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# Differential Strengths of Positive Selection Revealed by Hitchhiking Effects at Small Physical Scales in *Drosophila melanogaster*

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## Abstract

The long time scale of adaptive evolution makes it difficult to directly observe the spread of most beneficial mutations through natural populations. Therefore, inferring attributes of beneficial mutations by studying the genomic signals left by directional selection is an important component of population genetics research. One kind of signal is a trough in nearby neutral genetic variation due to selective fixation of initially rare alleles, a phenomenon known as “genetic hitchhiking.” Accumulated evidence suggests that a considerable fraction of substitutions in the *Drosophila* genome results from positive selection, most of which are expected to have small selection coefficients and influence the population genetics of sites in the immediate vicinity. Using *Drosophila melanogaster* population genomic data, we found that the heterogeneity in synonymous polymorphism surrounding different categories of coding fixations is readily observable even within 25 bp of focal substitutions, which we interpret as the result of small-scale hitchhiking effects. The strength of natural selection on different sites appears to be quite heterogeneous. Particularly, neighboring fixations that changed amino acid polarities in a way that maintained the overall polarities of a protein were under stronger selection than other categories of fixations. Interestingly, we found that substitutions in slow-evolving genes are associated with stronger hitchhiking effects. This is consistent with the idea that adaptive evolution may involve few substitutions with large effects or many substitutions with small effects. Because our approach only weakly depends on the numbers of recent nonsynonymous substitutions, it can provide a complimentary view to the adaptive evolution inferred by other divergence-based evolutionary genetic methods.

**Key words:** genetic hitchhiking, natural selection, natural variation, adaptive evolution, *Drosophila melanogaster*.

## Introduction

Despite the central importance of natural selection in evolution, important properties of the selection-driven dynamics of beneficial mutations through populations remain poorly understood. For example, the relative roles of beneficial mutations of small and large effect are still debated, and the extent to which adaptive evolution may be mutation limited is unclear (Orr and Coyne 1992; Orr 2005, 2009; Barrett and Schluter 2008; Radwan and Babik 2012; Messer and Petrov 2013). The intersection of these issues with the extent of variation in adaptive landscapes (e.g., the number of fitness optima and whether such optima are constant or moving over time due to changing environment) and the organization of particular biological functions are also important. Identifying adaptive substitutions is a natural step toward empirically addressing all of these questions. A population genetic approach is important because those beneficial mutations that can be directly genetically analyzed are of unusually large effect (Eyre-Walker and Keightley 2007) and constitute a relatively biased sample of all adaptive variants.

The fixation of an initially rare, beneficial mutation leads to reduced polymorphism at linked neutral sites through a process known as “genetic hitchhiking” (Maynard Smith and

Haigh 1974). The width of the region being influenced depends positively on the strength of selection acting on the beneficial mutation and negatively on the recombination rate between the beneficial mutation and linked neutral mutations (Maynard Smith and Haigh 1974; Kaplan et al. 1989; Stephan et al. 1992). A region affected by the hitchhiking effect will gradually recover neutral variation through the spread of newly arising mutations. With the same strength of selection and recombination rate, the reduction in nearby neutral variation will be greater for beneficial substitutions that were fixed recently than those that were fixed in the distant past. Approaches using this “footprint” of directional selection to detect adaptive evolution or to measure parameters of the process, such as the strength of selection, have been successfully applied to natural variation data (Thornton et al. 2007). However, other biological processes, such as selection against deleterious mutations (background selection [Charlesworth et al. 1993, 1995; Hudson and Kaplan 1995; Charlesworth 2012]) and biased gene conversion (Gutz and Leslie 1976; Nagylaki 1983a, 1983b; Marais 2003), can also be associated with locally reduced level of polymorphism. Distinguishing between these competing hypotheses for observed heterogeneity in polymorphism has been difficult

(Andolfatto 2001; Stephan 2010; Cutter and Payseur 2013). In addition, it has been theoretically shown that fixations of slightly deleterious mutations have a comparably reduced distribution of sojourn time (Maruyama 1974), from which one can surmise that they will have a hitchhiking effect similar to beneficial mutations with the same magnitude of selection coefficient. Observed reduction in neutral variation around substitutions could be attributed to fixation of either beneficial or deleterious mutations, even though the latter is expected less likely to happen in species with very large population size (such as *Drosophila melanogaster*).

There is considerable evidence that directional selection plays an important role in the evolution of the *Drosophila* genome. This evidence comes not only from the existence of regions with reduced polymorphism as described earlier but also from other types of analyses. For example, *Drosophila* protein divergence appears to be strongly influenced by directional selection as inferred from contrasts of polymorphic and fixed synonymous and nonsynonymous variation (McDonald and Kreitman 1991; Begun et al. 2007; Langley et al. 2012). Indeed, an estimated 35–87% of the amino acid substitutions have been fixed by positive selection in *Drosophila* (Fay et al. 2002; Smith and Eyre-Walker 2002; Biernie and Eyre-Walker 2003; Welch 2006; Andolfatto 2007; Begun et al. 2007; Shapiro et al. 2007; Haddrill et al. 2010; Langley et al. 2012; Kousathanas and Keightley 2013). Further supporting this view, genes with greater amino acid divergence or genomic regions with larger number of amino acid substitutions have lower levels of nearby/genic variation in *Drosophila* (Ometto et al. 2005; Andolfatto 2007; Begun et al. 2007; Macpherson et al. 2007; Langley et al. 2012). These analyses suggest that the influence of positive selection on the level of genetic variation due to hitchhiking effects must be widespread in the *Drosophila* genome because, for example, there is roughly one amino acid substitution every 300 nucleotides in the coding region in the *D. melanogaster* lineage (Langley et al. 2012).

If a considerable fraction of genomic divergence resulted from positive selection, the aggregate effects of even weakly selected but numerous beneficial fixations could be studied through investigating the particular patterns of polymorphism at sites very close to sites that have experienced a fixation. This approach was first applied in the pregenomic era to a study of polymorphism near sites that had fixed in the *D. simulans* lineage (Kern et al. 2002). However, the available data were so limited that no strong conclusions could be drawn. A recent study by Sattath et al. (2011) extended this approach to whole-genome data from *D. simulans* and found a significantly stronger local reduction of neutral variation around nonsynonymous than synonymous substitutions. An especially useful attribute of this conceptual framework is that it allows for the comparisons of population genetic variation across different categories of fixation events as defined by genome annotation, thereby potentially revealing heterogeneity in substitution processes across classes of mutations that are functionally diverse. This approach has advantages over several widely used evolutionary genetic tests (e.g., dN/dS ratio [Yang 2007] and McDonald-Kreitman test

[McDonald and Kreitman 1991]), which heavily depends on the number of recent substitutions to have strong enough statistical power.

Here, we used recently generated whole-genome sequences from *D. melanogaster* population (Langley et al. 2012) to investigate small-scale hitchhiking effects, with an emphasis on substitutions in coding sequences. The physical scale of hitchhiking is expected to have a wide distribution. The influence from larger scale processes that affect polymorphism should be taken into account when attempting to understand how the population genetics of sites near fixations differ from random sites in such regions. For example, both theoretical predictions and empirical observations suggest that genetic variation correlates with both local recombination rate (Maynard Smith and Haigh 1974; Kaplan et al. 1989; Begun et al. 2007; Langley et al. 2012) and the rate of possibly beneficial substitutions (i.e., amino acid fixations) in a given region (Kaplan et al. 1989; Ometto et al. 2005; Andolfatto 2007; Begun et al. 2007; Macpherson et al. 2007; Langley et al. 2012). Also, selection on other coding substitutions and functional elements that have different selective constraint and/or probability of under positive selection from coding regions (such as untranslated regions [UTRs] and introns) is expected to influence the observed signals of hitchhiking effects (selective interference [Hill and Robertson 1966; Felsenstein 1974]). The genetic linkage between the focal and other selected variants/functional elements is a critical parameter in determining the extent of this effect. Indeed, selective interference may be weaker on the exon–intron boundaries than in the center of exons (Comeron and Kreitman 2002; Comeron and Guthrie 2005; Loewe and Charlesworth 2007). To deal with these issues, we used a model fitting approach by performing generalized linear regression analysis to correct for the effects of possibly confounding factors on local genetic variation near fixations and used the remaining variation (residuals) as our target for further analysis.

