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### Title

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**Title:**

**Genetic tagging uncovers a robust, selective activation of the thalamic paraventricular nucleus by adverse experiences early in life**

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Short title: Selective PVT activation by early life adversity

Keywords: genetic tagging, TRAP2, PVT, reward circuit, stress, ELA, Fos

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5 **Abstract**  
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7 **Background:** Early-life adversity (ELA) is associated with increased risk for mood disorders including  
8 depression and substance use disorders. These are characterized by impaired reward-related behaviors,  
9 suggesting compromised operations of reward-related brain circuits. However, the brain regions engaged  
10 by ELA that mediate these enduring consequences of ELA remain largely unknown. In an animal model  
11 of ELA, we have identified aberrant reward-seeking behaviors, a discovery that provides a framework for  
12 assessing the underlying circuits.  
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20 **Methods:** Employing TRAP2 male and female mice, in which neurons activated within a defined  
21 timeframe are permanently tagged, we compared ELA and control-reared mice, assessing the quantity  
22 and distribution of ELA-related neuronal activation. After validating the TRAP2 results using native cFos  
23 labeling, we defined the molecular identity of this population of activated neurons.  
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30 **Results:** We uniquely demonstrate that the TRAP2 system is feasible and efficacious in neonatal mice.  
31 Surprisingly, the paraventricular nucleus of the thalamus (PVT) is robustly and almost exclusively  
32 activated by ELA and is the only region distinguishing ELA from typical rearing. Remarkably, a large  
33 proportion of ELA-activated PVT neurons express CRFR1, the receptor for the stress-related peptide,  
34 corticotropin-releasing hormone (CRH), but these neurons do not express CRH itself.  
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41 **Conclusions:** We show here that the PVT, an important component of reward circuits which is known to  
42 encode remote, emotionally salient experiences to influence future motivated behaviors, encodes  
43 adverse experiences as remote as those occurring during the early postnatal period and is thus poised  
44 to contribute to the enduring deficits in reward-related behaviors consequent to ELA.  
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4 **Introduction:**  
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7 Early life adversity consisting of trauma, poverty, or tumultuous environment impacts the lives of  
8 over 30% of children in the United States (1). In humans, ELA is associated with poor cognitive and  
9 emotional health and increased risk for mood disorders such as depression as well as increased risk for  
10 substance use disorders (2-6). Human imaging studies suggest altered development of specific brain  
11 circuits following ELA, including reward circuits (7,8). It is crucial to understand the nature of these  
12 associations because ELA and its consequences, unlike genetic contributors to vulnerability to  
13 psychopathologies, may be amenable to prevention. In human studies, it is difficult to demonstrate  
14 causality between ELA and adverse adult outcomes and to establish the underlying mechanisms,  
15 necessitating use of experimental animal models. Using an animal model in which ELA is induced by an  
16 impoverished environment that provokes aberrant maternal care, we have identified later life disruptions  
17 of reward-related behaviors (9-13). These disruptions indicate dysfunction of the reward circuit, as seen  
18 in several human mood disorders that commonly follow ELA (5,14). These findings provide an impetus  
19 to employ this animal model for advancing our understanding of how transient ELA enduringly disrupts  
20 the operations of reward circuits.  
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38 Here we aimed to determine which regions and neuronal populations within the brain, and  
39 specifically within reward circuits, were activated by ELA and are thus candidates for mediating the  
40 behavioral consequences of ELA. To this end, we employed TRAP2 mice expressing iCre-ER<sup>T2</sup>  
41 recombinase at the locus of the immediate early gene, cFos, to genetically label neurons that are  
42 activated during ELA with the red fluorescent reporter tdTomato using Ai14, a knock-in allele of the  
43 Rosa26 locus (15). To date this technique had not been utilized during the first week of life. Using this  
44 approach, we established the paraventricular nucleus of the thalamus (PVT) as a key region engaged by  
45 these experiences.  
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56 The PVT is a dorsal midline thalamic nucleus that is a crucial component of the limbic system and  
57 emotional processing network and is engaged by emotionally salient stimuli of either valence (16, 17).  
58 The PVT utilizes information derived from remote emotionally salient experiences to gate the expression  
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4 of approach and avoidance behaviors and to influence responses to stress (17-21). The PVT projects to  
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6 many brain regions with important roles in stress and reward (22-24) and is thus poised to regulate  
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8 behaviors related to stress and reward following salient early life experiences. Indeed, our prior work  
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10 using cFos expression had indicated that the PVT is activated by positive early life experience in the form  
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12 of augmented maternal care (25), yet, whether this applies to experiences of the opposite valence and  
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14 whether this activation may influence future behaviors remains unknown.  
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## 20 **Methods and Materials:**

### 21 *Animals*

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23 Fos<sup>2A-iCreER</sup> (Jax #030323) and Ai14 (Jax #007914) mice were received from The Jackson Laboratory or  
24  
25 bred in house. All mice were housed in a temperature-controlled, quiet and uncrowded facility on a 12-  
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27 hr. light, 12 hr. dark schedule (lights on at 0630 hr., lights off at 1830 hr.), except Fos<sup>2A-iCreER</sup>+/+ litters.  
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29 These litters were maintained on a 12-hr. reverse light cycle (lights on at 0000 hr., lights off at 1200 hr.)  
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31 to enable perfusion during the mice's early active period, and we have previously found no difference  
32  
33 in any behavioral test between normally-housed and reverse-light-cycle-housed mice when tested at  
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35 the same point of their circadian cycle. Mice were provided with *ad libitum* access to water and food  
36  
37 (Envigo Teklad, 2020x, global soy protein-free extruded). Fos<sup>2A-iCreER</sup> mice bred with the Ai14 reporter  
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39 mice were employed for TRAP2 studies; Fos<sup>2A-iCreER</sup>+/+ were employed for the endogenous cFos  
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41 validation studies. All experiments were performed in accordance with National Institutes of Health  
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43 guidelines and were approved by the University of California-Irvine Animal Care and Use Committee.  
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### 51 *The Limited Bedding and Nesting (LBN) Model of Early-life Adversity (ELA)*

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53 Fos<sup>2A-iCreER</sup> dams bred with Ai14 males were singly housed on embryonic day (E)17 and monitored for  
54  
55 birth of pups every 12 hours. On the morning of postnatal day (P)2, Fos<sup>2A-iCreER</sup>+/-:Ai14+/- litters and  
56  
57 Fos<sup>2A-iCreER</sup>+/+ litters were culled to a maximum of 8 pups, including both sexes, and the ELA paradigm  
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59 was initiated as previously described (26). Control dams and pups were placed in cages with a standard  
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4 amount of corn cob bedding (400 ml) and cotton nestlet material (one 5x5 cm square). ELA dams and  
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6 pups were provided with one-half cotton nestlet placed on a 2.5 cm tall, fine gauge plastic-coated  
7  
8 aluminum mesh platform above sparse corn cob bedding on the cage floor. Accumulation of ammonia  
9  
10 was avoided by placing cages in a room with robust ventilation. Both rearing groups were left completely  
11  
12 undisturbed until the morning of P6, at which point pups were briefly removed from the cage, placed on  
13  
14 a warming pad, and injected subcutaneously with 150 mg/kg tamoxifen (cat#T5648, Millipore Sigma)  
15  
16 dissolved in corn oil (cat#C8267, Millipore Sigma; Fig. S1). Pups were then returned to their cage and  
17  
18 left undisturbed until the morning of P10. Dams and pups were then transferred to standard cages where  
19  
20 maternal behaviors rapidly normalize and the ELA pups' stress dissipates. P6 was chosen as the  
21  
22 timepoint for tamoxifen injections because it is roughly at the midpoint of the ELA period and should allow  
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24 for optimal tagging of neurons activated by ELA rearing.  
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### 31 *Brain processing and analyses*

