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# New and emerging therapeutic options for malignant pleural mesothelioma: review of early clinical trials

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**Abstract:** Malignant pleural mesothelioma (MPM) is a rare tumor that is challenging to control. Despite some benefit from using the multimodality-approach (surgery, combination chemotherapy and radiation), survival remains poor. However, current research produced a list of potential therapies. Here, we summarize significant new preclinical and early clinical developments in treatment of MPM, which include mesothelin specific antibody and toxin therapies, interleukin-4 (IL-4) receptor toxins, dendritic cell vaccines, immune checkpoint inhibitors, and gene-based therapies. In addition, several local modalities such as photodynamic therapy, postoperative lavage using betadine, and cryotherapy for local recurrence, have also shown to be effective for local control of disease.

**Keywords:** MPM, new targeted, systemic, local therapies

## Introduction

Malignant pleural mesothelioma (MPM) is a rare asbestos-induced malignancy with an estimated incidence of approximately 2,180 new cases diagnosed in the United States in 2013.<sup>1</sup> Approximately 30% of US cases are diagnosed among veterans, and 20% are seen in women. Worldwide, nearly 80% of mesothelioma deaths occur in ten countries, with the United Kingdom, United States, and Japan being in the top three.<sup>2</sup> While peak incidence of MPM in the US has been reached, worldwide it is expected to continue to increase over the next several decades.<sup>3</sup> Median survival ranges from 9–18 months and correlates with stage.<sup>4,5</sup>

## Currently established therapy

### Chemotherapy

Pemetrexed and cisplatin combination therapy was established as a standard treatment for mesothelioma patients who are not surgical candidates after a landmark multicenter randomized Phase III trial of 456 patients.<sup>6</sup> The trial demonstrated a nearly 3-month survival benefit, with median survival of 12.1 months versus 9.3 months for patients treated with cisplatin alone. Tumor response was seen in 41.3% of the 226 pemetrexed and cisplatin treated patients and 16.7% of the 222 patients receiving cisplatin alone.

### Surgical resection

The theoretical goal of surgical resection is to achieve complete tumor removal (R0 resection), which in reality is virtually impossible. A more realistic goal is to achieve an R1 resection with only microscopic residual disease.

While the Mesothelioma And Radical Surgery (MARS) feasibility trial showed that few patients qualified for surgery and the outcomes did not support extrapleural pneumonectomy (EPP),<sup>7</sup> surgery is offered to select patients in high volume centers with specific interest in mesothelioma, and it may offer a survival advantage. EPP was formerly thought to produce better survival than pleurectomy and decortication (P/D) but as more evidence accumulates P/D actually may offer a better survival.<sup>5,8</sup> Indirect evidence supporting this comes from work by Flores et al,<sup>5</sup> who observed overall survival of only 10.2 months in patients who did not undergo any surgery and 14.0–15.8 months in those who underwent resection.

## Radiation

Radiation has been used for either gross tumor (palliative intent) or for adjuvant local control in the postoperative setting in an attempt to control the residual microscopic disease that is nearly universally present following any surgical resection. The ability to administer effective doses of radiation to the large surface area of the pleural space, particularly following P/D with the lung in place, is quite challenging. Consequently, the broadest application of postoperative radiation has been in patients following EPP since the ipsilateral lung is removed. However, the risk for toxicity to the adjacent organs (heart, spinal cord and liver, esophagus) remains. Despite the theoretically lower risk of pulmonary toxicity, radiation following EPP was associated with a nearly 20% incidence of severe pneumonitis in the contralateral lung in at least one study.<sup>7</sup>

Several groups have described use of radiation in patients after ipsilateral lung preservation with P/D with an acceptable toxicity profile (summarized in Table 1). Thus

newer techniques, such as tomotherapy,<sup>9,10</sup> which are able to deliver a circumferential focused radiation field closely following the contour of the chest wall and lung periphery, potentially limiting toxicity to the lung parenchyma, allow postoperative radiation doses after P/D to be nearly the same as after EPP: 45–50 centigray.<sup>11–14</sup>

The role of preoperative radiation was evaluated in the Surgery for Mesothelioma After Radiation Therapy (“SMART”) trial, which was a feasibility study only.<sup>15</sup> Five fractions of radiation were given to the hemithorax 1 week prior to EPP, with no acute pulmonary toxicities noted in 26 patients but with half the patients developing postoperative complications. Although survival data was reported and an enhanced immune response speculated, more studies are needed before any conclusions can be made regarding impact.

