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### Permalink

<https://escholarship.org/uc/item/8f64231n>

### Journal

Proceedings of the National Academy of Sciences of the United States of America, 111(17)

### ISSN

0027-8424

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### Publication Date

2014-04-29

### DOI

10.1073/pnas.1305499111

Peer reviewed

# Abrupt increases in Amazonian tree mortality due to drought–fire interactions

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Edited by Stephen W. Pacala, Princeton University, Princeton, NJ, and approved March 18, 2014 (received for review March 22, 2013)

**Interactions between climate and land-use change may drive widespread degradation of Amazonian forests. High-intensity fires associated with extreme weather events could accelerate this degradation by abruptly increasing tree mortality, but this process remains poorly understood. Here we present, to our knowledge, the first field-based evidence of a tipping point in Amazon forests due to altered fire regimes. Based on results of a large-scale, long-term experiment with annual and triennial burn regimes (B1yr and B3yr, respectively) in the Amazon, we found abrupt increases in fire-induced tree mortality (226 and 462%) during a severe drought event, when fuel loads and air temperatures were substantially higher and relative humidity was lower than long-term averages. This threshold mortality response had a cascading effect, causing sharp declines in canopy cover (23 and 31%) and aboveground live biomass (12 and 30%) and favoring widespread invasion by flammable grasses across the forest edge area (80 and 63%), where fires were most intense (e.g., 220 and 820 kW·m<sup>-1</sup>). During the droughts of 2007 and 2010, regional forest fires burned 12 and 5% of southeastern Amazon forests, respectively, compared with <1% in nondrought years. These results show that a few extreme drought events, coupled with forest fragmentation and anthropogenic ignition sources, are already causing widespread fire-induced tree mortality and forest degradation across southeastern Amazon forests. Future projections of vegetation responses to climate change across drier portions of the Amazon require more than simulation of global climate forcing alone and must also include interactions of extreme weather events, fire, and land-use change.**

forest dieback | fireline intensity | stable states | MODIS | fire mapping

Large areas of moist tropical forests are being altered by land-use practices and severe weather. People are clearing, thinning, and changing the composition of tropical forests (1, 2). Severe drought events superimposed upon these land-use activities increase forest susceptibility to fires (1–5). In the 2000s, for example, 15,000–26,000 km<sup>2</sup> of Amazonian forests burned during years of severe drought (6). Widespread forest fires may become even more common in the Amazon Basin if the frequency of extreme weather events increases, particularly in the southeastern Amazon (1, 7). However, most model simulations of future trajectories of Amazonian forests have relied on global and regional climate forcing that do not consider the effects of fire on vegetation dynamics and structure (8–10).

Our ability to predict future fire regimes in moist tropical forests is constrained by a lack of understanding of what triggers and controls high-intensity fires (7, 11). In nondrought years, primary forests typically do not catch fire during the dry season because the fine fuel layer is too humid to carry a fire (12). This characteristic of primary forests helps explain why forest fires were less frequent in pre-Colombian times than today (13), although indigenous peoples of the Amazon have used fire as a

management tool for hundreds or thousands of years (14). Current anthropogenic disturbances in moist tropical forests (e.g., logging, forest conversion for crops and livestock, and the resulting fragmentation of forests) tend to thin forest canopies (5, 11) and expose forest interiors to warm air flowing horizontally from neighboring clearings, allowing the forest floor to dry more rapidly during rainless periods. When forest fires do occur under average weather conditions, they typically move through the understories slowly (15–25 m·hour<sup>-1</sup>), release little energy (50 kW·m<sup>-1</sup>), and are of short duration (4, 5, 15), extinguishing at night when relative humidity increases. Despite their low intensity, understory fires still exert strong influences on forest dynamics and structure because many tropical tree species are thin-barked and vulnerable to fire damage (12, 16, 17).

