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Inverse estimates of anthropogenic CO2 uptake, transport, and storage by the ocean

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#### Inverse estimates of anthropogenic CO<sub>2</sub> 2

#### uptake, transport, and storage by the ocean 3

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- S. A. Müller, and J. L. Sarmiento<sup>3</sup> 6
- Received 7 April 2005; revised 20 October 2005; accepted 16 January 2006; published XX Month 2006, 7
- [1] Regional air-sea fluxes of anthropogenic CO<sub>2</sub> are estimated using a Green's function 8
- inversion method that combines data-based estimates of anthropogenic CO<sub>2</sub> in the ocean 9
- 10 with information about ocean transport and mixing from a suite of Ocean General
- Circulation Models (OGCMs). In order to quantify the uncertainty associated with the 11
- estimated fluxes owing to modeled transport and errors in the data, we employ 10 12
- OGCMs and three scenarios representing biases in the data-based anthropogenic CO<sub>2</sub> 13
- estimates. On the basis of the prescribed anthropogenic CO<sub>2</sub> storage, we find a global 14
- uptake of  $2.2 \pm 0.25$  Pg C yr<sup>-1</sup>, scaled to 1995. This error estimate represents the standard 15
- 16 deviation of the models weighted by a CFC-based model skill score, which reduces the
- error range and emphasizes those models that have been shown to reproduce observed 17
- tracer concentrations most accurately. The greatest anthropogenic CO2 uptake occurs in 18
- the Southern Ocean and in the tropics. The flux estimates imply vigorous northward 19
- transport in the Southern Hemisphere, northward cross-equatorial transport, and 20
- 21 equatorward transport at high northern latitudes. Compared with forward simulations, we
- find substantially more uptake in the Southern Ocean, less uptake in the Pacific Ocean, 22
- and less global uptake. The large-scale spatial pattern of the estimated flux is generally 23
- insensitive to possible biases in the data and the models employed. However, the global 24
- uptake scales approximately linearly with changes in the global anthropogenic CO<sub>2</sub> 25
- 26 inventory. Considerable uncertainties remain in some regions, particularly the Southern

27 Ocean.

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#### 1. Introduction

[2] It is estimated that the Earth's oceans have absorbed about  $48 \pm 9\%$  of the CO<sub>2</sub> emitted over the industrial period

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(1880-1994) from fossil fuel consumption and cement 35 production [Sabine et al., 2004]. Accurate, quantitative 36 assessments of the spatial pattern of the air-sea flux of 37 anthropogenic CO<sub>2</sub> are needed to improve our understand- 38 ing of the physical processes controlling this uptake. How- 39 ever, there are substantial uncertainties associated with 40 current estimates of these fluxes.

[3] The exchange of anthropogenic CO<sub>2</sub> across the air-sea 42 interface cannot be measured directly. However, the total 43 air-sea CO<sub>2</sub> exchange can be determined from observations 44 of the difference between the partial pressures of CO<sub>2</sub> in the 45 atmosphere and the surface ocean,  $pCO_2$ , and a formulation 46 of the air-sea gas exchange coefficient [e.g., Takahashi et 47 al., 2002]. No method is currently available to measure the 48 component of the air-sea exchange that is attributable to the 49 anthropogenic perturbation of the atmospheric CO<sub>2</sub> concen- 50 tration, although this quantity has been separated from the 51 observations in the Indian Ocean using a method related to 52 the one presented here [Hall and Primeau, 2004]. The 53 spatial pattern of the oceanic uptake of anthropogenic 54 CO<sub>2</sub> has traditionally been estimated using Ocean General 55 Circulation Models (OGCMs) [e.g., Orr et al., 2001; 56 Murnane et al., 1999; Sarmiento et al., 1992].

**XXXXXX** 1 of 16

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- [4] The tracer-based  $\Delta C^*$  method is used extensively to separate the concentration of anthropogenic CO<sub>2</sub> in the ocean from ocean interior observations of dissolved inorganic carbon (DIC) and other tracers [Gruber et al., 1996]. This technique has been employed to calculate regional and global inventories of anthropogenic CO<sub>2</sub> storage in the ocean [e.g., Lee et al., 2003; Gruber, 1998; Sabine et al., 1999, 2002], and a global summary was presented by Sabine et al. [2004]. However, while this method provided many new insights into anthropogenic CO<sub>2</sub> storage, by itself it cannot be used to quantitatively assess the air-sea fluxes and oceanic transport of anthropogenic CO<sub>2</sub>.
- [5] Recently, an approach has been developed to estimate surface fluxes from ocean interior data [Gloor et al., 2001; Gruber et al., 2001; Gloor et al., 2003]. This approach uses a Green's function inverse method analogous to atmospheric tracer inversions [e.g., Enting and Mansbridge, 1989; Tans et al., 1990; Bousquet et al., 2000] to infer regional air-sea fluxes from ocean interior observations and OGCMs that are used to determine how surface fluxes influence tracer concentrations in the interior ocean.
- [6] The inverse approach is appealing because the flux estimates are driven by data and because it is independent of bulk formulations, such as the parameterization of the airsea gas exchange coefficient needed to estimate air-sea fluxes from measurements of the air-sea partial pressure difference [e.g., Takahashi et al., 2002]. The application of this inversion method to the anthropogenic CO<sub>2</sub> problem is aided by the fact that the large-scale spatial footprints of anthropogenic CO<sub>2</sub> uptake are well preserved in the oceans owing to the long timescales of ocean circulation. However, there are several important sources of uncertainty associated with this method that have not been addressed. Comparisons between heat and oxygen flux estimates using three different OGCMs suggested that model transport is one of the largest sources of uncertainty in the inverse estimates [Gloor et al., 2001; Gruber et al., 2001]. There are also several sources of uncertainty associated with the estimates of anthropogenic CO<sub>2</sub> used to constrain the inversion [Gruber et al., 1996; Matsumoto and Gruber, 2005; Keeling, 2005; Sabine and Gruber, 2005]. A third issue that needs to be considered is the aggregation error, which is caused by the assumption that fluxes within a large spatial region are proportional to a prescribed spatial pattern [Kaminski et al., 2001]. In addition, the inversion implicitly assumes that ocean circulation was approximately steady over the last 2 centuries and that the only source of temporal variability in the oceanic uptake of anthropogenic CO<sub>2</sub> is the atmospheric CO<sub>2</sub> perturbation.
- [7] The aim of this paper is to extend the first estimates of Gloor et al. [2003] by estimating the air-sea fluxes of anthropogenic CO<sub>2</sub> with a refined method, address the uncertainties and robustness of these estimates, and explore the oceanic transport of anthropogenic CO<sub>2</sub> implied by the surface fluxes. We employ a suite of 10 OGCMs to estimate regional anthropogenic CO<sub>2</sub> fluxes from 24 regions. We discuss the features of the flux estimates and their implications for the global CO<sub>2</sub> cycle. We then explore the role of ocean transport in the inversion and assess the uncertainty due to differences among OGCMs. In addition, we quantify

the effect of likely sources of systematic error in the data- 118 based estimates of anthropogenic CO<sub>2</sub> on the inversely 119 estimated fluxes. Finally, the inverse results are compared 120 with forward model simulations using the same suite of 121 models permitting us to assess what we have learned using 122 the inverse approach.

