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Identification and Analysis of Bacterial Contamination of Ultrasound Transducers and Multiuse Ultrasound Transmission Gel Bottle Tips Before and After the Aseptic Cleansing Technique

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1 **Title:**

2 Identification and Analysis of Bacterial Contamination of Ultrasound Transducers and Multi-Use
3 Ultrasound Transmission Gel Bottle Tips Before and After the Aseptic Cleansing Technique

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23 **Acknowledgments:**

24 None

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26 **Structured Abstract:**

27 **Objective:** Provide a descriptive analysis for species identification of culture and gram-stain
28 results from ultrasound transducers and multi-use ultrasound transmission gel bottle tips in active
29 clinical use, as well as compare bacterial cultures from ultrasound transducers before and after
30 aseptic cleansing.

31

32 **Methods:** A prospective, blinded descriptive analysis study. 18 distinct clinical care sites within
33 1 primary clinical institution. 194 samples from ultrasound transducers and multi-use gel bottle
34 tips. Transducers were cleansed utilizing disinfectant-impregnated disposable towels. Before and
35 after the cleanse, transducers were pressed against tryptic soy agar contact plates. Plates were de-
36 identified, submitted for blind incubation, gram stain, and species identification with
37 microsequencing. Plates cultured for 5 days. Any formed bacterial colonies underwent DNA
38 microsequencing for organism identification. Results were classified as clinically relevant (CR)
39 bacteria or non-clinically relevant (NCR) bacteria.

40

41 **Results:** 60 pre-cleanse samples (74.1%) grew cultures with CR bacteria, and 21 samples
42 (25.9%) did not. *Staphylococcus simulans*, represented 31.7% of all positive culture samples. 13

43 post-cleanse samples (16.1%) grew cultures with CR bacteria, equating to a 58% reduction of
44 CR bacterial growth (LR 58.92, p < 0.001).

45

46 **Conclusion:** Ultrasound transducers have significant CR bacterial burden and may serve as
47 potential vectors for infection. The aseptic cleansing protocol effectively eliminates most of the
48 bacterial load from ultrasound transducers, but leaves persistent bacteria that present risk for
49 nosocomial infection with ultrasound-guided interventions. These findings support AIUM 2018
50 guidelines intended to ensure an appropriate level of transducer preparation based on
51 examination type, while emphasizing rational infection control measures to minimize risk for
52 potential patient harm.

53

54 **Full Text**

55

56 **Introduction:**

57 Ultrasonography use in clinical medicine has become increasingly common, and is now
58 considered the standard of care for many diagnostic and therapeutic interventions.¹ However,
59 while the popularity of ultrasound-guided procedures continues to rise, the methods utilized for
60 cleaning remain variable among medical practitioners.² Despite available international
61 guidelines for ultrasound cleaning^{3,4}, it has been reported that 87% of academic medical centers
62 do not have a mandated protocol or standard contact time for transducer disinfection.⁵ At present,
63 the aseptic technique is widely utilized for many ultrasound-guided procedures, in which the
64 ultrasound transducer is cleansed with antimicrobial wipes rather than using a sterile ultrasound

65 transducer cover.⁶ It is known that ultrasound transducers commonly demonstrate a bacterial
66 burden after contacting patient skin.^{7,8,9} Visual inspection alone cannot exclude contamination, as
67 one study found only 51% of blood-contaminated ultrasound units were visibly stained.¹⁰ A
68 second study demonstrated that, of clinical ultrasound equipment that practitioners deemed ready
69 for patient use, 26% had bacterial contamination.¹¹ Several significant ultrasound-associated
70 bacterial infections resulting in patient harm have been reported in the literature.^{12, 13, 14, 15, 16, 17, 18}
71 Review of these case series reveal that endocavity ultrasound interventions are the most common
72 cause of significant ultrasound-associated bacterial infections. The other notable etiology of
73 iatrogenic infection in ultrasound-guided procedures is the use of contaminated ultrasound
74 transmission gel from multi-use bottles. A recent case-control study evaluated 40 patients who
75 developed post-procedure soft tissue or bloodstream infections during a 3-year period and found
76 a positive association with contaminated ultrasound gel. After replacement of the contaminated
77 gel, there were no new cases detected during 18 months of follow-up.¹⁹ In another review,
78 including all cases of septic arthritis in Iceland over a 12-year period, the iatrogenic etiology of
79 septic arthritis tripled, with the leading cause being arthrocentesis and joint injections.²⁰

