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Association of substance dependence phenotypes in the COGA sample

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ABSTRACT

Alcohol and drug use disorders are individually heritable (50%). Twin studies indicate that alcohol and substance use disorders share common genetic influences, and therefore may represent a more heritable form of addiction and thus be more powerful for genetic studies. This study utilized data from 2322 subjects from 118 European-American families in the Collaborative Study on the Genetics of Alcoholism sample to conduct genome-wide association analysis of a binary and a continuous index of general substance dependence liability. The binary phenotype (ANYDEP) was based on meeting lifetime criteria for any DSM-IV dependence on alcohol, cannabis, cocaine or opioids. The quantitative trait (QUANTDEP) was constructed from factor analysis based on endorsement across the seven DSM-IV criteria for each of the four substances. Heritability was estimated to be 54% for ANYDEP and 86% for QUANTDEP. One single-nucleotide polymorphism (SNP), rs2952621 in the uncharacterized gene *LOC151121* on chromosome 2, was associated with ANYDEP ($P = 1.8 \times 10^{-8}$), with support from surrounding imputed SNPs and replication in an independent sample [Study of Addiction: Genetics and Environment (SAGE); $P = 0.02$]. One SNP, rs2567261 in *ARHGAP28* (Rho GTPase-activating protein 28), was associated with QUANTDEP ($P = 3.8 \times 10^{-8}$), and supported by imputed SNPs in the region, but did not replicate in an independent sample (SAGE; $P = 0.29$). The results of this study provide evidence that there are common variants that contribute to the risk for a general liability to substance dependence.

Keywords Alcohol dependence, cannabis dependence, cocaine dependence, common genetic liability, drug dependence, opioid dependence.

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INTRODUCTION

An estimated 15.3 million adults in the United States met criteria for an alcohol use disorder in the past 12 months. Of those with alcohol use disorders, 2.3 million adults also met criteria for a drug use disorder (Stinson *et al.* 2005) with odds ratios estimated to be 7.4 for any drug use disorder, but 3.4 to 19.2 for specific drug use disorders (Stinson *et al.* 2005; Khan *et al.* 2013). Both alcohol and drug use disorders are heritable, with approximately 50% of the variance attributable to heritable factors

(Tsuang *et al.* 1998; Bienvenu, Davydow & Kendler 2011; Ducci & Goldman 2012; Wang, Kapoor & Goate 2012), although this estimate varies dramatically by substance (e.g. up to 70% heritability for cocaine dependence; Kendler *et al.* 2000), age (Bergen, Gardner & Kendler 2007; Derringer *et al.* 2008; Vrieze *et al.* 2012) and other characteristics, including co-morbid psychopathology (Pickens *et al.* 1991).

This heritable variation can be parsed into those genetic influences that are specific to each drug and importantly, those genetic factors that confer a general

predisposition to alcohol and/or substance use disorders, and even other disinhibited behaviors (Krueger *et al.* 2002; Hicks *et al.* 2013). Two large twin studies have convincingly shown that a preponderance of the genetic factors influencing illicit drug use disorders overlap (Tsuang *et al.* 2001; Kendler *et al.* 2003). Noticeably, when these models were extended to include alcohol use disorders, there was evidence for highly correlated genetic factors ($r = 0.82$) that individually influenced the covariation in alcohol and nicotine dependence as well as cannabis and cocaine dependence (Kendler, Myers & Prescott 2007). The extent of genetic overlap was strong for some substances—for instance, 55% and 24% of the genetic variance in alcohol dependence was due to the licit and illicit drug factors, respectively, with the remainder being substance specific. In contrast, for nicotine dependence, 63% of the genetic variance was drug specific (with 26% and 11% attributable to heritable variation in the licit and illicit factors, respectively). Similar to the individual heritability of each substance, there is growing evidence that the heritable covariation across substances changes across development (Young *et al.* 2006; Vrieze *et al.* 2012). Irrespective of development and substance-specific variation, there is broad consensus that gene discovery efforts targeting aggregate genetic variation that indexes a shared liability to a variety of substance use disorders, as well as disinhibition, can be profitable (McGue *et al.* 2013; Vrieze *et al.* 2014), with one study showing evidence for genome-wide pleiotropic effects across substance use disorders (Vrieze *et al.* 2013).

There are multiple approaches, both phenotypic and genetic, to capture the commonality underlying alcohol and substance use disorders and the present study utilizes two straightforward phenotypic approaches. We opted for simple dependence-based phenotypic traits as they lend themselves to replication and future meta-analysis. First, we utilized a binary phenotype, with affection status defined as meeting dependence criteria for at least one substance (alcohol, cannabis, cocaine or opioids), termed *ANYDEP*. Second, we used factor analysis to combine dependence criteria across substances into a continuous quantitative trait representing vulnerability to multiple substance dependence, termed *QUANTDEP*. This quantitative measure is heritable (approx. 60%; Palmer *et al.* 2012) and has previously been used in genomic studies (Yang *et al.* 2012), the most recent of which utilized a similar expanded factorial measure of behavioral disinhibition (including alcohol, nicotine, cannabis and other illicit drug use disorders) to conduct genome-wide association and rare nonsynonymous variant analyses (McGue *et al.* 2013; Vrieze *et al.* 2014). These studies did not identify any single common or rare variant at a genome-wide significant level; however, the authors

reported that 84% of the heritability in illicit drug use was explained by both common and rare variants. While the work of McGue and colleagues included multiple measures of nicotine use and dependence, we elected to exclude nicotine from these measures of general liability based on the work by Kendler and colleagues (Kendler *et al.* 2007), which showed significant drug-specific genetic influences on nicotine dependence.