During years of severe drought, Amazon forest fires are atypically intense, killing up to 64% of the trees (18, 19). This happens because fuel (e.g., twigs, leaves, branches, etc.) not only becomes drier, but also tends to become more abundant due to drought-related leaf and branch fall (20). Thus, compared with low-intensity fires that occur in nondrought years, severe droughts can trigger high-intensity fires that kill more trees. Unfortunately, the role of extreme weather events in the fire dynamics of moist tropical

## Significance

Climate change alone is unlikely to drive severe tropical forest degradation in the next few decades, but an alternative process associated with severe weather and forest fires is already operating in southeastern Amazonia. Recent droughts caused greatly elevated fire-induced tree mortality in a fire experiment and widespread regional forest fires that burned 5–12% of southeastern Amazon forests. These results suggest that feedbacks between fires and extreme climatic conditions could increase the likelihood of an Amazon forest “dieback” in the near-term. To secure the integrity of seasonally dry Amazon forests, efforts to end deforestation must be accompanied by initiatives that reduce the accidental spread of land management fires into neighboring forest reserves and effectively suppress forest fires when they start.

Author contributions: P.M.B., J.K.B., D.C.N., F.E.P., M.T.C., and E.A.D. designed research; P.M.B., J.K.B., D.C.N., M.T.C., and D.S. performed research; D.C.M., F.E.P., and M.N.M. contributed new reagents/analytic tools; P.M.B., J.K.B., D.C.M., D.S., M.N.M., C.C.N., A.A., and B.S.S.-F. analyzed data; and P.M.B., D.C.N., and F.E.P. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

Freely available online through the PNAS open access option.

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1305499111/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1305499111/-DCSupplemental).



**Fig. 1.** High-resolution image (i.e., 1.85 m) of the experimental area in 2011 captured with the sensor Worldview-2. The dashed line represents the border between the North-South forest edge (0–100 m) and the forest interior (100–1,000 m). The North-South edge of the plots is bordered by a road and open agricultural fields, and the other plot boundaries are in contiguous forest. The control represents an unburned area, and B1yr and B3yr areas that were burned annually and every 3 y, respectively, from 2004 to 2010 (with the exception of 2008).

forests is difficult to study because they are hard to predict. As a result, the relationships between fire-induced tree mortality and extreme weather remain poorly understood, restricted mostly to postfire observations of tree mortality.

To fill this gap, in 2004 we established a large-scale, long-term prescribed forest fire experiment in a transitional forest (between Amazon forests and savannas) in the southeastern Amazon (Figs. 1 and 2)—a region that is highly vulnerable to changes in fire regime, climate change, and their interactions (2). The experimental area consists of three adjacent 50-ha ( $1.0 \times 0.5$  km; Fig. 1) plots burned annually (B1yr), every 3 y (B3yr), or not at all (control) to represent a range of possible future forest fire frequencies (details in ref. 21). We used within-plot variability between the forest edge (0–100 m) into the forest from the adjacent agricultural area) (Fig. 1) and forest interior (100–1,000 m) and the temporal variability in weather between 2004 and 2010 to address two questions: (i) Are there weather- and fuel-related thresholds in fire behavior that are associated with high levels of fire-induced tree mortality across two different fire regimes? (ii) What are the effects

of an intense fire event on forest structure, flammability, and aboveground live carbon stocks? We also conducted a regional analysis of weather and fire scars to assess the spatial-temporal dynamics of forest fires in the 87,000 km<sup>2</sup> of remaining forests in the Upper Xingu River Basin (Fig. 1).

