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17**Abstract**

18 To better understand mechanisms of carbon (C) and nitrogen (N) dynamics under anthropogenic N
19enrichment, we examined frequencies of C- and N-targeting genes in litter fungi. In particular, we tested
20the hypothesis that N enrichment selects for C-targeting genes but against N-targeting genes, if fungi
21preferentially invest resources in acquisition of growth-limiting nutrients. We conducted a fully-factorial
22litter and microbial transplant in a N fertilization experiment in Southern California grassland. The
23transplant design enabled us to contrast direct effects of N fertilization in the environment, indirect
24effects of N-induced shifts in the microbial community, and indirect effects of N-induced changes in plant
25litter chemistry. For each treatment, we assessed frequencies of select well-annotated fungal functional
26genes: cellulose-targeting AA9 genes (for C acquisition) versus ammonium transporter genes and amino
27acid permease genes (for N acquisition). We found that our hypothesis was upheld only with regard to
28shifts in the microbial community. Specifically, when grown in the same environment and litter, fungi
29from the N-fertilized plots displayed greater frequencies of cellulose-targeting AA9 genes from
30basidiomycetes, but smaller frequencies of ammonium transporter genes and amino acid permease
31genes, when compared to fungi from the control plots. In contrast, N fertilization in the plot environment
32was associated with higher frequencies of amino acid permease genes and ammonium transporter
33genes. Likewise, plant litter from the N-fertilized plots selected for higher frequencies of ammonium
34transporter genes. Altogether, we found fairly inconsistent effects of N enrichment on fungal functional
35genes related to C and N acquisition. Even if the genetic capacity of the fungal community to acquire C
36versus N changes owing to shifts in the microbial community, direct effects of N fertilization and indirect
37effects of litter chemistry may offset the response.

38Keywords: *amino acid permease genes, ammonium transporter genes, fungal functional genes, lytic*
39*polysaccharide monoxygenase family AA9 genes, microbial community composition, nitrogen*
40*enrichment*

41 **1. Introduction**

42 Nitrogen (N) and carbon (C) are critical nutrients for fungi (Griffin, 1996). For example, fungi require C
43for energy and biomass production, and N for protein construction (Sinsabaugh et al., 2009). Fungi can
44acquire C by releasing extracellular enzymes into the environment to break down complex organic
45molecules such as crystalline cellulose (Lynd et al., 2002; Langston et al., 2011). They can also obtain N
46by incorporating transporter enzymes into their cell membranes to take up N-containing compounds like
47amino acids and ammonium (Grenson et al., 1970; Chalot and Brun, 1998; Mitsuzawa, 2006). In doing
48so, they contribute to C respiration, N mineralization, and microbial N immobilization within ecosystems
49(Dighton, 2016). A number of genes controlling these functions have been identified in fungi (Treseder
50and Lennon, 2015). Accordingly, we can assess the genetic potential of the fungal community to
51influence N and C cycling by examining the distribution of selected functional genes in fungi growing in
52the environment.

53 In fact, we can examine fungal functional genes in natural ecosystems to understand how these
54physiological capacities of fungi respond to N enrichment (Berlemont et al., 2014; Myrold and
55Nannipieri, 2014; Myrold et al., 2014). Nitrogen enrichment is an important element of global change,
56because human activity has about doubled the amount of biologically available N worldwide (Vitousek et
57al., 1997; Galloway et al., 2008). For example, in Southern California, anthropogenic N deposition adds
58more than 25 kg N ha⁻¹ y⁻¹. It can be challenging to predict how soil C dynamics respond to N enrichment
59(Fog, 1988; Knorr et al., 2005; Hyvonen et al., 2007; Janssens et al., 2010). We may improve our

60 understanding of links between N enrichment and C cycling by examining in detail the relevant processes
61 governed by fungi.

62 For instance, since N is a macronutrient for fungi (Griffin, 1996), its enrichment could alter fungal
63 investment in N versus C acquisition. Extracellular enzymes and transporters are proteins, which require
64 N and C to construct (Elser et al., 2000). If a fungus allocates N and C to construction of an N-acquiring
65 enzyme, those resources become unavailable for production of a C-acquiring enzyme. Thus, this
66 allocation constraint creates a trade-off between the ability to acquire N versus C (Allison et al., 2010).
67 Accordingly, we hypothesize that N enrichment will select for C acquisition genes, and against N
68 acquisition genes, if fungi preferentially invest resources in enzymes that target growth-limiting
69 nutrients. If such a trade-off exists, then we can consider it when predicting fungal contributions to C
70 dynamics under N enrichment.

71 A trade-off between fungal genetic capacity for N versus C acquisition could manifest via several
72 ecological pathways (Fig. 1a). First, N additions could *directly* increase the growth of fungi with greater
73 genetic capacity for C acquisition, allowing them to outcompete individuals that invest instead in greater
74 genetic capacity for N acquisition. Second, over a longer term, N enrichment could select for fungal
75 species that favor C acquisition over N acquisition. In this way, N additions could *indirectly* influence the
76 genetic capacity of the fungal community via shifts in species composition. Third, N enrichment could
77 *indirectly* alter the genetic capacity of fungi via changes in plant litter chemistry. For instance, N
78 concentrations in plant litter often—though not always—increase after N enrichment (Ostertag and
79 DiManno, 2016). Thus, we extend our hypothesis to specify that N enrichment will change genetic
80 capacities for N versus C acquisition via short term, direct effects of N on fungi; longer-term shifts in
81 fungal community composition; or changes in plant litter chemistry.

82 To test our hypothesis, we used a reciprocal litter transplant to independently manipulate direct
83 effects via N enrichment in the environment versus indirect effects via shifts in microbial community
84 composition, or indirect effects via changes in litter chemistry (Figs. 1b & 2, Allison et al., 2013). This field
85 experiment was located in Southern California grassland subjected to long-term N fertilization. Following
86 decomposition, we shotgun-sequenced DNA in each litterbag (Martiny et al., 2017), and then measured
87 the frequency of fungal genes involved in N acquisition (amino acid permeases and ammonium
88 transporters) and C acquisition (enzymes that break down crystalline cellulose). Previous work in this
89 experiment has established that N fertilization alters fungal community composition (Matulich et al.,
90 2015; Amend et al., 2016; Martiny et al., 2017) and increases litter N concentration (Allison et al., 2013).

91 **2. Material and Methods**

92 **2.1. Field site**

93 We tested our hypothesis in an N-enrichment experiment established in a grassland in coastal Orange
94 County, California USA (33° 44' N, 117° 42' W, 365 m elevation). The N-fertilization experiment is
95 described in detail by Allison et al. (2013). Briefly, the grassland is dominated by *Nassella pulchra*, a
96 native perennial grass, as well as exotic annual forbs and grasses (Potts et al., 2012). Mean annual
97 precipitation is 325 mm y⁻¹, primarily as rain between October and April. Mean annual temperature is 17
98 °C. The Irvine Ranch Conservancy and Orange County Parks granted permission to use this field site.

