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BUILDING ENERGY USE COMPILATION AND ANALYSIS (BECA) PART C: CONSERVATION PROGRESS IN RETROFITTED COMMERCIAL BUILDINGS

Howard Ross and Sue Whalen

August 1982

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Submitted to Energy and Buildings. LBL-14827

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BUILDING ENERGY USE COHPILATION AND ANALYSIS (BECA) PART C: CONSERVATION PROGRESS IN RETROFITTED COMMERCIAL BUILDINGS

Howard Ross and Sue Whalen

Buildings Division U.S. Department of Energy Washington, D.C. 20585

August 1982

The BECA series is available from the Buildings Energy Data group, Lawrence Berkeley Laboratory, and includes:

Part A = New residential buildings (published in Energy and Buildings 3 (1981) 315-332).

Part $B =$ Retrofit residential buildings (Submitted to Energy and Buildings).

Part $C =$ Commercial buildings (Submitted to Energy and Buildings).

Part $D =$ Appliance energy use

Part $V =$ Validation of computer programs

This work was supported by the Assistant Secretary for Conservation and Renewable Energy, Office of Buildings and Community Systems, Buildings Division of the U.S. Department of Energy directly and under Contract No. DE-AC03-76SF00098.

ABSTRACT

Data on actual energy use were compiled for 223 retrofitted U.S. commercial buildings and analyzed for several quantities of interest: average savings, average retrofit cost, correlation between cost and savings, type of retrofit attempted, etc. Dominant building types were schools and offices; nearly all buildings included operations and maintenance changes as part of the retrofit. Eighty-nine percent of the buildings which saved energy by retrofitting achieved a payback (simple) in less than 3 years. Nine percent of the buildings failed to save, (generally because of improper maintenance) indicating there is some risk in conservation investment. Average savings for the entire sample was 20 percent, at an average cost of $$0.62$ per square foot. On a more limited subsample, energy savings predictions made by the auditors prior to retrofit were compared to actual energy savings. About 60 percent of the time actual savings exceeded predictions. Data were available on 15 buildings for savings achieved over a number of years following the retrofit; in most cases the savings persisted, and even increased.

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1.0 InTRODUCTION

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Of the recent attention' to energy conservation, only small efforts have been placed in the commercial building sector. At this point in time, no accurate accounting is available for this sector's floor area, number of buildings, building type and functional energy use. Forecasting models, which, predict future use are relying on rough estimates for these parameters⁽¹⁾ and have <u>no</u> actual data on the retrofit progress or potential in this sector. Also while many audits on commercial buildings have been performed and could yield estimates of energy saving potential, few studies have looked at actual savings. There is widespread skepticism of the common use of estimation techniques for many policy, marketing, and engineering-related issues. Measured data can be used more appropriately (1) to determine the energy-saving potential of various retrofits; (2) to evaluate the effectiveness of state and Federal energy conservation grant programs; (3) to determine the extent to which conservation can offset the need for new energy supplies or increased energy costs; (4) to present case studies to interested, but uncertain, potential investors in conservation; and (5) to redirect Federal and private research efforts.

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Rosenfeld et al (Rosenfeld, 1980) attempted a very limited survey of actual case histories of commercial building retrofits, and used the most successful buildings in the data to predict the technicallyfeasible, economically-justified potential for energy savings in the sector. Misuriello and Bily (IEA, 1981) also collected some commercial building retrofit data on forty buildings by reviewing the last several years of "Energy User News," a trade publication.

The goal of this study was to expand the previous data collection efforts for this difficult sector, to determine any possible correlation between retrofit costs and actual savings, to examine the types and finances of retrofits, and to determine to what extent owners are investing in conservation. It is stressed that the only data which was accepted for inclusion in the study was actual metered energy use, for a period of at least one year before and one year after installation of the retrofit. Data herein is presented on two hundred and twenty-three (223) buildings, the majority of which are schools and offices.

The ORNL Model (ORNL, 1980) is felt by its developers to contain accurate figures for overall energy use, but the data for square footage and for energy use per square foot may be as much as 50% in error. Such discrepancies exist between (EIA, 1981) and (ORIIL, 1980) according to Corum (Corum, 1981). The results of our survey lead us to believe the latter parameter used by ORNL for schools is too high; the schools in the most severe climates use less resource energy than that estimated by average in the model (See Figures lA and IB).

1.1 HETIlODOLOCY OF STUDY

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The data sought for each building was: building type, annual energy consumption by fuel type before and after retrofit, retrofit description and cost, and year of the retrofit installation. Upon receipt of this data the following were calculated: site and source total energy consumption (kBtu/sq.ft/yr) before and after retrofit, (2) percent reduction in consumption, simple payback period, cost in 1980\$/sq.ft. spent to retrofit and the cost of conserved energy in 1980\$/HBtu saved in site and source energy.

Several tactics were employed to locate and collect this data. In addition to a literature search,. all State Energy Offices were called for data on state-owned. facilities and for other sources of retrofit data within the state. Several engineering consultants and trade associations known to be involved in building conservation were also con-Appendix A summarizes the data sources and Appendix B displays all calculated values.

