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1 High severity burn area and proportion exceed historic conditions in forests of Sierra Nevada and
2 adjacent ranges (USA)

3

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15 Open Research Statement: All data used in this manuscript will be made publicly available in the
16 Dryad Repository, of which the University of California is a member.

17

18 ABSTRACT

19 Although fire is a fundamental ecological process in western North American forests, climate
20 warming and accumulating forest fuels due to fire suppression have led to wildfires that burn at
21 high severity across larger fractions of their footprint than were historically typical. These trends
22 have spiked upwards in recent years and are particularly pronounced in the Sierra Nevada-
23 southern Cascades ecoregion of California, USA and neighboring states. We assessed annual

24 area burned and percentage of area burned at high and low-to-moderate severity for seven major
25 forest types in this region from 1984 to 2020. We compared values for this period against
26 estimates for the pre-Euro-American settlement (EAS) period prior to 1850 and against a
27 previous study of trends from 1984-2009. Our results show that total average annual area burned
28 remained below pre-EAS levels, but that gap is decreasing (i.e., c. 14% of pre-EAS for 1984-
29 2009, but 39% for 2010-2020 [including c. 150% in 2020]). Although average annual area
30 burned has remained low compared to pre-EAS, both the average annual *area* burned at high
31 severity and the *percentage* of wildfire area burned at high severity have increased rapidly. The
32 percentage of area burned at high severity – which was already above pre-EAS average for the
33 1984-2009 period – has continued to rise for five of seven forest types. Notably, between 2010
34 and 2020, the average annual area burned at high severity exceeded the pre-EAS average for the
35 first time on record. By contrast, percentage of area that burned at low-to-moderate severity
36 decreased, particularly in the lower elevation oak and mixed conifer forest types. These findings
37 underline how forests historically adapted to frequent low-to-moderate severity fire are being
38 reshaped by novel proportions and extents of high severity burning. The shift toward a high
39 severity-dominated fire regime is associated with ecological disruptions, including changes in
40 forest structure, species composition, carbon storage, wildlife habitat, ecosystem services, and
41 resilience. Our results underscore the importance of finding a better balance between the current
42 management focus on fire suppression and one that puts greater emphasis on proactive fuel
43 reduction and increased forest resilience to climate change and ecological disturbance.

44 **KEY WORDS:** annual area burned; Cascade Range; fire ecology; fire regime; mixed conifer;
45 natural range of variation (NRV); Sierra Nevada; wildfire; yellow pine.

46

47 INTRODUCTION

48 Fire is a fundamental ecological process that has shaped the forests of western North America for
49 millions of years (Keeley and Safford 2016). The range of forest types found in this region is
50 related to the interactions of multiple factors, including climate, topography, species pool,
51 productivity, and disturbance history. These factors influence and are influenced by the fire
52 regime, which is defined by long-term temporal, spatial and fire intensity patterns of burning that
53 typify an ecosystem and shape its composition, structure, and function (Agee 1993, van
54 Wagtendonk et al. 2018b, Miller and Safford 2020). Over the past century, however, fire regimes
55 in many western North American forests have departed from their natural range of variation.
56 These modern changes have been driven largely by anthropogenic factors – e.g., halting of
57 Native American burning, adoption of fire suppression policies, timber extraction and forest
58 management practices, changing ignition patterns, and climate change – that have altered the
59 way fire interacts with forests (Abatzoglou and Williams 2016, Klimaszewski-Patterson and
60 Mensing 2016, Stephens et al. 2016, Balch et al. 2017, Parks et al. 2018a).

61 A century of fire exclusion in the western United States has led to changes in fire
62 frequency and burn severity, two key components of the fire regime (Mallek et al. 2013, Safford
63 and Van de Water 2014, Steel et al. 2015, Parks et al. 2018b). The reduction or removal of
64 regular fire has caused significant changes in forest structure, composition, diversity, and
65 function. For example, changes in forest fire regimes have promoted shifts in forest stand
66 density, fuel loading and continuity, and habitat heterogeneity (Johnstone et al. 2010, Hanberry
67 2014, Cassell et al. 2019, Stevens et al. 2019), and such shifts may be exacerbated by climate
68 warming (van Mantgem et al. 2013, Halofsky et al. 2020). In yellow pine (i.e., ponderosa pine
69 and/or Jeffrey pine; *Pinus ponderosa*, *P. jeffreyi*) and mixed conifer forests (the above species,

70 plus, among other species, sugar pine [*P. lambertiana*], incense cedar [*Calocedrus decurrens*]
71 and white fir [*Abies concolor*]), wildfires have grown in size and are more likely to include
72 larger contiguous patches of high severity burning than fires that burned prior to the application
73 of fire exclusion policies (Steel et al. 2018). Major changes in the yellow pine and mixed conifer
74 fire regimes have negatively impacted forest resilience, tree regeneration, species distributions
75 (Miller et al. 2009b, Keeley and Syphard 2016, Welch et al. 2016, Boisramé et al. 2017, Thorne
76 et al. 2017, Steel et al. 2018), threatened and endangered animal populations (Blomdahl et al.
77 2019, Jones et al. 2020), plant species diversity (Richter et al. 2019, Miller and Safford 2020),
78 and ecosystem services (Wu and Kim 2013, Richter et al. 2019, Rakhmatulina et al. 2020).

79 To better understand how wildfire patterns in the Western U.S. have been changing,
80 Mallek et al. (2013) compared modern vs. historical patterns of annual area burned and wildfire
81 severity in the Sierra Nevada-Southern Cascades ecoregion of eastern and northeastern
82 California and neighboring states. For the purposes of this study, “historical” refers to the time
83 before significant Euro-American settlement (“pre-EAS”) of the study region, i.e., prior to
84 ~1850. We also use the natural range of variation (NRV) to refer to the forest structure and
85 composition that existed pre-EAS, as defined by Safford and Stevens (2017; NRV as we use it
86 includes the contributions of Native Americans to the fire regime). Mallek et al. (2013) found
87 that while overall annual area burned in the period from 1984 to 2009 was only about 14% of
88 what burned in an average pre-EAS year (Stephens et al. 2007), the percentage of area burning at
89 high severity was much higher in 1984-2009 (29% area-weighted average vs c. 7% pre-EAS).
90 Another important finding of the study was that differences between the 1984-2009 and pre-EAS
91 periods depended on the forest type in question. For example, low- to mid-elevation forest types
92 (i.e., oak woodlands, yellow pine, mixed conifer) were burning much less frequently than under

93 pre-EAS conditions, but at much greater severity when they burned. By contrast, the authors
94 found that higher elevation forest types (i.e., red fir [*Abies magnifica*], lodgepole pine [*Pinus*
95 *contorta*], subalpine forest), which have longer natural fire return intervals, experienced
96 relatively minor changes in fire frequency, and modern fire severity patterns were not
97 statistically discernable from pre-EAS patterns.

98 The annual area burned by wildfires in California has increased considerably since 2009
99 – the last data year considered by Mallek et al. (2013) – and nine of the ten largest fires in the
100 State’s history have occurred since then (CalFire 2021b). Over the last decade, severe wildfires
101 have emitted hundreds of millions of Mg of carbon and other pollutants into the atmosphere
102 (CARB 2020) and caused widespread ecological damage to forests, soils, and sensitive animal
103 habitat (Coppoletta et al. 2016, Jones et al. 2016, Welch et al. 2016, Abney et al. 2019, Dove et
104 al. 2020, Jones et al. 2020, Steel et al. In press). The Sierra Nevada-Southern Cascades ecoregion
105 (i.e., the study region; Fig. 1) has experienced similar trends in wildfire as California as a whole,
106 with regional variation driven by complex topography, prominent altitudinal gradients, and
107 geographic clines in the distribution of climates and ecosystems (North et al. 2016, Safford et al.
108 2021). The recent large, high severity fires in the region, combined with the availability of eleven
109 additional years of fire severity data, led us to revisit and build on the analyses conducted by
110 Mallek et al. (2013).

111 In this study, we provide an updated assessment of area burned and fire severity patterns
112 for the Sierra Nevada-Southern Cascades region over the 37-year period from 1984-2020. Our
113 goal is to provide the most current and refined assessment possible vis-à-vis changing fire
114 regimes for resource managers who struggle to balance short-term conservation and risk aversion
115 priorities with long-term considerations of ecosystem sustainability under rapid environmental

116 change. Specifically, we evaluate whether previously identified trends in burned area and fire
117 severity (Mallek et al. 2013) continue as before or whether they have slowed or accelerated.
118 Based on recent investigations (e.g., Steel et al. 2015, 2018; Safford and Stevens 2017) and
119 personal observations, we hypothesized that for the study region between 2010 and 2020: (1)
120 annual area burned would increase relative the 1984-2009 period, but would still lag behind
121 average annual area burned during the pre-EAS period; (2) the percentage of wildfire area
122 burned at high severity would increase for all forest types, but proportionally more in low- and
123 middle-elevation forests, where forests have experienced greater departures from historical fire
124 return intervals due to a century of fire exclusion and climate warming; and (3) the average
125 annual area burned at high severity (AAHS) would approach or exceed historical pre-EAS high
126 severity area in low- and middle-elevation forest types, but perhaps not in high-elevation forests,
127 given their longer fire return intervals and relative lack of fire for the last decade.

