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On Leakage and Seepage from Geologic Carbon Sequestration Sites:

Unsaturated Zone Attenuation

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## **Abstract**

Geologic carbon sequestration is the direct injection of carbon dioxide (CO<sub>2</sub>) into deep geologic formations for permanent disposal. Although numerous trapping mechanisms exist in the subsurface, it is possible that CO<sub>2</sub> will leak from the primary sequestration target and seep out of the ground. The unsaturated zone has the potential to attenuate leaking CO<sub>2</sub> and decrease seepage and near-surface CO<sub>2</sub> concentrations. Attenuation processes include permeability trapping, ponding as dense CO<sub>2</sub> spreads out on the water table, solubility trapping by infiltrating or residual water, and dilution through mixing with ambient soil gas. Numerical simulations of CO<sub>2</sub> flowing upward through a thick model unsaturated zone were carried out to investigate the sensitivity of various unsaturated zone properties on CO<sub>2</sub> seepage flux and near-surface CO<sub>2</sub> gas concentrations. These two quantities are considered drivers for health and environmental risk due to exposure to CO<sub>2</sub>. For the conceptual model considered, seepage flux and near-surface CO<sub>2</sub> gas concentrations are most strongly controlled by the leakage rate at the water table, followed by the source zone radius. Permeability and permeability anisotropy, as well as porosity and infiltration rate are also important, although to a lesser degree. Barometric pumping causes local maxima in seepage flux and near-surface CO<sub>2</sub> concentrations, but has negligible effect in a time-averaged sense. When the leakage source is turned off, the CO<sub>2</sub> plume attenuates through dissolution into infiltrating water. For the case of a constant leakage rate, the unsaturated zone can attenuate low leakage fluxes but should not be expected to attenuate large CO<sub>2</sub> leakage fluxes.

## **Introduction**

Geologic carbon sequestration is one strategy for reducing the rate of increase of global atmospheric carbon dioxide (CO<sub>2</sub>) concentrations (IEA, 1997; Reichle, 1999). As used here, the term geologic carbon sequestration refers to the direct injection of liquid or supercritical CO<sub>2</sub> deep into subsurface geologic formations. The target formations will typically be either depleted oil and gas reservoirs, or brine-filled permeable formations. The idea is to trap injected CO<sub>2</sub> by one or more of the following mechanisms (e.g., Bachu et al., 1994): (1) permeability trapping, for example when buoyant supercritical CO<sub>2</sub> rises until trapped by a confining layer or cap rock; (2) solubility trapping, for example when CO<sub>2</sub> dissolves into the aqueous phase in the pore space, or (3) mineralogic trapping, such as occurs when CO<sub>2</sub> reacts to form stable carbonate minerals. When CO<sub>2</sub> is trapped in the subsurface by any of these mechanisms, it is effectively sequestered away from the atmosphere where it would otherwise act as a greenhouse gas.

Although the purpose of geologic carbon sequestration is to trap CO<sub>2</sub> in the subsurface, there is the risk that injected CO<sub>2</sub> will migrate away from the primary target formation (Holloway, 1997). Migration away from the primary target formation is referred to here as *leakage*. Carbon dioxide that leaks from the primary sequestration target and moves up through subsurface formations will likely undergo secondary trapping in up-section structural traps and by dissolution processes, resulting in very long transport times (Lindeberg, 1997). This is in contrast to leakage that may occur through a well or other fast-flow path, in which case subsurface gas transport can be very fast (e.g., Allison, 2001). If CO<sub>2</sub> reaches the shallow subsurface or migrates out of the ground into the

ambient air, health and environmental risks can arise. In analogy to existing processes whereby water, oil, and gas migrate across the subsurface–ground–surface interface, we refer to the migration of CO<sub>2</sub> out of the ground as *seepage*. Seepage of CO<sub>2</sub> can lead to locally high CO<sub>2</sub> concentrations, especially in topographic depressions because CO<sub>2</sub> is a dense gas relative to air. Ambient CO<sub>2</sub> concentrations in the atmosphere are approximately 350 ppmv, while CO<sub>2</sub> concentrations of 1% or more cause measurable adverse physiological effects, and concentrations above 10% can be deadly (NIOSH, 1976).

The objective of this work is to examine the potential of the unsaturated zone to attenuate CO<sub>2</sub> leaking from a geologic sequestration site. This is in contrast to prior studies that have focused on ambient soil processes (e.g., Amundsen and Davidson, 1990; Kawbe et al., 2002). Attenuation processes include CO<sub>2</sub> gas ponding on the water table due to its high density, permeability trapping, solubility trapping, and simple dilution by mixing with ambient soil gas. We are not considering mineralogic trapping which generally occurs on a time scale much longer than gas transport in the vadose zone. Direct interaction with the atmosphere is considered in terms of barometric pumping, although the effects of winds on shallow soils are beyond the scope of this study. With an aim toward contributing to analyses of potential health and environmental risk due to CO<sub>2</sub> seepage, we present simulation results in terms of seepage flux and near-surface CO<sub>2</sub> concentrations, both of which are drivers of exposure risk for humans and other living things in the biosphere. Rather than defining a detailed or site-specific unsaturated zone, we have adopted the approach of a sensitivity analysis. In this approach, the effects of

various properties of a model system, for example leakage rate, permeability, radius of leakage zone, porosity, and infiltration rate can be simulated. This approach determines the trends in seepage flux and near-surface CO<sub>2</sub> concentrations for natural systems with various combinations of properties. The sensitivity analysis is based on a conceptual model in which CO<sub>2</sub> is discharged at the water table at a constant rate, for example through a high-permeability zone with direct connection to the target sequestration formation, and migrates upward through a thick unsaturated zone. Finally, we also consider a single case in which the leak is turned off and the CO<sub>2</sub> plume is controlled by density and infiltration effects.

## **Methods**

The approach we use to investigate leakage and seepage of CO<sub>2</sub> in the subsurface is numerical simulation. Simulations presented in this work were performed using TOUGH2 (Pruess et al., 1999) with a special module called EOS7CA applicable to flow and transport of CO<sub>2</sub> and air in subsurface systems. TOUGH2/EOS7CA models the subsurface flow and transport of aqueous and gas phases containing five components (H<sub>2</sub>O, brine, CO<sub>2</sub>, gas tracer, and air) under isothermal or nonisothermal conditions. TOUGH2/EOS7CA uses real gas mixture properties calculated using the Peng-Robinson equation of state model. Air is approximated in EOS7CA as a mixture of 79% nitrogen and 21% oxygen by volume. Solubility of CO<sub>2</sub> in the aqueous phase is modeled by Henry's Law, with Henry's coefficients calculated from Cramer (1982). Viscosity is estimated using the method of Chung et al. (1988) as described by Poling et al. (2001).

## Gas Properties

The physical properties of CO<sub>2</sub> relative to air and liquid water have a significant impact on the ability of the subsurface to attenuate leaking CO<sub>2</sub>. Carbon dioxide is a colorless and odorless gas with critical pressure ( $P_c$ ) equal to 73.8 bars and critical temperature ( $T_c$ ) equal to 31°C. We present in Figure 1 the phase diagram for CO<sub>2</sub> showing the gaseous, liquid, solid, and supercritical regions along with an approximate curve representing a  $P$ - $T$  path in the subsurface assuming hydrostatic pressure and 25°C km<sup>-1</sup> geothermal gradient. As shown in Figure 1, the geothermal gradient ensures that CO<sub>2</sub> will be supercritical in the subsurface at depths greater than approximately 800 m, and gaseous at shallower depths.

The density of CO<sub>2</sub> increases drastically as it changes from subcritical to supercritical conditions, however, the geothermal gradient ensures a sufficiently high temperature that CO<sub>2</sub> is buoyant relative to water in the saturated zone. Shown in Figure 2 is the density of CO<sub>2</sub> as a function of pressure at three different temperatures, where the symbols show results from TOUGH2/EOS7CA and the lines are from the NIST14 database (NIST, 1992). Because the focus in this paper is the unsaturated zone, we present in Figure 3 the density of CO<sub>2</sub> and air mixtures at 1 bar. As shown, pure CO<sub>2</sub> at 1 bar and 20 °C has a density of approximately 1.8 kg m<sup>-3</sup> while pure air has a density of 1.2 kg m<sup>-3</sup>. The effects of water vapor in the air will further decrease ambient soil gas density. Thus, the high density of CO<sub>2</sub> relative to soil gas creates the potential for CO<sub>2</sub> to pond on the water table, and resist moving upwards to the ground surface. In summary, Figures 2 and 3 show that while CO<sub>2</sub> will tend to rise upward in the saturated zone, it may tend to

accumulate in the unsaturated zone and in topographic depressions due to its high density.