The data was not normalized for varying weather conditions. Commercial building energy use has a diminished dependence on climate as the building size increases. The majority of the sample had a floor area over 50,000 square feet. In larger buildings lighting and other
climate-independent energy uses predominate. Appendix C provides climate-independent energy uses predominate. further discussion on this topic. All cost data has been normalized to 1980 levels by using the Gross National Product Price Deflators of the United States Bureau of Labor Statistics (BLS, 1980). Unfortunately, cost data was obtained on less than 30% of the sample. Frequently, only portions of the desired data were available. For this reason, the sample size differs between various calculated values of interest; the sample size is displayed on many of the figures and tables.

2.0 TYPES OF RETROFITS

Figure 2 shows the types of retrofits which were installed in the two hundred twenty-three (223) buildings in the sample. Interestingly, the frequency distribution of retrofits parallels that which most handbooks suggest as the typical prioritized order of retrofit of a single building, i.e., operations and maintenance efforts most frequent, then lighting, and mechanical system retrofits, etc; rarely can major architectural changes be justified on a cost-effectiveness basis, and do not appear in the sample. Thus, the sample has the appearance of a "statistical survey". though obviously it is not. It does provide some assurance that the correct retrofits are being installed wiih some frequency.

(2) Site energy consumption (kBtu/ft² - yr) was calculated as follows: (Annual fossil fuel bill + Annual electric bill *3413)/Cross Floor Area. Source energy consumption was calculated as (Annual fossil fuel bill + Annual electric bill *3413 *3)/Cross Floor Area. The factor of 3 transforming site electric usage to source is to account for.inefficiencies in generation and distribution of electricity.

The nomenclature of retrofit measures varied from one owner to another. What was simple operations and maintenance to one and maintenance to one owner/operator was a capital investment to another. This can be seen in the wide range of costs associated with "operations and maintenance" measures. Our definitions are described in Table **1.**

As can be seen from Figure 2 only a fraction of the buildings have been retrofitted in any extensive manner. No examples of a "solar" retrofit could be found which had pre-retrofit and post-retrofit data (although we know of two buildings in which such retrofitting has been done recently). When one excludes operations and maintenance, light-
ing⁽³⁾ and caulking and weatherstripping measures, the number of buildings which have been retrofitted shrinks to seventy-nine or about one third of the sample.

no "innovative" retrofits--night insulation, passive solar additions, automatic daylighting control systems, significant waste heat recovery--were described. The only cases of wall insulation were in a series of schools in Haine, where frequently windows were boarded and replaced by insulated opaque wall sections; in addition some of these schools also retrofitted their walls with insulation. This retrofit is normally considered expensive and thus it is surprising that the payback period for these schools was so rapid (about 3 years). It was also surprising to note that despite the reduction in available daylighting, few of these schools increased their use of electricity. In the entire sample, lighting retrofits were dominated by delamping, although twenty-five (25) buildings in the sample did replace tubes with more efficient ones. Only three building owners installed task lighting, although this can be a large energy saver. Again, no building in the sample substituted a dimming system for perimeter lighting or other daylighting systems.

2.1 RETROFIT SAVINGS

Figure 3 shows the amount of energy saved as a function of the buildings' energy use before retrofit. There is the general expected trend of increased savings with increased energy use. However, savings varied over a wide range - where the original energy use varied from building to building by a factor of ten (10), savings differed by a factor of fifty (50). Lines of 5% through 40% savings are shown on this graph. At any particular level of energy use $(e.g., 200,000)$ graph. At any particular level of energy use Btu/sq.ft/yr), savings varied by an order of magnitude, even when excluding the failed retrofits (those which did not save energy).

For the one hundred eighty-four (184) buildings for which data could be obtained and which successfully retrofitted, the average savings of resource energy was $23 \pm 15\%$; when failed retrofits are included, savresource energy was $23 \pm 15\%$; when failed retrofits are included, ings shrink to $17 \pm 17\%$. Figures 5A & B show little difference in representing this data as site or source. There was little variation

⁽³⁾ This includes light bulb removal, the most frequently occurring lighting retrofit by far.

between building types with most types averaging between twenty (20) and thirty (30) percent. (See Table 2).

Savings in fossil fuel frequently exceeded electricity savings. On the average, 26% (N=156) was saved 1n fossil fuel, while only 19% (U=127) of electricity was saved when excluding failed retrofits or 24% $(N=169)$ was saved in fossil fuel, while only 8% ($N=177$) of electricity was saved when including failed retrofits. One of the postulates of Rosenfeld et al was that equal percentage savings could be obtained economically in existing commercial buildings; in their very limited sample, savings were 47% and 30% respectively. While equal savings may be economically justifiable over the long term, such proportionality does not seem to occur in the first 25% savings. It is apparently more economical to save fossil fuel than electricity. (4) These results are similar to those shown in Hirst et al (Hirst, 1981) with a survey of forty-eight (48) hospitals.

The maximum savings of any building was seventy-five percent- (75%): however, this building on the Ohio State University Campus, prior to retrofit, used twice the national average of fossil fuel and electricity. The greatest failure to save occurred in a community center where thirty-one percent $(31%)$ more energy was used after retrofit.

2.2 COSTS AND PAYBACK PERIODS

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Similar to the-above data, retrofit costs varied over a wide range without a simple distribution (Figure 4). The average costs were $$0.62$ per square foot \pm \$0.51 per square foot. There was no significant difference due to building type.