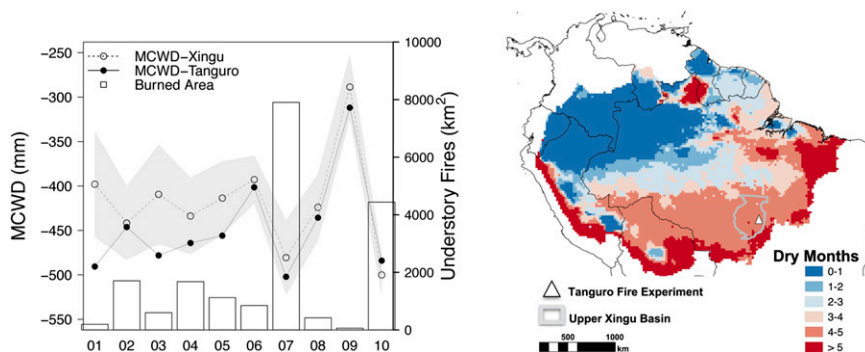
## Results and Discussion

**The 2007 Drought.** Precipitation across the Xingu region was lower in 2007 than in any other year during the 2000–2010 period. For example, the maximum climatological water deficit (MCWD), a measure of cumulative water stress (22), averaged  $-483$  mm in 2007 (Fig. 2), representing values that were 20% lower than the average MCWD in the 2000s. These low MCWD values were observed mostly between August and September ( $\sim 91\%$ ), when most Amazon forest fires occur (9, 10, 23). In 2007,  $\sim 72\%$  of the Xingu region experienced below-average rainfall anomalies according to the Tropical Rainfall Measuring Mission (TRMM), which indicates the regional nature of this drought.

Our large-scale fire experiment is the only one in neotropical forests to have experienced a severe drought event, coupled with increased temperatures, during the prescribed burns. In 2007, cumulative precipitation was lower than in other years (Fig. 2); the daily relative humidity was 25% lower and the maximum air temperature was 3.6 °C higher than the 7-y dry-season average (Fig. 3); understory air dryness, represented by vapor pressure deficit (VPD), was substantially higher in B3yr [95% bootstrap confidence intervals (CI): 3.2, 3.6 kPa] and B1yr (CI 3.7, 4.0 kPa) than in other fire years (CI 2.6, 2.7 kPa) (Fig. S1).

**Drivers of Fire Intensity.** During the experimental fires of 2007, fuel characteristics favored the occurrence of high-intensity fires. Litter moisture content (LMC) in the burned plots was low (9–13%), whereas fine fuel loads generally exceeded the long-term average. For example, leaf litter and 1-h fuel (i.e., woody fuels <0.6 cm in diameter) loads were 30 and 55% greater, respectively, in 2007 than in other years (Fig. 4;  $P < 0.01$ ). In 2007, 1- and 10-h fuels (i.e., woody fuels 2.5–7.6 cm in diameter) were more abundant in B3yr than in B1yr (i.e., along the forest edge), whereas other fuel-size classes and leaf litter were similar (Table S1).

Fuel load typically correlates positively with fire intensity, but only if the fuel is consumed and its energy is released (24). Here, we present another proxy of fire intensity that accounts for fuel combustion: frontal fire intensity ( $I$ ), calculated as the product of fire spread rate ( $r$ ); net heat of combustion ( $H$ , kept constant for both plots); and the weight of fuel consumed by the fires ( $w$ ) ( $I = Hwr$ ) (25). In 2007, frontal fire intensity was (i) higher than in 2004 in both plots and (ii) higher in B3yr (edge: 820 kW·m<sup>-1</sup>; forest: 319 kW·m<sup>-1</sup>) than in B1yr (edge: 220 kW·m<sup>-1</sup>; forest:



**Fig. 2.** (Left) Annual MCWD between 2000 and 2010 for the Upper Xingu Basin (solid circles) and the experimental field site (Fazenda Tanguro, solid triangles). The shaded area represents the SD of the mean and accounts for the spatial variability in MCWD across the Upper Xingu Basin. (Right) Average dry-season length (i.e., number of months with precipitation  $\leq 100$  mm) and the locations of both the Upper Xingu Basin (in gray) and the fire experiment (triangle). MCWD and monthly precipitation were derived from the TRMM.



**Table 1. Metrics of fire intensity during the 2004 and 2007 fires in the edge and forest interior of the plots burned every 3 y (B3yr) and 1 y (B1yr)**

| Location | Treatment | Fuel consumed (kg·m <sup>-2</sup> ) |             | Fire spread rate (m·min <sup>-1</sup> ) |             | Frontal fire intensity (kW·m <sup>-1</sup> ) |       |
|----------|-----------|-------------------------------------|-------------|---|-------------|--|-------|
|          |           | 2004                                | 2007        | 2004                                    | 2007        | 2004   | 2007  |
| Edge     | B3yr      | 0.95 (1.23)                         | 2.72 (2.05) | 0.26 (0.27)                             | 1.01 (0.19) | 74.3   | 819.9 |
| Edge     | B1yr      | 1.68 (1.25)                         | 2.12 (1.17) | 0.14 (0.99)                             | 0.34 (0.20) | 71.1   | 219.5 |
| Forest   | B3yr      | 0.71 (1.18)                         | 1.58 (1.12) | 0.26 (0.13)                             | 0.67 (0.14) | 55.9   | 319.1 |
| Forest   | B1yr      | 0.50 (0.96)                         | 1.54 (2.88) | 0.13 (0.13)                             | 0.31 (0.10) | 20.0   | 141.6 |

In parentheses, we present 1 SD of the mean.

important topic that should be further investigated across the Amazon Basin, especially for intersite comparisons of forest responses to fire.

