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1 **Transverse shaking table test of a half-scale geosynthetic reinforced**
2 **soil bridge abutment**

3
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5
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17

18 **ABSTRACT:** This paper presents a shaking table study on the seismic response of a half-scale
19 geosynthetic reinforced soil (GRS) bridge abutment with modular block facing, focusing on the
20 response subjected to shaking in the direction transverse to the bridge beam. The model
21 geometry, geosynthetic reinforcement stiffness, backfill soil modulus, bridge surcharge stress,
22 and characteristics of the earthquake motions were scaled according to established similitude
23 relationships for shaking table tests in a 1g gravitational field. The GRS bridge abutment was
24 constructed using well-graded angular sand backfill and reinforced with uniaxial geogrid
25 reinforcement layers in both the longitudinal and transverse directions. The facing
26 displacements, bridge seat settlements, horizontal accelerations, vertical and lateral stresses,
27 reinforcement strains, and bridge seat and bridge beam interactions were measured during a
28 sequence of applied input motions. The average incremental residual bridge seat settlement is 4.7
29 mm after the Northridge motion, which corresponds to a vertical strain of 0.22% for the
30 abutment. After a series of earthquake motions, the maximum residual strains occurred near the
31 facing block connections for the lowermost layer, and under the bridge seat for higher layers.

32

33 **KEYWORDS:** Geosynthetics, Geosynthetic reinforced soil, Bridge abutment, Retaining wall,
34 Shaking table test, Reduced-scale model

35

36 1. INTRODUCTION

37 Geosynthetic reinforced soil (GRS) bridge abutments are widely used in transportation
38 infrastructure and provide many advantages over traditional pile-supported designs, including
39 lower cost, faster and easier construction, and smoother transition between the bridge and
40 approach roadway. However, use of this technology in high seismicity areas like California leads
41 to questions regarding the expected ranges of dynamic and residual facing displacements, bridge
42 seat settlements, and interactions between the bridge beam and GRS bridge abutment.

43 Several experimental and numerical studies have been conducted on the response of GRS
44 bridge abutments for static loading conditions (Wu et al. 2001, 2006; Adams et al. 2011, 2014;
45 Iwamoto et al. 2015; Nicks et al. 2013, 2016; Helwany et al. 2003, 2007; Fakharian and Attar
46 2007; Ambauen et al. 2016; Zheng and Fox 2016, 2017; Zheng et al. 2014, 2015, 2017a).
47 However, fewer studies have investigated the response of GRS bridge abutments for seismic
48 loading conditions. Helwany et al. (2012) investigated the dynamic response a 3.6 m-high GRS
49 bridge abutment subjected to shaking in the longitudinal direction, and the abutment remained
50 functional with some damage to the bottom corner blocks for horizontal base accelerations up to
51 1.0g. Zheng et al. (2017b, 2017c) performed shaking table tests on a 2.7 m-high half-scale GRS
52 bridge abutment with shaking in the longitudinal direction, and observed relatively small
53 deformations after earthquake motions with peak ground accelerations (PGA) of 0.31g and 0.40g.
54 Although the observations from this limited number of studies indicate that GRS bridge
55 abutments may have satisfactory seismic performance during longitudinal shaking, GRS bridge
56 abutments in the field are subjected to three-dimensional (3D) shaking during earthquakes.
57 Because the constraints for movement are different in the directions longitudinal and transverse
58 to the bridge beam (i.e., the longitudinal and transverse directions), shaking in the transverse

59 direction (i.e., transverse shaking) may lead to a different deformation response than that
60 observed for shaking in the longitudinal direction (i.e., longitudinal shaking). Further, Zheng et
61 al. (2017b) observed that longitudinal shaking will lead to deformations in the transverse
62 direction that are of similar magnitude as those observed in the longitudinal direction. Therefore,
63 additional shaking table tests would be helpful to evaluate the seismic performance of GRS
64 bridge abutments for shaking in different directions.

65 This paper presents the results from shaking table tests on a half-scale GRS bridge
66 abutment subjected to transverse shaking to understand the facing displacements, bridge seat
67 settlements, acceleration response, vertical and lateral stresses, and bridge seat and bridge beam
68 interactions. The results are compared with those from shaking table tests on a similar half-scale
69 GRS bridge abutment subjected to longitudinal shaking, as reported by Zheng et al. (2017b) and
70 Zheng (2017), to investigate the effect of shaking direction on 3D deformation response.

71

72 **2. BACKGROUND**

73 Although only a few shaking tests have been performed on GRS bridge abutments,
74 shaking table tests have been widely used to investigate the dynamic response of GRS walls. Due
75 to the limitation of size and payload capacity of typical shaking tables, many of these tests have
76 been conducted on reduced-scale models, in which similitude relationships must be considered in
77 the test design to produce a similar response between a reduced-scale model specimen and the
78 full-scale prototype structure. The similitude relationships proposed by Iai (1989) have been
79 widely used for 1g shaking table testing on reduced-scale reinforced soil structures (El-Emam
80 and Bathurst 2004, 2005, 2007; Guler and Enunlu 2009; Sabermahani et al. 2009; Guler and
81 Selek 2014; Latha and Santhanakumar 2015; Panah et al. 2015). Although reduced-scale model

82 tests are less costly and easier to conduct, shaking table tests on full-scale GRS walls with the
83 actual materials and construction techniques used in the field are preferred when possible (e.g.,
84 Ling et al. 2005, 2009, 2012; Fox et al. 2015).

85 El-Emam and Bathurst (2004, 2005, 2007) performed a series of shaking table tests on 1
86 m-high, 1/6th-scale GRS walls with a full-height rigid facing panel using a stepped-amplitude
87 sinusoidal motion. Results indicated that facing displacements could be reduced by using a
88 smaller facing panel mass, an inclined facing panel, longer reinforcement, stiffer reinforcement,
89 and smaller vertical reinforcement spacing. Ling et al. (2005) reported a series of large-scale
90 shaking table tests on 2.8 m-high GRS walls with modular block facing and sand backfill.
91 Experimental results showed that the GRS walls experienced negligible deformations under a
92 moderate earthquake motion (PGA = 0.40g) and performed well under a strong earthquake
93 motion (PGA = 0.86g). Facing displacements were reduced by increasing reinforcement length
94 for top layers and reducing reinforcement vertical spacing. The vertical component of the
95 earthquake motion was found to have little effect on wall deformations and accelerations, but
96 increased the reinforcement tensile forces. Fox et al. (2015) conducted a shaking table test on a
97 6.1 m-high full-scale GRS wall with modular block facing. The GRS wall experienced a
98 permanent displacement of 56 mm at the top after a series of sinusoidal and earthquake motions.
99 The ultimate state of the GRS wall indicated moderate damage but no collapse.

100 Helwany et al. (2012) reported shaking table tests on a 3.6 m-high GRS bridge abutment
101 with modular block facing. The GRS bridge abutment had poorly-graded gravel backfill and was
102 reinforced using a woven polypropylene geotextile with a vertical spacing of 0.2 m. The GRS
103 bridge abutment was subjected to a series of horizontal sinusoidal motions in the longitudinal
104 direction with increasing amplitude. No damage was observed until the horizontal base

105 acceleration reached $0.67g$, and no significant distress occurred for horizontal base accelerations
106 up to $1.0g$. The incremental bridge seat settlement was approximately 50 mm when the peak
107 horizontal acceleration increased from $0.67g$ to $1.0g$. Zheng et al. (2017b, 2017c) conducted
108 shaking table tests on a half-scale GRS bridge abutment with modular block facing subjected to a
109 series of earthquake motions in the longitudinal direction. The abutment model was reinforced
110 with uniaxial geogrids in both the longitudinal and transverse directions at a vertical spacing of
111 0.15 m and had well-graded angular sand backfill. Results indicated that the GRS bridge
112 abutment experienced small deformations for two earthquake motions with PGA of $0.31g$ and
113 $0.40g$, with model-scale maximum incremental residual facing displacements of 1.0 mm and
114 average incremental residual bridge seat settlements of 1.4 mm.

115

116 **3. EXPERIMENTAL PROGRAM**

117 **3.1. Similitude relationships**

118 The shaking table tests were conducted using the indoor shaking table at the University of
119 California, San Diego (UCSD) Powell Structural Laboratory, which was refurbished prior to this
120 study to increase the fidelity of dynamic motion (Trautner et al. 2017). The shaking table has
121 areal footprint dimensions of 5 m \times 3 m and a maximum payload capacity of 356 kN.
122 Considering the size and payload capacity of the table, a length scaling factor of $\lambda = 2$, defined
123 as the ratio of prototype length to model length, was selected for the current study. The
124 similitude relationships proposed by Iai (1989) were used for the half-scale shaking table tests.
125 The model geometry, geosynthetic reinforcement stiffness, backfill soil modulus, bridge
126 surcharge stress, and characteristics of the earthquake motions were scaled using the factors
127 given in Table 1.

128

129 **3.2. Materials**

130 The backfill soil has coefficient of uniformity $C_u = 6.1$ and coefficient of curvature $C_z =$
131 1.0, and is classified as well-graded angular sand (SW) according to the Unified Soil
132 Classification System (USCS). The mean particle size $D_{50} = 0.85$ mm and corresponds to a
133 prototype value of 1.7 mm, which still falls within the sand-size range, and the corresponding
134 prototype sand is also classified as SW. The specific gravity is 2.61, and the maximum and
135 minimum void ratios are 0.853 and 0.371, respectively. The standard Proctor compaction curve
136 for this sand is relatively flat, as reported by Zheng et al. (2017b), which indicates that the
137 compaction water content does not have a significant effect on the dry unit weight for this sand.
138 The target soil compaction conditions for construction of the GRS bridge abutment model were
139 gravimetric water content $w_c = 5\%$ and relative density $D_r = 70\%$, the latter of which was
140 selected to meet the similitude relationships. A series of triaxial compression tests were
141 conducted on dry sand specimens with different relative densities and yielded a secant modulus
142 at 0.5% axial strain for $D_r = 70\%$ and effective confining stress $\sigma' = 34$ kPa that was
143 approximately one-half that for $D_r = 85\%$ and $\sigma' = 69$ kPa. A relative density of 85%
144 corresponds to a relative compaction of 96% according to the standard Proctor compaction effort,
145 which is within the typical range of field compaction requirements for GRS bridge abutments
146 (Berg et al. 2009, Adams et al. 2011). For $D_r = 70\%$, the dry backfill sand has a peak tangent
147 friction angle $\phi'_p = 51.3^\circ$ and zero cohesion. The apparent cohesion associated with compacting
148 the sand to different water contents can be accounted for using the suction stress concept of Lu et
149 al. (2010), which employs parameters from triaxial tests on the dry sand and the soil-water

150 retention curve (SWRC). The average dilation angle $\psi = 13^\circ$ according to volumetric strains
151 ranging from the axial strain at the point of maximum contraction to an axial strain of 5%.

152 The geosynthetic reinforcement is a uniaxial high density polyethylene (HDPE) geogrid
153 (Tensar LH800). As reported by Zheng (2017) from tensile tests on single rib specimens, the
154 geogrid has secant stiffness at 5% strain $J_{5\%} = 380$ kN/m and ultimate strength $T_{ult} = 38$ kN/m
155 in the machine direction, and $J_{5\%} = 80$ kN/m and $T_{ult} = 4$ kN/m in the cross-machine direction.
156 Using the similitude relationships in Table 1, the corresponding tensile stiffness and ultimate
157 strength in the machine direction for the prototype geogrid are 1520 kN/m and 152 kN/m,
158 respectively, which are in the typical range for prototype structures in the field.