A comparison was made between the cost of the retrofit and both the amount and percentage of energy saved. As can be seen from Figures 6A and 6B, no simple correlation exists. When certain buildings are excluded as possibly anomalous, (5) some of the data scatter disappears but not to acceptable levels. The general trend of diminished returns may be observed. Above \$0.40 per square foot of investment, savings per dollar invested are between 5% and 10%; a linear regression of this data gives the following relation: Percent Saved = $22 + 10$ x Dollar invested. However, this relation remains statistically poor (R squared is 0.52). As can be seen, at investment levels below \$0.40 per square foot, no simple cost-savings trend can be found.

(4) Rosenfeld et al did show a much greater savings in fossil fuel in new office buildings.

(5) The Ohio State University Buildings ($#90, #91, #92$ & $#95)$ were operated 24 hours per day with reheat type mechanical systems, where large savings are abnormally cheap. Excluded also are those buildings which spent more than \$0.10 per square foot but saved less than 10%, and the European Buildings.

Figure 7 shows the simple payback period distribution of the sample. As expected, almost 90% of the sample achieved payback periods of three (3) years or less. Long term investments were rare. Based on conversations with those building owners who found actual paybacks greater than three years, they did not plan on investing on such a "long-term."

The usual source of the capital for retrofit was profit, not loans. In the case of non-profit institutions such as schools, fundscame from general funds, operations and maintenance funds, or State Emergency Funds, but not from floating new bonds.·

2.3 COST OF SAVED ENERGY

It has often been contended that in a "least cost" national energy policy, it is appropriate to compare the costs of producing new energy
sources to the costs of saving an equal amount of energy. Without sources to the costs of saving an equal amount of energy. regard to other policy considerations (national security, rate of implementation, equity, etc.), the least expensive energy strategy is the most beneficial. In this study, a comparison was performed between the actual cost-benefit of commercial building conservation and the average cost of supplying an equivalent amount of energy to the commercial sector.

To perform such a comparison, one must assign an investment cost to the retrofit. We collected the first cost and divided by the first year energy savings. We then have calculated the costs as if the money was obtained .through a loan from a banking institution, and repaid over a number of years. Rosenfeld et al suggested using a loan repayment schedule or "capital recovery rate" (CRR) of 0.10 for the commercial building sector. The CRP. is defined as the annual real cost, after inflation, of repayment of a loan; it is calculated as $CRR = I/(1-(1+I)^{-N})$ where I=real interest rate; i.e., above inflation, N=number of years of loan. The value of 0.10 is equivalent to 1=10% and N=20 years. In this study, the source of retrofit capital was not loans. The useful life for most retrofits was substantially less than 20 years. For example, operations and maintenance tend to be ongoing expenses, lighting tubes need to be replaced every three years, boiler tune-ups are at least annual and caulking is usually replaced every ten years. Other retrofits such as conversion to variable on volume systems require inspection and possible replacement of damper leakage every few years. Since these shorter-term retrofits dominate the sample, we display on Figure 8A & 8B capital recovery rates of 0.16 and 0.25 based on N=10 and N=5 years respectively. Using the latter value, it can be seen that 62% of the sample had an actual cost of conserved energy below the mid-1981 commercial gas price, 82% are below the oil price and 89% are below the commercial electricity price. These prices are not the much higher "marginal or replacement" costs of producing and distributing new oil, gas, and electricity. If these production costs are used, even a higher percentage of the sample would fall into the "least cost" portion.

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3.0 FAILED RETROFITS

Of the two hundred twenty-three buildings in which retrofits were installed, twenty (nine percent) failed to save energy, and in most instances, consumption actually increased.

In a series of sixteen New York State Owned Buildings (#45-#60 in Appendices A and B) five increased consumption after retrofit. However, ten other of these buildings achieved savings with a 'similar retrofit (tuning of combustion equipment) performed by the same personnel. Some variation in the magnitude of the savings was expected due to the different initial condition or efficiency of the combustion equipment. However, in no case should one expect a trend to increase consumption; two possible explanations are that the personnel who performed the tuning made a mistake (unlikely, given the savings in other buildings) or that on-site personnel failed to maintain the equipment at the peak efficiency following the tuning. No other changes in the building No other changes in the building. schedule or operation are known.

Similar discrepancies appear in eighteen Columbia, Haryland community centers $(\#207 - \#235)$. Many of these centers are of similar size, construction and use; retrofit measures though not identical, were similar and entirely specified by one consulting firm. The results range from a thirty-one percent (31%) increase in consumption to a savings of fifty-three percent (53%). It is likely that maintenance personnel (the only variable) undermined the potential of the retrofit. In addition, in all cases where reflective film was added to windows, the film failed to stay in place over time, requiring re-installation with a different adhesive (this had not been done prior to the completion of our study).

In eighty (80) Buffalo, new York schools *(11120-11201)* eleven failed to save energy without an apparent explanation. While we obtained an accurate description of the major retrofits in each school, the detail of actual operations and maintenance was vague. Based on the cases cited above, it is suspected that on-site personnel were the culprits.

Finally, in the "Saving Schoolhouse Energy" study of nine (9) schools *(1198-#106)* savings achieved were dramatically less than predicted. In every step of the retrofit process--selection, specification, installation and maintenance--errors could be detected "post mortem." In one school building, operator disinterest caused a blown steam trap to remain "in service" though installation of a new one would have paid back in weeks.

3.1 ESTIMATED VS. ACTUAL SAVINGS

Thousands of energy audits have taken place in the United States for the expressed purpose of providing estimates of costs and savings which would result from retrofitting a building. Yet, no systematic study has . ever been done which compares these estimates with actual savings.,

In this study, sources were available to allow partially, such a comparison: (1) The Haine Schools; (2) The Columbia, Haryland Community Centers; (3) The "Saving Schoolhouse Energy" Schools; (4) The Ohio State Secondary Schools and (5) one building monitored by Complete Building Services (CBS).

