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Title: An update on the epidemiology, molecular characterization, diagnosis, and screening strategies for early-onset colorectal cancer

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Abbreviations: colorectal cancer (CRC); consensus molecular subtypes (CMS); fecal immunochemical test (FIT); microsatellite instability (MSI)

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ABSTRACT

Rising trends in the incidence and mortality of early-onset CRC in those who are ages less than 50 years have been well-established. These trends have spurred intense investigation focused on elucidating the epidemiology and characteristics of early-onset CRC, as well on identifying strategies for early detection and prevention. In this review, we provide a contemporary update on early-onset CRC with a particular focus on epidemiology, molecular characterization, red flag signs and symptoms, and screening for early-onset CRC.

INTRODUCTION

In the United States (US), >140,000 individuals will be diagnosed with colorectal cancer (CRC) this year, and as many as 1 in 7 of these will be under the age of 50, deemed early-onset CRC.[1] Since the 1990s, early-onset CRC incidence has been rising at an alarming rate in the United States, and the cause of this rapid increase, which primarily impacts birth cohorts born after the1950s, remains largely unexplained.[1] This has spurred intense investigation focused on early-onset CRC, as recently reviewed in Gastroenterology by Stoffel and Murphy.[2] Given the complexity, wide range, and rapid pace of research addressing early-onset CRC, in this review we provide a contemporary update on early-onset CRC with a particular focus on the epidemiology, molecular characterization, red flag signs and symptoms, and screening for early-onset CRC (Table 1).

EPIDEMIOLOGY OF EARLY-ONSET CRC

Trends in Early-Onset CRC Incidence and Mortality

It is well-established that early-onset CRC incidence has increased over the past three decades in the United States.[1] CRC incidence rates have increased by nearly 45% in adults ages 20-49 years, from 8.6 per 100,000 in 1992 to 13.1 per 100,000 in 2016 in the United States.[2] Equally concerning is that CRC mortality rates among adults younger than 50 years increased by 1.3% per year from 2008-2017, whereas CRC mortality rates declined by 3% per year in individuals aged 65 years and older; decreases in mortality have slowed to 0.6% per year in individuals ages 50 to 64 years.[1] Although incidence patterns for early-onset CRC are similar in men and women over the past few decades, incidence varies by site (predominantly rectal and distal colon), stage (more late-stage disease), race, ethnicity, and geographic residence.[1, 3-7]

Since last year's review in *Gastroenterology*,[2] there is new information on the geographic variation of early-onset CRC incidence in the United States and worldwide. Overall, early-onset CRC incidence remains highest in southern and rural parts of the country.[4, 5, 7] Much of the United States (40 of 47 states) reported increases in early-onset CRC among non-Hispanic Whites from 1995 to 2015 with the most rapid rise in western states.[5] In

contrast to non-Hispanic Whites, the incidence of early-onset CRC among Blacks and Hispanics was relatively stable between 1995-2015 across most states.[5] New data suggest that globally, early-onset CRC is increasing in high-income countries including Australia, New Zealand, Canada, Korea, Taiwan, Germany, Denmark, Slovenia, Sweden, and the United Kingdom.[8-11] Conversely, early-onset CRC incidence has declined in three high-income countries: Italy, Austria, and Lithuania. Intriguingly, Austria adopted an earlier CRC screening initiation age (starting at age 40 years) in 2003 utilizing fecal-based testing and colonoscopy for screening,[12] raising the possibility that early initiation of screening in countries experiencing increasing incidence might be an effective strategy for addressing this problem.[10]