128

129 METHODS

130 *Study Area*

131 The study area comprises approximately 120,000 km² of the Sierra Nevada and Southern
132 Cascade Mountain ranges and adjacent forested areas, and includes eleven National Forests and
133 four National Parks (Fig. 1). This is the same study area used by Mallek et al. (2013) and Miller
134 et al. (2009), and is based on the Sierra Nevada ecoregion as defined by the Sierra Nevada
135 Ecosystem Project (SNEP 1996) and the Sierra Nevada Forest Plan Amendment (SNFPA;
136 USDA 2004). The region stretches from Tehachapi Pass at the southern end of the Sierra Nevada
137 to the California-Oregon border in the north, and from the Sierra Nevada Foothills on the eastern

138 edge of California's Central Valley to the westernmost ranges of the Great Basin, including a
139 strip of the Humboldt-Toiyabe National Forest in western Nevada.

140 Elevations in the study area range from 300 m above sea level along the western edge to
141 >4000 m along the Sierra Nevada crest. The climate is mostly Mediterranean-type with warm,
142 dry summers and cool, wet winters. Vegetation in the study area is characterized by forests,
143 woodlands, shrublands, and grasslands, although the latter two are not analyzed here as our focus
144 is on forested areas. Oak (*Quercus* spp.) woodlands dominate lower elevations along the western
145 boundary of the study area, transitioning to yellow pine (ponderosa pine [*Pinus ponderosa*] and
146 Jeffrey pine [*P. jeffreyi*]) and mixed conifer forests at higher elevations (Table 1). Red fir (*Abies*
147 *magnifica*) dominated forests are found above about 1800 m and transition into lodgepole pine
148 (*P. contorta*) and different types of subalpine forest at the highest elevations. Pinyon pine
149 (mostly *P. monophylla*) and juniper (*Juniperus* spp.) woodlands occur at moderate elevations in
150 the north and east of the study area. Yellow pine-dominated forests are also found on the east
151 side of the study area, between about 1500 m and 2500 m elevation (Table 1; North et al. 2016,
152 Safford et al. 2021).

153 Analyzed forest types and their areas are based on the LANDFIRE Biophysical Settings
154 (BpS) map (www.landfire.gov, v. 105, accessed 11/1/2019), which represents modeled potential
155 natural vegetation incorporating climate, soils, topography and hypothetical pre-EAS (pre-1850)
156 fire regimes (Rollins 2009). BpS types were grouped into pre-settlement fire regime types
157 defined by Van de Water and Safford (2011) using crosswalks in that paper and Mallek et al.
158 (2013). We analyzed the same seven forested pre-EAS fire regimes as Mallek et al. (2013) to
159 facilitate comparisons with that study: oak woodland (OW); dry mixed conifer (DMC); moist
160 mixed conifer (MMC); yellow pine (YP); red fir (RF); lodgepole pine (LP); and subalpine (SA).

161 While the BpS vegetation delimitations and pre-EAS fire regime estimates are the best-available
162 for this analysis, we nevertheless stress that these parameters are based on a combination of
163 incomplete data and historical reconstructions that necessarily mean that they should be viewed
164 as approximations subject to refinement as new data and analytic methods become available.

165

166 *Analysis*

167 The data analyzed by Mallek et al. (2013) covered the time period from 1984 to 2009. In
168 this study, we used the most recent burn severity data available to consider eleven additional
169 years of wildfire extent and severity for the same region, extending the length of the period
170 analyzed to the 37-years from 1984 to 2020. Wildfire perimeters and total annual area burned
171 (AAB) were obtained from the most recent version of the California Fire Perimeter database
172 (CalFire 2021a). The primary source of burn severity data for this analysis was the “Vegetation
173 Burn Severity – 1984 to 2017” geospatial data layer (USDA 2018) developed by Region 5
174 (Pacific Southwest) of the United States Forest Service (henceforth “Forest Service”). For the
175 2018-2020 fire years, we estimated burn severity using Google Earth Engine following Parks et
176 al. (2018c, 2021). A comparison of 50 randomly selected fires from 1985-2017 showed high
177 similarity between the legacy and Earth Engine-derived severity estimates ($R = 0.95$; Figure S1).
178 For both datasets, severity data were calculated from Landsat Thematic Mapper imagery using
179 the Relative differenced Normalized Burn Ratio (RdNBR) and were classified into severity
180 levels using previously field-calibrated thresholds (Miller and Thode 2007, Miller et al. 2009a).
181 The dataset includes the entire area of all wildfires ≥ 80 ha in size that occurred at least partially
182 on Forest Service lands or in Yosemite National Park in the study area, plus an incomplete
183 collection of fires < 80 ha (see: Miller et al. 2009b, Miller and Safford 2012, Mallek et al. 2013).

184 We did not include Lassen or Sequoia-Kings Canyon National Parks because fire severity
185 mapping for fires <400 ha has not been carried out in these landscapes.

186 We used burn severity data to calculate hectares burned in four fire-severity classes (per
187 Miller and Thode 2007) for each forest type for each year from 1984 to 2020. Like Mallek et al.
188 (2013), we condensed the severity data into two categories: 1) “annual area burned at low-to-
189 moderate severity” (AALMS), a single category that combines classes I (“no change”), II (“low
190 severity” = <25% tree mortality), and III (“moderate severity” = 25 to <95% tree mortality); and
191 2) “annual area burned at high severity” (AAHS), which represents class IV burned areas that
192 experienced stand-replacing fire, where tree mortality at the time of postfire imagery acquisition
193 was $\geq 95\%$ (Miller et al. 2009a). For all areas analyzed for severity, total annual area burned
194 (AAB) for a forest type was equal to AAHS plus AALMS.

195 For the pre-EAS burn data, we used the same numbers and methods used by Mallek et al.
196 (2013), with a few updates to the average fire rotation period based on new science (see below;
197 Table 2), defined as the number of years required to burn an area equal to the forest extent in
198 question (Agee 1993). We used the pre-settlement fire regime types cross-walked from the
199 LANDFIRE BpS map (see above) and divided the total area of each type by its pre-EAS fire
200 rotation period (Table 2) to estimate average AAB_{Pre} . Thus, for an area, A, associated with a pre-
201 EAS fire regime rotation period of Y years, $AAB_{Pre} = A/Y \text{ ha}\cdot\text{yr}^{-1}$

202 Whereas the burn severity class data for the modern period are imagery-based, our
203 estimates of characteristic burn severity for the pre-EAS period were made from historical
204 records, the scientific literature, and models. We started from Table 3 in Mallek et al. (2013) and
205 consulted the literature for updated information. Based on new data summarized in Safford and
206 Stevens (2017), we did not change the Mallek et al. (2013) estimates of characteristic burn

207 severity levels for oak woodland, dry and moist mixed conifer, or yellow pine. However, we
208 adjusted the values for red fir, lodgepole pine, and subalpine forest based on new information
209 (Safford and Stevens 2017, van Wagten et al. 2018a, Meyer and North 2019). These sources
210 yielded AAB_{Pre} and $AAHS_{Pre}$, from which we calculated $AALMS_{Pre}$ (AAB minus $AAHS$),
211 percentage of area burned at high severity (PHS ; $= AAHS/AAB$), and percentage of area burned
212 at low-to-moderate severity ($PLMS$; $= AALMS/AAB$).

213 As in Mallek et al. (2013), we intersected the SNFPA polygon with the LandFire BpS
214 raster data set (version 105) to define the major vegetation classes. We also added fire severity
215 data for a few fires that burned in the study area during the Mallek et al. time frame but were not
216 analyzed for severity in that study. No areas outside of the study area polygon were analyzed or
217 reported, even if part of a given fire burned inside the boundary. As in Mallek et al. (2013), we
218 included all fires >80 ha that intersected both the study area polygon and the Forest Service or
219 Yosemite National Park jurisdictions, while those that did not were excluded from the severity
220 analysis (Figure 1). Sections of fires that fit these criteria but fell outside of the study area
221 boundary were excluded from the analyses. Our data for the period 1984-2009 are nearly the
222 same as, though not identical to, those used by Mallek et al. (2013) because of subsequent
223 updates to the Forest Service fire severity database and our revised PHS estimates for the pre-
224 EAS period for red fir, lodgepole, and subalpine forests. As in Mallek et al. (2013), we included
225 all fires >80 ha that intersected both the study area polygon and the Forest Service or Yosemite
226 National Park jurisdictions, while those that did not were excluded from the analysis (Figure 1).

227

228 *Trend Assessment*

229 We used a Bayesian approach to assess trends in AAB, PHS, and PLMS for the full study
230 region and by forest type across the expanded modern period (1984-2020). For this assessment
231 we fit generalized linear models with year as the fixed effect of interest. Area response variables
232 were log-transformed and modeled using a Gaussian error structure. Proportion burned area
233 models utilized aggregated binomial regression and a logit link function with hectares of
234 AALMS or AAHS constituting “successes” and AAB constituting “trials” for a given year and
235 forest type. In all models we included a first-order temporal auto-regressive term to account for
236 potential temporal auto-correlation.

