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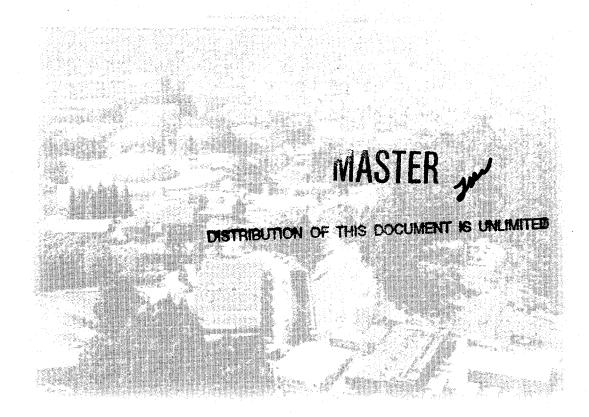
Projected Regional Impacts of Appliance Efficiency Standards for the U.S. Residential Sector

Jonathan G. Koomey, Susan A. Mahler, Carrie A. Webber, and James E. McMahon **RECEIVED**

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PROJECTED REGIONAL IMPACTS OF APPLIANCE EFFICIENCY STANDARDS FOR THE U.S. RESIDENTIAL SECTOR

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February 1998

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ABSTRACT

Minimum efficiency standards for residential appliances have been implemented in the U.S. for a large number of residential end-uses. This analysis assesses the potential energy, dollar, and carbon impacts of those standards at the state and national levels. In this assessment, we use historical and projected shipments of equipment, a detailed stock accounting model, measured and estimated unit energy savings associated with the standards, estimated incremental capital costs, demographic data, and fuel price data at the finest level of geographic disaggregation available. We explicitly account for improvements in efficiency likely to occur in the absence of standards, but because our method for characterizing these exogenous improvements probably overestimates them, both the energy and cost savings presented in this report represent lower bounds to the true benefits.

Energy savings from the standards are substantial. Total primary energy savings will peak in 2004 at about 0.7 exajoules/year (1 exajoule = 10^{18} joules = 1 quadrillion Btu = 10^{15} Btus). Cumulative primary energy savings during the 1990 to 2010 period total 10.6 exajoules.

Efficiency standards in the residential sector have been a highly cost-effective policy instrument for promoting energy efficiency. Projected cumulative present-valued dollar savings after subtracting out the additional cost of the more efficient equipment are about \$33 billion from 1990 to 2010. Even if fuel and electricity prices decline substantially by 2010, as some industry observers predict, the standards remain robustly cost effective, with net savings still totaling \$29 billion in this case. Each dollar of federal expenditure on implementing the standards will contribute \$165 of net present-valued savings to the US economy over the 1990 to 2010 period. Average benefit/cost ratios for these standards are about 3.5 for the U.S. as a whole.

Projected carbon reductions are approximately 9 million metric tons of carbon/year from 2000 through 2010, an amount roughly equal to 4% of carbon emissions in 1990. Because these standards save energy at a cost less than the price of that energy, the resulting carbon emission reductions are achieved at negative net cost to society. Minimum efficiency standards reduce pollution and save money at the same time.

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I. INTRODUCTION

There has been an ongoing debate in the economics and policy analysis communities over whether carbon emissions can be reduced at zero or negative net costs. On the one side, arguing that carbon emissions reductions must always cost something, are economists convinced that the economy is currently at a more or less optimal equilibrium, and that any deviations must introduce inefficiency and hence societal costs. On the other side, arguing that there are many cost effective technologies and policies to reduce energy use and hence carbon emissions, are technologists, students of end-use markets, and some economists (Krause et al. 1993).

These two contrasting views collide most forcefully in the context of the minimum efficiency standards enacted by the National Appliance Energy Conservation Act of 1987 (NAECA). The first nation-wide U.S. appliance standards went into force in 1988. Since then, national standards have been put into place for several more appliances both through updates to NAECA and through the Energy Policy Act of 1992 (EPAct 1992). Most standard levels were determined by a consensus among manufacturers and environmental advocates. Until 1994, these regulations had broad political support, but some proposed changes to the standards have led to public criticism of the regulations and the process by which they are developed. Some have even called for the abolition of the enabling legislation related to the standards (Thorpe 1995).

This analysis assesses in a comprehensive, transparent, and well-documented fashion, the energy, environmental, and economic effects of current minimum efficiency standards for residential appliances. We calculate energy savings, bill savings, net dollar savings, and carbon emissions reductions at the national and state levels.

The results of these calculations give insights into the debate over the costs of reducing carbon emissions. If the appliance standards save money for society and reduce pollution at the same time, they result in carbon emissions reductions at negative net cost. If the standards impose costs on society that exceed the benefits, the cost of reducing carbon emissions using this policy mechanism is greater than zero, lending credence to the economists' claims.

This report first discusses the methodology and data used in the analysis and summarizes results. It then discusses the key issues raised by the results and proposes future work for improving the analysis.

II. METHODOLOGY AND DATA

Table 1a shows the standards being analyzed, the year each standard was enacted, and the fuel types affected by each standard. Table 1b shows the standards that are not analyzed here, which consist primarily of those in the Energy Policy Act of 1992 (EPACT) that affected commercial and industrial sector equipment. Building standards are also not analyzed in this report.

Table 2 shows the primary data inputs. For the purpose of this analysis, it was necessary to divide the standards by fuel type. This means that a single standard may be treated as several different products in our analysis. For example, water heating end-uses, such as clothes washers, dishwashers, showers, and faucets, were divided between the fuel types according to the type of water heater used in the home. Since dishwashers affected by the standards achieve some electricity savings from motor improvements, it was necessary to treat those savings separately from water heating savings. Thus, dishwasher motors appear as a separate product. The 30 "products" shown actually represent only 19 standards.

		Date* of	Fue	l types affe	cted	Comments
End-use	Technology type	Standard	Electricity	Natural Gas	Distillate Oil	
Central heat		1992	НР	Furnace	Furnace	
Room heat	j	1990	ļ	X	Х	
Air conditioning	Room	1990	х			
	Central	1992	X			
	HP	1992	X			
Water heat	Water heater	1990	X	X	Х	
	Showers	1994	Х	X	Х	
	Faucets	1994	X	X	X	
Refrigerators		1990	Х			
Freezers		1990	Х			
Refrigerators		1993	X		į	
Freezers		1993	X			
Ranges and ovens	1	1990	Х			
Dryer		1988		X		No pilot lights for gas dryers
Dishwasher		1988	X	X	X	Must allow drying without heat
Clothes washer		1988	X	X	X	Must have cold rinse cycle
Dryer		1994	Х	X	1	May 14
Dishwasher		1994	X	X	X	May 14
Clothes washer		1994	X	X	X	May 14
Pool heater	1	1990]	<u>-</u>

		Date* of	Fuel	types affe	cted	Comments
End-use	Sector	Standard	Electricity	Natural Gas	Distillate Oil	
Refrigerators & freezers	Residential	2001	X			July 1
Room air conditioners	Residential	2000	X			October 1
Fluorescent lamps	All	1994+	X		l	
Fluorescent lamp ballasts	All	1990	X			
HID lamps	Indust./comml	1994+	X		ŀ	
Incandescent reflectors	All	1994+	X		1	
Motors	All	1994	X		ľ	
Distribution transformers	Electricity	1994	X		l	
Packaged AC & htg	Commercial	1994	X	X	x	
Packaged terminal AC & HP	Commercial	1994	X	X	X	
Warm air furnaces	Commercial	1994		X	X	
Packaged boilers	Commercial	1994		X	X	
Storage water heaters	Commercial	1994	X	X	X	
Instantaneous water heaters	Commercial	1994	Х	X	Х	
Toilets	All	1994			1	Affects water use on

^{*}All standards enter into effect on January 1st of the year indicated, unless otherwise noted.

We estimated unit energy savings for each of the standards after reviewing a variety of sources (see Appendix A). These savings per appliance or per household were multiplied by the number of appliances in the U.S. that were affected by the standards existing in any year. The annual energy savings is a function of business-as-usual efficiency trends, historical and projected equipment shipments, and the retirement rate for each appliance. These annual savings are then distributed to the state level based on regional equipment saturations, state level housing starts or stocks, and climatic variations (for heating and cooling equipment). A more detailed description of the methodology follows below, and a specific example (Central Air Conditioners) is contained in Appendix C.

Table 2: Inputs to the analysis

Table 2: In	puts to	the	anal	ysis						
	Year			Baseline	Standards			Initial		CCE
	Standard		Life	Energy	Energy	Initial	UES	Incremental	Cost	In Base
End-Uses	Enacted	Life	Notes	Consumption	Consumption	UES	Notes	cost / unit	Notes	Year
Electric		years		kWh/yr	kWh/yr	kWh/yr		1995\$/unit		\$/kWh
HP	1992	14	1	5912	5669	243	5	90.34	5	0.0425
RAC	1990	15	1	763	666	96.4	7	8.11	17	0.0092
CAC	1992	12	1	1962	1857	105	5	50.96	5	0.0611
Refrigerator	1990	19	1	978	903	75	8	27.68	18	0.0357
Freezer	1990	21	1	687	621	66	8	15.93	19	0.0223
Refrigerator	1993	19	1	893	690	203	6	86.35	6	0.0412
Freezer	1993	21	1	568	468	100	6	58.45	6	0.0539
Clothes Dryer	1994	17	1	880	807	73	6	37.02	6	0.0519
Dishwasher (WH)	1994	13	1	537	429	108	9	22.69	20	0.0251
Clothes Washer	1994	14	1	767	521	246	9	2.32	15	0.0011
Water Heater	1990	13	1	5035	4773	262	10	33.47	21	0.0153
Showers	1994	20	2	1849	1360	489	9	49.11	15	0.0095
Faucets	1994	20	2	299	220	79	9	12.28	15	0.0147
Dishwasher Motors	1994	13	1	167	133	34	6	10.00	20	0.0352
Natural Gas		years		MMBtu/yr	MMBtu/yr	MMBtu/yr		1995\$/unit		\$/MMBtu
Central Heat	1992	19	3	61.00	59.45	1.55	11	28.96	11	1.81
Room heat	1990	15	.3	38.50	36.35	2.15	. 13	1.16	17	0.06
Range	1990	19	4	3.40	1.30	2.1	14	55.60	14	2.56
Oven	1990	19	4	3.00	1.40	1.6	14	54.44	14	3.29
Clothes Dryer	1994	17	1	3.70	3.19	0.51	12	31.27	12	6.28
Dishwasher (WH)	1994	13	1	2.40	1.92	0.48	9	22.69	20	5.66
Clothes Washer	1994	14	1	3.40	2.32	1.08	9	2.32	15	0.25
Water Heater	1990	13	1	36.16	31.73	4.43	10	41.25	22	1.11
Showers	1994	20	2	8.13	5.98	2.15	9	49.11	15	2.16
Faucets	1994	20	2	1.32	0.97	0.35	9	12.28	15	3.33
Oil		years		MMBtu/yr	MMBtu/yr	MMBtu/yr		1995\$/unit		\$/MMBtu
Central Heat	1992	20	3	67.84	66.97	0.87	16	34.51	23	3.74
Dishwasher (WH)	1994	13	1	2.40	1.92	0.48	9	22.69	20	5.66
Clothes Washer	1994	14	1	3.40	2.32	1.08	9	2.32	15	0.25
Water Heater	1990	13	1	36.16	31.73	4.43	10	41.25	24	1.11
Showers	1994	20	2	8.13	5.98	2.15	9	49.11	15	2.16
Faucets	1994	20	2	1.32	0.97	0.35	9	12.28	15	3.33

UES = Unit Energy Savings; CCE = Cost of Conserved Energy, calculated using the lifetimes in Column 3 and a real discount rate of 7%.

Other notes to Table 2 are contained in Appendix A.

Shipment data and calculations

Shipment data for 1990 through 1994 are from Appliance Manufacturer Magazine (1995) (except for faucets, showers, and oil water heaters for which published shipment data were not available). All shipment data (1990-2010) for oil water heaters are from the LBNL Residential Energy Model (LBNL REM 1995). Shipments for faucets and showers are based on new housing starts and retrofits, assuming a 20 year lifetime for these fixtures (Koomey et al. 1994).

Shipments for 1995 through 2010 are estimated by applying forecasted average annual shipment growth rates projected by LBNL REM (1995) to the 1994 shipment data. The breakdown of shipments to new and existing households is estimated using LBNL REM (1995). Historical and projected shipments for all end-uses are shown in *Table D-1* in Appendix D.

Number of appliances meeting standards

The savings from appliance standards accrue gradually due to the large stock of appliances and their long lifetimes (ranging from 13 to 21 years for the appliances analyzed). In a particular year, energy savings accrue on all high efficiency units in houses in that year, including both new units and the accumulated stock of units meeting standards. As described above, we used historical appliance shipments and shipment forecasts to estimate the growing stock of appliances affected by standards. The stock of appliances meeting standards in a given year is the total accumulated shipments of post-standards appliances, less the number of those appliances expected to be already retired from use by that year.

A retirement function is used to estimate the retirement rate of appliances. In this linear function no appliances retire in the first 2/3 of their average life, and all units are retired by 4/3 of their average life. This retirement function is an approximation taken from work by McMahon, and it is described in Appendix B and shown in *Figure B-1*. This function is applied to the projected shipments to determine the number of devices purchased in a given year still existing in 1995, 2000, or 2010. Those devices still existing in a given year that were affected by efficiency standards are termed the "Applicable Stock".

Initial unit energy consumption and savings

The initial unit energy savings (UES) is the difference between the annual unit energy consumption (UEC) of a unit meeting the standards and the UEC of the average unit that would have been shipped in the absence of standards. The UECs used in the analysis are shipment-weighted average energy consumption for new units purchased in the first year of the standard. Actual energy use for a particular appliance will depend on the capacity of the appliance and the usage pattern. Baseline UECs were collected from a variety of sources for the appliances analyzed. UECs of appliances meeting standards were calculated from the efficiency level required by the standards, using the same capacity and usage data as the baseline. The Unit Energy Savings (UES) for faucets and showers is per household, while for all other appliances the UES is per unit.

The initial UESs were adjusted downward in years after the standard is implemented using the efficiency trends scaling factors discussed below. These factors account for the natural progress in efficiency expected in the baseline case.

We separated the energy savings from efficiency standards on dishwashers into motor savings and hot water savings. Current standards on clothes washers only affect hot water use, so no motor savings are specified for this end-use. We estimated the fuel breakdown of hot water supplied to dishwashers and clothes washers by using water heater saturation data from EIA (US DOE 1995a), and split the expected hot water savings into electricity, gas, and oil based on the saturations of each of the water heating fuels.

Initial incremental costs

Initial incremental costs were collected from a variety of sources. These are the estimated difference in the purchase price between a unit just meeting standards and the average unit sold before standards went into effect. For some appliances there was considerable variance between costs collected from different sources. In such cases, information about engineering costs provided the basis for our estimate. Incremental costs affect the calculation of net benefits and cash flows.

The initial incremental costs were adjusted downward in years after the standard is implemented using the efficiency trends scaling factors discussed below.

Efficiency trends in the absence of standards

Even in the absence of standards, the energy efficiency of appliances tends to improve gradually over time due to technological advances. For some products, this natural rate of technological improvement would have eventually reduced unit energy consumption to the level imposed by standards. The unit energy savings will be lower for units purchased in the future than for units purchased today, because the baseline for future units is lower. We approximated this effect by comparing historical annual trends in energy efficiency (%/year) to the percent efficiency improvement due to each standard in the first year that it was effective (%), then dividing one by the other to calculate the number of years it would take for the baseline to overtake the improvement due to the standard. These trends are shown by end-use in Appendix D, Table D-2.

