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Title

Tracing the movement of electronic cigarette flavor chemicals and nicotine from refill fluids to aerosol, lungs, exhale, and the environment

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26 **ABSTRACT**

27 **Background:** Given the high concentrations of nicotine and flavor chemicals in EC (electronic
28 cigarette) fluids, it is important to determine how efficiently they transfer to aerosols, how well
29 they are retained by users (exposure), and if they are exhaled into the environment where they
30 settle on surfaces forming ECEAR (EC exhaled aerosol residue).

31 **Objectives:** To quantify the flavor chemicals and nicotine in refill fluids, inhaled aerosols, and
32 exhaled aerosols. Then deduce their retention and contribution to ECEAR.

33 **Methods:** Flavor chemicals and nicotine were identified and quantified by GC-MS in two refill
34 fluids, smoking machine-generated aerosols, and aerosols exhaled by 10 human participants
35 (average age 21; 7 males). Machine generated aerosols were made with varying puff durations
36 and two wattages (40 and 80). Participants generated exhale *ad libitum*; their exhale was
37 quantified, and chemical retention and contribution to ECEAR was modeled.

38 **Results:** “Dewberry Cream” had five dominant (≥ 1 mg/ml) flavor chemicals (maltol, ethyl
39 maltol, vanillin, ethyl vanillin, furaneol), while “Cinnamon Roll” had one (cinnamaldehyde).
40 Nicotine transferred well to aerosols irrespective of topography; however, transfer efficiencies of
41 flavor chemicals depended on the chemical, puff volume, puff duration, pump head, and EC
42 power. Participants could be classified as “mouth inhalers” or “lung inhalers” based on their
43 exhale of flavor chemicals and nicotine and retention. Lung inhalers had high retention and
44 exhaled low concentrations of EC chemicals. Only mouth inhalers exhaled sufficient
45 concentrations of flavor chemicals/nicotine to contribute to chemical deposition on
46 environmental surfaces (ECEAR).

47 **Conclusion:** These data help distinguish two types of EC users, add to our knowledge of
48 chemical exposure during vaping, and provide information useful in regulating EC use.

49 **Key words:** Electronic cigarettes, nicotine, flavor chemicals, human exposure, retention,
50 environmental contamination

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52 **INTRODUCTION**

53 Electronic cigarettes (ECs) are battery powered nicotine delivery devices that produce an
54 inhalable aerosol. The battery heats a metal coil(s) surrounded by a cotton wick saturated with
55 fluid. The user then inhales aerosol usually containing nicotine, propylene glycol (PG), glycerol
56 (G), flavor chemicals, metals, particulate matter, and volatile organic chemicals (VOCs)
57 (Goniewicz et al., 2013; Goniewicz et al., 2014; Trehy et al., 2011; Vansickel et al., 2018; Lerner
58 et al., 2015; Pellegrino et al., 2012; Williams et al., 2013). The VOCs include toxic aldehydes,
59 such as formaldehyde and acrolein, that are produced by thermal dehydration of glycerin and/or
60 glycols (McAuley et al., 2012; Uchiyama et al., 2013). Many EC devices are customizable and
61 allow the user to vary the voltage, wattage, and amperage (Bitzer et al., 2017), which can alter
62 the transfer of fluid chemicals to the aerosol (Zhao et al., 2016) and may also increase the
63 production of toxic reaction products (Logue et al., 2017).

64 The possible effects of EC use on human health have been reviewed (Pisinger and Døssing,
65 2014; Gotts et al., 2019), and recent infodemiological data show the occurrence of health issues
66 in EC users over the last 7 years (Hua et al., 2020). The relationship between reported health and
67 flavor chemicals/nicotine is of interest due to their frequent use at high concentrations (Behar et
68 al., 2018; Omaiye et al., 2019; Davis et al., 2015; Hua et al., 2019) and reported toxicity. For
69 example, vanillin, ethyl vanillin, and ethyl maltol are often used in EC products (Khlystov and
70 Samburova, 2018; Tierney et al., 2015) and are cytotoxic to human pulmonary fibroblasts in the
71 MTT assay (Behar et al., 2018). Ortho-vanillin and maltol increased secretion of IL-8 from

72 BEAS-2B cells and decreased barrier function in human bronchial epithelial cells exposed *in*
73 *vitro* (Gerloff et al., 2017). Flavor chemicals in aerosolized refill fluids (cinnamaldehyde,
74 vanillin, and ethyl vanillin) were toxic to CALU3 cells after five puffs and caused dose-
75 dependent decreases in cell viability (Rowell et al., 2017). Pure menthol, when aerosolized in a
76 cloud chamber, increased mitochondrial protein oxidation, expression of the antioxidant enzyme
77 SOD2, and activation of NF- κ B, in air-liquid interface cultures of BEAS-2B (Nair et al., 2020).
78 Some EC flavor chemicals are known to damage human lung tissue. For example, inhalation of
79 diacetyl leads to bronchiolitis obliterans, a serious and irreversible lung disease (Allen et al.,
80 2016). Although not directly linked to flavor chemicals/nicotine, vaping does cause e-cigarette or
81 vaping product use-associated lung injury (EVALI) (Balmes, 2019) and has been associated with
82 COVID-19, which has a higher probability of occurring in those who have used ECs (Wang et
83 al., 2020).

84 While most research focus has been on inhalable aerosols, EC users also exhale aerosol that
85 settles on indoor surfaces where it accumulates as EC exhaled aerosol residue (ECEAR).
86 ECEAR contains nicotine, tobacco specific nitrosamines (TSNAs), solvents, and particles (Son
87 et al., 2020; Khachatoorian et al., 2018; Bush and Goniewicz, 2015; Khachatoorian et al., 2019;
88 Goniewicz and Lee, 2015; Sempio et al., 2019). ECEAR chemicals increased in concentration in
89 a vape shop over a month-long period of monitoring, and concentrations were highest in heavily
90 used areas (Khachatoorian et al., 2019). An EC user's living room also had residue containing
91 nicotine and tobacco alkaloids, albeit at a lower concentration than the vape shop. ECEAR can
92 also accumulate away from its site of origin. Nicotine, other alkaloids, and TSNAs transferred
93 from a vape shop in a mini mall to an adjacent business where they deposited on paper and
94 cotton towels (Khachatoorian et al., 2018). As far as we know, no studies have looked at flavor

95 chemicals in ECEAR, even though their concentrations are high in many in refill fluids (Hua et
96 al., 2019). The effects of ECEAR on human health are unknown, but its accumulation in indoor
97 environments presents the opportunity for active and passive exposure.