In summary, the spike in tree mortality during the fires of 2007 likely resulted from high loads of fine fuel, anomalously dry and hot microclimatic conditions (VPD: >3.2 kPa), and low fuel moisture content (<13%). Together, these conditions appear to have surpassed a threshold of fire intensity beyond which tree mortality increased sharply (Fig. 7).

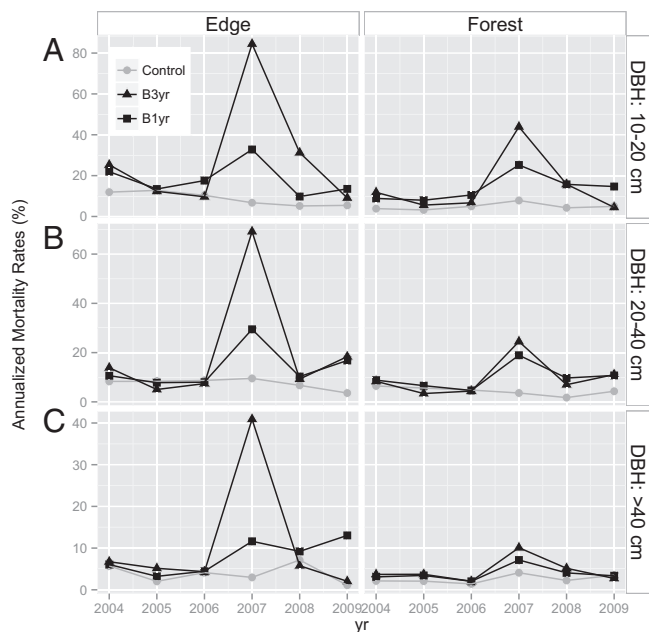
**Live Biomass and Forest Flammability.** In response to elevated fire-induced tree mortality in 2007, aboveground live biomass in the burned plots decreased by 12–30% from 2007 to 2008, whereas it remained constant in the control (Fig. 6). These high levels of tree mortality also reduced the leaf area index (LAI), which permits more solar radiation to penetrate the canopy, thus increasing understory air dryness. For example, between 2007 (prefire) and 2008, LAI dropped 23–30% in the interiors of the two burned plots (Fig. 6 and Figs. S3–S5). As a result, dry-season understory VPD (from 0800 to 1800 hours) after the 2007 fires was 45% higher in the burned plots than in the control (Fig. 6 and Figs. S6 and S7).

Along the edges of the burned plots, grasses invaded in response to low LAI and high grass seed availability (31, 32). Whereas grasses advanced slowly into the burned forest from 2004 to 2006 (1–2 m·yr<sup>-1</sup>), grass invasion increased substantially after the 2007 fires (e.g., 13–20 m·year<sup>-1</sup> average from 2008 to 2011), likely in response to fire-related reductions in LAI and associated increases in solar radiation (27, 33). Grass colonization caused a fourfold increase in fire intensity, as represented by *r* measured during experimental fires in 2010 (33), because grasses accumulated more fine fuel close to the ground surface than the trees that they replaced (31). By 2012, grasses had invaded 8–9.25% of the burned plots, but only 0.06% of the control plot (Fig. S8).

