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### RADIATIONONCOLOGY PHYSICS

## **WILEY**

# Three‐dimensional printing in radiation oncology: A systematic review of the literature

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#### Abstract

Purpose/objectives: Three-dimensional (3D) printing is recognized as an effective clinical and educational tool in procedurally intensive specialties. However, it has a nascent role in radiation oncology. The goal of this investigation is to clarify the extent to which 3D printing applications are currently being used in radiation oncology through a systematic review of the literature.

Materials/methods: A search protocol was defined according to preferred reporting items for systematic reviews and meta‐analyses (PRISMA) guidelines. Included articles were evaluated using parameters of interest including: year and country of publication, experimental design, sample size for clinical studies, radiation oncology topic, reported outcomes, and implementation barriers or safety concerns.

Results: One hundred and three publications from 2012 to 2019 met inclusion criteria. The most commonly described 3D printing applications included quality assurance phantoms (26%), brachytherapy applicators (20%), bolus (17%), preclinical animal irradiation (10%), compensators (7%), and immobilization devices (5%). Most studies were preclinical feasibility studies (63%), with few clinical investigations such as case reports or series (13%) or cohort studies (11%). The most common applications evaluated within clinical settings included brachytherapy applicators (44%) and bolus (28%). Sample sizes for clinical investigations were small (median 10, range 1– 42). A minority of articles described basic or translational research (11%) and workflow or cost evaluation studies (3%). The number of articles increased over time  $(P < 0.0001)$ . While outcomes were heterogeneous, most studies reported successful implementation of accurate and cost-effective 3D printing methods.

Conclusions: Three‐dimensional printing is rapidly growing in radiation oncology and has been implemented effectively in a diverse array of applications. Although the number of 3D printing publications has steadily risen, the majority of current reports are preclinical in nature and the few clinical studies that do exist report on small sample sizes. Further dissemination of ongoing investigations describing the clinical application of developed 3D printing technologies in larger cohorts is warranted.

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#### KEY WORDS

3D printing, additive manufacturing, clinical application, radiation oncology

## 1 | INTRODUCTION

Three-dimensional (3D) printing is an additive manufacturing technique used to generate customizable 3D objects using a variety of stock materials. Given its versatility and commercial availability, 3D printing is increasingly being incorporated into medical practice and innovation.<sup>1</sup> It has been used in a diverse array of applications ranging from training and education<sup>2</sup> to therapeutic medical devices.<sup>3</sup> Three‐dimensional printing often represents a low‐cost alternative to traditional material fabrication methods and can also be used to generate patient‐specific models which might not otherwise be readily obtainable.4,5 Furthermore, 3D printing allows for fabrication of certain complex geometries that is not possible by other techniques such as milling or injection molding.

Although 3D printing is recognized as an effective clinical and teaching tool in procedurally intensive medical specialties such as oral maxillofacial surgery and orthopedics,<sup>6</sup> it has a nascent role in radiation oncology. Therefore, the goal of this investigation is to clarify the extent to which 3D printing applications are currently being used in radiation oncology through a systematic review of the literature. The specific aims are to comprehensively characterize current 3D printing applications in the field, identify possible areas of growth, and create a framework for encouraging safe and effective implementation of such technologies for current and future radiation oncology practitioners.

## 2 | MATERIALS AND METHODS

A search protocol was defined according to preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines.<sup>7</sup> PubMed was searched by two independent reviewers (MKR and DMR) using combinations of predetermined medical subject headings (MeSH) and generic search terms. Included MeSH terms were radiation oncology, radiotherapy, radiotherapy planning, computer‐assisted, printing, three-dimensional, and neoplasms. Generic search terms included three-dimensional printing, 3D printing, 3D printable, 3D printer, radiotherapy, radiation oncology, dosimetry, additive manufacturing, fused deposition modeling (FDM), and stereolithography (SLA). Fused deposition modeling and SLA are two of the popular additive manufacturing techniques performed by 3D printers. In FDM, a material is melted and extruded through a small nozzle, depositing the material layer by layer to construct the 3D‐printed object. By contrast, SLA uses a curable photopolymer material to construct objects typically in a top‐to‐bottom fashion. The material is

hardened through the application of focused or UV light to generate the final product.

Search queries with less than 200 results were manually reviewed (Table S1). In‐press publications in radiation oncology and medical physics journals were individually searched (International Journal of Radiation Oncology\*Biology\*Physics, Radiotherapy and Oncology, Practical Radiation Oncology, Advances in Radiation Oncology, Brachytherapy, Journal of Contemporary Brachytherapy, Public Library of Science One, Journal of Applied Clinical Medical Physics, Medical Dosimetry, Medical Physics, Physics in Medicine and Biology). References of articles were searched to identify any additional studies. Searches were performed from December 2018 through February 2019 and results are reflective of available articles as of February 10, 2019.