The twenty-one (21) Haine Schools and the CBS building planned a five-year (5) payback period when selecting retrofits. In both cases, the actual payback period was three years, thus the estimates undervalued the $retrofits.$ ⁽⁶⁾

On the Ohio State Campus, actual savings met or exceeded estimates of the engineers who performed the audit with "hand calculations". (7)

In the nine (9) "Saving Schoolhouse Energy" elementary schools, actual savings were far less than that predicted by detailed computer simulation. The reasons for this discrepancy have already been discussed.

For the eighteen (18) Columbia, Maryland Community Centers, estimated and actual post-retrofit energy use are shown in Table 3. No systematic difference could be found, again for reasons discussed in the previous section.

In total, of the sixty (60) buildings for which some quantitative judgment could be made, sixty percent (60%) saved more than estimated and forty percent (40%) less. No generalization can be made from this limited sample.

3.2 DURABILITY OF RETROFITS

It is possible to determine the durability of retrofit measures by comparing the energy consumption of the year immediately following retrofit to the consumption several years later. Figure 10 shows this relationship for fifteen (15) buildings. Hore than half of the buildings saved even more energy in the following years, and the remainder increased consumption slightly. There were no large changes due to eventual failures in the retrofit performance over time. Unfortunately eventual failures in the retrofit performance over time. this collection of data contains a very limited sample of buildings for which several years of consumption data are available after retrofit. llost of the data is only for a few years (2 or 3) following retrofit.

 (6) No estimates of energy use after retrofit were available.

⁽⁷⁾ Estimates of post-retrofit energy use for three buildings are available in "A Methodology For The Prediction of Change in Energy Consumption As Result of lIVAC System Operations Hodifications" Purdue, April, 1976, Proceedings of the Conference on Improving Efficiency and Performance of lIVAC Equipment and Systems for Commercial and Industrial Buildings.

4.0 CONCLUSIONS AND RECOMMENDATIONS

Civen the variation between retrofit success and costs, few generalizations can be drawn from the study. The summary of key findings is shown in Table 4.

Building owners are not investing on the long-term. Three year paybacks--or at most five-year paybacks--are the limits of investment. Yet even with investment at this level taken from profits, the cost of saved energy is usually a fraction of costs to locate and process new energy sources.

There is some uncertainty regarding the likelihood of success when retrofitting. Failures occur most frequently when on-staff maintenance personnel are not competent to sustain the 'operability of the retrofitted equipment.

Few, if any, "innovative" retrofits were installed. The reason for reluctance by building owners is unknown, but likely to be for financial reasons or uncertainty regarding the probability of success. Instead, reasons or uncertainty regarding the probability of success. the' typical recommended retrofits--operations and maintenance, lighting modifications, etc--are being installed most frequently.

The average savings of fossil fuel were 1.4 times the average savings of electricity, suggesting it is simpler and more beneficial financially to save fossil fuel than electricity in the commercial sector.

The paucity of retrofit examples in the multi-family sector suggests that this sector is lagging others in the commercial sector and should be a principal target of future study for possible Governmental action.

Continuation and expansion of a retrofit data base is needed to improve forecasting models as well as to allay fears of building owners regarding the viability of energy conservation. A larger data base would also be able to relate more directly to the overall building stock.

5.0 ACKNOWLEDGEMENTS

Special thanks to the following people and organizations who voluntarily spent time helping to collect and organize the data found in this report:

Linda $Iiodd -$ Maine Advancement Program; all the superintendents of the schools involved with the NAP study. Jerry Shwinn and Jack Stefaric - Hagler, Bailly and Company Gay Pollard and Maynard Bowman - New York State Energy Office Dick Anderson - Flack and Kurtz Consulting Engineers Wally Carpenter - EBASCO Services, Incorporated Robert Fuller - Robert Fuller and Associates Dallas Sullivan - Ohio State University Rix Beals - New Jersey Department of Energy Henry Baxter - Buffalo Board of Education

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Jacqueline Dewey - Columbia Association C.B. Cibson and Ray Pratt - Hontgomery County Board of Education Wayne Erickson - Maryland Department of Education Stanley Domal - Hillsborough County, Florida Board of Education. Bob Springer - Oklahoma Department of Energy

A great many people in the State Energy Offices were very helpful in supplying leads for data.

John Flaherty, on sabbatical leave from Yuba Community College and working in the Building Energy Data (B.E.D.) Croup at Lawrence Berkeley Laboratory inherited this study at the stage when the data were handwritten and hand processed. He generalized and adapted the B.E.D. computer data base to accommodate this commercial data, entered and critically processed the data and produced the final figures and tables. We are most indebted.

The Building Energy Data Croup is coordinating further collection of data and analysis of both retrofitted and new commercial buildings. New collaborators are solicited and future expanded editions of this report are planned by Lawrence Berkeley Laboratory.

This work was supported by the Assistant Secretary for Conservation and Renewable Energy, Office of Buildings and Community Systems, Buildings Division of the U.S. Department of Energy directly and under Contract No. DE-AC03-76SF00098.

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Table 2. Stratifying the Sample By Building Type-Savings in those
building categories where significant sample sizes were obtained did not show much variation.