Early-Onset CRC Risk Factors

Several risk factors have been hypothesized as potential drivers of early-onset CRC based on global temporal trends in these risk factors, with the hypothesis that some of these risk factors exert effects through impacts on colonic inflammation and the gut microbiome. Specifically, lifestyle factors such as a Western diet,[13, 14] alcohol,[15] and tobacco[16] are risk factors for early-onset CRC or advanced colorectal neoplasia. Recent work from the Nurses' Health Study found prolonged sedentary television viewing, a surrogate for an inactive lifestyle, was associated with an increased risk of early-onset CRC, particularly for rectal cancer.[17] Using the same cohort, obesity was associated with a nearly 2-fold higher risk of early-onset CRC (adjusted odds ratio (aOR): 1.93, 95% CI: 1.15-3.25).[18] Novel work from Korea also suggests that adults diagnosed with diabetes at ages <50 years have a 27% increased risk of advanced neoplasia compared to those without diabetes (aOR: 1.27, 95% CI: 1.06-1.54); however, this study relied on a surrogate outcome of neoplasia given the limited number of patients with early-onset CRC (N=14) in their cohort.[19] Overall, these results suggest that many of the established risk factors for late-onset CRC also play a role in early-onset CRC.

In contrast to these and other findings reported in last year's review in *Gastroenterology*,[2] two studies from an academic medical center and the Veteran Affairs population showed no association between obesity or diabetes and early-onset CRC.[20, 21] Conflicting results can be partially explained by study design differences. Specifically, the two recent case-control studies ascertained body mass index information at or near the time of CRC diagnosis, which may have contributed to the lack of association due to reverse causality.[22] Another

recent study using the IBM MarketScan Commercial database found that metabolic syndrome was associated proximal early-onset colon cancer (aOR 1.37; 95% CI: 1.04-1.81), but not with early-onset distal colon or rectal cancers.[23] Novel work from the Nurses' Health Study II also suggests that a Western diet is associated with an increased risk of early-onset high-risk adenomas (aOR: 1.67; 95% CI: 1.18-2.37, highest vs lowest quintile), particularly in the distal colon and rectum.[24] Other potential risk factors for early-onset CRC that have been proposed, but remain understudied, include antibiotic exposure, perceived stress, red and processed meats, synthetic food coloring, and food additives (e.g., monosodium glutamate, titanium dioxide, high-fructose corn syrup, emulsifiers, etc.).[25, 26] Opportunities for advancing the knowledge base regarding risk factors include a need for more studies reporting risk associations stratified by CRC location (i.e., colon versus rectum), as well as studies exploring relationships between exposures that occur during development, from conception to early adulthood, and early-onset CRC.

SOMATIC MARKERS IN EARLY-ONSET CRC TUMORS

Somatic markers, in the form of molecular characteristics found within early-onset CRCs, can provide clues regarding potential etiologies and inform targeted treatment approaches. About 10-20% of early-onset CRC tumors are characterized as having high microsatellite instability (MSI-high),[27-29] and these early-onset MSI-high tumors are predominantly attributed to germline mutations associated with Lynch Syndrome.[27] Although hereditary CRC syndromes are associated with an increased risk of early-onset CRC,[30] the majority of early-onset CRC is not attributable to germline mutations in cancer risk genes,[29] and the molecular profile of these sporadic early-onset tumors is distinct from late-onset CRC. As detailed by Stoffel and Murphy,[2] compared to late-onset CRC, early-onset CRC has a lower prevalence of somatic *APC* and *BRAF* mutations and a higher prevalence of somatic *CTNNB1* mutations. Early-onset CRC tumors are also more likely to exhibit epigenetic changes indicative of global hypomethylation of DNA than late-onset CRC. Among the consensus molecular subtypes (CMS) for CRC, early-onset CRC has a high proportion of the CMS-1 subtype, which is characterized by tumors containing MSI-high and inflammatory or immunogenic markers.[2]

Recent studies support these findings and provide additional information on the heterogeneity of molecular markers among those with early-onset CRC, by age at diagnosis.[31] They also provide evidence that the

serrated pathway to CRC, which includes sessile serrated lesions, is not a major pathway driving early-onset CRC.[31, 32] One of these studies was conducted among CRC patients who were referred to targeted next-generation tumor sequencing, including 4,668 CRC patients who were ages < 50 years and 13,550 who were ages ≥ 50 years.[32] This large study sample allowed for stratification by MSI-status; among MSI-high tumors, *BRAF* was mutated in 48% of older patients and only 5% of younger patients. Given that the CRC serrated pathway involving sessile serrated lesion precursors is characterized by MSI-high, BRAF-mutated tumors,[33] it is unlikely that sessile serrated lesions are prominent precursors for early-onset CRC. As such, an increase in incidence of sessile serrated lesions under age 50 is unlikely to explain the rising incidence of early-onset CRC.