## Results

### Regression Analysis to Correct for the Possible Effects of Other Factors on Polymorphism

We estimated the level of synonymous polymorphism ( $\pi$ ) using 4-fold degenerate sites within a fixed window size surrounding coding substitutions with six African *D. melanogaster* genomes. Under the infinite site model, the level of variation depends on the total branch length of a genealogy and the mutation rate of a particular genomic region (Tajima 1983). The former can be significantly influenced by the impact of selection (Kaplan et al. 1989; Charlesworth et al. 1993, 1995), leading to deviation from the expected level of variation under neutral model. To reflect the variation in the total branch length of genealogies associated with neutral sites surrounding different categories of coding fixations, the estimated  $\pi$  was divided by the *D. simulans*–*D. yakuba* synonymous divergence (estimated for 4-fold degenerate sites) in the corresponding window, which is taken as a proxy of mutation rate. This estimate is referred to as

“normalized  $\pi$ ” or  $\pi_{nor}$ . Because several factors were significantly correlated with  $\pi_{nor}$  (table 1 and supplementary table S1, Supplementary Material online), we performed regression analysis to correct for their effects and used the residuals of the regression model ( $\varepsilon_{\pi_{nor}}$ ) as the major subject of our subsequent analyses.  $\varepsilon_{\pi_{nor}}$  can be interpreted as the distance of the observed  $\pi_{nor}$  to the predicted  $\pi_{nor}$  of the fitted regression model. A lower  $\varepsilon_{\pi_{nor}}$  suggests that  $\pi_{nor}$  around a substitution deviates negatively from the predicted  $\pi_{nor}$  with a greater extent, which could be attributable to the effect of hitchhiking. It is expected that selection on other linked sites can also influence the population genetics of synonymous sites near the focal coding substitution, and the extent of this effect depends on the genetic linkage among them. We therefore included distance from the focal substitution to other substitution or functional elements in the regression analysis. Selection on codon usage bias (Akashi 1995, 1996) and/or GC-biased gene conversion (Galtier et al. 2006; Haddrill and Charlesworth 2008) influences the evolutionary dynamics of synonymous sites and local GC content. Therefore, we also included base composition in our regression model.

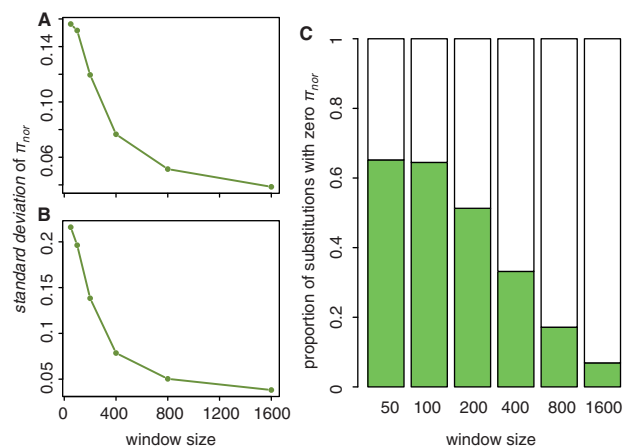
The distribution of  $\pi_{nor}$  is far from normal (an overall exponential distribution with a gap between zero and nonzero  $\pi_{nor}$ ; supplementary fig. S1, Supplementary Material online), which would violate the assumptions of a generalized linear regression model and lead to difficulties in interpreting the residuals. We therefore used two separate regression analyses: one considering only nonzero  $\pi_{nor}$  (linear regression) and the other considering all  $\pi_{nor}$  that are coded as “0” and “1” for being zero or nonzero values, respectively (logistic regression; see Materials and Methods). For both regression analyses, a smaller  $\varepsilon_{\pi_{nor}}$  would correspond to lower than the predicted  $\pi_{nor}$  near the focused fixation based on our fitted model.

It is worth noting that a significant result is sometimes observed only for larger window sizes because of the greater variance when using smaller windows (fig. 1A and B). The number of substitutions with nonzero  $\pi_{nor}$  also decreases with smaller window size, leading to decreased statistical power (fig. 1C). The  $\varepsilon_{\pi_{nor}}$  of the two regression analyses would capture very different signals of the hitchhiking effects, with  $\varepsilon_{\pi_{nor}}$  from linear regression detecting differences in levels of variation, whereas  $\varepsilon_{\pi_{nor}}$  from logistic regression detecting presence or absence of polymorphism. Therefore, patterns may sometimes be apparent for only one of the two

regression analyses. Also, because we could not normalize the variation with respect to divergence in windows without polymorphism ( $\pi_{nor} = 0$ ), we expect greater noise associated with  $\varepsilon_{\pi_{nor}}$  from logistic regression.

### Nonsynonymous Substitutions Have Lower Nearby Polymorphism than Synonymous Substitutions

At the time of fixation for a neutral mutation, there is around 40% of reduction in nearby neutral variation (in the absence of recombination) (Tajima 1990). Even though at the time of substitution, the reduction in nearby neutral variation associated with a beneficial mutation would be much stronger (100% in the absence of recombination), it is necessary to control for the possible effects of recently fixed neutral mutations. Accordingly, we first compared the level of variation in windows centering on nonsynonymous substitutions to those centering on synonymous substitutions to investigate whether the expected heterogeneity in hitchhiking effects is detectable on a small physical scale. The former had significantly lower nonzero  $\pi_{nor}$  (Mann–Whitney  $U$  test,  $P = 0.001$  for 50-bp window and  $P < 10^{-6}$  for all other window sizes, table 2) and a larger proportion of windows having zero  $\pi_{nor}$  (Fisher’s exact test,  $P = 0.004$  for 50-bp window and  $P < 0.001$



**FIG. 1.** The properties of  $\pi_{nor}$  that change over window sizes. Standard deviations of  $\pi_{nor}$  of all substitutions (A) and of substitutions with nonzero windows (B) both decrease with window size. Proportion of windows with zero  $\pi_{nor}$ , which is shown as solid column in (C), decreases with window size.