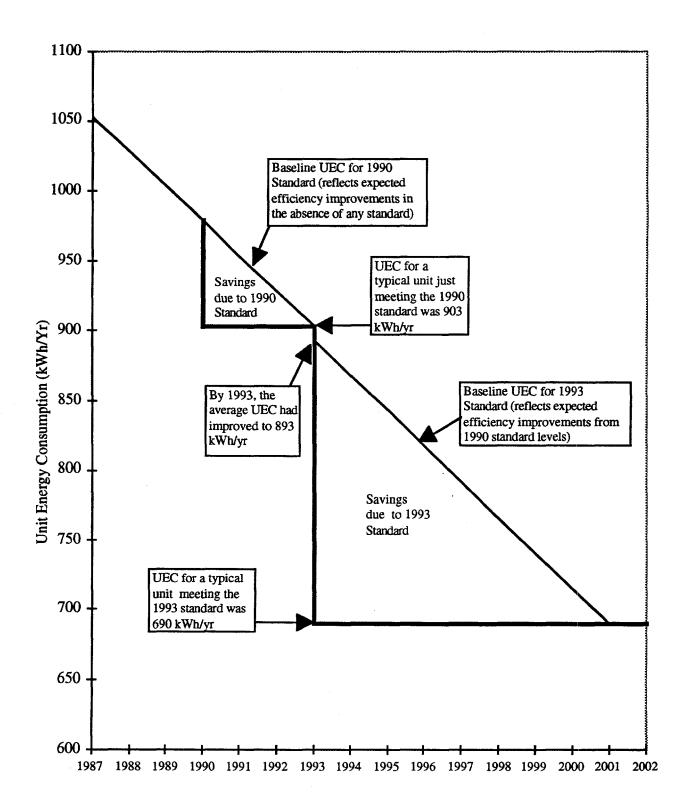
Based on these calculations, we created Scaling Factors that would linearly scale down the unit energy savings and incremental cost to zero over the effective lifetime of the standard. Figure 1 shows the baseline UEC and standards levels over time for the 1990 and 1993 refrigerator standards. Table D-2 in Appendix D shows the Scaling factors for all the end-uses in every year.

These scaling factors are a crude characterization of a complex process. The historical data upon which we relied to derive the annual rates of improvements in efficiency are based on years in the mid-1980s that are not entirely free of confounding factors. In particular, the imposition of state efficiency standards for certain end uses, as well as the prospect of federal standards that seemed likely to be enacted as early as 1986, may have influenced the more forward-looking manufacturers to accelerate adoption of efficiency technologies beyond those that would have been adopted in the absence of standards. State standards were implemented in California in the 1970s and early 1980s, and in Florida, Kansas, New York, and Massachusetts in the early to mid-1980s (Nadel and Pye 1996). Utility efficiency programs may also have influenced these trends for certain end uses.

State standards and utility programs both affected the historical efficiency data in ways that would likely lead to overestimates of the efficiency improvements to be expected from market forces in the absence of standards. We believe therefore that our method of incorporating these factors make our estimates of total energy and net dollar savings *lower bounds* instead of *best estimates*.

¹Incremental costs are also affected by these efficiency trends because if the baseline unit is more efficient than the standard, the cost of achieving the standard level compared to the baseline is zero.

Figure 1: Unit energy consumption for refrigerators-baseline and standards



Prices of fuels and electricity

The value of regional energy savings is calculated using energy price data at the state level. National fuel price data are for 1995 from the Energy Information Administration's (EIA) 1996 Annual Energy Outlook (AEO) (US DOE 1996a). National fuel prices are converted to state fuel prices assuming the same relationships between state and national fuel prices as existed in 1993, taken from US DOE (1995b). Fuel prices are assumed for simplicity to remain constant over the analysis period. Electricity and natural gas prices are expected to remain flat through 2015 (0% annual increase for electricity, and 0.1% natural gas), while oil prices are expected to rise (1.2% annually). We decided that the virtue of simplicity in the calculations outweighed the slight disadvantage of underestimating the net benefits of standards on oil-fired equipment (which is only a small fraction of the total, in any case). See Appendix D, Table D-4 for the fuel prices used in this analysis. We also create a sensitivity case with declining fuel prices that is described below.

Carbon emissions factors

Natural gas and distillate oil carbon emissions factors, which do not vary regionally or over time, are taken from US DOE (1996d). National carbon emissions factors for electricity are taken from Koomey et al. (1993) in 1990 and from AEO 96 for 1995 to 2010 (US DOE 1996a). We estimate regional electricity emissions factors for Census Divisions in 1995 from the Electric Power Annual (US DOE 1996b, US DOE 1996c),² and we use the relationship between regional and national electricity carbon emissions factors from this source to estimate regional emissions in future years. Our approach assumes that the relationship between regional and national emissions remains constant over time. See Appendix D, Table D-6 for the national and regional emissions factors.

We assume that the average electricity emissions factor calculated as described in the previous paragraph is an accurate representation of the carbon emissions that would be avoided if electricity demand is reduced by the standards. If the power plants avoided by standards are different than the average plants, then the carbon savings will be different than calculated here. There is currently no simple way to assess marginal carbon emissions factors for electricity, so for simplicity we use the average emissions factors to calculate carbon savings.

We include only direct emissions from the combustion of fuels. Emissions associated with the extraction, processing, and transportation of fuels are not included.

Other data

Additional data used for the disaggregate analysis were demographic data, housing stocks, new housing permits, appliance saturations, and heating and cooling load hours (US DOE 1983), all at the finest level of geographic resolution available (usually Census Divisions or states, sometimes counties). These data are presented in summary form in Appendix D.

Calculation of annual and cumulative energy savings for the US

The initial unit energy savings associated with each standard is multiplied by the scaling factor in any year to determine the unit energy savings for equipment purchased in that year. This unit energy savings is then multiplied by the number of devices purchased in that year that are still existing in either 1995, 2000, or 2010 to calculate the annual energy savings associated with that

²We split the Mountain and Pacific Census divisions into North and South because they cover a large geographic area with wide variation in generation technologies.

cohort of equipment in those years. Since the equipment existing in 2000 (for example) consists of devices purchased in multiple years before 2000, the preceding calculation is repeated for all devices purchased before 2000 that are still existing in 2000, and the results are summed across all devices existing in 2000. Equation 1 summarizes this calculation.

$$S_i^{G,A} = \sum_{i=s}^{T} Applicable \ stock_i^{G,A} x \ UES_0^{G,A} x \ SF_i^{A}$$
 (1)

Where:

 $S_i^{G,A} =$ energy savings in year i for appliance A in region G; T = target year (e.g., 1995, 2000, 2010); i = years up to and including T; s = start date for standard; G = disaggregate geographic level (county, state, census division); A = appliance/end-use type; Applicable $stock_i^{G,A} =$ shipments minus retirements for appliance A in year i at geographic level G; $UES_0^{G,A} =$ initial unit energy savings for appliance A (regional variations only captured for heating and cooling end-uses); and $SF_i^A =$ scaling factor in year i for appliance A.

Distribution of savings to the state level

Estimation of the geographic distribution of national appliance shipment data is based on the finest geographic disaggregation available. Residential Energy Consumption Survey (RECS) appliance saturations are used for the 9 Census divisions (US DOE 1995a) combined with new housing permit and total household data from the 1994 Census at the county level (US Bureau of the Census 1994). A ratio of RECS saturation data at the 9 Census divisions and the national level is used to estimate appliance stock in a geographic area. For county and state level calculations, the county or state is assumed to have the same saturation as the Census division in which it falls. National level shipments are divided to the county level by weighting the total shipments with the fraction of households and new housing permits within a county. Equation 2 is used to distribute applicable stock to counties and states.

$$Applicable \ stock_{i}^{G,A} = Applicable \ stock_{i}^{N,A} \left\lceil F_{i}^{Replacement} \left(\frac{Sat_{exist}^{G}}{Sat_{exist}^{N}} \right) \left(\frac{HH^{G}}{HH^{N}} \right) + F_{i}^{New} \left(\frac{Sat_{new}^{G}}{Sat_{new}^{N}} \right) \left(\frac{NHP^{G}}{NHP^{N}} \right) \right\rceil (2)$$

where

Applicable $stock_i^{G,A}$ = shipments minus retirements for appliance A in year i at geographic level G; disaggregate geographic level (county, state, census division); G =A = appliance/end-use type; i = year i; national level; fraction of appliances that are replacement or new (at the national level from Sat =saturation of appliances (from the 1993 RECS at the census division and national level). Replacement saturations are those in homes built before 1987 and new saturations are those in homes built after 1986; HH =households (from the 1994 Census at the county and national level); and NHP =new housing permits (from the 1994 Census at the county and national level). In the case of faucets and showers the saturation is not applied, since the estimated energy savings and costs for these end-uses are per average household.

Energy savings for space heating and cooling appliances are also adjusted by using the ratio of regional heating and cooling load hours (HLH, CLH) to the national averages (US DOE 1983). State level values for HLH and CLH are used to adjust the UES calculations, as shown in Equations 3a and 3b. Heat pump heating and water heating regional energy consumption variations have not been captured in this analysis.

$$UES_0^{G,A} = UES_0^{N,A} \left(\frac{CLH^G}{CLH^N} \right)$$
 for cooling equipment (3a)

$$UES_0^{G,A} = UES_0^{N,A} \left(\frac{HLH^G}{HLH^N} \right)$$
 for heating equipment (3b)

Net savings calculations

We used two methods to estimate economic impacts: annualized costs and cash flow. In the first method, we spread the incremental cost over the lifetime of the appliance so that the pattern of expenditures matches the flow of bill savings. It is as if the appliance is purchased using a loan, with loan payments being spread over the lifetime of the appliance. Each month, the purchaser of the appliance makes a payment, but receives savings on her energy bill. This method smoothes net savings over time.

Incremental costs of the appliances are annualized in 1995 dollars with a real discount rate of 7 percent. The annualized net dollar savings in year i (ANSiA), which is the main economic indicator used in this analysis, is calculated using Equation 4:

$$ANS_i^{G,A} = S_i^{G,A} \times P_i^G - \sum_{i=s}^{T} Applicable \ stock_i^{G,A} \times CRF \times SF_i^A \times IIC^A$$
 (4)

where

 $S_i^{G,A}$ = energy savings in year i for appliance A in region G;

 P_f^G = price of fuel f (electricity, natural gas, or oil) in region G; CRF = the capital recovery factor, calculated as shown in Appendix A;

IIC^A = initial incremental cost for the more efficient equipment(\$\u00edunit); and the other parameters are as described above.

Our method assumes, for simplicity that the average price of fuels or electricity is an accurate reflection of their true cost to society. We do not attempt to assess the marginal cost of electricity, because there is no widely accepted method to calculate marginal costs on a regional basis across the U.S.

The second method looks at the cash flow over the lifetime of the investment, assuming that the appliance is paid for in full when it is installed. Purchasers incur the incremental cost when the appliance is purchased, but the benefits of higher energy efficiency are spread over the lifetime of the appliance. This means that the year an appliance is purchased, costs generally exceed energy bill savings (i.e., the net benefit is negative in the first year), but in subsequent years the net benefit jumps up since no additional costs are incurred after the year of equipment installation.

To calculate net savings in year i (NSi,A) in terms of actual cash flows, we used Equation 5:

$$NS_i^{G,A} = S_i^{G,A} \times P_f^G - Shipments_i^{G,A} \times SF_i^A \times IIC^A$$
(5)

where

Shipments $_i^{G,A}$ = shipments for appliance A in year i at geographic level G, and the other parameters are as described above.

Equation 4 calculates the costs spread over time as if they are paid as the savings accrue (i.e., the annualized costs approach), while Equation 5 calculates the costs (cash flows) as they are incurred by society.

Whenever we express cumulative dollar values, we calculate present-values to 1995 using a 7% real discount rate. For example, the cumulative present value of annualized net savings is calculated using Equation 6:

$$PV(ANS_i) = \sum_{i=1990}^{2010} \frac{ANS_i}{(1+d)^{(i-1995)}}$$
 (6)

where d is the discount rate (7% real), and ANS; is as defined above.

III. RESULTS

National energy savings

As summarized in **Table 3** and shown in *Tables E.1-E.6* in Appendix E, standards for the appliances analyzed are expected to save a total of 10.6 exajoules (10 quads) of primary energy between 1990 and 2010.³ About 57% of this savings is electricity, 41.4% natural gas, and only 1.5% distillate oil. Annual energy savings will increase as energy efficient appliances replace the existing stock, peaking in 2004 at 0.69 EJ (0.65 quads). These savings represent more than 3% of the projected residential energy consumption in 2004.⁴

The largest cumulative savings for the analysis period come from the standard on showerheads, which saves roughly 2.2 EJ (2.1 quads) of electric, gas, and oil water heating energy from 1994 to 2010. Following close behind is the gas water heater standard, which saves a total of 2 EJ (1.9 quads) through 2010. The 1993 refrigerator standard saves 1.35 EJ (1.28 quads) of primary energy during the period, while the other standards individually each save less than 1 EJ.

Electrical appliances accounted for 56% of total annual primary energy savings in 1995, and are projected to grow to 60% of annual energy savings by 2010. Savings due to refrigerator and water heater standards dominate early in the analysis period, but savings from low-flow shower fixtures grow rapidly, and by 2004 represent the largest savings in the electrical appliance category. The largest cumulative savings in electric appliances during the analysis period are due to the

³Electricity is converted from site to primary energy using a factor of 3.165 kWh primary per 1 kWh site electricity, which corresponds to 10,800 Btu/kWh. 1 exajoule = 10^{18} joules. 1 quad = quadrillion Btus = 10^{15} Btus. 1 Btu = 1055.1 Joules.

⁴ The Annual Energy Outlook (1996) forecast for total residential energy consumption is 20.6 EJ (19.54 quads) in 2004.

			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Annual in 2010				Cum	Cumulative 1990-2010	010	
		Primary					Primary				
		Energy		Bill	Incremental	ž	Energy	Carbon	Ħ	Incremental	Net PV
7	9	Savings	Savings	Savings	Costs	Benefit	Savings	Savings	Savings	Costs	Benefit
CAC	Electricity	0	0.00	0	0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	112	1.70	S36	379	157
Clothes Washer	Electricity	52	0.75	390	S	385	721	10.37	3239	9	3198
Clothes Dryer	Electricity	51	0.74	397	235	163	200	7.19	2148	1291	857
Dishwasher	Electricity	25	0.35	186	55	131	283	4.00	1238	360	878
Dishwasher Motors	Electricity	20	0.28	150	29	88	228	3.22	866	407	592
Freezer 1990	Electricity	-	0.02	6	7	7	36	0.58	213	55	158
Freezer 1993	Electricity	9	80.0	45	56	16	106	1.57	541	338	203
Faucets	Electricity	19	0.27	153	25	128	207	2.85	894	152	743
Н	Electricity	0	0.00	-	0	0	46	0.67	262	129	133
Refrigerator 1990	Electricity	S	0.01	39	15	24	220	3.01	1228	507	720
Refrigerator 1993	Electricity	69	0.94	542	247	295	1348	18.57	6780	3229	3551
RAC	Electricity	_	0.02	12	-	10	214	3.12	1147	123	1024
Showers	Electricity	120	1.65	943	8	843	1278	17.62	5529	909	4922
Water Heater	Electricity	9	80.0	43	8	36	724	10.32	4186	740	3446
Central Heat	Natural gas	S	0.07	28	∞	19	132	1.81	532	158	374
Clothes Washer	Natural gas	31	0.42	181	1	174	427	5.85	1459	59	1400
Clothes Dryer	Natural gas	10	0.14	29	9	7	100	1.38	330	340	01-
Dishwasher	Natural gas	15	0.20	98	79	,	691	2.32	564	524	4
Faucets	Natural gas	12	0.16	73	38	35	128	1.76	415	227	188
Oven	Natural gas	18	0.25	111	23	54	237	3.25	840	454	386
Room heat	Natural gas		0.00	٥	0	0	19	0.25	92	~	76
Range	Natural gas	27	0.37	163	65	86	350	4.80	1243	523	720
Showers	Natural gas	74	1.02	451	151	299	792	10.86	2566	806	1658
Water Heater	Natural gas	42	0.58	250	45	205	2014	27.62	8268	1512	6756
Central Heat	Distillate oil	0	0.00	0	0	•	0	00.0	0	0	0
Clothes Washer	Distillate oil	2	0.04	15	0	15	31	0.59	123	4	118
Dishwasher	Distillate oil	-	0.02	7	. 9	7	12	0.23	47	38	6
Faucets	Distillate oil		0.02	7	4	4	12	0.23	45	21	23
Showers	Distillate oil	1	0.13	45	14	31	75	1.42	276	85	161
Water Heater	Distillate oil		0.01	4	-	3	25	0.47	117	19	8
Total	Electricity	374	5.24	2906	780	2125	6,026	84.8	28938	8355	20583
Total	Natural gas	234	3.21	1402	511	891	4,368	59.9	16309	4705	11603
Total	Distillate oil	12	0.23	26	25	54	156	3.0	609	168	441
Total	Ail	620	8.68	4387	1316	3071	10,550	147.7	45856	13229	32627

Petajoule = 10e15 joules.
 Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).
 Chamulative carbon emissions calculated using electricity emissions factor for 2010. The error introduced is small because emissions factors change little over the analysis period.
 Incremental costs based on annualized method. Cumulative costs and benefits present valued to 1995 at a 7% real discount rate.

refrigerator standards, but as with gas appliances, shower fixtures have the greatest savings potential beyond 2010.

