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Journal

AJP Heart and Circulatory Physiology, 319(3)

ISSN

0363-6135

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Publication Date

2020-09-01

DOI

10.1152/ajpheart.00307.2020

Peer reviewed

1 Differential Effects of Tobacco Cigarettes and Electronic Cigarettes on Endothelial  
2 Function in Healthy Young People

3

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24  
25 Abstract

26 Tobacco cigarette (TC) smoking has never been lower in the US, but EC vaping has  
27 reached epidemic proportions amongst our youth. Endothelial dysfunction, as  
28 measured by flow mediated vasodilation(FMD) is a predictor of future  
29 atherosclerosis and adverse cardiovascular events, and is impaired in young TC  
30 smokers, but whether FMD is also reduced in young EC vapers is uncertain. The aim  
31 of this study in otherwise healthy young people was to compare the effects of acute  
32 and chronic tobacco cigarette(TC) smoking and electronic cigarette(EC) vaping on  
33 FMD. FMD was compared in 47 non-smokers(NS), 49 chronic EC-vapers and 40  
34 chronic TC-smokers at baseline, and then after EC-vapers (n=31) and non-smokers  
35 (n= 47) acutely used an EC-with-nicotine(ECN), EC-without-nicotine(EC0), and  
36 nicotine inhaler(NI) at ~4week intervals, and after TC-smokers (n=33) acutely  
37 smoked a TC, compared to sham-control. Mean age (NS:26.3±5.2 vs EC:27.4±5.45  
38 vs TC:27.1±5.51 years, p=0.53) was similar among the groups, but there were  
39 more female non-smokers. Baseline FMD was not different among the groups  
40 (NS:7.7±4.5%Δ vs EC:6.6±3.6%Δ vs TC:7.9±3.7%Δ, p=0.35), even when compared  
41 by group and sex. Acute TC smoking vs control impaired FMD (FMD pre/post  
42 smoking: -2.52±0.92%Δ vs 0.65±0.93%Δ, p=0.02). Although the increase in plasma  
43 nicotine was similar after EC-vapers used the ECN vs TC-smokers smoked the TC  
44 (5.75±0.74 vs 5.88±0.69 ng/mL, p=0.47), acute EC vaping did not impair FMD. In  
45 otherwise healthy young people who regularly smoke TCs or ECs, impaired FMD  
46 compared to non-smokers was not present at baseline. However, FMD was  
47 significantly impaired after smoking one TC, but not after vaping an equivalent  
48 “dose” (estimated by change in plasma nicotine) of an EC, consistent with the  
49 notion that non-nicotine constituents in TC smoke mediate the impairment.

50 Although it is reassuring that acute EC vaping did not acutely impair FMD, it would  
51 be dangerous and premature to conclude that ECs do not lead to atherosclerosis.

52 Clinical Trial Registration: ClinicalTrials.gov NCT02740595 and NCT03072628

53 Key words: electronic cigarettes, endothelial function, flow mediated dilation,  
54 tobacco cigarettes, nicotineNew and Noteworthy

55 1. In our study of otherwise healthy young people, baseline flow mediated  
56 dilation(FMD), a predictor of atherosclerosis and increased cardiovascular risk, was  
57 not different amongst tobacco cigarette (TC) smokers or electronic cigarette (EC)  
58 vapers who had refrained from smoking, compared to non-smokers.

59 2. However, acutely smoking one TC impaired FMD in smokers, whereas vaping a  
60 similar EC “dose” (as estimated by change in plasma nicotine levels) did not.

61 3. Although it is reassuring that acute EC vaping did not acutely impair FMD, it  
62 would be premature and dangerous to conclude that ECs do not lead to  
63 atherosclerosis or increase cardiovascular risk.

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## 68 **INTRODUCTION**

69 The vast majority of people who smoke tobacco cigarettes (TCs) begin smoking in  
70 their teens or early twenties, but TC-related diseases, including cardiovascular  
71 diseases, are insidious, presenting only after decades of TC smoking(1). Each puff of  
72 TC smoke contains  $10^{15}$  free radicals and over 7000 different chemicals, several of  
73 which are known toxicants that have pro-oxidant effects on endogenous  
74 pathways(9, 12, 35). Oxidative stress plays a critical role in inflammation, and is  
75 now recognized to be a pivotal early component in the development of  
76 atherosclerosis (4, 11, 41).