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33 On the morning of P14 (for Fos<sup>2A-iCreER</sup><sub>+/-</sub>::Ai14<sub>+/-</sub> litters), or at approximately 1400 hr. of P10 (for Fos<sup>2A-</sup>  
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35 <sup>iCreER</sup><sub>+/+</sub> litters), the dam was removed from the home cage, and the cage was placed on a warming pad.  
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37 Pups were euthanized with sodium pentobarbital and transcardially perfused with ice cold phosphate-  
38  
39 buffered saline (PBS; pH=7.4) followed by 4% paraformaldehyde in 0.1M sodium phosphate buffer  
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41 (pH=7.4). Perfused brains were post-fixed in 4% paraformaldehyde in 0.1M PBS (pH=7.4) for 4-6 hrs.  
42  
43 before cryoprotection in 25% sucrose in PBS. Brains were then frozen and coronally sectioned at a  
44  
45 thickness of 30 μm (1:5 series) using a Leica CM1900 cryostat (Leica Microsystems, Wetzlar, Germany).  
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47 Fos<sup>2A-iCreER</sup><sub>+/-</sub>::Ai14<sub>+/-</sub> sections were mounted on gelatin-coated slides and coverslipped with  
48  
49 Vectashield containing DAPI (cat. #H-1200, Vector Laboratories, Burlingame, CA, USA). P14 was chosen  
50  
51 as the sacrifice timepoint for Fos<sup>2A-iCreER</sup><sub>+/-</sub>::Ai14<sub>+/-</sub> litters because optimal expression/accumulation of  
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53 the tdTomato reporter is not achieved until at least a week following the tamoxifen injection.  
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### 60 *Immunohistochemistry*

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4 Avidin-biotin complex (ABC)-amplified, diaminobenzidine (DAB) reactions were used to visualize cFos  
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6 and CRFR1 on free-floating sections. Sections were first washed in PBS containing 0.3% triton (PBST;  
7  
8 3 X 5 min.) followed by quenching of endogenous peroxidase activity by incubation in 0.3% H<sub>2</sub>O<sub>2</sub> for 20  
9  
10 min. Sections were blocked in 5% normal donkey serum (NDS) or normal goat serum (NGS) in PBST for  
11  
12 one hr. Sections were incubated with 1:40,000 rabbit anti-cFos (cat# ABE457, Millipore Sigma,  
13  
14 Temecula, CA) for 3 days at 4°C or 1:2,000 goat anti-CRFR1 (cat# EB08035, Everest Biotech, Ramona,  
15  
16 CA) for 16 hrs. at 4°C. Following 3 x 5 min. washes in PBST, sections were incubated with 1:500  
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18 biotinylated goat anti-rabbit antibody (cat# BA-1000-1.5, Vector Laboratories, Burlingame, CA) or 1:500  
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20 biotinylated donkey anti-goat antibody (cat# 705-065-147, Jackson ImmunoResearch, West Grove, PA)  
21  
22 in 2% NDS or NGS for 2 hrs. Sections were washed in PBST (3 x 5 min.) and then incubated in 1% ABC  
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24 solution (Vectastain, Vector Laboratories, Burlingame, CA) and washed again in PBST (3 x 5 min.). The  
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26 immunoreaction product was visualized using solution containing 0.005% H<sub>2</sub>O<sub>2</sub> and 0.05% DAB. Sections  
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28 were mounted onto gelatin-coated slides or co-labeled for tdTomato expression.  
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36 For co-labeling to visualize the cFos reporter, tdTomato, benzidine dihydrochloride (BDHC) reactions  
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38 were used following DAB staining. Sections were quenched in a solution of 50% methanol and 0.2%  
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40 H<sub>2</sub>O<sub>2</sub> in PBST for 5 min. followed by 100% methanol containing 0.2% H<sub>2</sub>O<sub>2</sub> for 20 min. Sections were  
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42 washed in PBST (3 x 5 min.) then blocked in 2% NGS for 30 min. Sections were then incubated with  
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44 1:10,000 rabbit anti-RFP (cat# 600-401-379, Rockland Immunochemicals, Pottstown, PA) for 3 days at  
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46 4°C. Following primary antibody incubation, sections were washed in PBST (3 x 5 min.) and incubated  
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48 in 1:500 biotinylated goat anti-rabbit antibody in 2% NGS for 2 hrs. Sections were then washed in PBST  
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50 (3 x 5 min.) and incubated in 1% ABC solution, followed by additional washes in PBST (3 x 5 min.).  
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52 Sections were washed in 1X acidic buffer (3 x 5 min.; cat# 003850, Bioenno, Santa Ana, CA) then  
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54 incubated in a buffer containing 0.025% sodium nitroprusside and 0.01–0.02% benzidine dihydrochloride  
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56 (BDHC) for 5–10 min. The granular blue deposits were visualized by immersing the sections in fresh  
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4 incubation solution containing 0.003% H<sub>2</sub>O<sub>2</sub> for 3 min. Sections were washed in 1X acidic buffer (3 x 5  
5  
6 min.) and mounted onto gelatin-coated slides. All mounted sections were dehydrated and coverslipped  
7  
8 with Permount mounting medium (cat# SP15-500, Fisher Scientific, Hampton, NH).  
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13 For fluorescent labeling of CRH, the tyramide signal amplification technique was used (27). Free-floating  
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15 sections were blocked in 5% NGS in PBST for one hour and then incubated in rabbit anti-CRH antiserum  
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17 (1:20,000; gifted by Dr. W. Vale, Salk Institute, La Jolla, CA) for 14 days at 4°C. Following washing in  
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19 PBST (3 x 5 min.), sections were incubated in horseradish peroxidase-conjugated anti-rabbit IgG (1:1000;  
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21 cat# NEF812001EA, Perkin Elmer, Boston, MA) for 1.5 hours. Fluorescein tyramide conjugate was  
22  
23 diluted in in amplification buffer (1:150; cat# NEL701A001KT, Perkin Elmer, Boston, MA) and applied to  
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25 sections in the dark for 5-6 min., followed by washing and mounting on gelatin-coated slides. Sections  
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27 were coverslipped with Vectashield containing DAPI.  
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### 33 *Image acquisition*

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35 Images of sections processed with DAB and BDHC were collected using a Nikon Eclipse E400 light  
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37 microscope with 10x and 20x objective lenses. Confocal images were collected using an LSM-510  
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39 confocal microscope (Zeiss, Dublin, CA, USA) with an Apochromat 10x, 20x, or 63x objective. Virtual z-  
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41 sections of 1 µm were taken at 0.2–0.5 µm intervals. Image frame was digitized at 12-bit using a 1024  
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43 X 1024-pixel frame size.  
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### 49 *Analyses and statistical considerations*

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51 tdTomato<sup>+</sup>, CRFR1<sup>+</sup>, CRH<sup>+</sup>, and cFos<sup>+</sup> neuron numbers were counted manually in FIJI (28). All  
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53 quantifications and analyses were performed using stereological principles including systematic  
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55 unbiased sampling and without knowledge of group assignment. Statistical analyses were carried out  
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57 using GraphPad Prism software (GraphPad, San Diego, CA, USA). Differences between CTL and ELA  
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4 groups of both sexes were assessed using two-way ANOVA. To examine significance of cell number  
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6 differences throughout the anteroposterior axis of the PVT, we used two-way mixed ANOVA with the  
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8 Sidak multiple comparisons *post hoc* test.  
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## 10 11 12 **Results:**