159 Concrete modular facing blocks with dimensions of 0.30 m \times 0.25 m \times 0.15 m were
160 selected to meet the similitude relationships. A layer of geogrid reinforcement was placed
161 between each course of blocks for over 80% of the block-to-block contact surface. Fiberglass
162 pins were inserted through the geogrid apertures to assist with block alignment and are not
163 expected to enhance the block-geogrid connection, which was essentially frictional.

164

165 **3.3. Model configuration and construction**

166 The shaking table test configuration of the bridge system is shown in Figure 1. The
167 bridge beam is placed on a bridge seat resting on the GRS bridge abutment at one end and on a
168 concrete support wall resting on a sliding platform at the other end. The concrete bridge beam
169 has dimensions of 6.4 m (length) \times 0.9 m (width) \times 0.45 m (height) and a self-weight of 65 kN.
170 Additional dead weights (steel plates) of 33 kN are evenly distributed and rigidly attached to the
171 beam to produce a total weight of 98 kN, which produces an average vertical stress of 121 kPa
172 on top of the bridge seat. The bridge seat has a self-weight of 7 kN and a bottom surface with

173 plan dimensions of 0.65 m \times 1.30 m. The average vertical contact stress on the backfill soil from
174 the bridge seat bottom surface due to the total weight of bridge seat, bridge beam, and dead
175 weights is 66 kPa, which corresponds to a prototype vertical stress of 132 kPa and is in the
176 typical range for GRS bridge abutments in the field (Adams et al. 2011). On the other end, the
177 bottom of the concrete support wall rests on a low friction sliding platform, based on a design
178 concept from Fox et al. (1997, 2006), and is rigidly connected to the shaking table using steel
179 connection beams to transmit motions from the shaking table. Braces were welded to the
180 connection beams to increase stiffness in the transverse direction. Elastomeric bearing pads with
181 a thickness of 25 mm and plan dimensions of 0.45 m \times 0.90 m were placed under both ends of
182 the bridge beam. The seismic joint (i.e., vertical gap) between the bridge beam and each side
183 wall of the bridge seat is 25 mm wide. During shaking, the bridge beam interacts with the GRS
184 bridge abutment and support wall through friction developed between the concrete and the
185 bearing pads, and the bridge beam may potentially contact the sides of the bridge seat.

186 The GRS bridge abutment has modular block facing on three sides, including a front wall
187 facing perpendicular to the length of the bridge beam and two side wall facings parallel to the
188 length of the bridge beam. The back of the GRS bridge abutment is supported by a rigid reaction
189 wall consisting of a steel frame with plywood face. The reaction wall was designed to be
190 sufficiently stiff to provide at-rest conditions during construction and experience minimal
191 deflections during shaking, which was verified by Zheng et al. (2017b). A top view diagram is
192 shown in Figure 2(a) and cross-sectional view diagrams in the longitudinal and transverse
193 directions are shown in Figures 2(b) and 2(c), respectively. The abutment has plan dimensions of
194 1.72 m \times 2.10 m, including the wall facing blocks. The bridge seat rests on top of the lower GRS
195 wall and has a setback distance of 0.15 m from each of the three wall facings. There is no

196 backfill soil between the bridge seat and side wall facings in the transverse direction due to
197 limited space, which results in no soil confinement on the two sides of the bridge seat. The GRS
198 bridge abutment has a total height of 2.7 m, consisting of a 2.1 m-high lower GRS wall and a 0.6
199 m-high upper wall, resting on a 0.15 m-thick foundation soil layer placed directly on the shaking
200 table. The clearance distance between the top of the front wall facing and bottom of the bridge
201 beam is 0.15 m. The GRS bridge abutment specimen corresponds to a prototype structure with a
202 total height of 5.4 m and a bridge clearance height of 4.5 m, the latter of which meets FHWA
203 requirements (Stein and Neuman 2007).

204 The foundation soil layer was the same as the backfill soil and was first placed within a
205 wooden frame bolted to the shaking table at a higher relative density ($D_r = 85\%$) than the
206 backfill sand to provide a firm base for the GRS bridge abutment. The lower GRS wall was
207 constructed in fourteen 0.15 m-thick soil lifts. Each lift includes uniaxial geogrid reinforcement
208 layers placed horizontally within the backfill soil in the longitudinal and transverse directions,
209 with frictional connections between the facing blocks. The longitudinal reinforcement layers
210 extended 1.47 m from the front wall facing into the backfill soil. The transverse reinforcement
211 layers extended 0.8 m from each side wall facing to meet (without connection) at the center. The
212 reinforcement configuration for this test is the same as for the reinforced soil zone in the
213 longitudinal shaking test (Zheng et al. 2017b). The transverse reinforcement layers and side wall
214 facing blocks are offset by 25 mm vertically from the longitudinal reinforcement layers and front
215 wall facing blocks. This offset was needed to avoid direct contact between longitudinal and
216 transverse geogrid layers and maintain interaction between the geogrid and backfill soil.
217 Although not necessary in actual GRS bridge abutments, this offset technique was used for the
218 current study due to geometric constraints of the shaking table.

219 Sand cone tests were used to measure the as-constructed dry unit weights of the backfill
220 sand during construction, and corresponding values of relative density range from 65% to 76%,
221 with an average of 71%. The measured gravimetric water contents of the different compacted
222 soil lifts range from 3.4% to 6.7%, with an average of 5.0%. Considering that the compaction
223 curve is relatively flat for this sand, the variation in gravimetric water content is unlikely to
224 significantly affect the compacted dry unit weight. Apparent cohesion can have an important
225 effect on the ultimate state of GRS walls (Vahedifard et al. 2014, 2015), and the shear modulus is
226 expected to vary as well (Khosravi et al. 2010). Using the soil-water retention curve (SWRC) for
227 this sand reported by Zheng et al. (2017b), the apparent cohesion estimated using the suction
228 stress concept of Lu et al. (2010) was found to be relatively uniform with an average value of 2
229 kPa.

230

231 3.4. Instrumentation

232 Data was collected during shaking for 160 channels at a simultaneous sampling rate of
233 256 Hz. Sensors included string potentiometers, linear potentiometers, accelerometers, total
234 pressure cells, load cells, and strain gauges. Sensor details are provided by Zheng et al. (2017b).
235 Figure 3 shows the instrumentation for the transverse section T1, located at distance from the
236 front wall facing $x = 0.48$ m, and for the longitudinal centerline section L1 located at distance
237 from the north side wall facing $y_n = 0.8$ m. The T1 and L1 sections are indicated in Figure 2(a).
238 Horizontal displacements for the side wall facing blocks at different elevations, bridge seat,
239 bridge beam, and support wall in the transverse direction were measured using string
240 potentiometers, and horizontal displacements of the front wall facing blocks were measured
241 using linear potentiometers. String potentiometers were used to measure settlements at the four

242 corners of the bridge seat (Figure 2a). String potentiometers were mounted on rigid reference
243 frames apart from the shaking table and had sufficient tension to measure dynamic motions
244 within the frequency band for the test. The string potentiometer measurements were corrected
245 using measured horizontal displacements of the shaking table in the transverse direction to yield
246 relative displacements with respect to the table. Accelerometers were attached to the wall facing
247 blocks and placed within the backfill soil to measure horizontal accelerations in the transverse
248 direction. Earth pressure cells were placed in the backfill soil to measure vertical and horizontal
249 total stresses. Two load cells were embedded in the south and north sides of the west end of
250 bridge beam, respectively, to measure potential contact forces between the bridge beam and
251 bridge seat during shaking. Geogrid tensile strains were measured using strain gauges mounted
252 in pairs at the mid-point of longitudinal ribs, with one gauge on top and the other on bottom to
253 correct for rib bending (Bathurst et al. 2002). Considering that strain gauge measurements may
254 be affected by attachment technique and non-uniform stiffness along a rib (Bathurst et al. 2002),
255 tensile tests were conducted to obtain a correction factor (CF), defined as the ratio of global
256 strain to gauge strain. The CF is 1.1 and is not significantly affected by loading rate (Zheng et al.
257 2017b). All measured geogrid strains were corrected using this CF value.

258

259 **3.5. Input motions**

260 A series of input motions, including white noise and earthquake motions, were applied to
261 the GRS bridge abutment system in the transverse direction (i.e., north-south direction in Figure
262 2) in sequence, with a minimal pause (approximately 5 minutes) between each motion. The
263 shaking table was operated in acceleration-control mode for the white noise motions and
264 displacement-control mode for the earthquake motions. A summary of the first seven input

265 motions, alternating between white noise and earthquake motions, is presented in Table 2. This
266 paper focuses on the results for the three earthquake motions, and the results for the white noise
267 motions can be found in McCartney et al. (2018).

268 Shaking table tests were conducted using motions scaled from the strike-slip 1940
269 Imperial Valley earthquake (El Centro station), the subduction zone 2010 Maule earthquake
270 (Concepcion station), and the strike-slip 1994 Northridge earthquake (Newhall station) records.
271 Examples of the acceleration and displacement time histories for the original and scaled
272 Northridge motions are shown in Figure 4. The original motion has a peak ground acceleration
273 (PGA) of 0.58g and peak ground displacement (PGD) of 177.4 mm. The scaled acceleration
274 motion was obtained by maintaining the acceleration amplitudes and scaling (increasing) the
275 frequencies by a factor of $\sqrt{2}$ as indicated in Table 1. The scaled displacement time history was
276 obtained by double integration of the scaled acceleration, and has a PGD of 88.7 mm, which is
277 one-half of the PGD for the original record. Scaled motions for the Imperial Valley and Maule
278 earthquake records were obtained similarly and yield PGD values of 65.2 mm and 108.0 mm,
279 respectively.

280

281 4. RESULTS

282 Test results are presented for testing system performance, facing displacements, bridge
283 seat settlements, accelerations, reinforcement strains, and bridge seat and bridge beam
284 interactions during the application of a series of earthquake motions in the transverse direction.
285 Horizontal displacements and accelerations toward the north (see Figure 2), outward
286 displacements for the front wall and side wall facings, and downward displacements
287 (settlements) for the bridge seat are defined as positive, and elevation z is measured upward

288 from the foundation soil. All presented results correspond to model-scale and should be adjusted
289 using the similitude relationships (Table 1) to obtain corresponding values for the prototype
290 structure. This study also includes comparisons with results reported by Zheng et al. (2017b)
291 from shaking table tests on a similar GRS bridge abutment subjected to longitudinal shaking. It
292 should be noted that the GRS bridge abutment subjected to transverse shaking in the current
293 study include no retained soil zone behind the reinforced soil zone due to the geometric
294 constraints of the table, whereas the GRS bridge abutment for the longitudinal shaking test had a
295 0.63 m-long retained soil zone (Zheng et al. 2017b).

296

297 **4.1. Testing system performance**

298 In addition to the GRS bridge abutment on the shaking table, the table was also used to
299 drive the support wall at the opposite end of the bridge beam. Characterization of the testing
300 system performance (i.e., shaking table and connected support wall resting on the sliding
301 platform) is important for the transverse shaking test because the configuration of a support wall
302 connected to one side of the shaking table is a unique design and has not been used in previous
303 shaking table experiments. The performance of the testing system was evaluated based on the
304 measured displacement and acceleration response in the direction of shaking. A summary of the
305 target and measured peak response of the shaking table for the three earthquake motions is
306 presented in Table 2. The actual peak displacements for the shaking table are essentially the
307 same as the target values, whereas the actual peak accelerations are larger than the target values.