**Table 1.** List of Factors Correlated with  $\pi_{nor}$ .

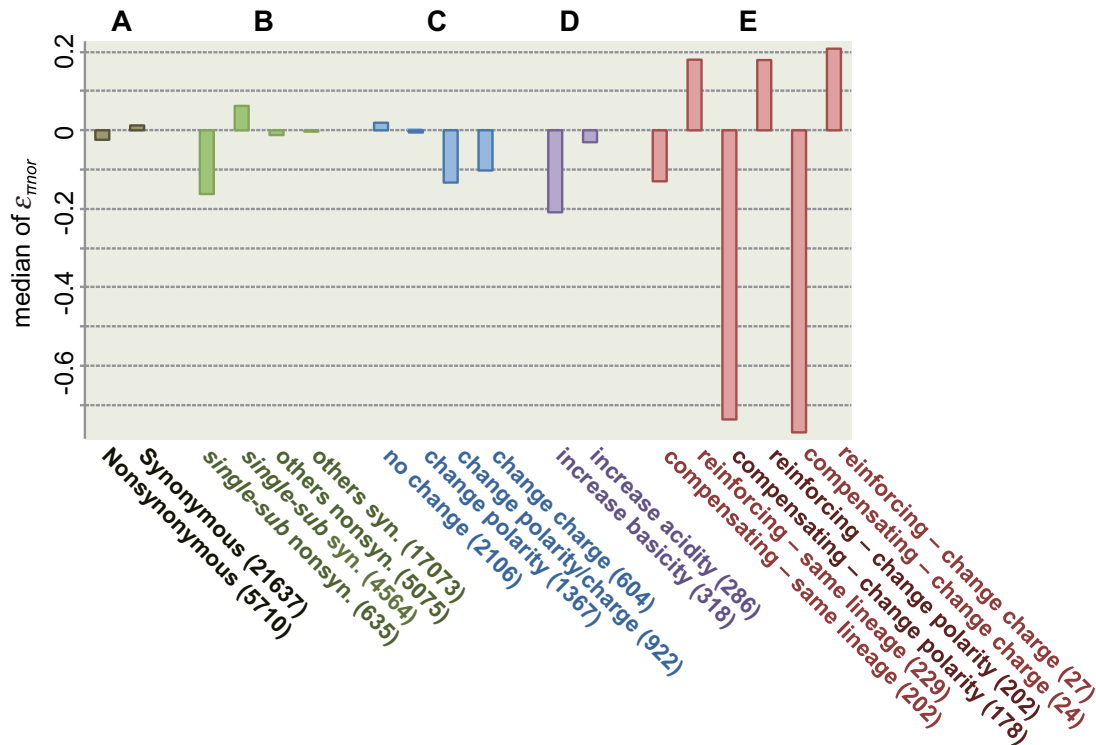
	Correlated Factor	Sign of Correlation
recomb	Recombination rate around the focal substitution	+
$n_{ns}$	Number of nonsynonymous substitutions other than the focal substitution in a window	–
$n_s$	Number of synonymous substitutions other than the focal substitution in a window	–
$d_{ns}$	Distance from the focal substitution to the nearest nonsynonymous substitution	+
$d_s$	Distance from the focal substitution to the nearest synonymous substitution	+
$d_{intron}$	Distance from the focal substitution to intron–exon boundary	+ / –
$d_{5UTR}$	Distance from the focal substitution to the edge of 5′-UTR	+
$d_{3UTR}$	Distance from the focal substitution to the edge of 3′-UTR	+
GC	GC content of the 4-fold degenerate sites of a window	+

for all other window sizes, table 2) than windows centered on synonymous substitutions. Comparisons between nonsynonymous and synonymous substitutions using  $\varepsilon_{\pi_{nor}}$  from linear regression gave the same result (fig. 2A) and were statistically significant for all except for the smallest window size (Mann–Whitney  $U$  test,  $P < 0.003$  for 100–1,600 bp windows; see figure 3A for statistical significant levels associated with individual window size).  $\varepsilon_{\pi_{nor}}$  from logistic regression showed consistent patterns although it was only statistically significant for larger window sizes (fig. 3A; Mann–Whitney  $U$  test,  $P = 0.03$  for 200-bp window and  $P < 10^{-5}$  for 800 bp and

1,600 bp windows). Analyses using  $\varepsilon_{\pi_{nor}}$  of regression models can avoid the confounding effect of factors that are not of interest to us and are expected to detect heterogeneity in local polymorphism more accurately. Accordingly, we used  $\varepsilon_{\pi_{nor}}$  of regression models in the following analyses.

### Nonsynonymous Substitutions in Slowly Evolving Genes Exhibit Lower Nearby Polymorphism than Those in Rapidly Evolving Genes

Genes with only one amino acid fixation (single-substitution genes) are usually excluded from evolutionary genetic



**Fig. 2.** Medians of  $\varepsilon_{\pi_{nor}}$  from linear regression for different categories of substitutions. Medians of  $\varepsilon_{\pi_{nor}}$  from linear regression are shown for (A) nonsynonymous and synonymous substitutions in all genes, (B) nonsynonymous and synonymous substitutions that are in single-substitution genes and other genes, (C) nonsynonymous substitutions that changed amino acid chemical properties in different ways, (D) nonsynonymous substitutions increasing basicity or acidity of the amino acids, and (E) nonsynonymous substitutions that compensate or reinforce the chemical property changes of the nearest amino acid substitutions. Data of 400-bp window are shown, for which all comparisons are statistically significant with Mann–Whitney  $U$  test except for the comparisons between “compensatory–change charge” and “reinforcing–change charge”. Numbers of substitutions of each category are in parenthesis.

**Table 2.** Comparisons between  $\pi_{nor}$  of Nonsynonymous and Synonymous Substitutions without Performing Regression Analysis.

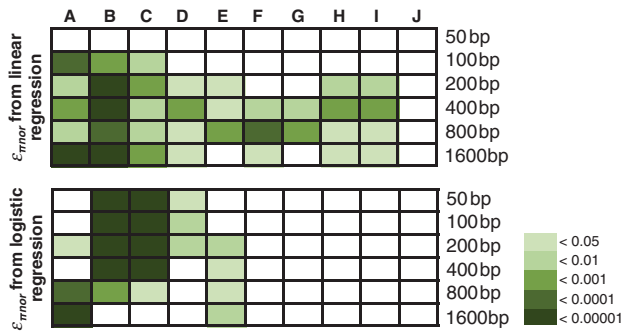
Window size	Median of Nonzero $\pi_{nor}$				Proportion of Windows with Zero $\pi_{nor}$		
	Nonsyn.	Syn.	% Reduction <sup>a</sup>	$P$ value <sup>b</sup>	Nonsyn.	Syn.	$P$ value <sup>c</sup>
50 bp	0.327	0.333	1.56	1.25E-03	0.822	0.811	4.27E-03
100 bp	0.192	0.215	10.84	2.49E-07	0.705	0.688	5.53E-04
200 bp	0.125	0.137	8.78	<2.20E-16	0.548	0.523	1.95E-10
400 bp	0.087	0.097	9.91	<2.20E-16	0.379	0.358	8.91E-10
800 bp	0.067	0.074	9.84	<2.20E-16	0.240	0.219	1.84E-11
1,600 bp	0.052	0.061	13.62	<2.20E-16	0.137	0.129	2.61E-06

<sup>a</sup>% of reduction is calculated as the  $\pi_{nor}$  reduction in windows centered around nonsynonymous substitutions as compared with windows centered around synonymous substitutions.

<sup>b</sup>Mann–Whitney  $U$  test.

<sup>c</sup>Fisher’s exact test.