Other transport properties of CO<sub>2</sub> are also relevant to leakage attenuation. In particular, the solubility of CO<sub>2</sub> in water is relatively high, approximately 50 times that of air at 1 bar, 20 °C. The large solubility of CO<sub>2</sub> has the potential to attenuate CO<sub>2</sub> by solubility trapping in water in the unsaturated zone. At 1 bar and 20 °C, CO<sub>2</sub> is slightly less viscous and therefore more mobile than air ( $\mu_{\text{CO}_2} = 1.5 \times 10^{-5} \text{ Pa s}$ ,  $\mu_{\text{air}} = 1.8 \times 10^{-5} \text{ Pa s}$ ) (NIST, 1992). At ambient atmospheric conditions of 1 bar and 10 °C, the free gas molecular diffusivity of CO<sub>2</sub> in air is typical of other gases and is of order  $10^{-5} \text{ m}^2 \text{ s}^{-1}$  (Vargaftik, 1975). Simulation results presented here use the Fickian advective-diffusive model for gas transport, a good approximation for high-permeability systems (Webb, 1998; Oldenburg et al., 2003).

### **Conceptual Model**

The conceptual model for attenuation of leakage by the unsaturated zone is based on a prototypical geological sequestration site containing a mass of  $4 \times 10^9 \text{ kg}$  of CO<sub>2</sub>. The hydrogeological properties of the system for the base case are listed on Table 1. These properties are typical of poorly sorted and unconsolidated sediments such as can be found in California's Central Valley. Figure 4 depicts the conceptual model and grid used to simulate the transport of CO<sub>2</sub> through the unsaturated zone. The model is cylindrical with the Cartesian axis located in the z-direction. The model unsaturated zone is 30 m thick, with a saturated zone 5 m in thickness. The mesh used for simulations contains



20×120 nodes with a minimum and maximum radial size of 5 m and 30 m, respectively. The vertical discretization is uniformly 1.75 m. Temperature is assumed to be constant at 15°C throughout the domain.

For the base case, CO<sub>2</sub> is introduced into the model system over a radial distance of 100 m, which corresponds to an area of  $3 \times 10^4 \text{ m}^2$  over which leakage of CO<sub>2</sub> from the reservoir arrives at the water table. This area is small relative to the expected geologic sequestration site footprint and represents a focused leak as might occur along intersecting subnormal faults. The leakage rates used were  $4 \times 10^4 \text{ kg yr}^{-1}$ ,  $4 \times 10^5 \text{ kg yr}^{-1}$ , and  $4 \times 10^6 \text{ kg yr}^{-1}$  representing leakage rates of 0.001%, 0.01%, and 0.1% per year of the initial mass of a  $4 \times 10^9 \text{ kg CO}_2$  sequestration reservoir. For reference, an overall leakage rate of 0.01% per year or less would still meet atmospheric stabilization targets, and 0.1% per year is effective for some energy and population scenarios (see Hepple and Benson, 2002).

The bottom boundary is held at hydrostatic pressure while the top boundary is used to enforce an atmospheric pressure equal to 1 bar. In addition, the gas-phase CO<sub>2</sub> concentration at the top of the system is held at 350 ppmv. Recharge water enters the top of the domain uniformly in equilibrium with the 350 ppmv atmospheric CO<sub>2</sub> concentration as controlled by Henry's law. The right-hand side boundary is constant pressure (hydrostatic below the water table, and gas-static in the unsaturated zone). The left-hand side boundary is no-flow appropriate for symmetry about the z-axis.

## Sensitivity Analysis Method

In order to focus the analysis on the ability of the unsaturated zone to attenuate leakage of CO<sub>2</sub>, we have adopted a worst-case scenario where we assume that CO<sub>2</sub> from the leaky sequestration target reservoir discharges directly at the water table. Such a release could occur as the result of a high-permeability conduit that allows fast flow through the saturated zone circumventing likely attenuating influences in the saturated zone such as secondary solubility and permeability trapping. The objective of this analysis is to determine the influence of hydrogeological properties of the unsaturated zone and leakage characteristics on the maximum seepage flux of CO<sub>2</sub> as well as the maximum near-surface mole fraction of CO<sub>2</sub> relative to the base scenario. Specifically, we varied six parameters including the source zone leakage rate, permeability, permeability anisotropy, source zone radius, porosity, and infiltration rate. For this analysis, the unsaturated zone is relatively thick at 30 m. Suffice it to say that attenuation by the unsaturated zone will be generally smaller for cases where the water table is nearer the surface. Permeabilities in the range 10<sup>-12</sup>–10<sup>-9</sup> m<sup>2</sup> were chosen to represent a permeable unsaturated zone, and extrapolation to lower or higher permeabilities can be made from the sensitivity study presented below. Hydrogeological properties for the base case are presented in Table 1. The potential exposure risk to CO<sub>2</sub> at the ground surface will be put into perspective by comparison to both a typical ecological CO<sub>2</sub> efflux taken as 4.4×10<sup>-7</sup> kg s<sup>-1</sup> m<sup>-2</sup> or 10 μmol s<sup>-1</sup> m<sup>-2</sup> (Baldocchi and Wilson, 2001) as well as the mole fraction of CO<sub>2</sub> in the gas phase in soil at which tree mortality has been observed, taken as 0.3 (e.g., Farrar et al., 1995; 1999).

## Results

### *Leakage Rate*

Results are shown in Figure 5 as vertical cross-sections showing mass fraction of CO<sub>2</sub> in the gas phase, water saturation, and gas phase pore velocity vectors for leakage rates of (a)  $4 \times 10^4$  kg yr<sup>-1</sup>, (b)  $4 \times 10^5$  kg yr<sup>-1</sup>, and (c)  $4 \times 10^6$  kg yr<sup>-1</sup> after relatively steady seepage rates are obtained after 100 years. These figures show that although the CO<sub>2</sub> mass fraction is nearly unity above the source, and therefore forms a dense gas phase relative to ambient soil gas, there is very little lateral spreading of CO<sub>2</sub> on the water table. In fact, the CO<sub>2</sub> plume spreads a maximum of 120 m beyond the radius of the source zone for the highest leakage rate. Instead, the CO<sub>2</sub> plume reaches the ground surface for all injection rates. However, it should be kept in mind that the radial geometry involved ensures that there is a significant mass of CO<sub>2</sub> contained within the region where spreading has occurred between 100 and 120 m from the axis of the system.

The temporal evolution of the total seepage and maximum near-surface CO<sub>2</sub> concentrations are presented in Figure 6 for three different leakage rates. The maximum CO<sub>2</sub> concentration at the top always occurs at the center (left-hand side) of the model system. With time beginning when the leakage reaches the water table, the results show that the unsaturated zone retards seepage but the retardation time depends strongly on the leakage rate. For the largest leakage rate, the unsaturated zone retards CO<sub>2</sub> seepage by only several days, while for the lowest leakage rate, it retards CO<sub>2</sub> by nearly a year. As shown, the total seepage and maximum CO<sub>2</sub> concentrations at the surface are nearly steady after approximately 50 years for the three leakage rates tested.

### *Permeability and Permeability Anisotropy*

Additional example simulation results are shown in Figure 7 for comparison to the base case shown in Figure 5. Figures 7a and 7b show vertical cross-sections of the mass fraction of CO<sub>2</sub> in the gas phase, water saturation, and gas-phase pore velocity vectors for a leakage rate of  $4 \times 10^5 \text{ kg yr}^{-1}$  for a permeability ( $k$ ) of  $1 \times 10^{-9} \text{ m}^2$  and an anisotropy ( $k_r:k_z$ ) of 1000:1, respectively. By comparing Figure 5b with Figure 7a, we observe that as both the horizontal and vertical permeabilities are increased from  $1 \times 10^{-12} \text{ m}^2$  to  $1 \times 10^{-9} \text{ m}^2$ , horizontal spreading of the plume increases dramatically while vertical transport is reduced. Comparison of Figures 5b and 7b reveals that as the anisotropy is increased from 1:1 to 1000:1, the same trend of increased horizontal spreading and decreased vertical transport occurs. This trend is more prominent for the anisotropy case because the vertical permeability,  $k_z$ , remains fixed at the lowest value of  $1 \times 10^{-12} \text{ m}^2$  forcing the CO<sub>2</sub> to be preferentially transported horizontally.

### *Source Zone Radius*

The radius of the source zone over which leakage occurs strongly controls unsaturated zone leakage attenuation. Consideration of a very small source radius corresponds to the assumption that the migration pathway that CO<sub>2</sub> has followed to the water table is confined to a small zone, such as a borehole, while a large radius is consistent with a model in which CO<sub>2</sub> migration occurs through multiple fracture zones or widespread permeable formations. As part of a sensitivity analysis, we adjusted the source radius from the base-case value of 100 m to a maximum value of 1000 m and a minimum value of 10 m. All hydrogeological parameters shown on Table 1 were held constant. The leakage rate was also varied from  $4 \times 10^6 \text{ kg yr}^{-1}$  to  $4 \times 10^5 \text{ kg yr}^{-1}$  and  $4 \times 10^4 \text{ kg yr}^{-1}$ .