### 13 14 **TRAP2 system is feasible and effective in neonatal mice**

15 We utilized TRAP2;Ai14 mice expressing iCre-ER<sup>T2</sup> recombinase in an activity-dependent manner to  
16  
17 initiate expression of an iCre-dependent tdTomato (tdT) reporter. To initiate recombination and reporter  
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19 expression, tamoxifen was administered to postnatal day 6 (P6) pups reared in standard or ELA cages,  
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21 and reporter expression was assessed one week later to allow for optimal accumulation of the reporter.  
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23 Modest, consistent tdT expression was detected in cell bodies in several brain regions in ELA mice,  
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25 including the hypothalamic paraventricular (PVN) and suprachiasmatic nuclei (SCN; Fig. 1). The most  
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27 robust and striking reporter expression, indicative of neuronal activation during the P6-P8 time period,  
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29 was identified in the paraventricular nucleus of the thalamus (PVT).  
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### 37 38 **PVT neurons are selectively activated by early life adversity**

39 It has been established that, in adults, the PVT is activated by emotionally salient stimuli of both positive  
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41 and negative valence (16,17). Here, we sought to determine if PVT activation occurred during  
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43 emotionally salient experiences during the neonatal/infancy period. To this end, we reared mice either in  
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45 typical cages or in our model of early life adversity (ELA). In this simulated poverty model, mouse pups  
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47 are reared in cages with limited bedding and nesting materials, conditions which provoke aberrant  
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49 maternal care behaviors and stress in the pups (26,29-30). Exposure to this environment from P2 to P9  
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51 induces persistent disruptions in reward-related behaviors later in life (12,13). We administered tamoxifen  
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53 to both control and ELA pups on P6, which results in induction of reporter expression in all neurons  
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55 activated during a 24–48-hour time window following tamoxifen administration.  
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4 There was very little neuronal activation, measured by number of neurons expressing tdT, throughout  
5 the brains of P6-8 male and female mice (Figure 1). However, a screen of serial coronal sections  
6 throughout the brain suggested that prominent cFos expression took place in the PVT in both control and  
7 ELA mice. Analyzing male mice, a two-way ANOVA with Sidak's *post hoc* test identified significantly  
8 greater overall PVT activation in ELA males as compared to control males ( $p = 0.002$ , Fig. 2A,B,E). A  
9 two-way mixed model ANOVA with coordinate as a repeated factor identified a main effect of rearing on  
10 reporter expression ( $p < 0.001$ ), and Sidak's multiple comparison's *post hoc* test indicated a significantly  
11 larger number of TRAPed (tdT<sup>+</sup>) neurons in ELA males as compared to control males at several  
12 coordinates along the anteroposterior axis of the PVT (at -0.46 mm, -1.22 mm, -1.46 mm, and -1.7 mm  
13 from Bregma), indicating that this differential activation was particularly prominent in the mid to posterior  
14 PVT (Fig. 2H). Comparison of overall PVT activation between control males and control females  
15 demonstrated a greater number of TRAPed PVT neurons in control females ( $p = 0.019$ ), and this was  
16 particularly prominent in the posterior PVT (-1.94 mm from Bregma;  $p = 0.007$ ; Two-way mixed model  
17 ANOVA with Sidak *post hoc* test; Fig. 2E,F). Strikingly, and in contrast to males, ELA did not further  
18 augment the density of TRAPed cells in the PVT of female mice (Fig. 2C, D, I).

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40 Reporter expression in TRAP2 mice is driven by the cFos promoter and aims to reflect cFos expression  
41 as a marker of neuronal activation. Because the use of the TRAP2 transgenic system during the first  
42 week of life has not yet been published, we determined the validity of this method during the neonatal  
43 period by visualizing native cFos expression in the PVT during control and ELA rearing conditions.  
44 Immunolabeling against cFos in the PVT of P10 mice was congruent with that of the TRAP2 reporter  
45 (Fig. 3). As expected, significantly more cFos<sup>+</sup> neurons were found in the PVT of ELA males as compared  
46 to control males. In accord with the TRAP2 reporter expression, we found no difference in number of  
47 cFos<sup>+</sup> neurons when comparing control and ELA females. These data support the conclusion that the  
48 use of the TRAP2 system in early life produces reporter expression reflective of native cFos expression  
49 during this period.  
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7 **Increased neuron activation by early life adversity in males is selective to the PVT**

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9 Quantification of TRAPed neurons in control and ELA males was performed in several additional brain  
10 regions, including those involved in reward and responses to stress, such as the nucleus accumbens,  
11 amygdala, ventral tegmental area, and paraventricular nucleus of the hypothalamus (PVN). This  
12 quantification largely revealed sparse reporter expression in both rearing groups, suggesting little  
13 activation during P6-P8 in most regions outside of the PVT (Fig. 4, Table 1). Importantly, in all areas  
14 analyzed besides the PVT, reporter expression did not differ significantly between ELA and control male  
15 mice (Table 1). These findings indicate that the augmented number of TRAPed neurons in the PVT of  
16 ELA males as compared to control males is specific to this nucleus.  
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28 **Molecular/phenotypic characterization of ELA-TRAPed PVT neurons**

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30 The PVT is a heterogenous structure composed of numerous cell types that are defined by the expression  
31 of distinct neurotransmitters, neuropeptides, and receptors. Hence, we next sought to determine the  
32 molecular characteristics of PVT neurons engaged by early-life experiences, including ELA, to gain  
33 insight into the potential functional roles of this population. In addition, because ELA intrinsically involves  
34 stress, and neurons expressing the stress-related neuropeptide CRH are impacted by ELA in the  
35 hypothalamus (31,32), amygdala (10,33), and hippocampus (34), we focused on PVT neurons  
36 expressing CRH or its receptors. Immunostaining against CRH demonstrated a rich population of CRH-  
37 expressing neurons in the PVT, in accord with prior reports (35,36). However, no TRAPed PVT neurons  
38 expressed CRH (Fig. 5A, B). In contrast, immunostaining against the type 1 receptor to CRH, CRFR1,  
39 demonstrated a major increase in the proportion of activated PVT neurons expressing CRFR1 in ELA  
40 mice as compared to controls. Specifically, in males, 40.8% of TRAPed PVT neurons expressed this  
41 receptor in ELA mice (95% CI [0.349, 0.468]), whereas 20.3% of TRAPed PVT neurons expressed this  
42 receptor in control males (95% CI [0.151, 0.255]). In females, 50.5% of TRAPed PVT neurons expressed  
43 CRFR1 in ELA mice (95% CI [0.441, 0.569]), and 24.5% of TRAPed PVT neurons expressed CRFR1 in  
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4 controls (95% CI [0.185, 0.304]). This effect was particularly prominent in the anterior and mid PVT in  
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6 females and across the entire anteroposterior axis of the PVT in males (Fig. 5E, F). Therefore, in both  
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8 males and females, a significantly larger proportion of neurons engaged in early life expressed CRFR1  
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10 in ELA groups as compared to controls. Additionally, two-way mixed model ANOVA comparing the  
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12 proportion of TRAPed aPVT neurons that express CRFR1 in control and ELA males and females  
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14 indicated a significant interaction between rearing condition and sex ( $p = 0.002$ ; Fig. S2), with females  
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16 experiencing a larger ELA-induced increase in proportion of TRAPed aPVT neurons expressing this  
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18 receptor. Surveys of CRFR2 expression in PVT using both *in situ* hybridization (37) and IHC revealed  
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20 only low levels, so this receptor was not quantified.  
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## 26 Discussion