99 The N-fertilization experiment began in February 2007. Plots were set up in eight blocks. In each
100 block, one plot remained unmanipulated as a control, and the other was N-fertilized. Each plot covered
101 3.3 x 9.3 m. Each year, we N-fertilized the plots with soluble calcium nitrate (20 kg N ha⁻¹) before the
102 growing season, plus 100-day release calcium nitrate (40 kg N ha⁻¹) within the growing season.

103 **2.2. Microbial cages**

104 First, we collected plant litter to serve as a decomposition substrate. We collected litter from four
105 0.07 m² quadrats haphazardly located in each control plot and N plot on 29 June, 2 July, and 14
106 September 2010. (We used multiple collection dates, because deep-rooted annual forbs senesced later
107 in the season than did the other plants.) We pooled and hand-homogenized litter from all plots within
108 each treatment.

109 Second, we assembled “microbial cages” within which we decomposed the plant litter (*sensu* Reed
110 and Martiny, 2007). Microbial cages are litterbags made from nylon membrane with 0.45 µm pores.
111 Fungi and most larger bacteria cannot pass through these pores, but water, nutrients, and unusually
112 small bacteria can. We added 2 g air-dried litter to each cage, and then sterilized the completed cages
113 with at least 22 kGy of gamma irradiation. We verified sterility by placing subsamples of irradiated litter
114 in 50 mL sterile tubes with either potato dextrose broth (for fungi growth; Becton, Dickinson and
115 Company; Franklin Lakes, NJ) or lysogeny broth (for bacterial growth, Fisher Scientific, Pittsburgh PA),
116 shaking at 37 °C (three days for fungi and 12 hours for bacteria). Then, we plated out 100 µL of the media
117 on potato dextrose plates or lysogeny broth. We included a positive control (i.e., non-irradiated litter) to
118 confirm that this procedure yielded colony growth.

119 Third, microbial inoculum was collected from control and N-fertilized plots to add to the microbial
120 cages. On 30 November 2010, we hand-collected three haphazardly located litter samples (~5 g each)
121 from each of the eight control and eight N plots. We generated two batches of microbial inoculum:
122 “control microbes” and “N microbes”, by combining litter samples within each treatment. The inoculum
123 was air dried, ground in a Wiley mill to 1 mm mesh, and then added as 50 mg aliquots to microbial cages
124 containing sterilized litter.

125 **2.3. Reciprocally transplanted litter**

126 Fungi can acquire N from two major sources in the environment: plant litter and soil. Nitrogen
127fertilization in this field site increases N availability in both sources (Allison et al., 2013). Prior to
128decomposition, litter from the N-fertilized plots had significantly higher concentrations of total N (i.e.,
129%N), cellulose, and hemicellulose compared to litter from control plots (Allison et al., 2013). In contrast,
130C:N ratios, lignin concentrations, and sugar concentrations were significantly lower in litter from the N-
131fertilized plots than the litter from the control plots (Allison et al., 2013). Concentrations of total C (i.e.,
132%C), protein, starch, and fat did not differ significantly by litter origin (Allison et al., 2013). Litter
133chemistry was originally analyzed by Allison et al. (2013) from the same litter used in the current study.
134They determined total C, total N, and C:N by elemental analysis, and the other fractions by near infrared
135spectroscopy.

136 For the current study, we isolated the effects of these changes in litter chemistry by decomposing
137control (“control litter”) and N-fertilized plant litter (“N litter”) in the control and N plots (Figs. 1b & 2).
138Accordingly, we placed four microbial cages (one of each microbe x litter combination) in each of eight
139control plots and eight N plots. The cages were placed within standing grass litter. One corner of each
140microbial cage was tethered to the soil surface, and the remainder rested on the standing grass litter.
141This way, the orientation of the microbial cage matched that of the standing litter. Each cage contained
142either control or N litter inoculated with control or N microbes in a factorial design. Thus, there were 64
143microbial cages total. Microbial cages were incubated in the plots for three months, from 15 December
1442010 to 3 March 2011.

145 **2.4. Survey of non-transplanted litter**

146 We also examined components of fungal functional genes in naturally occurring, non-transplanted
147plant litter in the N experiment. Our objective here was to determine overall effects of N enrichment on
148functional gene frequencies (Fig. 1b). In this design, N fertilized samples represented microbes from the

149N fertilized plots decomposing N fertilized plant litter in the N fertilized plot environment. Likewise,
150control samples were control microbes decomposing control litter in control plots.

151 We gathered samples of plant litter from haphazardly located 0.07-m² quadrats in each plot (N-
152fertilized and control) once per season for 15 months (December 2010, February 2011, June 2011,
153September 2011, December 2011 and March 2012). Altogether, we collected eight control samples and
154eight N-fertilized samples in each of six dates, totaling 96 samples.

155 **2.5. DNA sequencing and annotation**

156 To balance cost limitations of sequencing with replication, we pooled eight plots from each treatment
157(each plot sampled and extracted separately) into two duplicate samples for sequencing. This approach
158allowed us to capture spatial variation across our study site, while still maintaining moderate statistical
159power.

160 For sequencing and annotation, we followed procedures previously described in Berlemont et al
161(2014). We ground about 20 g of each litter sample in a mixer, subjected it to direct DNA isolation as
162described before (DeAngelis et al., 2010), and then normalized for the amount of leaf litter material used
163in the extraction. (We note that Smets et al. (2016) suggest including an internal DNA standard, which
164can be used to adjust gene copy number. We support this approach for future studies.) We used Covaris
165to fragment DNA to 300 bp. We then pooled equal amounts of DNA extracts into two replicates for
166sequencing. From the litter manipulation, 64 microbial cages were processed, yielding 16 metagenomic
167libraries. From the survey of unmanipulated litter, a total of 96 litter samples were processed to create
16826 metagenomic libraries [2 treatments × 6 dates × 2 replicates = 24 samples, plus two sequencing
169controls]. We prepared metagenomic libraries by using a Truseq library kit (Illumina, San Diego, CA, USA),
170and then sequenced them via Illumina HiSeq2000 (100 bp-paired ends). We treated sequences as single
171reads for subsequent analysis. We uploaded sequences onto the MG-RAST server (Glass and Meyer,

1722011) to make them publically accessible (Berlemont et al., 2014; Martiny et al., 2017). Altogether, we
173obtained 107.4 Gbp after quality control.