98 Given the high concentrations of nicotine and flavor chemicals in EC fluids and their
99 demonstrated toxicity, it is important to determine how efficiently they transfer to aerosols, how
100 well they are retained by users (exposure), and if they are exhaled into the environment where
101 they settle of surfaces forming ECEAR. The goal of our study was to obtain a complete overview
102 of the movement of flavor chemicals and nicotine from refill fluids into aerosols, then into users'
103 respiratory systems, and finally into their exhale where it could contribute to ECEAR. To do this,
104 we quantified flavor chemicals and nicotine in two refill fluids, then determined the effects of
105 topography on their transfer into machine-generated aerosols. Human exposures were
106 determined by measuring the concentrations of these chemicals in exhale and modeling retention
107 using information on transfer efficiency and exhale.

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118 MATERIALS AND METHODS

119 *Refill Fluids*

120 “Dewberry Cream” was purchased at a local vape shop that sold products made by refill
121 fluid manufacturers, while “Cinnamon Roll with Cinnamon Bomb” was purchased at a local
122 vape shop that custom mixes its refill fluids. Both shops were located in Riverside County, CA.
123 “Dewberry Cream” by Kilo was chosen because it has many flavor chemicals, including vanillin,
124 ethyl vanillin, maltol and ethyl maltol, and a high total flavor chemical concentration (Hua et al.,
125 2019. “Cinnamon Roll with Cinnamon Bomb”, which we refer to as “Cinnamon Roll”, was
126 chosen because it has only one dominant flavor chemical (cinnamaldehyde) and cinnamon-
127 flavored refill fluids can adversely affect cultured cells (Behar et al., 2014; Behar et al., 2016;
128 Wavreil and Hegglund, 2019; Clapp et al., 2019; Fetterman et al., 2018). Each refill fluid was
129 labeled to have 6 mg of nicotine/mL and 70/30 G/PG ratio.

130 *EC Aerosol Production and Capture*

131 For aerosol production, we used a SMOK Alien 220W Mod (variable voltage (0.35-8V)
132 with two high amperage flat top 18650 batteries. The mod was used with a SMOK V8 Baby-Q2
133 (0.4) single coil tank atomizer inside a SMOK Baby Beast tank. The smoking machine was a
134 Cole-Parmer Masterflex L/S peristaltic pump used with a standard or high-performance pump
135 head. When set to 40 watts, aerosols were generated at 4.3 volts, 0.4 ohms, and 9.9 amps. When
136 set to 80 watts, aerosols were generated at 6.1 volts, 0.4 ohms, and 14.1 amps. The tank was
137 loaded with 3 mL of refill fluid each time aerosol was produced, and the EC was primed with
138 three puffs. The tank was washed with water and ethanol, and the V8 Baby Beast coil was

139 replaced between each refill fluid. Puff durations were 1, 2 and 4.3 seconds; the latter is a
140 reported average for EC consumers (Hua et al., 2013).

141 The standard pump head (low volume pump head) generated a flow rate of 13 mL/sec to
142 produce puff volumes of 13 mL (1 sec), 26 mL (2 sec), and 56 mL (4.3 sec). The high-
143 performance pump head (high volume pump head) generated a flow rate of 40 mL/sec to
144 produce puff volumes of 40 mL (1 sec) and 80 mL (2 sec).

145 For flavor analysis, aerosols were collected at room temperature in two 125 mL
146 impingers, each containing 25 mL of isopropanol (IPA). The tank was weighed before and after
147 aerosol production to determine mass aerosol. Aerosol solutions were collected, aliquoted, and
148 stored at -20°C until analyzed. Impingers and tubing were washed three times with 75% ethanol
149 and water and dried to ensure no cross contamination of chemicals.

150 ***Identification and Quantification of Flavor Chemicals Using GC/MS.***

151 Refill fluids, aerosols, and exhale were analyzed by GC/MS. Internal standard-based
152 calibration procedures similar to those described elsewhere were used (Tierney et al., 2015;
153 Behar et al., 2018; Omaiye et al., 2019; Brown and Cheng, 2014). One injection was used to
154 analyze 176 flavor-related target analytes and nicotine with an Agilent (Santa Clara, CA) 5975C
155 GCMS system. The capillary column used was a Restek (Bellefonte, PA) Rxi-624Sil MS (30 m
156 long, 0.25 mm id, and 1.4 μm film thickness). For each refill fluid sample, 50 μL was dissolved
157 in 950 μL of isopropanol (Fisher Scientific, Fair Lawn, New Jersey, USA). Prior to analysis, 20
158 μL of internal standard solution (2 $\mu\text{g}/\mu\text{L}$ of 1,2,3-trichlorobenzene in isopropyl alcohol) was
159 added into the 1 mL diluted refill samples, the aerosol and exhaled extract aliquots. 1 μL of the
160 sample was injected into the GC/MS with a 10:1 split. The injector temperature was 235°C . The
161 GC temperature program for all analyses was as follows: 40°C hold for 2 min; $10^{\circ}\text{C}/\text{min}$ to

162 100°C; 12°C/min to 280°C and hold for 8 min at 280°C, then 10°C/min to 230°C. The MS was
163 operated at electron ionization mode. The ion source temperature was 226°C. The scan range
164 was from 34 to 400 amu.