In this study, we utilized data from 2322 subjects from 118 families of European-American descent ascertained for alcohol dependence liability to conduct genome-wide association analysis (GWAS) of a binary and a continuous index of general substance dependence liability. While some prior genome-wide efforts (McGue *et al.* 2013) have utilized similar phenotypes in population samples of related individuals, the ascertainment strategy and extended family-based design in our study should increase our ability to detect genetic variation in this phenotype. First, there is substantial evidence that alcohol use disorders that co-aggregate with other substance use disorders (Khan *et al.* 2013) may represent a more heritable form of addiction (Pickens *et al.* 1991). Secondly, by modeling the strength of the phenotypic correlation across different degrees of genetic relatedness (i.e. kinship), we utilize data on all related individuals, even those not meeting criteria for diagnoses, allowing us to better explore the extent of co-aggregation of genetic risk across alcohol, cannabis, cocaine and opioid dependence.

MATERIALS AND METHODS

Sample

Six sites participating in the Collaborative Study on the Genetics of Alcoholism (COGA) (Begleiter *et al.* 1995; Foroud *et al.* 2000) recruited alcohol-dependent probands from in-patient and outpatient facilities. The probands and their family members were administered a poly-diagnostic interview, the Semi-Structured Assessment for the Genetics of Alcoholism (SSAGA) (Bucholz *et al.* 1994; Hesselbrock *et al.* 1999). Individuals 17 years of age or younger were administered an adolescent version of the SSAGA. Institutional review boards at all sites approved the study.

A subset of the most genetically informative families was selected for a family-based GWAS. This sample has been described in detail elsewhere (Kang *et al.* 2012; Wang *et al.* 2013) but salient characteristics are presented here. Families were prioritized based on the number of family members with: (1) available DNA who were also alcohol dependent; (2) available DNA who also had electrophysiology data; and (3) available DNA, regardless of other phenotypes. To reduce heterogeneity,

only families consisting primarily of self-reported European-American ethnicity were included in the sample. The final sample was comprised of 118 large European-American families consisting of 2322 individuals with available DNA.

Phenotypes and statistical analysis

Phenotype data for four substances (alcohol, cannabis, cocaine and opioids) were obtained from the SSAGA. Some individuals were assessed more than once, in which case data from the SSAGA interview at which an individual reported the maximum number of DSM-IV criteria endorsements for the particular substance was used. Two phenotypes were used in the genetic analyses: ANYDEP, a binary aggregate substance dependence phenotype, and QUANTDEP, a quantitative (continuous) substance dependence phenotype developed using factor analysis.

For ANYDEP, individuals were considered affected if they met DSM-IV lifetime dependence criteria for any of the four substances, and unaffected if they did not meet DSM-IV dependence criteria for all four drugs. Individuals younger than 23 years old at their most recent interview who did not meet criteria for dependence on any of the four drugs were recoded to missing/unknown ($n = 408$) because they had not passed through the primary age of risk. Selection of this age cutoff was based on the median age of onset of alcohol, cannabis, cocaine and opioid/heroin dependence in the White subsample of the US population-based National Epidemiologic Survey of Alcohol and Related Conditions (Grant *et al.* 2004; Hingson, Heeren & Winter 2006). The median ages ranged from 18 to 22 years, supporting a cut-off of 23 years. In addition, those individuals with insufficient SSAGA to determine whether they were or were not dependent were also coded as unknown ($n = 144$).

QUANTDEP, the quantitative factor score, was constructed by conducting a confirmatory factor analysis of the seven DSM-IV lifetime dependence criteria (coded as present or absent) for each of the four substances (28 items total). As we were interested in those genetic underpinnings that were common to all dependence criteria across the four substances, we elected to use a single factor confirmatory model and did not conduct exploratory analyses, in addition to limiting the factor analysis to the dependence criteria to exclude abuse. All individuals with DSM-IV criteria data were utilized, regardless of age or substance use. The factor score from the resulting confirmatory analyses was utilized as the quantitative phenotype.

Heritability was estimated for the two phenotypes using the polygenic option in SOLAR (Almasy & Blangero 1998). The correlation between the total number of

DSM-IV criteria endorsed (between 0 and 28) and QUANTDEP was estimated using the Pearson correlation coefficient. ANOVA was used to test if QUANTDEP differed according to the number of substance dependence diagnoses met. We also tested if the average QUANTDEP value differed across alcohol, cannabis, cocaine and opioid dependence diagnoses. *Post hoc* pairwise comparisons employed a Tukey correction for multiple testing.

Genotyping and association analysis

Genotyping for 2105 subjects in these 118 families was performed at the Genome Technology Access Center at Washington University School of Medicine in St. Louis using the Illumina Human OmniExpress array 12.VI (Illumina, San Diego, CA, USA). In addition, genotypes previously generated on the Illumina Human 1M-Duo BeadChip (Illumina) by the Center for Inherited Disease Research were included for 224 subjects from these families (Edenberg *et al.* 2010). Further details describing data cleaning can be found in Wetherill *et al.* (2014). The final analytic sample included 2322 genotyped individuals. This yielded an average of 19.6 genotyped members per family.