80 Sterile ultrasound transducer covers and sterile ultrasound gel are widely available, but with
81 drawbacks such as increased cost, increased length of procedure, as well as possible diminished
82 image quality.²¹ While some advocate for complete sterile technique with every interventional
83 ultrasound procedure,²² others have proposed that non-sterile gel has no relevant bacterial
84 burden.²³ Adding to the uncertainty of bacterial seeding from ultrasound-guided interventions is
85 the inability for surgical preparation solutions to adequately remove bacterial burden.²⁴ Previous
86 articles have evaluated bacterial growth on ultrasound devices; however, it remains unclear if full

87 sterile technique should be recommended for all ultrasound guided procedures, particularly in
88 orthopedic and musculoskeletal settings (Table 1).

89 To further understanding of the appropriate technique for ultrasound-guided procedures, this
90 study aims to: 1) provide descriptive analysis of culture and gram-stain results from ultrasound
91 transducers and multi-use ultrasound transmission gel bottle tips in active clinical use; 2)
92 compare bacterial cultures from ultrasound transducers before and after aseptic cleaning.

93 **Methods:**

94 The study was reviewed, approved and funded by the University of Utah Medical Group Quality
95 Assurance Committee. Informed consent was not necessary for this study, as there were no
96 patients involved. Ultrasound transducers and multi-use gel bottle tips from active clinical use
97 were evaluated in 18 distinct clinical care sites. The transducers and multi-use gel bottle tips
98 were pressed against tryptic soy agar contact plates (Carolina Biological Supply Company,
99 Burlington, NC). These plates were then de-identified and submitted to Nelson Laboratories (Salt
100 Lake City, UT) for blinded incubation, gram stain, and species identification with
101 microsequencing. All transducers were then cleansed utilizing manufacturer recommended
102 disinfectant-impregnated disposable towels containing dimethyl benzyl ammonium chloride
103 (Professional Disposables International, Inc., Orangeburg, NY). The cleansed transducers were
104 then pressed to a second agar media plate. All agar media plates were cultured for 5 days.
105 Nelson Laboratories technicians, who were blinded to the agar plate source, analyzed all agar
106 media plates. Any formed bacterial colonies then underwent DNA microsequencing for organism
107 identification.

108 Prior studies demonstrated approximately 60% of ultrasound transducers have bacterial isolates
109 after coming in to contact with patients²⁵ and about 4% of transducers have bacterial isolates
110 after antimicrobial cleansing.²⁶ Utilizing free software from DSS Research (Fort Worth, TX) for
111 power calculation, assuming an alpha error level of 5%, one-tailed, which corresponds to a 95%
112 confidence interval, a sample size of 50 ultrasound transducers yields a statistical power of
113 100%. Data results were then verified utilizing Stata Data Analysis and Statistical Software
114 (StataCorp) at the University of California, Davis. Fisher Exact Test was used to analyze the
115 positive culture rates before and after disinfectant wipe cleaning. A simple prevalence of positive
116 cultures was relayed with respect to multi-use ultrasound transmission gel bottle tips, with
117 breakdown by organism.

118 **Results:**

119 A total of 194 samples were obtained across 18 distinct clinical care locations. 162 of these
120 samples were obtained directly from ultrasound transducers, while 26 were from multi-use
121 ultrasound transmission gel tips, and 2 were from the data collector's pen and badge. The
122 remaining 4 collected samples did not have a label to accurately identify the source from which
123 they were obtained; thus, these samples were excluded from the study.