#### Methods

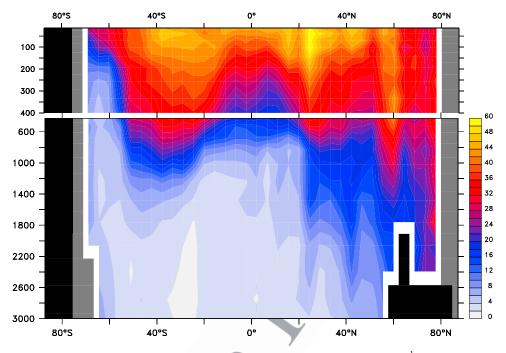
#### 2.1. Anthropogenic CO<sub>2</sub> Estimates

- [8] One of the primary components enabling this work is 126 the recent availability of a high-density, global data set of 127 DIC and other tracers in the ocean interior from the Global 128 Ocean Data Analysis Project (GLODAP) [Key et al., 2004]. 129 This data set is composed of data collected from cruises 130 conducted as part of the World Ocean Circulation Experi- 131 ment (WOCE), the Joint Global Ocean Flux Study 132 (JGOFS), and the National Oceanic and Atmospheric Ad- 133 ministration (NOAA) Ocean-Atmosphere Exchange Study 134 (OACES) as well as historical cruises. (Locations of the 135 observations are shown in Figure fs01 of the auxiliary 136 material<sup>1</sup>.) As a result of this project, over 68,000 observations are available to constrain the flux estimates.
- [9] For each of these observations, the component of the 139 observed DIC concentration that is due to the atmospheric 140 perturbation of  $CO_2$  was estimated using the  $\Delta C^*$  method 141 [Gruber et al., 1996]. In this study, we use individual data 142 points rather than the gridded data set. The spatial and 143 temporal inhomogeneity of these data are accounted for by 144 sampling the model simulated basis functions at the grid 145 box corresponding to the sampling site during the year the 146 data was collected, as discussed in the following section.
- [10] A zonally averaged section of the reconstructed 148 anthropogenic CO<sub>2</sub> used to constrain the inversion is shown 149 in Figure 1. The highest anthropogenic CO<sub>2</sub> concentrations 150 occur near the surface with generally rapidly decreasing 151 concentrations toward the interior of the ocean. This is a 152 consequence of the long timescale of ocean transport from 153 the surface to the deep ocean interior. The deepest penetra- 154 tion occurs in the North Atlantic, owing to the extensive 155 deep water formation in this region, and at midlatitudes, 156 owing to the convergence of intermediate waters and mode 157 waters that were recently in contact with the surface. There 158 is little penetration in the tropics owing to the shallow 159 thermocline. The anthropogenic CO<sub>2</sub> data set is discussed in 160 detail by Sabine et al. [2004].

#### 2.2. Inverse Model

[11] We use the same approach used by Gloor et al. 164 [2003], with a few adaptations. We provide here only an 165 overview of the method and refer to the auxiliary material 166 for further details. The surface of the ocean is divided into 167 30 regions, and later aggregated to 24 regions as shown in 168 Figure 2. Ten OGCMs are used to simulate a basis functions 169 for each surface region, describing how an arbitrary unit of 170 flux at the surface impacts tracer concentrations in 171 the interior ocean. (Basis functions for one OGCM 172

<sup>&</sup>lt;sup>1</sup>Auxiliary material is available at ftp://ftp.agu.org/apend/gb/ 2005GB002530.



**Figure 1.** Meridional section of zonally averaged anthropogenic  $CO_2$  (µmol kg<sup>-1</sup>) used to constrain the inversion. Uniform gray areas bounded by a thick, white line represent locations where no observations are available and black areas represent topography. Anthropogenic  $CO_2$  was estimated from dissolved inorganic  $CO_2$  measurements using the  $\Delta C^*$  method of *Gruber et al.* [1996]. Based on data provided by GLODAP [Key et al., 2004].

corresponding to each region are shown in Figure fs02 of the auxiliary material.) The resulting simulated basis functions are then sampled at the location and time of each of the observations during the year that each observation was collected (Figure 1). Each of the observations, in this case data-based estimates of anthropogenic  $CO_2$ ,  $C_{ant}$ , is approximated as a linear combination of the nreg = 30 basis functions.

$$C_{ant} = \sum_{i=1,nreg} \lambda_i A_i + \varepsilon, \tag{1}$$

where  $A_i$  is the modeled basis function concentration at the location of the observations,  $\lambda_i$  is a dimensionless factor that scales the unit surface flux into the region, and  $\varepsilon$  is a residual due to limitations of the method. In order to account for random errors in the data-based anthropogenic  $CO_2$  estimates, each of the data-based estimates is weighted by the inverse of its random error, estimated by error propagation [see *Gruber et al.*, 1996]. Finally, the system of linear equations is solved for the combination of surface fluxes that is in optimal agreement with the data-based anthropogenic  $CO_2$  estimates, using Singular Value decomposition (SVD). In cases where multiple observations occur in the same model grid box, each observation is treated as a separate constraint in the system of linear equations.

[12] The basis function for a given model region is generated by continuously injecting an arbitrary unit flux of a dye tracer into the surface of a this region and by running the OGCMs forward in time over the industrial period (1765–2005). This flux is distributed within the

region on the basis of the seasonal climatology of *Takahashi* 204 *et al.* [2002] and scaled with time on the basis of the 205 atmospheric  $CO_2$  perturbation using a scaling factor,  $\phi(t)$ . 206

[13] The temporal scaling,  $\phi$ , is calculated from the 207 atmospheric CO<sub>2</sub> mixing ratio as done by *Gloor et al.* 208 [2003].

$$\phi(t) = \frac{\chi_{CO_2}(t) - \chi_{CO_2}^{Preindustrial}}{\int \left(\chi_{CO_2}(t) - \chi_{CO_2}^{Preindustrial}\right) dt},$$
(2)

where  $\chi_{CO_2}$  is the atmospheric mixing ratio of CO<sub>2</sub>, 211 assumed to be 280 ppm in preindustrial times [*Etheridge* 212 *et al.*, 1996]. The time history of  $\chi_{CO_2}$  is prescribed by a 213 spline fit determined by *Enting et al.* [1994] on the basis of 214 ice core data [*Neftel et al.*, 1985; *Friedli et al.*, 1986] and 215 observations of atmospheric CO<sub>2</sub> at Mauna Loa Observatory [*Keeling et al.*, 1989]. We updated this time series to 217 the year 2005 using observations from Mauna Loa provided 218 by CMDL/NOAA and a scaled version of the IS92 scenario 219 [*Mikaloff Fletcher et al.*, 2003]. Here  $\chi_{CO_2}^{Preindustrial}$  is 280 ppm 220 based on ice core data.

[14] This temporal scaling of the dye fluxes is possible 222 owing to the nearly exponential growth of atmospheric CO<sub>2</sub> 223 during the industrial period. Theoretical considerations and 224 a box model analysis show that when the mixing ratio of an 225 atmospheric gas increases exponentially, the oceanic uptake 226 is, to first order, proportional to the rate of growth. This is 227 because the atmospheric growth rate of a trace gas at any 228 point in time is proportional to the total amount of the trace 229 gas in the atmosphere. We confirmed our scaling by plotting 230

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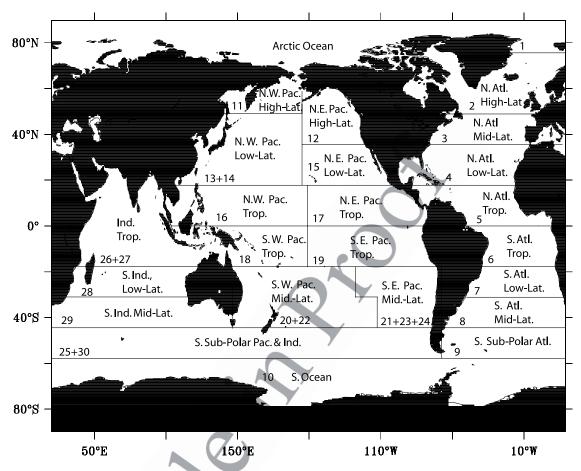
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**Figure 2.** The 24 regions used for the ocean inversion. The region numbers show the aggregation from the original 30 regions [*Mikaloff Fletcher et al.*, 2003] to the 24 regions used in this study.

anthropogenic  $CO_2$  uptake versus atmospheric  $CO_2$  perturbation using results from the second phase of the Ocean Carbon-cycle Model Intercomparison Project (OCMIP-2) [Watson and Orr, 2003] (see Figure fs03 of the auxiliary material). This analysis also reveals some notable departures from our scaling around 1800 and 1940. These are caused by the large changes in atmospheric  $CO_2$  growth rate that occurred during these periods. The results from the OCMIP-2 forward simulations also demonstrate that the increase in the buffer factor due to the accumulation of anthropogenic  $CO_2$  in the surface ocean between 1765 and 2005 is too small to have caused a detectable deviation from our assumed linear scaling.