Figures 5b, 7c and 7d show vertical cross section results for a leakage rate of  $4 \times 10^5 \text{ kg yr}^{-1}$  with a source radius of 100 m, 10 m, and 1000 m, respectively. As the source radius is decreased by an order-of-magnitude to 10 m, the gas-phase pressure increases significantly around the source zone perturbing the water table. The width of the  $\text{CO}_2$  plume emanating from the 10 m source zone is only slightly smaller than that of the base case (Figure 5b). This indicates that for a leakage rate of  $4 \times 10^5 \text{ kg yr}^{-1}$ , the  $\text{CO}_2$  plume extends out a minimum radial distance of 130 m from the origin and is not simply confined to a radius of the source zone as might be inferred from the base case. As the source radius is increased by an order-of-magnitude to 1000 m, the flux of  $\text{CO}_2$  decreases dramatically yielding significantly lower mole fractions of  $\text{CO}_2$  in the gas phase above the source zone.

### *Porosity*

The porosity of the unsaturated zone has the potential to influence the horizontal and vertical transport of the  $\text{CO}_2$  plume by changing the pore volume available to the gas phase  $\text{CO}_2$  plume. A decrease in porosity should increase the gas velocity and plume size while an increase in porosity should decrease gas velocity and plume size. As part of a sensitivity analysis, we doubled the porosity from the base-case value of 0.2 to 0.4 and alternatively halved it to 0.1. All other hydrogeological parameters shown on Table 1 were held constant. The leakage rate was also varied from  $4 \times 10^6 \text{ kg yr}^{-1}$  to  $4 \times 10^5 \text{ kg yr}^{-1}$  and  $4 \times 10^4 \text{ kg yr}^{-1}$ . Results are summarized in Figure 8 as described below.

### *Infiltration Rate*

Water infiltrating through the unsaturated zone is in equilibrium with atmospheric concentrations of CO<sub>2</sub> that are orders-of-magnitude lower than the values above the source zone in the base case. Therefore, this water has the capacity to attenuate the upward migration of CO<sub>2</sub> through the unsaturated zone as it continually dissolves CO<sub>2</sub> from the gas phase. As part of a sensitivity analysis, we increased the infiltration rate from the base-case value of 0.1 m yr<sup>-1</sup> to 0.5 m yr<sup>-1</sup>, and decreased it to 0.02 m yr<sup>-1</sup> and 0.0 m yr<sup>-1</sup>. All hydrogeological parameters shown on Table 1 were held constant. The leakage rate was also varied from 4×10<sup>6</sup> kg yr<sup>-1</sup> to 4×10<sup>5</sup> kg yr<sup>-1</sup> and 4×10<sup>4</sup> kg yr<sup>-1</sup>. Results of this sensitivity study are presented in Figure 8, to be discussed below.

### *Summary of Sensitivity Analysis*

Results from a multitude of simulations are presented in Figure 8 as a comprehensive summary of the sensitivity analyses we have carried out. Figures 8a and 8b show leakage rate on the x-axis versus seepage rate and maximum near-surface CO<sub>2</sub> mole fraction in the gas, respectively, on the y-axis. The first conclusion to be drawn from Figure 8 is that the main parameter controlling the seepage and concentration of CO<sub>2</sub> at the ground surface is the leakage rate from the reservoir. For our base-case scenario, the maximum leakage rate that could be simulated without significantly perturbing the water table was 4×10<sup>6</sup> kg yr<sup>-1</sup>, corresponding to 0.1% yr<sup>-1</sup> leaking from the reservoir. As the leakage rate decreases from 4×10<sup>6</sup> kg yr<sup>-1</sup>, the maximum seepage flux of CO<sub>2</sub>, which always occurs at the center of the radial system, drops below that of the ecological flux. Although the maximum seepage flux of CO<sub>2</sub> appears to be quite small, the corresponding maximum

near-surface mole fraction of CO<sub>2</sub> may pose a significant health and environmental risk. This is indicated by values above the 0.3 mole fraction tree mortality level as shown in Figure 8b.

Figure 8 shows that the smallest source radius causes the greatest seepage of CO<sub>2</sub> for a given leakage rate. As the source zone radius increases from 10 m to 100 m and 1000 m, the seepage of CO<sub>2</sub> drops dramatically for all three leakage rates. Specifically, the maximum seepage flux of CO<sub>2</sub> is significantly larger than the typical ecological flux except at the lowest leakage rate. For comparison, the seepage for a leakage rate of  $4 \times 10^6$  kg yr<sup>-1</sup> approaches the maximum values measured around the Horseshoe Lake tree-kill area at Mammoth Mountain, CA (Sorey et al., 1999). Not surprisingly, the maximum near-surface mole fraction of CO<sub>2</sub> also exceeds the tree-mortality limit. As the source radius is increased to 1000 m, the CO<sub>2</sub> seepage and near-surface concentration are below the ecological flux and tree mortality limits for all three leakage rates.

After the leakage rate and leakage area, the next most sensitive parameters controlling the seepage and near-surface concentration of CO<sub>2</sub> are the permeability and anisotropy of the unsaturated zone. As part of a sensitivity analysis, we increased the radial and vertical permeabilities,  $k_r$  and  $k_z$ , from the base-case value of  $1 \times 10^{-12}$  m<sup>2</sup> to  $1 \times 10^{-11}$  m<sup>2</sup>,  $1 \times 10^{-10}$  m<sup>2</sup>, and  $1 \times 10^{-9}$  m<sup>2</sup>. Similarly, we also increased the anisotropy of  $k_r:k_z$  from 1:1 in the base case to 10:1, 100:1, and 1000:1. All other hydrogeological parameters shown on Table 1 were held constant. The sensitivity of the seepage and near-surface CO<sub>2</sub> concentrations to an increase in the permeability and the anisotropy is also shown on Figure 8. For a

leakage rate of  $4 \times 10^6 \text{ kg yr}^{-1}$ , the maximum seepage flux of  $\text{CO}_2$  is relatively insensitive to an increase in permeability but is very sensitive to an increase in anisotropy. Specifically, the maximum seepage flux of  $\text{CO}_2$  is greater than the typical ecological flux for the full range of permeabilities used in the sensitivity analysis, whereas only an anisotropy ratio of 1:1 and 10:1 are greater than the ecological flux. As the leakage rate decreases to  $4 \times 10^5 \text{ kg yr}^{-1}$  and  $4 \times 10^4 \text{ kg yr}^{-1}$ , the seepage flux is always less than the ecological flux independent of variations in permeability and porosity. For a leakage rate of  $4 \times 10^6 \text{ kg yr}^{-1}$ , the maximum near-surface mole fraction of  $\text{CO}_2$  exceeds the tree mortality value of 0.3 for all ranges of permeability and all values of anisotropy from 1:1 to 100:1. As the leakage rate decreases to  $4 \times 10^5 \text{ kg yr}^{-1}$ , both a permeability of  $1 \times 10^{-11} \text{ m}^2$  and an anisotropy of 10:1 are close to the tree mortality limit with all other values of permeability and anisotropy below this threshold.

Results for maximum seepage flux and near-surface mole fraction of  $\text{CO}_2$  for infiltration rates of  $0.1 \text{ m yr}^{-1}$  (base case),  $0.0 \text{ m yr}^{-1}$ ,  $0.5 \text{ m yr}^{-1}$  and  $0.02 \text{ m yr}^{-1}$  are also shown in Figures 8a and 8b. These results show that the  $\text{CO}_2$  seepage and near-surface concentrations do not deviate significantly from the base case as the infiltration rate changes. Figure 8 also shows the maximum surface flux and near-surface mole fraction of  $\text{CO}_2$  for porosities of 0.2 (base case), 0.4, and 0.1. These results also do not deviate significantly from the base case due to variability in porosity for all three leakage rates.

We have also investigated the effects of barometric pumping on the seepage and near-surface mole fraction of  $\text{CO}_2$ . We specified a time-varying top pressure boundary



condition corresponding to an actual pressure variation measured in the Central Valley of California for the year 1997 and carried out a simulation with properties of the base case. This pressure profile, shown in Figure 9, was assumed to repeat annually in our simulations. Results of the maximum seepage flux and near-surface mole fraction are shown as a function of time (log scale) in Figure 10. As shown, the time-averaged effect of barometric pumping on seepage and shallow CO<sub>2</sub> concentration is negligible, even though locally higher and lower fluxes and concentrations can arise from day-to-day variations in pressure. Furthermore, the small leakage rate cases show larger amplitude variation as barometric pumping occurs because the air flow driven by atmospheric pressure variation dominates over the CO<sub>2</sub> leakage flux for small CO<sub>2</sub> fluxes. The lack of importance of barometric pumping observed in these simulations arises from the cyclic nature of barometric pressure variation. Wind forcings that act more consistently in one direction are expected to influence the shallowest soil layers and will tend to enhance CO<sub>2</sub> seepage and dilute shallow soil gas CO<sub>2</sub> concentrations, but are beyond the scope of this study.

