly skewed thus it was evaluated with and without a log transformation. As results were comparable, models using the original scale were presented for simplicity of interpretation. Descriptive statistics were used to characterize study participants in the total study sample and by menopausal status. ANOVA/Kruskal-Wallis or  $\chi^2$  tests were used as appropriate to assess differences by menopausal status categories. Pairwise comparisons across menopausal categories were performed on serum lipids and ion-mobility HDL metrics adjusting for multiple testing. To assess correlates of HDL-C and HDL ion-mobility metrics, Spearman correlation coefficients were estimated.

To evaluate associations between each HDL metric and each of cIMT and cPlaque, linear and logistic regression were used,

respectively. The model building strategy was based on a priori selection of common risk factors in the association between carotid measure and HDL metrics which was confirmed by univariate analysis (Table I in the [online-only Data Supplement](#)). The first model included age, race/ethnicity, study site, and menopausal status. In the second model, we further adjusted for body mass index, SBP, smoking, physical activity, antihypertensive, lipid-lowering medications, ever use hormone replacement therapy, IL-6, and CRP. The third model was built to determine the independent effect of HDL metrics, because each of HDL-C and HDL-P subclasses measure different aspects of HDL (total cholesterol contents carried by all particles versus concentration of HDL particles of different size), adjusting for each other and for LDL metrics (LDL-C and LDL-P) on carotid measures such that for (1) HDL-C models we additionally adjusted for total HDL-P and LDL-P; (2) total HDL-P, large HDL-P, or small HDL-P models were additionally adjusted for HDL-C and LDL-P. We built a fourth model for large and small HDL-P, but not other HDL metrics, to determine their independent associations with carotid measures by additionally adjusting for large HDL-P in small HDL-P and vice versa. In model 5, we additionally adjusted for triglycerides and LDL-C for all HDL metrics.

Effect modifications of the associations between carotid measures and HDL metrics by time since and age at menopause were tested unadjusted and fully adjusted. We found significant effect modification of time since menopause on association between large HDL-P and cIMT. To visually present this effect modification, we estimated adjusted means of cIMT by percentiles of large HDL-P and time since menopause. Additionally, the effect of HDL-C on cPlaque presence was marginally modified by age at menopause. We performed additional analysis testing the interaction between HDL-C and age at menopause stratified by time since menopause given the potential possibility of recall bias of age at menopause among MESA participants.

Potential multicollinearity was evaluated in all models using variance inflation factors, and all values were within acceptable limit ( $\leq 5$ ) indicative of no multicollinearity in any of the fitted models.

As a sensitivity analysis, we conducted all multivariable analyses while excluding women on lipid-lowering medications and again by not adjusting for study site. All statistical analyses were conducted using SAS version 9.4; SAS Institute, Inc, Cary, NC and significance was set at  $P < 0.05$ .

## Results

Participants' characteristics in total and by menopausal status are presented in Table 1. Correlates of HDL metrics are presented in Table 2. HDL-C was correlated weakly with total HDL-P, and this correlation was stronger with large than small HDL-P. HDL-C was inversely correlated with LDL-C and LDL-P. In contrast, total, small, and large HDL-Ps were positively correlated with LDL-P.

### cIMT and HDL Metrics

Each HDL metric was negatively associated with cIMT when modeled separately and after adjusting for age, race, study site, menopausal status, body mass index, SBP, smoking, physical activity, antihypertensive, lipid-lowering medications, ever use of hormone therapy, IL-6, and CRP (Figure 1A and 1B, left; Table II in the [online-only Data Supplement](#); model 2). Adjusting for each other (HDL-C and total HDL-P in the same model), study covariates and LDL-P, total HDL-P but not HDL-C remained negatively associated with cIMT (Figure 1A, right; Table II in the [online-only Data Supplement](#); model 3). In models adjusted for study covariates, HDL-C, and LDL-P, small but not large HDL-P significantly associated with lower cIMT (Figure 1B, right;