[15] Basis functions were computed for 30 surface regions [Mikaloff Fletcher et al., 2003], and later aggregated to 24 regions. These aggregations were selected to minimize the covariance between the modeled response to surface fluxes into each pair of regions. High covariances between regions indicate that the inversion cannot effectively distinguish between two regions either because the basis functions are too similar or because the observational data set is insufficient. The sum of the fluxes into two regions with high covariance may be well constrained, but the individual fluxes are highly uncertain.

#### 2.3. OGCMs

[16] We employ basis functions from 10 OGCMs in order 257 to elucidate the role of differences in OGCM transport in the 258 inversion. These model simulations were undertaken by six 259 different modeling groups: Princeton (PRINCE) Massachu- 260 setts Institute of Technology (MIT), Bern-Switzerland 261 (Bern3D), Jet Propulsion Laboratory (ECCO), National 262 Center for Atmospheric Research (NCAR), and University 263 of Liége-Belgium (UL) (described briefly in the auxiliary 264 material). Princeton provided results from five different 265 configurations of their model [Gnanadesikan et al., 2002, 266 2004], summarized in Table ts01 of the auxiliary material. 267 Owing to the history of model development, several of these 268 models share common numerical cores. However, compar- 269 ison with data constraints have shown that differences in 270 sub-grid-scale parameterizations and surface forcing are a 271 stronger determinant of model differences than model 272 architecture [Dutay et al., 2002; Doney et al., 2004; 273 Matsumoto et al., 2004]. This is well illustrated by the 274 PRINCE family of models, which share the same funda- 275 mental numerical core setup, but have differing values of 276 the vertical and along-isopycnal diffusivity, and in some 277 cases also differing salinity restoring schemes, wind fields, 278 and topography. These changes cause the resulting model 279

t1.1 **Table 1.** Evaluation of Model Skill Based on Comparisons Between CFC-11 Model Simulations and the GLODAP Gridded CFC Data Set<sup>a</sup>

t1.2		Correlation	Normalized Std. Dev. <sup>b</sup>	Model Skill <sup>c</sup>	Inverse Anthropogenic CO <sub>2</sub> Uptake, Pg C yr <sup>-1</sup>	Forward Anthropogenic CO <sub>2</sub> Uptake, Pg C yr <sup>-1</sup>
t1.3	BERN	0.89	1.04	0.81	2.05	N.A.
t1.4	ECCO	0.96	0.89	0.91	2.01	N.A.
t1.5	MIT	0.91	1.00	0.85	2.22	N.A.
t1.6	NCAR	0.95	0.98	0.91	2.18	2.36
t1.7	PRINCE-LL	0.90	1.18	0.80	1.85	1.90
t1.8	PRINCE-HH	0.93	1.05	0.87	2.33	2.43
t1.9	PRINCE-LHS	0.93	1.04	0.86	1.99	2.04
t1.10	PRINCE-2	0.93	1.03	0.87	2.17	2.24
t1.11	PRINCE-2a	0.91	1.05	0.85	2.25	2.35
t1.12	UL	0.87	1.0	0.77	2.81	2.95
t1.13	Mean	0.92	1.02	0.85	2.18	2.32

<sup>a</sup>Also tabulated are forward and inverse estimates of the global total anthropogenic CO<sub>2</sub> uptake (Pg C yr<sup>-1</sup>, scaled to 1995).

t1.14 Forward results are from OCMIP-2 [Dutay et al., 2002; Watson and Orr, 2003].

configurations to span nearly the entire range of model behavior seen in the global coarse-resolution models that participated in OCMIP-2 [*Matsumoto et al.*, 2004].

[17] Four of the models used here have been compared in OCMIP-2 [Dutay et al., 2002; Doney et al., 2004; Watson and Orr, 2003]: the LL configuration of PRINCE, and the MIT, NCAR, and UL models. The MIT model used here has a slightly different configuration from the version used in OCMIP-2.

[18] In order to determine which models are likely to have the most accurate transport on the timescale of anthropogenic CO<sub>2</sub> perturbation, we compare the GLODAP gridded CFC-11 data set with simulations of CFC-11 from that followed the OCMIP-2 protocol [*Dutay et al.*, 2002]. Table 1 shows the correlation between the gridded CFC-11 data and the modeled CFC-11, the standard deviation of the modeled CFC-11 normalized by the standard deviation of the gridded CFC-11 data, and a CFC-11 model skill score based on these two quantities [*Taylor*, 2001]. We use these CFC-11 skill scores to weight the different models when calculating the between-model means and standard deviations, such that models that simulate the distribution of CFC-11 more accurately have a stronger effect on the reported results.

#### 3. Results

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#### 3.1. Anthropogenic CO<sub>2</sub> Uptake

uptake of 2.2 Pg C yr<sup>-1</sup>, with a weighted standard deviation of 0.25 Pg C yr<sup>-1</sup>, scaled to a nominal year of 1995. The range across all models is 1.85 to 2.81 Pg C yr<sup>-1</sup> (Table 1). This substantial range is due in part to differences between the effective vertical diffusivities in the models. Highly diffusive models distribute the dye over a larger portion of the ocean. This requires larger anthropogenic CO<sub>2</sub> fluxes in order to match the high observed anthropogenic CO<sub>2</sub> concentrations in the upper ocean. The OGCMs providing the high and low ends of this range (UL and PRINCE-LL) also have lower CFC-11 skill scores than the other OGCMs

used in this study. This suggests that the cross-model range 319 can be considered an upper estimate of the uncertainty 320 associated with the inversely estimated global anthro- 321 pogenic CO<sub>2</sub> uptake.

[20] The greatest anthropogenic CO<sub>2</sub> uptake occurs in the 323 Southern Ocean, particularly in the subpolar regions (44°S 324 to 58°S), where the weighted mean anthropogenic CO<sub>2</sub> 325 uptake is 0.51 Pg C yr<sup>-1</sup> with a standard deviation of 326 0.17 Pg C yr<sup>-1</sup> (Figure 3). This flux represents 23% of the 327 global total anthropogenic CO<sub>2</sub> uptake. In addition, the 328 inversion finds considerable anthropogenic CO<sub>2</sub> uptake in 329 the tropics. In contrast, anthropogenic CO<sub>2</sub> uptake at mid 330 latitudes is found to be low, despite the fact that the greatest 331 anthropogenic CO<sub>2</sub> storage occurs there (Figure 1).

[21] These broad features in the spatial pattern of the 333 fluxes are consistent across all of the models that partici-334 pated in this study. However, there exists considerable 335 model differences between the anthropogenic flux estimates 336 for some regions, leading to substantial uncertainties in the 337 weighted means. The greatest anthropogenic CO<sub>2</sub> uncer-338 tainty occurs in the Southern Ocean, with a weighted 339 standard deviation from the weighted mean uptake of 340 0.10 Pg C yr<sup>-1</sup> for the region south of 58°S and 0.17 Pg 341 C yr<sup>-1</sup> for the region between 44°S and 58°S. As a 342 percentage of the total signal, the range in the high-latitude 343 North Atlantic is also very high. The inverse estimates are 344 the most consistent in the North Atlantic and North Pacific. 345

[22] This uptake pattern is in good agreement with previous forward modeling studies. In some of the first 3-D 347 OGCM studies of the oceanic uptake of anthropogenic CO<sub>2</sub>, 348 Sarmiento et al. [1992] and Maier-Reimer and Hasselmann 349 [1987] found a similar pattern of vigorous anthropogenic 350 CO<sub>2</sub> uptake at high latitudes and at the equator, and low 351 anthropogenic CO<sub>2</sub> uptake at midlatitudes. They attributed 352 the high uptake in the tropics and in the high latitudes 353 primarily to these regions being characterized by high rates 354 of transport and mixing of subsurface waters depleted in 355 anthropogenic CO<sub>2</sub> to the surface. Although variations in 356 gas transfer velocity were found by Sarmiento et al. [1992] 357 to be of second importance for the global uptake of 358

<sup>&</sup>lt;sup>b</sup>Std. Dev. is defined as the standard deviation of the modeled field divided by the corresponding standard deviation of the t1.15 observed field.

t1.16 °Taylor [2001].