Another scenario relevant to the unsaturated zone is the case where the CO<sub>2</sub> leakage is eventually detected and the leak is effectively stopped, for example by means of operations to lower the pressure in the leaking CO<sub>2</sub> sequestration reservoir. We considered a single case of this scenario by starting from the steady-state solution ( $t = 100$  yrs) of the  $4 \times 10^6$  kg yr<sup>-1</sup> leakage rate case and then turning off the CO<sub>2</sub> source. In this case, the driving force for the CO<sub>2</sub> plume will evolve to depend on density effects and dissolution as infiltration passes through the plume. Presented in Figure 11 are cross-

sections of the simulated results after 6 months, 1 year, 5 years, and 10 years. Note that between 6 months and 1 year, a small amount of lateral spreading occurs, and the near-surface CO<sub>2</sub> concentrations rapidly decrease as the plume dissolves in the infiltrating water. Large-scale effects of lateral density-driven flow are not observed because the pressurization arising from the prior injection at the water table dominates over the buoyancy effects for the entire period prior to when the plume dissipates through dissolution into infiltrating water. However, gas-phase velocities rapidly diminish after the source is turned off. For comparison, gas-phase velocity vectors are approximately 100 times smaller after 6 months, and 1000 times smaller after 10 years than the steady state initial condition shown in Figure 5c. As shown, infiltration in the unsaturated zone is very effective at attenuating CO<sub>2</sub> plumes that are not being continuously replenished by leakage from below for this particular conceptual model.

In Table 2 we summarize the flow rates, attenuation efficiencies, storage rates, and inventories of the unsaturated zone as a function of the three different leakage rates for the base case. The attenuation efficiency is defined as one minus the ratio of CO<sub>2</sub> seepage rate to the CO<sub>2</sub> leakage rate when the seepage rate reaches an approximate steady-state, in this case after 1000 years. As shown in Table 2, the seepage rate increases nonlinearly with the leakage rate. The reason for this is that smaller leakage rates result in more lateral spreading and CO<sub>2</sub> capture by downward-moving infiltration relative to larger leakage rates. The physical process limiting the lateral spreading of CO<sub>2</sub> is the downward advection of CO<sub>2</sub> dissolved in infiltrating water, and the subsequent discharge of this CO<sub>2</sub> through the lower hydrostatic boundary condition. For the lowest

leakage rate of  $4 \times 10^4 \text{ kg yr}^{-1}$ , the attenuation efficiency of the unsaturated zone is 96%, while for the highest leakage rate of  $4 \times 10^6 \text{ kg yr}^{-1}$ , the attenuation efficiency is 19%. The attenuation efficiency of the unsaturated zone decreases with increasing leakage rate because the higher pressures surrounding the source zone force more vertical flow that directly causes seepage of  $\text{CO}_2$  above the source zone. We note also from Table 2 that the amount of  $\text{CO}_2$  crossing the lower boundary increases as the leakage rate increases, but at a fraction of the rate of increase of the seepage. Essentially, the downward infiltrating water cannot transport all of the  $\text{CO}_2$  being introduced to the system and increasing amounts end up seeping out of the ground. The rate at which  $\text{CO}_2$  is being stored in the aqueous and gas phases at 1000 years is defined as the leakage plus infiltration minus the seepage and minus the bottom boundary loss. For small leakage rates, the ratio of seepage to storage is very small showing the effectiveness of the unsaturated zone in attenuating leakage migration to the surface. For higher leakage rates, the ratio of seepage to storage increases showing the ineffectiveness of the unsaturated zone in attenuating high leakage fluxes. As for the inventories, note again that the total amounts present in the aqueous and gas phases increase as some fractional power of the leakage rate.

## **Conclusions**

This work focused on determining the potential of the unsaturated zone to attenuate  $\text{CO}_2$  from leaking geologic carbon sequestration sites. The conceptual model involved  $\text{CO}_2$  reaching the water table at a constant rate, for example through a potential high-permeability zone with direct connection to the target formation. We use the maximum

seepage flux of CO<sub>2</sub> across the ground surface as well as the maximum mole fraction of CO<sub>2</sub> just below the ground surface as rough indicators of exposure risk. The potential risk posed was put into perspective by comparing results to both a typical ecological flux of CO<sub>2</sub> taken as  $4.4 \times 10^{-7} \text{ kg m}^{-2} \text{ s}^{-1}$  as well as the mole fraction of CO<sub>2</sub> (0.3) in the gas phase in soil at which tree mortality has been shown to occur.

Results from the unsaturated zone conceptual model indicate that the source zone leakage rate combined with source zone radius have the greatest influence on the maximum seepage flux of CO<sub>2</sub> as well as the maximum mole fraction of CO<sub>2</sub>. Once the CO<sub>2</sub> reaches the unsaturated zone, it forms a gas phase that is denser than that of the ambient soil gas. Pressure gradients between the source zone at the water table and the ground surface easily overcome this density contrast for all leakage rates tested, causing CO<sub>2</sub> to discharge at the ground surface. The pressure driving force is significantly reduced by increasing the source zone radius, but not by adjusting hydrogeological properties of the unsaturated zone such as the permeability, anisotropy, infiltration rate, and porosity.

The unsaturated zone conceptual model was used to calculate the steady-state seepage rate for a prescribed leakage rate. For the lowest leakage rate of  $4 \times 10^4 \text{ kg yr}^{-1}$ , the unsaturated zone attenuated 96% of the CO<sub>2</sub> after 100 years. For the highest leakage rate of  $4 \times 10^6 \text{ kg yr}^{-1}$ , the attenuation efficiency of the unsaturated zone decreased substantially to 19%. The attenuation efficiency of the unsaturated zone decreased with increasing leakage rate because the higher pressures surrounding the source zone caused more

vertical migration of the CO<sub>2</sub> relative to lateral migration which is more strongly affected by infiltration.

Barometric pumping has a negligible effect on the time-averaged seepage flux and near-surface CO<sub>2</sub> concentration because of the cyclic nature of the pressure-induced flows.

For a CO<sub>2</sub> plume present in the unsaturated zone with no continuous replenishment, dissolution into infiltrating water causes relatively rapid attenuation.

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Table 1: Hydrogeological properties of the unsaturated zone for the base case.

Property	Value	Units
Permeability ( $k_r = k_z$ )	$1 \times 10^{-12}$ (1 Darcy)	$m^2$
Porosity ( $\phi$ )	0.2	-
Infiltration rate ( $i$ )	10.	$cm\ yr^{-1}$
Residual water saturation ( $S_{ir}$ )	0.1	-
Residual gas saturation ( $S_{gr}$ )	0.01	-
van Genuchten (1980) $\alpha$	$1 \times 10^{-4}$	$Pa^{-1}$
van Genuchten (1980) $m$	0.2	-

Table 2: CO<sub>2</sub> flow rates, attenuation efficiencies, and inventories after 1000 years of leakage for various leakage rates.

	Leakage Rate Scenarios		
	4×10 <sup>4</sup> kg yr <sup>-1</sup>	4×10 <sup>5</sup> kg yr <sup>-1</sup>	4×10 <sup>6</sup> kg yr <sup>-1</sup>
CO <sub>2</sub> injection rate (leakage)	1.27 x 10 <sup>-3</sup> kg s <sup>-1</sup>	1.27 x 10 <sup>-2</sup> kg s <sup>-1</sup>	1.27 x 10 <sup>-1</sup> kg s <sup>-1</sup>
CO <sub>2</sub> seepage rate (seepage)	4.47 x 10 <sup>-5</sup> kg s <sup>-1</sup>	4.31 x 10 <sup>-3</sup> kg s <sup>-1</sup>	1.03 x 10 <sup>-1</sup> kg s <sup>-1</sup>
Attenuation efficiency	96%	66%	19%
CO <sub>2</sub> gain from infiltration	1.50 x 10 <sup>-5</sup> kg s <sup>-1</sup>	1.50 x 10 <sup>-5</sup> kg s <sup>-1</sup>	1.50 x 10 <sup>-5</sup> kg s <sup>-1</sup>
CO <sub>2</sub> bottom boundary loss	2.66 x 10 <sup>-4</sup> kg s <sup>-1</sup>	4.52 x 10 <sup>-4</sup> kg s <sup>-1</sup>	7.04 x 10 <sup>-4</sup> kg s <sup>-1</sup>
CO <sub>2</sub> storage rate	9.73 x 10 <sup>-4</sup> kg s <sup>-1</sup>	7.93 x 10 <sup>-3</sup> kg s <sup>-1</sup>	2.32 x 10 <sup>-2</sup> kg s <sup>-1</sup>
Seepage:Storage ratio	0.046	0.54	4.4
CO <sub>2</sub> Inventories (gas phase and dissolved)			
<i>t</i> = 0 (initial conditions)	Water: 24700. kg Gas: 7980. kg Total: 32680. kg	Water: 24700. kg Gas: 7980. kg Total: 32680. kg	Water: 24700. kg Gas: 7980. kg Total: 32680. kg
<i>t</i> = 1000 yrs (steady state)	Water: 315000. kg Gas: 86600. kg Total: 401600. kg	Water: 651000. kg Gas: 200000. kg Total: 851000. kg	Water: 1040000. kg Gas: 329000. kg Total: 1369000. kg