Gas appliances account for 43% of annual primary energy savings in 1995, declining to 38% by 2010. In the beginning of the period, the largest savings among gas appliances come from the water heater standard. Gas water heating savings decline sharply, however, from about 0.15 EJ in 2000 to only 0.042 EJ in 2010. In contrast, savings due to the shower standard continue to grow through 2010, overtaking water heating savings in 2008 and growing to 32% of annual gas appliance savings by 2010. The cumulative savings for gas water heaters are higher for the analysis period, but by 2010, most of their savings potential has already been realized. The energy savings from shower fixtures will continue to grow beyond 2010.

Oil accounts for only a small part of total savings from appliance standards due to the fuel's small market share. The share of total savings due to oil-fired appliances grows from less than 1% in 1995 to just under 2% in 2010. Oil is the only fuel for which total savings continue to grow throughout the analysis period. The growth in savings from shower, faucet and dishwasher standards through 2010 swamps the declines in savings from the other appliances. Shower fixtures save the largest amount of energy among oil-fired appliances.

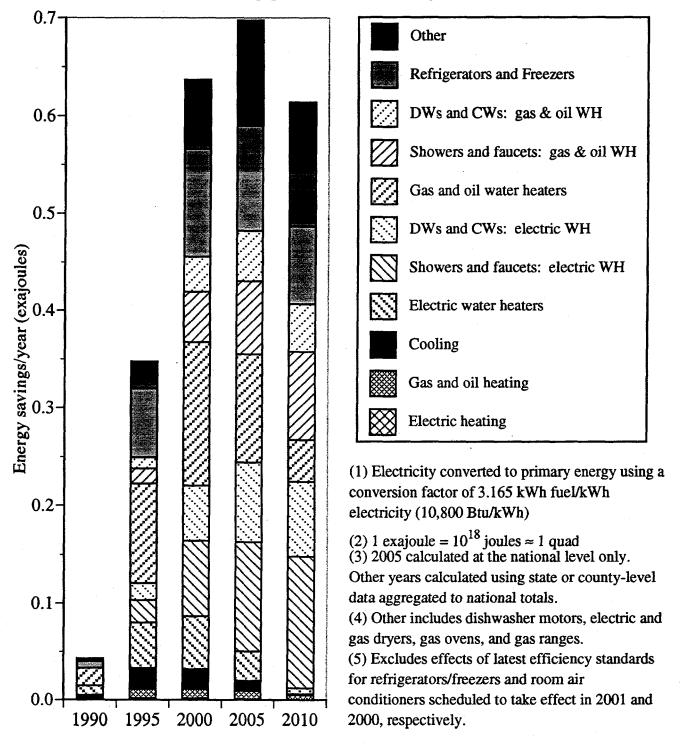
Time trends in energy savings

Annual savings from appliance standards increase sharply in the beginning of the analysis period. Each year, old, inefficient (pre-standards) appliances are replaced by new units meeting standards. Savings continue to accrue on these high efficiency units for as long as they remain in place. Over time, the projected technological improvement in the baseline begins to catch up to standards. Since the savings on each unit are calculated relative to the baseline unit in the year the unit was purchased, the savings on new units is lower in later years (since the baseline energy consumption has declined). Early in the period savings grow as the stock of high efficiency appliances increases, but they grow at a declining rate because the savings on the units purchased later have smaller energy savings. By 1999, appliances purchased after standards came into force are beginning to be retired and replaced. Although both the new unit and the unit being retired have the same unit energy consumption (they both meet standards) the new unit has lower savings because it is compared to a more efficient baseline.

Annual savings continue to increase through 2004, but in the absence of any new standards eventually the retirement effect begins to dominate and savings start to decline. By that time, the growth in the stock of appliances meeting standards has slowed considerably since most of the inefficient pre-standards appliances have already been replaced. Even more importantly, the energy savings for many appliances have been completely overtaken by baseline improvements.

This interaction between retirement patterns and natural rates of technological progress shapes the time trend of energy savings for different appliances. Figure 2 shows that savings due to showers and faucets continue to grow through 2010. This result is primarily due to their long lifetimes (20 years), the large decrease in unit energy consumption due to the 1994 standards, and very slow progress in the baseline efficiency. Dishwasher water heating, dishwasher motor, clothes dryer, gas range and gas oven savings (the last four comprising much of the "Other" category in this Figure) also continue to increase throughout the period. The energy savings per unit for ranges and ovens are high, but the most important factor in the continued growth of savings is the persistence of those savings. The energy savings on each of these products persists through 2010, due primarily to slow improvements in the baseline efficiency. In contrast, energy savings due to heating and air-conditioning appliances are generally overtaken by baseline improvements early (by 1996). The savings due to standards for these end-uses begin to decline in 2000.

Figure 2: Primary energy savings from residential appliance efficiency standards



Net national economic impact

Figure 3 shows total bill savings, total expenditures and net savings for the annualized cost method and Figure 4 shows the results for the cash flow method (in neither Figure are these results expressed in present-value terms). As expected, the net benefit from the cash flow calculation starts out lower than the net benefit from the annualized cost calculation, but by 2000 the cash flow net benefit is higher. Our annualized cost results imply that Americans will spend about \$4.8 billion in 2005 less than they would have without appliance standards, while spending \$1.4 billion on payments for the capital cost of the more efficient equipment, for a net annual savings of about \$3.4 billion.

Figure 5 summarizes the economic effects of the standards in 2010. For reference, we show baseline energy expenditures for 1995 and 2010, normalized to 100%. In percentage terms, virtually all of the savings from standards come from the non-space conditioning end-uses, even though about 45% of total residential primary energy is projected to be consumed for heating and cooling in 2010.

Water heater measures (which also include flow control measures like dishwashers, clothes washers, showerheads, and faucets) dominate total energy savings from the standards. Water heating savings comprise about 65% of total bill savings and 75% of total net savings in 2010 (the net savings are larger in percentage terms because, on average, the water heating standards measures are cheaper to implement than many of the other measures). More than 85% of cumulative net present-valued savings come from water heating and refrigeration measures.

The total present-value of bill savings from the standards (1990 to 2010) is about \$46 billion, and the present-value of annualized costs is about \$13 billion, for a total net present-value savings of \$33 billion. Savings will continue to accrue after 2010, but we do not account for those savings in this analysis. The overall benefit/cost ratio is about 3.5, and this ratio varies little when considering the savings and costs by fuel type. Benefit/cost ratios for specific end-uses range from just below 1.0 for the least cost-effective standard (natural gas dryers) to more than 100 for the most cost effective standard (natural gas room heating).

Figure 3: Net annual dollar savings and annualized incremental costs

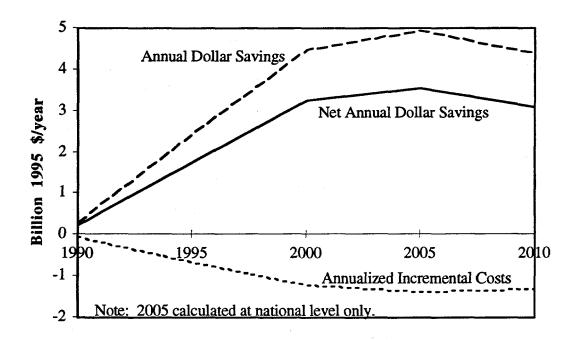


Figure 4: Net annual dollar savings and incremental costs (not annualized)

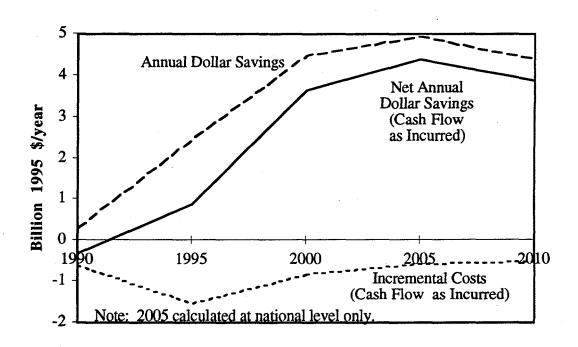
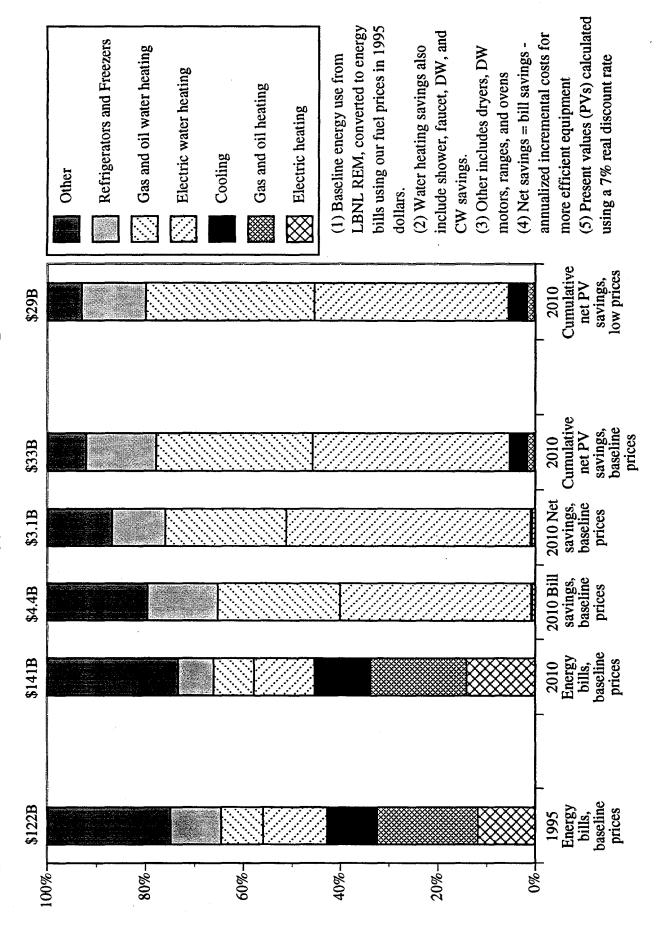


Figure 5: Baseline residential energy bills and bill savings from standards



Economic results by state

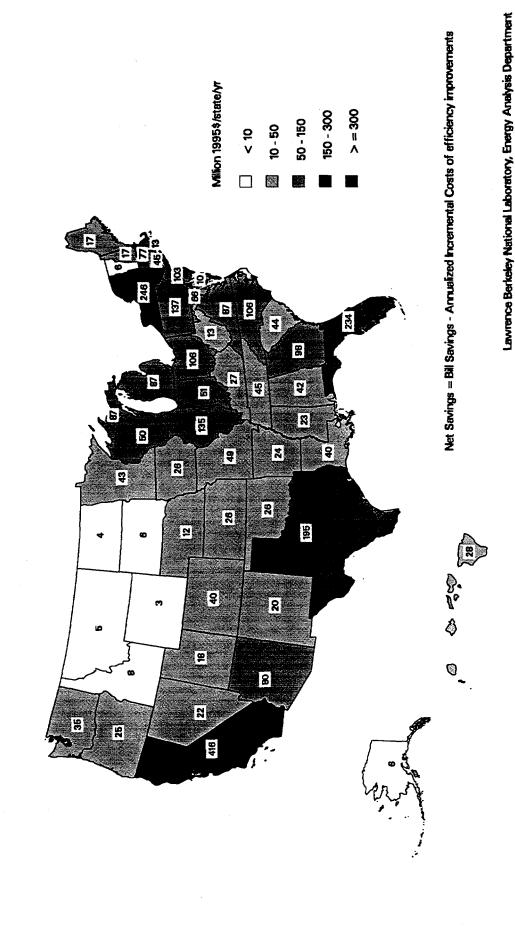
The results of the regional analysis are driven by population, climate, and energy prices. Heavily populated states have higher total energy savings because they have more appliances. Climate affects the usage, and thus the savings, of heating and cooling equipment. A homeowner in Florida runs her central air conditioner more hours per year than a homeowner in Maine, so she saves more on her electricity bill with a high efficiency unit. Finally, although energy prices do not directly affect energy savings in our calculations, they have a large effect on energy expenditures. The higher the cost of energy, the greater the monetary benefit of saving energy.

Figure 6 shows a map of state net dollar savings per year in 2010, as calculated in *Table E.6*. Population and fuel prices are the biggest determinants of state-level savings. Total net dollar savings in 2010 are highest in California (\$0.42 B/year for 69 Petajoules [PJ]/year⁵), followed by New York (\$0.25B/year for 32 PJ/yr), Florida (\$0.23 B/yr for 43 PJ/yr), and Texas (\$0.20 B/yr for 44 PJ/yr). Energy prices are much higher in New York than in Florida or Texas, which explains the different ranking of these two states in cumulative net benefits and energy savings.

Figure 7 shows annual state net savings on a per household basis, which average \$24/year. The largest per household savings are in Hawaii (\$54/year) and Arizona (\$41/year), cooling-dominated states with relatively high energy prices. Other states with high per household savings are located in the Southeast and Northeast. Montana has the lowest per household bill savings (\$13/year), largely because of low energy prices in that state.

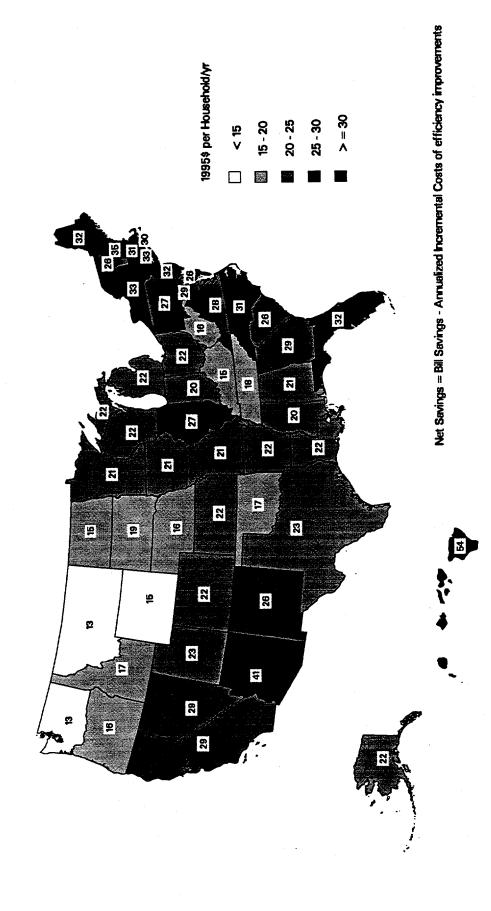
⁵There are 1000 Petajoules in 1 Exajoule.

Figure 6: Annual Net Savings from Appliance Efficiency Standards by State in 2010 Total US Annual Net Savings = \$3.1 Billion/yr



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Figure 7: Net Savings per Household from Residential Appliance Efficiency Standards by State in 2010 Total US Net Savings = \$3.1 Billion/yr, \$24/household/yr



Lawrence Berkeley National Laboratory, Energy Analysis Department

Carbon reductions due to standards

Annual carbon emissions reductions due to residential equipment efficiency standards are about 5 million metric tons (Mt-C) per year by 1995, and rise to about 9 Mt-C/year throughout the 2000 to 2010 period. Total residential carbon emissions for residences were about 253 Mt-C in 1990, so these savings are 2 to 4% of 1990 emissions (1990 is the baseline against which carbon emissions reductions are commonly benchmarked in the current climate negotiations). Cumulative carbon savings from 1990 to 2010 are about 148 Mt-C, of which 57% is attributable to electricity, 41% to natural gas, and 2% to oil.

The states with the largest carbon savings in 2010 are Texas (0.69 Mt-C/year), followed by California (0.63 Mt-C/year), Florida (0.60 Mt-C/year), and New York (0.41 Mt-C/year). California's electricity sector is much less carbon intensive than that of Texas or Florida, which explains why the Golden State's emissions savings are comparable to those of states with much lower energy savings attributable to the standards.