The Genome-Wide Association Analysis with Family Data package was utilized to analyze ANYDEP, implemented as a logistic regression model. Relatedness between family members was accounted for via generalized estimating equations. QUANTDEP was analyzed using a linear mixed effects model as implemented in the kinship library (lmeKin) in R (<http://www.inside-r.org/packages/cran/kinship/docs/print.lmeKin>). This model in the kinship function allows for the covariance matrix to be completely specified for the random effects. The result is that each family has a different covariance pattern based on the kinship coefficients, to model the familial genetic random effects. Gender and birth cohort defined by year of birth (<1930, 1930–1949, 1950–1969 and ≥ 1970) were included as covariates in all analyses described above, including statistical models of association, to account for secular trends (Grucza *et al.* 2008). As needed, genomic control was applied to correct for inflation. To reduce the scope of multiple testing, only genotyped single-nucleotide polymorphism (SNPs) were included in the initial analyses. After correcting for the final number of autosomal SNPs ($n = 591\,785$), the genome-wide significance threshold was $P = 8.45 \times 10^{-8}$.

In regions with significant association results, we analyzed imputed SNPs to further evaluate the evidence for association. SNPs were imputed to 1000 genomes (EUR, August 2010 release) using BEAGLE 3.3.1 (Browning & Browning 2009) as described in Wang *et al.* (2013). Secondary analyses were performed for

significant SNPs to test whether the observed genetic association could be attributed to dependence on a specific substance. Analyses for ANYDEP were performed using the Mantel–Haenszel χ^2 test of association assuming an additive genetic model. Analyses for QUANTDEP were performed using analysis of covariance employing substance, genotype, and the substance \times genotype interaction to test for differences in genotype by substance. Gender and birth cohort were included as covariates.

Replication study

Independent replication of SNPs demonstrating evidence of significant association in the COGA sample was evaluated in the Study of Addiction: Genetics and Environment (SAGE) sample. SAGE is a case-control sample comprised of three complementary studies: COGA, the Family Study of Cocaine Dependence and the Collaborative Genetics Study of Nicotine Dependence (Bierut 2010). There were 129 individuals from the 118 COGA families in the current study that overlapped with the SAGE sample, and were removed from the SAGE replication dataset. The remaining independent SAGE sample used for replication was limited to 2647 individuals of European-American descent. Factor analysis scores from Mplus were independently estimated for this study, as described above, based on DSM-IV dependence criteria for the four substances. Analyses were implemented in Plink (Purcell et al. 2007; <http://pngu.mgh.harvard.edu/purcell/plink>) and included age at interview and gender as covariates.

RESULTS

ANYDEP

The number of individuals utilized for the categorical phenotype ANYDEP was 1770 (59% male). Summary results are provided only for genotyped individuals for both phenotypes. Nearly half the sample met the DSM-IV criteria for at least one substance (ANYDEP, $n = 832$, 47%). The COGA sample was ascertained through an alcohol-dependent individual in treatment and families were selected for the highest density of alcohol-dependent members; therefore, it was expected that there would be many individuals meeting criteria for alcohol dependence (40% in the full sample, but 84% of those who were dependent on alcohol and/or any other substance). In addition, 19% met criteria for cannabis dependence (with or without alcohol dependence). The rates for cocaine and opioid dependence were lower (11% and 4%, respectively). There were 832 individuals that met criteria for at least one substance dependence diagnosis; of those, 312 (37.5%) endorsed at least two diagnoses. Alcohol and cannabis dependence were the most common (15%; Table 1).

Table 1 Substance dependence for the 832 genotyped individuals meeting any criteria for DSM-IV substance dependence.

	No. of affected	% affected of all affected
Substance		
Any alcohol ^a	696	83.6
Any cannabis ^a	331	39.7
Any cocaine ^a	182	22.4
Any opioids ^a	72	8.9
Patterns of substance dependence		
co-morbidity		
Alcohol alone	405	48.7
Cannabis alone	85	10.2
Cocaine alone	23	2.8
Opioids alone	7	0.8
Alcohol + cannabis	126	15.1
Alcohol + cocaine	40	4.8
Alcohol + opioids	15	1.8
Cannabis + cocaine	14	1.7
Cannabis + opioids	2	0.2
Cocaine + opioids	1	0.1
Alcohol + cannabis + cocaine	67	8.0
Alcohol + cannabis + opioids	10	1.2
Alcohol + cocaine + opioids	10	1.2
Cannabis + cocaine + opioids	4	0.5
Alcohol + cannabis + cocaine + opioids	23	2.8
Total	832	100%

^aIncludes co-morbid individuals.