## Multimodality therapy

Currently, specialized mesothelioma centers employ multimodality approaches, including surgical resection, chemotherapy, and radiation, with survival in excess of 20 months depending on stage.<sup>5</sup> Reports from the Society of Thoracic Surgeons Database<sup>16</sup> and the European Organisation for Research and Treatment of Cancer strongly suggest that multimodality therapy in highly specialized centers is associated with less morbidity and mortality.<sup>17</sup>

## Hyperthermic intraoperative chemotherapy

Experimental data suggests that heating chemotherapeutic agents increases entry into tumor cells. Clinically, most data come from Phase I–II studies evaluating systemic toxicity of intrapleural drug (most commonly cisplatin) administration in highly selected patients.<sup>18</sup> Median survival of patients

**Table 1** Studies evaluating toxicity of radiation in intact lung

Study	N	Radiation dose	Pulmonary toxicity	Median survival, months	Overall survival
Minatel et al <sup>11,12</sup>	28 <sup>a</sup>	5,000 cGy in 25 fractions	17.8%: Grade 2 in 3 patients Grade 3 in 2 patients	33	2 years – 70% 3 years – 49%
Rosenzweig et al <sup>13</sup>	36 <sup>b</sup>	4,680 cGy (range 4,140–145,040 cGy)	20%: Grade 3 in 5 patients Grade 4–5 in 2 patients	26	1 year – 75%; 2 years – 53%
Bolukbas et al <sup>14</sup>	29 <sup>c</sup>	5,040 cGy	No report of radiation toxicity specifically; note that it was well tolerated	30	1 year – 69% 2 years – 50% 3 years – 31%
Cho et al <sup>15</sup>	25	2,500 cGy in 5 fractions + 500 cGy boost	None at 1 week when patients underwent EPP	NR	3 years – 58%

**Notes:** <sup>a</sup>Twenty patients underwent pleurectomy/decortication and eight patients had biopsy only prior to radiation. Survival is reported for 20 patients who underwent pleurectomy/decortication and radiation. <sup>b</sup>Twenty patients underwent pleurectomy and decortication and 16 patients had no surgery prior to radiation. <sup>c</sup>Only five patients underwent 5,040 cGy of radiation; 29 additional patients received 2,100 cGy in three fractions to the incision and chest tube sites.

**Abbreviations:** cGy, centigray; EPP, extrapleural pneumonectomy; N, number of patients; NR, not reported.

treated with this methodology ranges from 9–20 months and there may be a trend toward prolonged disease-free intervals in those treated with higher doses.<sup>19</sup> A retrospective review by Sugarbaker et al<sup>20</sup> reported a longer survival and progression free interval in early-stage patients treated with hyperthermic intraoperative chemotherapy. However, lack of randomized trials raises a question whether this method represents significant benefit.

## New and future therapies

Successful treatment of mesothelioma will depend on improved understanding of the biology of mesothelioma.<sup>21</sup> [Clinicaltrials.gov](http://Clinicaltrials.gov) listed 192 registered trials (Phases I–III) worldwide evaluating multiple therapeutic approaches in a variety of settings. A large number of these trials focus on novel agents, which have arisen primarily from our expanded knowledge of molecular signaling and immune response. Several classes of targeted therapies have emerged from preclinical work and are being evaluated. These focus on following broad mechanisms:

- Tyrosine kinase inhibitors
- Antibody conjugated toxins
- Immune checkpoint inhibitors
- Gene therapy
- Tumor vaccines.

## Tyrosine kinase inhibitors

### Epidermal growth factor receptor

Epidermal growth factor receptor (EGFR) is expressed by a variety of epithelial malignancies, and activation of the pathway interferes with apoptosis, uncontrolled cell proliferation, and angiogenesis.<sup>22</sup> EGFR overexpression in mesothelioma samples was reported by several authors,<sup>23–25</sup> and inhibition of EGFR-dependent signaling pathway in mesothelioma cell lines also leads to decreased cell survival.<sup>22</sup>

Several clinical trials based on these findings have been conducted but, disappointingly, did not show improved survival (summarized in Table 2). Furthermore, the level of EGFR overexpression did not correlate with clinical outcomes.<sup>26</sup> Additional data showed that mutations found in patients with other cancers may not be the same in malignant mesothelioma tumors,<sup>25</sup> or alternatively the frequency of mutation may be too low in mesothelioma patients,<sup>24</sup> resulting in the lack of clinical response in non-selected patients.

### Vascular endothelial growth factor

Vascular endothelial growth factor (VEGF) is produced by a variety of tumors, including pleural mesothelioma, and stimulates neovascularization of tumors<sup>30</sup> in addition to normal angiogenesis. Elevated levels of VEGF and its receptor have been detected by immunohistochemistry in the tissue specimens of patients with mesothelioma<sup>31,32</sup> and as free circulating molecules.<sup>33</sup> Higher levels may be reflective of more-advanced disease and were associated with shorter survival in both studies. In vitro studies demonstrated that increased mesothelioma cell proliferation occurred when treated with VEGF and that significant inhibition of cell growth occurred when this pathway was blocked.<sup>34</sup> As a result, interference with this pathway potentially could lead to successful therapy.