Uncertainty in future energy prices

The Energy Information Administration's 1996 Annual Energy Outlook forecasts virtually flat electricity and gas prices over the analysis period. For simplicity, we used 1995 prices throughout the analysis period for all fuel types. The Gas Research Institute (GRI), however, forecasts significant changes in electricity and gas prices through 2010 (GRI 1996). They project that electricity prices will decline 19% in real terms by 2010. Gas prices are expected to be slightly higher in 2000 than 1995, but fall to 11% below 1995 levels by 2010, while oil prices are expected to rise 5% in real terms over the period. We ran the model using the GRI forecasts in order to test the sensitivity of the results to fuel price changes.

The lower price forecasts reduce cumulative present-valued energy bill savings in 2010 about 10% (from \$46 billion to \$42 billion). However, all of the standards on electric end-uses remain cost-effective measures, in spite of lower bill savings, while all gas and oil measures remain cost effective, with the exception of gas clothes dryers (where the CCE is 18% higher than the gas price in 2010). The total net present-value of savings (after subtracting out the additional cost of the more efficient equipment) is still \$29B, and the benefit cost ratio falls to 3.2. Given the uncertainty in these fuel price forecasts, and the likelihood that the actual cost of improving the efficiency of equipment is lower than estimated here (see below), our analysis shows the efficiency standards to be robustly cost effective in the face of possible declines in energy prices.

IV. DISCUSSION

Engineering costs versus market data

There has been considerable controversy over whether engineering-based estimates of price increases for more efficient appliances accurately reflect changes in consumer prices and the total cost to society. Recent work by Greening et al. (1997) shows that there was no statistically significant increase in quality-adjusted market prices for refrigerators and freezers from 1987 to 1993, even though efficiency standards went into effect in 1990 and 1993. The observed historical declines in prices continued unabated after the standards, and both the number of models and the number of available features increased during the analysis period. This result is particularly surprising for the 1993 standards, which forced the manufacturers to change nearly all their models to meet the standards.

This work does not allow us to conclude that standards were costless, because the appliance manufacturers may have been forced to absorb the costs of re-engineering their production lines in

the face of increasing global competition and the monopsony power of the large retailers of such appliances. It does indicate that consumers did not face increased prices because of the standards, and they reaped large benefits in reduced energy bills because of the increased energy efficiency of these products, without sacrificing size or features.

We used incremental engineering costs as the basis for projected price for many of the appliances analyzed here. In the absence of further information, it is reasonable to conclude that the engineering-based costs are a sensible approximation for the total societal cost of improving the efficiency of appliances affected by the standards. Further research is necessary on whether these engineering-based costs accurately reflect the cost to society of improving technology once "learning-by-doing" effects are properly taken into account.

Cost-effectiveness of federal spending on minimum efficiency standards

As shown in Appendix D, Table D-9, total cumulative federal government expenditures to enforce all equipment standards are roughly \$200 million (also present-valued to 1995 using a 7% real discount rate), while total cumulative net present-value savings are \$33 billion. Every dollar of federal money spent on standards will therefore result in \$165 of net savings for U.S. consumers through 2010. This assessment of cost-effectiveness is a conservative one, because our estimate of total present-value savings is a lower bound, because in many cases the savings will continue past 2010, and because the costs are for both residential and commercial equipment standards while the benefits are for the residential standards alone (although this latter effect is not likely to lead to a large correction, since the bulk of federal funding has been allocated to residential standards).

Comparison to other studies

There have been several analyses of the potential impacts of standards in the past decade. One of the more recent analyses is that by Geller (1995), who estimates impacts of all national equipment standards, including commercial and industrial sector end-uses. Geller finds total annual energy savings for residential standards in 2000 that are comparable to ours, but his savings exceeds ours by a significant margin in 2010. The main reason for this difference in savings over time is our explicit treatment of baseline efficiency trends, which are not treated in the Geller report, and which reduce our total savings by about a factor of two in the later years of the forecast.

The correction for the baseline efficiency trends does not affect the overall societal benefit/cost results. The benefit/cost ratio for the NAECA standards is calculated by Geller to be 2.4, while for the NAECA updates (refrigerators and freezers in 1993, clothes washers, dishwashers, and dryers) the benefit/cost ratio in their report is 3.2. Our benefit/cost ratios for the same two groups of appliances are 3.9 and 2.3, respectively. Our calculations therefore show the NAECA standards to be more cost effective than does Geller, and the NAECA updates for refrigerators and freezers to be less cost effective.

Policy implications

The results above show that standards save society money, which implies that they are also reducing carbon emissions at negative net cost to society. We believe that our analysis includes the relevant costs and is an accurate assessment of the costs and benefits. It is the obligation of those who argue that there are hidden costs omitted from the calculations to identify those hidden costs and suggest practical methods to quantify them. Until empirical work demonstrates the existence of these hypothesized hidden costs, our results must be taken as an indication that negative net cost carbon reductions are both possible and achievable in practice. The ultimate size of such carbon reductions is of course a function of the characteristics of buildings and equipment, the available technology to improve energy efficiency, and the rate of improvement in that technology over time.

V. FUTURE WORK

When this analysis was undertaken, the new standards on residential refrigerators, freezers, and room air conditioners had not yet been finalized. Since that time, the U.S. Department of Energy has finalized these rules, which take effect on July 1, 2001 for refrigerator/freezers and October 1, 2000 for room air conditioners. The standards should save an additional 200 kWh/year for the average refrigerator, will save 60 kWh/year for the average freezer, and will increase room air conditioner efficiency by 4% to 20% (Wenzel et al. 1997). These savings should be added to those calculated above.

The analysis should be extended to standards for commercial appliances, including the NAECA standard for magnetic ballasts, and EPACT standards for lamps, electric motors, and commercial heating and cooling equipment. Regional data on commercial end-uses and building characteristics are currently far more limited than in the residential sector, so the geographic component of such work would of necessity be less detailed.

In addition, field studies of energy savings for different end-uses should be conducted, to verify that the projected energy savings are actually being achieved. State level data on sales-weighted efficiency should also be collected, to determine how much each state's efficiency deviates from the national averages used in this analysis. Finally, empirical analyses of actual prices for equipment before and after the imposition of standards should be conducted for end-uses other than refrigerators (which were treated in Greening et al.). As experience with efficiency standards spreads throughout the world (Energy in Buildings 1997), more data of this type should become available.

VI. CONCLUSIONS

This analysis demonstrates that efficiency standards in the residential sector have been a highly cost effective policy instrument for promoting energy efficiency and carbon reductions. Cumulative present-valued dollar savings after subtracting out the additional cost of the more efficient equipment are about \$33 billion from 1990 to 2010, while cumulative primary energy savings during this period total 10.6 exajoules. Even if fuel and electricity prices decline substantially by 2010, as some industry observers predict, the standards remain robustly cost effective, with net savings still totaling \$29 billion in this case. Because our method for incorporating improvements in efficiency in the absence of standards probably overestimates these improvements, our energy and cost savings estimates are probably lower bounds to the true values.

Average benefit/cost ratios for these standards are about 3.5 for the U.S. as a whole. Each dollar of federal expenditure on implementing the standards will contribute \$165 of net present-value savings to the US economy over the 1990 to 2010 period (accounting for savings accruing after 2010 would increase the size of the net benefits). Projected annual carbon reductions are approximately 9 million metric tons of carbon/year from 2000 through 2010, an amount roughly equal to 4% of carbon emissions in 1990. Because these standards save energy at a cost less than the prices of that energy, the resulting carbon emission reductions are achieved at negative net cost to society.

ACKNOWLEDGMENTS

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APPENDIX A: NOTES TO TABLE 2

- 1) Hanford et al. (1994), using the average lifetime from the LBL REM.
- 2) Koomey et al. (1994).
- 3) LBNL REM (8/96).
- 4) Technical support documents supporting efficiency standards rulemakings (US DOE 1993a, US DOE 1993b).
- 5) UES, baseline UEC, and incremental costs for heat pumps and central air conditioners are taken from Koomey et al. (1997b). Data for different regions were weighted by the applicable stock to obtain a national average.
- 6) UES, baseline UEC, and incremental costs are taken from Koomey et al. (1997b).
- 7) Shipment weighted average EER in 1984 (baseline) = 7.48 from Geller (1986). Shipment weighted average capacity = 10.7 kBtu/hr from Johnson et al. (1994). Hours of operation are 533 hours/yr from Rosenquist (1996). Shipment weighted average EER under standards is 8.53 based on market shares from US DOE (1993a).
- 8) UES and average UEC under standards are from Geller (1986). Baseline UEC was calculated from UES and the expected average UEC under standards (7.5% below ceilings).
- 9) UECs and UES are from unpublished intermediate spreadsheets used to produce the results in Koomey et al. (1994). UECs are weighted by home vintage. Showers and faucet UECs are weighted 2/3 to existing (pre-1994) homes, 1/3 to new (post-1993) homes. Clothes washers and dishwashers UECs are weighted half to existing homes and half to new homes. See that paper for documentation of the calculations.
- 10) UECs and UES are from Koomey et al. (1994).
- 11) Baseline UEC, UES, and incremental cost are from Koomey et al. (1997a). Data for different regions were weighted by the applicable stock to obtain a national average.
- 12) Baseline UEC, UES, and incremental cost are from Koomey et al. (1997a).
- 13) UES is from LBNL REM (10/95). Baseline UEC is a weighted average of UECs from Johnson et al. (1994).
- 14) Baseline UEC, UES, and incremental cost used are from Koomey et al. (1997a) for adding electronic ignition (glo-bar type for ovens) to units without power cords. The 1990 standard applied these measures only to units with power cords. This incremental cost may be high, since adding electronic ignition to a unit with no power cord is more expensive than for units with a power cord.
- 15) Incremental cost for aerators/showerheads are from Koomey et al. (1997a) and total \$60/unit. 80% is showers and 20% is aerators.
- 16) From Johnson et al. (1994), we found that the shipment-weighted AFUE of oil furnaces prior to standards was about 81% (higher than the minimum standard of 78%). Also the stock-weighted average UEC was 64.5 MMBtu/yr. We estimated that the average AFUE of units not meeting

standards was 77%. UECs corresponding to 77 (baseline) and 78 (standards) AFUE were calculated by scaling the stock-weighted average UEC by the ratio of the AFUEs. The baseline UEC given is the average of units not meeting standards.

- 17) From US DOE (1993b). Incremental cost is the difference between 1981 average price and 1990 average price, adjusted to 1995 dollars.
- 18) Based on incremental cost of \$0.26/kWh of first year savings (1985\$) from Geller (1986).
- 19) Based on incremental cost of \$0.17/kWh of first year savings (1985\$) from Geller (1986).
- 20) The total incremental cost for dishwashers (motors and water heating) is \$32.69 from US DOE (1990). The incremental cost was allocated \$10 to the motor and \$22.69 to water heating savings.
- 21) Based on incremental cost of \$0.09/kWh of first year savings (1985\$) from Geller (1986).
- 22) Based on incremental cost of \$6.56/MMBtu of first year savings (1985\$) from Geller (1986).
- 23) From US DOE (1982a). Incremental costs for the two classes of oil furnaces were linearly scaled to reflect a lower standard (78 rather than 80 AFUE for indoor furnaces) and the improvement in the baseline from 1982 to 1992. The shipment weighted average was calculated (96% indoor, 4% outdoor). A markup of 1.6 from US DOE (1982b) was applied.
- 24) Incremental cost for oil-fired water heaters is assumed to be the same as for gas-fired units.
- 25) Dishwashers and clothes washers shipments are divided between water heating fuels based on 1993 EIA water heater saturations (shipments separated by water heating fuel were not available). Water heater saturations 38.4% electric, 53.0% natural gas, 4.1% oil, 2.9% LPG (US DOE 1995a).
- 26) Shipments for faucets and showers were not available; new home starts and retrofits based on 20 year lifetimes are used instead. The UES is per household.
- 27) 1990 through 1994 appliance shipments are from Appliance Manufacturer Magazine (1995), excluding faucets, showers, and oil water heaters.
- 28) 1995 through 2010 shipments are calculated from adding the forecasted average annual increase (from LBNL REM) to the 1994 historical shipment data.
- 29) All shipments for oil water heaters are from LBNL REM (no historical data was available).
- 30) The cost of conserved energy is calculated using the formula

$$CCE = \frac{incremental cost (\$)*CRF}{UES (kWh/year)}$$

where CRF is the capital recovery factor, used to annualize the incremental cost

(CRF =
$$\frac{d}{(1-(1+d)^{-n})}$$
), d is the real discount rate (7%), and n is the equipment lifetime from Table 2.

31) State HLH and CLH estimated from national level maps summarized in US DOE (1983).

APPENDIX B: RETIREMENT FUNCTION

A retirement function ("survival curve") is used to estimate the retirement rate of appliances. In this linear function no appliances retire in the first 2/3 of their average life, and all units are retired by 4/3 of their average life. Expressed as equations, this function is as follows:

```
if Age < {2/3 * (Average Life)} then 100% survive

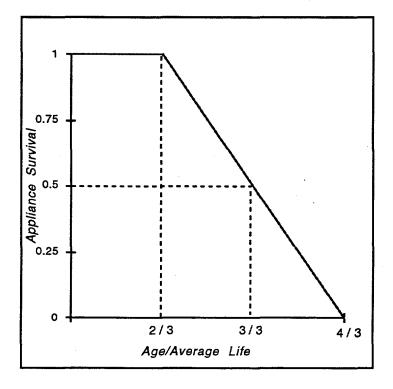
if Age > {2/3 * (Average Life)} and Age < {4/3 * (Average Life)}

then {2- AGE * 1.5/(Average Life)} survive

if Age > {4/3 * (Average Life)} then 0% survive
```

This retirement function is an approximation taken from work by McMahon, and it is shown in Figure B-1.

Figure B-1: Appliance survival curve used in this analysis



APPENDIX C: EXAMPLE CALCULATION-CENTRAL AIR CONDITIONERS

This section goes through a step by step example of the calculation method using data for central air conditioners. Table C-1 contains data used in this section.

Table C-1: Central Air Conditioner Data for Year 2000 Calculations

Year	Shipments	Scaling	Adjusted	Shipment	Stock	Energy	Adjusted
Sold		Factor	Unit	Survival	In Place	Savings	Incremental
			Energy	Factor	Year 2000	Year 2000	Cost
			Savings	Year 2000	affected by		ĺ
	millions		kWh/year		standards	Million kWh	1995\$/unit
					millions		
1992	2.91	1.00	105	0.875	2.55	268	\$51
1993	3.19	0.67	70.4	1.00	3.19	224	\$34
1994	3.89	0.33	34.7	1.00	3.89	135	\$17
1995	NA	0.00	0.0	1.00	0	0	0

Shipments for 1992 through 1994 are from historical data (1). The forecasted shipments are based on annual shipment growth rates from LBNL REM (2); CAC shipments are forecasted to increase by 48,900 units per year.

Initial unit energy savings

Initial Unit Energy Savings equals pre-standards baseline unit energy consumption minus maximum unit energy consumption permitted by the standards, which are 1962 kWh/yr and 1857 kWh/yr respectively. The resulting UES is 105 kWh/yr. As discussed earlier, baseline scaling factors are used for both the UES and the incremental costs in this model to simulate the effect of baseline efficiency improvements in the absence of standards. These scaling factors reduce the UES and incremental costs over time. For CACs, the scaling factor declines at a rate of 33 percent per year, reaching zero in three years. This is based on a historical average manufacturing energy efficiency improvement of 2 percent per year and a percentage improvement in efficiency due to standards of 5.4 percent, which yields 2.7 years (we round this to three years for ease of computation).

Retirement and stock

The number of functioning appliances each year is calculated from historical shipments and a survival probability as a function of age. The average life of CACs is 12 years, and they last from 8 to 16 years (2/3 and 4/3 of average life, respectively, as in Figure B-1). For CACs, the annual retirement rate is 1/((4/3-2/3)*12) or 1/8. Shipments are multiplied by a survival factor to get survivors by vintage for a given year. For example, if we are interested in savings forecasts for the year 2000, then the retirement factors are derived by applying the survival function to shipments through 2000. In the year 2000, 1992 shipments are 9 years old and the survival rate of these 1992 shipments is 0.875 (0.875=1-[(9-8)x(0.125)]). CAC shipments in 1992 were 2.9 million units, and applying the survival factor for the year 2000 results in 2.6 million appliances shipped in the year 1992 still existing in 2000. The analogous calculation is made for each year, 1992-2000.

Energy savings

Energy savings are calculated for the year 2000 by multiplying by the UES and stock for each year from 1992 through 2000 and summing these values. For CACs purchased in 1992, the energy savings are: $(2.9 \text{ million shipments}) \times (0.875 \text{ survival rate}) \times (105 \text{ kWh UES}) = 268 \text{ million}$

kWhs. The annual value represents the energy savings for all appliances still in place (the appliance stock) since the particular appliance standard was enacted. The total energy savings for central air conditioners in the year 2000 is 627 Million kWh.