**FIG. 3.** Statistical significance for comparisons of  $\varepsilon_{\pi\text{nor}}$  of fixations associated with different biological categories. Upper and lower graphs are for comparisons using  $\varepsilon_{\pi\text{nor}}$  from linear regression and logistic regression, respectively. All  $P$  values were calculated using Mann–Whitney  $U$  test unless otherwise specified. Increased statistical significance is represented with darker color. Comparisons shown are (A) nonsynonymous substitutions (lower  $\varepsilon_{\pi\text{nor}}$ ) versus synonymous substitutions, (B) nonsynonymous substitutions of single-substitution genes (lower  $\varepsilon_{\pi\text{nor}}$ ) versus synonymous substitutions of single-substitution genes, (C) nonsynonymous substitutions of single-substitution genes (lower  $\varepsilon_{\pi\text{nor}}$ ) versus nonsynonymous substitutions of other genes, (D) synonymous substitutions of single-substitution gene versus synonymous substitutions of other genes (lower  $\varepsilon_{\pi\text{nor}}$ ), (E) nonsynonymous substitutions that changed amino acid chemical properties in different ways (Kruskal–Wallis test), (F) nonsynonymous substitutions that did not change amino acid charges versus nonsynonymous substitutions that changed amino acid charges (lower  $\varepsilon_{\pi\text{nor}}$ ), (G) nonsynonymous substitutions that increased amino acid acidity versus nonsynonymous substitutions that increased amino acid basicity (lower  $\varepsilon_{\pi\text{nor}}$ ), (H) nonsynonymous substitutions that reinforced the chemical property changes of the nearest amino acid substitutions on the same lineage (both on *D. melanogaster*) versus those compensated for these changes (lower  $\varepsilon_{\pi\text{nor}}$ ), (I) nonsynonymous substitutions that reinforced the polarities changes of the nearest amino acid substitutions on the same lineage (both on *D. melanogaster*) versus those compensated for these changes (lower  $\varepsilon_{\pi\text{nor}}$ ), and (J) nonsynonymous substitutions that reinforced the charge changes of the nearest amino acid substitutions on the same lineage (both on *D. melanogaster*) versus those compensated for these changes.

analyses seeking to detect adaptive amino acid divergence because of lack of statistical power. They are generally considered highly constrained and it is unknown whether the fixation process of nonsynonymous mutations in these genes is different from other amino acid substitutions. In our data set, there are 1,952 genes with only one amino acid substitution and, as expected, these genes have significantly lower dN/dS ratio than other genes (median of dN/dS: 0.041 [single-substitution] versus 0.0848 [other], Mann–Whitney  $U$  test,  $P < 10^{-16}$ ). For these single-substitution genes, windows centering on nonsynonymous substitutions have significantly lower  $\varepsilon_{\pi\text{nor}}$  than those centering on synonymous substitutions for most window sizes (figs. 2B and 3B; Mann–Whitney  $U$  test,  $P = 0.0003$  for 100-bp window and  $P < 10^{-4}$  for 200–1,600 bp windows [ $\varepsilon_{\pi\text{nor}}$  from linear regression];  $P < 10^{-6}$  for 50–400 bp windows and  $P = 0.0001$  for 800-bp window [ $\varepsilon_{\pi\text{nor}}$  from logistic regression]).

To investigate possible heterogeneity of hitchhiking effects of nonsynonymous fixations in slower versus faster evolving

proteins, we compared the heterozygosity associated with amino acid fixations in both types of genes. We found that windows centered on amino acid fixations in single-substitution genes have significantly lower  $\varepsilon_{\pi\text{nor}}$  than those in other genes (figs. 2B and 3C; Mann–Whitney  $U$  test,  $P < 0.006$  for 100–1,600 bp windows [ $\varepsilon_{\pi\text{nor}}$  from linear regression];  $P < 10^{-6}$  for 50–400 bp windows; and  $P = 0.02$  for 800-bp window [ $\varepsilon_{\pi\text{nor}}$  from logistic regression]). Selection against deleterious mutations can also lead to reduction in nearby linked variation (background selection [Charlesworth et al. 1993, 1995; Hudson and Kaplan 1995; Charlesworth 2012]). The lower  $\varepsilon_{\pi\text{nor}}$  around amino acid substitutions in single-substitution genes may simply reflect their greater functional constraint and thus a stronger influence of background selection. Under this hypothesis, windows centered on synonymous fixations in these single-substitution genes should have lower  $\varepsilon_{\pi\text{nor}}$  than those in other genes. However, we observed the opposite pattern: windows centered on synonymous fixations in single-substitution genes had higher  $\varepsilon_{\pi\text{nor}}$  than those of other genes (figs. 2B and 3D; Mann–Whitney  $U$  test,  $P < 0.05$  for 200–1,600 bp windows [ $\varepsilon_{\pi\text{nor}}$  from linear regression];  $P < 0.05$  for 50–200 bp windows [ $\varepsilon_{\pi\text{nor}}$  from logistic regression]). This result suggests that our observations cannot be explained by background selection.

One potential caveat associated with our observation is that substitutions in single-substitution genes tend to occur in smaller exons compared to substitutions in other genes (median of the size of exons substitutions located in: 615 bp [single-substitution genes] versus 746 bp [other genes], Mann–Whitney  $U$  test,  $P < 10^{-16}$ ). Previous studies suggested that because of the weaker effects of linked selection at the edge of exons, shorter exons have higher polymorphism than longer exons (Comeron and Kreitman 2002; Loewe and Charlesworth 2007). We used two strategies to address this issue. First, we controlled the effect of exon size on  $\varepsilon_{\pi\text{nor}}$  by comparing substitutions that are located in exons with similar sizes (supplementary fig. S2, Supplementary Material online, and explanations therein). Second, we used linear regression to jointly test the effect of being in single-substitution gene and the size of exons (supplementary table S2, Supplementary Material online, and explanations therein). Both analyses supported the conclusion that amino acid fixations in single-substitution genes have lower nearby neutral variation, whereas synonymous substitutions showed the opposite pattern.

### Local Reduction in Polymorphism Yields Distinct Gene Ontology Enrichment from Other Signatures of Positive Selection

Most previous functional enrichment analyses for genes putatively experiencing positive selection were based on genes with excess of amino acid fixations under the McDonald–Kreitman test framework (Begun et al. 2007; Langley et al. 2012). Under this framework, mainly genes experienced recurrent directional selection while retaining sufficient polymorphism will have enough statistical power to detect

positive selection. Several categories of genes, such as single-substitution genes, will be excluded from this approach. Investigation of possible hitchhiking effects of nonsynonymous fixations in genes with low rates of amino acid substitution may thus contain additional important information about adaptive protein evolution. Assuming classes of amino acid substitutions associated with lower nearby neutral polymorphism experienced stronger positive selection, functional enrichment analysis based on population genetics of these regions may provide a complementary view of biological functions influenced by adaptive protein evolution. To control the across-genome variation of other factors that are known to influence the level of neutral variation, we used  $\varepsilon_{\pi_{nor}}$  to perform our GO enrichment analysis.

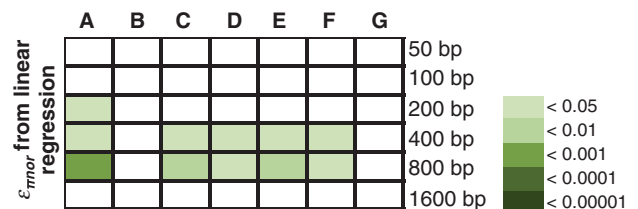
We used our window-based GO enrichment analysis and permutations to determine whether the  $\varepsilon_{\pi_{nor}}$  associated with nonsynonymous fixations of each GO term is lower than expected (see Materials and Methods). We reported GO terms that are significant ( $P < 0.05$ ) for at least three window sizes after multiple-test correction. For  $\varepsilon_{\pi_{nor}}$  from linear regression, *biological functions* related to mitosis (mitotic cell cycle, regulation of the rate of mitosis, mitotic spindle organization, and cytokinesis), DNA metabolic process, movement within cell (including transportation of mRNA out of nucleus), gene silencing (including silencing at chromatin, transcriptional, and translational level), and double-strand break repair, *cellular locations* of chromatin, centromeric region of condensed chromosome, nucleus, and nuclear pore, and *molecular processes* related to nucleic acid-binding (DNA binding and RNA binding), transcription by core RNA polymerase, and GTPase bindings showed reduced average  $\varepsilon_{\pi_{nor}}$ . Enrichment analysis based on  $\varepsilon_{\pi_{nor}}$  from logistic regression identified several similar categories (movement within cell, nucleic acid metabolism, and GTPase bindings) and additional categories (regulation of transcription and translation, pole cell fate determination, meiotic chromosome organization [biological function], pole plasm differentiation [cellular location], and zinc ion binding, sulfite bond formation, and protein dimerization [molecular process]). Interestingly, several of these categories are involved in the basic cellular processes and have previously been considered highly constrained. In addition, the majority of these categories were not identified by the GO enrichment analysis of genes with significant McDonald–Kreitman tests using the same data set (Langley et al. 2012), which reported functions related to male and female reproduction, stem cell maintenance, and neural and neuromuscular junction development.