174 **2.6. Fungal functional genes**

175 We counted frequencies of fungal functional genes within each metagenomics library by comparing
176sequences to described genes in the InterPro database (<https://www.ebi.ac.uk/interpro/>). Nitrogen-
177targeting genes included those that facilitated N uptake from the environment: amino acid permease
178genes (e.g., *AAP1* and *GAP1*, InterPro domain: IPR004762) and ammonium transporter genes (e.g.,
179*AMT2*, IPR001905)(Nehls et al., 1999; Mitsuzawa, 2006; Gresham et al., 2010). For C-targeting genes, we
180focused on lytic polysaccharide monooxygenase family AA9 (IPR005103)(Levasseur et al., 2013).
181Extracellular enzymes of this gene family contribute to the breakdown of relatively recalcitrant forms of
182cellulose, including highly crystalline cellulose as well as cellulose molecules that are cross-linked with
183lignin (Harris et al., 2010; Langston et al., 2011; Villares et al., 2017). Since the copy number of a given
184functional gene can vary among individuals as well as taxa (Stajich, 2017), changes in gene frequencies
185could result from shifts in community composition, selection within populations, or a combination of the
186two.

187 We used annotations of all the reads in order to evaluate and compare samples without potential
188assembly biases based on composition or coverage. For cellulose-targeting AA9 genes, sequences were
189assigned to the phylum Ascomycota or Basidiomycota. We examined genes from these two phyla
190separately, because ascomycetes and basidiomycetes can differ in traits related to N responses or
191ecosystem dynamics (Treseder and Lennon, 2015). For ammonium transporter genes, we restricted our
192analyses to genes characterized in the phylum Ascomycota, as this gene family has not yet been well
193annotated in the Basidiomycota. For amino acid permease genes, kingdom-level annotations were not
194available. Instead, we focused on amino acid permease genes that were assigned to the Eukaryota
195domain (excluding bacteria). We used the M5NR database for annotation (Wilke et al., 2012). Positive

196 matches were considered for sequences with e-value $\leq 10^{-5}$. Using this cut-off, 0.15% of the microbial
197 cage sequences and 0.43% of the survey sequences were identified as fungal functional genes of
198 interest.

199 **2.7. Statistics**

200 To test our hypothesis on reciprocally transplanted litter from the microbial cages, we conducted a
201 series of fully-factorial analyses of variance (ANOVAs). In each case, plot environment, microbe origin,
202 and litter origin were the independent variables. The dependent variable was frequency of the functional
203 gene of interest (e.g., amino acid permease, cellulose-targeting AA9 basidiomycete genes, etc). Where
204 appropriate, we used Tukey post hoc comparisons to check for pairwise differences among treatments.
205 Significant increases in frequencies of C acquisition genes (or decreases in N acquisition genes) via plot
206 environment, microbe origin, or litter origin would support our hypothesis.

207 For the non-transplanted litter, we used repeated measures ANOVAs to test our hypothesis. The
208 independent variable was N treatment (control versus N-fertilized plots), and the six collection times
209 were the repeated measure. The dependent variable was the frequency of the functional gene of
210 interest. Significant differences between the control and N-fertilized plots—with higher gene frequencies
211 for C acquisition or lower frequencies for N acquisition—would support our hypothesis.

212 In all cases, data were ranked because they did not meet assumptions of ANOVA regarding normality
213 and homogeneity of variances. Gene frequencies of zero were uncommon in the samples. Differences
214 between treatments were considered statistically significant when $P < 0.05$, and marginally significant at
215 $P < 0.10$. We used Systat 13 to run each of the ANOVAs (SPSS, 2009).

216 **3. Results**

217 **3.1. Reciprocally transplanted litter**

218 Microbe origin. Our hypothesis was supported only with respect to indirect effects via long-term
219 alteration of the microbial community (Table 1, Figs. 1c & 3). Specifically, the microbial community that
220 originated from the N fertilized plots displayed significantly lower frequencies of amino acid permease
221 genes (Fig. 3a, $P = 0.004$) and ammonium transporter genes (Fig. 3b, $P = 0.044$) than did microbes from
222 the control plots, even when grown under common conditions. The frequency of cellulose-targeting AA9
223 genes from basidiomycetes increased (Fig. 3d, $P = 0.022$), which also supported our hypothesis. On the
224 other hand, cellulose-targeting AA9 gene frequency from ascomycetes decreased marginally significantly
225 in the N fertilized microbes (Fig. 3c, $P = 0.073$). This latter result was not consistent with our hypothesis.

226 Plot environment. Direct, short term effects of N enrichment primarily contradicted our predictions
227 (Table 1, Figs. 1c & 3). Although we had expected decreases in frequencies of N acquisition genes with N
228 fertilization, we observed the opposite: the frequency of amino acid permease genes (Fig. 3a, $P = 0.021$)
229 and ammonium transporter genes (Fig. 3b, $P < 0.001$) each increased significantly in N-fertilized plot
230 environments compared to control plot environments. In slight support of our hypothesis, cellulose-
231 targeting gene frequency in ascomycetes rose marginally significantly in the N-fertilized plot environment
232 (Fig. 3c, $P = 0.073$). The remaining gene families did not change significantly in response to plot
233 environment ($P > 0.10$).

234 Litter origin. For the most part, litter origin did not influence fungal gene frequency as we had
235 hypothesized (Table 1, Figs. 1c & 3). The only exception—which was minor—was a marginally significant
236 increase in the frequency of cellulose-targeting AA9 ascomycete genes in N-fertilized litter compared to
237 control litter (Fig. 3c, $P = 0.062$). In contrast to our predictions, frequencies of ammonium transporter
238 genes and amino acid permease genes rose significantly (Fig. 3b, $P = 0.013$) and marginally significantly

239(Fig. 3a, $P = 0.090$), respectively, on litter from the N-fertilized plots versus the control plots. None of the
240other gene families changed significantly with litter origin ($P > 0.10$).

241 Interactions. Significant interactions between microbe origin, plot environment, or litter origin were
242uncommon (Table 1, Fig. 3). Ammonium transporter gene frequencies responded significantly to
243interactions between plot environment and litter origin (Fig. 3b, $P = 0.024$), and between plot
244environment and microbe origin ($P = 0.032$). All other interactions for each gene family were either non-
245significant or marginally significant ($P > 0.05$).

246 **3.2. Survey of non-transplanted litter**

247 In the survey of non-transplanted litter, the only significant N fertilization effect was a decrease in
248cellulose-targeting AA9 gene frequency for basidiomycetes, compared to controls (Table 2, Figs. 1c, 4).
249This response was opposite to our prediction. In addition, the remaining functional genes did not change
250significantly with N fertilization. Thus, the survey results did not support our hypothesis.