Value of energy savings

The national average electricity price used in the model is $8.6 \, \text{e/kWh}$ in 1995 dollars. At this price, the bill savings in 2000 for appliances sold in the year 1993 is \$19.3 million. The incremental annualized cost in any year is the product of the Cost of Conserved Energy (\$0.061/kWh, from Table 2) and the annual energy savings. In order to calculate net savings, we subtract the annualized cost from the bill savings in each year. Then the values for each year are totaled to get the year 2000 value.

New and replacement shipments

Shipments are divided between new and replacement units. The fraction of central air conditioning units that are allocated to new homes fluctuates between 25 and 30 percent from 1990 through 2010. The remaining units are allocated to existing homes, as replacement units.

Regional disaggregation

Disaggregated calculations are made at the county level. For this portion of the example, we focus on San Francisco county which is in the state of California and the Pacific census division.

Housing units are divided into two types: new and existing. New homes are considered to be those which were constructed after 1987 while existing homes are those constructed before 1987. This distinction is accounted for in the national level calculations. The national level energy savings estimates are distributed to the county level using the percent of new and existing homes that reside in the county. San Francisco had 305,984 households in 1995 which represented 0.33 percent of the 91,991,514 U.S. households. In 1994, San Francisco had 107 new housing permits, representing 0.01 percent of the 1,064,251 U.S. new home permits in that year.

Next, these county level energy savings data are adjusted for regional variation in appliance saturation using a ratio of census division and national level appliance saturation (we assume that all counties within a particular Census Division are assigned the saturation for that division). The Pacific census division saturation of CACs in new homes is 46 percent and the national saturation is 58 percent, resulting in a saturation ratio of 0.79.

Cooling appliances are adjusted for regional variation in cooling demand using the ratio of state and national level cooling load hours. These data are 1000 and 915, respectively, resulting in an adjustment factor of 1.09 for California.

The value of energy savings is calculated using state level average fuel prices. In California, the electricity price is \$0.117/kWh, higher than the national average of \$0.086.

Appendix C notes

- (1) Appliance Manufacturer Magazine. 1995. "Shipments". Appliance Manufacturer Magazine. March. p. 19.
- (2) P. Chan, 1996. LBNL. Personal Communication.

APPENDIX D: INPUT TABLES

This Appendix contains tables summarizing key inputs for the analysis:

Table D-1: Historical and projected equipment shipments (millions of units per year)

Table D-2: Scaling factors that account for baseline efficiency trends

Table D-3: State population projections

Table D-4: State energy prices in 1995

Table D-5: State heating and cooling load hours

Table D-6: Carbon emissions factors by region

Table D-7: Saturations of existing equipment by Census division

Table D-8: Saturations of new equipment by Census division

Table D-9: Fed. government expenditures to implement appliance efficiency standards

Table D-10: First page of county data on number of households and housing starts

		Year																				
		standard	9	# .00.	Historical	al 1963	3	100						_ (Ö							
Ena-use	ruei	enactea	0661	1661	7661	2007	1994	3	1		1	. 1		1	-		-	-	1		_	
CAC	Electricity	1992			2.91	3.19	3.89	3.94	3.99	4.03							4.38 4		4.47	4.52 4		
Clothes Washer	Electricity	1994					2.40	2.43	2.46	2.49				•		_				_	2.82 2.	
Clothes Dryer	Electricity	1994					4.03	4.08	4.13	4.17	4.22	4.26	4.31	4.36	_		4.50 4				4.68 4.	4.73 4.77
Dishwasher	Electricity	1994					1.76	1.78	1.81	1.83			_									
Dishwasher Motor	Electricity	1994					4.50	4.56	4.63	4.69	4.75	•	4.88		•			5.20	5.26	5.32 5		5.45 5.51
Freezer 1990	Electricity	1990	1.30	1.41	<u>2</u> .																	
Freezer 1993	Electricity	1993				1.61	1.69	1.69	1.69	1.69	1.69	1.69	1.70						1.70			
Faucets	Electricity	1994					1.80	1.82	1.82	1.82	1.82	1.82	1.82						1.30		1.30 1.	
HP	Electricity	1992			0.80	0.88	1.01	1.02	1.03	1.04	1.05	1.06	1.07	1.08	1.09	1.10	1.11	1.12	1.14	1.15		1.17 1.18
Refrigerator 1990	Electricity	1990	7.10	7.27	7.76																	
Refrigerator 1993	Electricity	1993				8.11	8.61	8.70	8.80	8.89	8.99	80.6	9.17	9.27	_		9.55	9.64	-	9.83	9.93 10	10.02 10.11
RAC	Electricity	1990	4.15	2.83	2.91	3.08	4.12	4.17	4.23	4.29	4.34	4.40	4.46	•	4.57 4	4.63 4	•	•	Ī		-	
Showers	Electricity	1994					1.80	1.82	1.82	1.82	1.82		1.82	1.30			1.30	1.30	1.30	•	_	
Water Heater	Electricity	1990	3.23	3.17	3.40	3.61	3.90	3.92	3.94	3.96	3.98	4.00	4.02	4.05		Ì	`		•			
Central Heat	Natural gas	1992			2.11	2.58	2.70	2.73	2.77	2.81	2.85		2.92	2.96			_				3.22 3.	
Clothes Washer	Natural gas	1994					3.49	3.53	3.58	3.62										•		
Clothes Dryer	Natural gas	1994					1.30	1.31	1.32	1.33	1.34	1.35	1.35			1.38					1.43 1.	1.44
Dishwasher	Natural gas	1994					2.56	2.59	2.63	5.66												_
Faucets	Natural gas	1994					2.70	2.72	2.72	2.72					1.94		1.94		1.94	1.94		
Oven	Natural gas	1990	5.06	2.04	2.22	2.34	2.53	2.55	2.57	2.59												
Room heat	Natural gas	1990	0.26	0.23	0.24	0.25	0.27	0.27	0.27	0.27					0.27 (0.26 0.
Range	Natural gas	1990	2:32	2.31	2.52	2.67	2.86	2.88	2.90	2.91		2.95	2.97				3.04 3					3.13 3.
Showers	Natural gas	1994					2.70	2.72	2.72	2.72	2.72		2.72									
Water Heater	Natural gas	1990	3.91	3.94	4.24	4.47	4.75	4.78	4.82	4.85			4.94				į			-	5.20 5.	
Central Heat	Distillate oil	1992			0.14	0.12	0.14	0.14	0.14	0.15	0.15	0.16	0.16	0.16				_		_	ŀ	
Clothes Washer	Distillate oil	1994					0.26	0.26	0.26	0.27	0.27	0.27	0.28	_			_			_		0.30 0.
Dishwasher	Distillate oil	1994					0.19	0.19	0.19	0.20	0.20			_	0.21 0	0.21	0.21	0.22 (0.22 (0.22 0	0.22 0.	0.23 0.23
	Distillate oil	1994					0.25	0.26	0.26	0.26	0.26		0.26	~		_			-	_	_	0.18 0.
	Distillate oil	1994					0.25	0.26	0.26	0.26	0.26		0.26	_		_	0.18 C		0.18	_		0.18 0.
Water Heater	Distillate oil	1990	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	8			900			7					

⁽¹⁾ Historical shipments from Appliance Manufacturer (1995).

(2) Forecasted shipment from LBNL REM, except for showers and faucets, which were derived independently.

(3) Blank cells indicate that the standard either is not yet in force or has been superceded by a later standard (for refrigerators)

																						_	Historical	Total %
Table D.2: Scaling factors that account for baseline efficiency trends	factors that a	count for l	aseline	eMoles	ocy tre	spu																	annua	efficiency
																						٦	efficiency i	improvement
		Year																				<u>.II</u>	improvement	from
		standard																					without	baseline
End-use	Fuel	enacted	1000 1001		1992 1993	- 1	- 1	1995 19	1996 1997	72	1998 1999	2000	7007	2002	2003	2004	2005	2006	2007 2008		2009 20	2010	standards	to standard
CAC	Electricity	1992			1.00	0.67	0.33	•														_	2.0%	5.4%
Clothes Washer	Electricity	1994				_	_	0.95 0.	0.90	0.85 0.80	0 0.75	5 0.70	0.65	0.60	0.55	0.50	0.45	0.40	0.35	0.30	0.25	0.20	1.0%	32.1%
Clothes Dryer	Electricity	1994				_	1.00	0.99	0.98	0.97 0.96	6 0.95	5 0.94	0.93	0.92	0.91	0.90	0.89	0.88	0.87	0.86	0.85 C	.84	0.1%	8.3%
Dishwasher	Electricity	1994				_	1.00	0.98	0.96	0.94 0.92	2 0.90	0.88	0.86	0.84	0.87	0.80	0.78	0.76	0.74	0.72	0.70	89.0	0.5%	20.1%
Dishwasher Motors	Electricity	1994					1.00 0	0.98	0.96	0.94 0.92	2 0.90	0.88	0.86	0.84	0.82	0.80	0.78	0.76		0.72		89.	0.5%	12.5%
Freezer 1990	Electricity	1990	1.00	0.67	0.33	•																	3.0%	9.6%
Freezer 1993	Electricity	1993				97.	0.83 0			0.33 0.17	7												3.0%	17.6%
Faucets	Electricity	1994					1.00	0.98	0.96 0.	94 0.93	3 0.91	0.89	0.87	0.85	0.83	0.81	0.79	0.77	92.0	0.74	0.72 0	0.70	NA	26.5%
飪	Electricity	1992			9.1	0.50	0																2.0%	4.1%
Refrigerator 1990	Electricity	1990	90.1	0.67	0.33	•																-	3.0%	7.7%
Refrigerator 1993	Electricity	1993					0.88 0	0.75 0.	0.63 0.	0.50 0.38	8 0.25	5 0.13	•										3.0%	22.7%
RAC	Electricity	1990	90.1	0.83	19.0	0.50	0.33 0	0.17	0														2.0%	12.6%
Showers	Electricity	1994				_	1.00	0.98 0.	0.96 0	0.94 0.93	3 0.91	68.0	0.87	0.85	0.83	0.81	0.79	0.77	92.0	0.74	0.72 0	0.70	NA	26.4%
Water Heater	Electricity	<u>§</u>	1.00	0.90	0.80	0.70	0.60	0.50	0.40	0.30 0.20	0 0.10	0											0.5%	5.2%
Central Heat	Natural gas	1992			9.1	0.67	0.33	0															0.9%	2.5%
Clothes Washer	Natural gas	1994							_					0.60	0.55	0.50	0.45	0.40	0.35	0.30	0.25 0	0.20	1.0%	31.8%
Clothes Dryer	Natural gas	1994				_		_	_	_		_		9.90	0.89	0.88	0.86	0.85	0.84	0.83		080	0.1%	13.9%
Dishwasher	Natural gas	1994				_	_				2 0.90		0.86	0.84	0.82	0.80	0.78	0.76	0.74	0.72		89.	0.5%	20.0%
Faucets	Natural gas	1994				_	_			_		-		0.85	0.83	0.81	0.79	0.77	0.76	0.74 (0.72 0	0.70	Ϋ́	26.5%
Oven	Natural gas	1990					0.28 0	0.27 0.	0.26 0.	0.26 0.25	5 0.25	0.24	0.23	0.23	0.22	0.22	0.21	0.70	0.70	0.19	0.19 0	81.0	1.0%	53.3%
Room heat	Natural gas	1990																					1.5%	2.6%
Range	Natural gas	1990	0.30	0.29	0.29	0.28								0.23	0.22	0.22	0.21	0.20	0.70			0.18	1.0%	70.0%
Showers	Natural gas	1994				_	_		0.96	0.94 0.93	3 0.91		0.87	0.85	0.83	0.81	0.79			0.74	0.72 0	0.70	NA NA	26.4%
Water Heater	Natural gas	1990	1.8	0.93	0.87	0.80	0.73 0	0.67	0.60	0.53 0.47	7 0.40	0.33	0.27	0.20	0.13	0.07	0						0.8%	12.3%
Central Heat	Distillate oil	1992			0.03	0.02																	98.8	1.3%
Clothes Washer	Distillate oil	1994				_	0 00.1		0.90	0.85 0.80	0 0.75		0.65	0.60	0.55	0.50	0.45	0.40	0.35	0.30	0.25 0	0.20	1.0%	31.8%
Dishwasher	Distillate oil	1994				_						_		0.84	0.82	0.80	0.78	97.0				89.	0.5%	20.0%
Faucets	Distillate oil	1994					1.00							0.85	0.83	0.81	0.79		0.76	0.74	0.72 0	0.70	٧×	26.5%
Showers	Distillate oil	1994					_							0.85	0.83	0.81	0.79	7		0.74 (92.	NA	26.4%
Water Heater	Distillate oil	<u>8</u>	8	0.94	0.88	0.81	0.75	0.69	0.63	0.56 0.50	0 0.44	0.38	0.31	0.25	0.19	0.13	99	9	l			\dashv	0.8%	12.3%

(1) Factors are to be multiplied by the total shipments in a given year to determine the "applicable shipments" that are actually affected by standards. Blanks are the equivalent of a scaling factor of zero. (2) Time of phaseout determined by ratio of percentage efficiency improvement by the standards to the annual percentage change in efficiency in the "No standards case".

(3) Factors are always 1.0 in the first year, declining to the end year (bold face) in a linear fashion, except for gas ranges/ovens and oil furnaces.

Gas ranges and ovens begin at 30% because the standard applied only to units shipped with a power cord. According to Geller (1986), only 30% of total shipments HAD a power cord AND a pilot light. 95% of all shipments HAD a power cord, suggesting that 65% of shipments had a power cord but NO pilot light

(so the std had no impact), and 5% had no power cord so that the standard didn't apply to them. For oil-fired furnaces, only a small fraction of the products on the market (3%) did not meet the standard.

We believe that the standard was set at 78% solely for consistency with the standard on gas furnaces, not because it would save significant energy.