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BEFORE RETROFIT

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Fig. 1A, B. Energy Use Before and After Retrofit--Note that the largest energy users (located in the upper right of each figure) tend to have the greatest reduction in energy use. Also, the figures show the national average energy use for various building types (denoted as \circled{a}). Our sample shows that electrical energy use of schools is much below the published school national average (ORNL, 1980); the overall source energy difference is about 50%.

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Fig. 2. Histogram of Installed Retrofits.

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Fig. 3. Energy Savings Versus Energy Use--Beware the scale change on the figure. While there exists a general trend toward increased savings as pre-retrofit energy use increases, simple correlations do not exist; however, to guide the eye, we have plotted lines corresponding to 5% through 40% savings. To convert to SI energy use, multiply kBtu/sq. ft./yr by 0.9 to achieve $GJ/m^2/yr$.

Fig. 4. Retrofit Cost--Those buildings over \$3/sq. ft. are European.

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Fig. 5A, B. Site and Source Energy Savings--There is some controversy regarding the accounting of energy savings, whether to express savings in terms of site or source energy •.. As shown here, little difference in both the average savings or the distribution of savings. occurred in the sample for either accounting.

Fig. 6A, B. Energy Savings Versus Cost--Note the scale change on the There is tremendous scatter in the data; however in upper figure. nearly all cases, the savings were very cost-effective. Below, the scatter is reduced when percentage energy savings is plotted versus cost (except at low costs). Note the arrows $($) denote the average retrofit cost and the average fuel cost/year before retrofit.

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 $N = 65$ (Does not include 3 buildings which failed to save)

Payback Period (Years)

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Fig. 7. Simple Payback Period--Note that 89% of the sample achieved a payback within three years. Payback was calculated based on local utility costs or reported "cost avoidance."

COST OF SAVED ENERGY (1980 \$/MBtu - (site))

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COST OF SAVED ENERGY (1980 \$/MBtu - (site))

Fig. 8A, B. Cost of Saved Energy--While the cost of saved energy shows a wide distribution, it is usually less than the cost of supplying an equivalent amount of energy. At a CRR= 0.25, 9% of the sample saved energy at a cost less than the mid-1981 commercial building electricity cost as estimated by the U.S. Energy Information Administration. Note that the cost of saved energy (CSE) is related to the payback period (PP) by the following equation: $CSE = CRR*PP*$ Current Fuel Price per million Btu.

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Fig. 10. Retrofit Durability--Energy savings over time tended to be sustainable, with no building increasing its energy use more than 10% after the first year following successful retrofit. Most buildings actually showed a steady decline in energy use in subsequent years.

APPENDIX A: Data Sources

The following is a listing of the reports, companies, and associations which provided data, with a description of their efforts in retrofitting. Also described are some of the difficulties in collecting this data due to the collection effort taking place remotely and "after the fact." The numbers presented parenthetically correspond to the building number of Appendix B which provides more detailed information about each building.

(1-21) Haine Advancement Program (HAP)

The MAP consisted of twenty-one (21) Maine public elementary schools. which were retrofitted with state financial assistance via a 90% subsidy to the local school (the remaining 10% was paid for by the school). The state subsidy required schools to be oil-heated and retrofits to have a payback of five years or less. Retrofits concentrated on reducing space heating needs by boarding over windows and re-insulating walls.

i22-33) Total Energy Management Research Report

Research was conducted by the National Electric Contractors Association (NECA) and the National Electrical Manufacturers Association (NENA) to evaluate the effectiveness of their manual entitled Total Energy Management: A Practical Handbook on Energy Conservation and Management. The manual is intended to provide guidance to building owners and operators on opportunities to save energy in the building. The study involved fourteen (14) office buildings located in Philadelphia. involved fourteen (14) office buildings located in Pennsylvania. NECA-NENA selected three sample groups NECA-NEMA selected three sample groups for study: (1) Building Hanagers were provided solely with the Total Energy Management (TEll) manual, *1122-1125;* (2) Managers were provided with the TEH manual and the assistance of a professional consulting engineer, *1126-#28; (3)* Hanagers were given no assistance or consulation, #29-#33. The last group was intended as a control group. Two of its buildings did not retrofit and showed an increased consumption during the study period; however, the remaining three (3) buildings in this group were retrofitted "spontaneously" with an average savings of 24%. The first two sample groups saved 29% and 16% respectively. The small sample size and intervening factors such as changing occupancy prevented NEMA-NECA from drawing conclusions from the intended experiment.

(34-44) Hagler, Bailly and Company

In a study to find commercial buildings whose energy consumption was less than the levels once proposed in the Federal Building Energy Performance Standards (NOPR, 1979), eleven buildings were found which provided information for this study. Although no cost information was gathered by the original investigators, follow-up conversations allowed some cost data to be included in this report.

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(45-60) New York State Owned

The Bureau of Energy Conservation (BEC) of New York State began auditing state buildings in 1975. As part of the audit, in 1976 combustion specialists from BEC examined the combustion equipment controls and made modifications where necessary. An independent follow-up study was performed by the Legislative Commission on Expenditure Review (LCER) who studied the utility records of twenty (20) state facilities visited by the BEC staff. .

The LCER report contains fossil fuel consumption from 73/74 through 78/79 and electricity usage for the two years of 73/74 and 78/79. Electricity consumption was interpolated linearly for the missing years.