Eligibility for inclusion of articles was determined using the population, intervention, control, outcomes (PICO) framework.<sup>8</sup> The population included any peer‐reviewed publication after January 1, 2000 available in English language. This date was selected because it represents the approximate time when 3D printing was introduced and then widely adopted in medicine. $9$  Interventions included any use of 3D printing technology in relation to the study or delivery of radiotherapy. The controls, when defined, were conventional procedures as compared to 3D printing interventions, as specified in each study. Outcomes included qualitative and quantitative results described in articles, if applicable. There were no specific exclusion criteria. Any disagreements regarding inclusion of articles were discussed with the study team until consensus was reached.

Included studies were coded into a database and evaluated according to predetermined parameters of interest. End points included in this study were (a) year and country of publication, (b) description of the technology or intervention, (c) study type (randomized controlled trial, cohort study, case series or report, review or meta‐analysis, translational or basic science research, preclinical feasibility study, cost or workflow evaluation study), (d) sample size for clinical intervention studies, (e) type of radiation oncology application, (f) reported outcomes, and (g) safety concerns or implementation barriers. Studies were considered clinical intervention studies if they evaluated or tested a 3D‐printed application directly on patients. Given unknown trends in types of published 3D printing applications, the "type of radiation oncology application" end point was coded and categorized in a post hoc fashion after qualitative review of all studies. Outcomes were heterogeneous and general trends were qualitatively assessed after finalization of data collection. Coding of outcomes was performed with particular attention to (a) accuracy, (b) precision, (c) workflow efficiency, (d) cost, (e) patient experience, and (f) educational value.

Linear regression was used to analyze the rate of publication, and all statistical calculations were performed using R 3.3.2 (R Foundation, Vienna, Austria). PRISMA guidelines also recommend

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additional analyses such as sensitivity or subgroup analyses, as appropriate. However, such recommendations are more applicable for meta‐analyses and thus were prospectively discarded for the present investigation. Institutional Review Board approval was not required due to the nature of the study.

## 3 | RESULTS

The search protocol yielded 103 articles meeting inclusion criteria (Fig. 1). A summary of evaluation data is provided in Table 1 and a list of all identified articles is available in Table S2. The most commonly described 3D printing applications were quality assurance phantoms (26.2%), brachytherapy applicators (20.4%), bolus (16.5%), preclinical animal irradiation (9.7%), compensators (6.8%), immobilization devices (4.9%), and beam modulators (4.9%). For studies evaluating 3D‐printed bolus, 71% (12/17) used photon radiotherapy, 24% (4/17) used electron radiotherapy, and 6% (1/17) used proton radiotherapy. A minority of studies described multidisciplinary applications of 3D printing, such as evaluation of multiple interventions simultaneously (4.9%).

Most identified publications described preclinical feasibility studies (63.1%), with fewer case report/series (12.6%), cohort studies (10.7%), basic science and translational research articles (10.7%), and cost/workflow evaluations (2.9%). While the number of publications increased by an average of 5.51 articles per year over time  $(P < 0.0001)$ , few of these were clinical studies which were defined as the testing or evaluation of a 3D‐printed application on actual patients [24.2%, Fig. 2(a)]. Furthermore, the sample sizes for clinical studies were small, with an overall median of 10 [range 1–42, Fig. 2( b)]. A detailed description of individual clinical studies is provided in Table  $2.10-34$  The most common applications evaluated clinically included brachytherapy applicators (48%) and bolus (28%).

Reported outcomes are summarized in Table 3. Although end points were heterogeneous, the majority of studies described effective implementation of 3D printing technology. The most commonly reported outcome was dosimetric evaluation of printed interventions (50.5%). Other common end points were related to the 3D printing



#### TABLE 1 Evaluation summary of identified articles.



process itself, such as printing accuracy, time, and cost. Furthermore, many studies evaluated the radiological properties (12.6%) and durability or deformation (2.9%) of printed materials. Studies describing the use of 3D printing for preclinical animal irradiation (9.7%), such as animal immobilizers, often described animal positional accuracy (3.9%), or histologic confirmation of targeted radiotherapy delivery (2.9%). Clinical or disease‐related outcomes such as treatment toxicity (3.9%) and disease control or overall survival (1.9%) were rarely reported, reflecting the preclinical nature of many of the identified investigations.