237 Models were estimated using Hamiltonian Monte Carlo sampling in Stan via the BRMS
238 package and program R (Bürkner 2017, R_Core_Team 2019, Stan_Development_Team 2019).
239 We specified weakly regularizing priors to prevent model overfitting. Models were run with
240 three chains, each for 3000 samples with a warmup of 1500. Trace-plots and R-hat values were
241 assessed for proper mixing and model convergence.

242

243 RESULTS

244 Average annual area burned (AAB) during the 2010-2020 period – though still well
245 below historic levels (AAB_{Pre}) – increased by more than 200% over the 1984-2009 period for all
246 forest types combined. $AAB_{2010-2020}$ was especially impacted by the record-breaking 2020
247 wildfire season (Table 3, Fig. 2a, Safford et al. 2022), which contributed significantly to the large
248 overall increases in AAB across the expanded modern period (1984-2020) for all forest types,
249 individually and combined.

250 The average annual percent of area burned at high severity (PHS) increased for all forest
251 types combined between the 1984-2009 and 2010-2020 periods (Table 3, Fig. 3). When these

252 two periods are considered together, $PHS_{1984-2020}$ averaged 27% - almost four times the combined
253 PHS_{Pre} average of 7%. For some forest types, however, PHS did not increase from 1984-2009 to
254 2010-2020. For yellow pine, for example, PHS was virtually unchanged across the two modern
255 periods (though still much higher than pre-EAS values). $PHS_{2010-2020}$ also decreased for
256 lodgepole and sub-alpine forests compared to $PHS_{1984-2009}$ (Figs. 3, S2, S3). By contrast, PHS_{2010-}
257 2020 trended noticeably upward for the oak woodland, dry and moist mixed-conifer and red fir
258 forests. The complement of PHS, PLMS (percentage of area burned at low-to-moderate
259 severity), showed a decreasing trend overall from 1984-2009 to 2010-2020, with yellow pine,
260 lodgepole pine and subalpine forests as individual exceptions.

261 The average annual area burned at low-to-moderate severity (AALMS) increased since
262 2009 across all forest types, but remained well below historical ($AALMS_{Pre}$) levels. Notably, for
263 2010-2020 the average annual area burned at high severity (AAHS) exceeded pre-EAS levels for
264 the first time on record (Fig. 4). These trends are visible for all forest types combined, as well as
265 for the dry and moist mixed conifer, yellow pine, and red fir forest types separately (Table 3, Fig.
266 4b).

267 For the 2010-2020 period, all forest types showed appreciable increases in AAB
268 compared to 1984-2009 (average increase: 410%; range: 56 – 905%, Table 3). AAB increased
269 from 13.6% of AAB_{Pre} during 1984-2009 to 39% of AAB_{Pre} during 2010-2020 (including c.
270 150% of AAB_{Pre} in 2020 alone; Table 3). For the expanded modern period, 1984-2020, annual
271 area burned averaged 20.6% of AAB_{Pre} across forest types and ranged from 14.6% (oak
272 woodland) to 34.8% (red fir). Thus, despite recent increases, average annual area burned
273 continues to be less than half of AAB_{Pre} , due to an ongoing deficit in low-to-moderate severity
274 fire (Fig. 4a).

275 A comparison of modeled trends across the 1984-2020 period for burned area and burn
276 severity revealed similarities and differences among forest types (Figs. 5, S3). For example,
277 $AAB_{1984-2020}$ and $AAHS_{1984-2020}$ showed positive trends over time across all forest types, though
278 the amount of increase varied in absolute and relative terms. Subalpine, lodgepole pine, and
279 moist mixed conifer – in that order – showed the most robust increases in $AAB_{1984-2020}$, while dry
280 mixed conifer, moist mixed conifer, and red fir had the strongest positive trends in $AAHS_{1984-}$
281 2020 . For all forest types combined, $PHS_{1984-2020}$ trended positive for the expanded evaluation
282 period. The results for this trend and $AAHS_{1984-2020}$ were still positive and significant when the
283 2020 fire year was excluded. For $PLMS_{1984-2020}$, in terms of individual forest types, only yellow
284 pine showed a convincingly stable trend; all other forest types showed decreasing trends.

285

286 DISCUSSION

287 Our findings support previous assessments of burned area and severity in California
288 (Miller et al. 2009b, Mallek et al. 2013, Miller and Safford 2012, Steel et al. 2015), but go
289 further in demonstrating that high severity trends have surpassed historical rates and have
290 stepped up markedly since 2009. While part of this jump is due to the record 2020 fire year
291 (Safford et al. 2022), the increases in high severity fire in recent years are remarkable even when
292 2020 is not considered. The most salient results of our assessment are that: (1) average annual
293 area burned ($AAB_{1984-2020}$) remains well below pre-EAS averages, although the disparity is
294 decreasing; (2) for the newly evaluated 2010-2020 period, average annual area burned at high
295 severity ($AAHS_{2010-2020}$) exceeded $AAHS_{Pre}$ for the first time on historical record, particularly in
296 low and middle elevation forest types; and (3) the percentage of area burned at high severity
297 during the expanded modern period ($PHS_{1984-2020}$) is well above pre-EAS levels and trending

298 upward for six of seven forest types analyzed (Fig. S2). Conversely, the percentage of area
299 burned at low-to-moderate severity (PLMS₁₉₈₄₋₂₀₂₀) shows a decreasing trend that adds to an
300 already gaping deficit in the type of burning that is fundamental to the conservation and
301 restoration of most of the Sierra Nevada-Southern Cascades forest base (van Wagtendonk et al.
302 2018b).

303 Our data show that the gap between AAB₁₉₈₄₋₂₀₂₀ and AAB_{Pre} is closing, due mainly to
304 increases in the area burned at high severity. In California and adjoining western states, forest
305 types such as oak woodland and yellow pine-mixed conifer evolved under fire regimes
306 characterized by frequent, low-to-moderate severity burning (Agee 1993, Van Wagtendonk et al.
307 2018b, Safford et al. 2021). The dominant tree species in these forests are resistant to fire as
308 adults, with adaptations like thick bark, self-pruning of lower branches, thick cone scales, and
309 highly flammable needle cast that serves to reduce competition from seedlings and saplings
310 when it burns (Safford and Stevens 2017). Most of these species are not adapted to high severity
311 fire, however (Keeley and Safford 2016).

312 As a result of the relative increases in high severity fire and the concomitant reductions in
313 low-to-moderate severity fire, researchers have documented major ecological impacts on the
314 study region. These changes include: loss of carbon storage; increased plume emissions and
315 decreased air quality; increased erosion; and adverse impacts on soil nutrients, microbial
316 processes and hydrology (Maestrini et al. 2017, Roche et al. 2018, Abney et al. 2019, Dove et al.
317 2020). Additionally, studies have shown that shifts in burning patterns correlate with failures in
318 conifer regeneration (Welch et al. 2016, Shive et al. 2018), changes in the balance of fire tolerant
319 and fire intolerant species (Stevens et al. 2015, White et al. 2016), negative impacts to overall
320 species diversity and to many plant and animal taxa (Miller et al. 2018, Blomdahl et al. 2019,

321 Dalrymple and Safford 2019, Richter et al. 2019, Steel et al. 2019, Jones et al. 2020, Steel et al.
322 2021), and vegetation type conversion (Webster and Halpern 2010, Collins et al. 2011, Stevens
323 et al. 2015, Coppoletta et al. 2016, Tepley et al. 2017, Coop et al. 2020, Dove et al. 2020). To
324 reverse these changes and restore the fire regime processes to which the dominant oak, yellow
325 pine and mixed conifer forest types are historically adapted, it will be necessary to substantially
326 increase the area and percentage of forest burned at low-to-moderate severity (Scholl and Taylor
327 2010, North et al. 2012, Safford and Van de Water 2014). Given the severity trends presented
328 here (and further explored in Safford et al. 2022), wildfire alone appears unlikely to produce the
329 kind of mixed-severity burning that historically characterized these forests. Instead, achieving
330 these goals will likely require increased use of prescribed fire, wildfire managed for resource
331 benefit and/or other types of intentional fuel treatments.

332 Compared to lower elevation forests, red fir, lodgepole pine and subalpine forests –
333 characterized by patchy, often rocky landscapes, slow rates of growth and fuel accumulation, and
334 colder, shorter fire seasons – have infrequent fires and higher interannual variability in area
335 burned, making trends harder to discern (van Wagendonk et al. 2018b, Meyer and North 2019).
336 The natural range of variation is also more difficult to define for these forest types because they
337 have longer fire return intervals and historical data are harder to find the further one goes back in
338 time. That said, there were two findings in our results for these forest types that we can interpret.
339 First, while red fir forests experienced a 74% increase in PHS between 1984-2009 and 2010-
340 2020, lodgepole pine and subalpine forests averaged decreases in PHS between these two periods
341 (-16% and -46%, respectively). Second, although average annual area burned in 2010 to 2020
342 was lower than AAB_{Pre} for all forest types, the deficit decreased markedly in these high elevation
343 forests, including roughly 10-fold increases in average annual area burned for lodgepole pine and

344 subalpine forests over AAB₁₉₈₄₋₂₀₀₉. These findings suggest that fire suppression has less of an
345 impact on historical/NRV fire severity and burn patterns at the highest elevations, especially
346 where lodgepole pine and subalpine forests are typically found. We consider the most
347 compelling explanation to be because the lack of fire over the last century represents a smaller
348 departure from the pre-EAS fire return intervals compared to forest types adapted to more
349 frequent fire (Safford et al. 2012b, Safford and Van de Water 2014). Another contributing factor
350 is likely that fire suppression is implemented less in high elevation forests due to reduced access,
351 low density of human assets and fire management policies that are more tolerant of naturally
352 ignited fire for ecological benefit (van Wagtendonk 2007).