124 Table 2 outlines the sites where samples were obtained. The largest number of samples was
125 collected in radiology (31). Within each clinical setting, samples were obtained from varying
126 transducer types and gel tip bottles. Table 3 illustrates the distribution of transducer type from
127 which the samples were gathered. Initial samples from the ultrasound transducers were
128 categorized into clinically-relevant (CR) microorganisms, not clinically-relevant (NCR)

129 microorganisms, or no microorganisms. A positive sample was classified as one containing
130 cultures with either CR growth, NCR growth, or both CR and NCR growth. In total, there were
131 14 different microorganisms identified in this study, 7 of which were classified as CR, and the
132 other 7 as NCR. The delineation between CR and NCR microorganisms was based on careful
133 literature review pertaining to the potential for human harm of each respective organism.

134 Of the total pre-cleanse samples obtained from ultrasound transducers in this study, there were
135 60 samples (74.1%) that grew cultures with CR bacteria, and 21 samples (25.9%) that did not. In
136 comparison, after cleaning the transducers, only 13 samples (16.1%) of the post-cleanse cultures
137 contained CR bacteria, equating to a 58.0% reduction of CR bacterial growth on samples (LR
138 58.92, $p = <0.001$). There was one ultrasound transducer from which the post-cleansing sample
139 was not obtained; the pre-cleansing results were imputed forward. There was a statistically
140 significant relationship between cleaning and reduction in CR bacteria.

141 The most frequently cultured microorganism was *Staphylococcus simulans*, representing 31.7%
142 of all positive culture samples as demonstrated in Table 4. In total, the CR microorganisms
143 collectively occurred at a much higher frequency than the NCR microorganisms, by an
144 approximate ratio of 10-to-1. Growth of four of the seven CR microorganisms (*Staphylococcus*
145 *simulans*, *Micrococcus luteus*, *Paenibacillus provencensis*, and *Brevibacterium pityocampae*)
146 was significantly reduced after cleaning (Table 5). The three CR microorganisms that did not
147 demonstrate statistically significant reduction were noted to have small sample sizes. Two of the
148 seven NCR microorganisms were found to have statistically significant reduction growth, while
149 the remaining five had small sample sizes, for which p-values remained above threshold.

150 **Discussion:**

151 We performed a descriptive analysis of culture and gram-stain results from ultrasound
152 transducers and multi-use ultrasound transmission gel bottle tips in active clinical use throughout
153 a single healthcare system. All ultrasound transducer surfaces tested in our study were
154 considered ready for patient use. Pre-cleanse samples grew CR microorganisms at a high rate
155 (74.1%), which supports conclusions drawn from prior literature studies that cleanliness
156 standards based on visual inspection alone are insufficient, and there remains a need for further
157 education as well as implementation of cleaning guidelines. Aseptic cleaning with disinfectant-
158 impregnated disposable towels containing dimethyl benzyl ammonium chloride reduced the
159 prevalence of CR microorganisms, from 74.1% to 16.1%; a statistically significant relationship
160 between cleaning and CR microorganisms, (LR 58.92, p= <0.001) was observed. These findings
161 indicate that the aseptic technique reduces ultrasound transducer bacterial burden.

162 Of the remaining bacterial contaminants post-cleanse, *Staphylococcus simulans* was the most
163 prevalent microorganism, which is a common animal pathogen that may occasionally colonize
164 the human skin. Human infections with *S. simulans* have rarely been reported, but do occur in
165 patients who have repeated contact with animals such as butchers and veterinarians. The majority
166 of cases associated with *S. simulans* include cardiac or osteoarticular infections.^{27 28 29}

167 Ultrasonography use in clinical practice has become progressively more common in the United
168 States, a trend that will likely continue as portable ultrasound machines become more accessible
169 ³⁰, and Sports Medicine Fellowship Programs continue to implement ultrasound curriculums
170 across the nation.³¹ As stated by the American Institute of Ultrasound in Medicine (AIUM),