VEGF antibody binds the receptor and inhibits its activation.<sup>30</sup> Bevacizumab has been approved to treat advanced colorectal, renal cell, and gastrointestinal stromal cancers. Numerous clinical trials (Table 3) evaluated the effect of VEGF inhibitors alone and in combination with chemotherapy in MPM. Unfortunately, the results of these trials have been disappointing. Overall survival ranged from 4–15 months and this difference was likely due to the highly variable design of individual trials. For instance, several trials required failure of first-line therapy

**Table 2** Summary of published clinical trials evaluating blocking effects of EGFR

Agent/author	Study design	N	Median survival (months)	Overall 1-year survival	Response and comments
<b>Gefitinib</b> Govindan et al <sup>27</sup>	Phase II No prior systemic chemo	43	6.8 <sup>a</sup>	32%	1 complete response; 1 partial response; EGFR expression does not predict response
<b>Erlotinib</b> Garland et al <sup>28</sup>	Phase II No prior systemic chemo	63	10	43%	None had response; no correlation between EGFR expression and response
<b>Erlotinib and bevacizumab</b> Jackman et al <sup>29</sup>	Phase II Prior systemic chemo	24	5.8	24%	No responses observed; 12 with stable disease

**Note:** <sup>a</sup>In this study, survival varied from 8.1 months in patients with high EGFR levels and 3.6 months in patients with low EGFR expression.

**Abbreviations:** EGFR, epidermal growth factor receptor; N, number of patients.

**Table 3** Summary of published clinical trials evaluating blockade of VEGF

Agent/author	Study design	N	Regimen	Median survival (months)	Overall 1-year survival	Study conclusions
Bevacizumab/ Ceresoli et al <sup>89</sup>	Phase II No prior treatment	76	Carbo + pem and bevacizumab	15.3	62.6%	26 partial responses Serum VEGF levels did not correlate with survival OS was increased but predetermined end points were not reached
Sorafenib/ Papa et al <sup>90</sup>	Phase II Prior platinum + pem chemo	53	Sorafenib	9	NR	3 partial responses, PFS 36%
Bevacizumab/ Dowell et al <sup>91</sup>	Phase II No prior treatment	52	Cis + pem and bevacizumab	14.8	NR	40% with partial response Failed to demonstrate improved survival
Bevacizumab/ Kindler et al <sup>95</sup>	Phase II, double blind, randomized No prior treatment	55 53	Cis + gem Cis + gem + bevacizumab	15.6 14.7	58.6% 57.0%	Partial response in 24.5% and 21.8% of groups, respectively No significant differences between the groups Survival correlated with VEGF plasma levels
Vatalanib/ Jahan et al <sup>92</sup>	Phase II No prior treatment	47	Vatalanib	10	44.7%	3 partial responses No correlation between serum VEGF levels and response No further studies are warranted using this as a single agent
Dasatinib/ Dudek et al <sup>93</sup>	Phase II Prior pem chemo regimen	43	Dasatinib	26.1 weeks (~6 months)	25.6%	No complete response 2 (4%) partial responses did not meet criteria: not effective
Cediranib (AZD2171)/ Campbell et al <sup>96</sup>	Phase II Chemo-naïve and prior chemo	50	Cediranib	4.4	15%	5 partial responses Chemo-naïve patients had longer overall survival; high toxicity noted
Sunitinib/ Nowak et al <sup>94</sup>	Phase II With or without prior systemic cis + pem	53	Sunitinib	6.1 (19 months since diagnosis of mesothelioma)	NR	6 partial responses Some correlation between VEGF, mesothelin levels and response
Sunitinib/ Laurie et al <sup>95</sup>	Phase II	17 18	Prior chemo + sunitinib Sunitinib without prior chemo	8.3 6.7	NR NR	1 partial response Not effective; no further studies are warranted
Cediranib/ Garland et al <sup>96</sup>	Phase II Prior platinum chemo	47	Cediranib	9.5	36%	4 partial responses
Sorafenib/ Dubey et al <sup>97</sup>	Phase II Chemo-naïve and prior chemo	50	Sorafenib	13.2 (prior chemo) 5 (chemo naïve)	57% 30%	3 partial responses ERK 1/2 levels did not correlate with response to agent; lower ERK 1/2 levels correlated with improved overall survival No further studies warranted
Enzastaurin/ Mukohara et al <sup>98</sup>	Phase I Prior therapy	19	Enzastaurin	NR	NR	1 mesothelioma patient had radiologic improvement