308 The measured testing system response for the Northridge motion is shown in Figure 5. In
309 Figure 5(a), the measured displacement time history of the shaking table is in close agreement
310 with the target (i.e., specified) displacements, whereas the support wall was also in good

311 agreement but displays larger peak displacements due to the inertial forces of the support wall
312 and some noise, which may be attributed to resonance of the support wall during shaking. In
313 Figure 5(b), the measured acceleration time history of the shaking table generally matches well
314 with the target accelerations, but shows larger peak values. The measured PGA of 0.86g for the
315 shaking table is larger than the target value of 0.58g. This is likely due to the inertia of the table
316 itself and friction developed on the table bearings associated with the heavy payload. A
317 comparison of the response spectra (5% damping) of the shaking table, support wall, and target
318 motions is shown in Figure 5(c). The pseudo-spectral accelerations of the shaking table and
319 target motions are in good agreement for frequencies less than 10 Hz, which indicates that the
320 shaking table adequately reproduced the salient characteristics of the target motion. The pseudo-
321 spectral accelerations for the support wall are different from the target values because the
322 fundamental frequency of 3.6 Hz for the support wall is in the frequency range for typical
323 earthquake motions. The support wall was out of phase with the table motion during shaking due
324 to the flexibility of the connection beam frame. Considering that the support wall interacted with
325 the GRS bridge abutment indirectly through the bridge beam, the out of phase behavior of the
326 support wall likely did not significantly affect the behavior of GRS bridge abutment.

327

328 **4.2. Facing displacements**

329 Time histories of incremental facing displacements for the modular block walls at the
330 south and north sides of the transverse section T1 (i.e., T1-South and T1-North, as shown in
331 Figure 3), during the Northridge motion are shown in Figure 6. Values of incremental facing
332 displacement are taken relative to the initial facing displacements before the shaking event.
333 Results show that the two side walls moved in-phase during shaking; thus, one facing moved

334 outward as the other facing moved inward. Figure 6 also indicates that each wall experienced
335 larger facing displacements at higher elevations and permanent (i.e., residual) deformations by
336 the end of the test. Facing displacement profiles corresponding to the specific times of maximum
337 dynamic facing displacements for the T1-South and T1-North walls during the Northridge
338 motion are shown in Figure 7. At $t = 3.00$ s, the T1-North wall reached the maximum outward
339 (i.e., positive) displacement, whereas the T1-South wall had inward (i.e., negative) displacement
340 of similar magnitude. At $t = 4.09$ s, the T1-South wall reached its maximum outward
341 displacement and shows a similar profile shape. The behavior of the transverse section under
342 seismic loading is similar to soil behavior when subjected to simple shear conditions.

343 Incremental maximum dynamic and residual facing displacement profiles for the three
344 walls and three earthquake motions are shown in Figure 8, and the maximum value from each
345 profile is provided in Table 3. The earthquake motions produced generally similar responses
346 from the GRS bridge abutment model, although some differences are noted. Maximum facing
347 displacements were measured near or at the highest elevation ($z = 1.875$ m) for each wall and
348 increased with increasing motion PGA. For the Imperial Valley motion, maximum dynamic
349 displacement profiles for the side walls (i.e., T1-South and T1-North) are in close agreement and
350 indicate peak values of approximately 5 mm. For the Maule test, the side walls display dissimilar
351 maximum displacements of 17.0 mm for T1-South and 9.3 mm for T1-North. The dissimilar
352 response was more pronounced for side walls in the Northridge motion, with a maximum
353 displacement for the T1-North wall (34.7 mm) more than double that for the T1-South wall (13.3
354 mm). Differences in deformation behavior for the side walls are attributed to asymmetry of the
355 earthquake motions and are more significant with increasing motion PGA. The front wall facing
356 for longitudinal section L1 experienced much lower displacements because shaking was parallel

357 to the plane of the wall. Maximum dynamic displacements for this wall were 1.6 mm, 3.2 mm,
358 and 4.0 mm for the Imperial Valley, Maule, and Northridge motions, respectively. These values
359 indicate that shaking in the transverse direction also produced facing displacements in the
360 longitudinal direction and thus illustrate the multi-directional deformation response of the GRS
361 bridge abutment model for uni-directional shaking conditions.

362 After shaking was completed, facing displacements were largely recovered for the side
363 walls, especially at higher elevations. The maximum residual facing displacements were in close
364 agreement (approximately 1 mm) for the Imperial Valley motion and ranged from 0 to 2 mm for
365 the Maule motion and from -5 mm to 10 mm for the Northridge motion. These residual values
366 also indicate progressively asymmetric deformation behavior of the side walls with increasing
367 motion PGA. The maximum residual facing displacement for L1 was 3.2 mm (Northridge
368 motion). Residual facing displacements are all less than 10 mm, and mostly less than 5 mm,
369 which would be multiplied by a factor of $\lambda = 2$ for the prototype structure.

370

371 **4.3. Bridge seat settlements**

372 Settlements were measured at the four corners of the bridge seat during static and
373 dynamic loading using string potentiometers, as shown in Figure 2(a). One of the string
374 potentiometers on the northwest (NW) corner did not function during placement of the bridge
375 beam and was replaced before the shaking tests. Settlement time histories at the bridge seat
376 corners, along with average values, are shown during placement of the bridge beam in Figure
377 9(a). These settlements did not occur uniformly and a sudden but small shift was observed after 3
378 hours. The explanation for this shift is unknown. After 5 hours, the settlements stabilized and
379 indicate negligible creep. Also, a slight tilting of the bridge seat toward the south side occurred

380 due to placement of the bridge beam. The average settlement on the south side of the bridge seat
381 (SW and SE) was 2.1 mm and the average settlement on the north side (NE) was 1.0 mm. After
382 94 hours, the average bridge seat settlement was 1.6 mm, which corresponds to a vertical strain
383 of 0.08% for the 2.1 m-high lower GRS wall.

384 Time histories of incremental bridge seat settlement for the four corners during the
385 Northridge motion are shown in Figure 9(b). At $t = 4.13$ s, the south side of the bridge seat (SW
386 and SE) had a dynamic settlement of 6.7 mm, whereas the north side (NW and NE) had a
387 negative settlement (i.e., uplift) of 4.1 mm, which indicates rocking of the bridge seat in the N-S
388 direction (i.e., the direction of shaking). The residual settlement on the south side (SW and SE) is
389 larger than on the north side (NW and NE), which indicates that the bridge seat tilted further
390 toward the south after shaking. The average settlement time history of the bridge seat is shown in
391 Figure 10 (transverse shaking) and indicates an average maximum dynamic settlement of 6.1 mm
392 and an average minimum dynamic value of -2.1 mm. The average residual settlement of the
393 bridge seat is 4.7 mm, which corresponds to a vertical strain of 0.22%. As shown in Table 4, the
394 maximum dynamic settlement is 3.3 mm for the Imperial Valley motion and 9.5 mm for the
395 Maule motion. The residual bridge seat settlements are 2.5 mm and 4.8 mm for the Imperial
396 Valley and Maule motions, respectively, and yield vertical strains of 0.12% and 0.23%.

397 Zheng et al. (2017b) reported shaking table test results for a similar GRS bridge abutment
398 subjected to the same earthquake motions in the longitudinal direction. Average incremental
399 bridge seat settlements from this test are also shown in Figure 10 for comparison. Table 5
400 presents the average incremental residual bridge seat settlements for longitudinal and transverse
401 shaking with the three earthquake motions. For the Northridge motion, the maximum dynamic
402 settlement for transverse shaking is 6.1 mm, which is smaller than the value of 7.0 mm for

403 longitudinal shaking. However, the residual settlement of 4.7 mm for transverse shaking is
404 greater than the value of 2.2 mm for longitudinal shaking. Similarly, for the Imperial Valley and
405 Maule motions, the residual bridge seat settlements for transverse shaking are larger than for
406 longitudinal shaking. The larger settlements for the transverse shaking test are attributed to the
407 lack of lateral confinement for the side walls and resulting simple shear deformation response of
408 the abutment, whereas the reaction wall provided confinement on the back of the abutment for
409 the longitudinal shaking test. Another likely contributing factor was the lack of soil confinement
410 on the two sides of the bridge seat in the transverse direction, whereas the backwall of the bridge
411 seat was confined by backfill soil in the longitudinal direction.

412

413 **4.4. Accelerations**

414 Horizontal accelerations were measured at selected elevations on the side wall facings
415 and within the backfill soil for transverse section T1 (Figure 3a). The root-mean-square (RMS)
416 method was used to mitigate effects of high frequency noise and also characterize amplitude and
417 frequency content in the measured response (Kramer 1996; El-Emam and Bathurst 2005). RMS
418 acceleration ratio profiles for the facing blocks and reinforced soil zones for the T1-South and
419 T1-North walls, normalized by the actual RMS acceleration of the shaking table to yield
420 amplification ratios, are shown in Figure 11. The acceleration profiles show consistent trends for
421 the three earthquake motions and are in close agreement for the two side walls. Accelerations for
422 each side wall increase nonlinearly with increasing elevation for the facing block and the
423 reinforced soil zone, with slightly higher values for the facing blocks likely due to inertial forces
424 associated with the facing blocks. Interestingly, the data indicate that amplification ratios are
425 highest for the Imperial Valley motion, up to 1.4, and decrease with increasing motion PGA. The

426 difference in acceleration amplification ratios for the three earthquake motions may be attributed
427 to characteristics of the earthquake motions relative to the stiffness of the GRS bridge abutment,
428 such as PGA and frequency content, and may be influenced by different initial conditions of the
429 abutment before each shaking test due to progressive softening of the structure associated with
430 sequential application of the earthquake motions. Further investigations are needed using a
431 validated numerical model to understand the changes in acceleration amplification ratios for the
432 sequence of earthquake motions.

433

434 **4.5. Vertical and lateral stresses**

435 Vertical and lateral stresses were measured behind the T1-South and T1-North side wall
436 facings at the locations shown in Figure 3(a). Profiles for the initial (before shaking), maximum
437 dynamic (during shaking), and residual (after shaking) vertical stresses during the Northridge
438 motion are shown in Figure 12(a). The initial vertical stresses for T1-South were larger than
439 those for T1-North, which may reflect tilting of bridge seat toward the south side as observed in
440 the bridge seat settlement data (Figure 9a). During shaking, the maximum vertical stresses are
441 130.9 kPa and 107.0 kPa for T1-South and T1-North, respectively, and both occurred at the mid-
442 height of the wall. After shaking, the residual vertical stresses at the bottom are slightly larger
443 than the initial values, which may reflect changes of soil arching in the backfill soil due to strong
444 shaking.

445 Corresponding lateral stress profiles for the Northridge motion are shown in Figure 12(b).
446 The initial lateral stresses for T1-South and T1-North are different, likely due to sequential
447 application of the earthquake motions. The magnitudes of lateral stress are generally small (less
448 than 8 kPa). During shaking, the maximum dynamic lateral stress is 18.2 kPa at the bottom of the

449 wall for T1-South and is 13.6 kPa at the top for T1-North. The residual lateral stresses are
450 generally close to the initial values for both sections.