165 Each target analyte was quantified using authentic chemical standards (chemicals of each
166 standard had over 95% purity) (Sigma-Aldrich, TCI American, and ChemService). An internal
167 standard (1,2,3-trichlorobenzene) was normalized using multipoint calibration. The detection
168 limits range from 1 to 2 µg/mL of e-liquid. The quantitation limits range from 10 to 20 µg/mL of
169 e-liquid.

170

171 *Participants*

172 Ten of eleven recruited participants (3 women and 7 men) completed the exhale portion
173 of the study. The average age was 21 years (SD = 2.8; median = 20; range = 18-28). The
174 ethnicity of the participants was: eight Asian, one African American, and one Caucasian. All
175 participants self-reported no use of combustible cigarettes for the duration of the study and were
176 told to abstain from using ECs 1 hour before the experiment. Six of the participants had used
177 combustible cigarettes in the past. One of the six used a cigarette once a month during the study
178 and the other five reported no current use. Two of the participants had used cigars in the past.
179 The inclusion criteria were: (1) experienced EC users (at least 3 months of continual use), and
180 (2) must use at least 3 mg of nicotine in their current EC. Participants were excluded if they
181 were: (1) pregnant or breast feeding, (2) under the age of 18 or over 75 years, (3) never users of
182 ECs with nicotine, or (4) experiencing any medical conditions. All participants signed informed
183 consent before admission into the study. The project was approved by the UCR Internal review

184 Board (IRB # HS-12-023). Participants were coded to identify puffing topography and were
185 compensated after four sessions of vaping.

186

187 *EC Exhaled Aerosol Production and Capture*

188 Participants were asked not to use any ECs or cigarettes an hour before coming to the lab.
189 Upon arrival, participants vigorously washed their mouths and gargled for 30 sec with water. A 2
190 feet piece of plastic tubing with a mouthpiece was attached to two impingers connected to each
191 other by a short piece of tubing. Each impinger contained 25 mL of IPA. The first session
192 (control) involved collection of 30 puffs of exhale in the impingers at 1 puff/minute without any
193 EC use. After the last puff, the sample was collected from each impinger and stored in glass vials
194 for chemical analysis. The next four sessions involved using the SMOK Alien with the Baby
195 Beast tank at 40 or 80 watts for each refill fluid (“Dewberry Cream” and “Cinnamon Roll”). The
196 tank was primed with three puffs before each use. Volunteers were asked to use the EC at 1
197 puff/minute at 40 or 80 watts during different sessions. The puff duration was sampled two to
198 three times during each session. At the end of a session, IPA was collected from each impinger
199 and used to wash residual aerosols from inside the tubing and impingers. 1mL from each
200 impinger was then aliquoted into GC sample vials for chemical analysis. The impingers, tubing,
201 and V8 Baby Beast tank were washed with water and 75% ethanol and left to dry for the next
202 session. Each volunteer was given a new tube and V8 Baby coil for each refill fluid. The coil in
203 the tank was changed between each participant and each refill fluid. The SMOK Alien box mod
204 and tank were changed once during the study.

205

206 *Calculating Transfer Efficiency, Percent Retention, and ECEAR*

207 To determine the transfer efficiency of flavor chemicals and nicotine, aerosol fluid flavor
208 or nicotine concentrations ($\mu\text{g/g}$ of aerosol) were divided by the refill fluid flavor or nicotine
209 concentrations ($\mu\text{g/mL}$ of refill fluid). We assume the aerosol density was close to 1 g/mL . The
210 transfer rate was multiplied by 100 to get percent transfer efficiency.

211 Tank weights, which were recorded before and after each session, were subtracted to find
212 the total weight of EC fluid consumed. Potential mass delivered was calculated by multiplying
213 the fluid consumed by the refill fluid flavor chemical or nicotine concentration. Actual mass
214 delivered was calculated by multiplying the transfer rate by the potential mass delivered. Total
215 mass in the exhaled aerosol was calculated by multiplying the fluid consumed by the
216 concentration in the exhaled sample. The percent retention was calculated by the following
217 equation:

$$218 \left[\frac{(\text{actual mass delivered} - \text{total mass in exhaled aerosol})}{\text{actual mass delivered}} \right] \times 100$$

219 ECEAR was calculated by subtracting the percent retention from 100.

220

221 ***Statistical Analysis***

222 The relationship between puff duration and the concentration of total flavor chemicals
223 exhaled was compared for mouth and lung inhalers using linear regression analysis (Prism
224 GraphPad) (Figure 3E-L). Similar regression analysis was done for fluid consumed vs. the
225 concentration of total flavor chemicals and nicotine exhaled (Figure 4A-P). Correlation
226 coefficients (R^2 value) and two-tailed P values were determined for each regression analysis. A P
227 value equal to or less than 0.05 was considered significant. All graphs were made using
228 GraphPad Prism 8.0 software (GraphPad, San Diego, California, USA).

229 **RESULTS**

230 *Refill Fluid and Aerosol Characterization*

231 Heatmaps show the flavor chemicals and nicotine (y-axis) detected and quantified in the
232 refill fluids and aerosols (x-axis) (Figure 1). The total concentration (mg/mL) of flavor chemicals
233 plus nicotine in each sample appear at the top of each column in Figure 1. One sample of
234 “Dewberry Cream” (#518) had 34 mg/mL of flavor chemicals plus nicotine, while the second
235 sample (#538) had 31 mg/mL. “Cinnamon Roll” #537 had 22 mg/mL and #539 had 71 mg/mL
236 total flavor chemicals plus nicotine. Dewberry Cream #518 was used to create aerosols with total
237 puff volume of 13 mL, 26 mL, and 56 mL while #538 was used for the 40 mL and 80 mL puff
238 volume. Flavor chemicals are shown for each aerosol in Fig. 1A. Cinnamon Roll #537 was used
239 to create aerosols with total puff volume of 13 mL, 26 mL, and 56 mL while #539 was used for
240 the 40 mL and 80 mL puff volume. Flavor chemicals are shown for each aerosol in Fig. 1B.