Dasatinib/ Tsao et al <sup>99</sup> (abstract only)	Phase II No prior therapy	15	Dasatinib followed by surgery (EPP or P/D)	NR	NR	2 minor responses p-Src Tyr419 level may predict response
Imatinib/ Porta et al <sup>100</sup>	Pilot study Chemo-naïve and prior chemo	11	Imatinib	20 weeks (~3 months)	NR	No response noted
Imatinib/ Mathy et al <sup>101</sup>	Phase II Prior chemo allowed; no prior RT	25	Imatinib	12	NR	No partial or complete response noted

**Abbreviations:** carbo, carboplatin; chemo, chemotherapy; cis, cisplatin; EPP, extrapleural pneumonectomy; ERK, extracellular signal-regulated kinase; gem, gemcitabine; N, number of patients; NR, not reported; OS, overall survival; P/D, pleurectomy and decortication; pem, pemetrexed; PFS, progression free survival at 6 months; R, T, radiation therapy; VEGF, vascular endothelial growth factor.

before initiation of VEGF antibody treatment, while other trials allowed it to be given as first-line therapy. The only study<sup>35</sup> with a truly prospective randomized allocation to either standard chemotherapy (cisplatin and pemetrexed) or standard chemotherapy with addition of bevacizumab showed no difference in disease progression or overall survival.

Toxicities of TKIs were usually well tolerated, and only one trial concluded that it was excessive and the agent should not be used.<sup>36</sup> Table 4 summarizes ongoing trials, but results have been uniformly disappointing and it is unclear whether these agents will assume significant clinical roles.

### Additional molecular targets

In addition to a large number of kinase inhibitors, several other specific molecular agents are being investigated. Some of these agents influence a common pathway downstream of the EGFR pathway, while other exert action via different mechanisms. For example, histone deacetylase inhibitors (belinostat and vorinostat) exert their action through modification of histones, thus controlling gene transcription.<sup>37</sup> A clinical trial evaluating belinostat in 13 patients was not promising, having found belinostat to be ineffective as a single second-line regimen in patients with MPM.<sup>38</sup> As a result, a planned trial of vorinostat combined with chemotherapy has been halted.

Bortezomib is a selective inhibitor that acts via downregulation of nuclear factor- $\kappa$ B and promotes apoptosis. Despite having favorable preclinical results, bortezomib was associated with significant toxicity and lack of expected response in early clinical trials.<sup>39</sup> Additional investigational strategies evaluated the role of arginine depletion, effect of everolimus, role of HSP90 inhibitors, ranpirnase, and more. These active trials are summarized in Table 5.

### Therapy targeting cell-surface receptors Mesothelin

Mesothelin is a 40 kDa cell-surface differentiation glycosylphosphatidylinositol-anchored glycoprotein present on normal mesothelial cells and overexpressed on the surface of mesothelioma as well as ovarian and pancreatic adenocarcinoma cells. It is shed into pleural fluid and released into the serum in 71% of mesothelioma, 67% of ovarian, and nearly all pancreatic cancer patients, but also in normal volunteers.<sup>40,41</sup> Mesothelin overexpression, occurring more prominently on epithelioid tumors, may serve to alter cell adhesion and/or invasion.<sup>42</sup>



**Table 4** Summary of ongoing clinical trials evaluating various kinase inhibitors

Therapy	Number of trials	Agent	Trial ID	Phase
VEGFR kinase inhibitors	8	Bevacizumab	NCT00651456	II
		Bevacizumab	NCT00604461 <sup>a</sup>	II
		Nintedanib	NCT01907100	II
		Dovitinib	NCT01769547	I-II
		Cediranib	NCT01064648	I-II
		Axitinib	NCT01211275	I
		Dasatinib	NCT00652574	I
		Imatinib	NCT00402766	
EGFR kinase inhibitor	1	Cetuximab	NCT00996567	II
Other kinase inhibitors	3	PF-03446962 (anti-ALK antibody)	NCT01486368	II
		Defactinib (FAK)	NCT01870609	II
		Defactinib (FAK)	NCT02004028	II

**Note:** <sup>a</sup>Trial was terminated.

**Abbreviations:** ALK, activin receptor-like kinase; EGFR, epidermal growth factor receptor; ID, identification number; VEGFR, vascular endothelial growth factor receptor; FAK, focal adhesion kinase.

### Antimesothelin antibodies

MORAb-009 (amatuximab) is a chimeric IgG1kappa murine monoclonal antibody with high affinity for human mesothelin.<sup>43</sup> Following receptor binding, this highly specific antibody is rapidly internalized<sup>43</sup> and induces antibody-dependent cellular cytotoxicity and inhibits cellular adhesions via interaction with MUC16 in a receptor density-dependent manner.<sup>44</sup> Preclinical studies in nude mice suggested that MORAb-009 combined with chemotherapy (gemcitabine or paclitaxel) was more effective than either chemotherapy agent alone.<sup>43</sup> An initial Phase I clinical trial demonstrated that MORAb-009 is well tolerated, with a maximum tolerated dose of 200 mg/m<sup>2</sup>, and that eleven of 24 patients exhibited stable disease.<sup>45</sup> A Phase II clinical trial ([Clinicaltrials.gov](http://Clinicaltrials.gov) NCT00738582) evaluating the combination of MORAb-009 with cisplatin and pemetrexed in patients with pleural mesothelioma has completed recruitment but results have not been published.