QUANTDEP

The number of individuals included in the analysis of the quantitative factor score QUANTDEP was 2,183 (47% male). The confirmatory one-factor model fits the data well in COGA [comparative fit index (CFI) = 0.96; root mean square error of approximation (RMSEA) = 0.07], and in the replication sample, SAGE (CFI = 0.98; RMSEA = 0.07), supporting our proposed unidimensional conceptualization of dependence criteria for alcohol, cannabis, cocaine and opioids. Factor loadings in the COGA sample ranged from 0.67 (alcohol dependence criterion *desire to cut down*) to 0.99 (for several cocaine dependence criteria) and were highly consistent across COGA and SAGE. In general, factor loadings for alcohol and cannabis dependence criteria were lower, and ranged between 0.67 and 0.85, while those for cocaine and opioids were uniformly high (0.84–0.99). Data across drug classes and across criteria loadings (and standard errors) for all seven criteria for the four substances are available in Supporting Information Fig. S1.

ANYDEP and QUANTDEP

As expected, individuals with no substance dependence had the lowest QUANTDEP values [mean = −0.40, standard error (SE) = 0.01] and those with a dependence

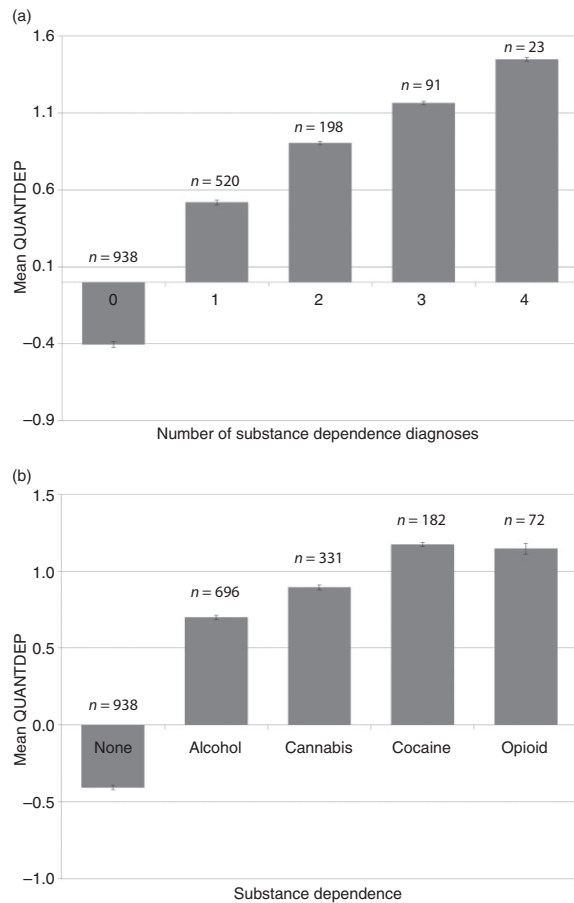


Figure 1 Mean and standard error of ANYQUANT scores for individuals used in analysis based on (a) number of substance dependence diagnoses; (b) each DSM-IV substance dependence endorsed (includes co-morbidities)

diagnosis for all four substances had the highest QUANTDEP values [mean = 1.45, SE = 0.06; overall $F(8, 1762) = 659.4$, $P < 0.0001$; all pairwise Tukey-adjusted $P < 0.006$] (Fig. 1a). QUANTDEP values differed based on the substance [overall $F(7, 502) = 32.9$, $P < 0.0001$; all pairwise Tukey-adjusted $P < 0.03$], with individuals meeting cocaine dependence criteria having the highest mean QUANTDEP scores and those meeting criteria for alcohol dependence having the lowest (Fig. 1b) of individuals meeting criteria for dependence on any substance. QUANTDEP was positively correlated with the total number of DSM-IV criteria endorsed across the four substances ($\rho = 0.88$, $P < 0.0001$).

Genetic analysis

The ANYDEP phenotype was moderately heritable, with an estimate of 0.54 (standard error = 0.08, $P = 1.3 \times 10^{-17}$). Heritability for the QUANTDEP was higher, with an estimate of 0.86 (SE = 0.02, $P = 2.1 \times 10^{-66}$).

Association analyses results are summarized in Fig. 2. All association results with $P < 1.0 \times 10^{-6}$ are shown in

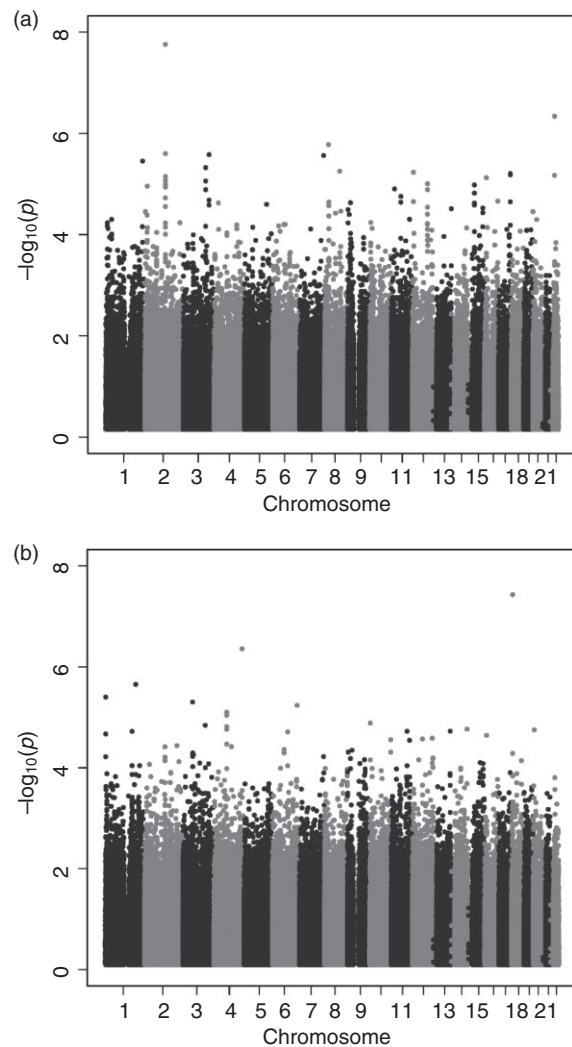


Figure 2 Association results: (a) ANYDEP, genomic control P -values; (b) QUANTDEP