251 **4. Discussion**

252 We independently manipulated microbial communities, litter chemistry, and plot environment to
253examine consequences of N enrichment on the genetic capacity of fungi to contribute to N and C
254dynamics in decomposing litter. We tested the hypothesis that N enrichment should augment the
255frequency of fungal genes related to C-acquisition, but reduce the frequency of those related to N-
256acquisition, based on economic principles of resource allocation (Fig. 1a, sensu Allison et al., 2010). We
257further predicted that N effects would manifest directly via immediate effects of N availability in the
258environment; indirectly via longer-term changes in the microbial community; indirectly via alterations in
259plant litter chemistry; or some combination of the three. Our predictions were partially upheld, but only
260with respect to changes in microbial community composition (Figs. 1c, 3). Moreover, the shift in
261functional gene capacity in the microbial community was not matched in the unmanipulated, standing

262litter in the control and N fertilized plots (Fig. 4). There, C-acquisition genes were less frequent in some
263fungi, while N-acquisition genes did not change significantly. Contrasting direct environmental effects
264and indirect litter effects may have offset the shifts in functional gene frequency in the fungal community
265(Fig. 1c). We discuss below each direct and indirect effect of N enrichment in turn.

266 Microbe origin. When incubated under common conditions, fungi from N-fertilized plots displayed
267higher gene frequencies related to C acquisition, and lower gene frequencies related to N acquisition,
268compared to fungi from control plots (“Microbial community change” in Fig. 1c). Specifically, frequencies
269of amino acid permease genes (Fig. 3a) and ammonium transporter ascomycete genes (Fig. 3b) declined
270significantly in N-fertilized microbes, while the frequency of cellulose-targeting AA9 genes in
271basidiomycetes increased significantly (nitrogen microbes versus control microbes, Fig. 3d). These
272responses are consistent with expectations that fungi will invest resources in acquisition of limiting
273resources (Read, 1991; Allison et al., 2010). Following N-fertilization, C may have become more limiting.
274Over time, basidiomycetes with greater ability to break down crystalline cellulose may have been
275selected over other fungi with lesser ability. At the same time, those with higher capacity to take up
276amino acids and ammonium may have been at a disadvantage, perhaps owing to trade-offs associated
277with maintaining this capacity (Treseder et al., 2011). Nevertheless, we note that the cellulose-targeting
278AA9 gene frequency of ascomycetes decreased marginally significantly in N microbes compared to
279control microbes (Fig. 3c), which did not support our hypothesis.

280 The taxonomic composition of the fungal community also differs between N-fertilized microbes and
281control microbes in this field experiment (Matulich and Martiny, 2014). For example, taxa within the
282Davidiellaceae (Ascomycota) and the Sirobasidiaceae (Basidiomycota) tend to increase in abundance
283with N fertilization, whereas taxa in the *Cryptococcus* (Basidiomycota) and Hypocreales (Ascomycota)
284tend to decline (Matulich and Martiny, 2014; Amend et al., 2016). These changes can persist for years
285after transplanting the N-fertilized microbes to control plots, which suggests a long-term legacy of N

286enrichment in the fungal community (Martiny et al., 2017). We note that fungi from the subphylum
287Agaricomycotina tend to possess a relatively high number of cellulose-targeting AA9 genes within their
288genomes (Treseder and Lennon, 2015). Since the Sirobasidiaceae belong to this subphylum, increases in
289this group could have contributed to the higher frequency of basidiomycete-associated cellulose-
290targeting AA9 genes in N-fertilized microbes compared to control microbes (Fig. 3d).

291 Notably, although cellulose-targeting AA9 genes in basidiomycetes became more common with N
292enrichment, those of ascomycetes did not. We cannot simply attribute this to shifts between
293basidiomycetes and ascomycetes themselves; the relative abundance of these two phyla did not change
294significantly with N fertilization (Martiny et al., 2017). Perhaps other traits associated with the
295basidiomycetes allowed them to take advantage of crystalline cellulose when N was more available. For
296example, if basidiomycetes possessed additional gene families that could target the downstream
297products of cellulose-targeting AA9, then they could have more easily obtained energy from the
298molecule (Treseder and Lennon, 2015).

299 In this field manipulation, microbial activities and related biogeochemical processes do not
300necessarily match the functional gene profile we characterized (Table 3). For example, empirically-
301measured activities of the extracellular enzymes β -glucosidase and cellobiohydrolase each decline in the
302N-fertilized microbial community compared to the control microbial community when both experience a
303common environment (Alster et al., 2013). These enzymes help break down cellulose. Activities of the
304cellulose-targeting AA9 enzyme family have not yet been measured in this experiment, so we cannot
305make a direct comparison between its actual activity and the fungal community's genetic potential. Even
306so, Allison et al. (2013) reported that microbial origin did not significantly affect loss rates of cellulose, N,
307or protein from litter during decomposition in this field site. In addition, uptake rates of the amino acid
308glycine are higher in N-fertilized fungi than control fungi (Hynson et al., 2015), even though we found
309that the frequency of amino acid permease genes declined (Fig. 3a). Of course, just because the fungal

310community possesses particular functional genes does not mean that they express them. For one thing,
311shifts in fungal taxa may have led to changes in the prevalence of other traits—such as dormancy—that
312interacted with gene expression (Krause et al., 2014). Environmental conditions can mediate gene
313expression as well.

314 Plot environment. We examined direct environmental effects of N enrichment by reciprocally
315transplanting microbes and litter to N fertilized versus control plots. We found that all else being equal,
316fungi growing in the N fertilized plots tended to possess greater frequencies of N acquisition genes (i.e.,
317amino acid permeases and ammonium transporters) than those growing in control plots (Fig. 3a,b). This
318response is the opposite of our prediction. It is also opposite the microbial origin effect, in which these
319gene frequencies declined in response to N. It is possible that, over the three months that the microbial
320cages were in the field, excess N in the environment improved the growth of fungi that possessed the
321genetic capacity to take up that N. This response may have been ephemeral, though. Over the longer
322term, trade-offs associated with the ability to acquire N might have selected against the fungi that
323possess that trait. These trade-offs may include the cost of maintaining or expressing those N acquisition
324genes (Treseder and Lennon, 2015). Another possibility is that N enrichment may shift the type of N that
325fungi target—fungi may reduce investment in acquisition of more complex N (e.g., chitin and chitosan) in
326favor of simpler forms that are easier or less expensive to acquire (e.g., ammonium and amino acids)
327(Hynson et al., 2015).

328 The observed shift in functional gene composition with plot environment was not matched by
329changes in fungal taxonomic composition or litter decomposition rate (Allison et al., 2013; Martiny et al.,
3302017). In fact, the latter two parameters are not significantly different between the N and control plot
331environments (Allison et al., 2013; Martiny et al., 2017).