Table D-3: State Population Projections State Population (thousands) Annual percentage growth Ratios 2000 2000/1995 2010/2000 2010/1995 1995-2000 2000-2010 1995-2010 1995 2010 Alaska AK 634 699 781 1.10 1.12 1.23 2.0% 1.1% 1.4% Alabama AL 4485 4274 4856 1.05 1.08 1.14 1.0% 0.8% 0.9% Arkansas AR 2578 0.9% 0.8% 0.8% 2468 2782 1.04 1.08 1.13 Arizona AZ 4072 5074 1.5% 4437 1.09 1.14 1.25 1.7% 1.4% California CA 32398 34888 41085 1.08 1.18 1.27 1.5% 1.6% 1.6% Colorado CO 3710 4059 4494 1.11 1.21 1.8% 1.0% 1.3% 1.09 Connecticut CT 3274 3271 3412 1.00 1.04 1.04 0.0% 0.4% 0.3% District Of Columbia DC 559 537 577 0.96 1.07 1.03 -0.8% 0.7% 0.2% Delaware DE 718 759 1.07 1.14 1.1% 0.7% 0.8% 815 1.06 Florida FL 14210 17372 1.22 1.5% 1.3% 15313 1.08 1.3% 1.13 1.5% 1.2% Georgia GA 7102 8553 1.12 1.20 1.1% 7637 1.08 1.6% Hawaii HI 1327 1.7% 1.6% 1221 1551 1.09 1.17 1.27 Iowa IA 2861 2930 2981 1.04 0.5% 0.2% 0.3% 1.02 1.02 Idaho ID 1.5% 1156 1290 1454 1.12 1.13 1.26 2.2% 1.2% Illinois IL 12168 0.4% 11853 12652 1.07 0.5% 0.4% 1.03 1.04 Indiana IN 1.08 0.5% 5820 6045 6286 0.8% 0.4% 1.04 1.04 Kansas KS 0.8% 2601 2722 2922 1.12 0.9% 0.7% 1.05 1.07 Kentucky KY 3851 3989 1.08 0.7% 0.4% 0.5% 4160 1.04 1.04 Louisiana LA 4359 4478 4808 1.03 1.07 1.10 0.5% 0.7% 0.7% Massachusetts MA 5976 5950 6097 1.00 1.02 1.02 -0.1% 0.2% 0.1% 5322 Maryland MD 5078 0.9% 0.9% 5782 1.05 1.09 1.14 0.8% Maine ME 0.4% 1236 1240 1309 1.00 1.06 1.06 0.1% 0.5% 1.03 0.3% Michigan MI 9575 9759 10033 1.02 1.05 0.4% 0.3% Minnesota MN 0.9% 0.7% 4619 4824 5127 1.04 1.06 1.11 0.6% Missouri MO 5286 5437 5760 1.03 1.06 1.09 0.6% 0.6% 0.6% Mississippi MS 2918 0.6% 0.6% 2666 2750 1.03 1.06 1.09 0.6% Montana MT 996 1.3% 1.0% 862 920 1.07 1.08 1.16 0.8% North Carolina NC 7150 1.07 1.0% 7617 8341 1.17 1.3% 0.9% 1.10 North Dakota ND 637 1.06 0.2% 0.5% 0.4% 643 676 1.01 1.05 0.6% Nebraska NE 1644 1704 1793 1.04 1.05 1.09 0.7% 0.5% New Hampshire NH 1132 1165 1.13 1280 1.03 1.10 0.6% 0.9% 0.8% New Jersey NJ 7931 8135 8562 1.05 1.08 0.5% 0.5% 0.5% 1.03

New Mexico	NM	1676	1823	2082	1.09	1.14	1.24	1.7%	1.3%	1.5%
Nevada	NV	1477	1691	1935	1.14	1.14	1.31	2.7%	1.4%	1.8%
New York	NY	18178	18237	18546	1.00	1.02	1.02	0.1%	0.2%	0.1%
Ohio	ОН	11203	11453	11659	1.02	1.02	1.04	0.4%	0.2%	0.3%
Oklahoma	OK	3271	3382	3683	1.03	1.09	1.13	0.7%	0.9%	0.8%
Oregon	OR	3141	3404	3876	1.08	1.14	1.23	1.6%	1.3%	1.4%
Pennsylvania	PA	12134	12296	12438	1.01	1.01	1.03	0.3%	0.1%	0.2%
Rhode Island	RI	1001	998	1034	1.00	1.04	1.03	-0.1%	0.4%	0.2%
South Carolina	SC	3732	3932	4311	1.05	1.10	1.16	1.0%	0.9%	1.0%
South Dakota	SD	735	770	815	1.05	1.06	1.11	0.9%	0.6%	0.7%
Tennessee	TN	5228	5538	6007	1.06	1.08	1.15	1.2%	0.8%	0.9%
Texas	TX	18592	20039	22850	1.08	1.14	1.23	1.5%	1.3%	1.4%
Utah	UT	1944	2148	2462	1.10	1.15	1.27	2.0%	1.4%	1.6%
Virginia	VA	6646	7048	7728	1.06	1.10	1.16	1.2%	0.9%	1.0%
Vermont	VT	579	592	623	1.02	1.05	1.08	0.4%	0.5%	0.5%
Washington	WA	5497	6070	7025	1.10	1.16	1.28	2.0%	1.5%	1.6%
Wisconsin	WI	5159	5381	5629	1.04	1.05	1.09	0.8%	0.5%	0.6%
West Virginia	wv	1824	1840	1842	1.01	1.00	1.01	0.2%	0.0%	0.1%
Wyoming	WY	487	522	596	1.07	1.14	1.22	1.4%	1.3%	1.4%
Total	US	263437	276242	300430	1.05	1.09	1.14	1.0%	0.8%	0.9%

			Energy prices			Index	
State		Electricity	Natural gas	Oil	Electricity	Natural gas	Oil
		1995 \$/kW h	1995 \$/MMBtu	1995 \$/MMBtu	U.S. = 1.0	U.S. = 1.0	<i>U.S.</i> =
Alaska	ΑK	0.1160	4.06	7.07	1.34	0.67	1.01
Alabama	AL	0.0708	7.01	5.49	0.82	1.15	0.79
Arkansas	AR	0.0859	5.40	5.80	0.99	0.89	0.83
Arizona	AZ	0.1001	7.12	7.48	1.16	1.17	1.07
California		0.1173	6.10	7.55	1.36	1.00	1.08
Colorado	CO	0.0751	4.55	4.85	0.87	0.75	0.69
Connecticut		0.1182	9.34	7.17	1.37	1.53	1.03
District Of Columbia		0.0743	8.42	8.16	0.86	1.38	1.17
Delaware		0.0935	6.58	6.61	1.08	1.08	0.95
Florida	_	0.0829	8.72	7.20	0.96	1.43	1.03
Georgia		0.0809	6.17	6.76	0.94	1.01	0.97
Hawaii		0.1274	16.77	7.41	1.47	2.75	1.06
Iowa	IA	0.0832	5.55	4.43	0.96	0.91	0.63
Idabo	D	0.0519	5.27	6.67	0.60	0.86	0.95
Illinois	1 1	0.1067	5.50	6.60	1.24	0.90	0.95
Indiana		0.0692	5.79	6.26	0.80	0.95	0.90
Kansas		0.0816	5.05	7.70	0.94	0.83	1.10
Kentucky	KY	0.0591	5.10	7.24	0.68	0.84	1.04
Louisiana		0.0806	5.98	7.89	0.93	0.98	1.13
Massachusetts		0.1142	8.16	6.93	1.32	1.34	0.99
Maryland		0.0853	7.01	7.58	0.99	1.15	1.09
Maine		0.1188	7.50	6.44	1.37	1.23	0.92
Michigan		0.0847	4.95	6.68	0.98	0.81	0.96
Minnesota		0.0735	5.34	6.49	0.85	0.88	0.93
Missouri		0.0754	5.44	5.57	0.87	0.89	0.80
Mississippi	1 3	0.0740	5.20	7.31	0.86	0.85	1.05
Montana		0.0600	4.92	6.14	0.69	0.81	0.88
North Carolina	_	0.0848	6.86	7.22	0.98	1.13	1.03
North Dakota		0.0657	5.01	6.18	0.76	0.82	0.88
Nebraska		0.0649	5.18	5.77	0.75	0.85	0.83
New Hampshire		0.1278	7.71	6.09	1.48	1.27	0.87
New Jersey	1	0.1183	6.86	7.21	1.37	1.13	1.03
New Mexico		0.0954	5.33	5.83	1.10	0.87	0.83
Nevada		0.0675	5.58	7.10	0.78 .	0.92	1.02
New York		0.1367	8.05	7.67	1.58	1.32	1.10
Ohio		0.0868	5.59	6.49	1.00	0.92	0.93
Oklahoma		0.0742	4.92	6.41	0.86	0.81	0.92
Oregon		0.0521	6.28	6.76	0.60	1.03	0.97
Pennsylvania	- 1	0.0992	6.71	6.74	1.15	1.10	0.96
Rhode Island		0.1183	8.08	7.03	1.37	1.33	1.01
South Carolina		0.0760	7.06	6.02	0.88	1.16	0.86
South Dakota	- 1	0.0731	5.32	6.10	0.85	0.87	0.87
Tennessee	. 1	0.0597	6.00	5.41	0.69	0.98	0.77
Texas		0.0831	5.84	5.90	0.96	0.96	0.85
Utah		0.0712	4.83	4.21	0.82	0.79	0.60
Virginia	- I	0.0786	7.31	6.80	0.91	1.20	0.97
Vermont		0.1022	6.31	6.98	1.18	1.04	1.00
Washington		0.0477	5.14	7.83	0.55	0.84	1.12
Wisconsin		0.0730	6.38	6.36	0.85	1.05	0.91
West Virginia		0.0654	6.16	6.68	0.76	1.01	0.96
Wyoming	WY	0.0620	4.60	6.14	0.72	0.75	0.88
Total	US	0.0864	6.09	6.98	1.00	1.00	1.00

⁽¹⁾ national prices for 1995 from AEO 96 are spread to the state level assuming that state prices have the same relationship to national prices that they had in 1993 (from US DOE 1995b).

Table D-5: State heating and cooling load hours Index State Heating load hours Cooling load hours HLH CLH hours/year U.S. = 1.0U.S. = 1.0hours/year Alaska ΑK 3500 1.63 0.00 Alabama ΑL 1500 1600 0.70 1.75 Arkansas AR 1500 1600 0.70 1.75 ΑZ 1600 Arizona 1700 0.79 1.75 California CA 2500 1000 1.17 1.09 CO 2500 600 Colorado 1.17 0.66 Connecticut CT 2500 600 1.17 0.66 District Of Columbia 800 0.98 DC 2100 0.87 Delaware DE 2200 600 1.03 0.66 Florida FL 500 2400 0.23 2.62 Georgia GA 1500 1500 0.70 1.64 Hawaii HI 0 2300 0.00 2.51 2400 800 1.12 Iowa IA 0.87 Idaho ID 2500 600 1.17 0.66 Illinois IL2200 1000 1.03 1.09 Indiana IN 2250 900 1.05 0.98 Kansas KS 2000 1000 0.93 1.09 Kentucky ΚY 2000 1000 0.93 1.09 1200 1800 0.56 1.97 Louisiana LA MA Massachusetts 2500 600 1.17 0.66 Maryland MD 2200 600 1.03 0.66 2700 Maine ME 400 1.26 0.44 Michigan MI 2800 500 1.31 0.55 500 1.35 Minnesota MN 2900 0.55 Missouri MO 2000 1100 0.93 1.20 Mississippi MS 1400 1400 0.65 1.53 Montana MT 2500 500 1.17 0.55 North Carolina NC 1750 1100 0.82 1.20 North Dakota ND 2700 400 1.26 0.44 1000 Nebraska NE 2250 1.05 1.09 2500 **50**0 1.17 0.55 New Hampshire NH 0.77 NJ 700 1.05 New Jersey 2250 NM 2000 1000 0.93 New Mexico 1.09 Nevada NV 2250 1000 1.05 1.09 New York NY 2500 600 1.17 0.66 Ohio OH 2300 800 1.07 0.87 Oklahoma OK-1700 1200 0.79 1.31 Oregon OR 2500 500 1.17 0.55 Pennsylvania PA 2500 700 1.17 0.77 Rhode Island RI 2400 500 1.12 0.55 South Carolina SC 1500 1300 0.70 1.42 South Dakota SD 2500 500 1.17 0.55 Tennessee TN 1900 1000 0.89 1.09 2000 TΧ 1250 0.58 2.19 Texas UT 2300 800 1.07 Utah 0.87 Virginia VA 2100 900 0.98 0.98 Vermont VT 2600 500 1.21 0.55 2500 400 Washington WA 1.17 0.44 Wisconsin WI 2800 500 1.31 0.55 West Virginia wv 2300 900 1.07 0.98 Wyoming WY 2500 550 1.17 0.60 915 Total US 2145 1.00 1.00

(1) Source: US DOE 1983.

Table D-6: Carbon emissions by region	ssions by r	uoja					
	Census	Section and the section of the secti		0001	Emission factors	factors	Ç
Electricity	TOISIAN TO	Omics represented	Camico	1770	222	2007	0107
New England	-	CT, ME, MA, NH, RI, VT	g C/kWh.e	114	<u>\$</u>	104	901
Middle Atlantic	7	NJ, NY, PA	g C/kWh.e	142	130	129	132
East North Central	e	IL, IN, MI, OH, WI	g C/kWh.e	214	196	195	199
West North Central	4	IA, KS, MN, MO, NB, ND, SD	g C/kWh.e	239	219	218	222
South Atlantic	3 0	DE, DC, FL, GA, MD, NC, SC, VA, WV	g C/kWh.e	174	160	159	162
East South Central	9	AL, KY, MS, TN	g C/kWh.e	211	194	193	197
West South Central	7	AR, LA, OK, TX	g C/kWh.e	50 4	187	186	190
Mountain-N	oc	CO+ID+MT+NV+UT+WY	g C/kWh.e	248	228	226	231
Mountain-S	60	AZ+NM	g C/kWh.e	183	167	167	170
Pacific-N	0	OR+WA+AK	g C/kWh.e	53	56	26	27
Pacific-S	6	CA+HI	g C/kWh.e	09	55	55	26
US Total/avg	All	All	g C/kWh.e	182	167	166	169
Natural gas	7	V	g/MMBtu	14470	14470	14470	14470
Distillate oil	ΠΑ	All	g/MMBtu	19950	19950	19950	19950

Ratio of regional to national emissions in 1995 is multiplied by national emissions in each year to get regional emissions in each year. (1) Elect emissions factors 95-2010 as implied in AEO 96. Elect emiss. factor 1990 from Koomey et al. 1993.

This approach assumes that the relationship between regional and national emissions remains constant over time.

(2) Natural gas and distillate oil taken from EIA Emissions of GHGs in the US 1987-92. p. 15

(3) Electricity emissions (g-CkWh.e) are at the meter, and include T&D losses.

(4) All carbon emissions factors are from direct emissions, and do not include emissions from the extraction, processing, and transportation of fuels.

Table D-7: !	Table D-7: Saturations of equipment in existing	quipment in ex	isting homes	ng homes by census division	tsion						
osi pul	Ti ci	New Fredond	Middle	East North	West North	South	East South	West South	Mountain	Daviffa	311
CAC	Electricity	0.081	0.17	0.37	0.48	0.38	0.40	0.61	0.25	0.21	0.33
æ	Natural gas	0.10	0.28	0.62	0.48	0.18	0.28	0.41	0.50	0.38	0.36
CH	Distillate oil	0.18	0.10	0.034	0.089	0.068	0.011	0	0.010	0.023	0.054
ςĸ	Electricity	0.17	0.15	0.19	0:30	0.50	0.51	0.23	0.27	0.20	0.28
CW	Natural gas	0.27	0.44	0.55	0.45	0.20	0.27	0.52	0.47	0.48	0.41
ςĸ	Distillate oil	0.23	0.16	0.002	0.002	0.011	0	0	0	0	0:030
DRY	Electricity	0.49	0.43	0.54	0.63	0.61	0.74	0.61	0.63	0.42	0.55
DRY	Natural gas	0.11	0.21	0.21	0.15	0.043	0.026	0.12	0.081	0.23	0.14
DW	Electricity	60:0	0.08	0.09	0.15	0.27	0.24	0.14	0.18	0.15	0.15
DW	Natural gas	0.15	0.23	0.25	0.22	0.11	0.12	0.31	0.30	0.34	0.23
DW	Distillate oil	0.13	0.087	0.001	0.001	900'0	0	0	0	0	0.021
F90	Electricity	0.23	0:30	0.44	0.61	0.42	0.54	0.41	0.46	0.27	0:39
F93	Electricity	0.23	0.30	0.44	19.0	0.42	0.54	0.41	0.46	0.27	0.39
FCT	Electricity	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
FCT	Natural gas	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
FCT	Distillate oil	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
НЬ	Electricity	0.017	0.017	0.011	0.051	0.15	0.11	0.13	0.036	0.015	0.061
NVO	Natural gas	0.33	0.54	0.41	0.32	0.16	0.14	0.39	0.21	0.39	0.34
R90	Electricity	1.11	1.15	1.20	1.23	1.11	1.19	1.13	1.16	1.17	1.16
R93	Electricity	1.11	1.15	1.20	1.23	1.11	1.19	1.13	1.16	1.17	1.16
RAC	Electricity	0.33	0.42	0.28	0.32	0.27	0.33	0.26	0.10	0.12	0.27
Ħ	Natural gas	0.020	0.015	0.018	0.028	0.068	0.11	0.19	090.0	0.21	0.082
RNG	Natural gas	0.42	0.58	0.45	0.40	0.23	0.24	0.46	0.26	0.42	0.40
RNG	Distillate oil	0.021	0.093	0.017	0.021	0.086	0.026	0.011	0.016	0.085	0.052
SHW	Electricity	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
SHW	Natural gas	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
SHW	Distillate oil	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
MM	Electricity	0.25	0.20	0.26	0.37	99.0	0.62	0.29	0.35	0.29	0.36
WH	Natural gas	0.39	0.58	0.72	0.55	0.27	0.32	0.67	0.61	69.0	0.55
WH	Distillate oil	0	0.26	0	0.002	0	0	0	0.17	0.012	0.051
DWM	Electricity	0.095	0.079	0.090	0.15	0.27	0.24	0.14	0.18	0.15	0.15

⁽¹⁾ Source: US DOE 1995a.
(2) Existing homes defined as homes in the RECS 1993 sample built before 1987.