Table 2. More extensive results are provided in Supporting Information Table S1. The QQ plot for ANYDEP revealed inflation of P -values ($\lambda = 1.0608$); therefore, genomic control (GC) P -values were calculated. The lambda for the inflation-corrected P -values = 0.99999, indicating no further inflation. All P -values reported for ANYDEP are GC P -values. There was no evidence to suggest inflation of the association P -values for QUANTDEP (QUANTDEP $\lambda = 1.0095$). QQ plots are provided for each phenotype (Supporting Information Fig. S2).

Two genotyped SNPs reached genome-wide significance ($P < 8.4 \times 10^{-8}$). A SNP in the uncharacterized gene *LOC151121* on chromosome 2, rs2952621, was associated with ANYDEP ($P = 1.8 \times 10^{-8}$; OR = 1.07). Secondary analysis was performed to test whether this SNP demonstrated greater evidence of association with a particular substance. Although more individuals were

Table 2 Summary of association results for SNPs with $P < 10^{-6}$ for any trait.

SNP ^b	rs2952621	rs1506807	rs2567261	rs6519647
Chr ^a	2	4	18	22
Position ^c	129 998 443	178 317 692	6 868 925	26 713 964
MAF ^d	0.47	0.25	0.08	0.44
Gene ^e	LOC151121 (1 kb)	NEIL3 (33 kb) AGA (34 kb)	ARHGAP28	SEZ6L
COGA family P -value for ANYDEP	1.77E-08	9.12E-04	3.18E-04	4.62E-07
SAGE P -value for ANYDEP	0.02	0.63	0.08	0.81
COGA family P -value for QUANTDEP	3.85E-05	4.43E-07	3.76E-08	2.71E-04
SAGE P -value for QUANTDEP	0.06	0.90	0.29	0.73

All SAGE results are reported. Genome-wide significant results are in bold.

^aChromosome. ^bSingle nucleotide polymorphism. ^cChromosomal position (base pairs) based on human genome build 19, dbSNP 137. ^dMinor allele frequency estimated on founders. ^eGene name and distance to nearest gene.

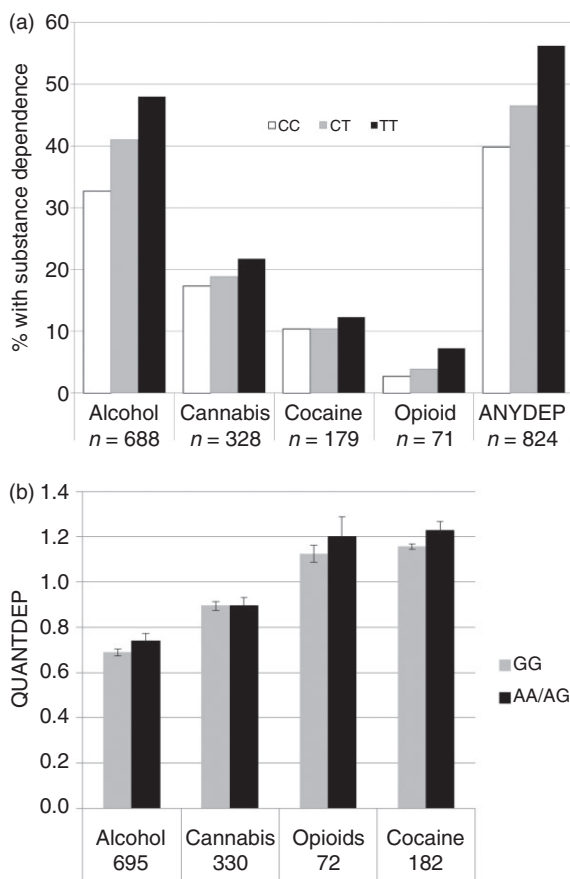


Figure 3 Sample characterization by SNP genotype. (a) Dependence on each substance by genotype of rs2952621 in *LOC151121* for all individuals meeting criteria for alcohol, cannabis, cocaine and opioid dependence; (b) Mean QUANTDEP by genotype of rs2567261 in *ARHGAP28* for all individuals meeting criteria for alcohol, cannabis, cocaine and opioid

alcohol dependent, the pattern of dependence by genotype was similar for all four substances ($\chi^2_{(1)} = 0.48$, $P = 0.49$; Fig. 3a), indicating that the association was not driven by dependence on one particular substance. Individuals with one or two copies of the minor allele (T) were

more likely to be dependent on at least one substance than those having no copies of the minor allele. Analysis of imputed SNPs in this region provided additional evidence to support the association (Fig. 4a). There was modest replication for this SNP in the SAGE sample ($P = 0.02$, OR = 1.1), with T as the risk allele in both samples. Corresponding SAGE results for the SNPs are provided in Table 2.