451

452 **4.6. Reinforcement strains**

453 Distributions of residual reinforcement tensile strain for the T1-South and T1-North walls
454 are shown in Figure 13. At the end of construction (EOC), tensile strains for both walls show
455 similar magnitudes for reinforcement layers 1, 4, and 7, and different magnitudes for layers 10
456 and 13. Tensile strains in layers 10 and 13 under the bridge seat for T1-South are larger than
457 those for T1-North, which again is attributed to the tilting of the bridge seat toward the south side
458 during placement of the bridge beam (Figure 9a). In general, at the end of construction, the
459 maximum tensile strains occurred near the facing block connections in layers 1 and 4, and at
460 distance of 0.33 m from each side wall facing in layers 7, 10, and 13. After each earthquake
461 motion, residual strains under the bridge seat increased significantly due to shaking. For instance,
462 the residual strain at $y_s = 0.33$ m in layer 10 for T1-South was 0.13% at the end of construction,
463 0.23% after the Imperial Valley motion, 0.30% after the Maule motion, and 0.36% after the
464 Northridge motion. Residual strains near the facing block connections increased for the
465 lowermost layers and not for higher layers. In general, the maximum residual strains occurred
466 near the facing block connections for the lowermost layer, and under the bridge seat for higher
467 layers after shaking.

468 Reinforcement strain distributions for the T1-South and T1-North walls with initial
469 (before shaking), maximum (during shaking), minimum (during shaking), and residual (after
470 shaking) values during the Northridge motion are shown in Figure 14. The tensile strains near the
471 facing block connections experienced significant increases during shaking. For instance, the

472 tensile strain near the facing block connection in layer 7 for T1-South increased from an initial
473 value of 0.04% to a maximum dynamic value of 0.51%. However, residual strains near the
474 facing block connections for all layers are nearly equal to the initial values, which indicates that
475 most of the dynamic reinforcement strains are recovered after shaking is completed. Nonetheless,
476 the magnitudes of the maximum dynamic reinforcement strains near the facing block
477 connections indicate that consideration of reinforcement connection strengths in the transverse
478 direction is as important as for the longitudinal direction in seismic design.

479 Corresponding reinforcement strain distributions for the L1 front wall during the
480 Northridge motion are shown in Figure 15. In general, tensile strains for the lowermost layer
481 experienced little change during shaking, whereas greater changes occurred for higher layers.
482 The magnitudes of reinforcement strain for the front wall are smaller than for the side walls due
483 to the direction of shaking. Regardless, the data indicate that shaking in the transverse direction
484 caused increased strains in the reinforcement layers in the longitudinal direction, which is
485 consistent with the observed trends for front wall facing displacements shown in Figure 8.

486

487 **4.7. Bridge seat and bridge beam interactions**

488 Time histories of incremental horizontal displacement for the bridge seat and bridge
489 beam during the Northridge motion are shown in Figure 16(a). Positive values indicate
490 movement toward the north from the EOC condition. The initial horizontal displacements for the
491 bridge seat and bridge beam before the Northridge motion (i.e., due to Imperial Valley and
492 Maule motions) are -1.3 mm and 17.3 mm, respectively. During the Northridge motion, the
493 bridge seat and bridge beam show similar displacement trends. However, the bridge beam
494 experienced larger horizontal displacements than the bridge seat, which indicates sliding of the

495 bridge beam relative to the bridge seat on the concrete-bearing pad interface. The residual
496 horizontal displacements for the bridge seat and bridge beam are -18.8 mm and -10.8 mm,
497 respectively. The time history of horizontal displacement for the bridge beam relative to the
498 bridge seat during the Northridge motion is shown in Figure 16(b). During shaking, the bridge
499 beam experienced a maximum relative displacement of 20.2 mm toward the south and 4.4 mm
500 toward the north, and had a residual relative displacement of 10.6 mm toward the south after
501 shaking.

502 As the bridge beam moved relative to the bridge seat, the width of the seismic joints
503 varied during shaking. Horizontal contact forces between the bridge seat and bridge beam occur
504 when the width of seismic joint reduces to zero. The time history of joint width on the north side
505 during the Northridge motion also is shown in Figure 16(b). The initial joint width on the north
506 and south sides before the Northridge motion was 4.4 mm and 45.6 mm, respectively. During
507 shaking, joint closure occurred once on the north side at $t = 3.02$ s, resulting in a horizontal
508 contact force of 17.9 kN, as shown in Figure 17. This corresponds to a contact force of 143.2 kN
509 for the prototype structure, which is relatively small and would not be expected to cause damage
510 to the concrete bridge seat. The joint reached a maximum width of 24.7 mm at $t = 4.17$ s. After
511 the Northridge motion, the north joint remained open with a width of 15.1 mm, and the width of
512 the south joint was 34.9 mm.

513

514 **5. SUMMARY AND CONCLUSIONS**

515 This paper presents a shaking table study of the seismic response of a half-scale GRS
516 bridge abutment with modular block facing. The GRS bridge abutment was constructed using
517 well-graded angular sand backfill and uniaxial geogrid reinforcement in both the longitudinal

518 and transverse directions. A series of three scaled earthquake motions were applied to the GRS
519 bridge abutment system in the transverse direction. The following conclusions are reached:

520

521 1. The two side walls for the transverse section of the abutment moved in-phase during shaking,
522 which is similar to soil behavior when subjected to simple shear conditions. Incremental
523 residual facing displacements after each scaled earthquake motion are less than 10 mm for
524 the side walls. Shaking in the transverse direction also produced facing displacements for the
525 front wall in the longitudinal direction with magnitudes less than 4 mm, which illustrates the
526 multi-directional deformation response of the GRS bridge abutment model for uni-directional
527 shaking conditions.

528 2. The average incremental residual bridge seat settlement is 4.7 mm for the Northridge motion,
529 which corresponds to a vertical strain of 0.22% for the 2.1 m-high lower GRS wall. The
530 average residual bridge seat settlements are 2.5 mm and 4.8 mm for the Imperial Valley and
531 Maule motions, respectively, corresponding to vertical strains of 0.12% and 0.23%. Shaking
532 in the transverse direction caused larger residual bridge seat settlements than shaking in the
533 longitudinal direction for the conditions investigated.

534 3. Acceleration amplification ratio profiles for the facing block and reinforced soil zone are
535 similar for the T1-South and T1-North side walls. Acceleration amplification ratios in the
536 reinforced soil zone for the transverse section increase significantly with elevation for the
537 Imperial Valley and Maule motions, and increase only slightly with elevation for the
538 Northridge motion. The highest amplification ratio was 1.4, measured at the top of the T1-
539 South wall facing for the Imperial Valley motion.

540 4. During the Northridge motion, the maximum dynamic vertical stresses were 130.9 kPa and

541 107.0 kPa for the T1-South and T1-North walls, respectively, and both occurred at the mid-
542 height of the wall. Maximum dynamic lateral stresses were 18.2 kPa at the bottom of the T1-
543 South wall and 13.6 kPa at the top of the T1-North wall.

544 5. After the series of earthquake motions was completed, the maximum residual tensile strains
545 in the reinforcement occurred near the facing block connections for the lowermost layer, and
546 under the bridge seat for higher layers. Reinforcement strains near the facing block
547 connections for the side walls experienced a significant increase during shaking, which
548 highlights the importance of considering reinforcement connection strength for the transverse
549 direction in seismic design.

550 6. The bridge beam experienced permanent sliding relative to the bridge seat on the concrete-
551 bearing pad during the Northridge motion. The vertical seismic joint closed at one point,
552 producing a horizontal contact force of 17.9 kN between the bridge beam and bridge seat.
553 This corresponds to a contact force of 143.2 kN for the prototype structure, which is
554 relatively small and would not be expected to cause damage to the concrete bridge seat

555

556 Due to the limited size of the shaking table, the width of the GRS bridge abutment model
557 in this study was smaller than a full-scale prototype GRS bridge abutment in the field, which
558 likely led to a different 3D seismic response for the model than for the prototype. In particular,
559 overlap of geogrid reinforcements in the transverse and longitudinal directions across the entire
560 GRS bridge abutment may have produced an overly stiff response for the model, where such an
561 overlap would be limited to the regions near the corners for the prototype. Also, the small bridge
562 seat width may have permitted more rocking and experienced greater settlements than would be
563 expected in a prototype GRS bridge abutment. Nonetheless, the results of this study provide

564 valuable insights into the 3D seismic behavior of GRS bridge abutments subjected to transverse
565 shaking and the experimental data that can be used for calibration of numerical models.

566

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576

577 **NOTATIONS**

578 Basic SI units are given in parentheses.

579 C_u coefficient of uniformity

580 C_z coefficient of curvature

581 D_{50} mean particle size (mm)

582 D_r relative density (%)

583 $J_{5\%}$ secant stiffness at 5% strain for geogrid (kN/m)

584 t time (s)

585 T_{ult} ultimate strength for geogrid (kN/m)

586 w_c gravimetric water content (%)

587	x	distance from front wall facing (m)
588	y_n	distance from north side wall facing (m)
589	y_s	distance from south side wall facing (m)
590	z	elevation above top of foundation soil (m)
591	λ	scaling factor
592	σ'	effective confining stress (kPa)
593	ϕ'_p	peak friction angle ($^\circ$)

594

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Table 1. Similitude relationships for 1g shaking table tests (Iai 1989).

Variable	Scaling factor	Value of scaling factor
Length	λ	2
Material density	1	1
Strain	1	1
Mass	λ^3	8
Acceleration	1	1
Velocity	$\lambda^{1/2}$	1.414
Stress	λ	2
Modulus	λ	2
Stiffness	λ^2	4
Force	λ^3	8
Time	$\lambda^{1/2}$	1.414
Frequency	$\lambda^{-1/2}$	0.707

Table 2. Input motion data for the shaking table test program.

Shaking event	Input motion	Model-scale	Target	Actual	Target	Actual
		duration (s)	PGA (g)	PGA (g)	PGD (mm)	PGD (mm)
1	White noise	120.0	0.10	0.16	2.7	7.9
2	Imperial Valley	28.3	0.31	0.42	65.2	65.2
3	White noise	120.0	0.10	0.17	2.7	7.7
4	Maule	100.4	0.40	0.56	108.0	107.9
5	White noise	120.0	0.10	0.15	2.7	7.7
6	Northridge	28.3	0.58	0.86	88.7	88.6
7	White noise	120.0	0.10	0.15	2.7	8.1

Table 3. Maximum incremental facing displacements for earthquake motions (model-scale).

Earthquake motion	T1-South		T1-North		L1	
	Dynamic displacement	Residual displacement	Dynamic displacement	Residual displacement	Dynamic displacement	Residual displacement
	(mm)	(mm)	(mm)	(mm)	(mm)	(mm)
Imperial Valley	5.1	1.1	5.2	1.1	1.6	1.2
Maule	17.0	2.2	9.3	1.7	3.2	2.6
Northridge	34.7	9.4	13.3	0.5	4.0	3.2

Table 4. Average incremental bridge seat settlements for earthquake motions (model-scale).

Earthquake motion	Maximum dynamic settlement (mm)	Minimum dynamic settlement (mm)	Residual settlement (mm)
Imperial Valley	3.3	0.0	2.5
Maule	9.5	-0.1	4.8
Northridge	6.1	-2.1	4.7

Table 5. Average incremental residual bridge seat settlements for longitudinal and transverse shaking (model-scale).

Earthquake motion	Longitudinal shaking settlement (mm)	Transverse shaking settlement (mm)
Imperial Valley	1.4	2.5
Maule	1.4	4.8
Northridge	2.2	4.7



Figure 1. Configuration of GRS bridge abutment system (shaking direction indicated by red arrows).