### Substitutions Changing Amino Acid Chemical Properties Have Greater Locally Reduced Polymorphism

There are four major amino acid R groups that differ in their chemical properties: nonpolar, uncharged polar, acidic, and basic. According to whether the substituted amino acid has an R group with different chemical properties from that of the ancestral amino acid, we classified amino acid substitutions into four categories: preserved amino acid charge/polarity

(“no change”), changed from nonpolar to polar amino acid or vice versa (“change polarity”), changed from charged (acidic or basic) to polar amino acid or vice versa (“change polarity and charge”), and changed from nonpolar to charged amino acid, from charged to nonpolar amino acid, or from basic to acidic amino acid or vice versa (“change charge”; see Materials and Methods for details). The observed numbers of each type of substitution in our data set decreases with the above order (6,940 [44.50%, “no change”], 4,456 [28.59%, “change polarity”], 2,635 [10.91%, “change polarity and charge”] and 1,556 [9.98%, “change charge”]), which is consistent with the general findings that radical substitutions are less common.

Although  $\varepsilon_{\pi_{nor}}$  in windows surrounding amino acid substitutions with different R groups (nonpolar, polar, basic, and acidic) are not significantly different (Kruskal–Wallis test,  $P > 0.05$  for all window sizes and both regression methods),  $\varepsilon_{\pi_{nor}}$  around amino acid substitutions that led to different changes in R group chemical properties is significantly different (figs. 2C and 3E; Kruskal–Wallis test,  $P < 0.02$  for 200–800 bp windows [ $\varepsilon_{\pi_{nor}}$  from linear regression];  $P < 0.05$  for 200–1,600 bp windows [ $\varepsilon_{\pi_{nor}}$  from logistic regression]). The median of  $\varepsilon_{\pi_{nor}}$  is lowest for “change charge” amino acid fixations, followed by “change charge and polarities,” “change polarity,” and, lastly, “no change” amino acids. Pairwise comparisons between four categories of substitutions found that the heterogeneity in nearby neutral variation mainly comes from the differences between substitutions that resulted in changes in R group charges (“change charge” and “change charge and polarities”) versus those that did not (“no change” and “change polarity”; pairwise Mann–Whitney  $U$  tests [with Holm–Bonferroni multiple test correction] are significant for  $\varepsilon_{\pi_{nor}}$  from linear regression, fig. 4). Indeed, the difference between amino acid substitutions that led to changes in R group charges and those that did not is statistically significant (fig. 3F; Mann–Whitney  $U$  test,  $P < 0.002$  for 400–800 bp windows and  $P < 0.05$  for 1,600-bp window [ $\varepsilon_{\pi_{nor}}$  from linear regression]; no significant results for  $\varepsilon_{\pi_{nor}}$  from



**FIG. 4.** Statistical significance for comparisons of  $\varepsilon_{\pi_{nor}}$  (from linear regression) of amino acid fixations that changed R group chemical properties differently. (A)  $P$  values of Kruskal–Wallis test for comparing nonsynonymous substitutions that changed amino acid chemical properties in different ways (the same as fig. 3E). (B–G) Pairwise Mann–Whitney  $U$  test  $P$  values with Holm–Bonferroni multiple-test correction for comparisons between (B) “no change” versus “change polarity,” (C) “no change” versus “change charge” (lower  $\varepsilon_{\pi_{nor}}$ ), (D) “no change” versus “change charge and polarity” (lower  $\varepsilon_{\pi_{nor}}$ ), (E) “change polarity” versus “change charge” (lower  $\varepsilon_{\pi_{nor}}$ ), (F) “change polarity” versus “change charge and change polarity” (lower  $\varepsilon_{\pi_{nor}}$ ), and (G) “change charge” versus “change charge and polarity.”

logistic regression). A confounding factor for our observation is that nonsynonymous substitutions that led to changes in R group charges tend to be in genes with greater dN (median of dN/dS: 0.13 [no R group charge changes] and 0.20 [with R group charge changes]; Mann–Whitney  $U$  test,  $P < 10^{-16}$ ), which are known to have lower overall level of synonymous polymorphism (Ometto et al. 2005; Andolfatto 2007; Begun et al. 2007; Langley et al. 2012). Interestingly, among substitutions that changed amino acid charges, fixations leading to increased basicity (from acidic to uncharged [nonpolar and polar], acidic to basic, or uncharged to basic amino acids) have lower nearby  $\varepsilon_{\pi_{nor}}$  than those increasing acidity (figs. 2D and 3G; Mann–Whitney  $U$  test,  $P < 0.03$  for 400–800-bp window [ $\varepsilon_{\pi_{nor}}$  from linear regression]; no significant results for  $\varepsilon_{\pi_{nor}}$  from logistic regression). This pattern is unlikely to be driven by nonrandom distribution of these two classes of substitutions among genes with different rates of evolution (median of dN/dS: 0.200 [increase basicity] and 0.190 [decrease basicity]; Mann–Whitney  $U$  test,  $P > 0.05$ ).

### Amino Acid Substitutions Compensating for Nearby Chemical Changes Have Lower Nearby Polymorphism than Those Reinforcing Such Changes

The fixation of individual amino acid substitutions might not only lead to changes in important functional sites of a protein but also lead to changes in the overall protein chemical properties (charges and polarities). It is interesting to investigate whether, conditioning on the presence of an adjacent fixation changing amino acid polarity or charge, the substitution process of a nonsynonymous mutation varies according to whether it maintains the ancestral polarity or charge of the protein (compensatory) or reinforces the change in polarity or charge (reinforcing). Amino acid substitutions with nearest neighbor compensating the polarity or charge change have lower  $\varepsilon_{\pi_{nor}}$  than substitutions with reinforcing neighbors (figs. 2E and 3H; Mann–Whitney  $U$  test,  $P < 0.004$  for 200–400 bp windows and  $P < 0.05$  for 800–1,600 bp windows [ $\varepsilon_{\pi_{nor}}$  from linear regression]; result not significant for  $\varepsilon_{\pi_{nor}}$  from logistic regression). This observation did not result from nonrandom distribution of these types of substitutions among genes with different rates of protein evolution (median of dN/dS: 0.176 [compensatory] and 0.172 [reinforcing]; Mann–Whitney  $U$  test,  $P > 0.05$ ). We only observed these differences when the two nearest substitutions were both fixed on the *D. melanogaster* lineage but not so when one substitution occurred in *D. melanogaster* and the nearest substitution occurred in *D. simulans* (Mann–Whitney  $U$  test,  $P > 0.05$ ). This suggested that stronger reduction in variation only occurs when the two compensatory substitutions happened in the same protein.