BAY 94-9343 (anetuman ravtansine) is a fully human antimesothelin antibody coupled via a reducible disulfide linker to DM4, a microtubule-targeting toxophore that shows highly selective cytotoxicity against cells with high levels of mesothelin expression with an additional potent bystander effect.<sup>46</sup> Preclinical studies showed a dose-dependent and receptor-dependent 94% reduction of tumor growth with BAY 94-9343 compared to 70% with cisplatin and pemetrexed chemotherapy.<sup>46</sup> This drug is now being evaluated in an ongoing Phase I trial ([Clinicaltrials.gov](http://Clinicaltrials.gov) NCT01439152).

### CRS-207 vaccine

CRS-207 is a genetically modified *Listeria monocytogenes* attenuated vaccine expressing mesothelin. Mesothelin acts as

an antigen and stimulates activation of T-cells upon exposure to CRS-207.<sup>47</sup> A Phase I trial, including five mesothelioma patients, determined the maximum tolerated dose to be 1×10<sup>9</sup> colony-forming units with a favorable safety profile.<sup>47</sup> Mesothelin-specific CD8+ T-cell response was induced in six out of ten evaluable subjects but did not correlate with clinical response. Currently, an ongoing Phase I trial ([Clinicaltrials.gov](http://Clinicaltrials.gov) NCT01675765) is evaluating *Listeria* vaccine in combination with chemotherapy in patients with MPM.

### SS1P immunotoxin

SS1P is an immunotoxin consisting of an antimesothelin antibody variable fragment linked to a cytotoxic fragment of *Pseudomonas* exotoxin A. A Phase I trial including 16 patients with mesothelioma showed that SS1P was well tolerated up to 25 µg/kg/day × 10 days with modest clinical activity and minor responses, and that two mesothelioma patients had symptomatic improvement.<sup>48</sup> Continuous infusion showed no advantage over bolus dosing.<sup>49</sup> A significant number of patients developed neutralizing antibodies after one cycle and were not able to receive additional therapy. In a subsequent study, Hassan et al<sup>50</sup> attempted to abrogate the production of neutralizing antibodies by inducing an immunosuppressive state with pentostatin and cyclophosphamide. Interestingly, three of ten patients achieved a partial response, but two patients (one with stable and one with progressive disease) experienced dramatic tumor reduction with subsequent chemotherapy. Durable responses were correlated with high serum SS1P levels following the second dose and with multiple doses of therapy. The median overall survival was 8.8 months with a median follow-up of 12.7 months.<sup>50</sup> A Phase I trial ([Clinicaltrials.gov](http://Clinicaltrials.gov) NCT01445392) of SS1P infusion

**Table 5** Active and recruiting trials investigating specific molecular therapies in MPM

Agent	Target	Mechanism	Goals of study	Trial ID	Sponsor
Vorinostat	Histone deacetylase inhibitor	Prevents gene transcription by maintaining chromatin condensed	Randomized Phase II: progression after first-line chemo	NCT01353482; withdrawn	Merck
Ganetespib	HSP90 inhibitor	Cell cycle arrest	Phase I-II: combination with chemo	NCT01590160	University College, London/UK
Bortezomib	Proteasome inhibitor	Inhibits NF- $\kappa$ B pathway	Phase II: bortezomib and oxaliplatin after failure of chemo	NCT00996385	Columbia University/USA
ADI-PEG20 (arginine deiminase)	Depletion of arginine	Depletion of extracellular arginine interferes with tumor metabolism while tumor cells frequently down regulate ability to synthesize arginine	Phase II: effect of ADI-PEG 20 alone	NCT01279967	Barts and The London NHS Trust
Everolimus	Target of rapamycin	Independently interferes with distal steps of EGFR pathway	Phase I: ADI-PEG20 combination with chemo Phase II: single drug	NCT02029690	Polaris
Onconase/ranpirnase		Inhibits NF- $\kappa$ B pathway	Phase III: doxorubicin +/- onconase	NCT00003034; unknown status	NCI
NGR-TNF	Vascular endothelium	Recombinant TNF fused with a peptide recognizing endothelial cell-surface receptors	Phase II: intravenous TNF given Phase III: together with second-line chemo	NCT01358084 NCT01098266	MolMed SpA

**Abbreviations:** chemo, chemotherapy; EGFR, epidermal growth factor receptor; ID, identification number; MPM, malignant pleural mesothelioma; NCI, National Cancer Institute; NF- $\kappa$ B, nuclear factor kappa-B; TNF, tumor necrosis factor.

combined with chemotherapy (cisplatin and pemetrexed) is closed to recruitment and awaiting data analysis.