Another recent study applied a "multiomics" approach to analyzing molecular markers for early-onset CRC by conducting in-depth analyses of 233 microsatellite stable tumors, including analyses in tissue, plasma, and serum.[34] Results based on gene expression levels in tissue, protein plasma markers, and inflammatory markers in serum all suggest that oxidative stress mediated by deficiencies in NRF2 activity is likely an important pathway for early-onset CRC development. NRF2 plays a crucial role in preventing toxicity and the accumulation of reactive oxygen species which can result in oxidative stress and inflammation.[35] Taken together with the aforementioned observed high frequency of CMS-1 subtype tumors, these findings add to the growing body of evidence that inflammatory pathways may be particularly important to the development of early-onset CRC. These observations may have clinical and research implications, as anti-inflammatory medications, like aspirin, should be further evaluated in the context of early-onset CRC prevention and treatment.[36]

In addition to providing insights as to the pathogenesis and potential etiologies of early-onset CRC, research on somatic markers may also guide therapy. For example, cartilage oligomeric matrix protein (COMP) expression in early-onset CRC promotes cellular proliferation and tumorigenesis and leads to the hypothesis that COMP may be a potential treatment target in early-onset CRC.[37, 38] Use of tumor mutational burden as a somatic marker is another area of interest, because high tumor mutational burden is associated with a higher likelihood of response to immune checkpoint inhibitors.[39] In CRC, MSI-high tumors and those that harbor

somatic mutations in *POLE* are associated with higher tumor mutational burden.[39] This link is of interest, because somatic *POLE* mutations are more common in early-onset CRC, and identifying *POLE* mutations and associated high tumor mutation burden may guide selection of therapies such as immune checkpoint inhibitors in the future.[40]

Despite important advances in the molecular characterization of early-onset CRC, the etiologic and treatment implications of many early-onset CRC somatic tumor markers remain unclear. Future work to expand mutational signatures analyses and link mutational signatures in early-onset CRC to etiologic processes may shed light on factors driving the increase in early-onset CRC.[41] Research on somatic markers associated with early-onset CRC also has potential to inform oncologic therapies, which is particularly important given the high proportion of individuals with early-onset CRCs who present at an advanced stage.[42]

EARLY-ONSET CRC RED FLAG SIGNS AND SYMPTOMS

Red flag signs or symptoms precede 70-95% of early-onset CRC cases.[43-46] Rectal bleeding is the most commonly reported red flag symptom in early-onset CRC cases, with abdominal pain, change in bowel habits (including constipation and diarrhea), unexplained weight loss, and anemia also frequently reported.[43, 45, 47-49] Despite these common presentations, few studies have examined whether, on average, these red flag signs or symptoms are predictive of early-onset CRC. A recent study comparing early-onset CRC cases to later-onset CRC cases and controls found abdominal pain, rectal pain, change in bowel habits, rectal bleeding, and weight loss were associated with increased early-onset CRC risk.[50] Another recent study found rectal bleeding and iron deficiency anemia associated with 10-fold increased early-onset CRC risk.[51]

While red flag signs and symptoms may confer increased risk for CRC, recognition and work up may be delayed. Recent evidence has shown an average 6-month time to diagnosis from symptom presentation in early-onset CRC patients.[48, 52-54] There are several potential explanations for the increased time to diagnosis. One patient-level explanation is lack of risk awareness, where the patient believes that he or she is "too young" to worry about cancer.[54] Additionally, a lack of access to primary care or health insurance is a potential barrier to timely work-up.[54] One provider-specific explanation is dismissal of symptoms or misattribution of symptoms

to more benign conditions, such as hemorrhoids when rectal bleeding is present.[55, 56] Though conventional wisdom and best practice may suggest that diagnostic work-up should be performed with minimal delay, studies have not yet linked delays in diagnosis with worse early-onset CRC stage at presentation or five-year survival.[53, 54]