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28 The principal findings in this set of experiments are (1) genetic tagging of neurons activated during the  
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30 neonatal period in mice is feasible, with high sensitivity and fidelity; (2) the PVT is the major brain region  
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32 activated by early-life adversity; (3) sex is an important determinant of neuronal activation by early-life  
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34 experiences, and (4) neurons expressing the CRH receptor, CRFR1, likely a target of CRH signaling, are  
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36 preferentially activated by ELA in a sex-dependent manner and are poised to contribute to the  
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38 mechanisms by which it contributes to alterations in adult behaviors.  
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44 Using the TRAP2 transgenic mouse, we identify here region-specific neuronal activation during the early  
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46 postnatal period in the mouse. Reporter expression is highly congruent with native cFos. Unlike native  
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48 cFos expression, the TRAP2 system allows for labeling of neuronal activity over a much longer time  
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50 period (up to approximately 48 hours) following tamoxifen administration (15). This characteristic is  
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52 advantageous when visualizing neuronal activity during a chronic stimulus, such as early-life adversity.  
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54 The finding that activity-dependent genetic labeling of neurons in P6 mice is possible is novel and  
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56 demonstrates that cFos can be robustly expressed in the brains of neonatal mice. This transgenic system  
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4 can therefore be an effective and advantageous tool for the investigation of early life neuronal activation  
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6 within the brain and its consequences later in life.  
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10 An important consideration when pursuing activity-dependent labeling is the particular gene locus  
11 governing CRE expression. Immediate early genes (IEGs) represent a well-described connection  
12 between neuronal activity and subsequent gene expression changes (38), and thus provide a useful  
13 strategy for targeting active cell populations for genetic access. While several IEGs are expressed in the  
14 brain, cFos is known to be expressed in the neonatal rodent brain, and this expression in the PVT is  
15 dependent upon an ongoing stimulus (25,39). cFos has been shown to be directly involved in the long-  
16 term consequences of neuronal activation on transcriptional and circuit-level changes (40). Unlike other  
17 IEGs that act rapidly via direct influences on synapses and cellular function, cFos functions through more  
18 protracted pathways via regulation of downstream target genes (25, 39). Additionally, the role of cFos in  
19 memory is well-established, including a role in mediating responses following acquisition of contextual  
20 memories (39). While cFos is expressed following activation in both excitatory and inhibitory neurons and  
21 therefore cannot distinguish between the two, the vast majority of the PVT neurons are excitatory  
22 glutamatergic neurons (41,42). Therefore the lack of distinction between excitatory and inhibitory neurons  
23 is not of consequence in this context. These characteristics highlight cFos as an excellent tool for  
24 understanding brain activity in early life.  
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44 Using TRAP2, we find that the PVT is prominently activated during exposure to ELA as compared to  
45 normal rearing in male mice. By contrast, in females, there is little additional apparent neuronal activation  
46 in ELA- versus control-reared groups. In both sexes, the selectivity of PVT engagement by early-life  
47 experiences is striking, as other brain regions related to stress and reward contain few experience-  
48 engaged neurons, and this activation does not differ between control and ELA mice.  
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57 In adult rodents, the PVT is activated by stimuli of both positive valence (e.g. drugs of abuse; 43) and  
58 negative valence (e.g. footshock; 44), but activation of the PVT by emotionally salient stimuli early in life  
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4 is not well characterized. We have previously identified PVT activation in 9-day old rat pups by sensory  
5 input from their mothers, a positive emotionally salient experience (45). Here we show that the PVT is  
6 activated by ELA taking place during postnatal days 2-9 in mice. This is important, because adult studies  
7 demonstrate that activation of the PVT by stressful events influences responses to an additional stress  
8 later in life (45,46). Our demonstration that the PVT is selectively engaged by ELA renders this brain  
9 region a candidate for mediating the long-lasting consequences of ELA on future responses to stress  
10 throughout life.  
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22 In addition to altered stress responses (32,47,48), ELA leads to deficits in motivated reward behaviors  
23 (11,48). Activation of subsets of PVT neurons may mechanistically contribute to these consequences:  
24 The PVT is required for the retrieval of remote emotionally salient experiences (i.e. those that have  
25 occurred >24 hrs. ago) and their influence on the regulation of motivated behaviors in adult rodents  
26 (45,50,51). The PVT and its specific projections contribute to a variety of reward-related behaviors,  
27 including motivation for feeding (52), binge ethanol drinking (53) and heroin relapse (54). Disruptions in  
28 similar reward-related behaviors characterize numerous mood disorders, including substance use  
29 disorders and depression, for which ELA is a risk factor (2,5,55). Thus, the robust and differential  
30 engagement of the PVT during ELA reported here suggests that the PVT may encode these early-life  
31 experiences and utilize this information later in life to impact reward-related behaviors. These hypotheses  
32 will be subjects of future studies.  
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49 We find that ELA exerts the largest increase in activation in specific subregions of the PVT. The specific  
50 topology of this differential activation sheds light on the potential connectivity and functions of ELA-  
51 engaged PVT neurons: The posterior (p)PVT sends strong projections to regions including the  
52 ventromedial nucleus accumbens shell, central amygdala (CeA), basolateral amygdala (BLA) and bed  
53 nucleus of the stria terminalis, whereas the anterior (a)PVT projects in a more diffuse manner to regions  
54 including the dorsomedial nucleus accumbens shell, suprachiasmatic nucleus, and ventral subiculum  
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4 (23,56,57). In addition to this heterogeneity in projection patterns, different contributions to motivated  
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6 behaviors are attributed to the aPVT versus pPVT. For example, inactivation of the aPVT, but not pPVT,  
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8 decreases sucrose seeking when an expected sucrose reward is omitted (58). Similarly, injection of  
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10 neurotensin into the pPVT, but not aPVT, is sufficient to curb excessive ethanol consumption in rats (59).  
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12 A role in regulation of responses to chronic or repeated stress has also been identified exclusively for the  
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14 pPVT (18,60). Therefore, the distinct topological distribution of neuronal activation by ELA may suggest  
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16 specific roles and projection targets that warrant further investigation.  
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22 Our finding that CRFR1-expressing PVT neurons are preferentially activated by ELA is intriguing. The  
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24 receptor is well expressed during the first week of life in the rodent (61) and was abundantly expressed  
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26 in both the male and female PVT in our findings. Why and how might ELA augment activation of CRFR1  
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28 neurons? As shown in other brain regions, ELA might increase CRH expression and release in the PVT,  
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30 activating receptor-expressing neurons. CRH release regulates CRFR1 expression in a biphasic manner  
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32 (62), such that it is conceivable that more cells in the PVT express CRFR1 above detection threshold in  
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34 ELA versus control animals. The augmented activation of CRFR1-neurons by ELA is notable, because  
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36 CRFR1 plays important roles in responses to stress, including mediating changes in reward-related  
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38 behaviors following stress (63-66). Further analysis revealed a significant interaction between rearing  
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40 condition and sex in the aPVT, whereby the effect of ELA on proportion of TRAPed aPVT neurons that  
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42 express CRFR1 was significantly more pronounced in females as compared to males (Fig. S2). This,  
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44 combined with the finding that overall PVT activation is greater in control-reared females as compared to  
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46 control-reared males, suggests sex-dependent mechanisms of PVT activation in early life. Future studies  
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48 will determine whether these CRFR1 neurons mediate the influence of ELA on adult reward behaviors.  
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55 In conclusion, the present studies describe a novel use of activity-dependent genetic tagging to  
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57 demonstrate robust and selective activation of the PVT during ELA. Compared to typical rearing, PVT  
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59 neurons activated during ELA are significantly more likely to express the receptor to CRH, CRFR1.  
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Establishing the functional role of these ELA-engaged PVT neurons will be a crucial next step toward determining their role in disruptions of reward-related behaviors induced by ELA and will provide important information toward understanding the mechanisms underlying the consequences of ELA on mental health.