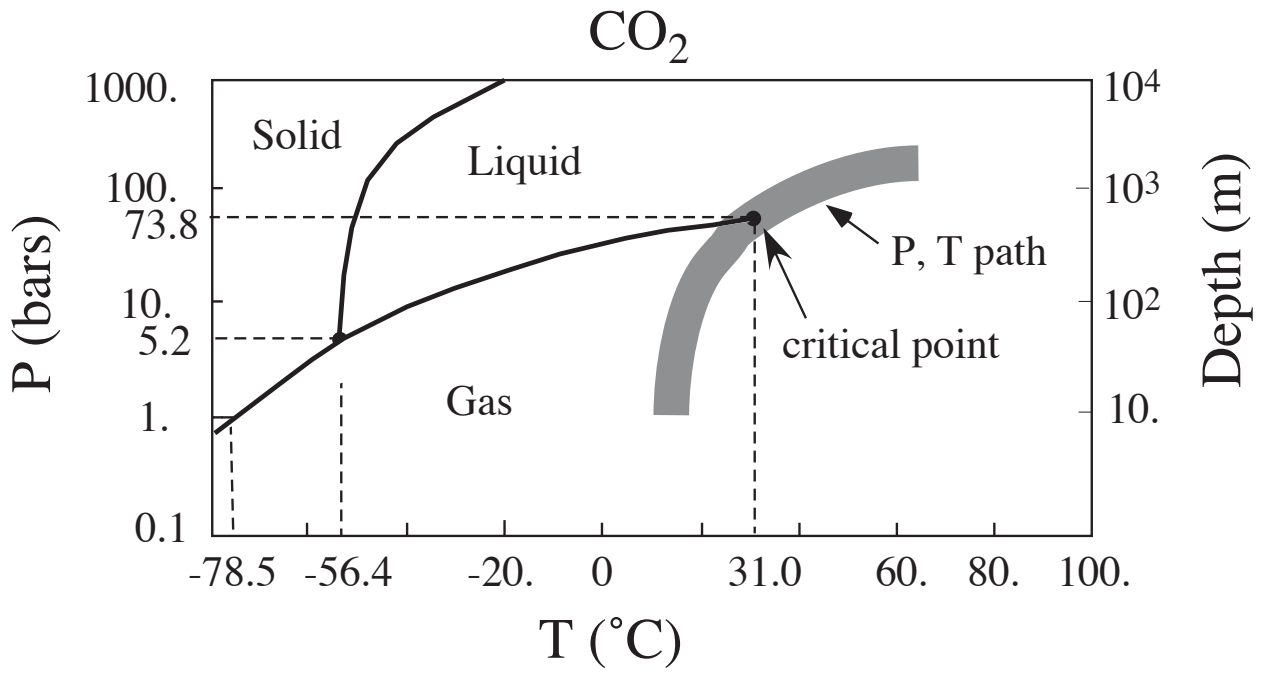


Figure 1. Phase diagram for  $\text{CO}_2$  with approximate P, T path in the subsurface assuming hydrostatic pressure and geothermal gradient of  $25\text{ }^{\circ}\text{C km}^{-1}$ .

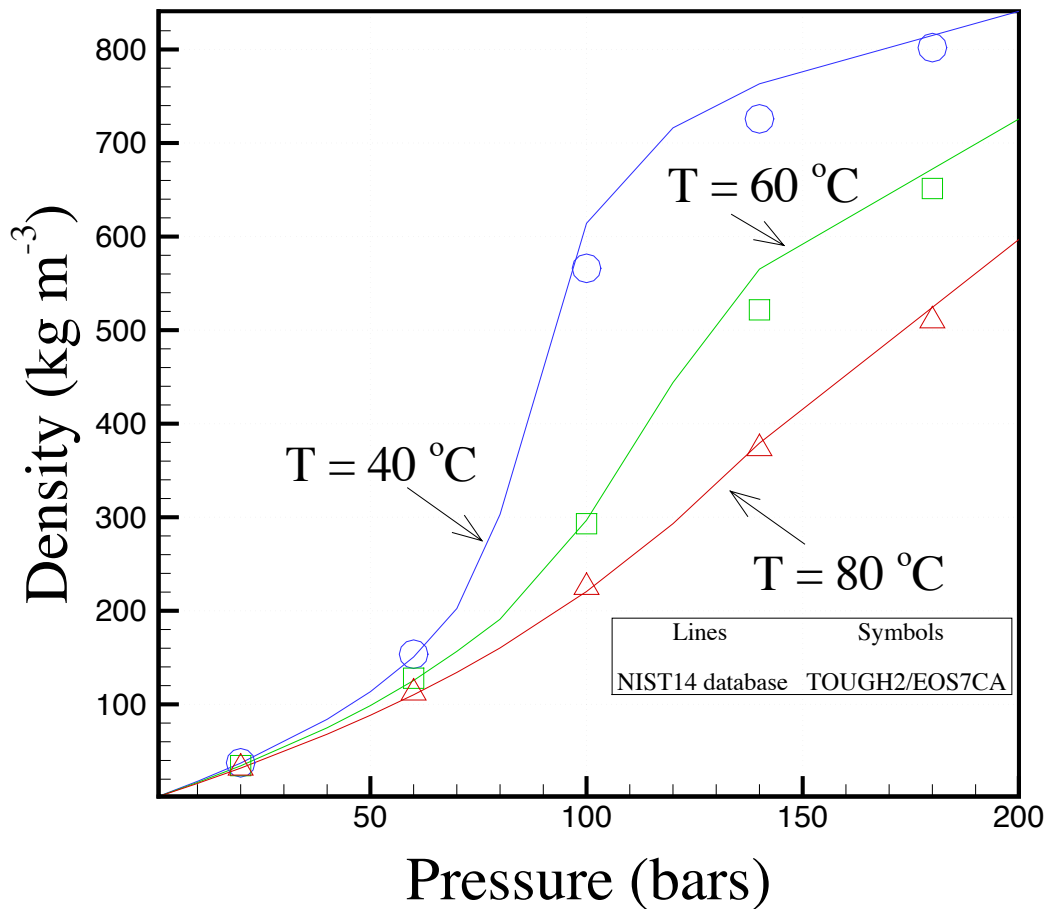


Figure 2. Density of  $\text{CO}_2$  as a function of pressure at three different temperatures.