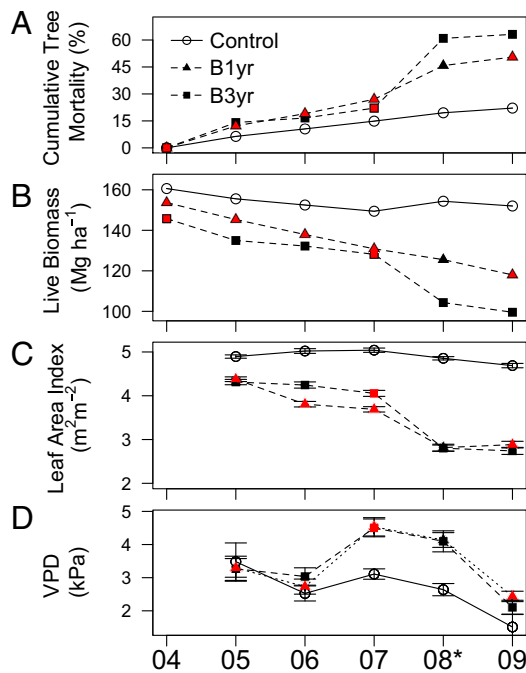
**Regional Fire Regime.** Insights from our fire experiment indicate that human-driven alteration of the Xingu landscape has already substantially modified the forest fire regime in this region and increased fire-related forest degradation. Specifically, our fire experiment showed high rates of fire-induced tree mortality, particularly along forest edges that experienced previous disturbance and during warm and dry weather. Regionally, deforestation and previous disturbance (by fire or logging) influence these predictors of mortality in three ways. First, by reducing canopy cover and evapotranspiration, deforestation increases average dry-season land-surface temperatures (Fig. S9), which in turn promotes air movement between open fields and neighboring forests. Consequently, fuels along forest edges are expected to become drier, leading to increased fire intensity (34). Second, deforestation fragments the landscape, creating a greater perimeter of forest edges (35). Third, tree mortality associated with previous logging, fire, severe drought, or edge effects can contribute to coarse fuel loads for multiple years as the twigs and branches of standing dead trees gradually decay and fall to the ground. Between 1997 and 2011, the length of forest edges in the Upper Xingu region increased by 34% (Table S2). By 2011, ~8% of the region's forests were <100 m from a clearing. These deforestation-driven increases in forest edges (35) and regional temperatures are likely to act synergistically to increase the likelihood of high-intensity fires throughout much of the Xingu region.

In addition to promoting high-intensity fires, the regional droughts of 2007 and 2010 created favorable climatic conditions for widespread fires in the southeastern Amazon. In 2007, for example, 12% (7,904 km<sup>2</sup>) of the Xingu's forests burned, compared with an average of 0.84% in the nondrought years between 2000 and 2009 (Fig. 2 and Fig. S10). Within the Xingu Indigenous Park, where there are fewer sources of ignition than outside the park (Table S1), nearly 10% of forests burned in 2007. In 2010, the Amazon experienced another drought (36), with widespread understory forest fires that affected 5.4% of the Xingu region (Fig. S10). These extensive fires occurred even as deforestation declined (Table S2), suggesting that weather may have overwhelmed the expected inhibitory effect (on forest fires) of reducing the fire ignition sources that often accompany deforestation.

One insight from our field experiment may explain the increase in burned forest areas across the Upper Xingu region during these



**Fig. 5.** Annualized tree mortality rates for 2004–2010 in the edge zone and forest interiors for three stem diameter (dbh) size classes: (A) 10–20 cm, (B) 20–40 cm, and (C) ≥40 cm. B1yr was burned in 2004, 2005, 2006, 2007, and 2009, and B3yr was burned in 2004 and 2007. Mortality rates were calculated using methods described in Balch et al. (26). In 2008 we did not conduct the experimental fires.



**Fig. 6.** Temporal patterns in (A) cumulative tree mortality for trees  $\geq 10$  cm dbh, (B) aboveground standing live biomass, (C) LAI, and (D) forest understorey VPD. Symbols in red denote when a given plot was experimentally burned (B1yr: 2004, 2005, 2006, 2009; B3yr: 2004 and 2007). Note that these values refer to postfire measurements within a given year. In 2008 we did not conduct the experimental fires (\*).

drought events. The experimental fires of 2007 did not extinguish at night as they did in nondrought years. If this phenomenon were widespread throughout the region, it would help explain the increases in forest area burned in 2007 and 2010.