241 *Dewberry Cream*

242 “Dewberry Cream” is distributed in vape shops nationally and can be purchased online.
243 Its flavor profile is described as mixed berries, honeydew, and cream. Bottles #518 and #538
244 were purchased at different times. Although their total flavor chemical concentrations varied by
245 3 mg, the concentrations of the dominant flavor chemicals were similar in each bottle (Fig. 1A).
246 Dewberry Cream (#518) contained > 1 mg/mL of ethyl vanillin (6.1 mg/mL), vanillin (4.7
247 mg/mL), ethyl maltol (4.4 mg/mL), maltol (1.9 mg/mL), furaneol (2.1 mg/mL), and (3Z)-3-
248 hexen-1-ol (1 mg/mL). Although labeled as 6 mg/mL of nicotine, the actual concentration was
249 8.7 mg/mL. “Dewberry Cream” (#518) was the refill fluid that participants used to create
250 exhaled aerosols. Both “Dewberry Cream” #518 and #538 were used to create aerosols to
251 determine transfer efficiency.

252 *Cinnamon Roll with Cinnamon Bomb*

253 “Cinnamon Roll with Cinnamon Bomb” was custom mixed for us on two occasions at a
254 local vape shop in Riverside, CA. The mixture’s flavor profile was described as mostly
255 cinnamon with some sweet flavors. Bottles #537 and #539 were not identical and had different
256 concentrations of cinnamaldehyde and eugenol, the two dominant flavor chemicals (Figure 1B).
257 “Cinnamon Roll” (#537) contained 13.5 mg/mL of cinnamaldehyde, and although labeled as 6
258 mg/mL nicotine, the actual concentration was 7.5 mg/mL. Other flavor chemicals in “Cinnamon
259 Roll” were eugenol (0.4 mg/mL), maltol (0.09 mg/mL), vanillin (0.08 mg/mL), and
260 hydrocoumarin (0.02 mg/mL). “Cinnamon Roll” (#537) was the refill fluid that participants used
261 to create exhaled aerosols. Both “Cinnamon Roll” #537 and #539 were used to create aerosols to
262 determine transfer efficiency.

263 *Aerosol Characterization*

264 *E-Liquid Aerosolized and EC Setting*

265 The amount (mg) of refill fluid aerosolized with the Smok Alien V8 baby beast tank from
266 30 puffs with the low and high-volume pump heads is shown in Table 1. These numbers were
267 determined based on the difference of the weight of the tank before and after aerosolization.

268 *Transfer Efficiency*

269 Figure 2 shows the transfer efficiency of major flavor chemicals and nicotine from refill
270 fluids to aerosol was affected by topography. Two different pump heads were used to create
271 aerosol to cover a range of flow rates. The low flow rates are shown in Figure 2A, C, E, G, I, K,
272 M, O and Q, while the high flow rates are shown in Figure 2B, D, F, H, J, L, N, P and R. The 40-
273 watt setting is almost always lower in transfer efficiency than the 80-watt setting.

274 ***Low Flow Rate***

275 Maltol, ethyl maltol, vanillin, ethyl vanillin, and furaneol have similar patterns for each
276 puff duration, EC setting, and puff volume (Figure 2A, C, E, G, I). Lower puff durations had a
277 lower transfer efficiency than higher puff durations with the low volume pump head.
278 Cinnamaldehyde was consistently between 38 -51% transfer efficiency for each puff volume
279 (Figure 2M). Similarly, eugenol was between 33-51%. Finally, nicotine was consistently
280 between 40-51% and 54-66% for “Dewberry Cream” (Fig. 2K) and “Cinnamon Roll” (Fig. 2O),
281 respectively.

282 ***High Flow Rate***

283 Maltol (Fig. 2B), ethyl maltol (Fig. 2D), vanillin (Fig. 2F), ethyl vanillin (Fig. 2H), and
284 furaneol (Fig. 2J) have similar patterns for each puff duration, EC setting, and puff volume. The
285 lower puff duration (1 second) had a lower transfer efficiency than the higher puff duration (2
286 seconds). When using the high flow pump head, 1 second puff durations were lower or equal to
287 the transfer efficiency of 1 second puff durations with the low flow pump head. Cinnamaldehyde
288 (Fig. 2N) had a 5% transfer efficiency for the 1 second 40-watt setting, but when the wattage
289 increased to 80, the transfer efficiency increased to 30%. In a similar pattern, eugenol had a 5%
290 transfer efficiency for the 1 second 40-watt setting, but when the wattage increased to 80, the
291 transfer efficiency increased to 23%. Nicotine had a consistent transfer efficiency between 70-
292 79% for “Dewberry Cream” (Fig. 2L) and between 63-82% for “Cinnamon Roll” (Fig. 2P).

293 ***Exhaled Aerosol***

294 ***Puff Duration***

295 Participants used the SMOK Alien at a low and high wattage with “Dewberry Cream”
296 and “Cinnamon Roll” refill fluids. Control analysis of exhaled aerosols showed minimal to no
297 detectable levels of nicotine or flavor chemicals (Supplementary Table 1). Each participant took
298 30 puffs at 1 puff/minute of either “Dewberry Cream” or “Cinnamon Roll” refill fluid at 40-
299 watts or 80-watts, and puff duration was sampled for each participant (Figure 3A and 3B). A
300 total of 5 puffing sessions/participant, including one control session, was documented and
301 analyzed. Most participants had puff durations between 0.5-2 s. For each participant, puff
302 durations for both wattages were similar with deviations generally no more than 0.5 seconds.
303 Puff duration was generally longer for the 40-watt setting for both refill fluid flavors. One
304 participant, NA, a higher puff duration (2.1s for 40W “Dewberry Cream”, 3.5s for 80-watt
305 “Dewberry Cream”, 2.7s for 40-watt “Cinnamon Roll”, and 4.4s for 80-watt “Cinnamon Roll”)
306 than the others at both settings and for both refill fluid flavors. The average puff duration for all
307 participants was 1.4 ± 0.27 s. The average puff duration for Dewberry Cream at 40-watts = $1.5 \pm$
308 0.56 s, Dewberry Cream at 80-watts = 1.2 ± 0.85 s, Cinnamon Roll at 40-watts = 1.7 ± 0.62 s,
309 and Cinnamon Roll at 80-watts = 1.3 ± 1.15 s.