The majority of articles described at least one safety concern or implementation barrier for the use of 3D printing applications in radiation oncology (69.9%, Table 4). The most commonly described barriers were related to the 3D printing process, including limitations in time/workflow (12.6%), printing accuracy (10.7%), cost (8.7%), limited print volume (6.8%), and a requirement for printing space (1%). Additionally, 3D printing filaments (materials that are directly printed) were routinely cited sources for safety concerns and implementation barriers. Variable radiological properties (8.7%), limited biocompatibility and sterilization capacity (7.8%), dosimetric inconsistency or inaccuracy (3.9%), hardness impacting patient comfort or tissue simulation (2.9%), durability or stability (2.9%), and limited color (1.9%), flexibility (1.9%), and number of simultaneously printed filaments (1.9%) were the most commonly described concerns with current 3D printing filaments or materials. Additionally, the need for clinical validation prior to routine use (6.8%) and limited generalizability of 3D‐printed interventions for individual patient characteristics (5.8%) were commonly described barriers. One article described that there is a significant learning curve for the 3D printing fabrication process which acts as a barrier to routine clinical implementation.

### 4 | DISCUSSION

To our knowledge, this investigation represents the most comprehensive systematic review describing 3D printing applications in radiation oncology. Through formal characterization of currently reported 3D printing interventions and identification of common barriers to safe and effective implementation, this study will serve as a guide for practitioners and researchers considering introducing 3D printing technology in their routine practice. Many exciting opportunities exist for the implementation of 3D printing in the radiation oncology clinic or laboratory and the majority of identified interventions appeared to successfully improve treatment delivery according to dosimetric analysis or small‐sample clinical evaluation. However, further work will be needed to confirm the efficacy of such interventions in larger clinical settings.

The most commonly described applications were quality assurance phantoms, brachytherapy applicators, and bolus (Table 1). This trend likely reflects the ability of 3D printers to fabricate relatively low-cost patient-specific models, which serves as one of the central advantages of 3D printing compared to traditional material fabrication methods such as casting or molding.<sup>35</sup> For quality assurance phantoms, brachytherapy applicators, and bolus, the use of individualized models can improve treatment delivery and minimize unnecessary toxicity.<sup>11,24,36</sup> For example, 3D-printed bolus can conform more closely to patient skin compared to traditional bolus and thereby minimize inaccuracies in treatment delivery.<sup>12,13</sup>

Such patient‐specific models are not easily created with traditional techniques or may have high cost of production and thus may not be readily available for routine use. With 3D printing



F<sub>1G</sub>. 2. Publications describing clinical evaluations of three-dimensional (3D) printing applications<sup>\*</sup> in radiation oncology over time (a), with distributions of clinical sample sizes described individually by year (b)\*\*. \*Studies were defined as clinical if they tested or evaluated 3D‐printed applications directly on patients. Publications from 2019 were excluded because data collection were ongoing at that time. \*\*According to the described definition above, clinical studies were only published during and after 2016; for Panel B, only this time range is displayed

technology, patient imaging data can be used to generate virtual models of individual anatomy, which can then be used as a source file for the 3D printer. A variety of imaging modalities can be used to generate patient models such as computed tomography (CT), magnetic resonance imaging (MRI), or even a 3D surface scanner from a mobile device. $33$  The resultant low-cost, patient-specific interventions have been shown to improve fidelity of anatomic simulation and can increase accuracy of treatment delivery as measured with dosimetric indices.<sup>20,25</sup> Less commonly described applications included compensator blocks, immobilization devices, and beam modulators.

Although unifying comparisons of 3D‐printed and traditional interventions are difficult given the diverse nature of currently reported applications in the literature, it is important to highlight that 3D printing techniques appear to be not only as accurate and efficient but also cost-effective. For bolus applications, 3D printing was reported to improve conformity, dosimetric measurement, or clinical outcome compared to commercial bolus in 11 of 17 studies, while 3 reported equivalent outcomes, and 3 did not make direct comparisons. These results suggest that 3D‐printed bolus is likely equivalent, if not superior to commercial bolus; however, it is unclear whether these differences necessarily translate into meaningful clinical benefits. The evidence is less compelling in other settings, where direct comparisons were less often reported. For example, in studies of 3D‐printed brachytherapy applicators, only 4 of 21 reported improved outcomes via workflow efficiency or dosimetric evaluation of 3D-printed applicators, while 7 of 21 described equivalent outcomes, and 10 did not have direct comparisons to conventional controls. Nonetheless, for the majority of all identified articles, the authors described at least theoretical advantages to 3D printing techniques for each particular application, such as improving patient comfort, workflow, or cost-effectiveness. The lack of compelling comparison data reflects the immature nature of this field and highlights an important necessity for future investigation.