77 TC smoking initiates and propagates this excessive oxidative stress in the  
78 vasculature, uncoupling endothelial nitric oxide (NO) synthase and decreasing  
79 bioavailability of NO(4, 12, 24). NO underlies a number of important functions of the  
80 healthy endothelium, including vasodilation, as well as anti-thrombotic and anti-  
81 inflammatory functions(10, 12, 25). Endothelial dysfunction can be detected non-  
82 invasively by impaired brachial artery flow-mediated dilation (FMD) in response to  
83 an ischemic stimulus, such as inflation of a sphygmomanometric cuff to  
84 suprasystolic levels on the forearm(45). Upon cuff deflation, blood flow in the  
85 brachial artery increases in response to this acute ischemia, thereby increasing  
86 shear stress on endothelial cells. Healthy endothelial cells then release vasodilating  
87 factors, including NO, which mediate smooth muscle relaxation and acute  
88 vasodilation. Impaired NO bioavailability, which can be caused by excessive  
89 oxidative stress, contributes to impaired FMD (30).

90 Brachial artery endothelial dysfunction as measured by impaired FMD  
91 correlates with coronary artery endothelial dysfunction(5), and is the earliest  
92 marker of future coronary atherosclerosis. Importantly, impaired FMD is associated

93 with increased risk for future adverse cardiovascular events(20, 40). Reduced FMD  
94 has been reported in TC smokers and those exposed to secondhand smoke, and is  
95 directly associated with smoking burden(7, 8). Both regular or “light” cigarettes are  
96 associated with reduced FMD, but FMD can be improved following smoking  
97 cessation, or with antioxidant therapy(3, 16, 17, 22). Oxidative stress induced by  
98 TC smoking has been implicated as a major contributor underlying reduced FMD(25,  
99 30). Surprisingly, pharmaceutical grade nicotine spray, without the combusted  
100 constituents present in TC smoke, has also been reported to acutely impair  
101 endothelial dysfunction, although to a lesser extent than smoking a TC with similar  
102 nicotine yield(36).

103 TC smoking prevalence has never been lower in otherwise healthy young  
104 people, but electronic cigarette (EC) vaping, introduced in 2007, is reaching  
105 epidemic proportions(31). In 2019, almost one in three high school seniors reported  
106 vaping a nicotine-containing EC in the previous month(31). ECs are not cigarettes  
107 at all; in fact, only the first generation, “cigalikes” even simulated the appearance  
108 of a tobacco cigarette. ECs are battery-powered handheld devices that are available  
109 in many shapes, including the shape of a flash drive. When the heating element is  
110 activated by puffing on the mouthpiece, a heated aerosol composed of solvents,  
111 flavorings and usually nicotine, is released into the user’s mouth. While ECs are  
112 generally believed to be less harmful than TC smoking, the effect of acute and  
113 chronic EC vaping on vascular health in otherwise healthy young people is largely  
114 unknown. The aim of the current study in otherwise healthy young people was to  
115 compare the effects of acute and chronic TC smoking and EC vaping on endothelial  
116 function as measured by brachial artery FMD, a predictor of future atherosclerosis  
117 and adverse cardiovascular events.

118 **MATERIAL AND METHODS**

119 **Study Population**

120 The study population consisted of healthy male and female subjects between the  
121 ages 21-45 years, who were: 1) chronic ( $\geq 12$  months) EC-vapers who did not smoke  
122 TCs (no dual users), 2) chronic ( $\geq 12$  months) TC-smokers, or 3) non-smokers. All  
123 groups were required to meet the following criteria: 1) non-obese ( $< 30$  kg/m<sup>2</sup> BMI),  
124 2) not pregnant, 3) no known health problems, including asthma, hypertension,  
125 heart disease, diabetes, or hyperlipidemia, 4) alcoholic intake  $\leq 2$  drinks per day  
126 and no regular illicit drug use determined through screening questionnaire, and  
127 confirmed at each visit with a urine toxicology test, and 5) not taking prescription  
128 medications regularly (oral contraceptives were allowed), 6) not competitive (inter-  
129 collegiate) athletes. Chronic EC-vapers and non-smokers who were former TC-  
130 smokers were eligible for the study if they had quit smoking  $> 1$  year prior to the  
131 study. End-tidal CO was measured in EC-vapers and non-smokers each visit to  
132 detect those who were surreptitiously smoking TCs; if the CO was  $> 10$  ppm, it was  
133 presumed the participant had smoked a combustible tobacco product, leading to  
134 elimination from the study. A urine toxicology test was performed at the beginning  
135 of each visit to exclude surreptitious marijuana use. On the day of the written  
136 informed consent, prior to the day of the first experimental session, all subjects  
137 were familiarized and acclimated to the experimental set-up. The experimental  
138 protocol was approved by the Institutional Review Board at the University of  
139 California, Los Angeles, and written informed consent was obtained from each  
140 participant. This study is registered at ClinicalTrials.gov NCT02740595 and  
141 NCT03072628.