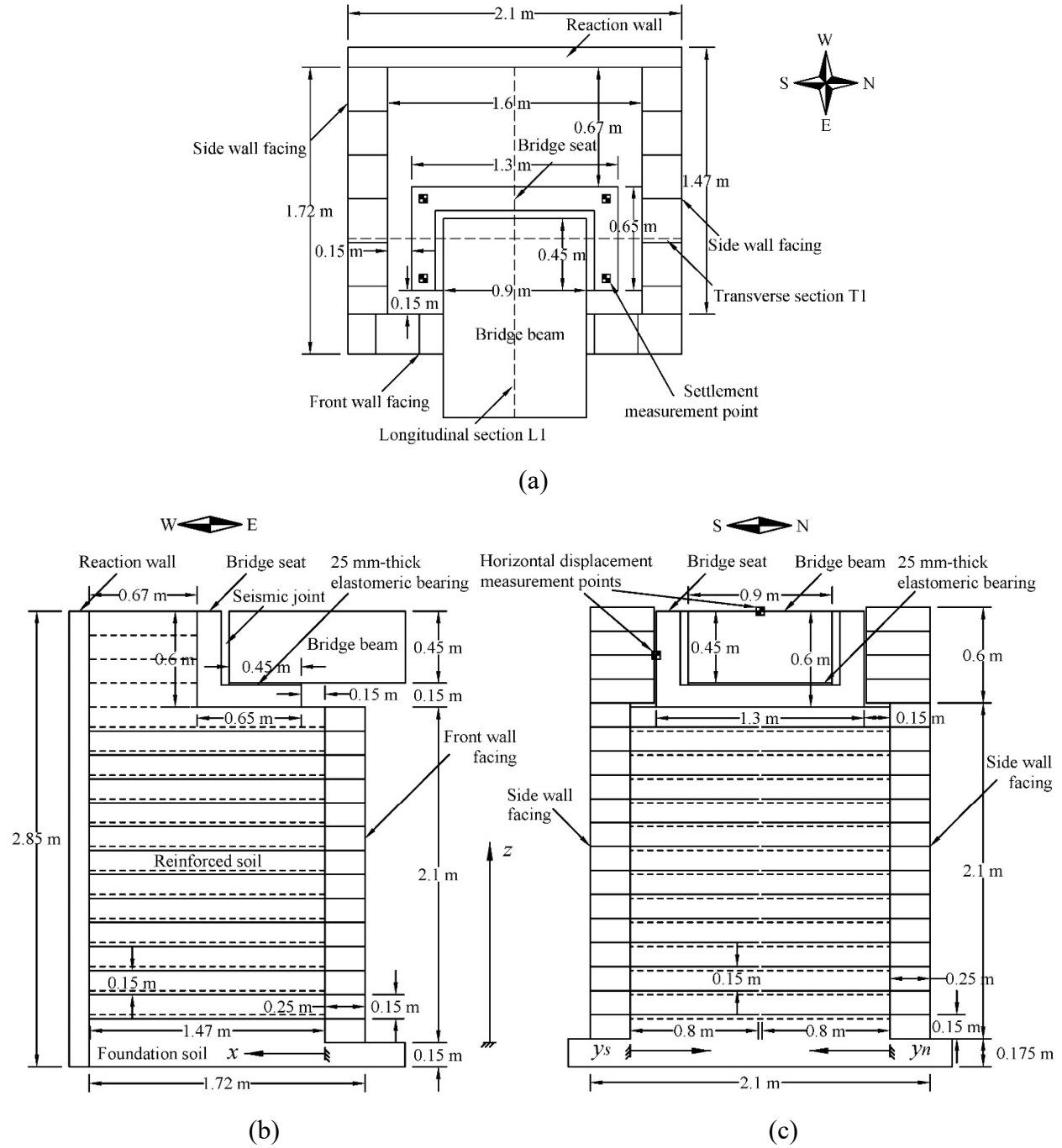
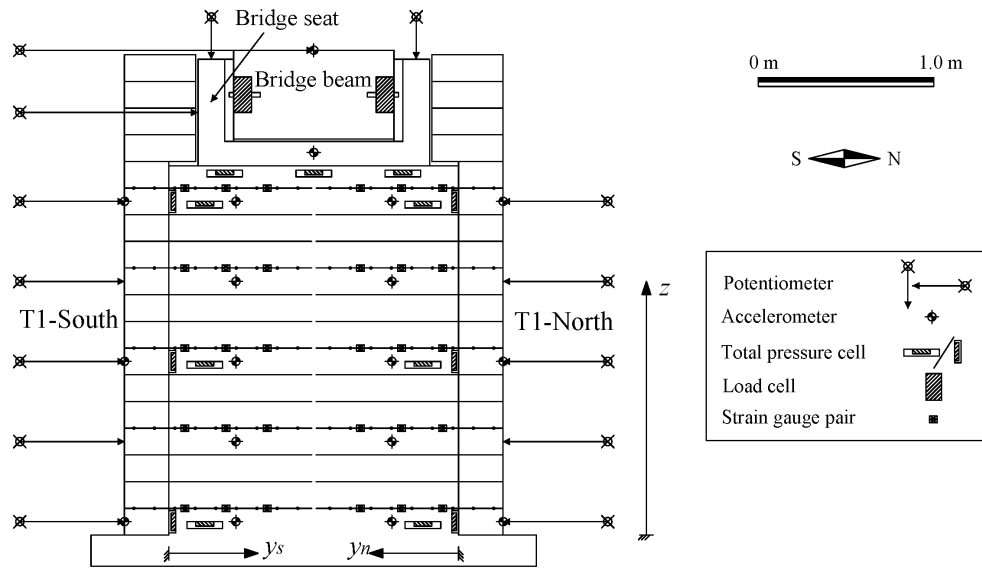
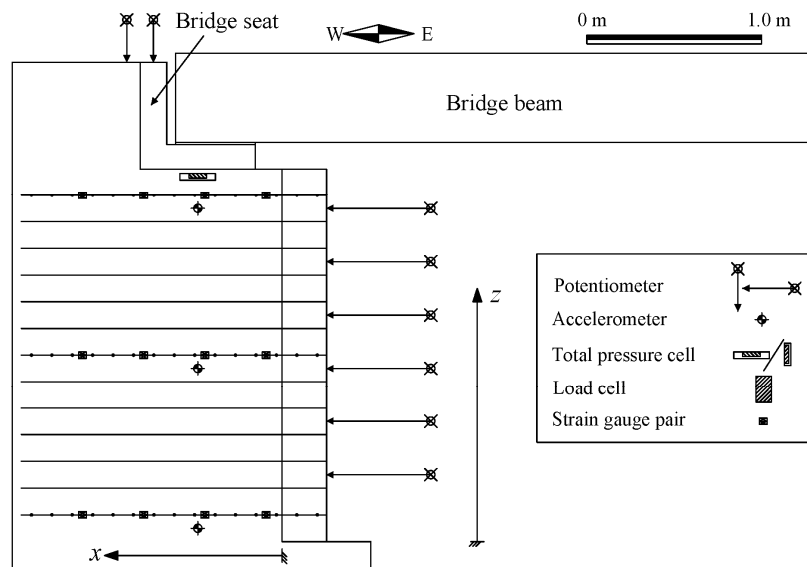


Figure 2. GRS bridge abutment model: (a) top view; (b) longitudinal cross-sectional view; (c) transverse cross-sectional view. Note: dashed lines indicate reinforcement layers perpendicular to diagram.

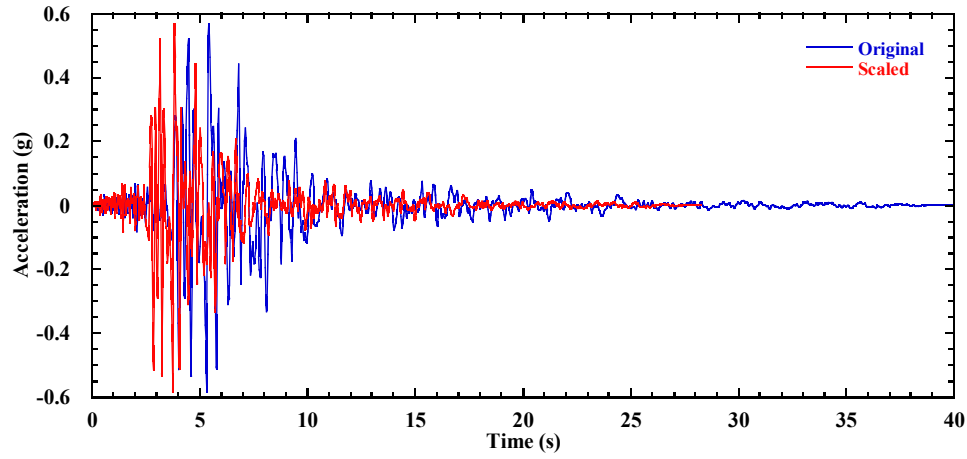


(a)

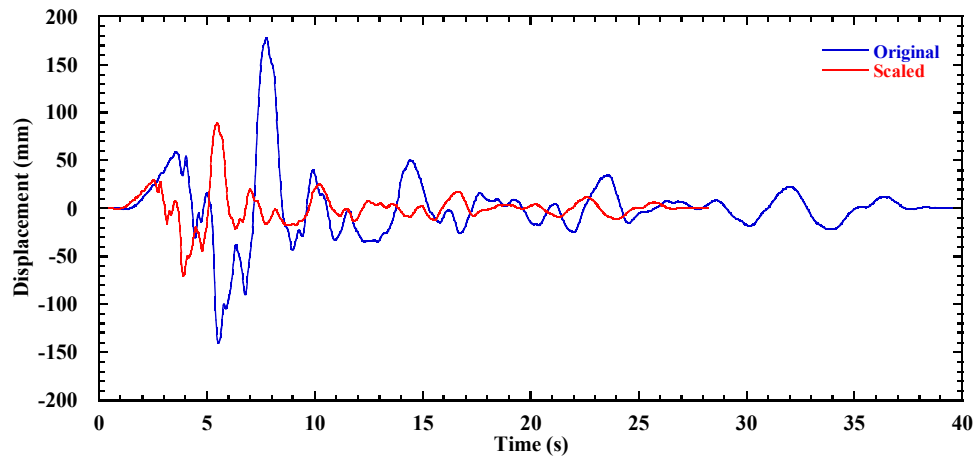


(b)

Figure 3. Instrumentation: (a) transverse section T1 ($x = 0.48$ m); (b) longitudinal section L1 ($y_n = 0.8$ m).