3D printing in radiation oncology has steadily grown in recent years (Fig. 2) and has been effectively implemented in a diverse array of applications. However, this review suggests that despite this rapid growth, there is still a significant need for clinical evaluation of 3D printing methods prior to widespread adoption. Only 24% of identified articles described the evaluation of printed interventions on actual patients and sample sizes of such studies were generally small (Table 2; Fig. 2). Furthermore, studies rarely reported objective clinical outcomes such as disease control or survival (Table 3). This pattern might be related to a lack of awareness of 3D printing applications among radiation oncology clinicians. Promotion of these techniques in the professional community would encourage further investigation of printed applications in the clinical setting, ideally with larger cohorts and increased focus on disease- and outcome-related end points.

This investigation also identifies important gaps in current 3D printing research in radiation oncology and should help guide future academic efforts in the field. For example, 3D printing has gained prominence as an educational tool in a number of procedurally intensive medical specialties.<sup>2</sup> However, this review identified only one study in radiation oncology which used 3D printing for educational purposes, specifically for the development of a cervical cancer brachytherapy training simulator.<sup>37</sup> In surgical and procedural settings, 3D printing is used to generate models on which learners can practice complex surgical or manual skills. $3,5,38$  This approach offers a number of advantages over traditional teaching methods, including minimizing potential harm to patients and increasing overall training exposure, particularly in rarely encountered clinical situations.<sup>39-42</sup> Given the proven efficacy of 3D printing in other areas of medical education, this discrepancy represents an important opportunity for future innovation.

Although not typically considered a procedurally‐intensive specialty, the field of radiation oncology could benefit from incorporation of 3D printing into training. For instance, 3D-printed patientspecific models have been used as tools to help visualize complex



TABLE 2 Summary of clinical studies evaluating 3D printing applications. ABLE 2 Summary of clinical studies evaluating 3D printing applications.

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Abbreviations: 3D = Three-dimensional, AVM = arteriovenous malformation, CT = computed tomography, CTV = clinical target volume, D90 = dose received by 90 of the target organ or volume, DSC = dice similarity coefficient, Gy = Gray, HDR-IRT = high-dose-rate interventional brachytherapy, ICC = intraclass correlation coefficient, PTV = planning target volume, SD = standard deviation, V85/90/100/ dice similarity coefficient, Gy = Gray, HDR‐IRT = high‐dose‐rate interventional brachytherapy, ICC = intraclass correlation coefficient, PTV = planning target volume, SD = standard deviation, V85/90/100/ Abbreviations: 3D = Three-dimensional, AVM = arteriovenous malformation, CT = computed tomography, CTV = clinical target volume, D90 = dose received by 90 of the target organ or volume, DSC = 150/200 = volume of target receiving 85/90/100/150/200 of the prescribed dose. 150/200 = volume of target receiving 85/90/100/150/200 of the prescribed dose. | 23

arteriovenous malformations (ATMs) during radiosurgical treatment planning.<sup>34</sup> Expanding on this concept, 3D printing patient-specific organs or tumors might also help trainees visualize complex anatomical relationships when learning how to contour.

Furthermore, although not formally considered a component of graduate medical education, 3D printing could also be used as a tool to enhance patient education in radiation oncology. As an example, prior to surgical consultations, 3D-printed models of patient anatomy and pathology have been used as tools to guide conversations and educate patients.<sup>43-45</sup> Such interventions could similarly be used to help patients understand important details of radiotherapy, such as describing the use of radiosurgery for the treatment of brain metastases.

Another signi ficant gap identi fied in this investigation is a lack of literature describing effective methods to implement 3D printing techniques in the laboratory and clinic. While many studies acknowledged the need for 3D printing expertise for consistent fabrication of accurate interventions, few reports explicitly described methods to teach current providers how to effectively use, design, and evaluate 3D printing applications.<sup>46,47</sup> Additionally, there were few studies describing the work flow systems for ef ficiently incorporating 3D printing into the clinic or laboratory.<sup>15,48,49</sup> Expansion of such work would be a pivotal step in encouraging widespread dissemination of this technology.