These compensatory and reinforcing substitutions were further classified according to whether they changed amino acid polarity or charge. In both cases, the compensatory substitutions still had lower  $\varepsilon_{\pi_{nor}}$  than reinforcing substitutions (fig. 2E). However, these differences were only significant for substitutions that changed polarities (fig. 3I; Mann–Whitney  $U$  test,  $P < 0.005$  for 200–400 bp windows and  $P < 0.02$  for

800–1,600 bp windows [ $\varepsilon_{\pi_{nor}}$  from linear regression]; result not significant for  $\varepsilon_{\pi_{nor}}$  from logistic regression) but not for substitutions that changed charges (fig. 3J; Mann–Whitney  $U$  test,  $P > 0.05$ ). This may be attributable to the much smaller number of observations of amino acids changing charges (total number of observations [including substitutions with zero and nonzero  $\pi_{nor}$ ] change polarities: 360 [compensatory] and 494 [reinforcing]; change charges: 40 [compensatory] and 41 [reinforcing]).

## Discussion

Conditioning on coding substitutions on the *D. melanogaster* branch, we found significant heterogeneity in the level of nearby synonymous polymorphism that we attribute to variation in strength of positive selection. This interpretation is based on the assumption that the time since fixation and the variability of strength and directionality of selection through time due to environmental fluctuations (Takahata et al. 1975; Gillespie 1994; Mustonen and Lässig 2007) are similar for different categories of substitutions. We also assumed that the proportion of substitutions in the *D. melanogaster* genome that were fixed from newly arising mutations instead of pre-existing segregating alleles (Orr and Betancourt 2001; Hermisson and Pennings 2005; Prezeworski et al. 2005) is homogeneous across classes of substitutions. In addition, it is worth noting that our approach mainly detects reduction in polymorphisms from beneficial substitutions that were fixed in the recent past (fewer than  $Ne$  [the effective population size] generations ago [Kaplan et al. 1989; Przeworski 2002]).

Local reductions in heterozygosity are significantly greater for nonsynonymous fixations than for synonymous fixations even on a very small physical scale, suggesting that our approach can be an effective alternative to other evolutionary genetic analyses in detecting variation in the relative effect of positive selection. The spatial scale at which hitchhiking effects are analyzed has a significant effect on the range of strengths of positive selection that can be inferred (Andolfatto 2007; Macpherson et al. 2007; Sella et al. 2009). We used windows that are as small as possible because our main focus is to understand the impact of positive selection on the population genetics of sites very near to the focal substitution. Our result suggests that hitchhiking effects may occur on the scale of a few hundred base pairs or less, which is consistent with other work suggesting that many selected substitutions may have relatively small selection coefficients (Andolfatto 2007; Sattath et al. 2011; Schneider et al. 2011; but see Macpherson et al. 2007). Even though we mainly concentrated on the heterogeneity of hitchhiking effects associated with coding substitutions, this approach can be readily extended to fixation in noncoding sequences.

Several scenarios other than genetic hitchhiking could also lead to the observed heterogeneity in linked synonymous variation around different categories of substitutions. A nonrandom distribution of biased gene conversion (Nagylaki 1983a, 1983b; Marais 2003) could potentially generate these correlated patterns of reduced polymorphism. Note that the length of gene conversion tracks in *Drosophila* (several



hundred base pairs [Hilliker et al. 1994; Comeron et al. 2012; Miller et al. 2012]) is roughly the physical scale of our study. However, the definitive evidence for the significant role of biased gene conversion in *Drosophila* is still lacking. In addition, the distribution of the biases of gene conversion would need to be highly correlated with our a priori categorization of substitutions to lead to our observations, which seems highly unlikely. Purifying selection against deleterious mutations, known as background selection (Charlesworth et al. 1993, 1995; Hudson and Kaplan 1995; Charlesworth 2012), is a more likely alternative that could contribute to the observed variation in the level of polymorphism around different substitutions. In humans, studies comparing the diversity around nonsynonymous and synonymous fixations have suggested that most of the troughs in polymorphism around amino acid substitutions could be attributable to background selection instead of positive selection (Hernandez et al. 2011), even though similar analysis (Sattath et al. 2011) and other genome-wide studies in *Drosophila* concluded differently. Empirically distinguishing the influence of genetic hitchhiking from that of background selection is not always straightforward because the underlying key parameters (such as rate of deleterious mutations and beneficial mutations) are not known (Andolfatto 2001; Stephan 2010; Cutter and Payseur 2013). If the effects of background selection vary among our categories of substitutions, it might explain some of our observed patterns (but see later).

Perhaps our strongest finding is that amino acid substitutions in slowly evolving genes, on average, showed stronger hitchhiking effects than those in fast evolving genes. This would be expected to occur if the fitness benefits of nonsynonymous substitutions in highly constrained genes are on average larger than those in genes under recurrent directional selection, suggesting that some proteins adapt by few mutations with large effects, whereas others adapt through multiple mutations with weaker effects. Background selection is unlikely a primary cause for this observation because the same analyses of synonymous substitutions in the same sets of genes found the opposite pattern, which contradicts the prediction of background selection. Another alternative that might explain our observations is that the influence of selective interference (Hill and Robertson 1966; Felsenstein 1974), which is known to weaken the effect of genetic hitchhiking on linked neutral variation (Barton 1995; Kim and Stephan 2000, 2003; Hartfield and Otto 2011), might vary for these two categories of substitutions. Although the substitution processes of beneficial mutations in fast-evolving genes are most prone to selective interference from other positively selected mutations, those in slow-evolving genes are subjected to strong selective interference from purifying selection. Further modeling would be required to evaluate how variation in the relative contribution of selective interference from positive and negative selections may lead to systematic biases in our observation.

In addition to the influence of single amino acid changes, the biochemical interactions among amino acid residues within a protein are crucial in maintaining the higher order

structures, stabilities and chemical properties of proteins (DePristo et al. 2005). We found that substitutions compensating for the polarity or charge change of the nearest amino acid substitution showed stronger hitchhiking effects than substitutions reinforcing the polarity or charge change. The hitchhiking effects associated with compensatory amino acid substitutions could result from selection on the second substitution that compensates the pleiotropic deleterious effect (on overall protein polarities/charges in our case) of the first positively fixed amino acid change (Kulathinal et al. 2004). On the other hand, weakly deleterious mutations can drift to fixation, and the subsequent substitutions that restore the fitness impact caused by the polarity/charge changes of the first substitutions will be positively selected for (Gillespie 1984; Hartl and Taubes 1996; Osada and Akashi 2012). Note, however, previous theoretical analysis indicates that this scenario may be rare and that simultaneous substitution of compensatory substitutions may be common (Innan and Stephan 2001). Nevertheless, with either scenarios of sequential fixation of mutations, we could not distinguish which substitution happened first and had to include both of them in the analyses. This is expected to reduce the effect we could observe and the actual strength of positive selection on compensatory substitutions could be stronger. After further categorizing changes into those affecting charge and those affecting polarity, the signals were still statistically significant only for compensatory substitutions that maintained polarity, whereas previous studies based on between-species divergence only found evidence supporting selection for compensatory evolution of amino acid substitutions that maintained charges (Neher 1994; Fukami-Kobayashi et al. 2002; Callahan et al. 2011). Our observed statistical insignificance may be attributable to the small number of observed substitutions that confer compensatory charge changes in one species. On the other hand, the new observation of hitchhiking effects for amino acid substitutions compensatory for polarity changes suggests that our approach may be able to detect subtler differences in the relative impact of selection than methods based on fixed differences between species or simply reflects the heterogeneity of substitution processes across the phylogeny. The importance of maintaining protein polarities is suggested by the heavy dependence of protein stability on the retention of the hydrogen bonds formed between polar side chains of amino acids (Takano et al. 1999; Pace 2001) and on the overall amino acid volumes (Altschuh et al. 1987; Atchley et al. 2000; Fares and Travers 2006; Yeang and Haussler 2007) in the core of proteins. However, unlike compensatory charge changes, whose biochemical models have been well proposed and studied (Kumar and Nussinov 2002), the biochemical basis for compensatory polarity change has not been well formulated.