353 When comparing current burn trends to historical ones, it is important to consider the data
354 accuracy for both time periods. California's fire perimeter dataset is highly accurate after 1950
355 and the Landsat imagery that makes complete region-wide fire severity mapping possible has
356 been available since 1984 (Miller et al. 2009b). Moreover, the availability of severity atlases and
357 statistical models that relate severity maps to ground-based measurements is constantly
358 expanding. The Forest Service RdNBR-based dataset for California is likely the most
359 trustworthy in the US – it has been extensively ground-validated and calibrated, many smaller
360 fires are included in the dataset, and fire severity classifications use objective thresholds that
361 allow translation of fire effects into biomass loss, permitting comparisons across fires and years
362 (see e.g., Miller and Thode 2007, Safford et al. 2008, Miller et al. 2009a, Miller et al. 2016).
363 Further, the development of partially automated approaches (e.g., using Google Earth Engine)
364 allow for consistent and comprehensive fire severity estimates across broad geographies (Parks
365 et al. 2018, 2019).

366 In contrast, it is difficult to estimate historical fire severity and rotation periods with high
367 precision because they are (a) variable by nature and (b) based on patchy reconstruction
368 estimates that only get more difficult to piece together the further back one goes in time. We
369 used recent studies (Mallek et al. 2013, Safford and Van de Water 2014) and natural range of
370 variation studies (Safford and Stevens 2017, Meyer and North 2019) to inform our estimates
371 because they represent thoroughly researched, best-available inferences that combine historical
372 data, modern reference sites, current research, and model-based assessments of both the study
373 system in question and adjoining analogous systems. We do not discount the unavoidable
374 imprecision that comes with reconstructing historical fire return intervals and severity patterns
375 across time spans for which data are largely absent. Nevertheless, we believe a more pressing
376 challenge facing future studies may be to determine the likely future range of variability under
377 emerging climatic conditions (Wiens et al. 2012).

378

379 MANAGEMENT IMPLICATIONS

380 Our findings have important implications for fire and forest management, policy, and
381 conservation in and around the study region. First, although it has been widely known for more
382 than 50 years that fire exclusion in western US forests is a major driver of ecosystem and fire
383 regime change, many federal and state agencies persist in suppressing almost all fires (Calkin et
384 al. 2005, Stephens et al. 2016). Wildfire suppression will continue to be necessary to protect
385 human life, property and other important assets, but in fire-adapted landscapes it should be
386 considered as only one of many tools in the management toolkit. Continued focus on reducing
387 burned area – even in ecosystems where the principal ecological missing-link is fire, such as oak
388 woodlands, yellow pine and mixed conifer forests – will not address the urgent need to minimize

389 the ecologically harmful impacts of fire (Stephens et al. 2016, Moreira et al. 2020, Safford et al.
390 2022).

391 The disconnect between fire management and resource management was the chief driver
392 of the switch from blanket fire suppression to multipurpose fire management that was made in
393 US federal agencies in the late 1960s and early 1970s (Stephens and Ruth 2005), as well as in the
394 2009 update to US federal fire management policy that permitted all wildfires to be managed for
395 suppression and/or resource benefit (USDA-USDOJ 2009). However, the proportion of the
396 Forest Service budget that goes to wildfire suppression-related activities rose from 16% in 1995
397 to 52% in 2015 (Stephens et al. 2016), and exceeds 65% today. As North et al. (2015) note,
398 myopic focus on short-term fire management results not from policy constraints but from
399 “entrenched agency disincentives to working with fire.” These disincentives relate to nuances of
400 budget allocation, concerns about assets at risk, smoke production, politics, liability, and public
401 perception of all fire as bad (Calkin et al. 2015). Whatever the drivers, as fires grow larger and
402 spread more rapidly, increasingly large portions of the annual budgets of federal resource
403 management agencies are diverted to putting out fires, siphoning already scarce funding from
404 proactive ecosystem management and restoration activities (including fuel reduction) and
405 paradoxically increasing the potential for severe fires in the future as fuels continue to
406 accumulate and the climate continues to warm (Carroll et al. 2007, Calkin et al. 2015, Stephens
407 et al. 2016, Moreira et al. 2020).

408 The fire-climate modeling literature (e.g., Dettinger et al. 2018, Restaino and Safford
409 2018) also projects increases in annual area burned that are consistent with our findings. These
410 trends have generated excited headlines that decry a “climate reckoning in fire-stricken
411 California” (NY Times, September 10, 2020) and warn of wildfires in the West “spread[ing] like

412 the plague” (Wall Street Journal, September 8, 2020). However, increasing burned area, the most
413 often cited measure of calamity, is only an ecological concern where annual burning exceeds the
414 NRV, routinely and over the long-term. The 2020 wildfire season was the only year in our study
415 period that came close to being comparable in burned area to the pre-Euro-American settlement
416 average. That said, there *are* ecosystems in California and neighboring states where annual
417 burned area is unsustainably high by ecological standards. These are primarily sagebrush and
418 related ecosystems in the Great Basin and chaparral and sage scrub in central and southern
419 California, where the problem is driven by highly flammable invasive annual grasses, and in
420 chaparral, a surfeit of human ignitions (Safford et al. 2018, Safford et al. 2021). In these places,
421 fire suppression is both ecologically justified and crucial.

422 For the forest types we analyzed, however, the issue is not too much burning but too
423 much of *the wrong kind* of burning. The tendency of modern forest fires that escape initial attack
424 to burn large areas at high severity is driven by (1) unnaturally high fuel loadings and (2)
425 weather conditions that reflect a steadily warming climate (Abatzoglou and Williams 2016,
426 Keeley and Safford 2016, Parks et al. 2018b, Safford et al. 2021, 2022). For the most part,
427 increased investment in fire suppression is a short-term fix that fails to resolve these issues and,
428 when “successfully” implemented, extends the period of fuel accumulation. While essential for
429 the protection of life and property in the wildland-urban interface, and thus of relevance to any
430 comprehensive solution to wildfire (Schwartz and Syphard 2021), fire suppression of natural
431 ignitions can have an aggravating effect when applied to forest types adapted to frequent fire
432 (Moreira et al. 2020). By contrast, climate change mitigation will be fundamentally important in
433 the long-term, but will not address the immediate need to reduce fuels in erstwhile frequent-fire
434 forest types (e.g., oak woodland, yellow pine, mixed conifer) where fire regime changes and

435 ecologically damaging fires have been most pronounced (Steel et al. 2015). Instead, this
436 objective may be accomplished through strategic expansion of active fuels reduction, enhanced
437 application of prescribed fire, and increased management of wildfires for ecological purposes
438 (i.e., “resource benefits”), alone or in combination (North et al. 2012, North et al. 2015, Stephens
439 et al. 2021).

440 While by no means the definitive source for setting fire-related management targets,
441 parameters provide forest managers with a useful template for considering burn frequency and
442 severity objectives in the context of historical forest structure and composition. By comparing a
443 contemporary forest to its NRV, managers can assess whether restoration to such standards is (a)
444 appropriate and (b) feasible based on how much a forest resembles or is departed from the
445 conditions under which it presumably functioned before Euro-American settlement (Manley et
446 al. 1995, Landres et al. 1999, Wiens et al. 2012). In the case of yellow pine and mixed conifer
447 forests in our study region, for example, comparisons of contemporary forest stands with NRV
448 reveal forests with tree densities that are 2-4x higher (or more) than before EAS, average tree
449 diameters about half of their historical norms, higher and more continuous canopy cover, and 70-
450 100% increases in surface fuel loadings – changes that suggest modern stands are more fuels-
451 limited than ignition-limited (Safford and Stevens 2017).