Although this investigation highlights exciting opportunities for the application of various 3D printing interventions in radiation oncology, it is important to carefully consider common safety concerns and implementation barriers prior to employment of such technologies (Table 4). The most frequently described of such barriers involved various aspects of the 3D printing process which can require signi ficant experience before consistently accurate performance can be achieved.<sup>50</sup> Additionally, the financial and time burden can be large, including not only the cost of printers and materials themselves, but also the need for a physical printing space and training of staff. Encouragingly, the cost of printers has consistently decreased over recent years and many highly accurate printers are now available at reasonable prices.<sup>51</sup> Printing times and maximum print volumes can also be restrictive, as the majority of commercially available printers can take up to 12 or more hours for a single print and may only be able to fabricate a cubic foot of material in one session. For some radiation oncology applications, these printing times and volumes may be acceptable, but for others such as full thoracic phantoms, such issues may be significantly limiting.

Similarly, although advances have been made to improve available printing filaments, there are still important disadvantages for many widely used materials. For functions such as brachytherapy applicators, in which the printed intervention comes in direct contact with patient skin, it is critical that printed materials are not only biocompatible, but also can be safely sterilized.<sup>17,52,53</sup> For many commonly used filaments, the sterilization process can impact structure, stability, or dosimetric properties and thus may compromise clinical ef ficacy. Ongoing research will further characterize the impact of such processes on material properties, thereby improving patient

#### TABLE 3 Summary of reported outcomes for included studies.



safety and treatment delivery. Other commonly reported but less clinically significant limitations of printed materials include hardness which can cause patients discomfort<sup>53,54</sup> (e.g., in the setting of bolus), and limited availability of colors and translucency,<sup>55</sup> which would be beneficial in contexts such as brachytherapy applicators. Again, researchers are currently developing methods to overcome such material limitations, such as the use of flexible printing filaments which would improve patient comfort when using 3D‐printed bolus. Lastly, safety assessment of 3D printing may be useful to guide future applications and standardization of best practices.<sup>56,57</sup>

Despite the use of a structured protocol according to PRISMA guidelines, this study has methodological limitations. First, it is possible that some publications were not identified using the predetermined search terms or some articles were not included despite meeting inclusion criteria. However, the use of multiple independent reviewers minimizes the risk of significant deviations from the defined protocol. Additionally, some articles that would have otherwise met inclusion criteria but are not indexed on PubMed or available in‐press in the stated journals may not have been identified. Such articles could have potentially been identified through other database searches but for the sake of maintaining reproducibility this was not attempted. Second, an important consideration in any literature review is the risk of publication bias. For example, it is possible that investigations of 3D printing applications are more likely to be published if they report successful implementation of the technology. This might result in an overly positive impression of the efficacy of such interventions. Third, some end points included in the data collection were subjective in nature and therefore may not be entirely reproducible across reviewers. For example, described

T ABLE 4 Implementation barriers and safety concerns when using 3D printing technology in radiation oncology.



implementation barriers for the use of 3D printing may not be explicitly stated in each article and, therefore, one reviewer might interpret results differently than another. Fourth, because there was a large set of identified literature with diverse reported outcomes, it is difficult to draw overarching comparisons between 3D‐printed and conventional approaches. For example, some articles evaluated interventions through dosimetric measurement while others described positional accuracy. These are related although certainly distinct end points which makes it difficult to compare overall efficacy of these interventions in a unified manner. Nonetheless, attempts were made to identify key fundamental similarities and differences between 3D printing and traditional approaches. Lastly, for the sake of simplicity, safety concerns and implementation barriers were coded as a single end point; however, in reality these represent two distinct although related entities. For example, while biocompatibility of materials is both a safety concern and a barrier to implementation, long printing time would be more accurately categorized as an implementation barrier alone. This distinction should be considered when interpreting aggregate data reported in this study.

## 5 | CONCLUSION

This systematic review comprehensively characterizes current uses of 3D printing in radiation oncology and identifies common barriers to effective implementation of the technology. 3D printing techniques have created exciting opportunities for radiation oncology innovation and have been increasingly utilized across a wide range of applications in the field. There are, however, limited data evaluating the clinical safety and efficacy of such interventions and further investigation is needed to facilitate routine adoption on a large scale. Therefore, this study provides a resource for radiotherapy practitioners considering introducing 3D printing in their practice and could guide further research efforts to expand the role of 3D printing in radiation oncology.

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### CONFLICTS OF INTEREST

Dr. Golden reports having a financial interest in RadOnc Questions, LLC.

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# $26$  | M/H EV

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### SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Table S1. Search query results using combinations of predetermined search terms\*

Table S2. List of all identified articles describing 3D printing applications in radiation oncology