### Interleukin-4 receptor

Interleukin-4 (IL-4) acts as a growth factor for T helper 2 cells and induces immunoglobulin class switch in allergic responses. Several studies showed that in addition to some subsets of immune cells, high affinity IL-4 receptors also are present on a variety of human tumors including mesothelioma.<sup>51–53</sup> Clinically, high levels of IL-4 receptor expression have been shown on fresh human mesothelioma specimens and correlated with a worse outcome.<sup>54,55</sup> Furthermore, higher IL-4 receptor expression levels were noted in biphasic and sarcomatoid histology specimens, which have a significantly worse prognosis compared to epitheloid histology.<sup>55</sup> These IL-4 receptors, therefore, represent potential clinical targets.

Beseth et al<sup>54</sup> showed that a circularly permuted recombinant IL-4 toxin IL-4(38–37)-PE38KDEL or cpIL-4-PE that contains amino acids 38–129 of IL-4 fused by a peptide linker to amino acids 1–37, which are in turn fused to amino acids 353–364 and 381–608 of *Pseudomonas* exotoxin. KDEL at positions 609–612 allows it reversibly bind to mesothelioma cells and inhibit protein synthesis in vitro. In a human mesothelioma xenograph nude mouse model, intratumoral injection of IL-4(38–37)-PE38KDEL significantly reduced tumor volumes in a dose-dependent manner compared to the control and IL-4-treated mice.<sup>54</sup> Furthermore, survival of similarly treated mice was significantly prolonged to a median of >102 days from 28 days in the two control groups ( $P < 0.0001$ ). Yang et al<sup>56</sup> reported similar findings with a hybrid IL-4R $\alpha$ -lytic peptide designed by the group. Although a pancreatic model was used, the results could be applied to mesothelioma. Phase I trials are being planned but have not yet started to accrue patients.

### Immune checkpoint inhibitors

#### Cytotoxic T lymphocyte antigen-4

Immune checkpoints are pathways that dampen inflammatory responses and mediate immune tolerance toward normal tissue. Because most immune checkpoints are initiated by ligand–receptor interactions, they can be readily blocked by antagonist antibodies or recombinant forms of cognate ligands/receptors. Cytotoxic T lymphocyte antigen-4 (CTLA-4) is vital for maintaining host immune tolerance to established tumors.<sup>57</sup> The CTLA-4 receptor sequesters CD80 and CD86 immune costimulatory signals provided by antigen-presenting cells, thus raising the activation threshold for T lymphocytes. Systemic administration of



CTLA-4 blocking antibody as monotherapy or combined with therapeutic tumor-cell vaccination induced regression of established melanoma and colon tumors in mice.<sup>57,58</sup>

A Phase II trial evaluating anti-CTLA-4 antibody (tremelimumab) in 29 patients with chemotherapy-resistant advanced mesothelioma (28 pleural and 1 peritoneal) was recently reported by Calabrò et al.<sup>59</sup> Objective clinical responses were observed in only two of 29 patients. However, disease stabilization was noted in nine patients (31%), all with epithelioid histology. Overall survival rates were 48% at 1 year and 37% at 2 years. Currently, there are two active clinical trials investigating the administration of tremelimumab in patients with pleural mesothelioma ([ClinicalTrials.gov](http://ClinicalTrials.gov) NCT01655888 and NCT01843374).

### Programmed death receptor-1

Programmed death receptor is found on the surface of T-cells and its stimulation leads to T-cell deactivation, thus allowing escape from the immune system surveillance in the presence of otherwise antigenic substrate.<sup>60</sup> Activation of this receptor occurs by a programmed death ligand 1 (PD-L1), which exists within the tumor microenvironment on the surface of tumor cells.<sup>61</sup>

Currie et al<sup>60</sup> demonstrated PD-L1 to be present on murine mesothelioma cells in vivo. Interestingly, upregulation of PD-L1 expression occurred in response to increased concentrations of interferon (IFN)- $\gamma$  and T-cells in tumor draining lymph nodes, supporting the hypothesis that this is an important pathway of tumor-mediated local immunosuppression.<sup>60</sup> The effect of PD-L1 blockade on different subpopulations of T-cells produced opposing effects on tumor progression and suggested that tumor-derived immune suppression is mediated by specific subsets of T-cells. Mansfield et al<sup>62</sup> noted that PD-L1 expression occurred in approximately 40% of 106 mesothelioma specimens (all sarcomatoid tumors) and higher expression was correlated with worse prognosis (5.0 months versus 14.5 months).

Several trials are currently evaluating role of inhibition in this pathway using different agents (lambrolizumab and nivolumab) in cancers other than MPM.