Table D-8: (Table D-8: Saturations of equipment in new homes by census division	quipment in n	ew homes by	census divisio	n.	:					
		New	Middle	East North	West North	South	East South	West South			
End-use	Fuel	England	Atlantic	Central	Central	Atlantic	Central	Central	Mountain	Pacific	cs
CAC	Electricity	0.31	0.57	0.74	0.88	0.51	0.54	0.81	0.45	0.46	0.58
Ð	Natural gas	0.10	0.49	0.74	0.39	0.21	0.19	0.44	0.40	0.48	0.40
Н	Distillate oil	0.28	0.079	0	0	0.001	0	0	0	0.007	0.017
CW	Electricity	0.36	0.30	0.19	0.42	0.73	0.76	0.46	0.34	0.12	0.43
CW	Natural gas	0.14	0.43	0.64	0.33	0.19	0.16	0.41	0.44	0.49	0.37
CW	Distillate oil	0.33	0.083	0	0	0	0	0	0	0.005	0.019
DRY	Electricity	0.74	0.63	0.54	0.62	0.89	0.88	0.77	0.78	0.43	0.71
DRY	Natural gas	0.045	0.14	0.31	0.15	0.013	0.034	0.11	0.042	0.19	0.11
DW	Electricity	0.30	0.30	0.15	0.42	09:0	0.51	0.43	0.29	0.13	0.37
DW	Natural gas	0.11	0.41	0.49	0.32	0.15	0.10	0.36	0.36	0.50	0.32
DW	Distillate oil	0.26	0.079	0	0	0	0	0	0	0.005	0.016
F90	Electricity	0.18	0.23	0.42	0.33	0.40	0.37	0.42	0.43	0.18	0.35
F93	Electricity	0.18	0.23	0.42	0.33	0.40	0.37	0.42	0.43	0.18	0.35
FCT	Electricity	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
FCT	Natural gas	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
FCT	Distillate oil	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
НР	Electricity	0.012	0.11	0.008	0.072	0.50	0.29	0.19	0.07	0.13	0.21
NAO	Natural gas	0.056	0.21	0.33	0.11	0.11	0.047	0.18	0.29	0.37	0.21
R90	Electricity	1.16	1.14	1.17	1.20	1.08	1.09	1.06	1.14	1.13	1.12
R93	Electricity	1.16	1.14	1.17	1.20	1.08	1.09	1.06	1.14	1.13	1.12
RAC	Electricity	0.24	0.15	0.11	0.056	0.045	0.13	0.024	0.014	0.081	0.074
RH	Natural gas	0.011	0.000	0.015	0	0	0	0.007	0	0.011	0.005
RNG	Natural gas	0.13	0.30	0.38	0.17	0.17	0.11	0.20	0.38	0.42	0.26
RNG	Distillate oil	0.43	0.004	0	0.057	0.013	0.23	0.041	690.0	0.027	0.052
SHW	Electricity	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
SHW	Natural gas	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
SHW	Distillate oil	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
MM	Electricity	0.46	0.38	0.22	0.54	0.81	0.83	0.54	0.41	0.20	0.51
WH	Natural gas	0.17	0.51	0.70	0.40	0.20	0.17	0.45	0.50	0.73	0.44
HM	Distillate oil	0.38	0.10	0	0	0	0	0	0	0.007	0.022
DWM	Electricity	0.30	0.30	0.15	0.42	0.60	0.51	0.43	0.29	0.13	0.37

(1) Source: US DOE 1995a.
(2) New homes defined as homes in the RECS 1993 sample built 1987 to 1993.

Table D-9: Federal government expenditures to implement appliance efficiency standards

	Program costs,	İ	Program costs,			
	contractors/other	DOE salaries	contractors/other	DOE salaries	Total	Total PV to 1995
Fiscal year	Million current \$	Million current \$	Million 1995 \$	Million 1995 \$	Million 1995 \$	Million 1995 \$
1978	3.7	0.5	8.6	1.2	9.7	30.8
1979	4.1	0.5	8.5	1.0	9.6	28.2
1980	5.0	0.5	9.2	0.9	10.2	28.1
1981	3.8	0.5	6.4	0.8	7.2	18.6
1982	1.8	0.5	2.8	0.8	3.6	8.8
1983	1.0	0.5	1.5	0.8	2.3	5.2
1984	1.7	0.5	2.5	0.7	3.2	6.8
1985	2.5	0.5	3.5	0.7	4.2	8.4
1986	1.9	0.5	2.6	0.7	3.3	6.1
1987	2.0	0.5	2.7	0.7	3.4	5.8
1988	1.8	0.5	2.3	0.6	3.0	4.8
1989	1.8	0.5	2.2	0.6	2.8	4.2
1990	1.7	0.8	2.0	0.9	3.0	4.1
1991	2.0	0.8	2.2	0.9	3.1	4.1
1992	2.5	0.8	2.7	0.9	3.6	4.4
1993	3.5	1.0	3.7	1.1	4.7	5.4
1994	8.1	1.0	8.3	1.0	9.4	10.0
1995	10.3	1.0	10.3	1.0	11.3	11.3
1996	5.3	1.4	5.2	1.4	6.5	6.1
Total					104	201

⁽¹⁾ U.S. government fiscal years (FY) run from October 1 through Sept. 30th. Fiscal year 1996 began October 1, 1995.

⁽²⁾ DOE salaries estimated by DOE staff.

⁽³⁾ Current dollars converted to constant 1995 dollars using the consumer price index.

⁽⁴⁾ Present value (PV) to 1995 calculated at 7% real discount rate.

⁽⁵⁾ Expenditures are for all standards, not just residential standards.

Table D-10: First page of county data on number of households and housing starts % US New % US State County County Households households permits permits fips state fips fips 0.01286% 0.02020% 11826 215 1 1001 Autauga County 1 ΑL 1932 3 1003 **Baldwin County** 37126 0.04036% 0.18154% 1 AL47 9234 0.01004% 0.00442% AL 5 1005 **Barbour County** 1 0.00000% 7 1007 **Bibb County** 5776 0.00628% 0 1 AL 0.01589% 40 0.00376% 9 1009 **Blount County** 14616 1 AL 25 0.00235% 1011 **Bullock County** 3755 0.00408% 1 AL. 11 19 0.00179% 7894 0.00858% 1 **Butler County** AL. 13 1013 200 0.01879% 1 AL15 1015 Calhoun County 42806 0.04653% 1017 13860 0.01507% 15 0.00141% 1 AL 17 Chambers County 23 0.00216% 19 1019 Cherokee County 7452 0.00810% 1 AL 12075 0.01313% 47 0.00442% AL 21 1021 Chilton County 1 5 0.00047% 0.00630% 1 AL 23 1023 Choctaw County 5800 42 0.00395% 25 1025 Clarke County 9575 0.01041% 1 AL 0.00122% 13 4952 0.00538% 1 AL 27 1027 Clay County 0.00522% 0 0.00000% 1 AL. 29 1029 Cleburne County 4800 132 0.01240% 1 **AL** 31 1031 Coffee County 15400 0.01674% AL33 1033 Colbert County 20069 0.02182% 158 0.01485% 1 0.00571% 9 0.00085% 5253 1 AL 35 1035 Conecuh County 0.00436% 3 0.00028% 1 AL 37 1037 Coosa County 4010 34 0.00319% 39 1039 14425 0.01568% 1 ALCovington County 0.00578% 6 0.00056% 1 AL41 1041 Crenshaw County 5316 0.02789% 91 0.00855% 1 AL43 1043 Cullman County 25659 80 0.00752% 1 AL 45 1045 Dale County 17521 0.01905% 21 0.00197% 1 AL 47 1047 **Dallas County** 16975 0.01845% 72 0.00677% 1 AL. 49 1049 DeKalb County 21015 0.02284% 281 0.02640% 1051 16585 0.01803% AL51 Elmore County 1 37 0.00348% 0.01397% 1 53 1053 Escambia County 12855 AL 239 0.02246% 38453 0.04180% 1 AL55 1055 **Etowah County** 1 AL 57 1057 **Fayette County** 6885 0.00748% 11 0.00103% 0.00272% 1 59 1059 Franklin County 10792 0.01173% 29 AL12 0.00113% 1 61 1061 Geneva County 9224 0.01003% AL 0.00379% 4 0.00038% 1 1063 Greene County 3482 AL 63 1 0.00009% 1065 Hale County 5381 0.00585% 1 AL 65 0.00366% 0.00623% 39 1 AL 67 1067 Henry County 5727 1 AL 69 1069 **Houston County** 30856 0.03354% 357 0.03354% 1 ΑL 71 1071 Jackson County 18099 0.01967% 73 0.00686%

Jefferson County

Lamar County

Lauderdale County

Lawrence County

Lee County

Limestone County

Lowndes County

1

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1085

251258

5994

30699

11476

32998

19755

4075

0.27313% 0.00652%

0.03337%

0.01248%

0.03587%

0.02147%

0.00443%

2560

19

178

12

360

104

8

0.24054%

0.00179%

0.01673%

0.00113%

0.03383%

0.00977%

0.00075%

⁽¹⁾ The full data set shown in Table D-10 is not included here, but is available in electronic form on request.

APPENDIX E: RESULTS TABLES

This Appendix contains tables summarizing key results from the analysis:

- Table E-1: Summary of national effects of residential efficiency standards in 1995
- Table E-2: Summary of national effects of residential efficiency standards in 2000
- Table E-3: Summary of national effects of residential efficiency standards in 2010
- Table E-4: Summary of state-level effects of residential efficiency standards in 1995
- Table E-5: Summary of state-level effects of residential efficiency standards in 2000
- Table E-6: Summary of state-level effects of residential efficiency standards in 2010

				Annual in 1995				Cum	Cumulative 1990-1995	95	
		Primary					Primary				
		Energy		Bill	Incremental	Net Set	Energy	Carbon	Bill	Incremental	Net PV
		Savings	Savings	Savings	Costs	Benefit	Savings	Savings	Savings	Costs	Benefit
End-use	Fuel	Petajoules	MT-C	M 1995 SVyr	M 1995 S/yr	M 1995 S/yr	Petajoules	MT-C	M 1995 \$	M 1995 \$	M 1995 S
Cat.	Elecuicay	2 5	± 9	2 8	; -	7 6		4.0	109	,	36
Clouics washed	Electricity	; r	0.19	\$ \$	- :	\$ 8	07 :	97:0	676	+ •	35
Clothes Dryd	Electricity	_	0.10	75	31	77	9	0.14	168	101	19
Dishwasher	Electricity	4	90:0	33	6	23	9	0.09	108	31	92
Dishwasher Motors	Electricity	ю	0.05	92	11	16	\$	0.07	87	35	51
Freezer 1990	Electricity	2	0.03	16	4	12	11	0.16	.81	21	09
Freezer 1993	Electricity	5	0.07	36	22	14	10	0.15	118	74	4
Faucets	Electricity	æ	0.05	56	4	22	s	0.07	81	14	19
НР	Electricity	3	0.05	25	13	12	13	0.18	98	42	4
Refrigerator 1990	Electricity	12	0.17	86	39	99	99	0.90	488	202	286
Refrigerator 1993	Electricity	51	0.70	407	185	222	106	1.45	1282	610	119
RAC	Electricity	15	0.21	115	11	104	63	0.91	464	20	415
Showers	Electricity	20	0.28	191	17	144	31	0.42	499	55	444
Water Heater	Electricity	47	99.0	353	62	291	175	2.48	1447	256	1611
Central Heat	Natural gas	œ	0.11	4	13	31	25	0.35	147	44	103
Clothes Washer	Natural gas	œ	0.11	\$	7	4	12	0.16	148	9	142
Clothes Dryer	Natural gas	_	0.02	œ	&	0	2	0.03	27	27	
Dishwasher	Natural gas	m	0.04	13	14	••••	4	0.05	49	46	4
Faucets	Natural gas	7	0.03	12	9	9	ю	0.04	38	21	17
Oven	Natural gas	7	0.09	9	21	19	23	0.31	149	80	89
Roomheat	Natural gas	-	0.02	1	0	7	9	60.0	40	0	39
Range	Natural gas	10	0.13	59	24	35	33	0.46	221	93	128
Showers	Natural gas	13	0.17	11	26	51	19	0.26	232	82	150
Water Heater	Natural gas	101	1.38	595	106	488	362	4.96	2319	424	1895
Central Heat	Distillate oil	0	0.00	0	0	0	0	0.00	0	0	0
Clothes Washer	Distillate oil		10'0	4.	0	4		0.02	12	0	12
Dishwasher	Distillate oil	0	0.00	-		0	O	0.01	4	3	-
Faucets	Distillate oil	0	0.00	-	-	-	0	0.01	4	7	7
Showers	Distillate oil		0.02	œ	7	٠,	2	0.03	25	∞	17
Water Heater	Distillate oil	-	0.02	80	-	7	5	0.09	33	5	28
Total	Electricity	196	2.75	1520	450	1070	553	7.77	5427	1628	3799
Total	Natural gas	152	2.09	904	220	684	489	6.71	3368	823	2545
Total	Distillate oil	e	90.0	23	9	11	œ	0.15	79	61	9
Total	Ail	352	4.90	2446	919	1770	1,050	14.63	8874	2470	6404

I Petajoule = 10e15 joules.
 Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).
 Comulative carbon emissions calculated using electricity emissions factor for 1995. The error introduced is small because emissions factors change little over the analysis period.
 Incremental costs based on annualized method. Cumulative costs and benefits present valued to 1995 at a 7% real discount rate.

Table E.2: Summary of national effects	of national effect	is of residential efficiency standards in 2000	Mclency stand	ards in 2000							
				Annual in 2000				Cum	Cumulative 1990-2000	0	
		Primary		:			Primary		i		
		Energy	Coning	Bill	Incremental	Net Danset	Energy	Carbon	Bill	Incremental	Net PV
End-use	Fuel	Petajoules	Savings MT-C	34vings M 1995 \$/vr	M 1995 \$/vr	M 1995 Svr	Petaionles	Savings MT-C	34VIIIES M 1995 \$	M 1995 \$	M 1995 \$
CAC	Electricity	٥	0.14	89	38	30	62	1.18	417	295	122
Clothes Washer	Electricity	4	0.59	31.1	4	307	173	2.45	1241	15	1226
Clothes Dryer	Electricity	24	0.33	182	107	74	94	1.33	677	407	270
Dishwasher	Electricity	15	0.21	112	33	79	09	0.83	429	125	304
Dishwasher Motors	Electricity	12	0.17	8	37	53	48	0.67	346	141	202
Freezer 1990	Electricity	7	0.03	16	4	12	22	0.31	146	38	108
Freezer 1993	Electricity	7	0.10	51	31	19	42	0.61	299	187	112
Faucets	Electricity	11	0.15	87	14	73	45	19:0	316	54	263
НР	Electricity	6	0.05	25	13	12	30	0.42	193	95	86
Refrigerator 1990	Electricity	12	0.17	66	39	09	128	1.74	874	361	513
Refrigerator 1993	Electricity	8	1.22	713	325	388	504	6.84	3566	1698	1868
RAC	Electricity	14	0.20	111	10	101	137	1.95	898	66	775
Showers	Electricity	89	0.93	\$39	57	482	278	3.78	1954	214	1740
Water Heater	Electricity	54	0.76	410	73	337	449	6.31	3033	537	2497
Central Heat	Natural gas	∞	0.11	44	13	31	\$	0.88	330	86	232
Clothes Washer	Natural gas	24	0.34	144	9	138	102	1.41	559	23	537
Clothes Dryer	Natural gas	S	0.0	28	29	0	61	0.27	106	109	ن
Dishwasher	Natural gas	σ.	0.12	52	48	s	36	0.49	195	181	14
Faucets	Natural gas	7	60'0	42	22	70	28	0.38	147	80	19
Oven	Natural gas	12	0.17	73	38	35	72	0.99	380	205	175
Room heat	Natural gas	-	0.02	7	0	7	13	0.17	72	1	11
Range	Natural gas	18	0.25	109	4	\$9	107	1.47	564	237	327
Showers	Natural gas	42	0.58	257	98	171	172	2.37	200	321	286
Water Heater	Natural gas	145	1.99	859	154	706	1026	14.07	5307	971	4337
Central Heat	Distillate oil	0	0.00	0	0	0	0	0.00	0	0	0
Clothes Washer	Distillate oil	7	0.03	12	0	12	∞	0.14	47	2	45
Dishwasher	Distillate oil	-	0.01	4	e	_	6	0.05	91	13	·
Faucets	Distillate oil		10:0	4	2	2	3	0.05	91	∞	œ
Showers	Distillate oil	4	80.0	26	∞	81	91	0.31	86	30	89
Water Heater	Distillate oil	2	0.03	12	2	10	13	0.24	75	12	63
Total	Electricity	363	5.04	2813	786	2027	2,089	29.05	14359	4258	10101
Total	Natural gas	272	3.73	1616	438	11.77	1,640	22.49	8567	2226	6341
Total	Distillate oil	6	0.17	58	91	45	42	0.79	252	65	187
1 oral	All All	044	8.93	448/	1240	324/	3,770	52.33	23178	6249	16629

Petajoule = 10e15 joules.
 Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).
 Cumulative carbon emissions calculated using electricity emissions factor for 2000. The error introduced is small because emissions factors change little over the analysis period.
 Incremental costs based on annualized method. Cumulative costs and benefits present valued to 1995 at a 7% real discount rate.