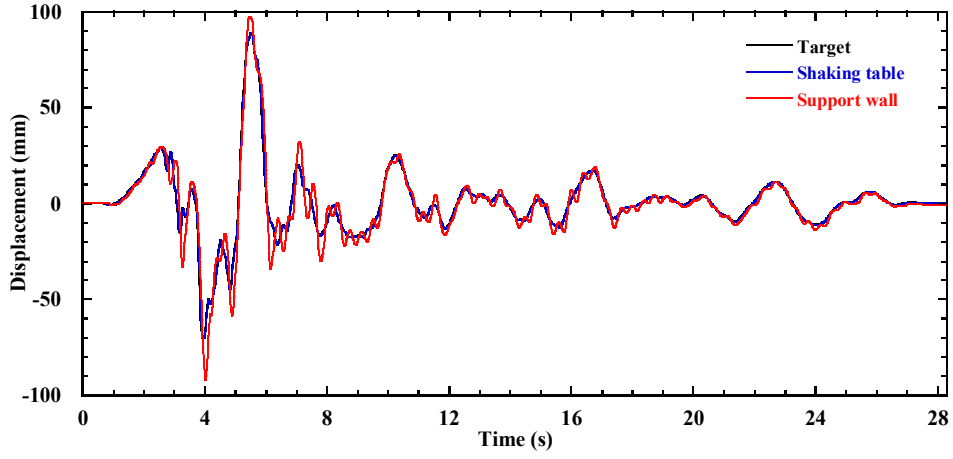


(a)

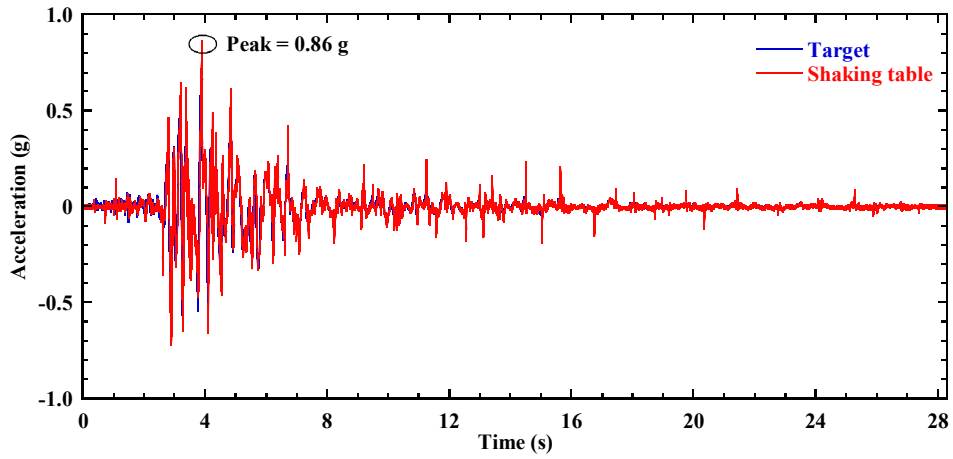


(b)

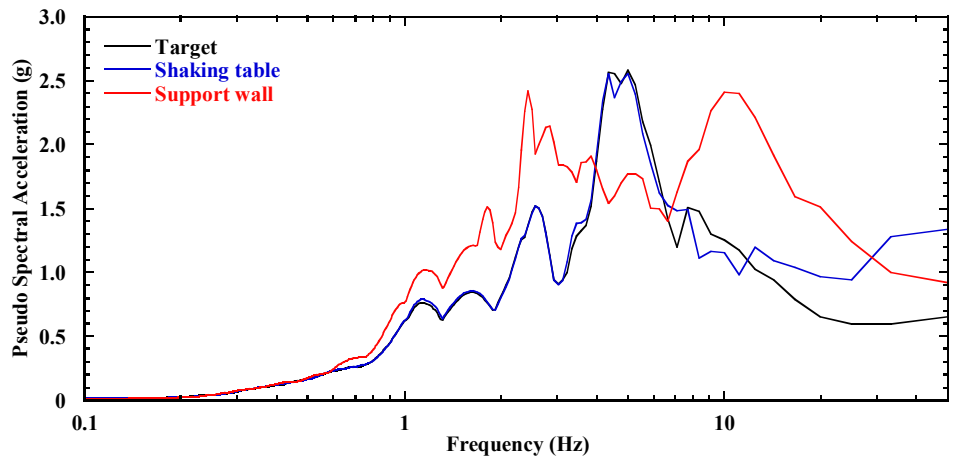
Figure 4. Original records and scaled motions for the 1994 Northridge earthquake (Newhall station): (a) acceleration time history; (b) displacement time history.



(a)



(b)



(c)

Figure 5. Testing system response for the Northridge motion: (a) displacement time history; (b) acceleration time history; (c) response spectra (5% damping).

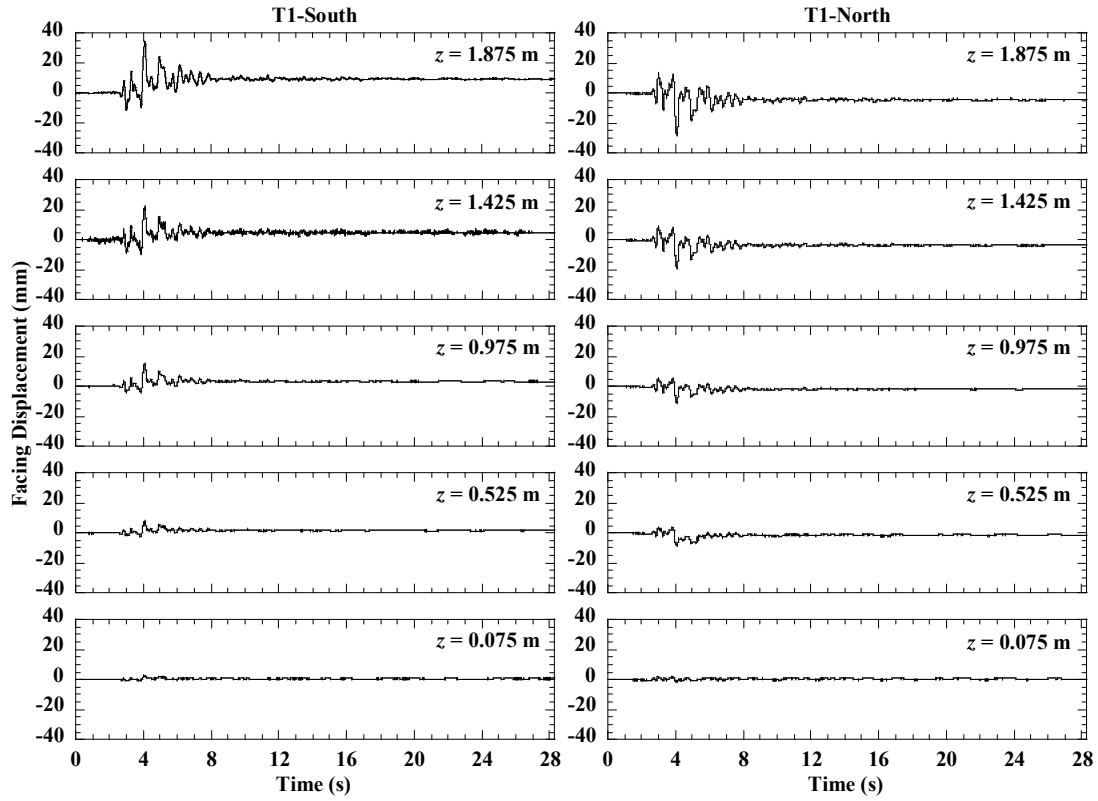


Figure 6. Time histories of incremental facing displacement for walls T1-South and T1-North during the Northridge motion.

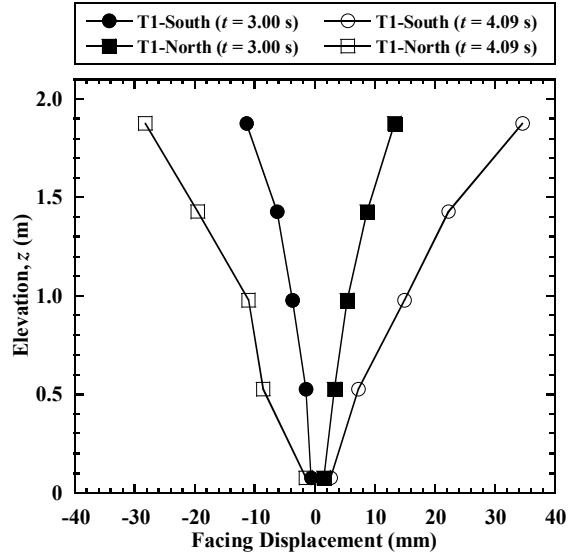
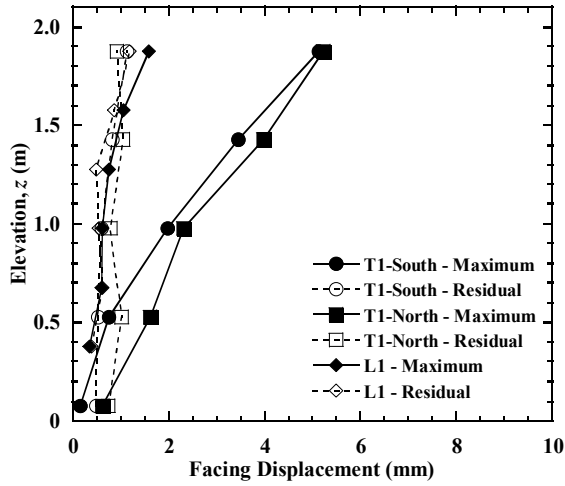
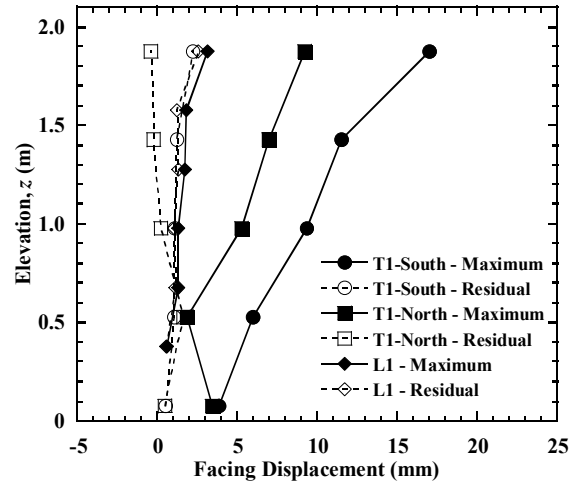


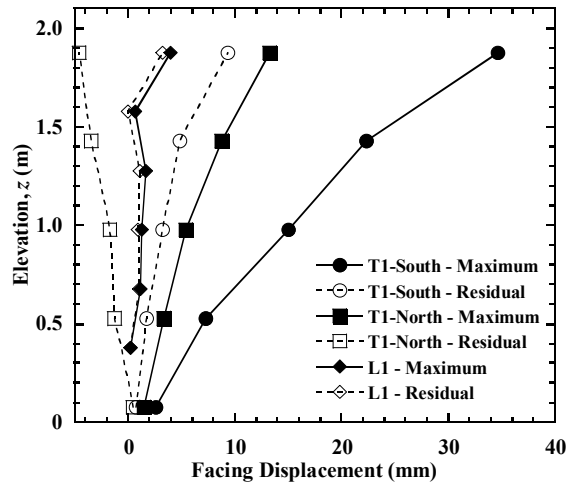
Figure 7. Incremental dynamic facing displacement profiles for walls T1-South and T1-North during the Northridge motion.