142 Acute EC vaping. In this open label randomized crossover study, chronic EC-vapers  
143 and non-smokers participated in up to four 30-minute acute exposure sessions in  
144 random order separated by 4-weeks: 1) sham-vaping, a control session consisting of  
145 puffing on an empty EC, 2) EC-with-nicotine (ECN), 3) EC-without-nicotine (EC0), and  
146 4) nicotine inhaler (NI), a “clean” source of nicotine, with inactive menthol flavoring,  
147 and no solvents.

148 Acute tobacco cigarette smoking. Chronic TC-smokers, but not non-smokers,  
149 participated in up to two acute smoking sessions in random order separated by 4-  
150 weeks: 1) sham-smoking, a control session consisting of puffing on an empty straw,  
151 and 2) smoking one TC (own brand).

152 **Smoking Topography**. Electronic cigarette and nicotine inhaler (NI). EC  
153 topography was standardized: participants were verbally cued every 30 seconds  
154 with a recording: “Ready, set” (place EC in mouth), “go, 2, 3” (inhale 3 seconds),  
155 “hold, 2, 3” (hold aerosol in), then exhale. Participants used the EC for up to 30  
156 minutes (60 puffs), since we have reported that this topography was tolerable and  
157 sufficient to increase plasma nicotine levels(32). According to the package insert  
158 and company literature, utilizing this same topography the nicotine inhaler was  
159 expected to achieve very similar plasma nicotine levels seen with our 2nd  
160 generation EC device(32). Tobacco cigarette. Subjects puffed on an empty straw or  
161 smoked 1 TC in 7 minutes, a typical time interval to smoke one TC.

162 **EC Device**. A second-generation “pen-like” EC device (1.0  $\Omega$ , eGo-One by Joyetech,  
163 Irvine, CA), was used with strawberry-flavored VG/PG liquid, since fruit-flavored e-  
164 liquids were widely used(42), with 1) 1.2 % nicotine, 2) 0% nicotine, or 3) empty  
165 (control). In 2019, it was recognized that the JUUL was the most popular vaping  
166 device, and thus, we switched to this device. A total of 10 EC vapers used the JUUL



167 with mint-flavored pods (the most widely used flavor (23), 5% nicotine, and 2)  
168 without nicotine (Cyclone).

169 **Nicotine and cotinine plasma levels.** Before and after EC or TC exposures, blood  
170 was drawn from the opposite arm used for FMD according to lab specifications and  
171 sent to the UCLA Clinical Laboratories for nicotine (half-life 1-2 hours) and cotinine  
172 (half-life 16-20 hours) levels. The assay for plasma nicotine and cotinine was run by  
173 the commercial laboratory, Quest Laboratories, with a limit of quantitation of 2  
174 ng/mL for both plasma nicotine and cotinine.

175 Measurement of Brachial Artery Flow Mediated Dilatation (FMD).

176 High-resolution ultrasound (Logic 7, General Electric, Inc) measurement of brachial-  
177 artery FMD and endothelium-independent dilation in response to 0.15 mg sublingual  
178 nitroglycerin was performed by the same investigator (K.P.H.) according to current  
179 guidelines(44, 45). Assessments were done with a 7.5-MHz linear array transducer  
180 ultrasound system in spectral Doppler mode. A sphygmomanometric cuff was  
181 placed just below the antecubital fossa. The brachial artery was imaged with  
182 assistance from a probe holder between 5 to 8 cm above the antecubital crease.  
183 Image was optimized in B-mode and landmarks were noted and were also marked  
184 on the arm to ensure matching images pre/post exposure. Vascular imager software  
185 with automated edge-detector was used for recording and analysis (Vascular  
186 Analysis Tools, Medical Imaging Applications, LLC). After baseline diameter was  
187 recorded for 30 seconds, a sphygmomanometric cuff was inflated to 250mmHg for 5  
188 minutes(45). The image was recorded 30 seconds before cuff deflation and  
189 continued for 2 minutes after release. FMD calculations were expressed as the  
190 absolute change (mm $\Delta$ ) and relative change (% $\Delta$ ) in post-stimulus diameter in  
191 relation to the baseline diameter. Mean blood velocity was measured with an

192 insonation angle of 60°. The shear stress stimulus was evaluated by calculating  
193 peak shear rate (velocity/diameter) and integrated shear rate(44, 45). To account  
194 for the potential differences in shear rate stimulus, FMD is also normalized for shear  
195 stress (AUC)(45). To test endothelium-independent vasodilation, sub-lingual  
196 nitroglycerin 0.15 mg was then administered. Two minutes later the image was  
197 recorded for 7 minutes. To assess microvascular function, peak velocity during  
198 reactive hyperemia (VHR) and shear stress during reactive hyperemia (SSRH) were  
199 compared. SSRH was calculated according to the following formula: SSRH  
200 (dynes/cm<sup>2</sup>) = 8 \* 0.035 (dynes \* s/cm<sup>2</sup>) \* (VRH/(baseline diameter/10))(19, 27, 37-  
201 39).