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9 **Figure legends:**

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13 **Fig. 1. PVT neurons are robustly and selectively activated during exposure to adverse rearing**

14 **conditions.** Low magnification images of brain sections from TRAP2 mice sacrificed a week after  
15 receiving tamoxifen on P6 to enable cFos-dependent Cre expression for 24-48 hrs. Strong activation of  
16 the PVT, with little reporter expression in the rest of the brain is apparent. aPVT, anterior paraventricular  
17 nucleus of the thalamus; MPO, medial preoptic nucleus; pPVT, posterior paraventricular nucleus of the  
18 thalamus; PVN, paraventricular nucleus of the hypothalamus; SCN, suprachiasmatic nucleus.  
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28 **Fig 2. ELA induces greater PVT activation in males compared with females. (A) Control and (B)**

29 early life adversity (ELA) P14 male TRAP2 mouse reporter (tdT) expression in the pPVT following  
30 tamoxifen administration on P6. (C) Control and (D) ELA P14 female TRAP2 mouse tdT expression in  
31 the pPVT following tamoxifen administration on P6. Scale bar = 50  $\mu$ m. (E) Comparison of overall PVT  
32 activation in male and female control and ELA mice, normalized to activation in control males (n = 7-9  
33 mice/group; Two-way mixed model ANOVA with the Sidak *post hoc* test). (F) Quantification of tdT<sup>+</sup>  
34 neurons across the anteroposterior axis of the PVT comparing control males and females. Two-way  
35 mixed model ANOVA with the Sidak *post hoc* test shows significantly more TRAPed neurons at -1.94  
36 mm from Bregma in females (p = 0.007; n = 7-10 mice/group). (G) Comparing the number of tdT<sup>+</sup> PVT  
37 neurons in ELA males and females indicates no difference (n = 8-14 mice/group; (p = 0.296; Two-way  
38 mixed model ANOVA). (H) Two-way mixed model ANOVA with the Sidak *post hoc* test comparing  
39 control and ELA males indicates significantly more tdT<sup>+</sup> PVT neurons at -0.46, -1.22, -1.46, and -1.70  
40 mm from Bregma (n = 10-14 mice/group; Two-way mixed model ANOVA with the Sidak *post hoc* test).  
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58 (I) Comparing the number of tdT<sup>+</sup> PVT neurons in control and ELA females indicates no difference (p =  
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4 0.472; n = 7-8 mice/group; Two-way mixed model ANOVA). \*\*\*, p < 0.001; \*\*, p < 0.01; \*, p < 0.05. All  
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6 values shown as mean ± SEM.

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8 **Fig 3. Endogenous cFos expression in the PVT is congruent with and validates the TRAP2**

9 **method.** (A) Representative images of cFos expression in the pPVT of P10 control males, (B) ELA  
10 males, (C) control females, (D) and ELA females sacrificed immediately following exposure to normal or  
11 adverse rearing conditions; 3<sup>rd</sup>, third ventricle. Scale bar = 50 µm. (E) Comparison of overall PVT cFos  
12 expression in male and female control and ELA mice, normalized to activation in control males (n = 5-6  
13 mice/group; Two-way mixed model ANOVA with the Sidak *post hoc* test). (F) Quantification of cFos<sup>+</sup>  
14 neurons across the anteroposterior axis of the PVT in P10 control and ELA male mice (G) and female  
15 mice (n = 7-11 mice/group; Two-way mixed model ANOVA). \*\*, p < 0.01; \*, p < 0.05. All values shown  
16 as mean ± SEM.  
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31 **Fig 4. The numbers of TRAPed neurons differ between control and ELA male mice exclusively in**

32 **the PVT.** Representative images for multiple brain regions show no difference in tdTomato expression  
33 in male TRAP2 mice reared in control vs. ELA conditions. Few tdTomato<sup>+</sup> neurons are found in most  
34 regions, including those important in reward- and stress-related behaviors. Coordinates indicate the  
35 distance from Bregma of each image. SCN, suprachiasmatic nucleus; CeA, central nucleus of the  
36 amygdala; BLA, basolateral amygdala; PVN, paraventricular nucleus of the thalamus; 3<sup>rd</sup>, third  
37 ventricle; NAc, nucleus accumbens. Scale bars = 100 µm.  
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51 **Fig 5. TRAPed PVT neurons express CRFR1 but not CRH.** (A) Representative image from the pPVT

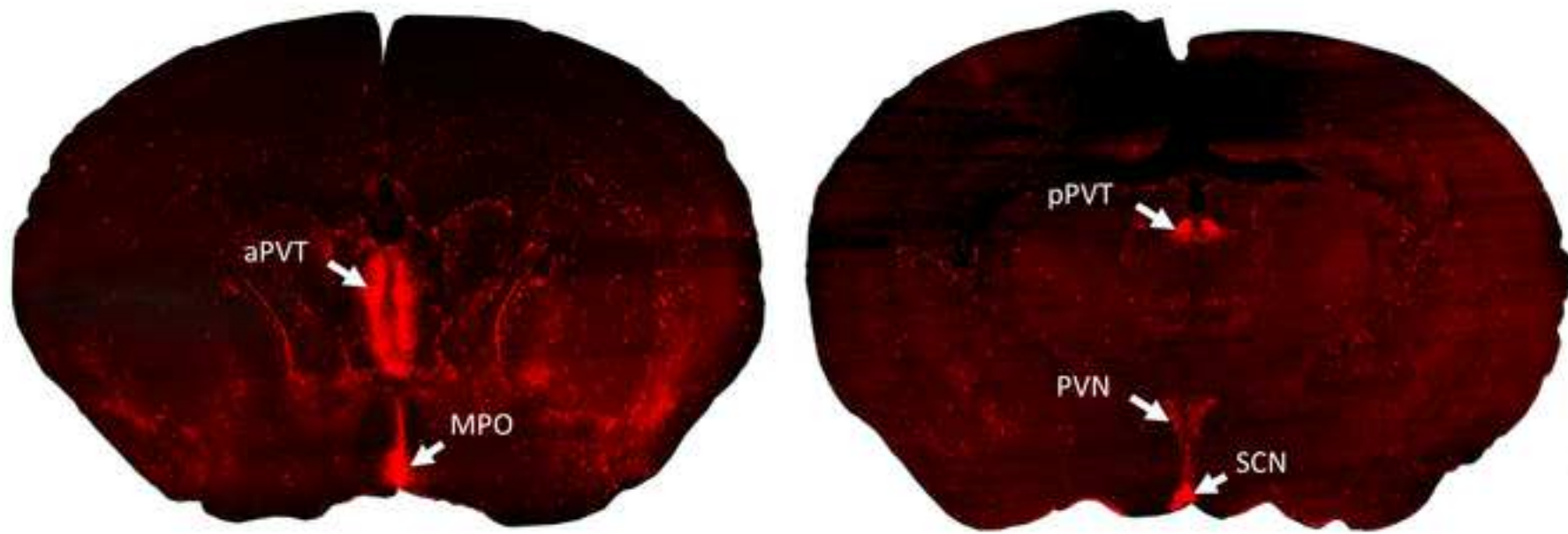
52 of tdTomato (red) and CRH (green) expression in a P14 TRAP2 male demonstrating lack of overlap  
53 between CRH and the TRAP2 reporter. Scale bar = 50 µm. (B) Higher magnification reveals numerous  
54 CRH<sup>+</sup> puncta around TRAPed PVT neurons (white arrows) but no CRH expression within TRAPed  
55 neurons. Scale bar = 10 µm. (C) Representative image from the pPVT of tdTomato (blue) and CRFR1  
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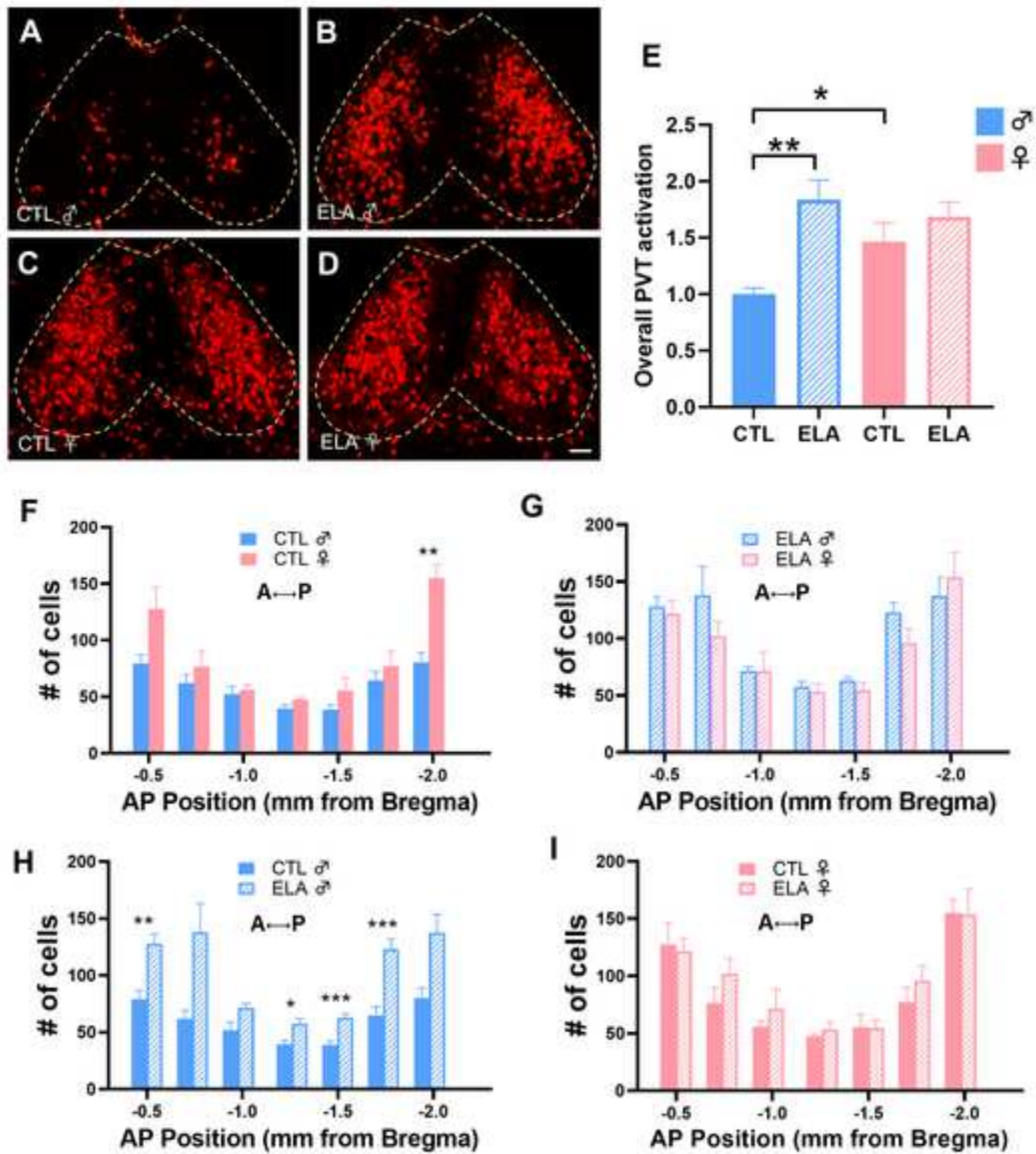