(a)

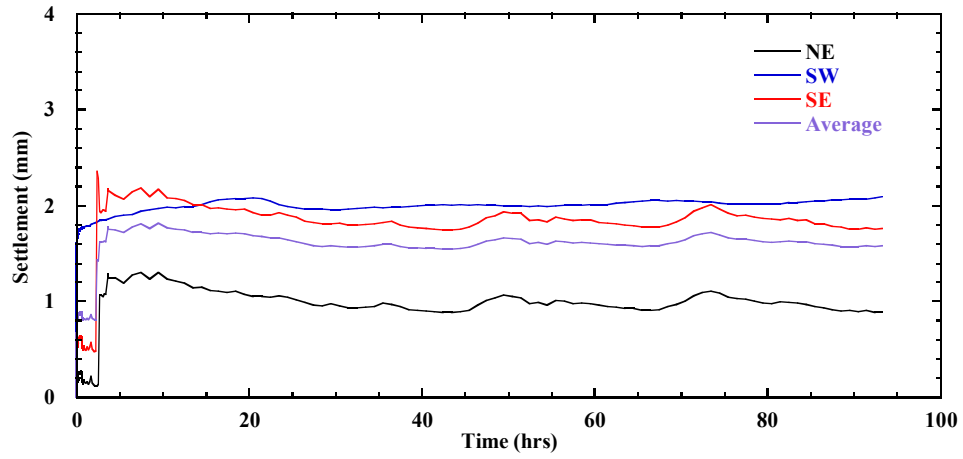


(b)

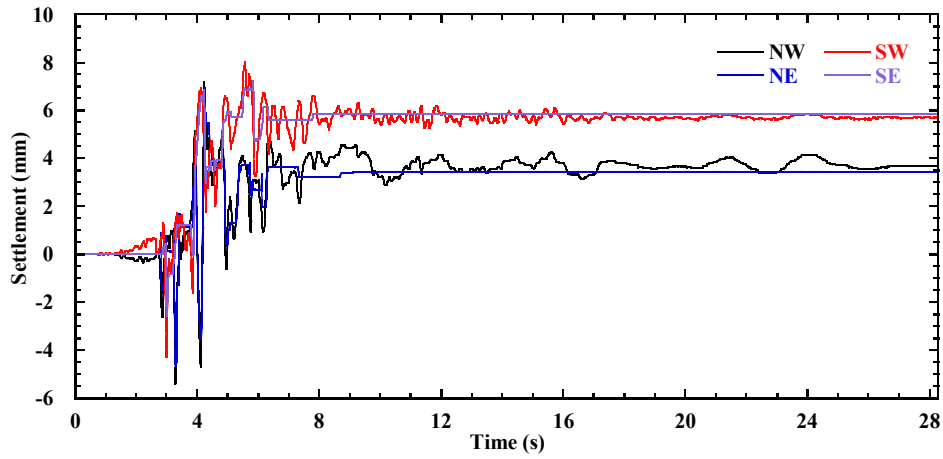


(c)

Figure 8. Incremental facing displacement profiles: (a) Imperial Valley motion; (b) Maule motion; (c) Northridge motion.



(a)



(b)

Figure 9. Time histories of incremental bridge seat settlements: (a) during placement of the bridge beam; (b) during the Northridge motion.

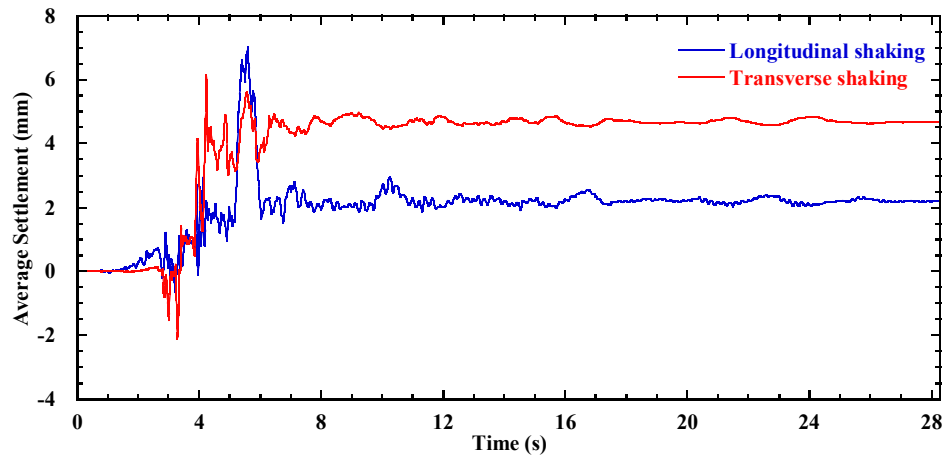
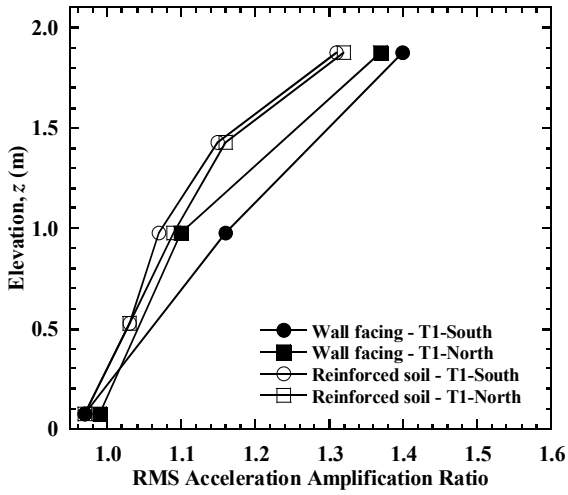
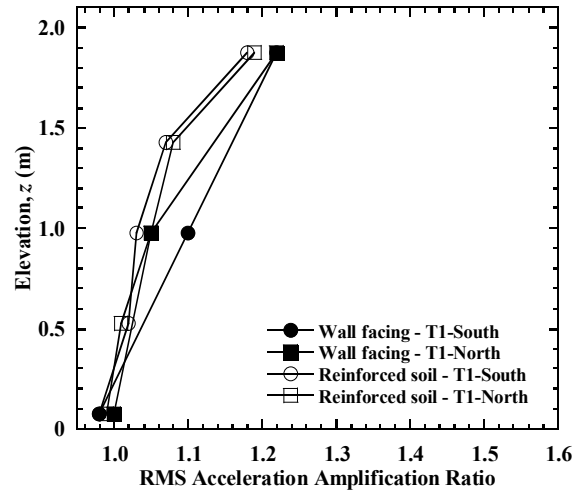


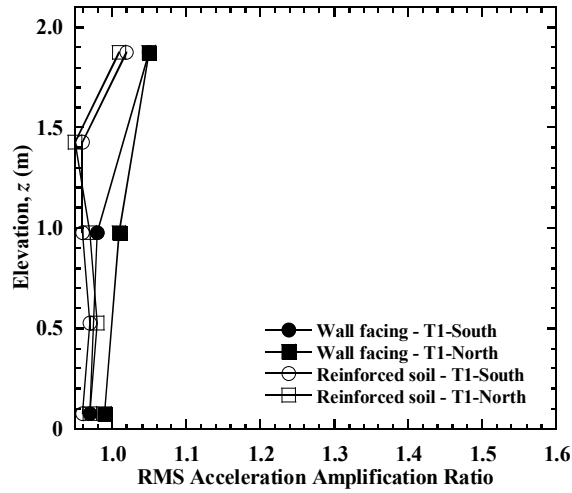
Figure 10. Time histories of average incremental bridge seat settlements for longitudinal and transverse shaking during the Northridge motion.



(a)



(b)



(c)

Figure 11. RMS acceleration amplification ratio profiles for the T1-South and T1-North walls:

(a) Imperial Valley motion; (b) Maule motion; (c) Northridge motion.

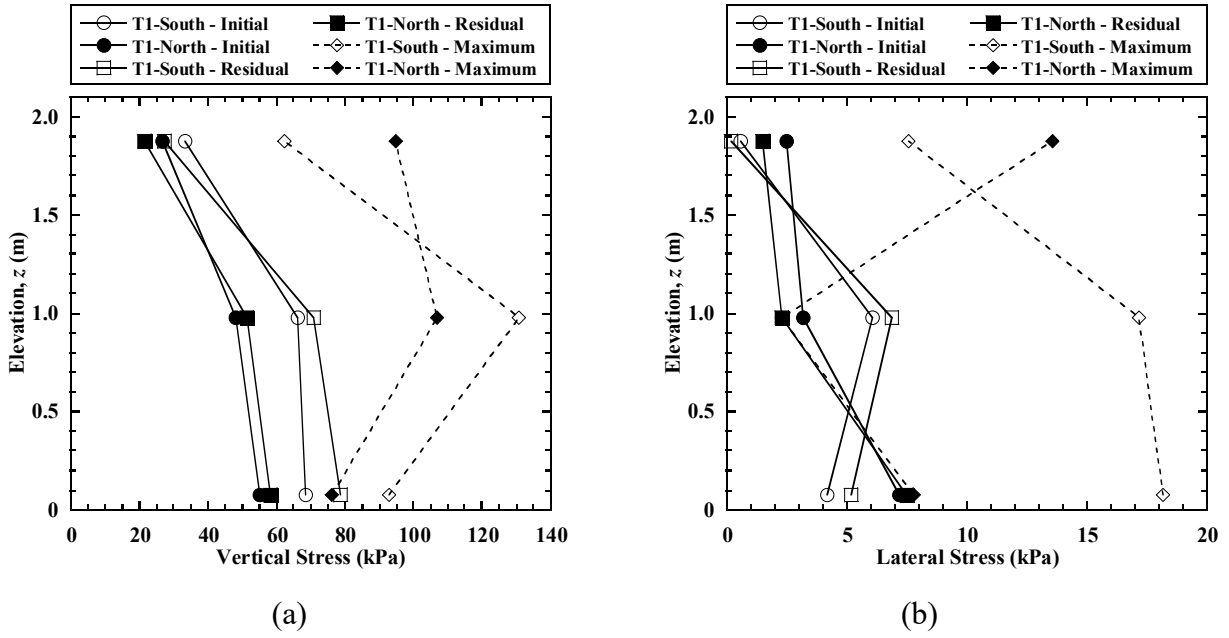


Figure 12. Soil stress profiles for the T1-South and T1-North walls during the Northridge motion: (a) vertical stress; (b) lateral stress.

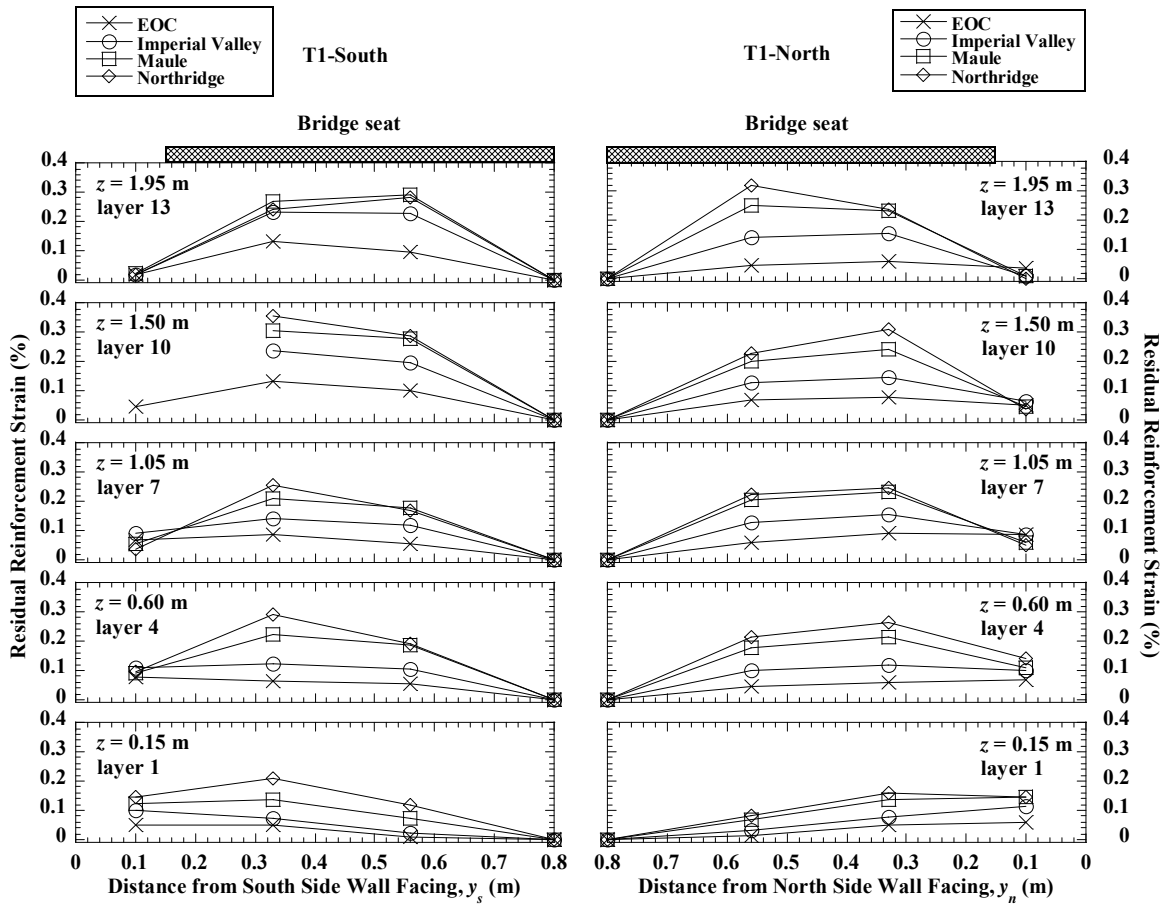


Figure 13. Residual reinforcement strain distributions for the T1-South and T1-North walls.