Table 1. Study Participants Characteristics in Total and by Menopausal Status

Study Variable	Total, N=1380	Surgical Menopause, N=290 (21.0%)	Natural Menopause, N=848 (61.4%)	Perimenopause, N=242 (17.5%)	P Value
Age, y, mean±SD	61.8±10.3	63.3±9.6	65.1±8.8	48.4±3.0	<0.0001
Race/ethnicity, N (%)					<0.0001
White	531 (38.5)	101 (34.8)	331 (39.0)	99 (40.9)	
Chinese American	195 (14.1)	21 (7.2)	148 (17.5)	26 (10.7)	
Black	360 (26.1)	115 (39.7)	180 (21.2)	65 (26.9)	
Hispanic	294 (21.3)	53 (18.3)	189 (22.3)	52 (21.5)	
Age at menopause, y, median (Q1, Q3)*	50.0 (48.0, 53.0)	44.0 (38.0, 49.0)	50.5 (50.0, 53.0)	...	<0.0001
Time since menopause, median (Q1, Q3)*	14.0 (7.0, 22.0)	20.0 (9.0, 28.0)	13.0 (6.0, 20.0)	...	<0.0001
BMI, kg/m <sup>2</sup> , mean±SD	28.6±6.2	29.6±6.4	28.2±5.9	28.9±6.8	0.004
SBP, mm Hg, mean±SD	126.9±23.0	130.6±23.1	129.6±22.9	112.9±16.9	<0.0001
Smoking status, N (%)					0.0001
Never	836 (60.8)	161 (55.9)	531 (62.8)	144 (59.5)	
Former	392 (28.5)	88 (30.6)	248 (29.3)	56 (23.1)	
Current	148 (10.8)	39 (13.5)	67 (7.9)	42 (17.4)	
Intentional physical activity, metabolic equivalent task (MET) min/wk, median (Q1, Q3)	720.0 (105.0, 1725.0)	735.0 (105.0, 1710.0)	720.0 (150.0, 1732.5)	637.5 (75.0, 1710.0)	0.98
Hypertension, N (%)	651 (47.2)	171 (59.0)	437 (51.5)	43 (17.8)	<0.0001
Diabetes mellitus, N (%)	148 (10.7)	44 (15.2)	90 (10.6)	14 (5.8)	0.002
Antihypertensive medications, N (%)	506 (36.7)	145 (50.0)	328 (38.7)	33 (13.6)	<0.0001
Lipid-lowering medications, N (%)	220 (16.0)	56 (19.3)	157 (18.5)	7 (2.9)	<0.0001
Ever use hormone replacement therapy, N (%)	531 (38.5)	227 (80.2)	384 (45.4)	29 (24.4)	<0.0001
CRP, mg/L, median (Q1, Q3)	2.5 (1.0, 5.4)	3.5 (1.5, 7.8)	2.4 (1.0, 4.7)	1.7 (0.7, 4.7)	<0.0001
IL-6, pg/mL, median (Q1, Q3)	1.3 (0.8, 2.0)	1.3 (0.9, 2.1)	1.3 (0.8, 2.0)	1.1 (0.6, 1.8)	<0.0001
Lipids, mg/dL, mean±SD‡					
HDL-C	56.3±15.3	58.1±15.7†	56.5±15.3†	53.7±14.8	0.004
LDL-C	118.0±31.8	120.2±34.5	118.4±31.7	113.8±28.5	0.06
Total cholesterol	199.2±34.8	204.7±36.6†	200.2±34.1†	189.3±33.0	<0.0001
Triglycerides	109.0 (76.0, 157.0)	116.0 (85.0, 163.0)†	112.0 (77.0, 160.5)†	93.5 (63.0, 134.0)	<0.0001
Ion-mobility particle concentrations, μmol/L, mean±SD					
Total HDL-P	26.65±5.48	27.47±6.07†	26.60±5.43	25.81±4.77	0.002
Large HDL-P	6.81±1.88	7.08±2.07†	6.80±1.89	6.51±1.53	0.002
Small HDL-P	19.84±4.04	20.39±4.40†	19.81±4.01	19.30±3.64	0.008
Total LDL-P	1.29±0.36	1.31±0.34	1.29±0.36	1.26±0.36	0.26
Mean cIMT, μm, Mean±SD	749.94±183.23	775.86±195.87	775.35±182.72	630.22±106.42	<0.0001
cPlaque presence, N (%)	664 (48.1)	151 (52.1)	464 (54.7)	49 (20.2)	<0.0001

BMI indicates body mass index; cIMT, carotid intima-media thickness; cPlaque, carotid plaque; CRP, C-reactive protein; HDL-C, high-density lipoprotein cholesterol; HDL-P, HDL particles; IL-6, interleukin-6; LDL-C, low-density lipoprotein cholesterol; LDL-P, LDL particles; Q1, first quartile; Q3, third quartile; and SBP, systolic blood pressure.

\*Sample size for analysis on age at menopause and time since menopause=1138 women.

†Significantly different from perimenopausal women adjusting for multiple comparisons.

‡Except for triglycerides where median (Q1, Q3) were presented.

Table II in the [online-only Data Supplement](#); model 4). Same results were observed for each HDL metric with cIMT after further adjustment for LDL-C and triglycerides (Table II in the [online-only Data Supplement](#); model 5).

#### Effect Modifications of Menopausal Factors on Associations Between HDL Metrics and cIMT

Significant interaction between large HDL-P and time since menopause ( $P=0.03$ ) was found in relation to cIMT

**Table 2. Spearman Correlation Coefficients of HDL-C and HDL-Ps With Lipids and Study Measures**

	HDL-C	Total HDL-P	Large HDL-P	Small HDL-P
HDL-C	1	0.33*	0.60*	0.17*
LDL-C	-0.08†	0.03	-0.03	0.06‡
Total cholesterol	0.20*	0.21*	0.19*	0.19*
Triglycerides	-0.45*	0.07‡	-0.18*	0.18*
Total HDL-P	0.33*	1	0.84*	0.96*
Large HDL-P	0.60*	0.84*	1	0.68*
Small HDL-P	0.17*	0.96*	0.68*	1
Total LDL-P	-0.21*	0.39*	0.20*	0.45*
CRP	-0.13*	0.12*	0.06‡	0.14*
IL-6	-0.21*	0.03	-0.01	0.05
BMI	-0.29‡	0.02	-0.10†	0.07‡
SBP	-0.05‡	0.02	-0.001	0.04
Intentional physical activity	0.13*	0.01	0.06‡	-0.02

BMI indicates body mass index; CRP, C-reactive protein; HDL-C, high-density lipoprotein cholesterol; HDL-P, high-density lipoprotein particles; IL-6, interleukin-6; LDL-C, low-density lipoprotein cholesterol; LDL-P, low-density lipoprotein particles; and SBP, systolic blood pressure.