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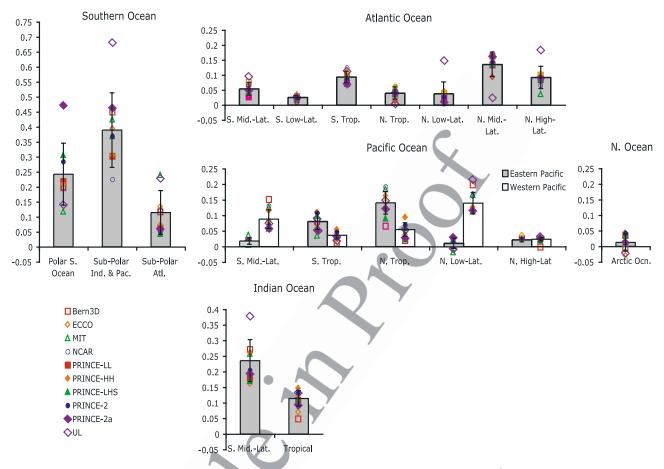
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**Figure 3.** Inverse estimates of anthropogenic CO<sub>2</sub> uptake by the ocean (Pg C yr<sup>-1</sup>) for a nominal year of 1995 (positive values indicate flux into the ocean). The columns show the cross-model weighted means, and the error bars represent the weighted standard deviation. The weights were provided by the model's CFC-11 skill scores (see Table 1). The flux estimates for individual models are shown as symbols.

anthropogenic CO<sub>2</sub>, the higher wind speeds in high-latitude regions were found to have some enhancing effect on greater anthropogenic CO<sub>2</sub> uptake there. Owing to the long residence of upper ocean waters in the midlatitudes, anthropogenic CO<sub>2</sub> in the surface waters of these regions generally follows the atmospheric perturbation quite closely [see, e.g., *Gruber et al.*, 2002; *Keeling et al.*, 2004; *Takahashi*, 2004]. This leads to low uptake.

[23] Sarmiento et al. [1992] found an anthropogenic  $CO_2$  uptake of 1.9 Pg C yr<sup>-1</sup> for the decade from 1980 to 1989. This is comparable with our weighted estimate of 1.82  $\pm$  0.21 Pg C yr<sup>-1</sup> when scaled to the same time period. Orr et al. [2001] simulated anthropogenic  $CO_2$  uptake using four 3-D OGCMs and found a 1980–1989 uptake of 1.85  $\pm$  0.35 Pg C yr<sup>-1</sup>. Like this study, they found the greatest anthropogenic  $CO_2$  uptake and the greatest range between models in the Southern Ocean. The inverse estimates will be compared in more detail with the forward simulations in section 5.

[24] This study is also in good agreement with the earlier inversion study of *Gloor et al.* [2003] (Figure fs05 of the

auxiliary material), as the latter estimates generally fall 380 within the model range of this study. Since the methodology 381 is the same, the primary causes for the differences between 382 the two studies are the choice of OGCM and the selection of 383 model regions. Gloor et al. [2003] used only one model 384 (PRINCE-LL, also used here), while we report the weighted 385 mean of 10 models, including the PRINCE-LL model. We 386 estimate fluxes into 24 surface regions while Gloor et al. 387 [2003] used only 13 regions. The larger number of model 388 regions in this study is expected to reduce the aggregation 389 error [Kaminski et al., 2001], giving our results more 390 confidence. In addition, we employ a spatial and temporal 391 flux pattern modeled after the observationally based air-sea 392 CO<sub>2</sub> flux estimates of Takahashi et al. [2002], which is 393 likely a better assumption than the annual mean pattern 394 based on heat fluxes employed by Gloor et al. [2003]. 395 Additional but likely smaller differences between the two 396 studies arise because we weight the data-based anthropo- 397 genic CO<sub>2</sub> estimates with an estimate of the random error, 398 which is different for every observation, while Gloor et al. 399 [2003] weighted all of the observations equally. Finally, a 400

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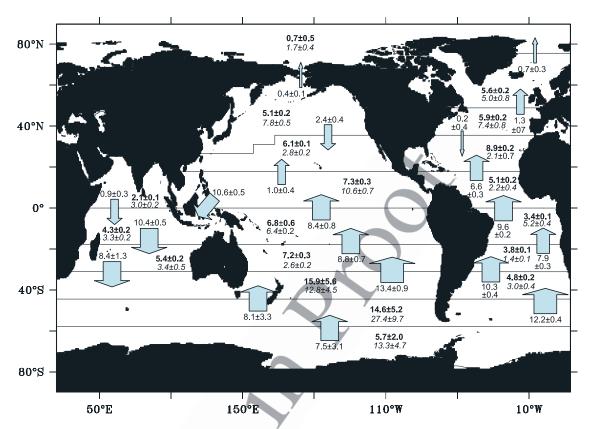
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**Figure 4.** Global map of the time integrated (1765-1995) transport (shown above or below arrows) of anthropogenic  $CO_2$  based on the inverse flux estimates (italics) and their implied storage (bold) in Pg C. Shown are the weighted mean estimates and their weighted standard deviation.

larger anthropogenic CO<sub>2</sub> data set is available to constrain the inverse estimates in this study. Owing to the large number of observations used in both studies, this latter difference has little impact on the inverse estimates.

#### 3.2. Oceanic Transport of Anthropogenic CO<sub>2</sub>

[25] The transport of anthropogenic CO<sub>2</sub> can be calculated from the divergence of the regional fluxes integrated in time (1765–1995) and the inverse storage estimates. In order to be consistent with the estimated fluxes, we calculated this storage from the sum of the regional scaling factors multiplied by the basis functions (equation (1)), rather than using observed storage.

[26] Globally, the vigorous anthropogenic CO<sub>2</sub> uptake in the Southern Ocean and the absence of large storage there drive a substantial equatorward transport in most of the Southern Hemisphere (Figure 4). Only about half of the anthropogenic CO<sub>2</sub> taken up in the high-latitude Southern Ocean is stored there, while the rest is transported equatorward. This leads to a considerable anthropogenic CO<sub>2</sub> storage at midlatitudes in the Southern Hemisphere and a northward cross-equatorial transport. In the Northern Hemisphere, anthropogenic CO<sub>2</sub> is transported poleward from the tropics and equatorward from midlatitudes, leading to convergence and storage in the subtropics. We find a small amount of poleward transport from high latitudes into the Arctic Ocean. This general pattern of anthropogenic uptake at high latitudes and in the tropics with subsequent transport

to midlatitudes, where the anthropogenic CO<sub>2</sub> is stored, is in 429 good agreement with previous modeling studies [Sarmiento 430 et al., 1992].

[27] The largest portion of the anthropogenic CO<sub>2</sub> trans- 432 ported equatorward from the Southern Ocean is going into 433 the Atlantic Ocean. Some of it is transported northward 434 along the surface, and some of it is transported at depth, 435 mostly associated with the equatorward and downward 436 spreading of Sub-Antarctic Mode Water (SAMW) and 437 Antarctic Intermediate Water (AAIW). The bulk of this 438 Southern Ocean derived anthropogenic CO<sub>2</sub> then accumulates in the South Atlantic Subtropical Gyre (basis functions 440 shown in Figures fs06 and fs07 of the auxiliary material). A 441 portion of the anthropogenic CO<sub>2</sub> taken up in the tropics is 442 transported southward, but most is either stored there or 443 transported northward along the surface and then stored in 444 the subtropical North Atlantic (Figure fs02 of the auxiliary 445 material, regions 5 and 6).

[28] In the North Atlantic, the greatest anthropogenic CO<sub>2</sub> 447 uptake occurs at mid and high latitudes. Anthropogenic CO<sub>2</sub> 448 taken up in these regions is either transported equatorward 449 to midlatitudes or poleward, where it is entrained into North 450 Atlantic Deep Water (NADW) (Figure fs02 of the auxiliary 451 material, regions 2 and 3). This leads to convergence and 452 storage in the Northern Subtropics (Figure 4).