310 ***Total Flavor Chemicals***

311 Total flavor chemical concentration in the exhale was generally higher for the 80-watt
312 setting (Figure 3 C, D). Four participants (DV, PR, DJ, and TR) exhaled almost no flavor
313 chemicals, and we categorized these as “lung inhalers” (i.e., all of the aerosol likely reached the
314 alveoli of the lungs). Six of the participants (HE, HA, YS, KH, MD, and NA) exhaled a fraction
315 of the flavor chemicals that they inhaled, and these were categorized as “mouth inhalers” (i.e.,
316 intake went mainly into the mouth but did not fully penetrate into the lungs). The mouth inhalers
317 exhaled 1 to 15 mg of the total flavor chemicals. The average concentration of flavor chemicals

318 exhaled for Dewberry Cream and Cinnamon Roll at 40-watts by mouth inhalers increased as
319 wattage increased (Dewberry Cream = 2.5 ± 2.4 to 5.5 ± 5 mg and Cinnamon Roll = 0.7 ± 0.6 mg
320 to 1 ± 0.8 mg). The average concentration of flavor chemicals exhaled by lung inhalers was low
321 and similar between the two wattages (Dewberry Cream 40 watts = 0.2 ± 0.3 mg, 80-watts =
322 0.09 ± 0.1 mg; Cinnamon Roll = 40-watts = 0.02 ± 0.01 mg, 80-watts = 0.007 ± 0.007 mg). The
323 average total flavor chemicals exhaled for all participants was 1.5 ± 1.87 mg and averages
324 increased with increasing wattage (Dewberry Cream = 40-watts = 1.6 ± 2.13 mg, 80-watts = 3.4
325 ± 4.68 mg, and Cinnamon Roll = 40-watts = 0.5 ± 0.61 mg, 80-watts = 0.6 ± 0.83 mg).

326 ***Total Flavor Chemicals Exhaled Vs. Puff Duration***

327 The total flavor chemicals exhaled vs. puff duration for each refill fluid and EC setting
328 are shown in Figure 3E-L. Participants were separated based on whether they were “mouth
329 inhalers” (Fig. 3E, G, I, K) or “lung inhalers” (Fig. 3F, H, J, L). Dewberry Cream refill fluid
330 puffed at 40 (Fig. 3E) and 80 watts (Fig. 3G) had significant correlation for the amount of flavor
331 chemicals exhaled and puff duration for mouth inhalers. Cinnamon Roll puffed at the 40-watt
332 (Fig. 3I) was not correlated flavor chemical concentration but had a p value close to 0.05.
333 Cinnamon Roll at 80 watts (Fig. 3K) was not significant, but when reanalyzed without the outlier
334 (MD), the p value decreased from 0.22 to 0.03 indicating a correlation. There was no correlation
335 of exhaled chemicals and puff duration for the lung inhalers (3F, H, J, L).

336 ***Fluid Consumed Vs. Flavor Chemicals Exhaled***

337 The average fluid consumed for all participants was 567 ± 112 mg. The average fluid
338 consumed was lower at the 40-watt setting and higher at the 80-watt setting (DC 40-watts = 429
339 ± 261 mg, DC 80-watts = 705 ± 308 mg, CR 40-watts = 424 ± 197 mg, and CR 80-watts = $713 \pm$
340 462 mg). Figure 4A-H shows the relationship between the amount of refill fluid consumed and

341 the concentration of flavor chemicals exhaled. For lung inhalers there was no correlations
342 between how much fluid was consumed and the amount of flavor chemicals exhaled (Fig. 4B, D,
343 F, H). For mouth inhalers, “Dewberry Cream” at the 40-watt setting showed significant
344 correlation ($R^2 = 0.85$, $p = 0.008$) (Fig 4A). Mouth inhaler data appeared to be linearly related
345 but were not significantly correlated. However, when Figure 4G was re-analyzed without the
346 outlier (MD), the $p = 0.03$, indicating significance.

347 ***Fluid Consumed Vs. Nicotine Exhaled***

348 Exhaled nicotine was quantified and compared to the amount of refill fluid consumed
349 (Figure 4I-P). For the lung inhalers, there was no correlation between nicotine exhaled and the
350 amount of fluid consumed (Fig. 4J, L, N, P). For the mouth inhalers, there was a significant
351 correlation for the Dewberry Cream refill fluid and nicotine exhaled at both 40 (Fig. 4I) and 80
352 (Fig. 4K) watts, while there was no correlation for Cinnamon Roll at either wattage (4M and
353 4O).

354 ***Percent Retention and Contribution of Exhale to ECEAR***

355 We modeled retention and ECEAR of flavor chemicals and nicotine for each participant
356 based on the various aerosol topographies produced with a mechanical pump. Figure 5 shows
357 how much flavor chemical each user would retain if they used their EC at a certain topography.
358 We had a total of 10 topographies, and they are all listed in Table 1. The flavor chemicals we
359 choose to model were maltol, ethyl maltol, vanillin, ethyl vanillin, cinnamaldehyde, and nicotine.

360 The percent retention was calculated and averaged for all participants (Figure 5). Lung
361 inhalers had ~100% retention for flavor chemicals and nicotine for each setting/topography.
362 Mouth inhalers retained variable percentages of specific flavor chemicals and nicotine. For

363 mouth inhalers, cinnamaldehyde was retained better than nicotine and other flavor chemicals
364 (Fig. 5G), and nicotine (Fig. 5E and 5F) was retained better than maltol, ethyl maltol, vanillin
365 and ethyl vanillin (Fig. 5A-D).