\* $P \leq 0.001$ .

† $0.001 < P \leq 0.005$ .

‡ $0.005 < P < 0.05$ .

independent of study covariates, LDL-P, HDL-C, and small HDL-P; such that a greater concentration of large HDL-P was significantly associated with lower cIMT as time since menopause increased (Figure 2). This effect modification of time since menopause remained significant even after further adjustment for triglycerides and LDL-C ( $P=0.03$ ), data not shown. Association between small HDL-P and cIMT did not vary by time since menopause. Age at menopause did not modify associations between cIMT and HDL metrics.

### cPlaque and HDL Metrics

In midlife and older women, only higher HDL-C was marginally associated with greater risk of cPlaque presence ( $P=0.06$ ) independent of age, race, study site, menopausal status, body mass index, SBP, smoking, physical activity, antihypertensive, lipid-lowering medications, ever use of hormone therapy, IL-6, and CRP (Figure 3; Table III in the [online-only Data Supplement](#); model 2). Adjusting for each other (HDL-C and total HDL-P), study covariates and LDL-P, higher HDL-C but not HDL-P became strongly associated with greater risk of cPlaque (Figure 3; Table III in the [online-only Data Supplement](#); model 3). No significant association was observed between small or large HDL-P and cPlaque when either modeled separately or adjusted for each other and study covariates (Figure 3; Table III in the [online-only Data Supplement](#)). Same results were observed for each HDL metric with cPlaque after further adjustment for LDL-C and triglycerides (Table III in the [online-only Data Supplement](#); model 5).

### Effect Modifications of Menopausal Factors on Associations Between HDL Metrics and cPlaque

The positive association of HDL-C with higher risk of cPlaque was more evident at older age at menopause,  $P$  value for adjusted interaction from model 5=0.05). This marginal finding should be interpreted with caution, because this interaction was no longer significant after excluding age as a covariate. Additionally, further assessment of this interaction stratified by time since menopause ( $\leq 5$  years since menopause, 6–10 years since menopause, and  $>10$  years since menopause) revealed that the positive interaction between age at menopause and HDL-C was only evident in women with  $>10$  years since menopause ( $n=717$ ), data not shown. Interestingly, the mean age of this group of women was 69.6 and the mean reported age at menopause was 48.3 years. Age at or time since menopause did not modify associations between ion-mobility HDL metrics and cPlaque.

### Additional Analyses

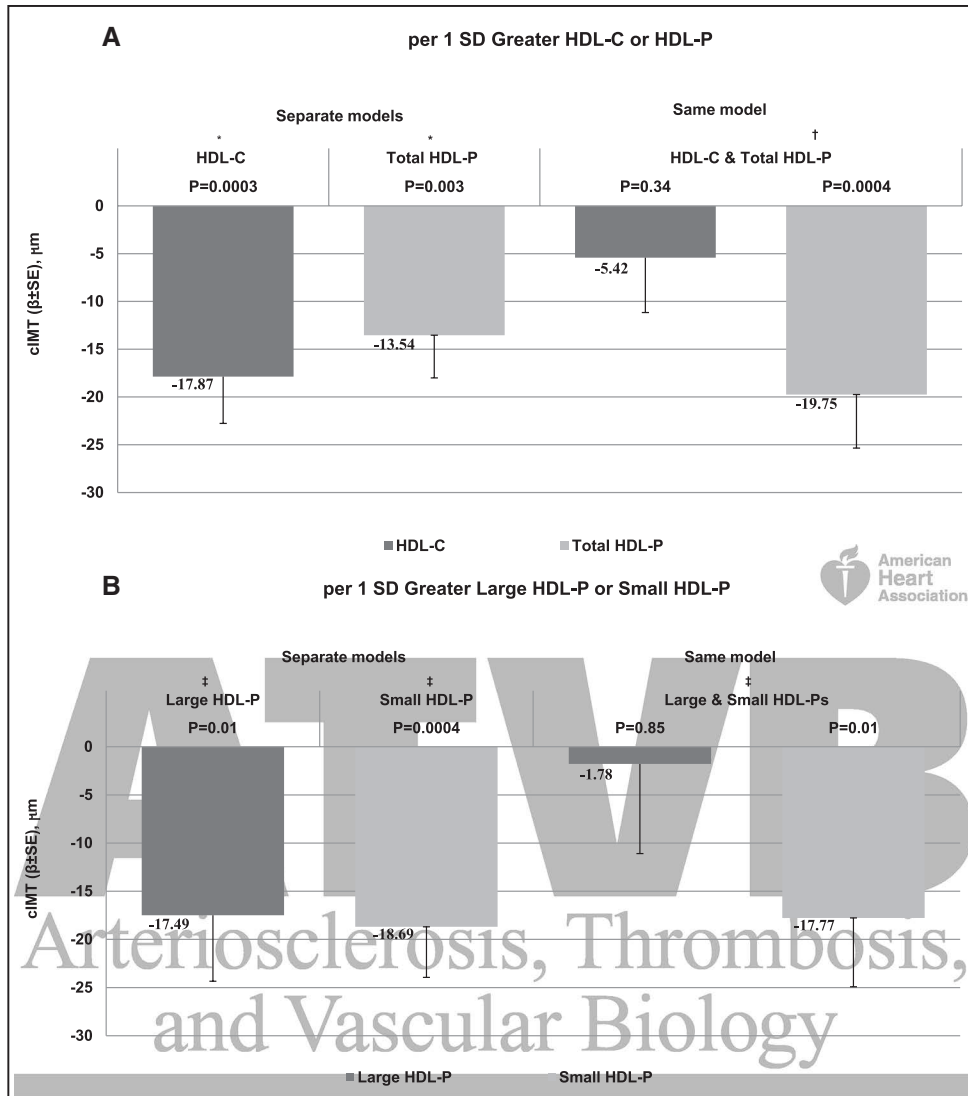
Additional analyses were conducted (1) excluding site variable from multivariable analysis, and (2) rerunning models excluding women on lipid-lowering medication. In general, results from these analyses were similar to findings from the main analysis, data not shown.