[29] About 40% of the anthropogenic CO<sub>2</sub> transported 454 poleward from the Southern Ocean is going into the Pacific 455 Ocean or into the Indian Oceans (Figure fs02 of the 456

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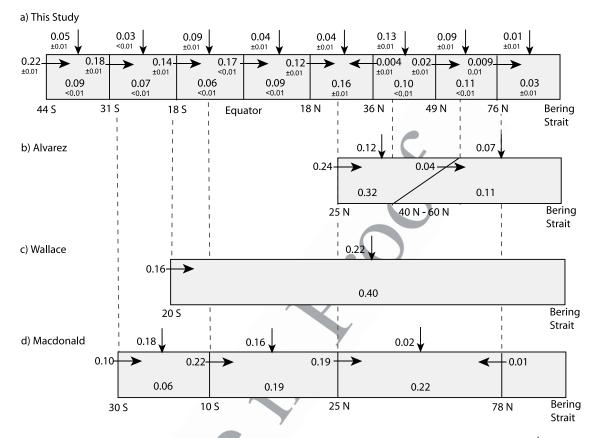
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**Figure 5.** Uptake, storage, and transport of anthropogenic CO<sub>2</sub> in the Atlantic Ocean (Pg C yr<sup>-1</sup>) based on (a) this study (weighted mean and standard deviation scaled to 1995), (b) the estimates of [Alvarez et al., 2003], where the transport across 24°N was taken from Rosón et al. [2003], (c) Wallace [2001], where the transport across 20°S was taken from Holfort et al. [1998], and (d) Macdonald et al. [2003], where the transports across 10°S and 30°S were taken from Holfort et al. [1998], and the transport across 78°N was taken from Lundberg and Haugan [1996]. This figure is not to scale.

auxiliary material, regions 25 and 30). Since this transport exceeds storage in the South Pacific, it drives equatorward transport of anthropogenic CO<sub>2</sub> throughout the South Pacific and substantial northward cross-equatorial transport (Figure 4). In the North Pacific, the greatest anthropogenic CO<sub>2</sub> uptake occurs at high latitudes and in the tropics. Anthropogenic CO<sub>2</sub> taken up in the North Pacific is transported equatorward (Figure fs02 of the auxiliary material, regions 11 and 12), and anthropogenic CO<sub>2</sub> from the tropics is transported poleward (Figure fs02 of the auxiliary material, regions 16 and 17), leading to convergence and storage in the subtropical North Pacific.

[30] The Indonesian throughflow plays a critical role in determining the transports in the Indian and Pacific oceans south of 18°N (Figure 4) as it sets up a transport loop that involves strong northward transport in the South Pacific and southward transport in the southern Indian Ocean. We computed the anthropogenic CO<sub>2</sub> transport by the Indonesian throughflow for each model by multiplying at each model depth the diagnosed volume flux in the model with the anthropogenic CO<sub>2</sub> concentration estimate from the GLODAP gridded data set, interpolated to the throughflow point in each model. The OGCM simulated volume fluxes across the straight are generally within the range of obser-

vational estimates [e.g., Gordon and Fine, 1996], but these 481 estimates are themselves rather uncertain since this transport 482 is not well understood and may have significant interannual 483 variability. We therefore regard our estimated time-integrated 484 transport of  $10.6 \pm 0.5$  Pg C by the Indonesian throughflow as 485 an uncertain component of our transport estimates.

[31] In Figure 5, we compare our transport estimates for 487 the Atlantic with those estimated from hydrographic data 488 and data-based anthropogenic CO<sub>2</sub> estimates [e.g., 489 Lundberg and Haugan, 1996; Holfort et al., 1998; Álvarez 490 et al., 2003; Rosón et al., 2003; Macdonald et al., 2003]. 491 This comparison remains somewhat qualitative, as these 492 hydrographic estimates are subject to substantial uncertain- 493 ties from a variety of factors [e.g., Macdonald et al., 2003]. 494 In addition, the hydrographic data-based estimates deter- 495 mine the transport at a single point in time and could be 496 substantially biased owing to the neglect of seasonal varia- 497 tions in transport [e.g., Wilkin et al., 1995]. In contrast, our 498 estimate of the anthropogenic CO<sub>2</sub> transport is scaled from 499 the time-integrated transport from 1765 to 1995, and reflects 500 a long-term mean transport. Therefore, even if the hydro- 501 graphic data-based estimates were insensitive to seasonal 502 biases, the two transports are not directly comparable as 503 they pertain to very different time periods. In addition, there 504

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are sources of uncertainty associated with the inverse estimates that have not been quantified, as discussed in section 4. These caveats need to be considered when comparing the results.

[32] In order to arrive at transport estimates for a particular year, we scaled the time integrated transports to 1995 using the atmospheric perturbation. We assumed inventory in each region increases proportionally with the perturbation to atmospheric CO<sub>2</sub>, such that the regional transports scale proportionally. This scaling is supported by an analysis of forward model simulations (Figure fs08 of the auxiliary material).

[33] Both our estimates and hydrographic transects find substantial northward transport throughout the South Atlantic (Figure 5). Our transport estimate across 31°S is 70% larger than the estimate of  $0.1 \pm 0.02$  Pg C yr<sup>-1</sup> across 30°S determined by *Holfort et al.* [1998]. However, our estimate of  $0.14 \pm 0.01$  Pg C yr<sup>-1</sup> northward transport across 18°S is in reasonable agreement with *Wallace* [2001], who found  $0.16 \pm 0.02$  Pg C yr<sup>-1</sup> northward transport across 20°S.

[34] In the North Atlantic, we find a northward transport of  $0.12 \pm 0.01$  Pg C yr<sup>-1</sup> across  $18^{\circ}N$  and no significant transport across 36°N. This is substantially smaller than the northward transport of 0.24  $\pm$  0.08 Pg C yr<sup>-1</sup> and 0.19  $\pm$ 0.08 Pg C yr<sup>-1</sup> across 25°N estimated by Rosón et al. [2003] and Macdonald et al. [2003], respectively. However, owing to the large uncertainties associated with the hydrographic estimates, the differences are only marginally statistically significant. We find a small northward transport across  $49^{\circ}$ N of  $0.02 \pm 0.01$  Pg C vr<sup>-1</sup> that is in good agreement with the transport estimated across a diagonal transect between 40°N and 60°N [Álvarez et al., 2003]. Finally, we find a marginally significant northward transport at 76°N, whereas Lundberg and Haugan [1996] estimated a southward transport at 78°N. The small northward transport across 76°N is very sensitive to the choice of OGCM, as will be shown in the following section. Therefore we conclude that our northward transport at 76°N is not a robust result of the inversion, while the transports at the more southern latitudes in the Atlantic are found to be generally invariant across the models investigated.

#### 4. Sensitivity and Error Analysis

[35] In this section, we address and quantify two sources of error in the inversion. First, we use basis functions from 10 OGCMs to assess the sensitivity of the estimates to the choice of transport model. Then we address the sensitivity of the inversion to biases in the data-based estimates of the anthropogenic  $CO_2$  concentrations.

[36] There are other potential sources of error that will not be addressed here. The most important is our assumption that the ocean circulation has remained constant over time. There is substantial evidence for decadal variability in ocean circulation from repeat hydrography studies [e.g., *García et al.*, 2002; *Bryden et al.*, 2003; *Johnson and Gruber*, 2006; *McPhaden and Zhang*, 2002], which could lead to biases in the inverse estimates. For example, if the ventilation in a given region were weakening progressively over time, a basis function generated for that region using constant

present-day circulation would underestimate the fraction 564 of dye near the surface relative to the portion of dye in 565 deeper waters. We are currently unable to quantitatively 566 assess the possible impact of long-term changes in ocean 567 circulation on our inverse results. Forward simulations by 568 Raynaud et al. [2005] suggest that variations in ocean 569 circulation have a relatively small impact on the air-sea 570 flux of anthropogenic  $CO_2$  on interannual timescales, but 571 may be more substantial on decadal timescales. However, 572 comparisons between simulations of CFCs with constant 573 circulation and observations do not indicate major problems 574 as a result of decadal variability [Dutay et al., 2002].