In addition to the LCER report, consumption data for these buildings was also available from the New York State Energy Office for the yeats 77/78 and 78/79. Discrepancies in the two data sources are shown in Appendix C.

$(61-67)$ P.PA

RPA-Paris'examined energy use in a few "model" commercial buildings located in Europe. These buildings included new and retrofit examples. The retrofit examples included in this report represent second or third generation attempts to save energy (they are very low energy users); we could not obtain data for the first two efforts.

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$(68-74)$ Flack and Kurtz

The engineering consulting firm of Flack and Kurtz submitted data for seven office buildings located in New York City. Before and after energy consumption by fuel type, retrofit measures, cost of retrofit and cost avoidance are available for all buildings.

(75-84) EBASCO

EBASCO Services, Incorporated of New York, NY., retrofits commercial buildings, guaranteeing the savings with a cash payment of any utility bills which exceed the estimated amount after the completion of work. The buildings are either presently being retrofitted or work has been completed recently. Therefore post-retrofit consumption is not the metered value. The data is considered valid, if not conservative, however, due to EBASCO's cash guarantee. Included are four hospitals, three universities, one office building and one multi-family apartment.

(85-84A) Complete Building Services (CBS)

Complete Building Services is a mechanical contracting firm located in Washington, D.C. CBS provides building energy management from their remotely located central office through a central computer control system. Included in this study are an office and shopping mall which are connected to this system. Retrofit costs are for both installation and the monthly service fees.

(8SA) Claude, Terry and Associates

Claude, Terry & Associates of Atlanta, Georgia submitted data for one large office building located in Tucker, Ceorgia.

(86) Robert Fuller and Associates

Data for this school located in Worthington, Ohio was provided by Robert Fuller and Associates, a consulting firm in the area of commercial building retrofit. Energy consumption by fuel type and type and cost of retrofit are available.

Energy conservation began in this school as a matter of necessity. This area of Ohio experienced a natural gas shortage in 1976-1977. As a result, the school conserved energy by sacrificing comfort and by closing on certain days. The following year the gas supply increased as did the consumption (by 6%); however, many retrofit programs which were started during the shortage were continued and/or completed in that year. In the following (78/79) year consumption reduced again. The result is an overall energy reduction of 24% with the school once again comfortable.

(87) Tampa Board of Education

As a test, the Tampa Board of Education installed a computerized energy management control system in one school. It is possible that the system will be expanded to other schools due to the success (28% savings) with its use at this school. Before and after energy consumption, retrofit description and investment are available.

(88-97) Ohio State University

These buildings, one (1) office, seven (7) classrooms, one community center, and one clinic are located on the campus of Ohio State University.

The average percentage savings is 49%, the highest in the sample. Prior to retrofit, these buildings had dual duct or reheat systems and were operated twenty-four (24) hours a day. Energy consumption was well above the average for universities. Due to the initial conditions at the school, high energy savings were easy to obtain at an average cost

of $$.84/ft^2$ (1980 \$).

(98-106) Saving School House Energy

Ten elementary schools were retrofitted by the American Association of Schools Administration with co-fundingifrom industry and the Federal Government. Reductions in energy consumption were substantially less than anticipated. in some cases the consumption actually increased.

(107-116) new Jersey State Owned

Several state facilities are examined. Data includes energy use by fuel type for 72/73 and 78/79. ' Specific measures implemented in each facility are not' included. The report stated that energy consumption declined as a result of operation and maintenance procedures only. An attempt was made to gather more information concerning individual projects and cost; however, this information could not be· obtained.

(117-119) West Virginia State Owned

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The West Virginia Department of Finance and Administration wrote "State of West Virginia - Energy Report, 1979-80". Included are before and after energy consumption for the years 1975 and 1979 and retrofit measures for the capitol complex buildings. Seven office buildings are included; however, a'separate gas and electric meter does not exist for each building. 'Therefore', two buildings are reported individually and five buildings are grouped together in this study.

(120-201) Buffalo, New York Board of Education

The Buffalo Board of Education submitted energy consumption data by fuel type for the eighty (80) elementary and secondary schools in this area. Energy data from· 72/73 to 79/80 is available. All schools were reported to have completed 0&11 measures; however, it was not possible to determine exactly which procedures were carried out at each school. Major retrofits, such as replacing HVAC equipment or installing double glazing are known for each school.

(204-205) Conservation Initiatives in United States Buildings

The paper gave an overview of the present energy use and the potential for reductions. Included is a compilation of some retrofit case studies for which before and after total site energy, retrofit measures and investments are available.

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(206) Hammer Consulting Engineers

The data for this building was provided by Hammer Consulting Engineers who performed the work on the building. The large office is an all electric and energy data is available for 1976-1979.

(206-230) Columbia Association

The Columbia Association of Columbia, Maryland submitted data concerning the retrofitting of the communities buildings. Hittman Associates recommended certain energy conservation measures and the Association implemented all measures with less than a three year payback. Data includes total source energy consumption before and after retrofit (1976 and 1978), retrofit measures and investment. Unfortunately the records of the raw energy data containing site consumption by fuel type have been lost. It should be noted that retrofits involving reflective film over windows will not show energy savings in the data due to a glue failure.

A.2 Additional Data Sources

A number of other data sources exist which could offer additional information concerning the success or failure of energy conservation measures. The following data have not been included in this report for reasons discussed below.