### Discussion

We reported distinct associations of HDL-C and total HDL-P with carotid atherosclerotic measures among a large sample of midlife and older multiracial/multiethnic women. Adjusting for each other (total HDL-P and HDL-C) and CVD risk factors, we found that higher total HDL-P was associated with lower cIMT, whereas higher HDL-C was associated with higher risk of cPlaque presence. The proatherogenic association found for HDL-C was most evident in women who reported later age at menopause and were  $>10$  years postmenopausal at MESA examination 1, suggesting that higher HDL-C in older women could be a marker of underlying HDL dysfunction. The current results further suggest that time elapsed since menopause may impact specific subclasses of HDL that could not be captured by HDL-C. Interestingly, small but not large HDL-P was negatively associated with cIMT and this association was not modified by time elapsed since menopause or age at menopause. However, greater concentration of large HDL-P was significantly associated with lower cIMT mainly after longer time since menopause, suggesting a potential adverse change in the quality of these particles close to menopause, which seems to be restored later in life. However, longitudinal studies will need to confirm these cross-sectional findings and test our proposed hypotheses by evaluating additional compositional and functional metrics of HDL in women transition through menopause.

Although specific HDL subclasses have different biological functions and roles in promoting the macrophage reverse cholesterol transport,<sup>18</sup> the best recognized antiatherogenic function of HDL particles,<sup>19</sup> it is still unclear whether specific HDL subclasses are more cardioprotective or could be useful measures of benefits of HDL-therapeutic medications. Findings vary by methods used to quantify HDL subclasses,



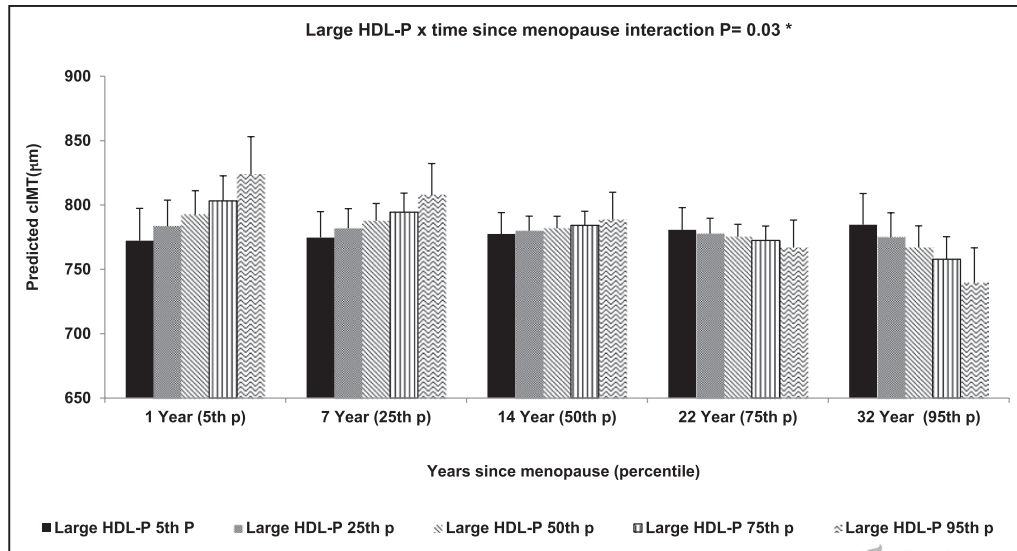


**Figure 1.** Difference in carotid intima-media thickness (cIMT;  $\mu\text{m}$ ) per 1 SD greater (A) HDL-C (high-density lipoprotein cholesterol) or HDL-P HDL (particles); (B) large HDL-P or small HDL-P. \*Adjusted for age, race, study site, menopausal status, body mass index (BMI), systolic blood pressure (SBP), smoking, physical activity, antihypertensive, lipid-lowering medications, ever use hormone replacement therapy, IL (interleukin)-6 and CRP (C-reactive protein). †+LDL-P (low-density lipoprotein particles). ‡+HDL-C and LDL-P.