[37] There are also potential methodological sources of 576 errors. For example, the relatively small number of model 577 regions used here may cause aggregation errors [Kaminski 578 et al., 2001]. However, on the basis of the analysis of the 579 covariance matrix (see text01 section of the auxiliary 580 material), we conclude that a larger number of model 581 regions is likely to yield a solution that is not adequately 582 constrained by the observations. A second issue is the 583 spatial and temporal pattern used to prescribe the distribution of the fluxes within the model region. Inverse estimates 585 using several different spatial patterns indicate that the flux 586 estimates are not particularly sensitive to the choice of 587 spatial pattern or whether the pattern includes seasonal 588 variations [Gloor et al., 2001].

#### 4.1. Sensitivity to the Choice of OGCM

[38] On the basis of a comparison of the 10 OGCMs 591 considered in this study, we find that most of the major 592 features of the spatial pattern of the anthropogenic CO<sub>2</sub> 593 uptake and transport estimates are generally robust. How- 594 ever, there are substantial between-model differences in 595 some regions.

[39] The largest variability differences between models 597 occurs in the Southern Ocean (see Figures fs03, fs06, and 598 fs09 in the auxiliary material) as found by OCMIP\-2 [Orr 599 et al., 2001; Watson and Orr, 2003; Doney et al., 2004]. 600 Doney et al. [2004] cite limitations of the models in 601 accurately representing along-isopycnal transport, brine 602 rejection due to sea ice formation, boundary conditions, 603 the role of eddies and how they are parameterized, and the 604 lack of data available to validate the models in this region as 605 the major reasons for this large spread in model behavior. In 606 our inversion, the UL and MIT models give the largest 607 anthropogenic CO<sub>2</sub> uptake and storage in the Southern 608 Ocean. The UL model has the poorest CFC skill score, 609 but the MIT skill score is close to the average of all models 610 used here. These two models entrain a larger portion of the 611 anthropogenic CO<sub>2</sub> injected between 44°S and 58°S into 612 deep waters and transport a smaller portion to the midlat- 613 itudes than all of the other models (see, for example, basis 614 functions for the subpolar Atlantic in Figures fs06 and fs07 615 of the auxiliary material). The midlatitude basis functions 616 have relatively shallow dye penetration. Therefore a greater 617 anthropogenic CO<sub>2</sub> uptake is required at high latitudes to 618 match the observed storage in midlatitude intermediate 619 waters.

[40] The Arctic Ocean is the second region showing high 621 between-model differences in the estimated fluxes. This is 622

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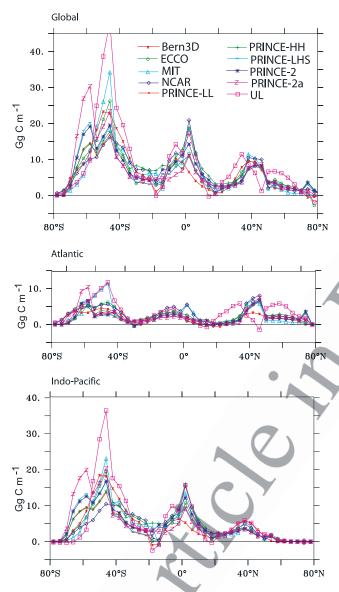
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**Figure 6.** Zonally and temporally integrated anthropogenic CO<sub>2</sub> uptake by (top) the global ocean, (middle) the Atlantic Ocean, and (bottom) the Indo-Pacific Ocean from 1765–1995.

likely due to the large differences between models in the representation of this basin. Some models have a well-resolved Arctic basin due to their shifting the North Pole over land; others do not resolve it at all. We therefore have little confidence in the estimated fluxes for this basin. Fortunately, this has little influence on our results, as the fluxes are expected to be small owing to sea-ice inhibiting the uptake for a large portion of the Arctic.

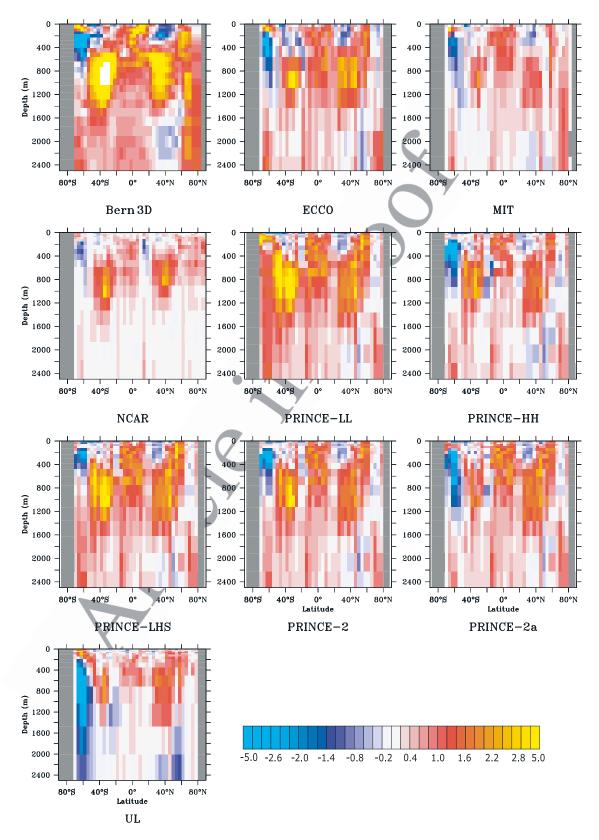
[41] The inverse estimates for most of the OGCMs used in this study are in excellent agreement in the Atlantic. However, the UL model exhibits a different latitudinal distribution of anthropogenic CO<sub>2</sub> uptake (Figure 6). This can be traced back to this model storing a large portion of the dye tracer injected into the high-latitude North Atlantic near the surface. As discussed further in the auxiliary

material, this leads to a rearrangement of the flux distribu- 638 tion in order to match the data-based estimates of anthro- 639 pogenic CO<sub>2</sub>. 640

[42] One way to evaluate the different models and to 641 assess biases in the inverse estimates is to examine the 642 residuals between the data-based anthropogenic CO<sub>2</sub> esti- 643 mates and the anthropogenic CO<sub>2</sub> storage calculated from 644 the inverse flux estimates (Figure 7). The models underes- 645 timate the mean anthropogenic CO<sub>2</sub> concentration by about 646 1 to 2.5  $\mu$ mol kg  $^{-1}$ . In addition, all of the models underes-  $^{647}$  timate anthropogenic CO<sub>2</sub> storage in the thermocline (500  $^{648}$ to 1000 m). This suggests that they either do not sufficiently 649 ventilate this region, or that the anthropogenic CO<sub>2</sub> esti- 650 mates in this region are biased high. As discussed in more 651 detail in the following section, this region has not been 652 identified as a region of substantial possible biases in the 653 data-based estimates of anthropogenic CO<sub>2</sub> [Matsumoto and 654 Gruber, 2005], so that an overly weak ventilation in the 655 models is the more likely cause of the positive residuals in 656 the deeper thermocline.

[43] In waters shallower than 500 m, most of the models 658 show negative residuals at around 20°N and 30°S and 659 positive residuals in the tropics and at around 40°N and 660 40°S. One possible explanation for this structure is that the 661 models have excessive poleward transport out of the tropics 662 and too strong equatorward transport out of the high 663 latitudes. If this were the case, the uptake might be over- 664 estimated in the tropics and at high latitudes in order to 665 match the substantial anthropogenic CO<sub>2</sub> concentrations in 666 these areas. A large portion of this excessive flux would 667 then be transported to midlatitudes, leading to an overesti- 668 mate of the anthropogenic CO<sub>2</sub> storage. An alternative 669 explanation is a bias in the reconstructed anthropogenic 670 CO<sub>2</sub> concentrations. *Matsumoto and Gruber* [2005] showed 671 that the  $\Delta C^*$  method tends to be biased high in the upper 672 thermocline, explaining at least part of the positive residuals 673 in this region.