332 Litter origin. The frequency of ammonium transporter ascomycete genes was higher in litter from the
333N fertilized plots than in litter from the control plots, when plot environment and microbial origin were
334held constant. Amino acid permease genes increased as well, albeit only marginally significantly. As with
335the plot environment effect, these responses are contrary to our hypothesis. Plant litter from the N
336fertilized plots contains higher initial N concentrations than does litter from the control plots (Allison et
337al., 2013). Thus, N availability to fungi was likely higher in the N litter. Potentially, this N enrichment may
338have, in the short term, encouraged the growth of fungi with better capacity to acquire N. In this respect,
339the mechanism underlying the litter origin effect may have been similar to that of the plot environment
340effect. Despite the increase in frequency of N acquisition genes, fungal taxonomic composition in this
341study is unaltered by litter origin (Martiny et al., 2017). Previously, Hobbie (2005) noted that litter N
342enrichment can differ from “external” N fertilization (akin to plot environment) in effects on
343decomposition in eight Minnesotan forests and grasslands. Moreover, in contrast with our findings, litter
344N enrichment was associated with shifts in microbial community composition in the Minnesota
345ecosystems (Hobbie et al., 2012).

346 Survey of non-transplanted litter. To determine broad effects of N enrichment, we assessed fungal
347functional genes in naturally occurring plant litter from control and N-fertilized plots. With this design,
348we compared N microbes on N litter in N plots with control microbes on control litter in control plots.
349Aside from applying N fertilizer, we did not manipulate the litter before collecting it. Thus, the samples
350represented a relatively naturally occurring collection of senesced plant litter at varying stages of
351decomposition. Under these conditions, the only significant change under N fertilization was a decline in
352cellulose-targeting AA9 gene frequency in basidiomycetes (Fig. 4). Once again, these results did not align
353with our hypothesis that fungal functional gene frequency should shift from N acquisition to C
354acquisition with N enrichment. To be sure, we focused on one cellulose-targeting gene family (AA9) in
355fungi. Even so, in a broad survey of known gene families for cellulolytic enzymes in bacteria + fungi,

356 Berlemont et al. (2014) reported no significant shift with N fertilization in these same samples. Activities
357 of β -glucosidase and cellobiohydrolase do not change, either (Matulich and Martiny, 2014).

358 In other field studies, N fertilization can alter metagenomic profiles of bacteria (Fierer et al., 2012;
359 Leff et al., 2015; Freedman et al., 2016). In some cases, the frequencies of carbohydrate metabolism
360 genes increase (Leff et al., 2015). Few studies have explicitly examined shifts in metagenomic profiles of
361 fungi, although Freedman et al (2016) noted no clear change with N fertilization in fungal genes
362 associated with plant litter degradation. As the annotation of functional genes of fungi expands, so will
363 our ability to identify their genetic profiles in environmental samples (Grigoriev et al., 2014), and to
364 compare responses among N enrichment studies.

365 Overview. Taken together, our results suggest a rather subtle effect of N addition on fungal functional
366 gene composition. In contrast to temperate forest soils which can exhibit symptoms of N saturation after
367 long-term N deposition (e.g., Aber et al., 1989; Aber et al., 1998), our fertilization treatment did not
368 consistently alter the genetic capacity for microbes to obtain N from grassland litter. Despite sustained N
369 inputs, litter fungi at our site may still experience some degree of N limitation. Litter C:N ratios are
370 relatively wide, and slight increases N in litter may not be sufficient to alleviate N limitation and reduce
371 abundance of N-acquisition genes. On the other hand, the microbial inoculum in our experiment may
372 have experienced higher N availability prior to sampling, potentially explaining why the microbial origin
373 effect was consistent with our hypothesis but the litter effect was not. These seemingly contradictory
374 results could be explained if our litter system fluctuates between N limitation and N excess over time or
375 space.

376 We recognize a number of caveats in interpreting our results. For example, annotating functional
377 genes of fungi is an active area of research, specifically with respect to taxonomic variation (Grigoriev et
378 al., 2006). At the time of writing, fewer than 1000 whole genomes of fungi have been published, and

379they primarily represent the Ascomycota and Basidiomycota (Grigoriev et al., 2014). Many functional
380genes have been experimentally characterized in only a subset of fungal taxa (Grigoriev et al., 2006),
381limiting our ability to account for gene sequence variation across the fungal phylogeny. Accordingly,
382sequence matches from InterPro may be biased toward certain taxa, depending on the gene (McDowall
383and Hunter, 2011). This limits our ability to assign particular functional genes to even broad taxonomic
384groups. For instance, we did not have access to enough sequences of ammonium transporters of
385basidiomycetes to assign them with confidence to this phylum. Thus, we do not report ammonium
386transporter basidiomycete genes here. In addition, because we focused on a selection of functional
387genes that are well-annotated, we omitted other traits that could also be relevant. These include the
388extracellular cellulases β -glucosidase and cellobiohydrolase, which are difficult to distinguish between
389fungi and bacteria with current annotations (Berlemont and Martiny, 2013). Certainly, this will change as
390fungal gene annotation progresses, particularly owing to the 1000 Fungal Genome Project (Grigoriev et
391al., 2014). Of course, we again emphasize that the possession of a particular gene does not mean that
392the gene is expressed by the fungus.

393 Conclusion. Even though indirect N effects via microbial community changes largely followed our
394hypothesis, these effects were not realized in the standing litter in the experimental plots. Instead, the
395frequency of basidiomycete genes that break down crystalline cellulose displayed the *opposite* response,
396and none of the other gene families changed. It is possible that short-term increases in growth of fungi
397with more N acquisition genes were favored directly by N enrichment in the plot environment, and
398indirectly by higher N concentrations in N-fertilized plant litter. The plot environment and litter origin
399effects may have offset the microbe origin effects, leading to no significant change in genetic capacity for
400N acquisition overall. Even so, it is unclear why cellulose-targeting AA9 genes in basidiomycetes
401increased in the microbial community, but declined in the standing litter. Perhaps our system is close to
402the balance between N limitation and N excess, leading to conflicting results based on temporal or

403spatial variation. Our results illustrate that even if the fungal community responds to N enrichment in
404accordance with allocation constraints, we may not necessarily expect corresponding changes to N or C
405dynamics.

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413

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555Table 1. Statistical results for gene frequencies on reciprocally transplanted litter, including summary
556statistics.