characteristics of the evaluated study population and whether independent associations of HDL subclasses were evaluated.<sup>18,20</sup> A few studies utilizing NMR to quantify HDL subclasses have been conducted in postmenopausal women, but they did not evaluate effect modifications by menopause-related factors or assess independent effect of HDL subclasses in the same models.<sup>21–23</sup> In postmenopausal women, smaller NMR HDL size<sup>21</sup> and lower total and medium (8.3–9.3 nm) NMR HDL-Ps<sup>22</sup> were associated with incident CVD, whereas lower large NMR HDL-P (9.4–14.0 nm) was associated with extent of coronary calcification.<sup>23</sup> Our findings of a protective association with small HDL-Ps are consistent with the above results in obese postmenopausal women showing medium NMR HDL-P to be protective independent of total HDL-P and LDL-P<sup>22</sup>; because medium NMR HDL-P (8.3–9.3 nm) overlaps with small ion-mobility HDL-P (7.7–10.5 nm). Irrespective of this agreement, it is important to emphasize that direct comparison between different methods of quantifying

lipoprotein particles may not adequately address variabilities because of (1) different methods of separation, (2) different definitions of large, medium, and small size, and (3) different HDL component measured in each approach.<sup>24</sup>

Interestingly, we found that associations between large, but not small, HDL-P and cIMT was modified by time elapsed since menopause; such that higher concentrations of large HDL-P were associated with higher cIMT close to menopause but with lower cIMT later in life. This finding suggests large HDL-P be more prone to dysfunctionality over the menopause transition than small HDL-P. Interestingly, large HDL-P is more vulnerable to oxidative modification compared with small dense HDL-P.<sup>18</sup> Recently, we assessed changes in concentrations of HDL subclasses, as measured by calibrated ion mobility,<sup>25</sup> and HDL cholesterol efflux capacity among 46 women (mean baseline age=47.1 years) before and after menopause as indexed by the final menstrual period from the SWAN study. Interestingly, small HDL-P did not correlate with macrophage

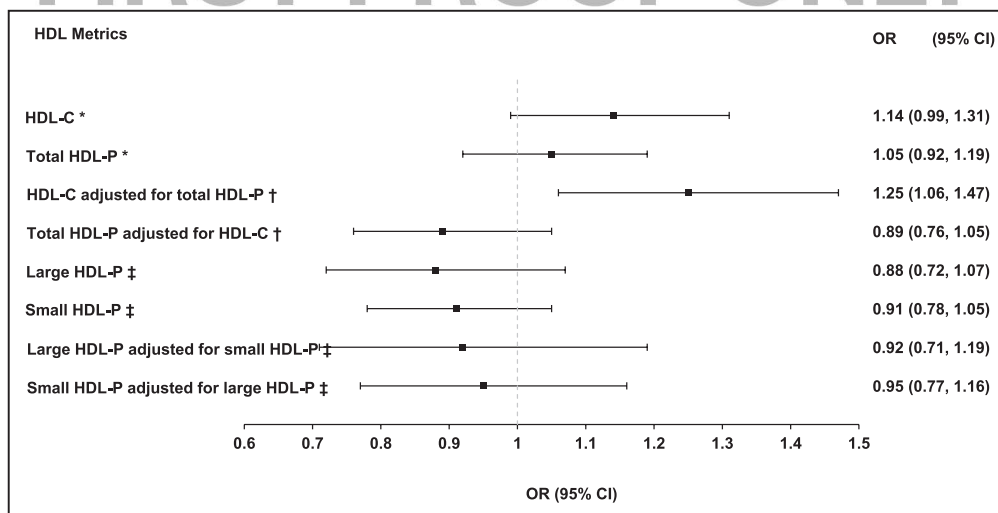


**Figure 2.** Interaction of large HDL-P (high-density lipoprotein particles) with time since menopause in relation with cIMT (carotid intima-media thickness). \*Adjusted for age, race, study site, menopausal status, body mass index (BMI), systolic blood pressure (SBP), smoking, physical activity, antihypertensive, lipid-lowering medications, ever use hormone replacement therapy, IL (interleukin)-6, CRP (C-reactive protein), HDL-C (HDL cholesterol), small HDL-P, and LDL-P (low-density lipoprotein particles).

cholesterol efflux capacity either before or after menopause, whereas higher large HDL-P concentration significantly correlated with greater HDL cholesterol efflux capacity and this correlation was stronger before than after menopause, suggesting that the menopause transition may impair large HDL-P’s ability to promote cholesterol efflux capacity. Our findings from MESA women’s cohort showing higher HDL-P to be positively associated with cIMT close to menopause are in line with our earlier findings from SWAN.<sup>25</sup> The current analysis suggests large HDL-P to play a protective role later after menopause. Interestingly, individuals with exceptional longevity and their offspring have larger HDL-P sizes and a lower prevalence of CVD.<sup>26</sup> Additionally, although no observed significant variation in large HDL particles was seen between young and elderly in a small study investigating the effect of aging on capacity

of HDL particles to promote cholesterol efflux capacity, large HDL2 in elderly showed a trend of higher capacity to promote cholesterol efflux than in young participants.<sup>27</sup>