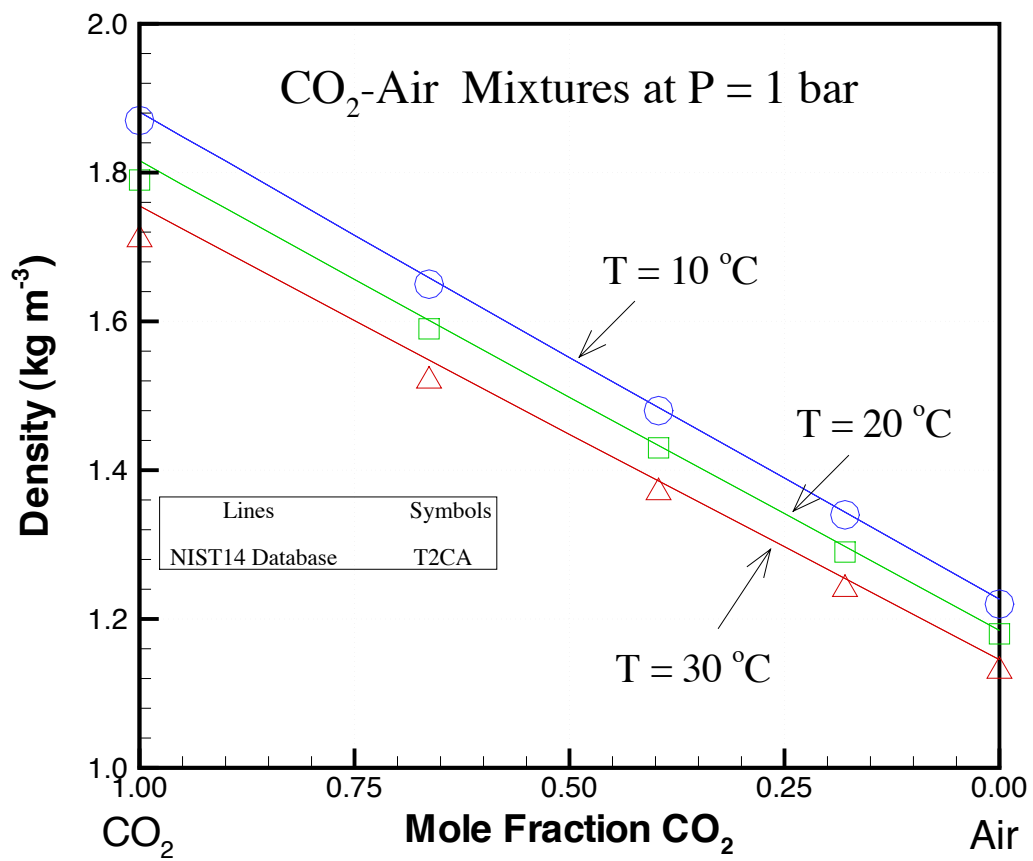


Figure 3. Density as a function of concentration in the system CO<sub>2</sub>-air at P = 1 bar.

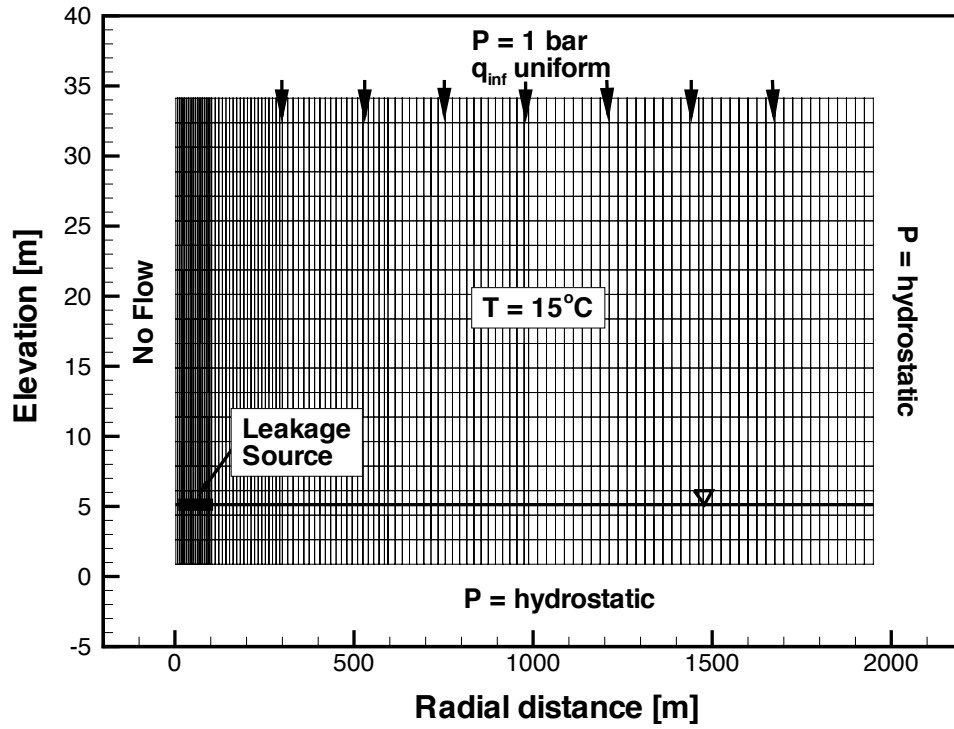


Figure 4. Conceptual model and grid for the unsaturated zone model.

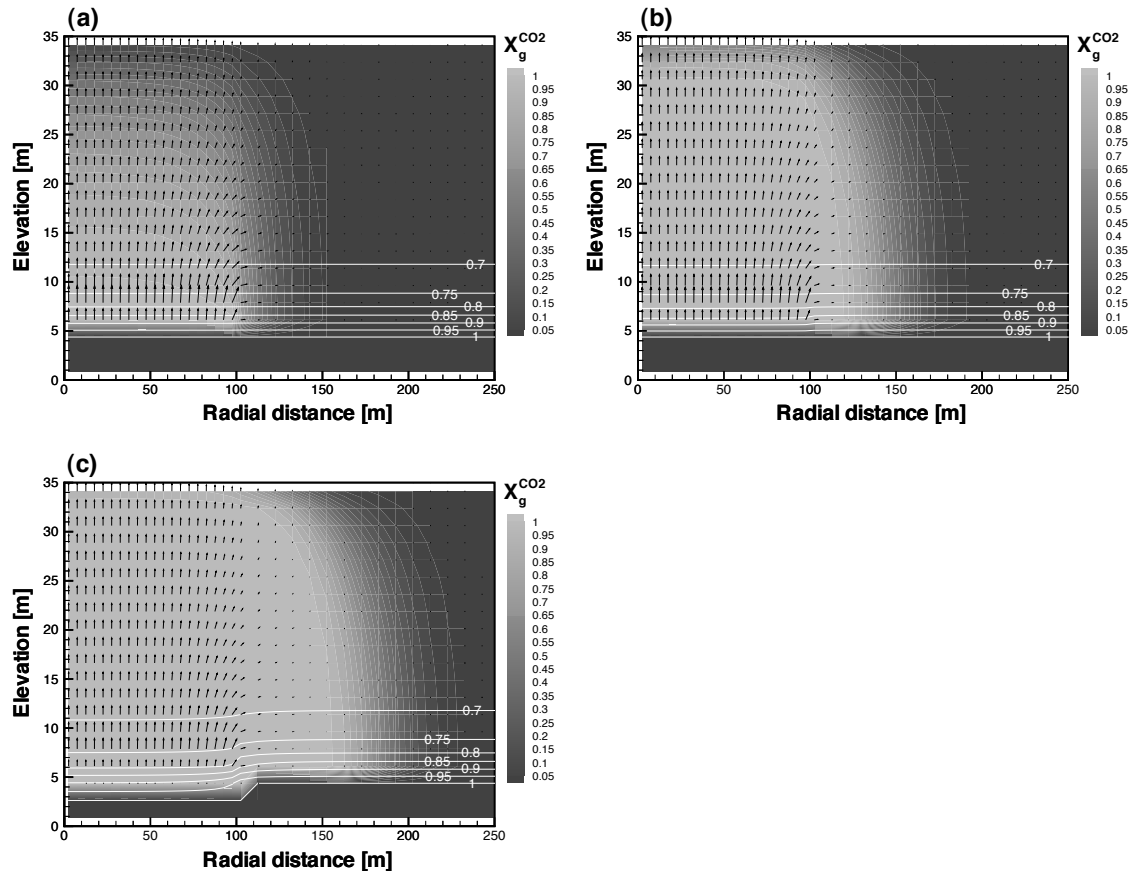


Figure 5. Shading indicates the mass fraction of  $\text{CO}_2$  in the gas phase, labeled contour lines indicate the water saturation, and vectors indicate the pore velocity of the gas phase for the base case at steady-state seepage rates with a leakage rate of (a)  $4 \times 10^4 \text{ kg yr}^{-1}$ , (b)  $4 \times 10^5 \text{ kg yr}^{-1}$  and (c)  $4 \times 10^6 \text{ kg yr}^{-1}$ . The maximum vector size represents a value of approximately (a)  $0.057 \text{ m d}^{-1}$ , (b)  $0.53 \text{ m d}^{-1}$  and (c)  $3.6 \text{ m d}^{-1}$

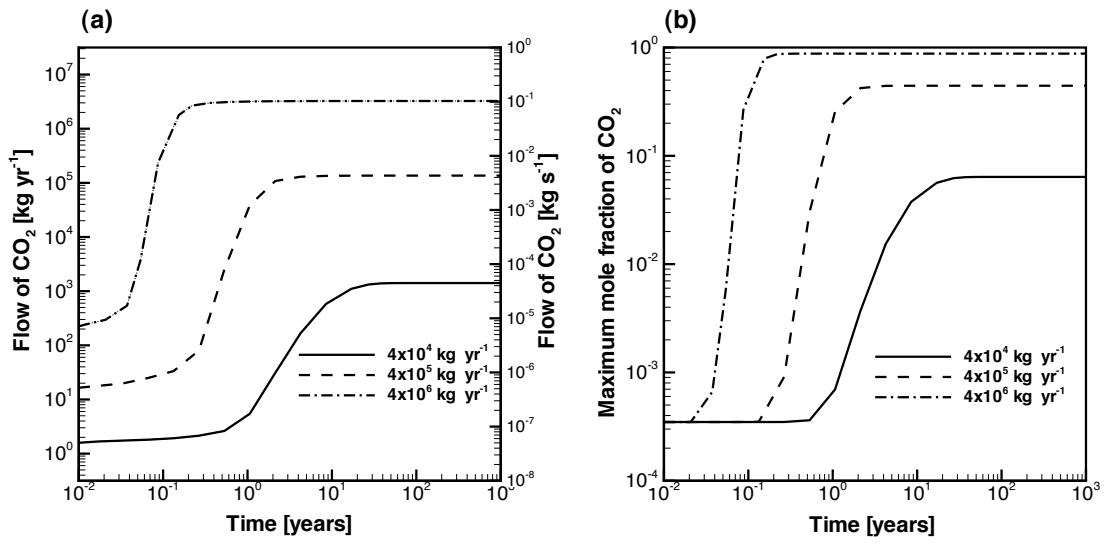


Figure 6. Total flow rate of CO<sub>2</sub> across the top boundary and maximum mole fraction of CO<sub>2</sub> in the gas phase at the top of the system as a function of time after leakage enters the unsaturated zone.