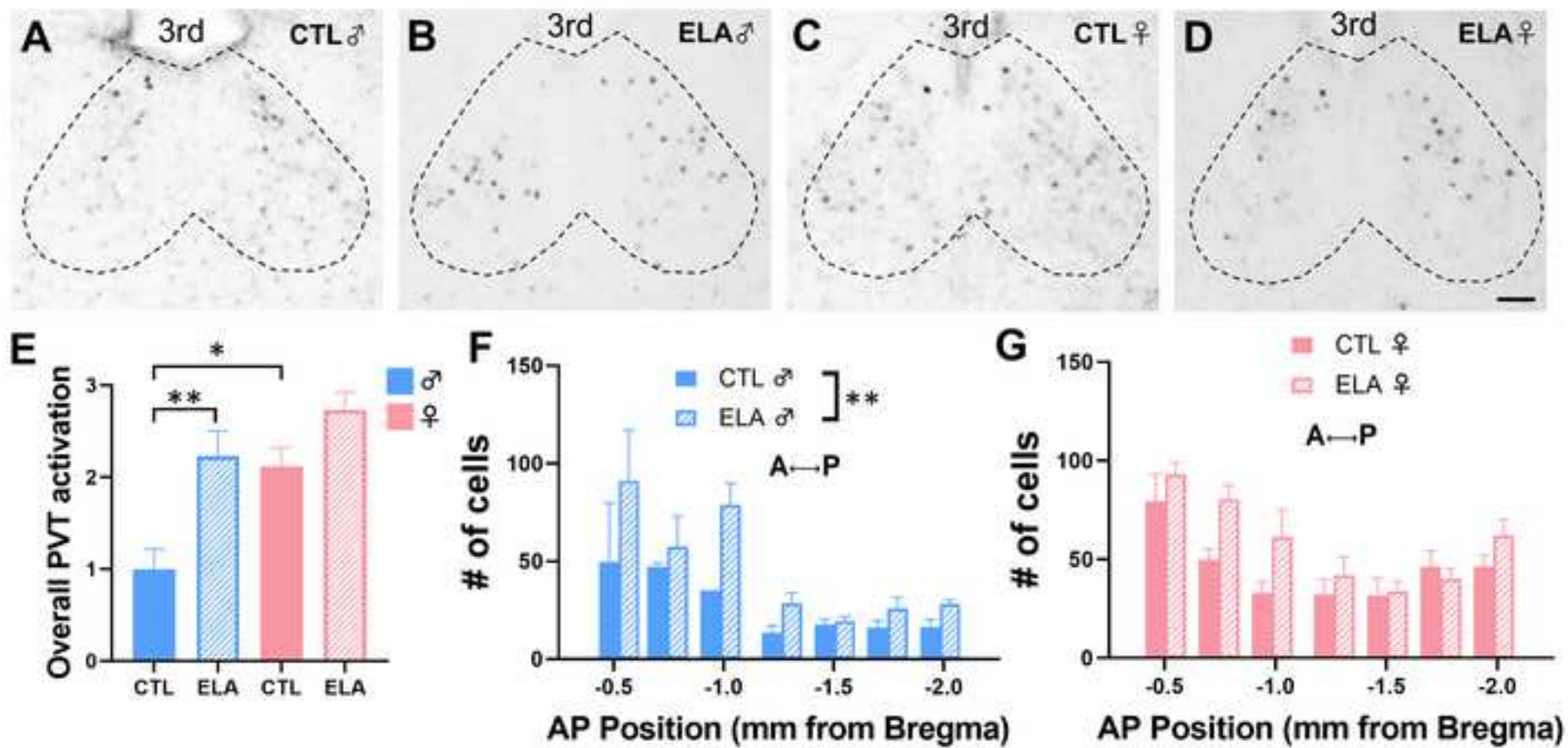
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4 (brown) expression in a P14 TRAP2 mouse, showing robust overlap of CRFR1 and reporter expression.  
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6 Scale bar = 50  $\mu$ m. (D) Higher magnification reveals partial co-expression of tdTomato and CRFR1. Scale  
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8 bar = 10  $\mu$ m. (E) In males, and across anterior, mid, and posterior PVT, a significantly larger proportion  
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10 of TRAPed PVT neurons express CRFR1 following ELA as compared to control rearing (n = 6  
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12 mice/group; Two-way mixed model ANOVA with the Sidak *post hoc* test). (F) In females, across anterior  
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14 and mid PVT, a significantly larger proportion of TRAPed PVT neurons express CRFR1 following ELA  
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16 as compared to control rearing (n = 5-6 mice/group; Two-way mixed model ANOVA with the Sidak *post*  
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18 *hoc* test). \*\*, p < 0.01; \*, p < 0.05. All values shown as mean  $\pm$  SEM.  