## Gene therapy

Multiple genetic abnormalities have been identified in mesothelioma, and a variety of genetic manipulation strategies have been employed in preclinical studies.<sup>63</sup> Several types of gene therapies have shown particular promise and are discussed below.

### Suicide gene therapy

This approach utilizes engineered viruses that deliver transgenes encoding enzymes that metabolize prodrugs into toxic metabolites capable of killing tumor cells. Multiple viral vectors have been studied. A clinical trial of intrapleural *Adenovirus herpes simplex* thymidine kinase/ganciclovir<sup>64</sup> enrolled 34 patients and reported minimal morbidity and a dose-dependent median survival as high as 15 months at the highest viral titers. Some patients experienced prolonged survival, suggesting induction of antitumor immunity in addition to the acute viral-mediated cytotoxicity.<sup>64</sup>

### Cytokine gene therapy

Another strategy involves administration of viral vectors encoding specific cytokine gene(s) that may exert a direct cytotoxic effect on tumor cells or may alter the immunologic response(s) to the tumor. Although early trials of direct intrapleural administration of interleukin-2 (IL-2) showed a nearly 50% response rate and a 28-month median survival in responders,<sup>65</sup> subsequent interest has centered on gene therapy with IFN, which play a key role in activation of the immune system and have direct antitumor cytotoxic/cytostatic effects. Several clinical trials (summarized in Table 6) evaluated

**Table 6** Clinical trials involving gene therapy in treatment of MPM

Study	Type of therapy	N	Survival, months	Comments
Serman et al <sup>64</sup>	Intrapleural adenovirus	34:	MS – 15	Neutralizing antibody developed Suggests that response may be due to immunologic stimulation by tumor antigens
	Herpes simplex suicide gene	21 high dose 13 low dose	MS – 10	
Serman et al <sup>66</sup>	Intrapleural adenoviral vector with <i>IFN<math>\beta</math></i> gene $\times$ 1 dose	8	NR (3 patients alive)	Activation of NK cells and increase in levels of anti-mesothelin antibody in some patients
Serman et al <sup>67</sup>	Intrapleural adenoviral vector with <i>IFN<math>\beta</math></i> gene $\times$ 2 doses	10	OS >18 months <sup>a</sup>	Neutralizing antibody production noted with lower subsequent pleural IFN levels
Serman et al <sup>68</sup>	Intrapleural adenoviral vector with <i>IFN<math>\alpha</math>2b</i> gene $\times$ 2 doses	9	NR (OS ranged from 1–22 months with 3 patients alive)	Strong activation of NK cells

**Note:** <sup>a</sup>Includes seven additional patients with malignant effusions from ovarian, breast, or lung carcinomas.

**Abbreviations:** IFN, interferon; MPM, malignant pleural mesothelioma; MS, median survival; N, number of patients; NK, natural killer; NR, not reported; OS, overall survival.

**Table 7** Current investigations in gene therapy

Gene therapies	Agent	Route of administration	Status	Study ID	Sponsor
Cytokine-based	Interferon	Intraleural	Recruiting	NCT01212367	University of Pennsylvania/NCI
	Interferon with chemotherapy	Intraleural	Unknown	NCT01119664	University of Pennsylvania
Suicide gene	Herpes simplex virus	Intraleural	Recruiting	NCT01721018	Virttu Biologics Limited
	Measles virus	Intraleural	Recruiting	NCT01503177	Mayo Clinic/NCI
Autologous modified T-cells with receptor to:	Fibroblast activation protein	Intraleural	Not yet recruiting	NCT01722149	University of Zurich
	Mesothelin	Intravenous	Recruiting	NCT01355965	University of Pennsylvania

**Abbreviations:** ID, identification number; NCI, National Cancer Institute.

adenoviral-mediated IFN ( $\alpha$  and  $\beta$ ) therapy in patients with MPM.<sup>66-68</sup> Survival ranged from 1–22 months with some long-term survival, but neutralizing antibodies did limit ability to administer repeated treatments.

### Gene-modified lymphocytes

Genetically engineered autologous T lymphocytes may increase antigen recognition or alter the immunosuppressive tumor microenvironment through production of cytokines.<sup>69</sup> Carpenito et al<sup>70</sup> reported that antimesothelin-engineered T-cells mediated specific cytolysis of mesothelin-expressing cells and produced significant tumor regression in animals. Fibroblast activating protein<sup>71</sup> and chemokine receptor-2<sup>72</sup> also have been evaluated in vitro as possible additional candidates for T-cell genetic modifications.

Currently ongoing clinical trials involving gene therapies are summarized in Table 7.