Table E.3: Summary of national effects of residential efficiency standards in 2010	of national effec	ts of residentia	i efficiency s	tandards in 20	10						
				Annual in 2010				Cum	Cumulative 1990-2010	01	
		Primary					Primary				
		Energy		Bii	Incremental	Zet	Energy	Carbon	Bill	Incremental	Net PV
Dad see		Savings	Savings	Savings	Costs	Benefit	Savings	Savings	Savings	Costs	Benefit
CAC	Electricity	0	0.00	0	0	0	112	1.70	536	379	157
Clothes Washer	Electricity	22	0.75	380	· vo	385	721	10.37	3239	, 6	3198
Clothes Dryer	Electricity	51	0.74	397	235	163	200	7.19	2148	1291	857
Dishwasher	Electricity	25	0.35	186	. 25	131	283	4.00	1238	360	878
Dishwasher Motors	Electricity	20	0.28	150	62	88	228	3.22	866	407	592
Freezer 1990	Electricity		0.02	6	2	7	39	0.58	213	55	158
Freezer 1993	Electricity	9	0.08	42	56	16	106	1.57	541	338	203
Faucets	Electricity	19	0.27	153	25	128	207	2.85	894	152	743
HP.	Electricity	0	0.00	-	0	0	46	0.67	262	129	133
Refrigerator 1990	Electricity	Vi	0.07	39	15	24	220	3.01	1228	507	720
Refrigerator 1993	Electricity	69	0.94	542	247	295	1348	18.57	678 0	3229	3551
RAC	Electricity		0.02	12	-	10	214	3.12	1147	123	1024
Showers	Electricity	120	1.65	943	86	843	1278	17.62	5529	909	4922
Water Heater	Electricity	9	0.08	43	æ	36	724	10.32	4186	740	3446
Central Heat	Natural gas	'n	0'01	28	∞	19	132	1.81	532	158	374
Clothes Washer	Natural gas	31	0.42	181	7	174	427	5.85	1459	89	1400
Clothes Dryer	Natural gas	2	0.14	59	8	-	100	1.38	330	340	-10
Dishwasher	Natural gas	15	0.20	98	62	٧	169	2.32	564	524	\$
Faucets	Natural gas	12	91.0	73	38	35	128	1.76	415	227	188
Oven	Natural gas	<u>&</u>	0.25	111	21	54	237	3.25	840	454	386
Room heat	Natural gas	0	0.00	0	0	0	19	0.25	25	7	76
Range	Natural gas	27	0.37	163	8	86	350	4.80	1243	523	720
Showers	Natural gas	74	1.02	451	151	565	792	10.86	2566	806	1658
Water Heater	Natural gas	42	0.58	250	45	205	2014	27.62	8268	1512	6756
Central Heat	Distillate oil	0	0,00	ю	0	0	0	0.00	0	0	0
Clothes Washer	Distillate oil	2	0.04	15	0	15	31	0.59	123	4	118
Dishwasher	Distillate oil		0.02	1	9	2	12	0.23	47	38	6
Faucets	Distillate oil	-	0.02	1	4	4	12	0.23	45	21	23
Showers	Distillate oil	7	0.13	45	14	31	75	1.42	276	85	161
Water Heater	Distillate oil	1	0.01	4	1	3	25	0.47	117	19	86
Total	Electricity	374	5.24	2906	780	2125	6,026	84.8	28938	8355	20583
Total	Natural gas	234	3.21	1402	511	891	4,368	59.9	16309	4705	11603
Total	Distillate oil	12	0.23	79	25	54	156	3.0	609	168	441
Total	All	620	8.68	4387	1316	3071	10,550	147.7	45856	13229	32627

(1) I Petajoule = 10e15 joules.

⁽²⁾ Electricity expressed as primary energy at 10,800 Btu/k/W (3.165 k/Wh.primary/k/Wh.electricity).

(3) Cumulative carbon emissions calculated using electricity emissions factor for 2010. The error introduced is small because emissions factors change little over the analysis period.

(4) Incremental costs based on annualized method. Cumulative costs and benefits present valued to 1995 at a 7% real discount rate.

Table E-4: Summary of state-level effects of residential efficiency standards in 1995 Annual in 1995 Primary Bill Net Energy Incremental Savings Savings Savings Costs Benefit MT-C M 1995 \$/yr M 1995 \$/yr M 1995 \$/yr End-use Petajoule Alaska AK 4.3 0.7 0.01 1.2 3.0 Alabama AL 5.7 0.09 36.0 10.6 25.4 Arkansa AR 3.5 0.05 22.7 6.6 16.0 Arizona ΑZ 7.0 0.10 55.0 13.6 41.4 California 37.1 CA 0.36 289.1 68.6 220.5 Colorado co 5.8 0.10 32.1 11.5 20.6 Connecticut CT 3.3 0.04 32.0 6.6 25.4 District Of Columbia DC 0.7 0.01 4.7 1.3 3.4 Delaware DE 1.0 0.01 7.9 2.1 5.8 FL Florida 23.0 0.32 172.4 42.8 129.6 GA 10.7 0.15 73.1 21.0 52.1 Georgia Hawaii Н 1.4 0.01 19.2 2.6 16.6 Iowa IA 3.8 0.06 24.4 7.6 16.8 Idaho ID 1.7 0.03 8.2 3.4 4.8 Illinois IL 0.25 31.0 16.3 116.1 85.1 Indiana IN 8.7 0.13 50.2 16.8 33.4 Kansas 15.2 3.6 0.06 22.5 7.2 Kentucky KY 9.9 5.1 0.08 25.8 16.0 Louisiana LA 6.0 0.09 38.5 11.1 27.4 Massachusetts 55.3 43.1 MA 0.07 6.2 12.2 Maryland MD 6.5 0.09 47.4 13.3 34.1 Maine ME 1.3 0.01 11.7 2.7 9.1 Michigan MI 13.5 0.21 79.7 26.4 53.3 Minnesota MN 37.6 13.2 24.4 6.4 0.11 Missouri MO 7.6 0.13 45.7 15.0 30.7 Mississippi MS 3.3 0.05 20.3 6.3 14.0 Montana MT 1.0 0.02 5.2 2.0 3.2 North Carolina NC 10.7 0.15 769 21.3 55.6 North Dakota ND 0.8 0.01 4.4 1.7 2.7 Nebraska NE 0.04 12.1 2.3 7.6 4.4 New Hampshire NH 1.2 0.01 11.2 2.4 8.8 New Jersey NJ 9.6 0.12 79.4 18.4 61.1 New Mexico NM 2.1 0.03 14.3 4.1 10.3 Nevada NV 3.0 0.05 16.8 6.0 10.8 NY New York 20.3 0.26 194.3 38.8 155.5 Ohio OH 15.6 0.24 98.7 29.8 68.8 Oklahoma OK 4.5 0.07 25.2 8.6 16.6 OR 4.4 0.04 23.7 8.5 Oregon 15.2 Pennsylvania PA 15.5 0.20 115.2 29.7 85.5 Rhode Island RI 1.0 0.01 2.0 9.1 7.1 South Carolina SC 5.i 0.07 33.8 9.9 23.8 South Dakota SD 0.9 0.02 5.5 1.9 3.6 Tennessee TN 7.4 0.12 39.4 14.4 25.0 TX Texas 26.0 169.5 48.0 121.4 0.40 Utah UT 2.6 0.04 14.3 5.2 9.1 Virginia VA 9.2 0.13 45.1 63.7 18.5 Vermont VŢ 0.6 0.01 4.6 1.2 3.4 Washington WA 20.7 7.8 0.07 35.7 15.0 Wisconsin WI 7.4 0.11 45.9 14.5 31.4 West Virginia wv 2.1 0.03 12.4 4.2 8.2 Wyoming WY 0.01 0.6 3.0 1.9 Total US 352 4.9 2446 676 1770

^{(1) 1} Petajoule = 10e15 joules.

⁽²⁾ Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).

⁽³⁾ Incremental costs based on annualized method.

Table E-5: Summary of state-level effects of residential efficiency standards in 2000 Annual in 2000 Primary Energy Bill Incremental Net Savings Savings Costs Benefit Savings М 1995 \$/уг End-use Petajoules MT-C M 1995 \$/yr M 1995 \$/yr Alaska ΑK 0.01 8.5 2.4 6.1 1.3 Alabama AL 10.3 0.17 65.0 19.2 45.9 Arkansa AR 6.2 0.09 40.0 11.9 28.1 ΑZ Arizona 13.5 0.19 106.3 26.3 80.0 California CA 69.0 0.66 544.1 130.5 413.5 Colorado CO 11.3 0.19 63.0 22.2 40.8 Connecticut CT 6.1 0.07 58.7 12.1 46.6 District Of Columbia DC 1.3 0.02 8.8 2.4 6.4 Delaware DE 1.8 0.03 14.0 3.6 10.5 Florida FL 42.6 0.59 319.3 79.4 239.9 GA 20.3 137.9 39.0 98.8 Georgia 0.28 Hawaii HI 2.6 0.02 35.8 5.0 30.7 43.5 13.4 30.2 Iowa IA 6.8 0.11 Idaho ID 3.4 0.06 16.1 6.6 9.5 150.8 Illinois IL 28.8 0.44 206.1 55.2 Indiana IN 15.7 0.24 90.4 30.5 59.9 KS 6.6 0.11 40.9 13.0 27.9 Kansa KY 17.7 Kentucky 9.3 0.15 47.2 29.6 10.3 66.6 19.6 47.0 Louisiana LA 0.16 101.7 Massachusetts MA 11.3 0.13 22.5 79.2 MD 12.3 0.17 89.7 24.1 65.6 Maryland Main ME 2.5 0.03 22.0 4.9 17.0 24.1 0.37 47.0 Michigan MI 143.4 96.4 45.8 Minnesota MN 11.9 0.20 69.5 23.8 54.9 Missouri MO 13.6 0.23 81.5 26.6 MS 25.1 Mississippi 6.0 0.10 36.3 11.2 2.0 Montana MT 0.03 9.8 3.8 6.1 NC 106.5 145.7 39.2 North Carolina 20.2 0.28 North Dakota ND 1.5 0.02 7.9 2.9 4.9 Nebraska NE 4.0 0.07 21.7 7.9 13.8 New Hampshire NH 2.3 0.03 21.4 4.5 16.9 NJ 110.6 17.3 0.22 144.1 33.5 New Jersey New Mexico NM 4.0 0.06 27.7 7.8 19.9 NV 5.9 0.10 33.7 21.8 Nevada 11.9 New York NY 35.6 0.46 342.5 273.8 68.7 OH 175.1 53.0 122.1 Ohio 27.4 0.42 Oklahoma OK 7.9 0.12 44.5 29.3 15.2 OR 44.7 28.4 Oregon 8.4 0.07 16.3 Pennsylvania PA 27.6 0.35 206.5 53.7 152.8 RI Rhode Island 1.8 0.02 16.8 3.7 13.1 63.0 44.9 South Carolina SC 9.5 0.13 18.1 South Dakota SD 1.7 10.1 6.7 0.03 3.5 TN 73.7 26.4 47.3 Tennesse 13.8 0.22 ΤX 88.3 215.7 Texa 46.8 0.71 304.1 UT 18.0 Utah 5.1 0.09 28.1 10.1 Virginia 34.0 VA 17.5 0.24 120.8 86.8 Vermont VT 2.3 6.5 1.1 0.01 8.8 68.6 Washington WA 15.0 0.13 29.2 39.4 Wisconsin WI 13.4 0.21 83.3 26.2 57.1 wv 14.8 West Virginia 3.8 0.05 22.1 7.3 Wyoming 0.02 5.8 1.2 2.2 Total US 4487 3247 644 8.9 1240

^{(1) 1} Petajoule = 10e15 joules.

⁽²⁾ Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).

⁽³⁾ Incremental costs based on annualized method.

Table E-6: Summary of state-level effects of residential efficiency standards in 2010 Annual in 2010 Primary Bill Net Energy Incremental Savings Savings Savings Costs Benefit M 1995 \$/yr MT-C M 1995 \$/yr M 1995 \$/vr End-use Petajoules Alaska AK 1.2 0.01 8.8 2.6 6.2 20.1 42.3 Alabama ΑL 9.9 0.16 62.4 Arkansas AR 5.7 0.09 37.2 12.3 24.9 Arizona ΑZ 13.8 0.20 110.2 29.6 80.6 California CA 68.8 0.63 564.4 148.2 416.2 Colorado CO 11.4 0.20 65.1 24.4 40.7 Connecticut CT 6.2 0.07 59.1 13.2 45.9 District Of Columbia 1.2 0.02 2.4 6.0 DC 8.5 Delaware DE 1.9 0.03 14.2 3.8 10.5 233.9 FL 42.6 0.60 320.3 86.4 Florida Georgia GA 20.8 0.29 140.8 42.2 98.6 Hawaii Н 2.6 0.02 34.5 5.7 28.8 40.0 Iowa IA 6.2 0.11 13.2 26.8 Idaho ID 3.4 0.06 7.4 9.0 16.4 Illinois IL. 25.8 0.41 191.3 56.0 135.3 Indiana IN 14.4 0.23 31.3 52.0 83.3 Kansas KS 6.3 0.11 39.6 13.5 26.0 Kentucky ΚY 8.9 0.15 45.3 18.0 27.3 40.7 Louisiana I.A 9.4 0.14 60.8 20.1 Massachusetts 101.7 77.5 MA 11.3 0.13 24.2 Maryland MD 12.6 0.18 91.4 25.4 66.0 Maine ME 2.5 0.03 22.9 5.5 17.5 47.5 Michigan 21.9 87.2 MI 0.35 134.8 Minnesota MN 11.5 0.20 68.0 24.6 43.4 Missouri MO 0.22 77.2 27.3 49.8 12.7 Mississippi MS 5.7 0.09 34.6 11.5 23.1 Montana MT 1.9 0.03 9.4 4.0 5.5 North Carolina NC 20.6 0.29 148.1 41.8 106.4 North Dakota ND 1.4 0.02 7.5 3.0 4.5 Nebraska NE 3.8 0.07 20.3 12.2 New Hampshire NH 2.4 0.03 22.9 5.2 17.8 New Jersey NJ 16.3 0.21 139.3 35.4 103.9 New Mexico NM 4.1 0.06 28.8 8.7 20.1 Nevada NV 6.2 0.11 35.5 13.4 22.1 New York NY 32.2 0.41 316.6 69.5 247.1 Ohio OH 24.3 0.38 158.8 52.8 106.1 Oklahoma OK 7.3 0.11 42.1 15.7 26.3 Oregon OR 8.3 0.06 43.5 18.0 25.5 Pennsylvania PA 25.4 0.33 192.5 55.0 137.6 RI Rhode Island 1.8 0.02 17.0 4.0 13.0 South Carolina SC 9.5 0.13 63.4 19.3 44.2 South Dakota SD 1.7 0.03 9.8 3.6 6.2 Tennessee TN 13.7 0.22 73.2 27.9 45.3 Texas ΤX 44.3 0.69 290.9 95.4 195.5 Utah UT 5.3 0.09 29.5 11.3 18.2 Virginia VA 17.9 0.25 123.3 36.2 87.1 Vermont VT 1.2 0.01 9.2 2.5 6.6 35.9 Washington WA 15.1 0.12 68.6 32.7 WI 12.4 0.20 77.3 27.0 50.4 Wisconsin wv 3.5 7.1 West Virginia 0.05 20.4 13.3 Wyoming 0.02 5.9 2.5 Total US 620 4387 1316 3071 8.7

^{(1) 1} Petajoule = 10e15 joules.

⁽²⁾ Electricity expressed as primary energy at 10,800 Btu/kWh (3.165 kWh.primary/kWh.electricity).

⁽³⁾ Incremental costs based on annualized method.