171 “Infection control is an integral part of the safe and effective use of ultrasound in medicine.”³²
172 However, despite increased ultrasound utilization,³³ institutions have adopted widely varied
173 approaches to ultrasound cleaning. While some hospitals have yet to implement a cleaning
174 protocol of any sort³⁴, others have mandated full sterilization autoclaves prior to all ultrasound
175 procedures. AIUM recently introduced new guidelines intended to ensure appropriate level or
176 transducer preparation based on examination type, recommendations that our data supports.
177 Review of the current literature and the data from our current study emphasize the importance of
178 adherence to AIUM guidelines. Based upon our findings, which sampled the largest number of
179 health care settings of any study to date, we recommend low level disinfection (LLD) in
180 conjunction with the use of single-use, sterile ultrasound transducer covers and sterile ultrasound
181 gel for all interventional ultrasound guided applications. Given microbial persistence after LLD,
182 we do not recommend aseptic techniques alone prior to percutaneous procedures. All medical-
183 grade protective barriers are regulated by an acceptable quality level (AQL); thus, we do not
184 believe high-level disinfection (autoclave) is required prior to percutaneous office-based
185 procedures. We do recommend high level disinfection prior to endocavity procedures, based on
186 the increased risk of bacterial transmission in this setting.

187 Strengths of the study include the prospective, blinded study design and high volume of samples
188 collected across a wide array of clinical environments. To our knowledge, no other study in the
189 literature has assessed ultrasound machines among multiple departments within a health care
190 system. Despite meticulous care for the large number of samples, there were unfortunately 4
191 post-cleanse samples that were lost during transit. However, the pre-cleansing results were
192 imputed forward, thus decreasing the chance of a type 1 error.

193 There were some limitations to this study. For instance, although a large number of cultures were
194 collected from ultrasound transducers, no samples from additional surfaces of the ultrasound
195 machine were obtained. Recent literature has suggested that potential vectors for infection are
196 complex and multidirectional. Ultrasound transducer handles, cords and keyboards can all
197 present as significant sources for infection and should be cleaned routinely.³⁵ Unfortunately,
198 these surfaces are sometimes difficult to clean due to physical design and some electrical
199 equipment such as keyboards may be damaged by fluid disinfectants. Additional studies may be
200 warranted to assess these factors. Another limitation is that gel tips were cultured at room
201 temperature: recent literature has demonstrated that warmed ultrasound gel can promote
202 colonization and growth by bacteria. Consequently, the prevalence of bacterial growth in our
203 study may be falsely underrepresented when compared to a clinical practice that routinely heats
204 ultrasound gel for patient comfort.³⁷

205 **Conclusion:**

206 We demonstrated ultrasound transducers in clinical use have a significant CR bacterial burden
207 and may serve as a potential vector for infection. The aseptic cleansing protocol effectively
208 eliminates most of the bacterial load from ultrasound transducers, but leaves persistent bacteria
209 that present risk for nosocomial infection with ultrasound-guided interventions. Our data support
210 the use of single-use, sterile ultrasound transducer covers and sterile ultrasound gel for all
211 percutaneous ultrasound guided procedures. Given that medical barriers are regulated for
212 quality, high level disinfection between patients adds no additional benefit outside operative and
213 endocavity applications. Overall, our findings support AIUM 2018 guidelines intended to ensure

214 an appropriate level of transducer preparation based on examination type. We strongly agree
215 with emphasizing rational infection control measures to minimize risk for potential patient harm.

216 **Acknowledgments:**

217 None

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93 **Keywords:**

94 ultrasound; transducers; gel bottle tips; bacterial contamination; aseptic cleansing; bacteria

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108 **Table 1:** Literature Comparison for Ultrasound Cleansing

Study Name	Number of Departments	Number of Machines	Number of Transducers	Number of Bottles	Number of Cultures	Pre-Clean Growth Rate	Post Clean Growth Rate
Ray (2019)	18	41	82	26	194	11.92%	3%
Whiteley (2018)	5	NR	NR	NR	750	26%	6%
Westerway (2017)	2	NR	60	7	171	38.3%	3.3%
Laurence et al (2014)	9	43	82	NR	320	5.60%	NR
Chu (2014)	1	31	31	0	31	22.60%	NR
Ejtehadi	1	1	3	NR	50	98%	21%

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114 **Table 2:** Number of samples by location (N=188).