## Immunotherapy and vaccines

### Dendritic cell vaccines

Successful cancer immunotherapy requires effective antigen presentation. Antigen-exposed autologous dendritic cells remain the most potent antigen presenting cell. Preclinical in vitro and in vivo data supports the use of dendritic cell vaccines as a valuable strategy in

mesothelioma.<sup>73</sup> Calretinin, mesothelin, and Wilm's tumor-1 have been used as candidate antigens, and measurable specific immune responses were shown to these antigens in early clinical trials, although no responses were seen.<sup>74,75</sup> In a pilot Phase I study, Hegmans et al<sup>76</sup> exposed autologous dendritic cells ex vivo to autologous tumor antigens purified from pleural effusions or biopsy samples. This vaccine strategy was well tolerated and produced three partial responses with overall median survival of 19 months, which is encouraging.

Currently, several manufactured mesothelioma vaccines are being evaluated in Phase I–II clinical trials and are summarized in Table 8.

## Direct physical cytotoxic therapies

### Photodynamic therapy

Photodynamic therapy (PDT) was originally investigated by Pass at the National Cancer Institute in the 1980s.<sup>77</sup> PDT recently has been used by Friedberg et al<sup>78</sup> following a meticulous P/D. In a Phase I–II experience, the median disease-free progression was 15 months and the overall survival was over 40 months.<sup>78</sup> Due to this encouraging data, a Phase II trial (NCT NCT02153229) is ongoing and a randomized Phase III trial now is being planned, as is further investigation into the basic science of PDT (NCT02106559).

**Table 8** Active trials investigating vaccine-based strategy

Vaccine antigen	Additional therapies combined with vaccine	Trial ID	Sponsor
WT-1 (randomized)	GM-CSF	NCT01265433	Memorial Sloan-Kettering Cancer Center
WT-1	GM-CSF	NCT01890980	MD Anderson Cancer Center
5T4 tumor-associated antigen expressed by modified vaccinia virus (TroVax)	Chemotherapy	NCT01569919	Wales Cancer Trials
PA-I-STK compound – modified ovarian carcinoma vaccine	Cancyclovir	NCT00006216	University of Louisiana, NCI
Allogeneic tumor cell <sup>a</sup>	Cyclophosphamide and celecoxib	NCT 01143545	NCI
Mesothelin (CRS-207)	Chemotherapy	NCT01675765	Aduro BioTech Inc

**Note:** <sup>a</sup>Includes malignant mesothelioma, lung, esophageal, and thymic cancers.

**Abbreviations:** GM-CSF, granulocyte/macrophage colony-stimulating factor; ID, identification number; NCI, National Cancer Institute; WT-1, Wilm's tumor-1.

## Heated therapy

Sugarbaker<sup>18–20</sup> has advocated in favor of intraoperative hyperthermic chemotherapy; however, evidence supporting the use of hyperthermia is quite limited. No controlled trials exist and a recent report by Cameron and Hou<sup>79</sup> suggested that temperatures required for a clinically meaningful effect were 43°C–45°C, far above those currently used at most centers, and that hyperthermia and chemotherapy were additive and not synergistic.

## Iodine–povidone (betadine) lavage

A Phase I–II trial of intraoperative hyperthermic (41°C) iodine–povidone lavage following surgery (either P/D or EPP) was recently reported by Lang-Lazdunski et al.<sup>80</sup> Mechanism of action is likely generation of reactive oxygen intermediate species leading to cellular necrosis.<sup>81</sup> Although the treatment was tolerated well and the surgical outcomes were acceptable, convincing evidence of efficacy is still lacking.

## Cryotherapy

Cryotherapy for the treatment of cancer has been used for decades.<sup>82–85</sup> Abtin et al<sup>86</sup> recently reported the use of percutaneous cryoablation in 24 mesothelioma patients for control of limited recurrent local disease following P/D. The treatment was well tolerated, had a >90% control rate, and was associated with a median survival of 11.4 months following first therapy (36.1 months following surgery). Cryotherapy also may enhance immune responses through enhanced natural killer cell activity, T-cell responses and systemic IFN production.<sup>87</sup> In an animal model of prostate cancer, tumor cryotherapy with simultaneous anti-CTLA-4 immunotherapy produced enhanced immune-mediated protection against tumor rechallenge at both primary and distant tumor sites.<sup>88</sup>

## Summary

MPM remains a challenging tumor to control. Despite some benefit from surgery and combination chemotherapy (cisplatin/pemetrexed), survival remains poor.<sup>6</sup> However, the list of potential new therapies is long and the number of clinical trials is impressive (nearly 200). With all the ongoing research, progress is only a matter of time. Although the low prevalence of this disease makes enrollment in clinical trials challenging, more than 1,000 patients over the last decade have participated in the clinical trials covered by this review. In the future, it is critical that clinicians treat this disease with equipoise

and that patients be placed in randomized prospective clinical trials in order to truly determine optimal therapy for these patients.

## Disclosure

The authors report no conflicts of interest in this work.

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