The second genome-wide significant finding was observed with QUANTDEP and a genotyped SNP rs2567261 on chromosome 18 in the gene *ARHGAP28* (Rho GTPase-activating protein 28) ($P = 3.8 \times 10^{-8}$). Due to the low minor allele frequency (MAF) for this SNP (MAF = 0.08), AA and AG genotypes were combined. Although individuals dependent on opioids and cocaine had higher QUANTDEP scores on average (main effect of substance $P < 0.0001$; Fig. 3b), there was no substance \times genotype effect ($P = 0.74$) confirming that QUANTDEP exhibited the same pattern by genotype across the four substances. Analysis of the imputed SNPs in this region further supported the association (Fig. 4b). There was no evidence of replication in SAGE for QUANTDEP ($P = 0.29$). Corresponding SAGE results for the SNPs are provided in Table 2.

DISCUSSION

This is one of the first GWAS to test for the association of overall substance dependence phenotypes, defined both categorically (dependence diagnosis for alcohol, cannabis, cocaine or opioids; ANYDEP) and quantitatively (factor analysis of the seven DSM-IV dependence criteria, across alcohol, cannabis, cocaine and opioids; QUANTDEP). This approach implicitly tested the hypothesis that there are genes with pleiotropic effects contributing to dependence on alcohol, cannabis, cocaine and opioids. Using these multi-substance phenotypes, we detected genome-wide significant results with SNPs in two different genes. This finding is consistent with an extensive twin literature that provides demonstrable

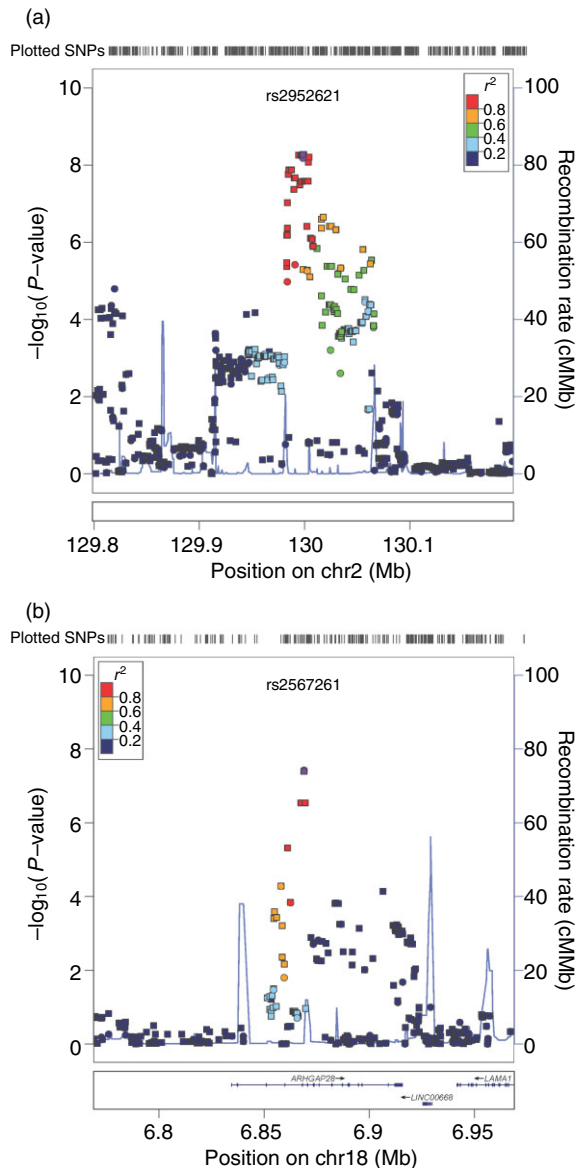


Figure 4 Association results. (a) ANYDEP with genotyped and imputed SNPs in the region flanking *LOC151121*; y-axis denotes the $-\log_{10}(P\text{-value})$ for association. x-axis is the physical position on the chromosome (Mb). The most significantly associated SNP is shown in purple. The extent of linkage disequilibrium (as measured by r^2) between each SNP and the most significantly associated SNP is indicated by the color scale at top right. Larger values of r^2 indicate greater linkage disequilibrium. Genotyped SNPs are indicated as circles, and imputed SNPs by squares. (b) QUANTDEP with genotyped and imputed SNPs in the region flanking *ARHGAP28*. y-axis denotes the $-\log_{10}(P\text{-value})$ for association. x-axis is the physical position on the chromosome (Mb). The most significantly associated SNP is shown in purple. The extent of linkage disequilibrium (as measured by r^2) between each SNP and the most significantly associated SNP is indicated by the color scale at top right. Larger values of r^2 indicate greater linkage disequilibrium. Genotyped SNPs are indicated as circles, and imputed SNPs by squares.

support for common genetic liability underlying addiction to multiple substances (Tsuang *et al.* 2001; Kendler *et al.* 2003). Furthermore, a previous study in a slightly different COGA sample demonstrated aggregation of drug dependence in relatives of alcohol-dependent probands, even after controlling for co-morbidity in the probands (Nurnberger *et al.* 2004).