452 Because anthropogenic warming is leading us away from the climatic conditions that
453 characterized the pre-EAS/NRV period, it has been suggested that NRV-based targets should be
454 applied cautiously (Millar et al. 2007). However, Safford et al. (2012a, 2012b) point out that
455 under shifting environmental baselines, NRV conditions retain their value, especially where they
456 are interpreted as management reference points rather than endpoints, and where they are used to
457 better understand the mechanisms of change. Research suggests that future forests in the study

458 region will support lower tree densities and biomass than under current or pre-EAS conditions
459 (Lenihan et al. 2003, Safford and Stevens 2017, Stanke et al. 2021, North et al. 2022). If so,
460 managers could use NRV estimates as a reference point from which to set new targets for forest
461 resilience based on how much current and NRV conditions differ. Substantiation for the value of
462 the NRV in the study area is also found in recent research into the fire responses of key wildlife
463 indicator species (California spotted owl [*Strix occidentalis occidentalis*], Pacific fisher [*Pekania*
464 *pennanti*], and black-backed woodpecker [*Picoides arcticus*]), whose nesting and foraging
465 behaviors show strong links to pre-EAS ranges of variation in fire severity and high severity
466 patch size (Safford and Stevens 2017, Blomdahl et al. 2019, Stillman et al. 2019, Jones et al.
467 2020, Kramer et al. 2021). Thus, we see a natural synergy between (a) studies such as this one
468 that provide a multi-decadal perspective on how fire patterns are changing across a cohesive
469 landscape and (b) NRV-type assessments that provide managers and researchers with an
470 ecologically meaningful context in which to consider the implications of those changes and what
471 actions they might implement in response.

472

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476

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759 TABLES AND FIGURES

760 A)

Forest type (code)	Dominant species	Average elevation (m)	Extent (ha)	Burned area mapped for severity (1984-2020)
Oak woodland (OW)	QUDO, QUWI, PISA	756	959,252	275,744
Dry mixed conifer (DMC)	PIPO, PILA, CADE, ABCO, QUKE	1121	737,931	267,624
Moist mixed conifer (MMC)	ABCO, PSME, PILA, CADE, SEGI	1590	1,372,110	442,759
Yellow pine (YP)	PIJE, PIPO, QUKE	1,714	1,550,530	442,701
Red fir (RF)	ABMA, PIMO	2335	1,026,116	169,204
Lodgepole pine (LP)	PICO	2786	111,178	9,640
Subalpine (SA)	PIAL, PIMO, PIFL, PICO, TSME	3163	264,175	6,392

761

762 B)

Acronym	Explanation
AAB	Annual area burned (all severity classes)
AAHS	Annual area burned at high severity (class IV)
AALMS	Annual area burned at low-to-moderate severity (classes I-III)
PHS	Percentage of burned area burned at high severity
PLMS	Percentage of burned area burned at low-to-moderate severity
EAS	Euro-American settlement (~1850)
Pre [as subscript]	Refers to Pre-EAS, i.e., before ~1850

763

764 Table 1. A) Forest types considered in this study. Dominant tree species that characterize each
765 type are listed using the following abbreviations: ABCO: *Abies concolor*; ABMA: *A. magnifica*;
766 CADE: *Calocedrus decurrens*; PIAL: *Pinus albicaulis*; PICO: *P. contorta* ssp. *murrayana*;
767 PIFL: *P. flexilis*; PIJE: *P. jeffreyi*; PILA: *P. lambertiana*; PIMO: *P. monticola*; PIPO: *P.*
768 *ponderosa*; PISA: *P. sabiniana*; PSME: *Pseudotsuga menziesii*; QUDO: *Quercus douglasii*;
769 QUKE: *Q. kelloggii*; QUWI: *Q. wislizenii*; SEGI: *Sequoiadendron giganteum*; TSME: *Tsuga*

770 *mertensiana*. B) An explanation of the acronyms used for the variables and time periods

771 analyzed.

Forest	Fire rotation		PHS	Source
Type	Average (yrs)	Range	(%)	Literature
OW	18	12-25	6	Mallek et al. 2013
DMC	23	11-34	6	Mallek et al. 2013, Safford & Stevens 2017
MMC	31	15-70	8	Mallek et al. 2013, Safford & Stevens 2017
YP	22	11-34	5	Mallek et al. 2013, Safford & Stevens 2017
RF	79	25-163	10	Miller et al. 2012, Mallek et al. 2013, Meyer and North 2019
LP	63	46-80	24	Mallek et al. 2013, Meyer and North 2019
SA	425	75-721	10	Mallek et al. 2013, Meyer and North 2019, van Wagendonk et al. 2018a

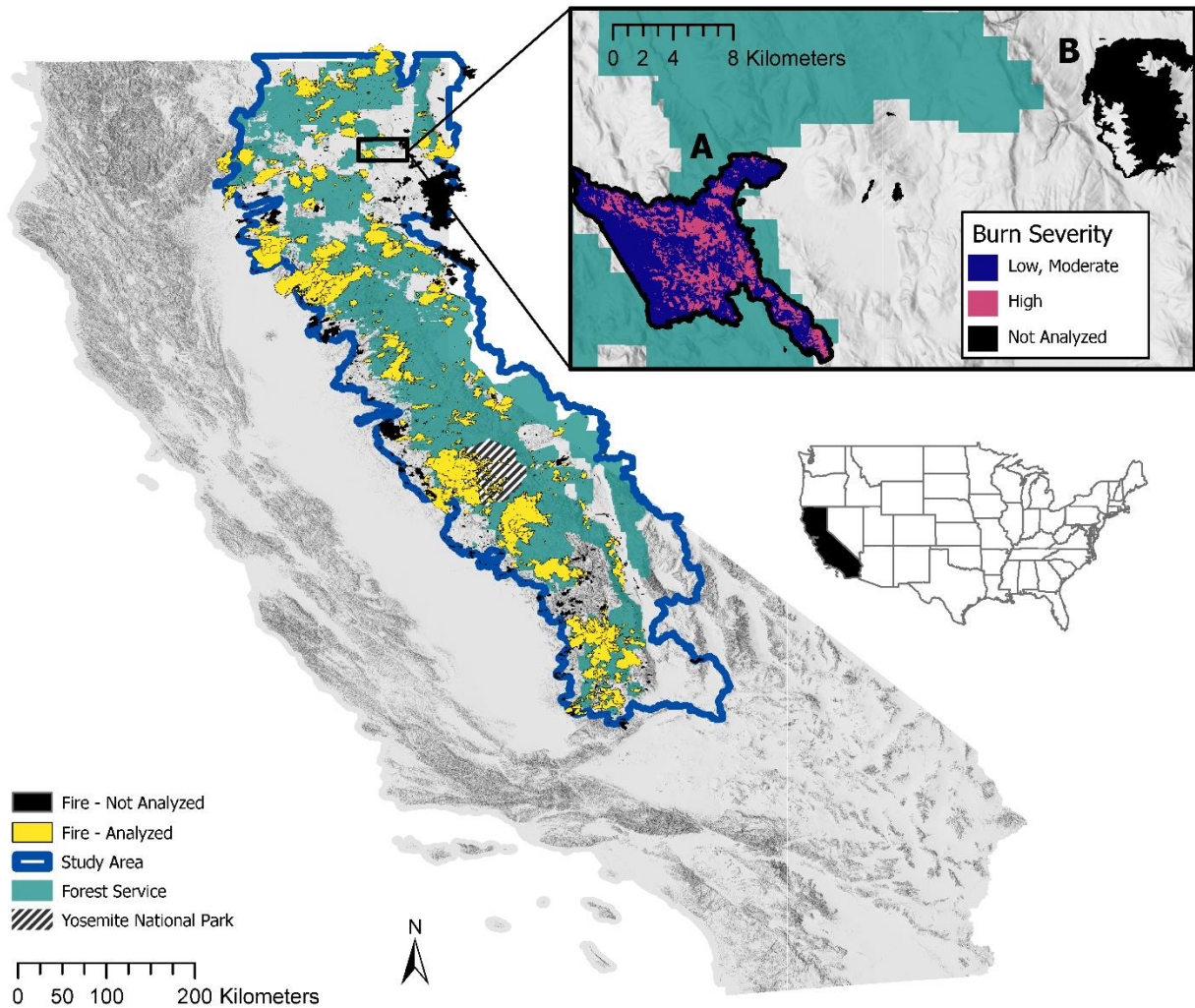
772

773 Table 2. Estimated average Pre-Euro-American Settlement fire rotation period in years and
774 percent burned at high severity (PHS) for the forest types considered in this study. Estimates are
775 based on the average values for the range of numbers found in the corresponding published
776 scientific literature sources. Key to forest type codes found in Table 1.

Type	AAB (ha)			PHS			PLMS			AAHS (ha)			AALMS (ha)		
	Pre	1984-2009	2010-2020	Pre	1984-2009	2010-2020	Pre	1984-2009	2010-2020	Pre	1984-2009	2010-2020	Pre	1984-2009	2010-2020
All	211,822	27,154	82,551	7%	29%	36%	93%	71%	64%	14,002	7,955	30,001	197,819	19,199	52,550
OW	51,168	6,387	9,972	6%	22%	32%	94%	78%	68%	3,275	1,421	3,189	47,893	4,966	6,783
DMC	31,461	3,947	15,001	6%	25%	43%	94%	75%	57%	1,903	986	6,411	29,558	2,960	8,590
MMC	44,076	5,328	27,657	8%	30%	37%	92%	70%	63%	3,658	1,600	10,172	40,418	3,728	17,485
YP	69,411	8,360	20,485	5%	42%	39%	95%	58%	61%	3,349	3,511	8,066	66,062	4,850	12,419
RF	13,132	3,014	8,258	10%	14%	24%	90%	86%	76%	1,313	411	1,951	11,819	2,603	6,307
LP	1,758	71	710	24%	30%	26%	76%	70%	74%	422	21	182	1,336	49	527
SA	816	47	469	10%	12%	6%	90%	88%	94%	82	6	30	734	42	439

777

778 Table 3. Comparison of average annual burned area and percentage burned at different severity classes for the study area by forest
779 type and time period. Total annual area burned (AAB) in hectares is the sum of annual area burned at high severity (AAHS) and
780 annual area burned at low-to-moderate severity (AALMS) severity. AAHS/AAB is the percentage burned at high severity (PHS) and
781 AALMS/AAB is the percentage burned at low-to-moderate severity (PLMS). Average annual percentage values listed are not
782 weighted by annual burned area. “Pre” refers to the pre-Euro-American Settlement before 1850. Forest type codes as in Table 1.