[44] In the Southern Ocean, most of the models have 675 negative residuals between about 200 m and 1000 m and 676 positive residuals in the deep waters. If the data-based 677 estimates of anthropogenic CO<sub>2</sub> were correct, this would 678 suggest that the models tend to overestimate the vertical 679 transport of anthropogenic CO<sub>2</sub> in the upper 1000 m of the 680 Southern Ocean and that they are unable to represent the 681 small anthropogenic CO<sub>2</sub> concentrations found in the deep 682 Southern Ocean. Since the identified possible biases in the 683 data-based estimates of anthropogenic CO<sub>2</sub> are an overes- 684 timation in the upper ocean and an underestimation in the 685 deep ocean [Matsumoto and Gruber, 2005], the adjustment 686 for this possible error in anthropogenic CO<sub>2</sub> would actually 687 accentuate the residuals rather than ameliorate them. This 688 points to a persistent problem in the employed OGCMs in 689 how they simulate the circulation in the Southern Ocean. 690 The UL and PRINCE-LL models, which have the lowest 691 CFC-11 skill scores (Table 1), represent the two extreme 692 cases. The UL model, which finds substantially more 693 anthropogenic CO<sub>2</sub> uptake than any of the other contribut- 694 ing models, has large negative residuals throughout most of 695 the Southern Ocean, suggesting that its inversely estimated 696 uptake is too large. In contrast, the PRINCE-LL model, 697



**Figure 7.** Meridional section of the zonal mean of the difference between the data-based anthropogenic  $CO_2$  estimates and the inverse anthropogenic  $CO_2$  storage estimates (µmol kg<sup>-1</sup>) for the 10 models that participated in this study. Solid gray areas represent locations where no observations are available or that are outside the model grid. Little spatial structure to the residuals exists below 2500 m.

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which has the lowest global anthropogenic CO<sub>2</sub> uptake, has positive residuals throughout the Southern Ocean. This model is characterized by very low vertical and along-isopycnal diffusivity, so that a much greater portion of the anthropogenic CO<sub>2</sub> remains near the surface. The resulting underestimation of the data-based estimates points to this model being deficient in its uptake. Thus the large residuals exhibited by these two models confirm their having a low CFC-11 skill score. Their large residuals also confirm our use of these skill scores as weights for computing means and standard deviations, as the likelihood of these two models being accurate is smaller than that of the other models.

# 4.2. Sensitivity to Errors in the Anthropogenic CO<sub>2</sub> Estimates

[45] Inverse estimates rest on the assumption that the observations used to constrain the inversion are accurate. However, we constrain our inversion with an estimated quantity, which may contain biases. This makes it necessary to test the sensitivity of the inverse flux estimates to such biases. First, we examine the impact of a density-dependent bias modeled after that identified by *Matsumoto and Gruber* [2005]. Then we investigate the effect of biases in the stoichiometric ratios used to remove the effects of biology from the observed CO<sub>2</sub> concentration. *Gruber* [1998] argued that biases in this ratio could substantially alter the distribution of anthropogenic CO<sub>2</sub> as well as the total inventory.

[46] We will not investigate the impact of possible biases in anthropogenic CO<sub>2</sub> emerging from the fact that possible changes in ocean circulation due to ocean warming were not taken into account in estimating the anthropogenic CO<sub>2</sub> inventory [Keeling, 2005]. Matsumoto and Gruber [2005] showed, however, that changes in ocean circulation and biogeochemistry have relatively little impact on the estimated anthropogenic CO<sub>2</sub> concentrations [see also Sabine and Gruber, 2005].

[47] Matsumoto and Gruber [2005] examined the accuracy of the anthropogenic  $CO_2$  estimates by applying the  $\Delta C^*$  method to results from a forward model simulation with known anthropogenic  $CO_2$  concentrations. The authors identified substantial biases in the  $\Delta$   $C^*$  method stemming from the neglected time evolution of the air-sea disequilibrium, biases in the pCFC ventilation age, and errors in identifying water masses that contribute to a given water parcel. As a result, they suggested that the  $\Delta C^*$  method tends to overestimate the anthropogenic  $CO_2$  inventory in shallower waters by about 10% and underestimate it in deeper waters. Globally, the  $\Delta C^*$  method inferred anthropogenic  $CO_2$  inventory was about 7% larger than the true inventory.

[48] It is not within the scope of this paper to reassess the anthropogenic CO<sub>2</sub> data set based on the findings of *Matsumoto and Gruber* [2005]. However, it is critical to address the impact these biases might have on the inverse estimates. To this end, we constructed a "Matsumoto and Gruber corrected" scenario, in which a hypothetical correction factor was applied to the data-based anthropogenic CO<sub>2</sub> estimates and these corrected anthropogenic CO<sub>2</sub> estimates

were used in the inversion. The correction factor was 758 determined as a function of density in such a way that it 759 reduced anthropogenic  $CO_2$  in the upper ocean by about 760 10% and increased it in the deep ocean slightly, while 761 reducing the global inventory by 7%. In addition, two 762 scenarios were constructed to assess the impact of a globally 763 uniform shift in the oxygen to carbon remineralization ratio, 764  $r_{C:O_2}$ , used to remove the effects of biology. The construction of these scenarios is described in section 4 of the text01 766 file in the auxiliary material.

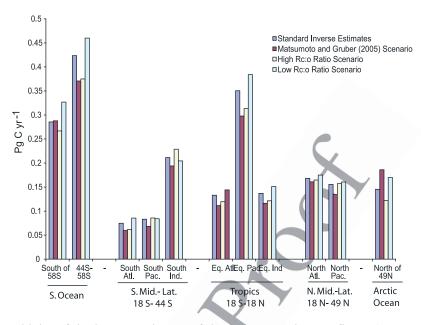
[49] The spatial pattern of the inversely estimated air-sea 768 fluxes is remarkably insensitive to these biases (Figure 8); 769 however, the net global anthropogenic CO<sub>2</sub> uptake scales 770 approximately linearly with changes in the estimated global 771 inventory of anthropogenic CO<sub>2</sub> (8, numerical results 772 shown in Table ts04 of the auxiliary material). The Matsu-773 moto and Gruber scenario leads to a global reduction in the 774 anthropogenic CO<sub>2</sub> uptake of 8%, reflecting the global 7% 775 decrease in the anthropogenic CO<sub>2</sub> inventory. Relative to 776 the global uptake, the anthropogenic uptake at high latitudes 777 (north of 49°N and south of 58°S) is increased slightly and 778 the uptake in all other regions is decreased slightly by the 779 hypothetical correction. Increasing the stoichiometric ratio, 780  $r_{C:O_2}$ , by 13% decreases the global anthropogenic CO<sub>2</sub> flux 781 by  $\overline{7}\%$ , and decreasing  $r_{C:O_2}$  by 13% increases the global 782 flux by 8%. In the  $\Delta$  C\* method,  $r_{C:O}$ , together with AOU is 783 used to subtract the effect of changes in DIC as a result of 784 biological processes. Therefore increases in  $r_{C:O_2}$  are 785 expected to lead to decreases in the estimated anthropogenic 786 CO<sub>2</sub>, and vice versa. The inverse estimates are least sensi- 787 tive to changes in  $r_{C:O_2}$  at midlatitudes, where AOU is 788 lowest, and most sensitive at high latitudes and in the 789 tropical Pacific.

[50] We conclude from these analyses that the inverse flux 791 estimates generally tend to be more sensitive to the choice 792 of model than to biases in the anthropogenic CO<sub>2</sub> estimates. 793 Therefore, despite the fact we employed 10 different 794 OGCMs and used CFC skill scores to weight the different 795 models, possible biases in model transport still tends to 796 dominate the overall uncertainty in our flux estimates.

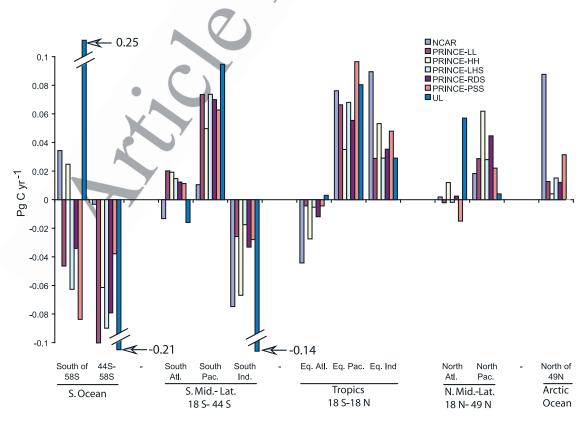
#### 5. Comparison of Forward and Inverse Models

[51] Traditionally, the spatial distribution of the air-sea 800 flux of anthropogenic CO<sub>2</sub> has been estimated using for-801 ward simulations of OGCMs forced by the observed atmospheric CO<sub>2</sub> perturbation [e.g., *Orr et al.*, 2001; *Murnane et 803 al.*, 1999; *Sarmiento et al.*, 1992]. In this section, the inverse 804 estimates of each OGCM are compared with their 805 corresponding forward estimates undertaken as part of 806 OCMIP-2 [*Watson and Orr*, 2003] in order to assess what 807 we have learned by constraining the models with the data-808 based anthropogenic CO<sub>2</sub> estimates.