Nonetheless, symptomatic presentation tends to reflect advanced CRC stage at diagnosis and potentially worse prognosis, making standard diagnostic work-up strategies critical to rule out early-onset CRC. For example, the American Society for Gastrointestinal Endoscopy recommends flexible sigmoidoscopy for patients with rectal bleeding under age 40 and full colonoscopy for those ages 40 and older.[57] For iron deficiency anemia, the American Gastroenterological Association recommends that men and postmenopausal women, and suggests that premenopausal women, receive diagnostic evaluation that includes colonoscopy.[58] Additionally, we recommend that pending further data, individuals with otherwise unexplained weight loss or abdominal pain should have early-onset CRC considered as part of the differential diagnosis. Colonoscopy may not be the primary strategy for work up of weight loss or abdominal pain in many cases, but it should be considered if other work up (such as abdominal imaging) or interventions to address these symptoms do not result in a diagnosis and symptom resolution. To avoid potential for overwhelming colonoscopy capacity, care must be taken to carefully triage individuals towards colonoscopy vs. targeted or expectant management. While normally used as a screening tool, findings published last month from a National Health Service randomized trial also found the quantitative fecal immunochemical test (FIT), coupled with a low threshold for positivity, could be an effective triage method to rule out CRC in symptomatic individuals, particularly as a non-invasive test during the COVID-19 pandemic.[59] Additional research is needed to determine the effectiveness of this approach for evaluation of individuals with red flag symptoms potentially suggestive of early-onset CRC.

Taken together, the large burden of symptomatic early-onset CRC and long time to diagnosis necessitates an actionable work-up plan. We suggest a framework for identifying and addressing potential red flag signs and symptoms of early-onset CRC in which: 1) Red flag signs and symptoms are systematically recognized as including early-onset CRC as part of the differential diagnosis; 2) Triage to immediate colonoscopy vs alternative diagnostic strategies or immediate sign/symptom-specific therapy based on clinical guidelines,

severity of presentation, and other clinical factors; and 3) Timely, systematic follow up to confirm a diagnosis other than CRC or symptom resolution with referral to colonoscopy in cases that remain unresolved after 60 days for individuals initially triaged away from immediate colonoscopy (Figure 1). Successful implementation of this framework requires buy-in from providers and a multidisciplinary commitment to this approach which may include the use of outreach to patients and providing patients with convenient options for follow-up, including telehealth visits or secure messaging.

PRECISION AND POPULATION-BASED SCREENING FOR EARLY-ONSET CRC

Two strategies that may be applied for early detection and prevention of early-onset CRC among asymptomatic young adults are: 1) precision screening based on genetics, lifestyle, family history, and other factors, and 2) population-based screening for individuals otherwise at average risk. Early screening initiation based on family history has been the primary precision screening strategy recommended for early detection and prevention of early-onset CRC. The importance of family history-based recommendations is underscored by a recent study reporting that 1 in 4 early-onset CRC cases ages 40-49 met CRC family history criteria for early screening, and that 98% of those who met family history criteria could have had CRC diagnosed earlier (or possibly even prevented) if earlier screening had been implemented.[60] The findings also highlight that 3 out of 4 early-onset CRC cases did not have a family history, suggesting additional precision screening strategies may be required to optimize early detection and prevention.

More sophisticated approaches which may in the future inform precision screening for early-onset CRC draw on more complex family history-based risk models, genetic risk scores, as well as diet, lifestyle, environment, and constitutional factors. Using nationwide Swedish family-cancer data, one study found that taking into account the exact number and age of presentation of affected relatives with CRC provides a more accurate estimate of at what age an unaffected relative might reach a substantial 10-year risk for incident cancer, and that these ages often varied substantially from the age of recommended screening initiation based on current guidelines.[61] Genetic risk scores used for risk stratification are calculated based on the aggregate risk associated with having common genetic variants. Individually, variants present contribute only small increases in CRC risk, but when considered in aggregate, the burden of variants present can result in substantial

increases in risk. Use of risk scores covering genetic, lifestyle, and other factors resulted in a wide range of recommended ages for screening initiation, from 41 to 71 years, for those without a family history at highest to lowest risk, respectively in a recent study.[62] Among individuals without a family history, an increased genetic risk score could identify individuals with up to 4.3-fold increased risk compared to those with a low score in another recent study.[63] A limitation of all of the aforementioned studies was a focus on populations having exclusively European ancestry. Further, whether more complex strategies for risk stratification can be practically implemented has not been demonstrated.[64] Nonetheless, these results raise the exciting possibility that factors other than family history have promise for precision screening recommendations and other preventive interventions, particularly for those younger than age 45.