**Broader Implications. Regional Amazon fire regimes.** Extreme regional weather events and associated increases in fire-related tree mortality are already causing regional forest degradation across the Xingu River Basin, where the seasonally dry climate resembles a substantial portion ( $\sim 39\%$ ) of the Amazon Basin (Fig. 2). These results provide, to our knowledge, the first experimental evidence of the link among extreme weather events, widespread and high-intensity fires, and associated abrupt changes in forest structure, dynamics, and composition. This mechanism of rapid forest degradation could operate over a larger geographical area, such as the “arc of deforestation,” where droughts (36), forest fragmentation (20), and forest fires (4, 6) are already common. Understorey Amazon fires strongly influence forests located in areas with prolonged dry seasons (21), but more humid forests could also become flammable and susceptible to fire-related degradation (15) as climate and land use change.

Controversy in the literature about a potential Amazon forest “dieback” has relied mostly on models using climate forcing alone (1, 8–10). However, our findings suggest that the interaction between fires and droughts is perhaps a more direct mechanism of abrupt forest degradation for the southeastern Amazon. The future extent, intensity, and severity of forest fires in both drier and wetter parts of the Amazon will depend on the intensity and frequency of droughts and heat waves, the availability of fire ignition sources, and the degree of forest degradation and fragmentation (5). Our results underscore the need for the representation of (i) drought events and heat waves in climate models, (ii) human-related fire regimes in ecosystem models, and (iii) forest fragmentation in scenarios of future deforestation—key factors for

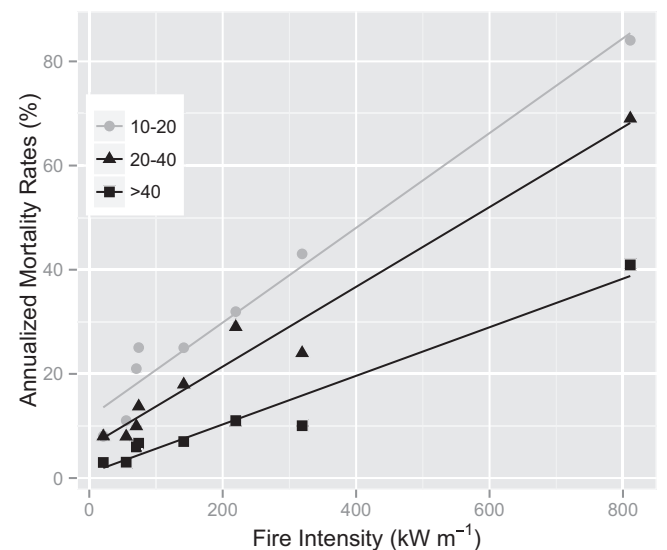
understanding future Amazon fire regimes and the trajectory of Amazon forests.

**Ecosystem state changes.** The observed grass invasion along the forest edges of the experimentally burned plots suggests that high-intensity fires could promote abrupt fire-mediated transitions from forests to new stable states (Fig. S11). Our findings indicate that these transitions are more likely to occur in areas where forests are fragmented, disturbances are frequent, and dry seasons are prolonged ( $\geq 4$ –5 mo) (37–39) (Fig. 2). The future trajectory of Amazonian forests that experience severe weather and forest fires will depend, in part, upon tree species composition (e.g., prevalence of fire-tolerant and resprouting species) and proximity to seed sources of invasive grasses from pastures and savannas. The long-term trajectory of burned Amazon forests is still uncertain, particularly the pervasiveness and persistence of alternate vegetation states.

**Landscape management.** To secure the integrity of Amazonian forests along the arc of deforestation, efforts to end deforestation in the Amazon must be accompanied by programs and policies that reduce the accidental spread of land management fires into neighboring forests and effectively control forest fires when started. Both of these changes in regional land management seem feasible (3, 35), and several promising initiatives to reduce the probability of forest fires are already underway. Examples of recent efforts include (i) development of an early warning system to forecast the locations and intensities of fires (3); (ii) implementation of Brazilian federal and state policies to prevent and control forest fires (40); and (iii) creation of volunteer fire brigades to fight fires within private farms and indigenous reserves (14, 35, 40). Over a longer time horizon, the future of many forests in the region will require successful mitigation of greenhouse gas emissions to reduce the likelihood of extreme weather events.