366 The percent of inhaled aerosol that was exhaled and could contribute to ECEAR is also
367 shown in Figure 5A-G. Lung inhalers did not contribute to ECEAR (Fig. 5A-G). However,
368 mouth inhalers did contribute to ECEAR, and their contribution depended on flavor chemicals.
369 Vanillin, ethyl vanillin, maltol, and ethyl maltol (Fig. 5A-D) contributed more to ECEAR than
370 nicotine or cinnamaldehyde by mouth inhalers (Fig. 5E-G). There was very little contribution of
371 cinnamaldehyde to ECEAR by mouth inhalers (Fig. 5G). The average nicotine contribution to
372 ECEAR by mouth inhalers was 50% for “Dewberry Cream” and 42.5% for “Cinnamon Roll”
373 (Fig. 5E, F).

374 *Concentrations of Flavor Chemical and Nicotine in Exhale*

375 The concentrations of specific flavor chemicals and nicotine in the exhale of the mouth
376 and lung inhalers is shown in Figure 6. In most cases, exhaled concentrations were higher when
377 vaping was done at 80 W. Mouth inhalers exhaled nicotine and flavor chemicals at
378 concentrations > 1 mg/mL (Fig. 6A-L), while concentrations for lung inhalers (Fig. 6M-T) were
379 < 1mg/mL and were often not detectable.

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385 **DISCUSSION**

386 To the best of our knowledge, this is the first study to trace the movement of flavor
387 chemicals/nicotine from refill fluids to exhaled aerosol. The EC settings and flavor chemicals in
388 each refill fluid effected transfer efficiency and chemical retention. Participants either exhaled
389 little or no nicotine/flavor chemicals or they exhaled up to half of what was found in the refill
390 fluid. We interpret this to mean that the former group inhaled aerosol into their lungs where
391 chemicals were efficiently absorbed (lung inhalers), while the latter group kept much of the
392 aerosol in their mouths, then exhaled aerosol only partially depleted of chemicals (mouth
393 inhalers). This distinction is important since chemical exposure varied considerably between the
394 two types of inhalers and only the mouth inhalers contributed nicotine and flavor chemicals to
395 ECEAR.

396 The flavor chemicals in “Dewberry Cream” were similar to those reported previously (Hua et
397 al., 2019), with some bottle-to-bottle variation in total flavor chemical concentration (24, 25 and
398 28 mg/mL). In contrast, there was about a 5-fold difference in cinnamaldehyde concentration in
399 bottles of “Cinnamon Roll” (#537 = 13.4 mg/ml and #539 = 61.4 mg/ml) purchased at different
400 times in a local vape shop, where the compounding was not precisely controlled. Maltol, ethyl
401 maltol, vanillin, and ethyl vanillin were detected in high concentrations in “Dewberry Cream”
402 and are among the most potent flavor chemicals when tested *in vitro* with mouse neural stem
403 cells and BEAS-2B cells in the MTT assay (Hua et al., 2019). Cinnamaldehyde, while present in
404 Cinnamon Roll, was low in concentration compared to other cinnamon flavored products we
405 have examined (Behar et al. 2016).

406 Transfer efficiency of flavor chemicals and nicotine from machine-vaped refill fluid to
407 aerosols depended on the properties of the chemicals, EC wattage, the pump head, puff duration

408 and puff volume. Maltol, ethyl maltol, vanillin, and ethyl vanillin had similar patterns of transfer
409 efficiency, which increased as puff volume, duration, and wattage increased. Nicotine transferred
410 well and was not affected by these factors. Cinnamaldehyde and eugenol were similar to nicotine
411 when the standard pump head was used. Of the chemicals tested, nicotine had the highest vapor
412 pressure and hence lowest intermolecular forces (Table 2), which likely contributed to its high
413 transfer efficiency. Eugenol and cinnamaldehyde had slightly lower vapor pressures, which may
414 explain their efficient transfer with the standard pump head. However, like other flavor
415 chemicals, eugenol and cinnamaldehyde did not transfer well with the high-performance pump
416 head, probably due to the mechanics of the pump. For those chemicals with low vapor pressures
417 (maltol, ethyl maltol, vanillin, and ethyl vanillin), transfer efficiency was also likely affected by
418 the heat generated in the atomizers. Efficiency increased when puff duration and wattage
419 increased, both factors which increase heat. Although we tested only one brand of EC, transfer
420 efficiency would likely also be affected by EC brand.

421 EC puffing topography varied among participants but was usually similar between trials for
422 each individual, in agreement with Behar et al. 2015 who showed that each participant had their
423 own “fingerprint” that defined their puffing topography (Behar et al., 2015). Our participants had
424 similar patterns of puff volume and exhale irrespective of the wattage or refill fluid they were
425 using. In a preliminary *ad libitum* study, users had an average of 3.5 ± 1.4 seconds puff duration
426 (St Helen et al., 2016), while another study evaluated YouTube videos for an average of 4.3
427 seconds puff duration (Hua et al., 2013). In our study, the average puff duration (1.4 ± 0.27
428 seconds) could be related to the younger age of our participants. We can attribute this difference
429 in topography to the fact that most of our participants were not previously tobacco or cigar users.
430 They were accustomed to pod-based systems such as JUUL™ and Suorin which contain 3-5%

431 nicotine. JUUL™ has introduced acidified nicotine salts, which are easier to inhale and deliver
432 nicotine at substantially higher concentrations, leading to a quicker nicotine high compared to
433 combustible cigarettes (Spindle & Eissenberg, 2018). Therefore, our participants are used to
434 taking shorter puffs.