Factor	F-ratio	P-value	N-fertilized (# per 100,000 reads) mean ±SE (n)	Control (# per 100,000 reads) mean ±SE (n)
<u>Amino acid permease genes</u>				
Plot environment	F _{1,8} = 8.186	P = 0.021*	11.20 ±0.45 (8)	10.10 ±0.42 (8)
Litter origin	F _{1,8} = 3.718	P = 0.090†	11.10 ±0.52 (8)	10.20 ±0.38 (8)
Microbe origin	F _{1,8} = 16.34	P = 0.004**	9.93 ±0.43 (8)	11.38 ±0.37 (8)
Plot * litter	F _{1,8} = 4.739	P = 0.061†		
Plot * microbe	F _{1,8} = 0.870	P = 0.378		
Litter * microbe	F _{1,8} = 1.253	P = 0.295		
Plot * litter * microbe	F _{1,8} = 0.387	P = 0.551		
<u>Ammonium transporter ascomycete genes</u>				
Plot environment	F _{1,8} = 43.68	P < 0.001***	5.81 ±0.13 (8)	5.00 ±0.19 (8)
Litter origin	F _{1,8} = 9.991	P = 0.013*	5.60 ±0.23 (8)	5.21 ±0.19 (8)
Microbe origin	F _{1,8} = 5.734	P = 0.044*	5.20 ±0.28 (8)	5.61 ±0.11 (8)
Plot * litter	F _{1,8} = 7.716	P = 0.024*		
Plot * microbe	F _{1,8} = 6.688	P = 0.032*		
Litter * microbe	F _{1,8} = 0.083	P = 0.781		
Plot * litter * microbe	F _{1,8} = 0.083	P = 0.781		
<u>Cellulose-targeting AA9 ascomycete genes</u>				
Plot environment	F _{1,8} = 4.261	P = 0.073†	11.54 ±0.65 (8)	9.95 ±0.48 (8)
Litter origin	F _{1,8} = 4.709	P = 0.062†	11.52 ±0.72 (8)	9.96 ±0.38 (8)
Microbe origin	F _{1,8} = 4.261	P = 0.073†	10.18 ±0.72 (8)	11.30 ±0.48 (8)
Plot * litter	F _{1,8} = 2.042	P = 0.191		
Plot * microbe	F _{1,8} = 2.042	P = 0.191		
Litter * microbe	F _{1,8} = 0.070	P = 0.798		
Plot * litter * microbe	F _{1,8} = 0.473	P = 0.511		
<u>Cellulose-targeting AA9 basidiomycete genes</u>				
Plot environment	F _{1,8} = 0.314	P = 0.590	1.08 ±0.07 (8)	1.00 ±0.08 (8)
Litter origin	F _{1,8} = 0.089	P = 0.773	1.05 ±0.08 (8)	1.03 ±0.08 (8)
Microbe origin	F _{1,8} = 8.067	P = 0.022*	1.18 ±0.06 (8)	0.89 ±0.06 (8)
Plot * litter	F _{1,8} = 0.804	P = 0.396		
Plot * microbe	F _{1,8} = 0.274	P = 0.615		
Litter * microbe	F _{1,8} = 0.068	P = 0.800		
Plot * litter * microbe	F _{1,8} = 0.873	P = 0.377		

557 †P < 0.10, *P < 0.05, **P < 0.01, ***P < 0.001

558

559Table 2. Statistical results for gene frequency on non-transplanted litter.

<u>Amino acid permease genes</u>		
N fertilization	$F_{1,2} = 0.013$	$P = 0.921$
Date	$F_{5,10} = 8.861$	$P = 0.002^{**}$
N fertilization * date	$F_{5,10} = 1.305$	$P = 0.336$
<u>Ammonium transporter ascomycete genes</u>		
N fertilization	$F_{1,2} = 0.371$	$P = 0.604$
Date	$F_{5,10} = 4.863$	$P = 0.016^*$
N fertilization * date	$F_{5,10} = 1.375$	$P = 0.312$
<u>Cellulose-targeting AA9 ascomycete genes</u>		
N fertilization	$F_{1,2} = 0.652$	$P = 0.504$
Date	$F_{5,10} = 8.465$	$P = 0.002^{**}$
N fertilization * date	$F_{5,10} = 2.096$	$P = 0.149$
<u>Cellulose-targeting AA9 basidiomycete genes</u>		
N fertilization	$F_{1,2} = 186.8$	$P = 0.005^{**}$
Date	$F_{5,10} = 43.44$	$P < 0.001^{***}$
N fertilization * date	$F_{5,10} = 1.835$	$P = 0.194$

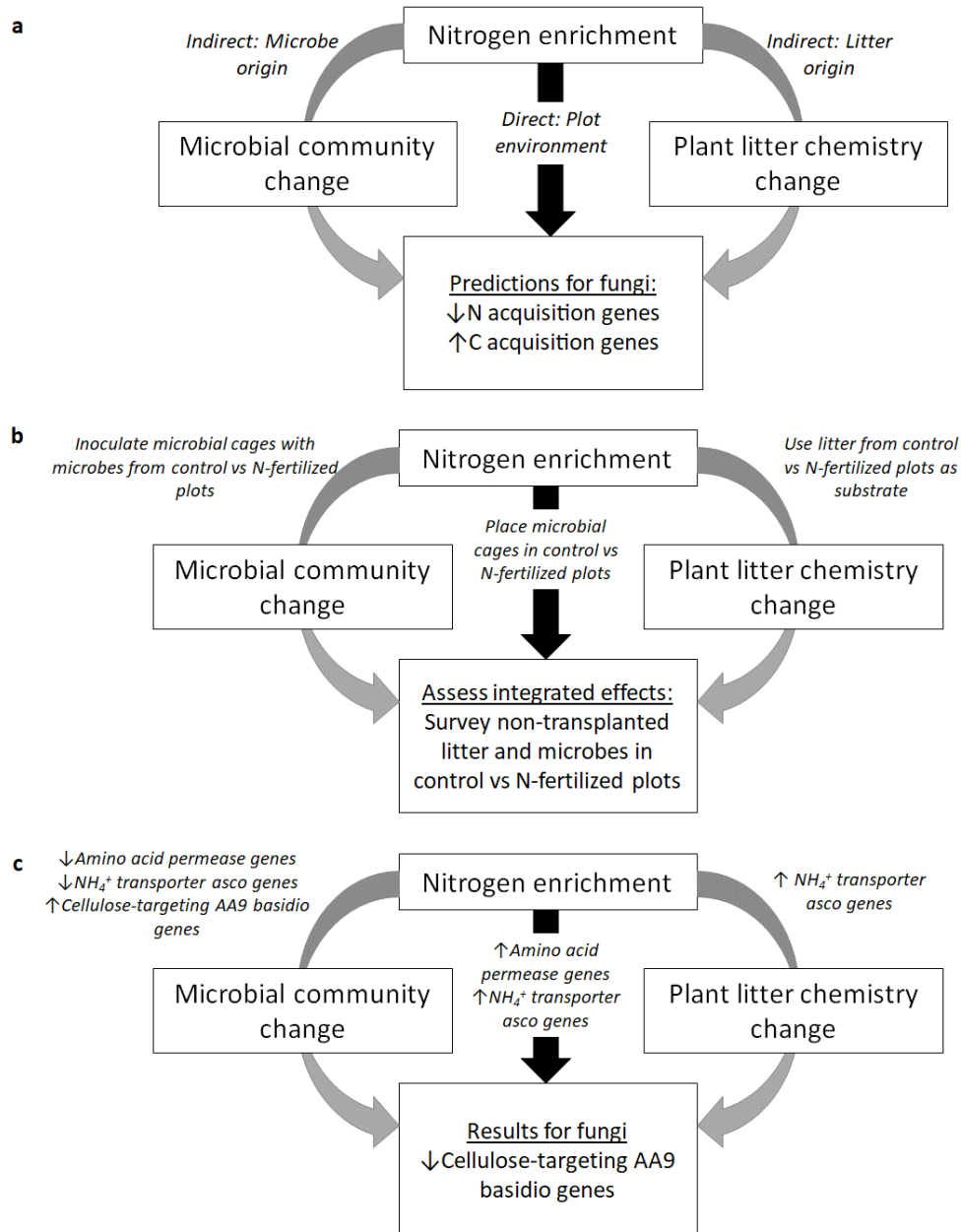
560* $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$