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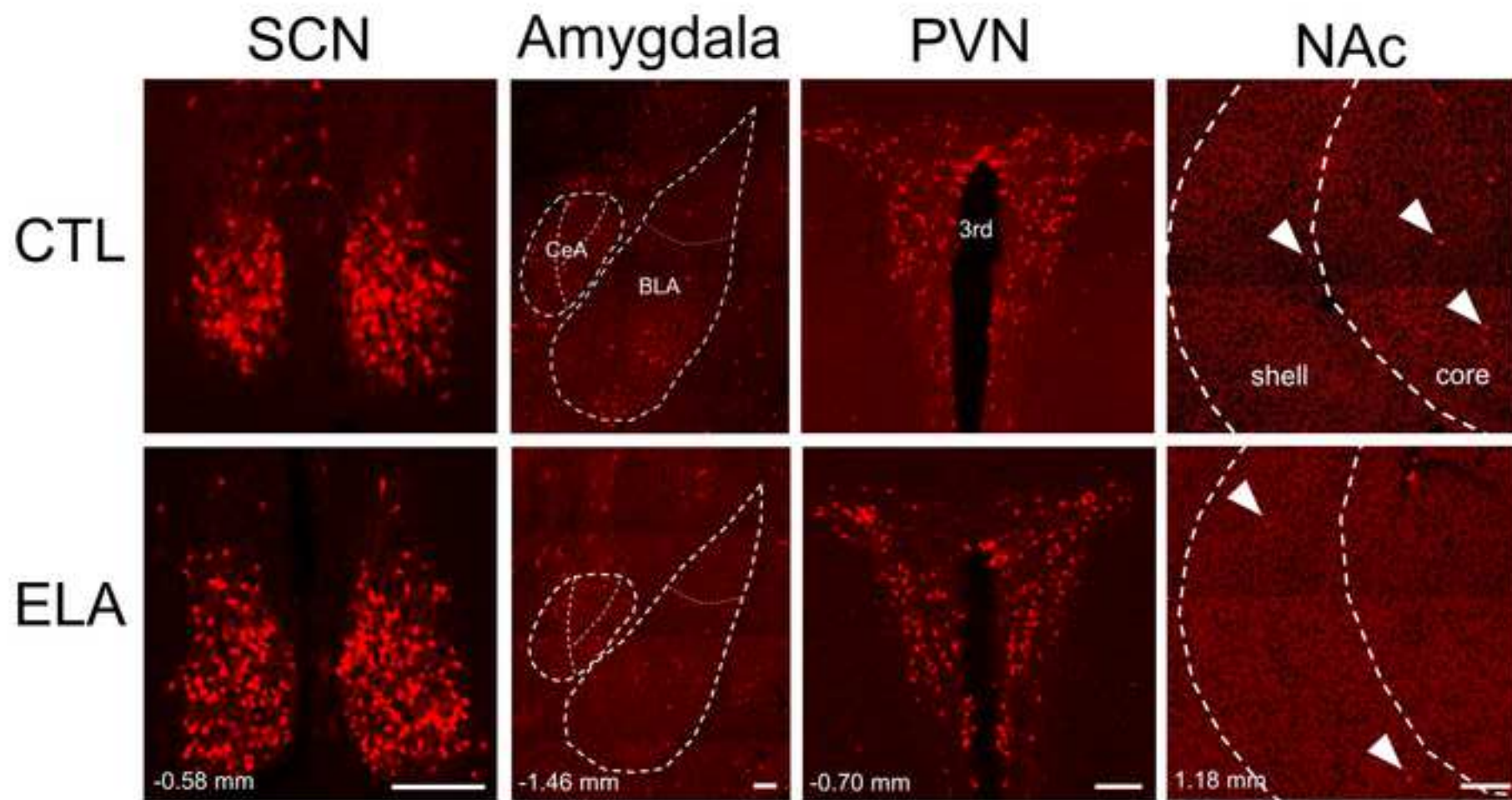
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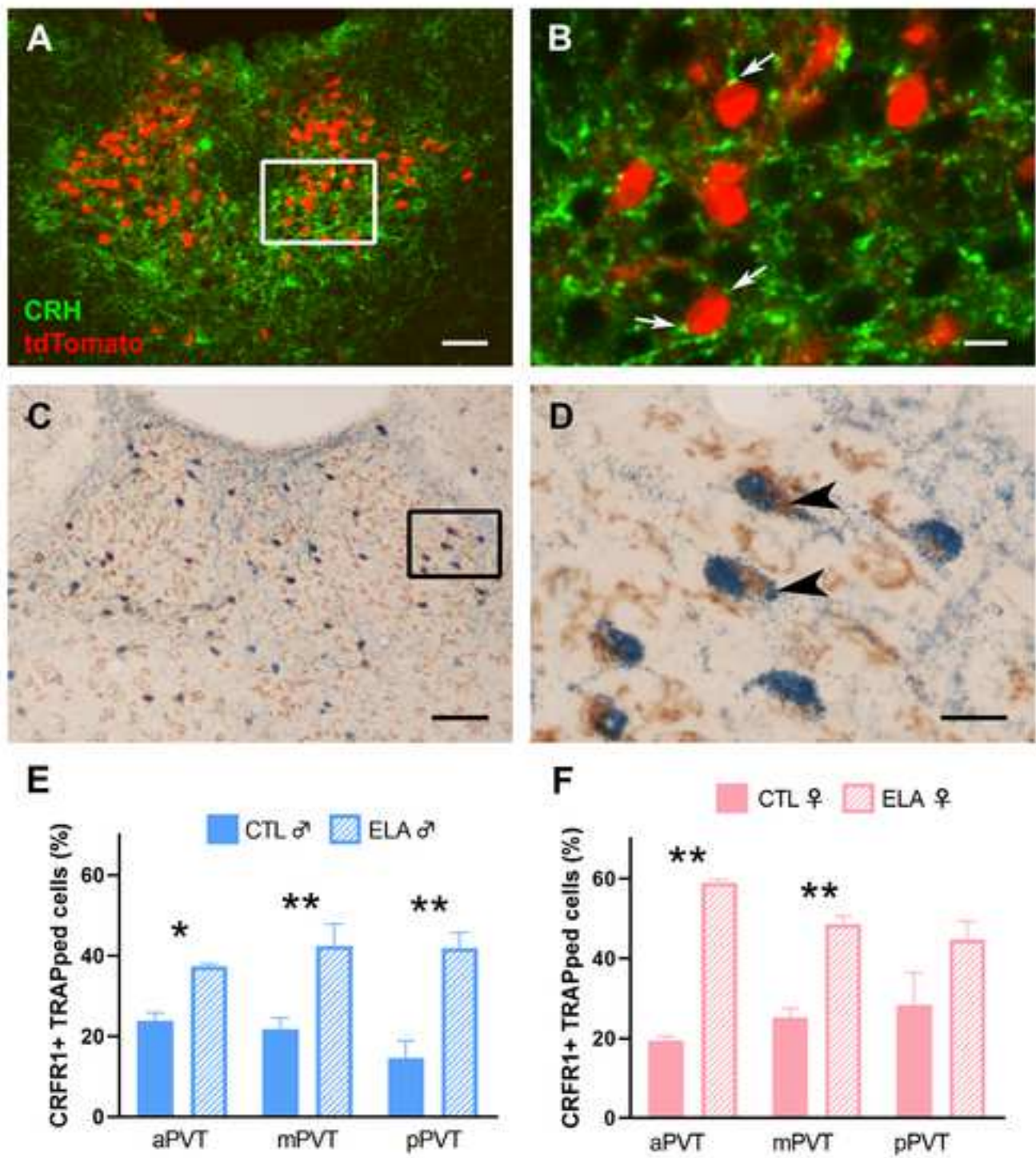
Brain region	CTL TRAPed Neurons	ELA TRAPed Neurons	
<b>Paraventricular thalamus</b>			
Anterior	79.31 ± 18.57	133.60 ± 16.80	p = 0.0001
Mid	39.33 ± 7.94	57.65 ± 10.92	p = 0.008
Posterior	80.31 ± 20.75	145.10 ± 35.40	p = 0.005
<b>Nucleus accumbens</b>			
Core	9.16 ± 2.49	7.76 ± 2.58	p = 0.397
Medial shell	1.73 ± 0.65	1.63 ± 0.73	p = 0.823
<b>Amygdala</b>			
Basolateral	17.00 ± 3.99	13.61 ± 5.24	p = 0.278
Central	11.29 ± 6.18	8.90 ± 6.31	p = 0.556
<b>Medial prefrontal cortex</b>			
Prelimbic	5.29 ± 1.03	6.88 ± 5.24	p = 0.521
Infralimbic	7.13 ± 3.64	6.14 ± 2.95	p = 0.631
<b>Ventral tegmental area</b>			
	4.80 ± 2.54	6.11 ± 3.85	p = 0.516
<b>Hypothalamus</b>			
Suprachiasmatic	56.00 ± 9.44	65.57 ± 10.81	p = 0.137
Paraventricular	21.67 ± 7.92	25.58 ± 9.34	p = 0.489
Lateral	12.14 ± 7.03	10.43 ± 5.47	p = 0.646
Ventromedial	6.71 ± 4.06	3.43 ± 2.61	p = 0.121
<b>Hippocampus</b>			
CA1	14.33 ± 5.69	11.75 ± 2.95	p = 0.301
CA3	10.17 ± 3.48	10.38 ± 3.82	p = 0.926
Dentate gyrus	20.50 ± 9.97	19.13 ± 6.93	p = 0.778