The new draft recommendation by the US Preventive Services Task Force to initiate screening at age 45 instead of 50,[65] congruent with the American Cancer Society's qualified recommendation for this approach,[66] heralds a new era of opportunities and challenges for population screening. If US Preventive Services Task Force accepts this as a final Grade A or B recommendation, this would effectively require most insurers to cover screening beginning at age 45 without cost-sharing.[26] These recommendations were mainly based on modeling studies accounting for rising early-onset CRC incidence and mortality which predict that earlier initiation will avert 1 additional CRC death per 1000 people beginning regular screening at age 45 instead of 50.[67, 68] The recommendations are further bolstered by recent work suggesting that the yield for advanced neoplasia is similar for both for "average risk equivalent" 45 to 49 year-olds to 50 to 54 year-olds undergoing routine colonoscopy,[69] as well as among average risk 45 to 49 year-old Blacks compared to average risk Blacks and non-Hispanic Whites age 50 to 54 undergoing colonoscopy for an abnormal FIT.[70] Earlier initiation of screening has also recently been predicted to be cost effective[71], and, if ultimately issued as a final recommendation by USPSTF, will offer a consistent recommendation for earlier initiation for Blacks and Alaska Natives, two groups which have an earlier uptick in age-specific CRC incidence[72, 73] and higher CRC mortality.[1]

To realize the full promise of this new opportunity for population screening, we must learn from our prior experiences with screening among 50 to 74 year-olds. Achieving high rates of screening requires systems based approaches, such as implementation of mailed FIT outreach,[74, 75] and promoting options for choice of

screening modality (particularly for racial/ethnic minorities).[76-78] Structural racism, poverty, and other factors have led to dramatic disparities in screening observed for those ages 50 to 75 by race, ethnicity, education, and insurance status.[1, 79] Disparities and suboptimal screening outcomes are likely to extend to individuals age 45 to 49 unless substantial interventions are resourced to ensure health equity across the entire age-eligible population.[80]

To optimize early detection and prevention of CRC across the full spectrum of age, we will need to continue to develop precision screening strategies to identify individuals younger than 45 for early screening, implement effective systems based approaches for population-level screening among those ages 45 to 49, and invest substantial resources in increasing screening participation and appropriate follow up for individuals ages 50 to 75 who are not up to date with CRC screening. A multi-pronged approach that acknowledges the importance of the rise in early-onset CRC, but continues to focus resources on optimizing screening for older individuals is critical, because older individuals have CRC risk that is several orders of magnitude higher than those under 50.[1]

CONCLUSIONS

We continue to make strides in our understanding of early-onset CRC and in the availability of interventions to reduce early-onset CRC morbidity and mortality. Clinician and patient education on early-onset CRC red flag signs and symptoms and options for guidelines-based screening in those who are younger than 50 years of age are key areas of focus that have the potential to begin reducing early-onset CRC mortality rates. We must also continue to identify the factors driving early-onset CRC to inform additional primary prevention approaches, including precision screening, and characterize predictive somatic markers in early-onset CRC to improve treatment outcomes among those with early-onset CRC.