At a given rate of crossing over, the reduction in neutral variation around a substitution depends on the sojourn time of the mutation in the population. Theoretical predictions for how the genealogy of neutral linked sites is perturbed by the fixation process of beneficial mutations are widely known and discussed (Kaplan et al. 1989; Braverman et al. 1995; Barton 1998; Fay and Wu 2000; Kim and Stephan 2002). However, it

must be noted that, the sojourn time for the substitutions of mildly deleterious mutations will be very similar to that of beneficial mutations having the same magnitude of selection coefficient (Maruyama 1974). Therefore, the trough in polymorphism associated with the fixation of a deleterious mutation would be indistinguishable from that of a beneficial mutation with equal size of selection coefficient. Although the probability of fixing adaptive mutations is much greater than that for deleterious mutations, especially in species with large effective population size, it is also true that such favored mutations are less frequent. Furthermore, it is unclear how the proportion of fixations that came from beneficial or deleterious mutations varies according categories of substitutions. Our observed stronger reduction in nearby neutral variation of nonsynonymous substitutions that are in single-substitution genes or that changed the charges of amino acids could reflect the fact that these amino acid mutations are expected to have stronger deleterious effects. Whether the reduction of local variation is the result of fixing beneficial or deleterious mutations, our observations would indicate that the fitness distribution of newly arising mutations of functionally more constrained sites/genes is coarser than that of less constrained sites/genes.

Based on our analyses of patterns of polymorphism surrounding coding substitutions on the *D. melanogaster* lineage, it is clear that the dynamics of nonsynonymous substitutions lead to local reductions. Hitchhiking on this small scale is the simplest and best-supported interpretation. The differences in local hitchhiking associated with chemically distinct classes of nonsynonymous substitutions (especially compensatory polarity changes) extend earlier conclusions based solely on divergence. Most importantly, the unique aspect of this approach to detect the impact of selection is its weak dependence on the numbers of recent nonsynonymous substitutions, thus affording an opportunity to make inferences about highly conserved (slowly evolving) genes. However, alternative mechanisms that we currently are not included in our analyses may also contribute to the observed heterogeneity in variation. This situation points to the importance of further development of theoretical models that jointly analyze mechanisms that are often considered separately on their influence on the level of variation.

## Materials and Methods

### Estimation of Polymorphism around Substitutions on the *D. melanogaster* Branch

We used 43 *D. melanogaster* genomes (Langley et al. 2012) and six *D. simulans* genomes (Begun et al. 2007) to call fixed nonsynonymous and synonymous coding differences between the two species. Bases with quality lower than 30 were treated as missing data. Only sites with allelic coverage (number of individuals with data at a particular site) above 29 in *D. melanogaster* samples and above three in *D. simulans* samples are included in the analysis. The *D. yakuba* allele was used in a parsimony framework to infer fixations on the *D. melanogaster* lineage. The multispecies alignment we

used is from Langley et al. (2012) and included *D. melanogaster*, *D. simulans*, *D. yakuba*, and *D. erecta*.

The 43 *D. melanogaster* genomes from Langley et al. (2012) consist of six African (Malawi) and 37 North American strains (NC). Previous studies have identified sub-Saharan Africa as the potential ancestral range of *D. melanogaster* (Lachaise et al. 1988; Veuille et al. 2004; Pool and Aquadro 2006). This suggests that African *D. melanogaster* populations are less likely to be disturbed by the recent out-of-Africa demographic history of the species, which is known to generate population genetic signals similar to that of selective sweeps (Barton 1998; Wall et al. 2002; Jensen et al. 2005; Thornton et al. 2007). Therefore, estimates of heterozygosity around each coding substitution on the *D. melanogaster* branch were made using the six African *D. melanogaster* genomes. Nucleotide heterozygosity ( $\pi$ ) was estimated as average pairwise divergence (Nei 1987) across all 4-fold degenerate sites that were within 25, 50, 100, 200, 400, and 800 bp of the focal substitution (referred to as window size 50, 100, 200, 400, and 1,600 bp, respectively). Sites with allelic coverage lower than four were removed from the estimation of  $\pi$ . Note this is a different criterion from that of calling the fixed differences between *D. melanogaster* and *D. simulans*, which used all *D. melanogaster* alleles and required at least 30 out of 43 individuals with data at a site. To correct for variation in the mutation rates, we divided  $\pi$  in each window by the average divergence of 4-fold degenerate sites between *D. simulans* (the mosaic assembly [Begun et al. 2007]) and *D. yakuba* for the same window, a ratio we referred to as “normalized  $\pi$ ” and denoted as  $\pi_{nor}$ . We used *D. simulans* rather than *D. melanogaster* to avoid the contribution of *D. melanogaster* within species polymorphism to divergence. Because *D. simulans* and *D. yakuba* are closely related to *D. melanogaster*, we assumed that the variation in mutation rate across the genome is similar among these species. The reported evidence for selection on codon usage bias in these species (Akashi 1995, 1996; McVean and Vieira 2001; Akashi et al. 2006; Nielsen et al. 2007) might invalidate this assumption. However, unless there is a major lineage  $\times$  gene interaction, selection on codon usage bias is unlikely to compromise our analysis, especially given that most selection on codon usage bias is likely to be weak ( $N_s \sim 1$ ) and thus unlikely to significantly influence signals of positive selection on amino acids. Indeed, we found that Fop (frequency of optimum codon) of *D. melanogaster*, *D. simulans*, and *D. yakuba* lineages are highly correlated (Spearman’s rank  $\rho = 0.96$  [*D. melanogaster* vs. *D. simulans*], 0.91 [*D. simulans* vs. *D. yakuba*], 0.91 [*D. melanogaster* vs. *D. yakuba*],  $P$  value  $< 10^{-16}$  for all).

Windows that had fewer than five sites included in the estimation of either heterozygosity or divergence, windows with zero divergence, or windows located in genomic region with zero recombination rate (see later) were removed from the analysis. For windows centering on coding substitutions that are near the edge of exons or for windows that are larger than the length of exons, the distal part of the window will be noncoding sequences that are not included in the estimation of variation or divergence. The average distance between focal substitutions and 4-fold degenerate sites will, accordingly, be

nonhomogeneous across windows. To investigate the influences of this on our observation, we performed all analyses excluding substitutions whose windows contain noncoding sites and found consistent results (supplementary fig. S7, Supplementary Material online), suggesting that the variability of average distance between focal substitution and 4-fold degenerate sites does not substantially bias our observations.