783

784 Figure 1. The Sierra Nevada-Southern Cascades study region, based on the Sierra Nevada Forest

785 Plan Amendment (USDA 2004) (see Miller et al. 2009b for original map). Yellow polygons

786 indicate wildfires that occurred from 1984 – 2020 and were analyzed for severity following the

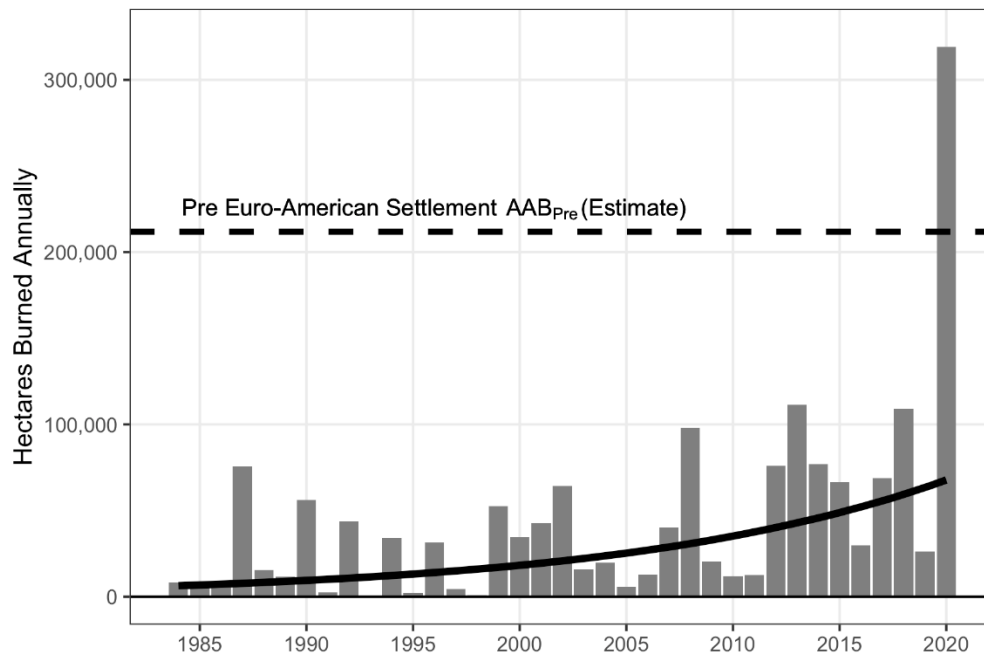
787 Mallek et al. (2013) method – see inset for severity detail (A). Black areas (B) are burn

788 perimeters not mapped for severity because they occurred on lands outside US National Forests

789 or National Parks or were less than 80 ha in size.

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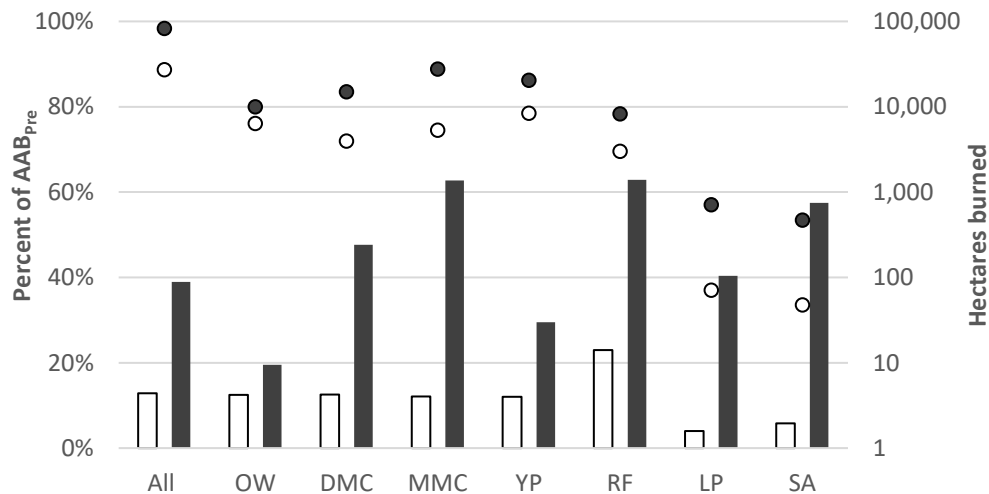
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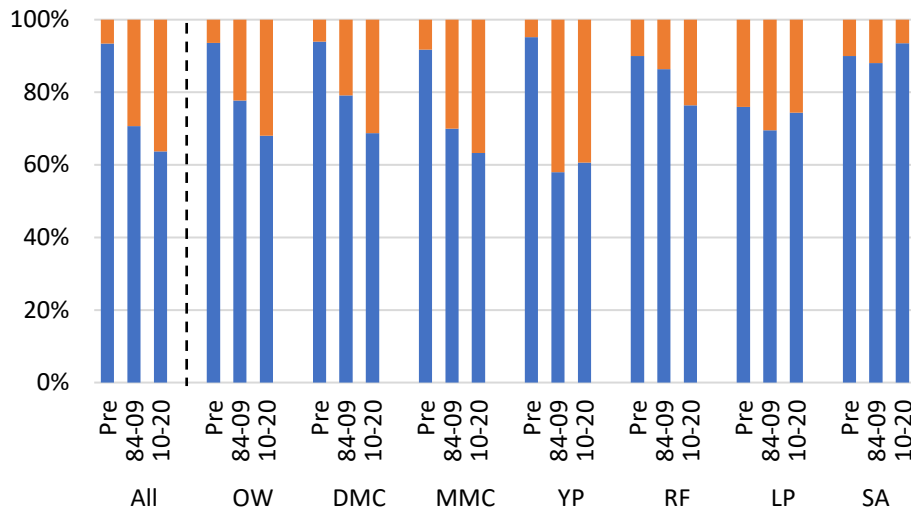
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794 Figure 2. (A) Annual area burned by wildfire (AAB) in the Sierra Nevada-Southern Cascades
 795 study region for the expanded modern period, 1984-2020, for the seven major forest types
 796 considered here (see Table 1 for forest type codes). The dashed line above shows the estimated
 797 average AAB across these seven forest types for the pre-Euro-American period (AAB_{Pre}) based

798 on previous literature. The solid upsloping trend line shows the fitted linear model from this
799 study with log area as the response variable and time as the predictor variable with an
800 autoregressive term. B) AAB by major forest type for the periods 1984-2009 (white bars) and
801 2010-2020 (black bars) – as a percentage of AAB_{Pre} (left axis). White and black circles show
802 AAB in hectares for the same two periods, respectively (right axis, note log scale).



803

804 Figure 3. Burn severity trends as a percentage of total area burned averaged across years for
 805 three time periods: prior to ~1850 (Pre); 1984 – 2009 (84-09); and 2010 – 2020 (10-20). Blue
 806 bars are percentage burned at low-to-moderate severity (PLMS); orange bars are percentage
 807 burned at high severity (PHS). Cumulative data for all forest types combined are indicated by
 808 “All” and separated with a vertical dashed line. Slight differences between 1984-2009 values and
 809 values in Mallek et al. (2013) are due (1) to addition of pre-2010 fires to the burn severity dataset
 810 after 2013, and (2) to changes in pre-EAS fire severity due to new information (see Table 3). See
 811 Methods for details. Forest type codes as in Table 1.