Several studies have showed that higher level of HDL-C in middle-aged and older women is not always cardioprotective.<sup>28</sup> Our findings of a positive association between higher level of HDL-C and risk of cPlaque are in line with these previous studies<sup>4-8</sup> and suggest HDL-C as a marker of underlying dysfunctionality rather than a true indicator of CVD risk. Interestingly, a recent study of P376L variant of the hepatic HDL scavenger receptor BI, encoded by the gene SCARB1, demonstrated that the gene increased both HDL-C and CVD risk.<sup>29</sup> In vivo studies in Scarb1 knockout mice showed that scavenger receptor BI is an important positive regulator of macrophage reverse cholesterol transport, despite lowering



**Figure 3.** Adjusted odds ratio (OR; 95% CI) of carotid plaque (cPlaque) presence per 1 SD greater HDL-C (high-density lipoprotein cholesterol), HDL-P (HDL particles), large HDL-P, or small HDL-P. \*Adjusted for age, race, study site, menopausal status, body mass index (BMI), systolic blood pressure (SBP), smoking, physical activity, antihypertensive, lipid-lowering medications, ever use hormone replacement therapy, IL (interleukin)-6, and CRP (C-reactive protein). †\*+LDL-P (low-density lipoprotein particles). ‡+HDL-C and LDL-P.



HDL-C serum concentration.<sup>30</sup> Thus, a decline in hepatic scavenger receptor BI function in humans may cause impaired reverse cholesterol transport, which leads to increased risk of CVD despite elevation in HDL-C levels. HDL-C is a crude measure of the total cholesterol contents carried by all HDL particles and thus may not correctly capture any changes impacting HDL particles composition or functions.

Our results of a consistent negative association of total HDL-P but not HDL-C with cIMT after including both metrics in the same model was in line with a previous work from MESA, which was not specifically focusing on menopause<sup>31</sup> and evaluated the independent associations of HDL-C and total HDL-P (measured via NMR spectroscopy) with cIMT among the full MESA cohort. The agreement between our findings and previous work from MESA<sup>31</sup> irrespective of using different methods to quantify total HDL-P, suggest that total HDL-P is a better indicator of HDL antiatherogenic features than HDL-C in midlife and older women among whom the cardioprotective capacity of HDL-C has been challenged.<sup>28</sup> Total HDL-P concentrations can provide consistent information on CVD risk that is independent of HDL-C.<sup>32-34</sup>

The current study should be viewed in the context of several limitations. It was limited by the cross-sectional design and thus reversal causality is possible. Additionally, we could not assess the impact of the menopause transition on HDL quality changes since this would require repeated measures of HDL metrics over the menopause transition. In MESA, menopausal status as well as age at menopause were self-reported and could be subjected to recall bias given the age of the MESA women participants. By design, MESA did not include sufficient number of regularly menstruating women which limited our ability to compare associations between HDL subclasses and carotid atherosclerosis in regularly menstruating women and postmenopausal women. The lack of medical history data impacting menopausal status in early menopause women, not because of bilateral oophorectomies resulted in excluding those women from the current analysis. Thus, our findings may not be generalizable to nonsurgical early menopause women. The reported findings should be interpreted with cautions given the multiple testing performed, which increases the chance of a type I error. However, the tested lipoproteins are correlated, and we interpreted the results, emphasizing the consistency with prior studies. MESA did not include any measure of HDL functionality, such as HDL cholesterol efflux capacity. It would be interesting to assess capacity of HDL subclasses to promote cholesterol efflux by time elapsed since menopause. Finally, the lack of studies about long-term stability of HDL in frozen samples is always a concern with any retrospective analysis of clinical study samples where samples have been stored (all-be-it under ideal storage conditions) for long periods of time. However, lipoprotein profiles from MESA stored samples have been shown to be consistent with those obtained from fresh frozen specimens per Quest Diagnostics laboratory. Irrespective of these limitations, this is the first large cross-sectional analysis in midlife and older women comparing cardioprotective characteristics of HDL-C with HDL subclasses physically quantified based on size and charges of HDL-P using ion-mobility method.<sup>9,10</sup> Given the large sample size we were able to control for many potential

confounds and assess the joint effect of HDL subclasses, an important analytic methodology that should be evaluated in all studies given the dynamic remodeling between large and small HDL-Ps and the significant correlates.<sup>18</sup>

In this large cross-sectional analysis among midlife and older women from the MESA cohort, adjusting for each other and traditional risk factors, higher HDL-P was associated with lower cIMT, whereas higher HDL-C was associated with higher risk of cPlaque presence. The protective association between small HDL-P and cIMT was not modified by menopausal characteristics, suggesting small particles to not affect by the menopause transition. However, large HDL-P may be compromised at menopause. We hypothesize that higher HDL-C in older women could be a marker of underlying HDL dysfunction. Findings from this study support the importance of assessing how the menopause transition might impact HDL quality and how that might impact women risk of CVD later in life. A longitudinal study over the menopause transition that includes comprehensive metrics of HDL composition and function will significantly enhance our understanding of the complex association of the menopause and HDL.

### Acknowledgments

We thank Mohammed R. El Khoudary for designing the graphical abstract of this article.