Genome-wide significant association for ANYDEP was observed with a SNP in an uncharacterized gene, *LOC151121* ($P = 1.8 \times 10^{-8}$). Further evidence of association was corroborated by surrounding SNPs, both genotyped and imputed. Nominal replication was found in the SAGE sample ($P = 0.02$) with the same phenotype. This SNP was moderately associated with QUANTDEP ($P = 3.8 \times 10^{-5}$) and also with the number of DSM-IV alcohol dependence criteria endorsed (symptom count) in another related study with data from the same sample ($P = 7.2 \times 10^{-5}$; Wang *et al.* 2013). Similar to the replication results here, this SNP was nominally associated with the alcohol dependence symptom count in the SAGE sample as well ($P = 0.014$; Wang *et al.* 2013).

Significant association was also detected with QUANTDEP for the SNP rs2567261 in *ARHGAP28* ($P = 3.8 \times 10^{-8}$). Further evidence of association was observed with both genotyped and imputed SNPs within the gene. *ARHGAP28* is also known as Rho GTPase-activating protein 28. GTPase-activating proteins target GTPases, and are mediated by exposure to alcohol, cannabis, cocaine and opioids. For example, Rho1 and Rac moderate the stimulating and sedative effects of acute ethanol intoxication in *Drosophila* (Rothenfluh *et al.* 2006). Thus, there is strong biological rationale for this gene as a potential candidate for substance dependence. Of note, this SNP was modestly associated with ANYDEP ($P = 3.2 \times 10^{-4}$) in this sample and with previously published alcohol symptom count in the COGA family sample ($P = 8.7 \times 10^{-5}$). However, rs2567261 was not significantly associated with alcohol symptom count in the SAGE sample, although there was a trend in that direction ($P = 0.08$; Wang *et al.* 2013). Although the association did not replicate in the SAGE sample for this phenotype, there was a trend toward association with the other phenotype, ANYDEP ($P = 0.08$). This weak replication for a different phenotype may be due to the fact that the majority of the SAGE sample was ascertained on nicotine and cocaine dependence, whereas the COGA sample was recruited based on an alcohol-dependent proband and expanded to include the maximum number of alcohol-dependent family members. The exclusion of nicotine dependence criteria may have attenuated the likelihood of replication, given ascertainment for nicotine dependence in SAGE. Since the SNP association was not primarily due to alcohol dependence, it is possible that high rates of co-morbidity in the COGA sample as

compared with the SAGE sample contributed to the finding. In addition, the family-based test in the large COGA families with co-morbidity may have had greater power to detect the association. QUANTDEP seems to represent an underlying severity of addiction. As seen in Fig. 3a, the higher the number of co-morbid diagnoses, the higher the QUANTDEP scores.

Overall, for the QUANTDEP measure, the loadings for the alcohol and cannabis criteria appear generalizable to general population studies (Saha, Chou & Grant 2006; Lynskey & Agrawal 2007); in contrast, those for cocaine and opioid dependence are higher (typically >0.9). In COGA, cocaine (7–12%) and opioid (2–5%) dependence criteria were less commonly endorsed than those for alcohol (15–51%) and cannabis (9–19%), with the former also showing less range in endorsement rates (i.e. each dependence criterion was equally likely to be endorsed). In SAGE, cocaine dependence criteria (17–19%) were somewhat more commonly endorsed than cannabis (12–18%) dependence criteria, yet the cocaine criteria had higher loadings than the cannabis criteria, identical to COGA. For both cocaine and opioids, the range of prevalence of individual criteria was highly restricted (e.g. 6–7% for opioid criteria). Thus, in both COGA and SAGE, the likelihood of endorsement of each of the seven dependence criteria for cocaine and opioids was similar while certain alcohol and cannabis criteria (e.g. *tolerance*) were endorsed more often than others (e.g. *use dominates life*). This may be related to the ascertainment strategy and over-representation of family history for alcoholism in both samples. Nonetheless, all factor loadings were high, indicating that QUANTDEP reflects a general liability to dependence across multiple substances. In particular, QUANTDEP captures the liability to cocaine and opioid dependence criteria in these two studies. Therefore, in addition to being an index of severity and a measure of general liability to addiction across alcohol and drugs, QUANTDEP likely also reflects variation in prevalence and the expected pattern of co-morbid relationships and co-aggregation across alcohol and drug dependence criteria in these subjects ascertained on specific substance dependence.

While the heritability of the binary phenotype of dependence on any substance was similar in this sample ($h^2 = 0.54$) to the most common heritability estimate ($h^2 = 0.50$) reported for any of the four substances from twin studies (Goldman, Oroszi & Ducci 2005), the heritability for the quantitative phenotype was much higher ($h^2 = 0.86$). This is consistent with one prior twin study of a latent genetic factor ($h^2 = 0.81$) underlying alcohol and drug problems as well as measures of impulsivity and conduct problems (Krueger *et al.* 2002) but significantly higher than some others (e.g. $h^2 = 0.40$; Button *et al.* 2009). Although this high heritability should not be

over-interpreted (Goldman *et al.* 2005), it is possible that the use of a multi-variable quantitative phenotype, utilizing the pattern of endorsement of the seven DSM-IV criteria across all four substances, captured valuable genetic information across the vulnerability spectrum.