### Accounting for Factors Correlated with $\pi_{nor}$

Variables that are known or expected to be correlated with the level of polymorphism but are not our main interest include (table 1): recombination rate in the region near a focal substitution (recomb, cM/Mbp), the number of nonfocal nonsynonymous substitutions ( $n_{ns}$ ) and synonymous substitutions ( $n_s$ ) that are in the same window as a focal substitution, the physical distance from a focal substitution to the nearest nonsynonymous ( $d_{ns}$ , bp) or synonymous ( $d_s$ , bp) substitution, the physical distance from a focal substitution to the nearest exon–intron boundary ( $d_{intron}$ , bp), and the physical distance from a focal substitution to the edge of UTRs ( $d_{5UTR}$  and  $d_{3UTR}$ , bp), and GC content of the 4-fold degenerate sites in a window (GC). We considered variables related not only to nonsynonymous but also to synonymous substitutions on the *D. melanogaster* branch because of the expected reduction in nearby polymorphism at the time of substitution even when the mutation is selectively neutral (Tajima 1990).

We used recombination rate (cM/Mbp) estimated by Comeron et al. (2012), which estimated recombination rate at 100 kb scale. Because recombination rate estimates at corresponding scale to our analyses are still not available, we used the physical distance (bp) as a proxy for the genetic distance of variables that we included ( $d_{ns}$ ,  $d_s$ ,  $d_{intron}$ ,  $d_{5UTR}$ , and  $d_{3UTR}$ ). The positions of introns and UTRs were defined according to *D. melanogaster* reference genome annotation 5.16, see supplementary figures S3–S5, Supplementary Material online, for the distribution of these factors and supplementary table S1, Supplementary Material online, for the Spearman's rank  $\rho$  of each factor with  $\pi_{nor}$ .

We performed regression analysis on  $\pi_{nor}$  with the above variables as predictors and used the deviation from the regression model (residuals of  $\pi_{nor}$ , denoted as  $\varepsilon_{\pi_{nor}}$ ) instead of  $\pi_{nor}$  for subsequent analyses. The distribution of  $\pi_{nor}$  is far from normal: an overall exponential distribution (supplementary fig. S1A, Supplementary Material online) and a gap between zero and nonzero  $\pi_{nor}$  (supplementary fig. S1B, Supplementary Material online). Therefore, we used two regression analyses to acquire  $\varepsilon_{\pi_{nor}}$ . 1) Using only nonzero  $\pi_{nor}$ : The nonzero  $\pi_{nor}$  is highly nonnormal (supplementary fig. S6A, Supplementary Material online), which will lead to problems in interpreting the residuals of the least-squares linear regression model. Accordingly, we replaced each  $\pi_{nor}$  with the corresponding quantile value of a normal distribution (denoted as  $\pi_{quan}$ ) and performed linear regression on  $\pi_{quan}$ . 2) Using all  $\pi_{nor}$ : We performed logistic regression on zero and nonzero  $\pi_{nor}$  coded as “0” and “1,” respectively

(denoted as  $\pi_{0,1}$ ). Even though the effects of several of these factors on polymorphism have been theoretically investigated before (Kaplan et al. 1989; Barton 1998; Comeron and Kreitman 2002), there have not been models considering all their effects jointly. We took an empirical approach and first did regression analysis that included only one predictor at a time to determine the regression model (linear, quadratic, or logarithmic; see supplementary figs. S8 and S9 [and explanations therein] and table S3, Supplementary Material online). We chose the model that has the largest  $R^2$  (linear regression) or smallest AIC (Akaike information criterion; logistic regression). We then additively combined the individually determined regression model of all variables and used backward model selection based on AIC (implemented in R) to select for the most appropriate model. One exception is, for 50-bp window size linear regression, recomb was not chosen to be included in the regression model based on backward model selection. However, we still include recomb in the regression model because of our prior knowledge of the relationship between recombination rate and heterozygosity. For factors that perform similarly well in several regression models (supplementary figs. S8 and S9, and table S3, Supplementary Material online), we performed regression using additional models. We found that our results, particularly those based on  $\varepsilon_{\pi_{nor}}$  from the linear regression, are generally insensitive to the regression model chosen (see supplementary figs. S10 and S11, Supplementary Material online, for the *P* values of comparisons using  $\varepsilon_{\pi_{nor}}$  from other regression models).

The regression models we used (before performing model selection) are as follows:

- 1) substitutions with nonzero  $\pi_{nor}$  (linear regression):  

$$\pi_{quan} \sim \text{recomb} + \text{recomb}^2 + n_{ns} + n_s + d_{ns} + d_{ns}^2 + d_s + d_s^2 + d_{intron} + d_{intron}^2 + d_{5UTR} + d_{5UTR}^2 + d_{3UTR} + \text{GC}$$
- 2) all substitutions (logistic regression):  

$$\text{logit } p \sim \text{recomb} + \text{recomb}^2 + n_{ns} + n_s + d_{ns} + d_{ns}^2 + d_s + d_s^2 + \log(d_{intron}) + d_{5UTR} + d_{5UTR}^2 + \text{GC},$$

where  $\text{logit } p$  is the log odds of having  $\pi_{0,1} = 1$ . See supplementary tables S4 and S5, Supplementary Material online, for the chosen regression model based on backward selection for each window size, statistical significance of the regression coefficients, and proportion of variation explained of the linear regression model ( $R^2$ ).

### Categorization of Substitutions

Substitutions on the *D. melanogaster* branch were categorized to functional classes (e.g., nonsynonymous vs. synonymous) according to *D. melanogaster* reference genome annotation version 5.16. We only analyzed  $\pi_{nor}$  of coding substitutions that are included in the conservative gene set of Langley et al. (2012), which are genes whose *D. melanogaster*, *D. simulans*, and the outgroup alleles all have canonical (i.e., the same as the reference annotation) initiation codons, splice junctions, and stop codons and at least 100 bp of high-quality data (bases with Q30 or above with no gaps). Genes that do not pass these filtering criteria either have low sequencing quality or have potentially experienced relaxed selection (Langley



et al. 2012; Lee and Reinhardt 2012). Lineage-specific divergences for individual genes were estimated by maximum likelihood using PAML version 4 (Yang 2007) on the branch leading to *D. melanogaster*, using *D. yakuba* as the outgroup. Genes with fewer than 100 sites included in the PAML analysis or with a dS value smaller than 0.0001 were excluded from the analysis. On the basis of the chemical properties of the amino acid R groups, we categorized amino acid substitutions into following four groups: no change (from nonpolar to nonpolar, polar to polar, basic to basic, or acidic to acidic R group), change polarity (from nonpolar to polar or polar to nonpolar R group), change polarity and charge (from polar to basic, polar to acidic, basic to polar and acidic to polar R group), and change charge (from nonpolar to basic, nonpolar to acidic, basic to nonpolar, acidic to nonpolar, basic to acidic, and acidic to basic R group).

### GO Enrichment Analysis

We combined the full GO list and the GO slim list (from <http://www.geneontology.org/>, last accessed December 31, 2013) for gene ontology annotation. For each GO term, we calculated the average of  $\varepsilon_{\pi_{nor}}$  for nonsynonymous substitutions in genes associated with that GO term. We only considered GO terms associated with at least 10 nonsynonymous substitutions. The *P* value of each GO term was determined by sampling uniformly without replacement an equivalent number of nonsynonymous substitutions, calculating the average of  $\varepsilon_{\pi_{nor}}$  of windows surrounding them and repeating this process 10,000 times to obtain the empirical distribution of *P* values for any random subset of nonsynonymous substitutions of equal size. The analysis was done separately for  $\varepsilon_{\pi_{nor}}$  from linear regression and logistic regression. To correct for multiple testing, we used the *qvalue* package for R (Dabney and Storey 2010) and false-discovery rate of 5%. We reported GO categories that are significant for at least three window sizes.

### Supplementary Material

Supplementary tables S1–S5 and figures S1–S11 are available at *Molecular Biology and Evolution* online (<http://www.mbe.oxfordjournals.org/>).

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