### Sources of Funding

This research was supported by R01 HL071739 and a grant from Quest Diagnostics, and MESA (Multi-Ethnic Study of Atherosclerosis) was supported by contracts N01-HC-95159, N01-HC-95160, N01-HC-95161, N01-HC-95162, N01-HC-95163, N01-HC-95164, N01-HC-95165, N01-HC-95166, N01-HC-95167, N01-HC-95168, and N01-HC-95169 from the National Heart, Lung, and Blood Institute, and by grants UL1-TR-000040, UL1 TR 001079, and UL1-RR-025005 from National Center for Research Resources. We thank the other investigators, the staff, and the participants of the MESA study for their valuable contributions. A full list of participating MESA investigators and institutions can be found at <http://www.mesa-nhlbi.org>.

### Disclosures

Dr Stein receives royalties from the Wisconsin Alumni Research Foundation for a patent related to carotid wall thickness and arterial aging. The arterial age algorithms were not used in this research project. The other authors report no conflicts.

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## Highlights

- Higher HDL-C (high-density lipoprotein cholesterol) was associated with greater atherosclerosis risk, whereas higher HDL-P (HDL particle) was protective in midlife and older women.
- Time since menopause modified the association of large but not small HDL-P with atherosclerosis risk.
- Higher large HDL-P was associated with higher atherosclerosis risk close to menopause but with lower risk thereafter.

# Arteriosclerosis, Thrombosis, and Vascular Biology



JOURNAL OF THE AMERICAN HEART ASSOCIATION

## HDL (High-Density Lipoprotein) Metrics and Atherosclerotic Risk in Women: Do Menopause Characteristics Matter? MESA

Samar R. El Khoudary, Indre Ceponiene, Saad Samargandy, James H. Stein, Dong Li, Matthew C. Tattersall and Matthew J. Budoff

*Arterioscler Thromb Vasc Biol.* published online July 19, 2018;  
*Arteriosclerosis, Thrombosis, and Vascular Biology* is published by the American Heart Association, 7272  
Greenville Avenue, Dallas, TX 75231

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Print ISSN: 1079-5642. Online ISSN: 1524-4636

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## **SUPPLEMENTAL MATERIAL**

**Table I: Univariate Associations between Study Covariates and Carotid Measures**

Study Variable	Mean cIMT, um		Presence of cPlaque	
	$\beta$ (SE)	P value	OR (95%CI)	P value
Age, Year	8.83(0.42)	<.0001	1.077 (1.065, 1.090)	<.0001
Race / Ethnicity		<.0001		0.01
White	-----		-----	
Chinese American	19.34(15.24)	0.20	0.604(0.432, 0.845)	0.006
African American	65.65(12.48)	<.0001	1.011 (0.773, 1.321)	0.05
Hispanic	19.54(13.28)	0.14	0.821 (0.617, 1.092)	0.81
Age at menopause	1.54(0.91)	0.09	1.005 (0.986, 1.025)	0.57
Time since menopause	6.40(0.51)	<.0001	1.049 (1.036, 1.062)	<.0001
Menopausal Status		<.0001		<.0001
Surgical menopause	0.51(11.97)	0.95	0.899 (0.688, 1.174)	<.0001
Natural menopause	-----		-----	
perimenopause	-145.13(12.80)	<.0001	0.210 (0.149, 0.296)	<.0001
BMI, Kg/m <sup>2</sup>	1.98(0.81)	0.01	0.998 (0.982, 1.027)	0.85
SBP, mmHg	3.31(0.20)	<.0001	1.022 (1.017, 1.027)	<.0001
Smoking status		0.026		0.005
Never	-----		-----	
Former	18.84(11.28)	0.10	1.389 (1.092, 1.767)	0.008
Current	-28.03(16.33)	0.09	1.542 (1.085, 2.192)	0.02
Intentional physical activity, metabolic equivalent task (MET) minutes/week*	-1.27(1.62)	0.43	0.989 (0.955, 1.023)	0.51
Hypertension	109.00(9.49)	<.0001	2.451 (1.974, 3.044)	<.0001
Diabetes	81.68(16.00)	<.0001	2.022 (1.421, 2.878)	<.0001
Antihypertensive medications	81.45(10.06)	<.0001	2.127 (1.702, 2.659)	<.0001
Lipid lowering medications	44.37(13.54)	0.001	2.694 (1.983, 3.660)	<.0001
Ever use hormone replacement therapy	-17.14(10.41)	0.10	1.078 (0.864, 1.346)	0.51
CRP, mg/L*	20.00(4.21)	<.0001	1.098 (1.003, 1.202)	0.043
IL-6, pg/mL*	45.27(7.31)	<.0001	1.412 (1.202, 1.660)	<.0001
Lipids, mg/dL				
HDL-C	-0.84(0.32)	0.009	1.006 (0.999, 1.013)	0.09

LDL-C	0.42(0.16)	0.007	1.004 (1.001, 1.008)	0.01
Total cholesterol	0.38(0.14)	0.007	1.006 (1.003, 1.009)	0.0004
Triglycerides *	37.01(9.76)	0.0002	1.236 (1.002, 1.525)	0.048
Ion mobility particle concentrations, umol/L				
Total HDL-P	-0.91(0.90)	0.31	1.015 (0.999, 1.035)	0.14
Large HDL-P	-2.25(2.63)	0.39	1.056 (0.998, 1.118)	0.06
Small HDL-P	-1.19(1.23)	0.33	1.015 (0.989, 1.042)	0.26
Total LDL-P	38.17(13.96)	0.006	1.262 (0.937, 1.701)	0.13

\* Log transformed

BMI: body mass index; cIMT: carotid intima-media thickness; cPlaque: carotid plaque; CRP: C-reactive protein, HDL-C: high-density lipoprotein cholesterol; HDL-P: high-density lipoprotein particles; IL-6: interleukin-6; LDL-C: low-density lipoprotein cholesterol; LDL-P: low-density lipoprotein particles; SBP: systolic blood pressure.