The two phenotypes used in this study were both aggregate measures of overall dependence. Although their top genetic signals did not overlap (i.e. the same SNP did not reach statistical significance for both phenotypes), there was evidence of association for the other phenotype for the two SNPs that attained genome-wide significance (see Supporting Information Table S1 for additional comparisons). The difference in magnitude of *P*-value is not surprising given the arguable validity of the diagnostic cutoff (i.e. three or more of the seven dependence criteria) implemented in DSM-IV, which likely excluded from affected status (for ANYDEP), a number of individuals who may have met criteria for abuse or endorsed 1–2 dependence symptoms across one or even multiple drugs, and thus did not qualify for dependence. Viewed alternatively, the unaffected individuals for ANYDEP represent a heterogeneous group varying in severity. Such variability was better captured by QUANTDEP, which while not taking abuse criteria into account, was a better approximation of the range of vulnerability to substance-related problems. Thus, it is likely that ANYDEP reflects the more severe of the QUANTDEP scores. Finally, the possibility that our findings reflect false positives cannot be excluded.

There have been multiple prior GWAS that have utilized symptom counts and factor scores of alcohol dependence criteria (Yang *et al.* 2012; Vrieze *et al.* 2014) but only one attempted to combine indices of alcohol (consumption and dependence), nicotine and drug misuse (with disinhibition measures) using hierarchical factorial analyses for GWAS. In that study, McGue and colleagues (McGue *et al.* 2013) reported on four SNPs associated with multiple first-order (e.g. alcohol consumption, alcohol dependence, illicit drug dependence) and higher order externalizing factors. One of these SNPs, rs10037670 in *GALNT10*, with the highest association for illicit drug dependence factor ($P = 3.8 \times 10^{-6}$) was modestly associated in this study with both ANYDEP ($P = 0.0029$) and QUANTDEP ($P = 0.0067$).

There are several strengths of this study design, the first being the use of families densely affected with alcohol-dependent individuals. Family (Merikangas *et al.* 1998; Nurnberger *et al.* 2004) and twin (Tsuang *et al.* 1998) studies suggest familial co-aggregation and heritable overlap across alcohol, cannabis, cocaine and opioids. Thus, this family-based COGA sample, enriched for dependence on multiple substances and shared genetic risk, allowed us to test for the association of common variants with risk for dependence across

multiple substances. A second strength of this study was the use of a family-based association design. This allowed us to examine association within a family consisting of members who endorsed criteria for dependence on different (or multiple) substances. Third, family-based analysis is robust to population substructures such as nuanced differences in ethnicity, which might occur with marry-in individuals of a different race, and in turn, affects the genetic diversity of the offspring.

Three caveats are worth considering. First, only a small subset of individuals met DSM-IV criteria for opioid and cocaine dependence. Thus, it is possible that results pertain more closely to lower liabilities to these substances. Second, we did not include nicotine dependence criteria in this analysis. As we were interested in a confirmatory model of unidimensional genetic risk, we elected to exclude nicotine symptoms based on published evidence for a preponderance of non-overlapping genetic influences on these criteria. Finally, we elected not to utilize abuse criteria (nor craving), despite DSM-5-related changes. Previous findings in COGA families demonstrated that abuse did not aggregate in relatives of alcohol-dependent probands (Nurnberger *et al.* 2004). In addition, the extant psychometric literature suggests that with the exception of hazardous use, which is frequently endorsed to the exclusion of other abuse or dependence criteria, the remaining abuse criteria (failure to fulfill role obligations and social/interpersonal problems) and craving are infrequently endorsed in the absence of co-occurring dependence criteria. This is particularly true in samples ascertained for substance use disorders, such as SAGE and COGA. For instance, in SAGE, of those who reported no alcohol dependence criteria, only 12–26 individuals endorsed at least one abuse criterion other than hazardous use (which was endorsed by 140). Hence, it is unlikely that the exclusion of abuse criteria resulted in our inability to capture a relevant portion of the liability continuum.

In summary, this study provides evidence that there are common variants that contribute to the risk for a general liability to substance dependence, defined qualitatively and quantitatively. The results of this study require replication in independent samples to further explore whether overall dependence on multiple or individual substances is associated with the SNPs in these regions.

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Authors Contribution

The authors LW, AA, KB and TF were responsible for the study concept and design, and drafted the manuscript. MH, VH, JIN, MS, LB and BP were responsible for sample acquisition and characterization. AMG, J-CW, MK, SB, NL were responsible for acquisition of genotype data. LW, AA, and MK conducted statistical analysis. AB, DD, DLK, JES, JAT, XX, HJE, BP provided critical revision of the manuscript for important intellectual content. All authors critically reviewed content and approved final version for publication.

Laura J. Bierut, Alison Goate, and Jen-Chyong Wang are listed as an inventors on Issued US Patent 8080,371, 'Markers for Addiction' covering the use of certain SNPs in determining the diagnosis, prognosis and treatment of addiction. All other authors report no biomedical financial interests or potential conflicts of interest.

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SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article at the publisher's web-site:

Figure S1 Confirmatory factor loadings: (a) COGA sample; (b) SAGE sample

Figure S2 QQ plots: (a) ANYDEP, genomic control *P*-values; (b) QUANTDEP

Table S1 Summary of association results for SNPs with $P < 10^{-5}$ for either trait. Results with $P < 10^{-5}$ are in bold