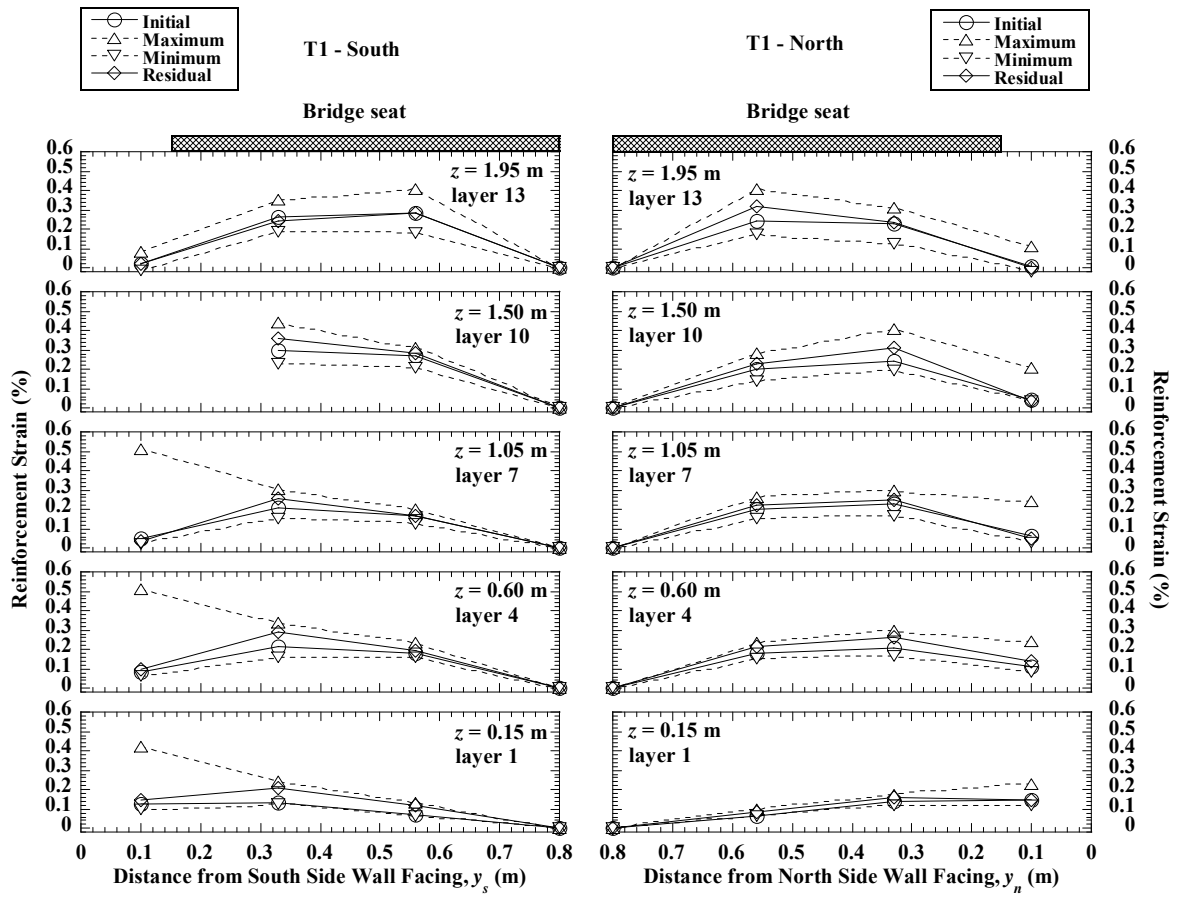


Figure 14. Reinforcement strain distributions for the T1-South and T1-North walls during the Northridge motion.

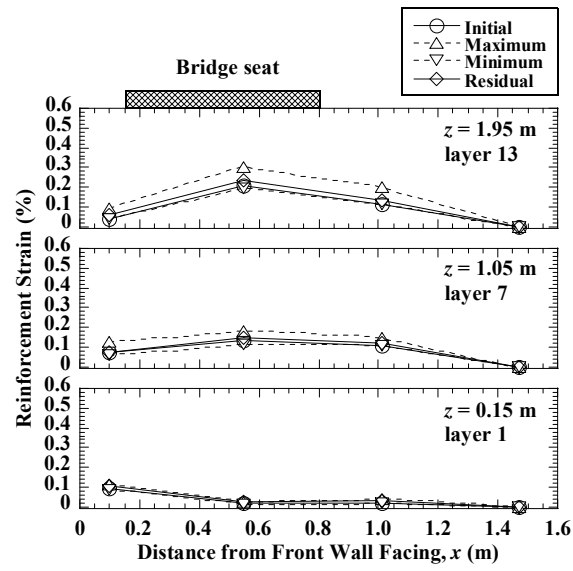


Figure 15. Reinforcement strain distributions for the L1 wall during the Northridge motion.

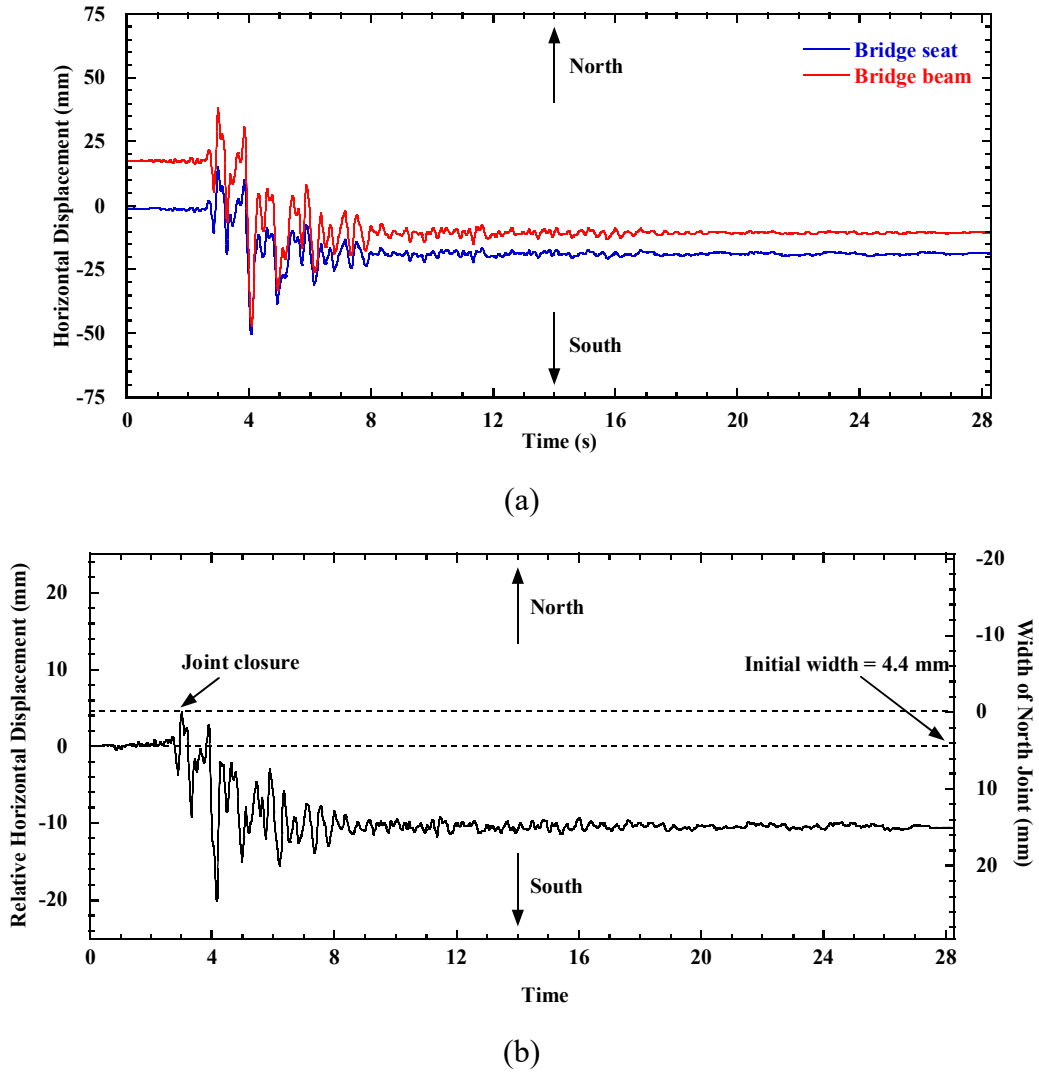


Figure 16. Time histories of horizontal displacements for bridge seat and bridge beam during the Northridge motion: (a) incremental horizontal displacements; (b) incremental relative horizontal displacements of bridge beam relative to bridge seat.

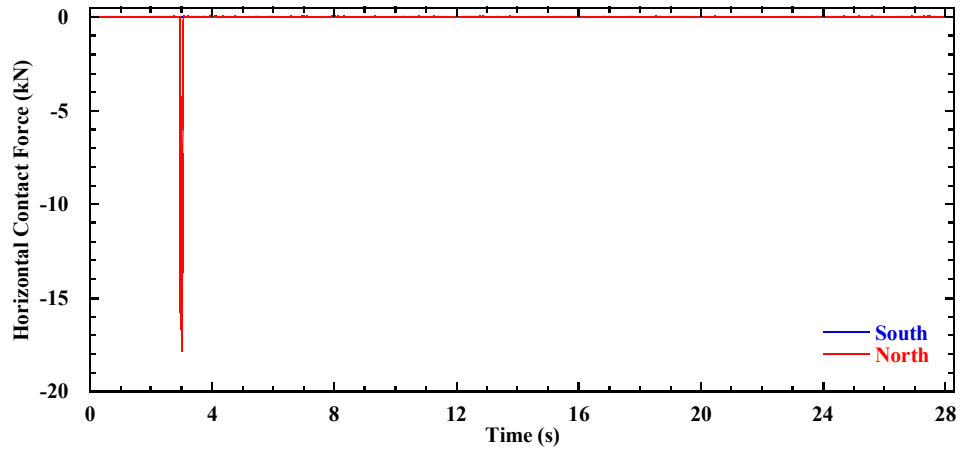


Figure 17. Time histories of horizontal contact forces between the bridge seat and bridge beam during the Northridge motion.