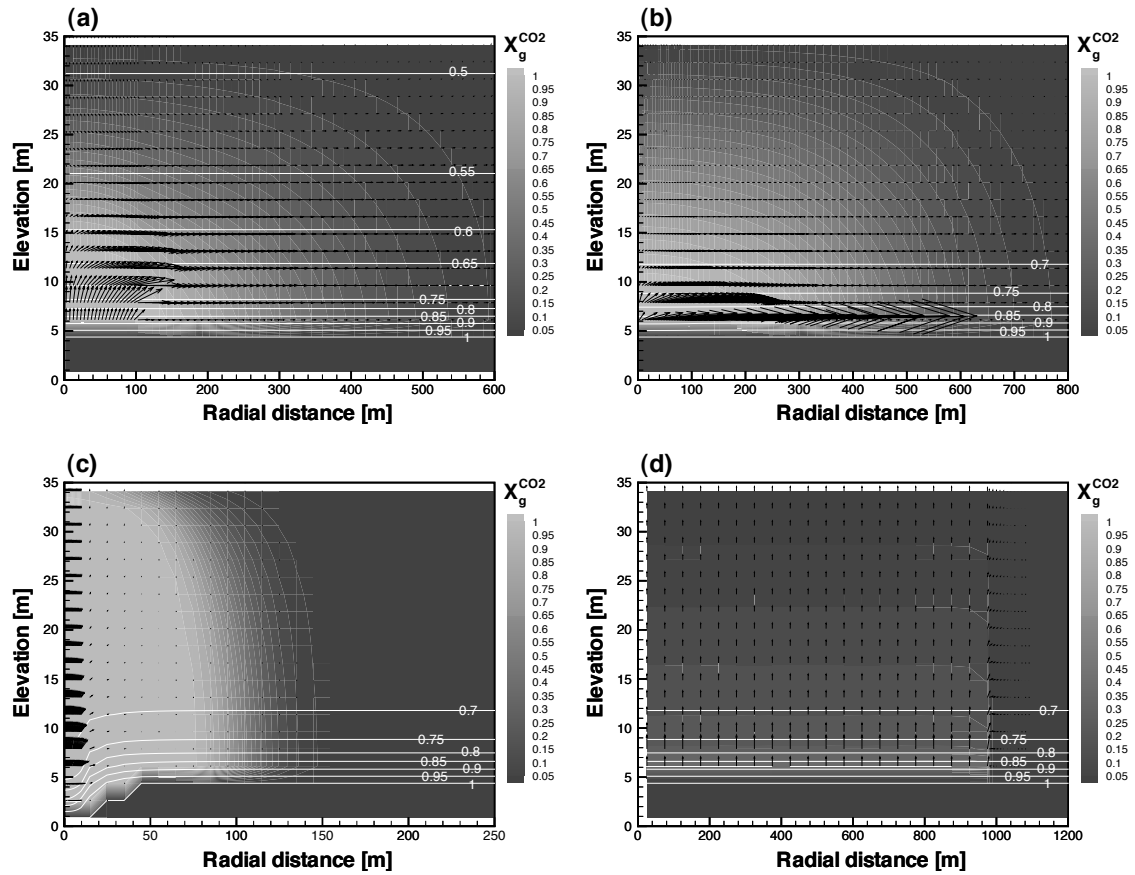


Figure 7. Shading indicates the mass fraction of  $\text{CO}_2$  in the gas phase, labeled contour lines indicate the water saturation, and vectors indicate the pore velocity of the gas phase for a leakage rate of  $4 \times 10^5 \text{ kg yr}^{-1}$  and at steady state seepage rates with (a) a permeability of  $1 \times 10^{-9} \text{ m}^2$ , (b) an anisotropy of 1000:1, (c) a source radius of 10 m and (d) a source radius of 1000 m. The maximum vector size represents a value of approximately (a)  $1.0 \text{ m d}^{-1}$ , (b)  $8.4 \text{ m d}^{-1}$  (c)  $17 \text{ m d}^{-1}$  and (d)  $0.0048 \text{ m d}^{-1}$ .

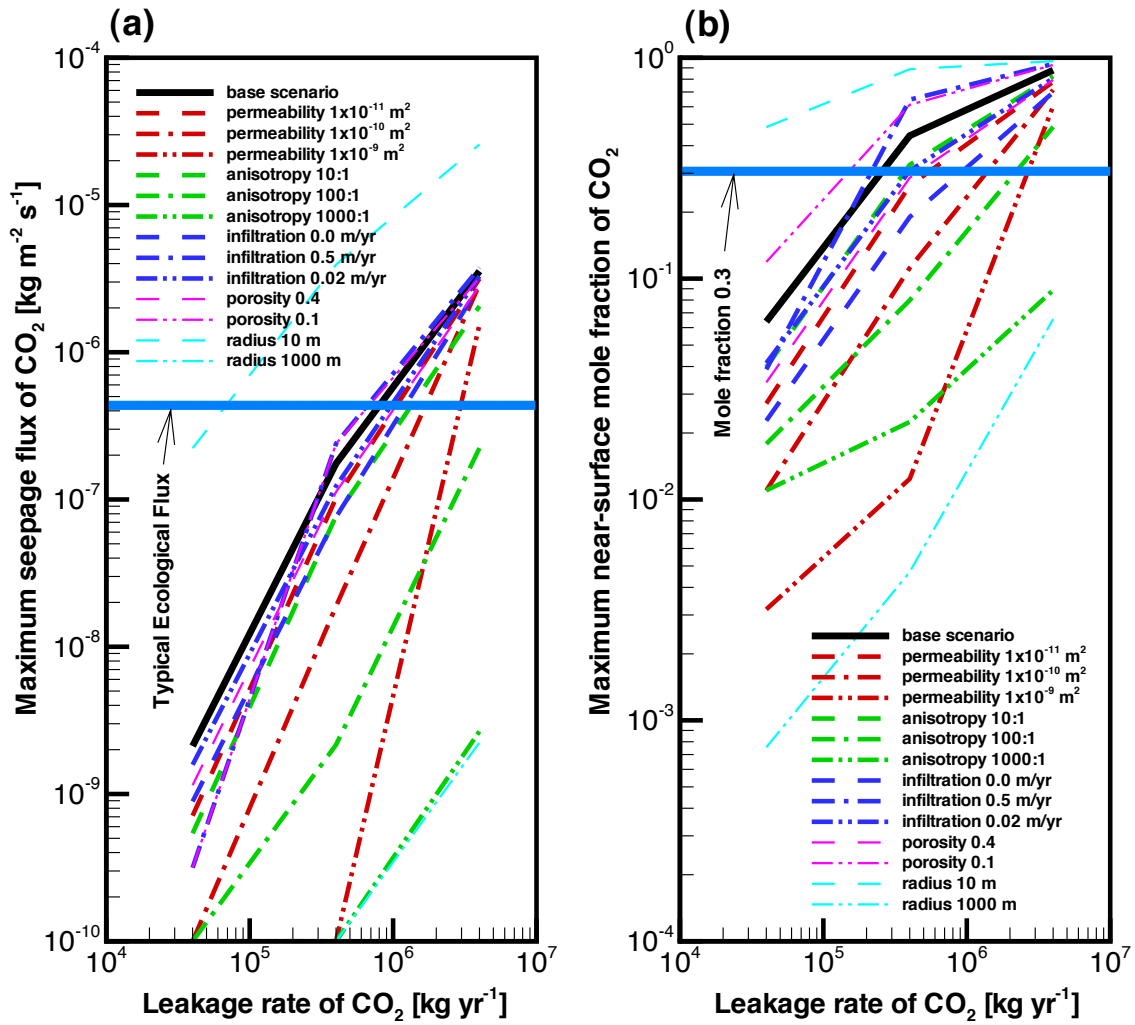


Figure 8. The maximum seepage flux of CO<sub>2</sub> and the maximum near-surface mole fraction of CO<sub>2</sub> as a function of leakage rate at steady-state seepage conditions.