435 The concentration of exhaled flavor chemicals increased when the ECs were operated at a
436 higher wattage. Nicotine exhale also varied with the wattage and refill fluid consumed. Based on
437 the exhale data, there were two categories of vapers – those who exhaled some flavor chemicals
438 and those who exhaled little or no flavor chemicals. It has been suggested that naïve vapers using
439 first generation ‘cig-a-like’ ECs had buccal rather than pulmonary absorption (Bullen et al.,
440 2010; Vansickel et al., 2012). By quantifying the exhale of the participants, we were able to
441 distinguish mouth vs. lung inhalation. Our participants were young (average age 21), and only
442 one participant reported the use of tobacco cigarettes once a month. Therefore, it is possible that
443 the “mouth inhalers” have not yet learned how to inhale into their lungs for maximum nicotine
444 retention or they intentionally chose not to do this as they engage in vaping as a social activity.

445 We modeled chemical retention for 10 topographies (Fig. 5) and found that retention varied
446 among chemicals and between user topographies (i.e., lung vs mouth inhalers). Cinnamaldehyde
447 was retained better than other flavor chemicals by the “mouth inhalers”, suggesting that it is
448 more soluble and/or reactive than the other aldehydes (e.g., vanillin or ethyl vanillin). This is
449 concerning because cinnamaldehyde induces loss of ciliary motility and impairs mucociliary
450 transport leading to respiratory infections (Clapp et al., 2019). Cinnamon-flavored refill fluids
451 were also the most toxic of 36 refill fluids screened *in vitro* with three different cell types in the
452 MTT assay (Bahl et al., 2012) and some cinnamon flavored products have very high
453 concentrations of cinnamaldehyde, up to 343 mg/ml (Omairye et al., 2019). It may be difficult for

454 users to avoid exposure to cinnamaldehyde, as it has been reported in refill fluids that do not
455 indicate a cinnamon flavor, such as Black Cherry or Caramel (Behar et al., 2016).

456 The retention of flavor chemicals and nicotine was about 100% for all “lung inhalers”, while
457 retention for “mouth inhalers” was variable, but never 100%. In fact, nicotine was better retained
458 than all flavor chemicals except cinnamaldehyde. These data that add to the information needed
459 to evaluate human exposure to EC aerosols. The amount and rate of nicotine delivered may
460 depend on the user topography, such as puff duration, or the nicotine concentration or the flavor
461 (Dawkins and Corcoran, 2014; Hiler et al., 2017; Hajek et al., 2017; St Helen et al., 2017; Voos
462 et al., 2019). The retention of nicotine may be influenced by various factors, such as the pH of
463 refill fluids or protonation by benzoic acid (Helen et al., 2018; Duell et al., 2018; Pankow et al.,
464 2017), which is particularly relevant to pod-style products that contain acids and high nicotine
465 concentrations.

466 In previous studies, ECEAR had nicotine concentrations ranging from 0.03 to 0.949 $\mu\text{g}/\text{cm}^2$
467 depending on the surface (Marcham et al., 2019), while an EC user’s home had $7.7\pm 17.2 \mu\text{g}/\text{m}^2$
468 (Bush and Goniewicz, 2015). However, our previous study showed nicotine accumulated to a
469 concentration of $108 \text{ mg}/\text{m}^2$ after 1 month inside a vape shop and up to $1,181 \mu\text{g}/\text{m}^2$ inside a
470 living room field site after 3 months (Khachatoorian et al., 2019). The exhaled flavor chemicals
471 and nicotine in ECEAR are mostly likely contributed by mouth inhalers. Nevertheless, lung
472 inhalers do exhale a visible puff of aerosol, which may contain mainly solvents. Other chemicals
473 that were not measured in this study that could contribute to ECEAR include solvents, metals,
474 and reaction products, such as formaldehyde, and acetaldehyde (Son et al., 2020; Li et al., 2020;
475 Geiss et al., 2015).

476 While our focus was on ECEAR, the suspended exhale from EC users could also cause
477 passive secondhand exposure to non-vapors. This idea is supported by studies in which non-
478 vaping participants who were exposed to secondhand EC aerosols had alterations in respiratory
479 mechanics and increases in salivary and urinary cotinine, urinary trans-3'-hydroxycotinine, and
480 acrolein metabolites (Johnson et al., 2019; Tzortzi et al., 2018).

481 ECEAR is distinct from thirdhand smoke (THS). THS includes secondhand smoke from the
482 burning end of a cigarette plus exhaled mainstream smoke that settles on indoor surfaces where
483 the residue can remain after smoking has ceased (Matt et al., 2011; Jacob et al., 2017). THS
484 contains hazardous volatile and semivolatile organic chemicals, polycyclic aromatic
485 hydrocarbons, metals, and secondary compounds generated through reactions with atmospheric
486 pollutants (e.g., ozone and nitrous acid) (Sleiman et al., 2010). ECEAR is similar to THS in that
487 it contains exhaled aerosol. However, ECEAR differs from THS in that it does not contain
488 chemicals from burned tobacco. ECEAR likely contains higher levels of some chemicals, such as
489 PG, G, and flavor chemicals, than THS.

490 Our study is based on a relatively small sample size comprised of predominantly young
491 Asian males (average age = 21 yrs). Had we looked at another age category (e.g., 45-64 yrs.), we
492 might have found a different result (e.g., fewer mouth inhalers). While our data are based on a
493 single brand of EC, numerous brands spanning four generations are available, and should be
494 evaluated in the future to determine how results are affected by brand. The introduction of pod-
495 style ECs and loopholes in the flavor ban have led to the increased use of disposable pod-style
496 ECs with many flavors and higher nicotine concentrations than were used in our study (US Dept.
497 of Health & Human Services). Pod based products, such as JUUL™, and disposable EC
498 products, such as Puff Bar, would be particularly interesting to examine in the future since these

499 advanced devices can deliver higher nicotine concentrations to EC users (Yingst et al.,
500 2019a; Yingst et al., 2019b).