**Table II: Adjusted estimated difference in cIMT (um) per 1SD greater HDL measures**

	<b>HDL-C</b> (SD 15.34 mg/dL)		<b>Total HDL-P</b> (SD 5.48 umol/L)		<b>Large HDL-P</b> (SD 1.88 umol/L)		<b>Small HDL-P</b> (SD 4.04 umol/L)	
	<b>β(SE)</b>	<b>P value</b>	<b>β(SE)</b>	<b>P value</b>	<b>β(SE)</b>	<b>P value</b>	<b>β(SE)</b>	<b>P value</b>
Model 1	-19.16(4.40)	<.0001	-10.92(4.26)	0.01	-14.11(4.28)	0.001	-8.33(4.27)	0.05
Model 2	-17.87(4.90)	0.0003	-13.54(4.47)	0.003	-14.87(4.48)	0.001	-11.57(4.49)	0.01
Model 3	-5.42(5.74)	0.34	-19.75(5.60)	0.0004	-17.49(6.85)	0.01	-18.69(5.25)	0.0004
Model 4*	-----		-----		-1.78(9.31)	0.85	-17.77(7.15)	0.01
Model 5	-6.79(6.29)	0.28	-19.69(6.01)	0.001	-2.05(9.53)	0.83	-17.41(7.22)	0.02

\*Only for large and small HDL-P

Model 1: Adjusted for age, race, study site, and menopausal status

Model 2: Model 1+ BMI, SBP, smoking, physical activity, antihypertensive, lipid lowering medications, ever use hormone replacement therapy, IL-6 and CRP

Model 3: For HDL-C: Model2+ total HDL-P and LDL-P; for total HDL-P, large HDL-P and small HDL-P: Model2+ HDL-C and LDL-P

Model 4: For large HDL-P: Model3+ small HDL-P; for small HDL-P: Model3+ large HDL-P

Model 5: For HDL-C and HDL-P: Model3+ triglycerides and LDL-C; for large and small HDL-P: Model4+ triglycerides and LDL-C

BMI: body mass index; cIMT: carotid intima-media thickness; CRP: C-reactive protein; HDL-C: high-density lipoprotein cholesterol; HDL-P: high-density lipoprotein particles; IL-6: interleukin-6; LDL-C: low-density lipoprotein cholesterol; LDL-P: low-density lipoprotein particles; SBP: systolic blood pressure.

**Table III: Adjusted odds ratio (95% CI) of cPlaque presence per 1SD greater HDL measures**

	HDL-C (SD 15.34 mg/dL)		Total HDL-P (SD 5.48 umol/L)		Large HDL-P (SD 1.88 umol/L)		Small HDL-P (SD 4.04 umol/L)	
	OR(95%CI)	P value	OR(95%CI)	P value	OR(95%CI)	P value	OR(95%CI)	P value
Model 1	1.014(0.900, 1.142)	0.82	1.027(0.916, 1.151)	0.65	1.020(0.909, 1.144)	0.74	1.027(0.920, 1.152)	0.65
Model 2	1.143(0.996, 1.311)	0.06	1.047(0.924, 1.187)	0.47	1.079(0.952, 1.223)	0.23	1.027(0.906, 1.165)	0.67
Model 3	1.249(1.061, 1.471)	0.008	0.894(0.761, 1.049)	0.17	0.878(0.723, 1.065)	0.19	0.907(0.781, 1.054)	0.20
Model 4*	-----		-----		0.920(0.709, 1.194)	0.53	0.947(0.774, 1.158)	0.60
Model 5	1.201(1.004, 1.437)	0.04	0.974(0.820, 1.156)	0.76	0.993(0.760, 1.298)	0.96	0.979(0.798, 1.202)	0.84

\* Only for large and small HDL-P

Model 1: Adjusted for age, race, study site, and menopausal status

Model 2: Model 1+ BMI, SBP, smoking, physical activity, antihypertensive, lipid lowering medications, ever use hormone replacement therapy, interleukin-6 and C-reactive protein

Model 3: For HDL-C: Model2+ total HDL-P and LDL-P; for total HDL-P, large HDL-P and small HDL-P: Model2+ HDL-C and LDL-P

Model 4: For large HDL-P: Model3+ small HDL-P; for small HDL-P: Model3+ large HDL-P

Model 5: For HDL-C and HDL-P: Model3+ triglycerides and LDL-C; for large and small HDL-P: Model4+ triglycerides and LDL-C

BMI: body mass index; cIMT: carotid intima-media thickness; CRP: C-reactive protein; cPlaque: carotid plaque; HDL-C: high-density lipoprotein cholesterol; HDL-P: high-density lipoprotein particles; IL-6: interleukin-6; LDL-C: low-density lipoprotein cholesterol; LDL-P: low-density lipoprotein particles; SBP: systolic blood pressure.