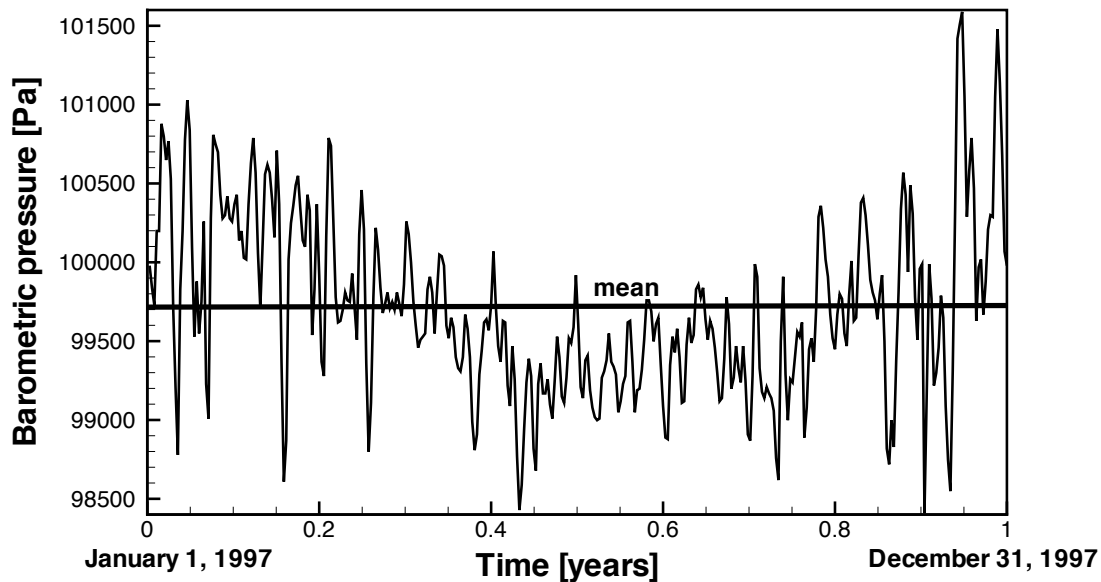


Figure 9. Barometric pressure used as top boundary condition.

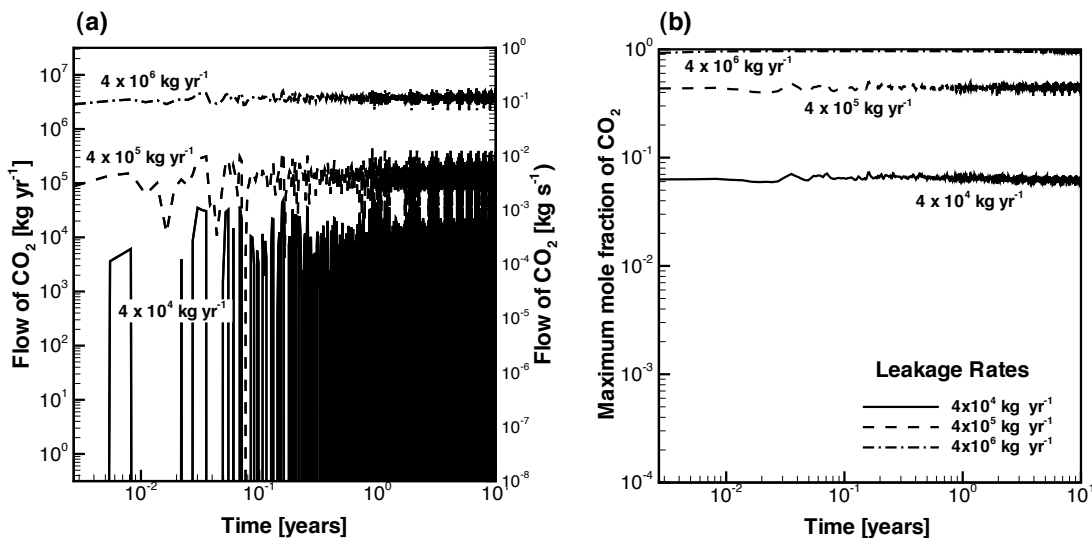


Figure 10. Temporal evolution of (a) total surface flow of  $\text{CO}_2$  and (b) maximum near-surface mole fraction of  $\text{CO}_2$  for the base case with variable-pressure top boundary condition.

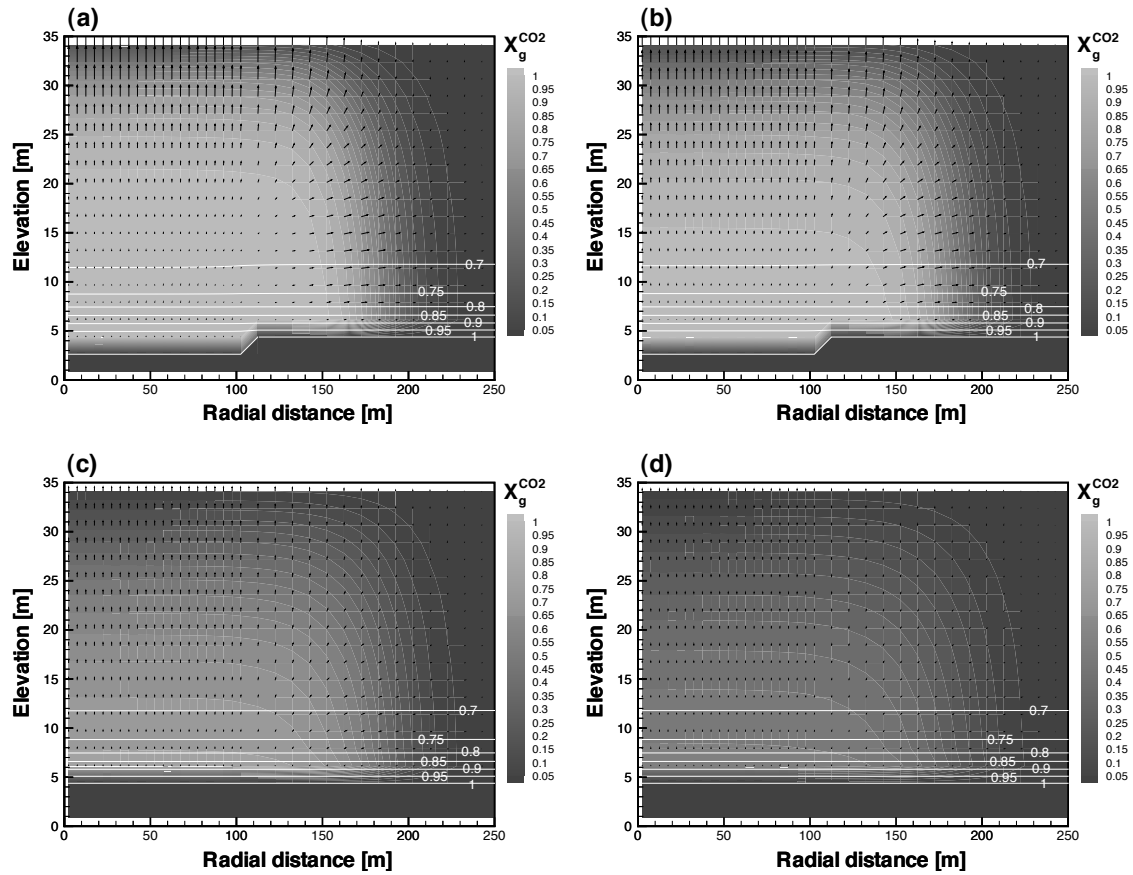


Figure 11. Shading indicates the mass fraction of  $\text{CO}_2$  in the gas phase and labeled contour lines indicate the water saturation for the case of zero leakage and an initial  $\text{CO}_2$  plume present in the unsaturated zone. (a)  $t = 6$  months, (b)  $t = 1$  year, (c)  $t = 5$  years, and (d)  $t = 10$  years. The maximum vector size represents a value of approximately (a)  $2.8 \times 10^{-2} \text{ m d}^{-1}$ , (b)  $2.0 \times 10^{-2} \text{ m d}^{-1}$ , (c)  $7.5 \times 10^{-3} \text{ m d}^{-1}$ , and (d)  $3.9 \times 10^{-3} \text{ m d}^{-1}$ .