561 Table 3. Synthesis of differences between microbial communities originating from the control plots
 562 versus the N-fertilized plots.

Greater in N microbes than control microbes	No significant difference	Lower in N microbes than control microbes
<u>Fungal community (Allison et al., 2013; Matulich et al., 2015; Martiny et al., 2017)</u>		
Relative abundance of e.g., Davidiellaceae & Sirobasidiaceae taxa	Fungal biomass	Relative abundance of e.g., <i>Cryptococcus</i> & Hypocreales taxa
<u>Fungal functional genes frequency (this study)</u>		
Cellulose-targeting AA9 basidiomycete genes	Cellulose-targeting AA9 ascomycete genes	Ammonium transporter ascomycete genes Amino acid permease eukaryote genes
<u>Extracellular enzyme activity (Alster et al., 2013)</u>		
	α -glucosidase (starch breakdown) Leucine aminopeptidase (peptide breakdown) Peroxidase (catalyzes oxidation reactions) Acid phosphatase (mineralizes organic P)	β -xylosidase (hemicellulose breakdown) β -glucosidase (cellulose breakdown) Cellobiohydrolase (cellulose breakdown) N-acetyl- β -D-glucosaminidase (chitin breakdown) Polyphenol oxidase (lignin breakdown)
<u>Biogeochemical processes (Allison et al., 2013; Hynson et al., 2015)</u>		
Glycine uptake rate into fungi Litter mass loss (in N plots only)	Chitin uptake rate into fungi Loss of C, N, protein, cellulose, lignin, and sugar from litter during decomposition	Loss of hemicellulose during decomposition

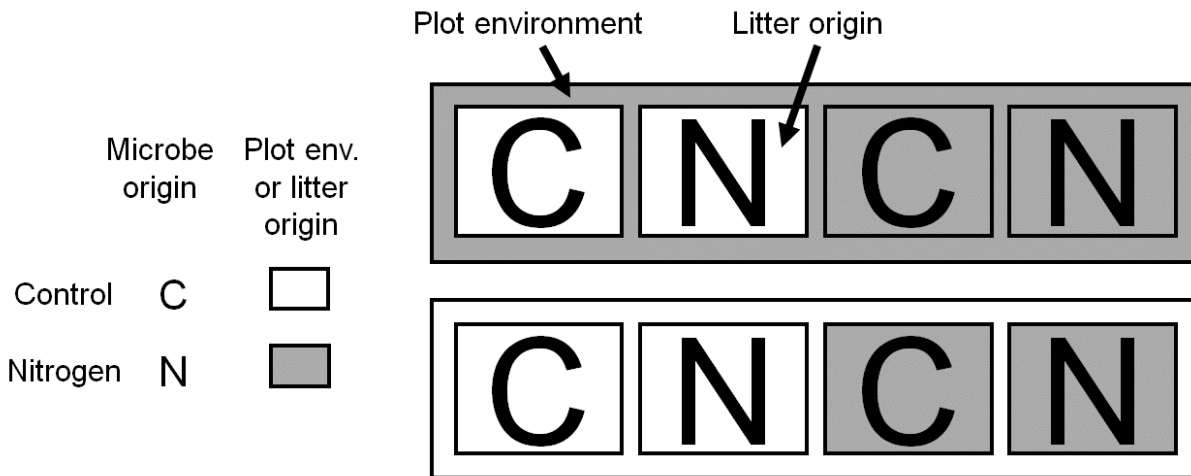
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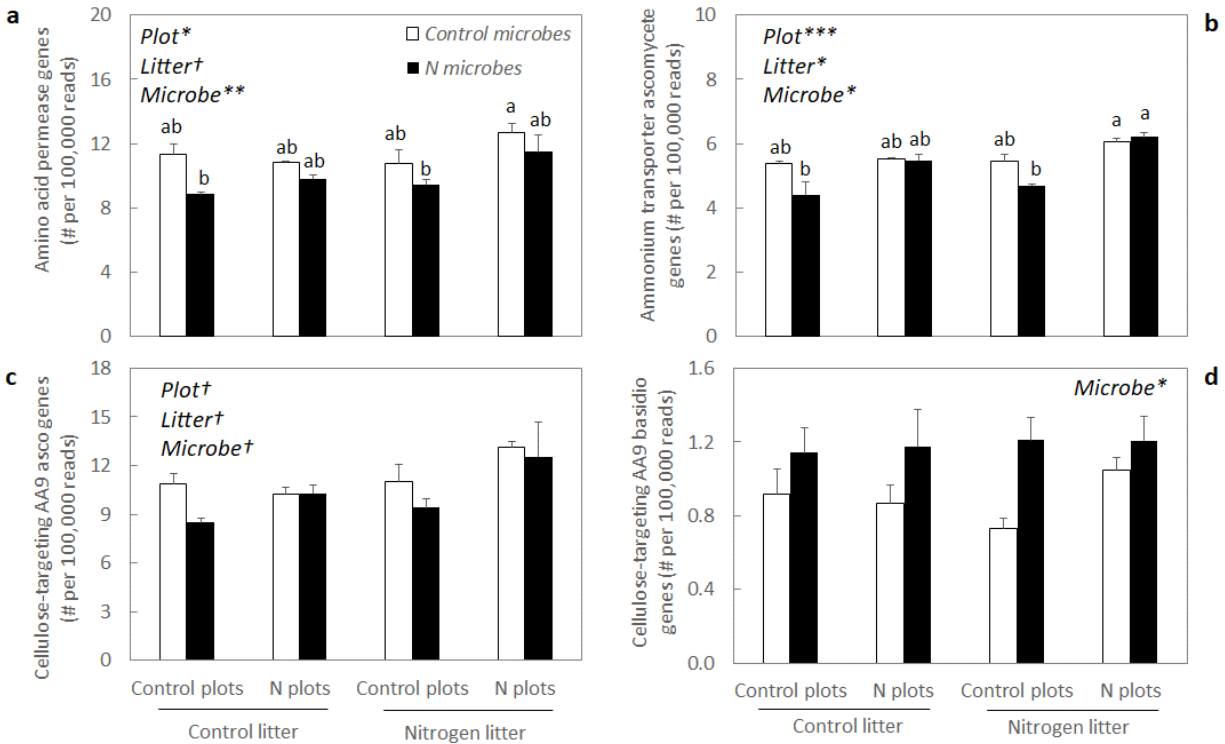
567**Figure 1.** Hypothesized effects of N enrichment (a), approaches for testing effects (b), and observed
 568effects (c) on the frequency of fungal functional genes related to N acquisition and C acquisition. For
 569observed effects, only significant responses are shown. The decrease in cellulose-targeting AA9
 570basidiomycete genes in “Results for fungi” refers to results from a survey of unmanipulated litter. All
 571other results are from a reciprocal transplant experiment.