"Cost Containment in Hospitals Through Energy Conservation" discusses the conservation efforts of twenty-one (21) hospitals. Included in each case study is a brief building description, retrofit measure and cost savings. This booklet was written by FRS Dressler Corporation, under contract to the Department of Health, Education and Welfare, and is available from the Covernment Printing Office, Washington, D.C.

"Campus Energy Hanagement Projects" addresses energy conservation measures, investments and cost savings of more than sixty (60) colleges in the United States and Canada. A copy of this report can be obtained from the Association of Physical Plant Administrators, Washington, D.C. Neither of the above reports generally include the actual energy consumption before and after retrofit for the building or institution.

The Maryland State Department of Education has been keeping records for fifteen hundred (1500) schools (elementary and secondary) since 1977. Energy use by fuel type and cost of energy, on an annual and monthly basis is available. In order to determine the cost and type of retrofit implemented in each school, the individual schools or counties must be contacted.

The United States Postal Service maintains energy records for all the Post Offices in the country. One hundred of the largest buildings (20% of the total floor area) have been retrofitted within the last year. Late in 1981 a final report will be available stating energy and

cost savings, type and cost of retrofit. The remaining Post Offices (24,000) have made operational and maintenance revisions. **Contract Advised Service**

Energard Corporation located in Bellevue, Washington is an energy management consulting firm which conducts audits, aids in the design and phase, and monitors energy use for commercial buildings. This firm, has a total data base of 300 buildings (150 of which are currently being worked on). To obtain information concerning specific buildings, a cost of \$30 - \$50 per building should be expected to be paid to Energard according to company sources,

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APPENDIX B: Data Tables

The following table represents all the data collected and calculated for this study. The explanation of the table headings is as follows:

- A. BUILDINC nUHBER:
- B. LOCATION: City and state where building is located.
- C. AREA: Cross floor area in square feet.
- D·. USE CATEGORY: predominant use of building's occupied space. ELEH: elementary school; SEC: secondary school or university building; LO: Large office building; HOTEL: hotel or motel; HOS: hospital; CORR: correctional facility; RETAIL: retail or mercantile building; l1F-APT: multifamily apartment; CLINIC: outpatient health facility; MALL: collection of retail establishments; COMM CEN: community center, auditorium, etc.; PC: psychiatric center (classified as a hospital in the figures); DC: developmental center (classified as a hospital in the figures).
- Dl. RETROFIT MEASURE: The retrofits installed; 0: operations and maintenance; L: Lighting; W: Windows; C: caulking and weatherstripping;
	- I: insulation; II: heating, ventilation or air conditioning systems;
	- A: architectural changes (actually only doors); E: automated energy management control systems. .
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- E. BEFORE ELECTRICITY: electrical energy use before retrofit in kWh/ft^2 . El. BEFORE ELECTRICITY SITE: obtained by multiplying column E (kWh/ft^2) by 3.413 to express in units of $kBtu/ft^2$.
- E2. BEFORE ELECTRICITY SOURC: obtained by multiplying column El (kBtu/ft²) by 3.
- $E(\Gamma)$. BEFORE FUEL SOURC: oil, gas or steam use at source before retrofit. These values are the same as the site values for oil and gas, while for steam the site value was multiplied by 1.2 to obtain a corresponding
source value. Units are in kBtu/ft² source value. Units are in $kBtu/ft^2$.
- $E(T)$. BEFORE TOTAL SOURC: the sum of electricity and fuel use before retrofit in kBtu/ft², obtained by adding columns E2 and $E(F)$.
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	- IN KBLU/It , OBEAINED by adding columns E2 and E(F).
F. AFTER ELECTRICITY: electrical energy use after retrofit in kWh/ft².
1. AFTER ELECTRICITY SITE: obtained by multiplying column F (kWh/ft²) F1. AFTER ELECTRICITY SITE: obtained by multiplying column F (kWh/ft^2) by 3.413 to express in units of $kBtu/ft^2$.
	- $F2$. AFTER ELECTRICITY SOURC: obtained by multiplying column $F1$ (kBtu/ft²) by 3.
- $F(F)$. AFTER FUEL SOURC: oil, gas or steam use after retrofit. (See comments above for $E(F)$)
- $F(T)$. AFTER TOTAL SOURC: the sum of electricity and fuel use after retrofit in kBtu/ft² obtained by adding columns F2 and $F(F)$.
	- Cl. SAVED SITE: the amount of site energy saved due to retrofit in $kBtu/ft^2$. This was obtained by taking the sum of site electricity and fuel before retrofit and subtracting the sum of site electricity and fuel after retrofit.

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- $C2$. SAVED SQURC: the amount of source energy saved due to retrofit in $kBtu/ft²$ obtained by taking column $E(T)$ and subtracting column $F(F)$.
- Hl. SAVED PERCENT SITE: the percent G1 (SAVED SITE) is of the sum of the site electricity and fuel before retrofit.
- $H2$. SAVED PERCENT SOURC: the percent column G2 (SAVED SOURC) is of column E(T) (BEFORE TOTAL SOURC).
- K. SIMP PAYB YRS: the simple payback period in years. This is based on

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either local utility costs, or cost avoidance values provided by building operators or owners. When a monthly service fee was part of the cost of the retrofit as was the case in automated energy management control systems, these costs were included in calculating payback.