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A)

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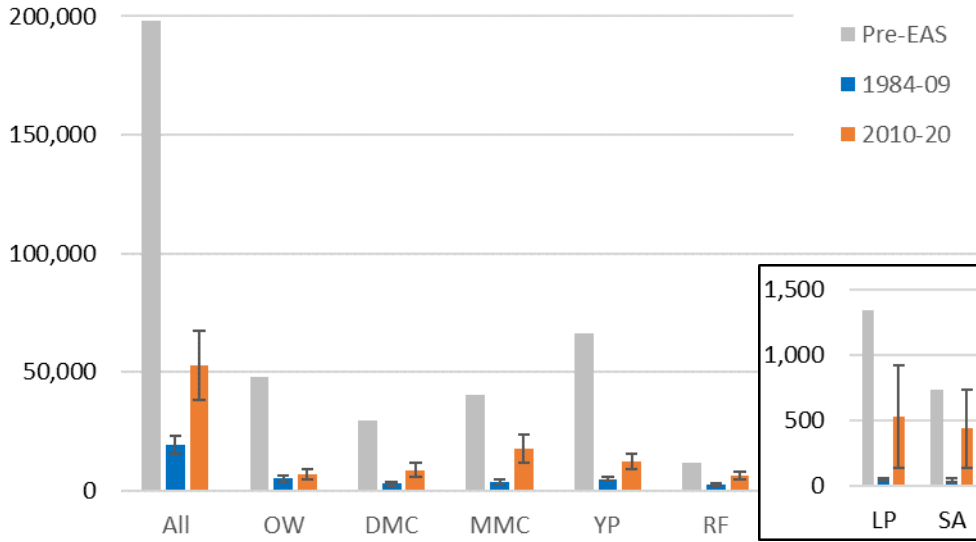
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AALMS Hectares Burned



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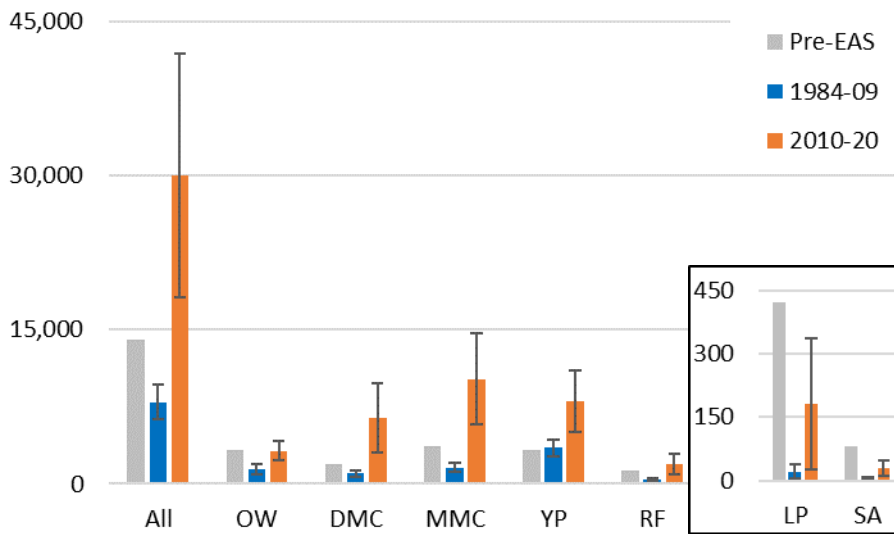
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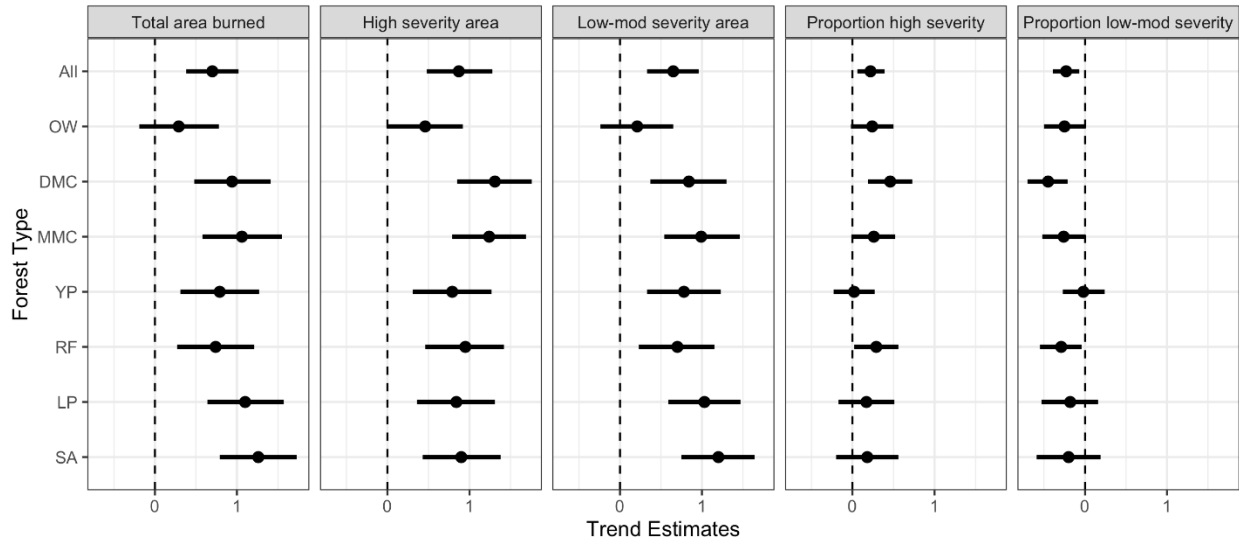
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AAHS Hectares Burned



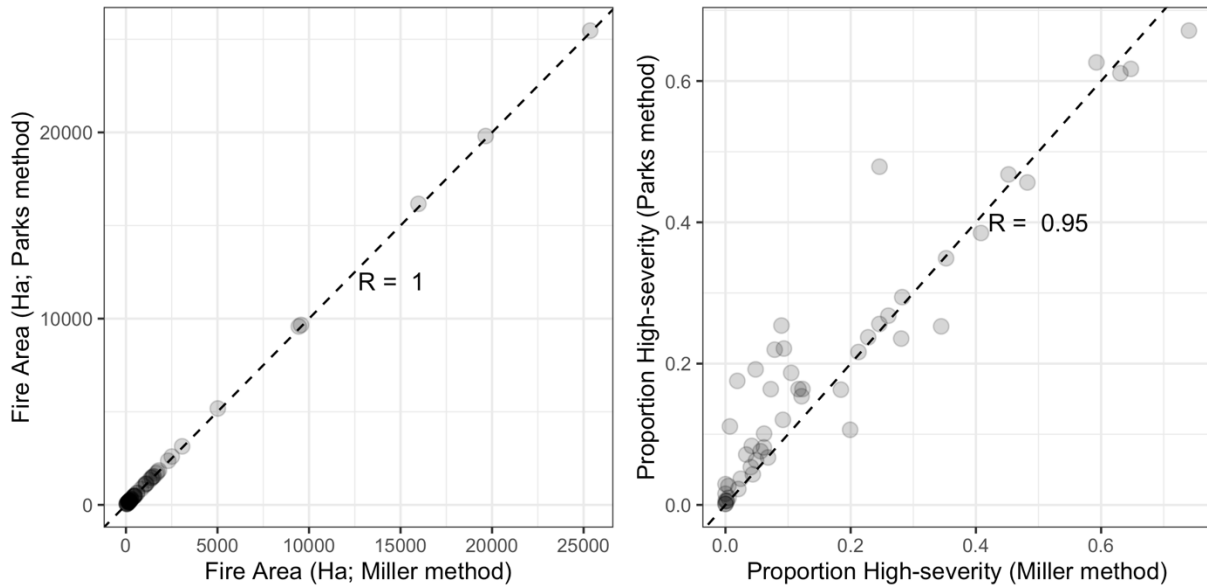
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834 Figure 4. Comparison of average annual area burned (hectares) in the Sierra Nevada-Southern
835 Cascades study region by forest type for A) low-to-moderate severity fire (AALMS) and B) high
836 severity fire (AAHS). Gray bars are estimates for pre-Euro-American settlement (“Pre”); blue
837 bars are for the period 1984-2009; and orange bars are for the period 2010-2020. Forest type
838 codes as in Table 1. Error bars based on standard error of the mean.



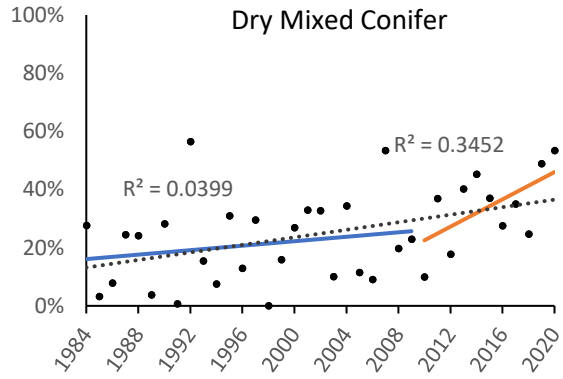
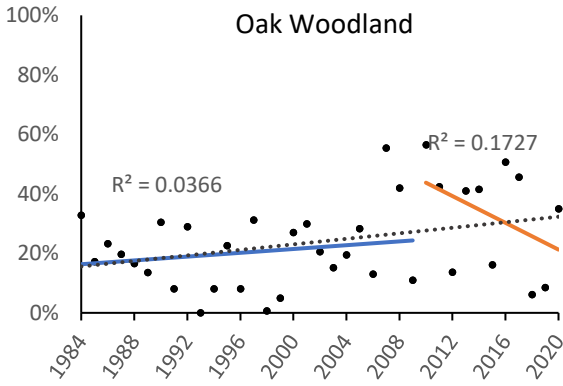
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840 Figure 5. Standardized trend estimates by forest type for wildfire burned area and severity in the
 841 Sierra Nevada-Southern Cascades from 1984 to 2020. Trends were derived using generalized
 842 linear models and are for total annual area burned (AAB) and its high severity (AAHS) and low-
 843 to-moderate severity (AALMS) components, together with estimates in trends for percentage of
 844 area burned at high (PHS) and low-to-moderate severity (PLMS). Estimates to the right and left
 845 of the dashed lines indicate increasing and decreasing trends with time, respectively. Forest type
 846 codes as in Table 1.