[52] The difference between the forward simulations and 810 the corresponding inverse estimates of anthropogenic CO<sub>2</sub> 811 for 1995 from seven of the ten models used in this study are 812 shown in Figure 9 (complete numerical results shown in 813 Table ts05 of the auxiliary material). Positive values indicate 814 that the forward model simulates more anthropogenic CO<sub>2</sub> 815 uptake than the inversion and vice versa. The Bern3D, 816



**Figure 8.** Sensitivity of the inverse estimates of the anthropogenic CO<sub>2</sub> fluxes (Pg C yr<sup>-1</sup>, scaled to 1995) to errors in the data-based anthropogenic CO<sub>2</sub> estimates used to constrain the inversion. The anthropogenic CO<sub>2</sub> fluxes have been estimated using the standard data-based anthropogenic CO<sub>2</sub> estimates from GLODAP, anthropogenic CO<sub>2</sub> estimates with a hypothetical correction based on work by *Matsumoto and Gruber* [2005], and anthropogenic estimates based on the high and low end of the range associated with the CO<sub>2</sub> to oxygen ratio [*Anderson and Sarmiento*, 1994]. The inverse estimates are aggregated to 11 regions for clarity.



**Figure 9.** Zonally integrated difference between the forward and inverse anthropogenic  $CO_2$  uptake estimates for 1995 (a positive value indicate that the forward uptake flux is larger than the inverse). Forward simulations are from OCMIP-2 [*Watson and Orr*, 2003]. Positive (negative) values indicate that the forward simulation finds more (less) anthropogenic  $CO_2$  uptake than the inversion.

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ECCO, and MIT models are not included because their forward simulations were not available at the time of this writing.

[53] There are clearly trends in the difference between the forward and inverse estimates across all models (Figure 9). The forward model simulations for those models included both in this study and in OCMIP-2 find a global anthropogenic CO<sub>2</sub> uptake of  $2.3 \pm 0.32$  Pg C yr<sup>-1</sup> when the mean and standard deviation are weighted in the same way as the inverse estimates. In comparison, the inverse estimates find 0.1 Pg C yr<sup>-1</sup> less uptake than the forward simulations and reduce the uncertainty estimate by 22%. For most of the models, the inverse anthropogenic CO<sub>2</sub> uptake estimates are substantially larger than those of the forward model estimates in the Southern Ocean between 44°S and 58°S and in the Indian Ocean south of 18°S. This is primarily driven by all of the forward models simulating a smaller anthropogenic CO<sub>2</sub> storage in the midlatitudes of the Southern Hemisphere, particularly in the Indo-Pacific (Figure fs11 of the auxiliary material). In order to match the data-based estimates, the inversion requires a more vigorous flux into the subpolar South Atlantic and subpolar Indo-Pacific, whose signal is then transported equatorward to midlatitudes. An exception to this pattern is the NCAR model, for which the inversion finds a smaller anthropogenic CO<sub>2</sub> uptake in these regions compared to the forward simulations. However, in southern midlatitudes, where most of the inverse models show decreased uptake compared to the forward models, the NCAR model finds increased anthropogenic CO<sub>2</sub> uptake in the Atlantic and only slightly decreased anthropogenic CO<sub>2</sub> uptake in the Pacific. This suggests that fluxes from these regions contribute strongly to matching the observed midlatitude storage in the NCAR inversion. The other large exception is the UL model, for which the inversion suggests a strong equatorward shift of uptake, away from the high latitudes in the Southern Ocean.

- [54] In the Atlantic, most of the models find more anthropogenic uptake than the forward models around  $40^{\circ}N$  and from  $18^{\circ}S$  to the equator (Figure 9). The inversion generally finds less anthropogenic  $CO_2$  uptake from  $18^{\circ}N$  to  $36^{\circ}N$  and at high northern latitudes.
- [55] These consistent differences between the forward and inverse estimates suggest that using the data-based anthropogenic  $CO_2$  estimates to constrain the flux estimates adds new, quantitative information about the spatial distribution of the anthropogenic  $CO_2$  fluxes that cannot be gained using OGCMs alone. There are three possible causes for differences between the forward estimates and the inverse estimates. Differences could be a result of deficiencies in the model's underlying physical circulation. There could be large-scale biases in the data-based anthropogenic  $CO_2$  estimates used to constrain the inversion; however, the spatial pattern of the inverse flux estimates have been shown to be insensitive to several potential biases in the  $\Delta$   $C^*$  method. Finally, there may be errors in the air-sea gas exchange in the forward models.

#### 872 6. Conclusions

[56] The Green's function inverse approach presented here is currently the only method that has been applied

globally to estimate the air-sea flux of anthropogenic CO<sub>2</sub> 875 from data-based estimates of its ocean interior distribution. 876 A related tracer-based method, the transit time distribution 877 method, has recently been developed to do this as well [*Hall* 878 and *Primeau*, 2004], but it has not yet been applied 879 globally. Other promising methods include the adjoint 880 method [*Schlitzer*, 2004], but this approach has not been 881 applied to estimating air-sea fluxes of anthropogenic CO<sub>2</sub>. 882

- [57] A previous inversion study employing the same 883 Green's function technique suggested that while the uncer- 884 tainty of the inversely estimated fluxes due to random errors 885 is remarkably small, substantial potential for bias exists 886 because of the uncertainty in the OGCMs used to represent 887 ocean transport and mixing [Gloor et al., 2001]. Our 888 investigation using a suite of ten OGCMs suggests that 889 the inversely estimated fluxes of anthropogenic CO<sub>2</sub> are 890 generally insensitive to potential biases introduced by 891 OGCM transport and mixing. This is not the case for all 892 regions, though, as substantial uncertainties persist in a few 893 of them, particularly in the Southern Ocean. We also find 894 that the spatial pattern of the air-sea fluxes is remarkably 895 robust with respect to three scenarios for biases in the data- 896 based estimates of anthropogenic CO<sub>2</sub>, but the net global 897 uptake flux scales approximately linearly with changes in 898 the global anthropogenic CO<sub>2</sub> inventory. We did not inves- 899 tigate the potential impact of long-term changes in ocean 900 circulation and biogeochemistry on our inversion results, 901 but on the basis of our current understanding we believe that 902 this impact has remained small so far. Given the near- 903 exponential growth of atmospheric CO<sub>2</sub> and radiative forc- 904 ing, we expect this impact to grow with time, however. This 905 will require the development of new methods to determine 906 the anthropogenic CO<sub>2</sub>, as well as the use of time varying 907 circulation models in order to use this method in the future. 908
- [58] On the basis of our relatively broad investigation of 909 errors and biases in data and models, we conclude that our 910 best estimate for the oceanic uptake rate of anthropogenic 911 CO<sub>2</sub> for a nominal year of 1995 is 2.2 Pg C yr<sup>-1</sup>, with an 912 uncertainty due to errors in OGCM transport of ±0.25 Pg C 913 yr<sup>-1</sup> (1-sigma). This represents a 22% improvement in error 914 estimates over forward simulations when the same method 915 is used to weight the standard deviation of the models. We 916 estimate that the uncertainty due to potential biases in the 917 data-based estimates is somewhat smaller than the uncer- 918 tainty due to errors in OGCM transport. The ocean inver- 919 sion provides strong constraints for the global budget of 920 anthropogenic CO<sub>2</sub>, in particular the net uptake by the 921 terrestrial biosphere (see A. R. Jacobson et al., A joint 922 atmosphere-ocean inversion for surface fluxes of carbon 923 dioxide: 2. Results, submitted to Global Biogeochemical 924 *Cycles*, 2006).
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