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Table 1. Summary of the current state of knowledge for the epidemiology, tumor marker characterization, red flag symptoms and screening for early-onset CRC

	What is known?	What is new?	What is unknown?
Epidemiology	• Early-onset CRC incidence has been increasing since the 1990s. • CRC-related mortality is increasing among young adults 40-49 years of age. • Early-onset CRC incidence patterns are fairly similar in men and women, but vary by site (predominantly distal and rectal cancer) and stage (mostly late-stage disease).	• Early-onset CRC incidence varies by geography across the United States with the most rapid rise in western states, but highest incidence in southern states and rural areas. • Conflicting results exist regarding whether obesity and diabetes are risk factors for early-onset CRC. • Metabolic syndrome is associated with early-onset CRC. • A Western diet is associated with early-onset high-risk adenomas.	• Do antibiotics, perceived stress, red and processed meats, synthetic food coloring, and food additives impact the risk of early-onset CRC? • Do exposures during gestation, childhood, and early adulthood impact the risk of early-onset CRC?
Tumor markers	• MSI-high early-onset CRC is mostly due to Lynch Syndrome and accounts for <20% of all early-onset CRC. • The molecular profile of sporadic early-onset CRC is distinct from late-onset CRC. • Early-onset CRC is more likely to exhibit global hypomethylation and somatic *CTNNB1* mutations and less likely to have somatic *APC* and *BRAF* mutations.	• Inflammatory pathways may be particularly important to the development of early-onset CRC based on multiomics studies. • Cartilage oligomeric matrix protein (COMP) is over-expressed in early-onset CRC, suggesting a potential target for treatment. • Somatic *POLE* mutation is more common in early-onset CRC and may have implications for better response to immune checkpoint inhibitors due to association with higher tumor mutational burden.	• Are specific early-onset CRC tumor molecular signatures associated with specific etiologic processes or risk factors? • Can currently identified tumor markers help optimize treatment of early-onset CRC?
Red flag symptoms	• Red flag signs/symptoms precede 70-95% of early-onset CRC diagnoses. • Rectal bleeding is the most commonly reported initial symptom in early-onset CRC cases.	• Compared to later-onset CRC, abdominal pain, rectal pain, change in bowel habits, rectal bleeding and weight loss were associated with an increased odds of early-onset CRC.	• What are the best strategies for increasing awareness of red flag signs and symptoms for early-onset CRC among individuals younger than age 50 and among providers?

	• Time delays to early-onset CRC diagnosis from symptom presentation average 6 months.	• Recent studies found time to diagnosis is not associated with worse early-onset CRC stage at presentation or survival.	• What are the best strategies for triage of individuals with red flags towards immediate colonoscopy vs. symptom and sign-specific work up and treatment? • What are the short-term cumulative risks for CRC among individuals across the range of signs and symptoms potentially associated with early-onset CRC? • What patient, provider, or health system-based factors, are associated with increased time to early-onset CRC diagnosis?
Screening	• Primary approach to precision screening is offering early screening initiation based on family history guidelines. • Traditionally, screening for individuals at average risk has been recommended to begin at age 50.	• Recent research suggests models incorporating genetic risk scores, lifestyle, and other factors may identify candidates for early initiation of screening for individuals without a family history. • New draft recommendations by the US Preventive Services Task Force support initiation of screening at age 45 instead of 50 for individuals at average risk. • Starting screening at age 45 is supported by rising incidence, recent modeling studies, observation that neoplasia prevalence is similar for individuals ages 45 to 49 compared with 50 to 54 year-olds, and evidence that early initiation is cost-effective.	• How can we improve adherence to family-history based guidelines for screening? • For individuals without a family history, how can novel risk scores be implemented for precision screening? • For average risk individuals, how can early initiation be informed by past experiences with implementation among 50 to 75 year-olds? • For average risk individuals, how can early screening initiation be implemented with a multi-pronged approach that also continues to focus resources on optimizing screening for older individuals?

Figure 1. A framework for identifying and addressing "red flag" signs and symptoms of early-onset CRC

[Note: The Authors are working with Gastroenterology Senior Arts Director, Sarah Williamson, on a figure for the publication]

Legend: Figure 1. A framework for identifying and addressing "red flag" signs and symptoms of early-onset CRC. To ensure timely early-onset diagnosis, we propose to 1) increase awareness of potential red flags associated with early-onset CRC; 2) actively triage every patient with a red-flag to either immediate colonoscopy or other work up and treatment based on clinical guidelines, symptom/sign severity, and clinical context; and 3) Closing the clinical loop for all patients with red-flags not triaged immediately to colonoscopy with clinical follow up such as a mandatory 60 day clinic visit to ensure resolution of the red flag or referral to colonoscopy.