Sample Location	n (%)
Radiology Department (4)	31 (16.4)
Main Operating Room (1)	18 (9.6)
Emergency Room Main (6)	15 (8.0)
Huntsman Operating Room (3)	14 (7.4)
Trauma Bay (7)	14 (7.4)
Orthopedic Center (15)	12 (7.4)
Burn ICU (14)	9 (4.8)
SJ Emergency Room (17)	9 (4.8)
PACU Orthopedic Center (16)	8 (4.3)
Medical ICU (13)	8 (4.3)
Echocardiogram Lab (5)	8 (4.3)
Neonatal ICU (12)	7 (3.7)
Cardiovascular ICU (11)	7 (3.7)
SJ Sports Clinic (18)	7 (3.7)
Pre-Operative Clinic (2)	6 (3.2)
Surgical ICU (10)	6 (3.2)
Labor & Delivery (8)	6 (3.2)
OBEM (9)	3 (1.6)
Additional Samples	
ID Badge	1
Marking Pen	1
Unlabeled	4

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121 **Table 3:** Number of samples by surface type (N=188).

Sample Type	n (%)
Phased Transducer (2)	72 (38.3)
Linear Transducer (1)	48 (25.5)
Curved Transducer (3)	32 (17.0)
Hockey Transducer (5)	8 (4.3)
Endo Transducer (4)	2 (1.1)
Gel Bottle Tip (6)	26 (13.8)

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123 **Table 4:** Frequency on ultrasound transducers and bottle tips (number indicates a positive culture,

124 N=177; CR: clinically-relevant; NCR: non clinically-relevant).

CR Microorganism	n (%)	NCR Microorganism	n (%)
<i>Staphylococcus simulans</i>	55 (31.7)	<i>Bacillus pumilus/sefensis</i>	6 (3.4)
<i>Micrococcus luteus</i>	45 (25.4)	<i>Exiguobacterium artemiae</i>	3 (1.7)
<i>Paenibacillus provencensis</i>	24 (13.6)	<i>Brevundimonas species</i>	2 (1.1)
<i>Brevibacterium pityocampae</i>	20 (11.3)	<i>Bacillus altitudinis</i>	2 (1.1)
<i>Bacillus simplex</i>	8 (4.5)	<i>Microbacterium aaccharophilum</i>	1 (0.6)
<i>Bacillus thuringiensis</i>	6 (3.4)	<i>Alternaria alternata</i>	1 (0.6)
<i>Staphylococcus warnei</i>	3 (1.7)	<i>Pseudomonas mucidolens/sacch</i>	1 (0.6)

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130 **Table 5:** Frequency of all microorganisms pre and post clean on ultrasound transducers (number
131 indicates a sample with at least one microorganism culture growth; CR: clinically-relevant; NCR: non
132 clinically-relevant).

CR Microorganism	Pre-Clean	Post-Clean	P Value
<i>Staphylococcus simulans</i>	43	5	<0.001
<i>Micrococcus luteus</i>	39	4	<0.001
<i>Paenibacillus provencensis</i>	16	6	0.020
<i>Brevibacterium ptyocampae</i>	19	0	<0.001
<i>Bacillus thuringiensis</i>	5	1	0.083
<i>Bacillus simplex</i>	5	1	0.083
<i>Staphylococcus warner</i>	2	0	0.094
NCR Microorganism	Pre-Clean	Post-Clean	P Value
<i>Bacillus pumilus/sefensis</i>	6	0	0.003
<i>Exiguobacterium artemiae</i>	3	0	0.040
<i>Brevundimonas species</i>	2	0	0.094
<i>Bacillus altitudinis</i>	2	0	0.094
<i>Microbacterium saccharophilum</i>	1	0	0.238
<i>Alternaria alternata</i>	1	0	0.238
<i>Pseudomonas mucidolens/sacch</i>	1	0	0.238

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