- J. COST OF RETROFIT: the cost of the retrofit in 1980 dollars per gross floor area in square feet. Costs were normalized to 1980 dollars, based on the Gross National Product Price Deflators.
- Ll. COST PER ANNUAL SAVINGS SITE: obtained by taking column J and dividing it by column Gl. In units of 1980 dollars per million Btu per year (1980 .\$/HBtu/yr).
- L2. COST PER ANNUAL SAVINGS SOURC: obtained by taking column J and di viding it by column G2. In units of 1980 dollars per million Btu per year (1980\$/MBtu/yr).
- L3. COST OF CONSERVED SITE ENERGY, CRR=.16: This is the conventional "Cost of Conserved Energy" for a real interest rate of 10% and a retrofit life of 10 years. It is obtained by multiplying column $L2$ by 0.16 .
- L4. COST OF CONSERVED SITE ENERGY, CRR=.25: This is the conventional "Cost of Conserved Energy" for a real interest rate of 10% and a retrofit life of 5 years. It is obtained by multiplying column L2 by 0.25.
- ti. COOLG DEGR DAYS: the typical number of cooling degree days for the city in which the building is located, assuming a base temperature of 50 degrees Fahrenheit.
- N. HEATC DEGR DAYS: the typical number of heating degree days for the city in which the building is located, assuming a base temperature of 60 degrees Fahrenheit.

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APPENDIX C: Weather Effects on Retrofits

In this study, pre- and post-retrofit energy consumption was not normalized by any climate parameters, such as heating degree days (HDD) and cooling degree days (CDD). While such normalization may be desirable in some cases, we do not consider it critical for the following reasons:

- a. Huch of the energy consumption in commercial buildings, especially those with large floor areas, tend to be independent of weather. These consumptions include lighting, miscellaneous electrical uses such as typewriters, computer terminals, coffee pots and miscellaneous steam or gas uses such as hot water, sterilization processes and laboratory equipment; these consumptions can represent the majority of the energy use of the building especially after retrofit. Normalization of total energy use would overestimate the effect of changing weather conditions, since these independent energy uses would be normalized inappropriately in the process. Ideally, normalization would be for heating and cooling which depend on weather to some degree.
- b. In large commercial buildings, the heating and cooling consumption is usually dominated by the internal load (lights, people, miscellaneous equipment). Internal loads are again, weather-independent. As an example, computer' simulations of a 100,000 square foot energy-efficient office building "moved" to five different cities were performed. Table C1 shows the heating and cooling consumption (H+C) and the relevant heating and cooling degree days for the cities. Despite the wide variation in the climatic values, heating and cooling consumption did not vary much at ventilation levels commonly used by designers. Only at very high ventilation rates, where in colder climates, preheat was required, did any significant difference in heating and cooling consumption appear. When added to the other energy consumptions in the building, the significance of this difference is greatly reduced.
- c. A statistical analysis (See DOE Technical Support Document No. 10 of NOPR, 1979) has been performed on 168 buildings of 1975-76 vintage, attempting to relate heating and cooling consumption to various weather parameters. Although heating and cooling degree days correlated best of all weather parameters, the correlation was not strong. The best correlation occurred for base temperatures of 60 degrees for heating and 50 degrees for cooling, which we show in Appendix B.

Because of the above reasons, we felt that normalization was both difficult and unnecessary. Energy savings were not only in terms of heating and cooling, but l'ighting and other miscellaneous processes for which normalization would have been inappropriate.

Table.C.1 Weather Dependence of the Energy of an Energy Efficient Office Building, Simulated in Five Cities. The entries under HDD_{60F} are Fahrenheit
Westing Degree Days, Base 60⁰F, under CDD, are Cooling Degree Days. HeatingoDegree Days, Base 60 F, under CDDSOF are Cooling Degree Days, . Base 50 F. ' ' ..

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APPENDIX D: Office Buildings and a Comparison with Standards

The average energy use per unit of floor area of office buildings is very close to the United States average commercial building stock energy use per unit of floor area, according to the ORNL model. It has been proposed to use the office building sector as a surrogate for the United States commercial building stock in some forecasting analysis (Rosenfeld, 1980 .

Figure 9 shows a plot of the twenty-one (21) office buildings for which we have fossil fuel and electrical consumption available; also plotted are the consumptions of the current United States office building stock, the French office stock, and the Swedish office stock.

Both of the European stock consumptions are about half as energy intensive as the United States building stock. Air conditioning is a frequent practice in the United States office buildings, while it is
rare in Europe. This may account for the greatly reduced levels of This may account for the greatly reduced levels of electricity consumption in the European countries. In. the retrofitted buildings, fossil fuel was saved in much greater proportion than electricity, suggesting also that savings in heating were simpler to accomplish than air conditioning.

It is also interesting to note that the once proposed new Building Energy Performance Standards (now terminated) are about as stringent as Three of the buildings using less energy than the BEPS levels are located in France and Sweden; these have been retrofitted several times, at a cost exceeding any United States buildings in the sample. The one United States building which meets the standards is a very large (over two million square feet) office building $(\#108)$ in New Jersey. $(*)$

* The report by Hagler, Bailly and Company (lIBC, 1980) states that many United States buildings already meet the proposed BEPS levels, especially hospitals. In this study we were able to find many schools which also were less than the standards; in total 21% of the buildings in the sample met or exceeded the BEPS levels before retrofit, mostly schools and hospitals.

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Reference to a company or product name does not imply approval or recommendation of the product by the University of California or the U.S. Department of Energy to the exclusion of others that may be suitable.

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