## Materials and Methods

**Fire Experiment.** The fire experiment was established in 2004 in the driest portion of the Amazon Basin (Fig. 1) ( $13^{\circ} 04' S$ ,  $52^{\circ} 23' N$ ) where the dry season lasts for 4–5 mo, the annual precipitation averages 1,770 mm (2005–2011), and monthly rainfall from May to August is typically below 10 mm (41) (Fig. 2). The dry climatic conditions at this site allowed us to conduct experimental burns even in nondrought years.



**Fig. 7.** Relationships between annualized tree mortality rates and fire intensity for three classes of diameter at breast height: 10–20 cm; 20–40 cm; and  $\geq 40$  cm. Each point represents an average for the forest interior or edge of B1yr or B3yr. These data were available for 2004 and 2007.

The experiment was located in an area with no signs of recent fires that was composed of three 50-ha plots: an unburned control, a plot that was experimentally burned every three years (2004 and 2007; B3yr), and a plot that was experimentally burned annually from 2004 to 2009 (B1yr) with the exception of 2008. Within each 50-ha plot we (i) conducted yearly mortality ("top-kill") censuses of trees  $\geq 10$  cm in diameter at breast height (dbh) ( $\sim 3,000$  individuals per plot); (ii) mapped trees that entered the 10 cm dbh size-class in 2008, 2009, and 2010; (iii) estimated pre- and postfire LAI each year of the study (200 sites per plot; dry and wet seasons) using two LiCor 2000 Plant Canopy Analyzers; (iv) monitored hourly VPD in the forest understorey (25 cm from the ground) using Onset Hobo U23 Pro v2 Temperature/Relative Humidity data loggers ( $n = 45$ ); (v) measured pre- and postfire ( $\pm$  wk) leaf litter and 1-h (0–0.6 cm in diameter), 10-h (0.6–7.6 cm diameter) and 100-h ( $\geq 7.6$  cm in diameter) fuel loads annually across the experimental area [based on Brown's planar intercept method (42);  $n \sim 27$  samples per plot]; and (vi) estimated fire spread rate at  $\sim 200$  points across the experimental area by measuring fireline movement over time. Litter moisture content (i.e., weight of water per unit dry weight) was estimated from measurements of fine litter collected within circular plots (40 cm in diameter;  $n = 90$ ) 5 min before ignition of the experimental fires. Details of these measurements can be found in Balch et al. (21, 26). Frontal fire intensity was calculated as the product of net heat of combustion, weight of fuel consumed, and rate of spread (25). Net heat of combustion was assumed to be  $18,000 \text{ kJ}\cdot\text{m}^{-1}$  for both plots, following Alexander (25). In addition to frontal fire intensity, we measured char heights on all sampled trees as a proxy for intensity because char height typically correlates

positively with tree mortality (28). Both char height and frontal fire intensity showed similar patterns as fire intensity, so we present only frontal fire intensity.

**Regional Analyses of Weather and Fire Scars.** Burn scars in forested areas were mapped for the 127,000 km<sup>2</sup> of the Upper Xingu Region from 2000 to 2010 using MODIS images [details in Morton et al. (43)]. Regional maps of dryness (i.e., MCWD) for 2000–2010 were derived from the TRMM data product for the entire Upper Xingu Region based on methods described by Aragão et al. (22). Maps of land-surface temperature for the dry seasons of 2007 and 2010 (July–September) were derived from the MODIS temperature and emissivity product (MOD11A2). The metrics for landscape fragmentation (edge length and area and deforestation) were calculated for the Xingu Region for 1997 and 2000–2011 based on Landsat-5 TM images (Instituto Nacional de Pesquisas Espaciais); all calculations were performed in the Dinamica-EGO modeling environment.

**ACKNOWLEDGMENTS.** We thank S. Trumbore, Y. Malhi, C. A. Baldeck, and P. Cox for comments; L. Curran and O. Carvalho for help with the experimental design; and Instituto de Pesquisa Ambiental da Amazônia's field crew for taking measurements. Grupo A. Maggi provided access to the field site and logistical support. This study was supported by the Gordon and Betty Moore Foundation, the National Science Foundation (Division of Environmental Biology Grants 0410315 and 0743703), the Packard Foundation, the National Aeronautics and Space Administration, and the Max Planck Institute for Biogeochemistry.

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