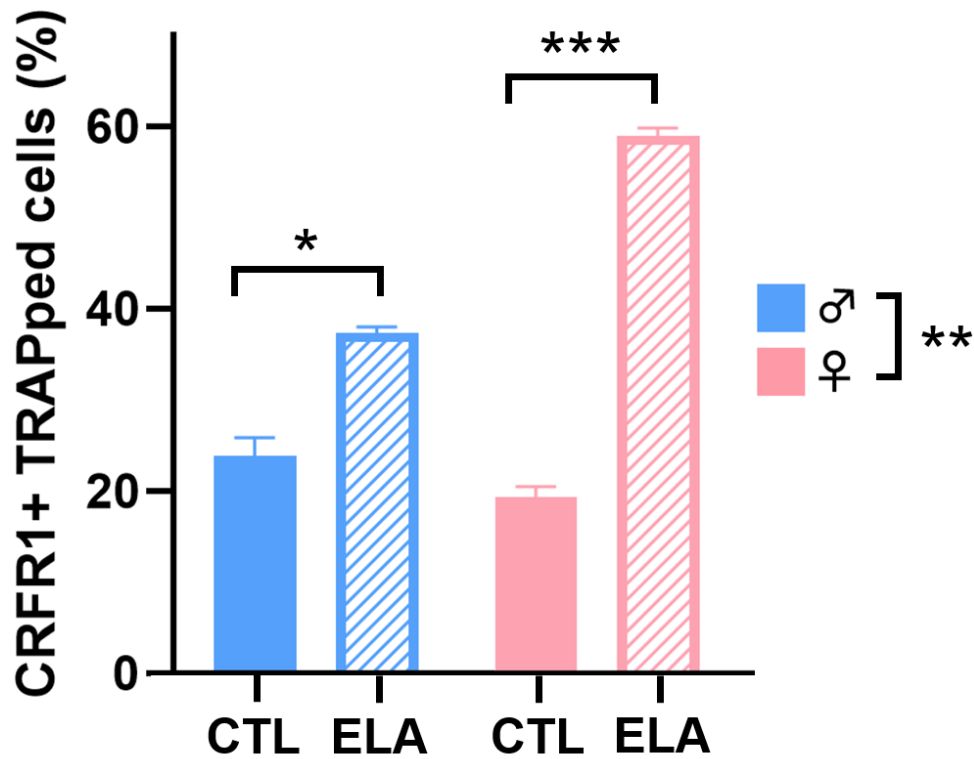


## Supplementary information



**Supplementary Fig 1. Subcutaneous injection of tamoxifen on P6.** On the morning of P6, pups are briefly removed from their home cage (<3 min.), placed on a heating pad, and injected with 150 mg/kg tamoxifen dissolved in corn oil. The skin on the back of the neck is pinched to create a fold, into which a 30g needle is inserted for the injection. All live-animal procedures demonstrated here have been reviewed and approved by the Institutional Animal Care and Use Committee at UC Irvine.





**Supplementary Fig 2. Proportion of aPVT neurons expressing CRFR1<sup>+</sup>TRAPed neurons in CTL and ELA males and females.** Comparing the proportion of TRAPed aPVT neurons that express CRFR1 revealed a significant interaction between rearing condition and sex ( $p = 0.0024$ ;  $n = 5-6$  mice/group; Two-way mixed model ANOVA with Sidak *post hoc* test). \*\*\*,  $p < 0.001$ ; \*\*,  $p < 0.01$ ; \*,  $p < 0.05$ . All values shown as mean  $\pm$  SEM.

## KEY RESOURCES TABLE

Resource Type	Specific Reagent or Resource	Source or Reference	Identifiers	Additional Information
Add additional rows as needed for each resource type	Include species and sex when applicable.	Include name of manufacturer, company, repository, individual, or research lab. Include PMID or DOI for references; use "this paper" if new.	Include catalog numbers, stock numbers, database IDs or accession numbers, and/or RRIDs. RRIDs are highly encouraged; search for RRIDs at <a href="https://scicrunch.org/resources">https://scicrunch.org/resources</a> .	Include any additional information or notes if necessary.
Antibody	<i>Rabbit anti-Fos antibody</i>	Millipore Sigma	catalog #ABE457	
	<i>Goat anti-CRFR1 antibody</i>	Everest Biotech	catalog #ABE457	
	<i>Biotinylated goat anti-rabbit antibody</i>	Vector Laboratories	catalog #BA-1000-1.5	
	<i>Biotinylated donkey anti-goat antibody</i>	Jackson ImmunoResearch	catalog #705-065-147	
	<i>Rabbit anti-RFP antibody</i>	Rockland Immunochemicals	catalog #600-401-379	
	<i>Rabbit anti-CRH serum</i>	Dr. Wylie Vale		
	<i>HRP-conjugated anti-rabbit IgG</i>	Perkin Elmer	catalog #NEF812001EA	
Chemical Compound or Drug	<i>Tamoxifen</i>	Millipore Sigma	catalog #T5648	
Other	<i>Corn oil</i>	Millipore Sigma	catalog #C8267	
	<i>BDHC Substrate Kit</i>	Bioenno	catalog #003850	
	<i>Vectastain ABC Kit</i>	Vector Laboratories	catalog #PK6100	
	<i>Vectashield containing DAPI</i>	Vector Laboratories	catalog #H-1200	
	<i>PermOUNT mounting medium</i>	Fisher Scientific	catalog #SP15-500	
	<i>TSA Fluorescein System</i>	Perkin Elmer	catalog #NEL701A001KT	
Software; Algorithm	<i>GraphPad Prism 9.0.0</i>	GraphPad Software		
	<i>Fiji software</i>	NIMH	Version 1.51w	
Organism/Strain	<i>Fos<sup>2A::CreER</sup> mice</i>	Jackson labs	strain #030323	
	<i>Ai14 mice</i>	Jackson labs	strain #007914	

## In This Issue

It is well established that early-life adversities/ stresses may leave a permanent mark on adult behaviors, including risks for mental illnesses such as depression. However, it is not known where in the brain early-life stresses are encoded and stored. Kooiker and team are the first to use a genetic tagging method in neonatal mice, allowing brain cells activated during typical or stressful early life to be permanently visible.

They identify strong, selective activation of a brain region (PVT) known to contribute to both pleasurable and aversive behaviors. Their work opens new research opportunities for understanding and blunting the effects of early-life adversity on mental health