501 In conclusion, this is the first study to quantify flavor chemicals and nicotine in refill fluids,
502 aerosols, and EC users' exhale and then deduce their retention and contribution to ECEAR. The
503 transfer of flavor chemicals with low vapor pressures to aerosols was dependent on puff
504 duration, puff volume, user topography, pump head, and EC wattage, while nicotine transfer was
505 not affected by these factors. Analysis of exhaled chemicals enabled identification of mouth and
506 lung inhalers. Mouth inhalers exhaled chemicals and contributed to ECEAR, while lung inhalers
507 retained almost all the inhaled flavor chemicals and nicotine. Since the retention of toxic
508 chemicals is higher in lung inhalers, harm reduction could be achieved if lung inhalers switched
509 to mouth inhalation; however, this would increase the concentration of chemicals in ECEAR,
510 which may affect those who are passively exposed to EC chemicals. These data contribute to
511 our understanding of EC chemical transfer, retention, and contribution to ECEAR and are
512 important to inform EC users, the public, and government agencies of potential exposures to
513 chemicals produced by ECs.

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846 **Table 1:** Amount of refill fluid aerosolized at different EC settings
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Pump Head		Dewberry Cream (mg)	Cinnamon Roll (mg)
low volume pump head	1s 40 watt	80	60
	1s 80 watt	330	300
	2s 40 watt	280	380
	2s 80 watt	620	680
	4.3s 40 watt	1170	930
	4.3s 80 watt	1700	1040
high volume pump head	1s 40 watt	90	70
	1s 80 watt	420	440
	2s 40 watt	320	330
	2s 80 watt	920	840

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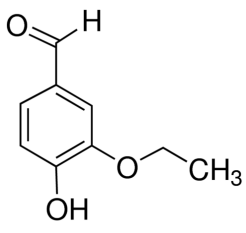
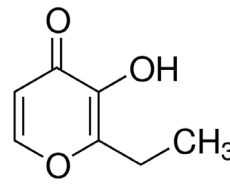
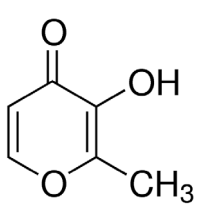
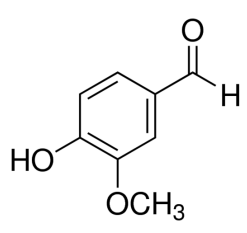
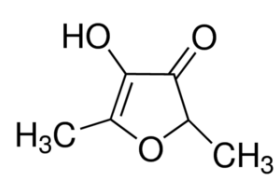
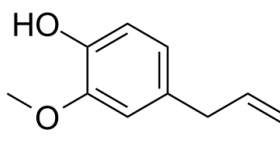
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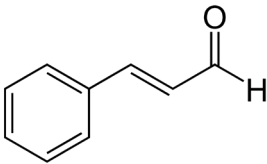
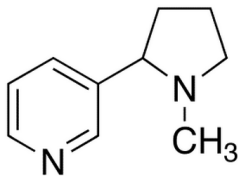
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Table 2: Vapor pressure or sub-cooled liquid vapor pressure at 25 °C, mm Hg and structures of flavor chemicals and nicotine.

Chemical	Structure	Vapor Pressure (mm Hg at 25°C)
Ethyl Vanillin		0.000344
Ethyl Maltol		0.00039
Maltol		0.00108
Vanillin		0.000427
Furaneol		0.00185
Eugenol		0.022

Cinnamaldehyde		0.0289
Nicotine		0.038

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880 **FIGURE LEGENDS**

881 **Figure 1: Heatmaps showing concentrations of flavor chemicals and nicotine in “Dewberry**
882 **Cream” (A) and “Cinnamon Roll” (B) refill fluids and aerosols made at 40 or 80-watts.** Puff
883 durations were either 1, 2, or 4.3 seconds, while puff volume was either 13, 26, 56, 40, or 80 mL.
884 The x-axis shows the fluid and aerosol samples. Flavor chemicals are listed on the left y-axis,
885 and concentrations in mg/mL are shown on the color scale to the right. The numbers at the top of
886 each column give the concentration (mg/mL) of all flavor chemicals plus nicotine in each
887 sample.

888 **Figure 2: Transfer Efficiency of major flavor chemicals in “Dewberry Cream” and**
889 **“Cinnamon Roll”.** Aerosols made with the low volume pump head are shown in A, C, E, G, I,
890 K, M, O and Q, while aerosols made with the high-volume pump head are shown in B, D, F, H,
891 J, L, N, P and R. Volume is shown on the x axis and transfer efficiency (in percentage) is shown
892 on the y axis.

893 **Figure 3: Participant topography: puff duration and total flavor chemicals exhaled.** A and
894 B show each participant’s puff duration for Dewberry Cream and Cinnamon Roll. C and D show
895 the concentration of the total flavor chemicals exhaled (mg/ml). E through L show the
896 relationship between the total flavor chemicals exhaled and puff duration. Mouth inhalers are
897 shown in E, G, I, and K, while lung inhalers are shown in F, H, J, and L.

898 **Figure 4: Participant Topography: fluid consumed and chemical exhaled.** Relationship
899 between the refill fluid consumed and the flavor chemicals exhaled for both EC settings and
900 refill fluids (A-H). Relationship between fluid consumed and nicotine exhaled for both EC
901 settings and refill fluids (I-P). Mouth inhalers are shown in A, C, E, G, I, K, M, O and lung
902 inhalers are shown in B, D, F, H, J, L, N, and P.

903 **Figure 5: Retention and contribution to ECEAR of major flavor chemicals by participants**
904 **under several EC settings and conditions.** Each participant's exhaled results were averaged for
905 each topography to determine possible retention. Contribution to ECEAR was then calculated
906 and averaged based on the amount retained. The y axis shows the percent retention or percent
907 ECEAR while the x axis shows the participants averaged and separated by method of inhalation.
908 EC settings, puff duration and puff volume are color coded.

909 **Figure 6: The concentration of major flavor chemicals emitted by each participant.** 40-watt
910 setting is shown in blue and 80-watt setting is shown in red.

911 **Supplementary Figures**

912 **Figure S1:** Heatmaps of each participant's exhale with "Dewberry Cream" #518 and "Cinnamon
913 roll" #537 used at 40 and 80 watts.

914 **Table S1:** Participant's control exhale.

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