572



573

574**Figure 2.** Experimental design for one block in the litter manipulation. Plot environment, litter origin,
575and microbe origin were crossed in a fully factorial design. There were two treatments for each factor:
576control and N-fertilized. Control plots were unmanipulated, whereas N-fertilized plots received 60 kg N
577ha⁻¹ y⁻¹.



578

579**Figure 3.** Frequencies of fungal functional genes in a reciprocal litter transplant using microbial cages.

580Microbial inocula collected from control versus N-fertilized plots were added to plant litter harvested

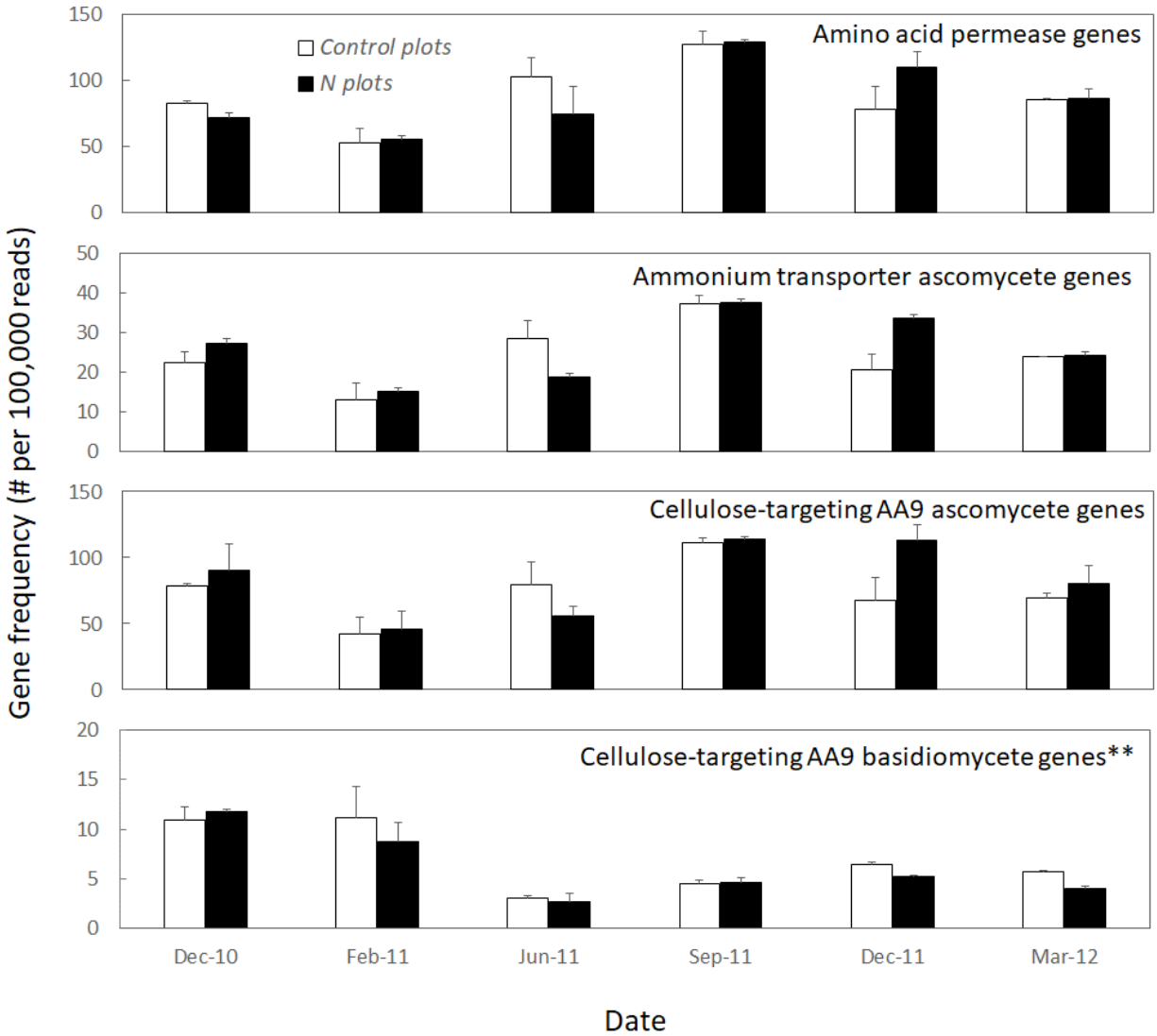
581from control versus N fertilized plots, and each combination was decomposed in control versus N-

582fertilized plots for three months. Microbial cages were collected March 2011. Cellulose-targeting AA9 is

583an enzyme that targets crystalline cellulose. Significant and marginally-significant effects are listed for

584each gene family. Treatment combinations associated with different letters were significantly different

585from one another. Bars are means +1SE of 2 replicates. †P < 0.10, *P < 0.05, **P < 0.01, ***P < 0.001



586

587**Figure 4.** Frequencies of fungal functional genes in a survey of non-transplanted, standing litter. The
 588effect was only significant for cellulose-targeting AA9 basidiomycete genes. Bars are means +1SE of 2
 589replicates. **P < 0.01