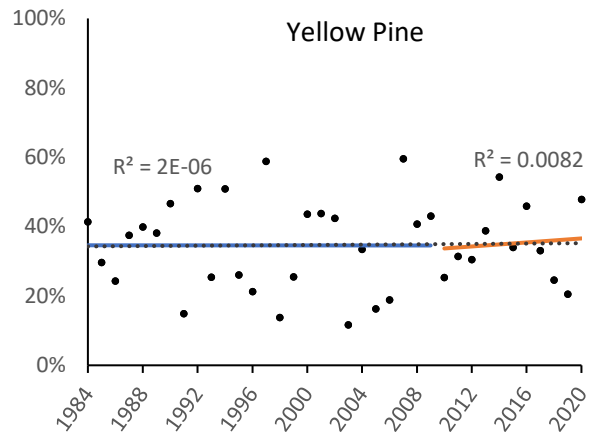
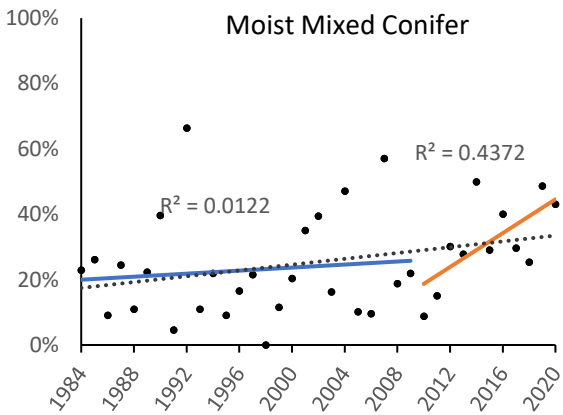


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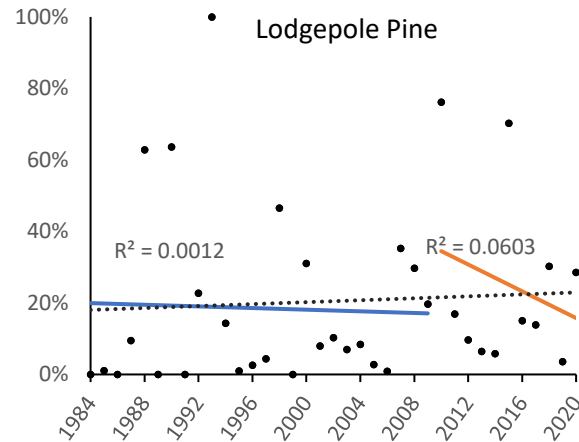
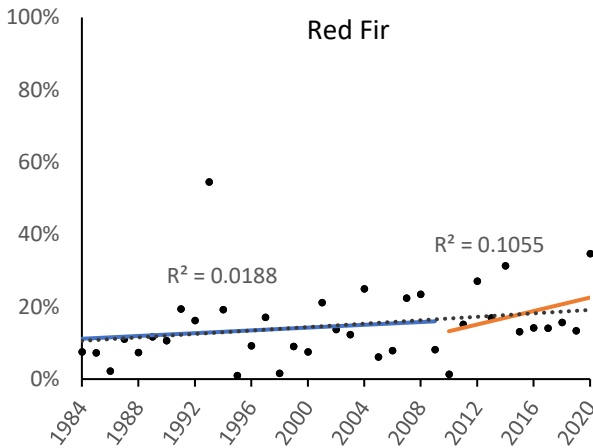
849 Figure S1. A comparison of burned area and percent high severity for 50 randomly selected
850 1985-2017 wildfires calculated using the legacy (“Miller method”) and Google Earth Engine-
851 derived (“Parks Method”) datasets.



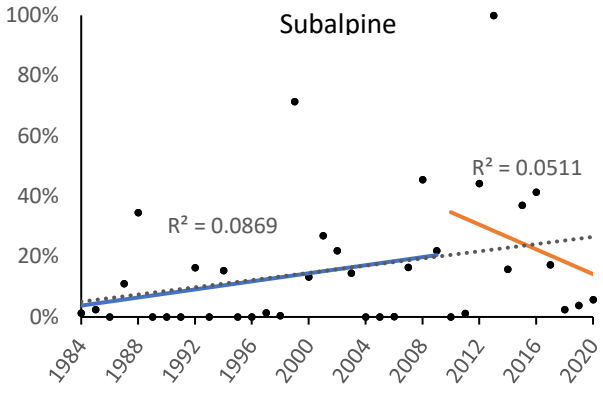
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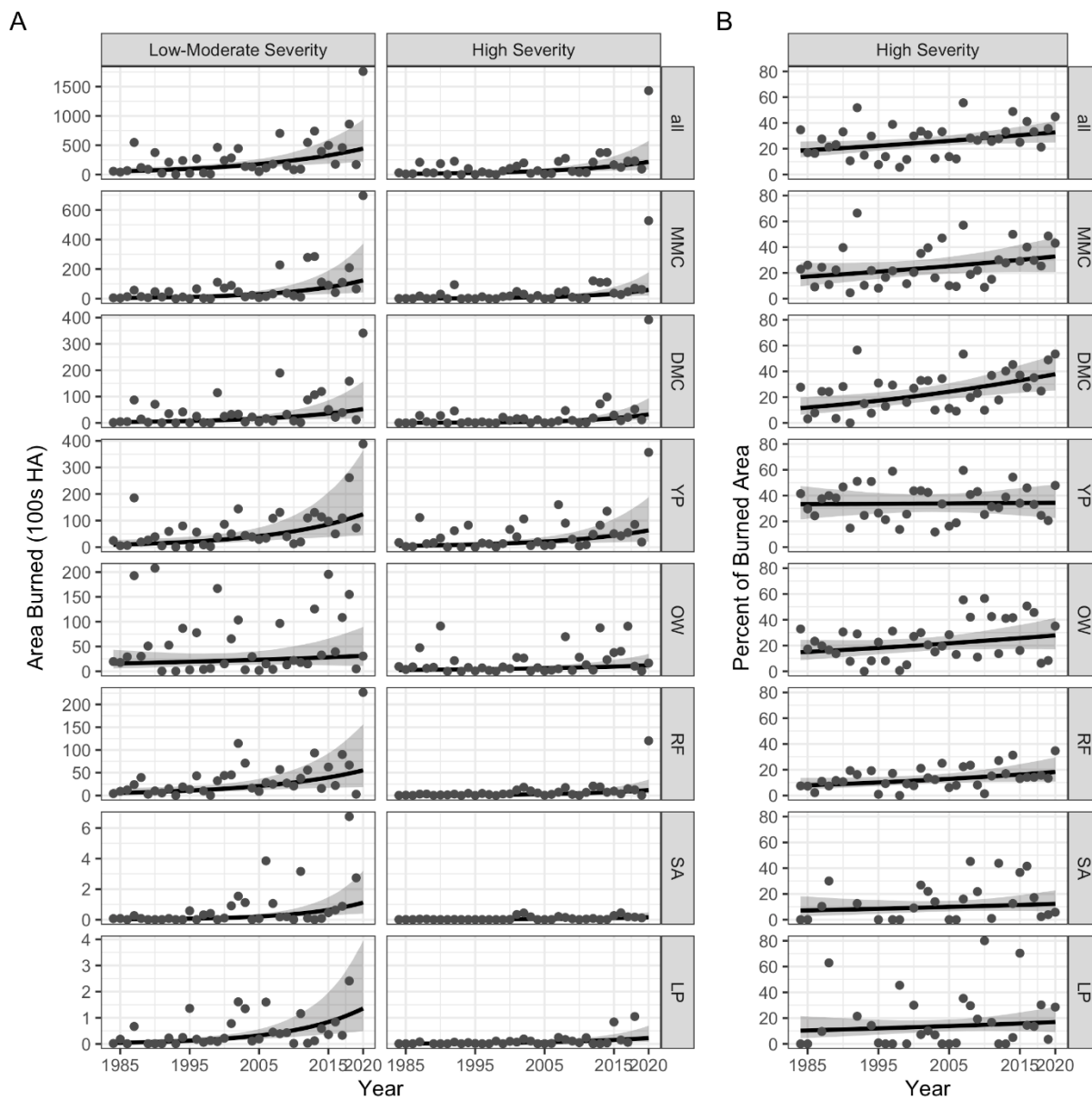
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857 Figure S2. Temporal trends of high severity (PHS) burned area as a percentage of total area
 858 burned by wildfire for different forest types of the Sierra Nevada-Southern Cascades region.
 859 Linear regression trendlines for the period 1984 to 2009 are shown in blue, while those for the
 860 period 2010 to 2020 are shown in orange. The combined period trendline is shown by dotted
 861 black lines.



862

863 Figure S3. Generalized linear model (GLM) trend lines and credibility intervals (gray area) by
 864 forest type for percent of area burned annually by high severity wildfire (PHS) in the Sierra
 865 Nevada-Southern Cascades study region for the extended modern period (1984-2017) considered
 866 in this study. Forest type codes as in Table 1.