202 Blood pressure. Blood pressure (SBP), diastolic BP (DBP), mean BP (MBP), and heart  
203 rate (HR) were measured after a 10-minute rest period in the supine position at  
204 baseline, and after a 5-minute rest period following each exposure, with a non-  
205 invasive BP monitor (Casmed 740, Avante Health Solutions) according to AHA  
206 guidelines(34).

### 207 Experimental Session

208 To avoid the potential influence of circadian rhythm on FMD, subjects were  
209 studied mid-day (usually between 10am-2pm). Studies were separated by  
210 ~4 week intervals, and women were studied in the early follicular phase or  
211 during the placebo phase of oral contraceptive use. Subjects were instructed  
212 not to use over the counter medications, including vitamins for 24 hours  
213 before the study session. After abstaining from smoking, caffeine, and  
214 exercise for at least 12 h, fasting participants were placed in a supine  
215 position in a quiet, temperature-controlled (21 °C) room in the Human  
216 Physiology Laboratory located in the UCLA Clinical and Translational

217 Research Center. No cell phones or digital stimuli were allowed, and during  
218 data acquisition, talking was minimized. The participant was instrumented,  
219 blood was drawn, and after a 10-minute rest period, blood pressure and  
220 heart rate were measured, and the FMD was measured. The participant then  
221 underwent an assigned exposure: ECN, EC0, NI, or sham-vaping control for  
222 EC users and non-smokers, and TC or sham-smoking control for TC smokers.  
223 After re-positioning, and a 5-minute rest period, blood pressure and heart  
224 rate were measured, and FMD was measured. In a subset of subjects (n=86),  
225 nitroglycerin 0.15 mg was placed under the tongue, and brachial artery  
226 diameter was again measured. Blood was then drawn, and the study was  
227 concluded.

## 228 **Statistical analysis**

229 The primary outcome was baseline FMD in the three study groups, and then the  
230 change in FMD from baseline following each exposure. Secondary outcomes were  
231 SBP, DBP, mean BP (MBP), heart rate (HR), VRH, and SSRH, and the change in these  
232 outcomes with each acute exposure.

233 Data from pen-like ECs and JUULs were analyzed as a single EC group,  
234 distinguished only by liquid with and without nicotine. Baseline mean comparisons  
235 were made via an analysis of variance model. Mean post-exposure minus baseline  
236 differences were compared across ECN, EC0, NI, and control using a cross over  
237 repeated measure (mixed) analysis of variance model adjusting for session and  
238 order. Normal quantile plots (not shown) were examined and the Shapiro-Wilk  
239 statistic computed to confirm that the model residual errors followed the normal  
240 distribution on the appropriate original or log scale. Means and standard errors

241 (SEM) for baseline to post-exposure changes were adjusted by session and order  
242 effects.

243         Associations between two continuous variables were assessed using the  
244 nonparametric Spearman correlation ( $r_s$ ) since the relation was monotone but not  
245 necessarily linear. Differences or associations were considered statistically  
246 significant when  $p \leq 0.05$ .

247 Sample size calculation. Sample size was based on endpoints of FMD. In preliminary  
248 studies conducted in non-smokers, in which mean FMD  $\pm$  SD was  $7.6 \pm 3.3\%$ , it was  
249 calculated that 22 participants per group (non-smokers, EC vapers and TC smokers)  
250 would permit detection of a delta of 1.47%, and 44 participants per group would  
251 permit detection of a delta of 1.03% between groups. Even fewer participants would  
252 be necessary to detect a mean difference in baseline vs exposure in a paired  
253 comparison, assuming similar standard deviations with exposures for 80% power  
254 using a 2-sided alpha = 0.05. Our final analysis included at least 40 participants per  
255 group.

## 256 **RESULTS**

### 257 Study population

258 Of 148 participants, 12 were excluded (4 urine positive for marijuana, 3 non-  
259 smokers with positive plasma cotinine consistent with current tobacco product use,  
260 3 with poor (uninterpretable) brachial artery ultrasound image, 1 EC vaper with  
261 carbon monoxide > 10 ppm consistent with surreptitious TC use, and 1 illness)  
262 leaving 136 participants, including 47 non-smokers, 49 chronic EC-vapers and 40  
263 chronic TC-smokers who were enrolled in this study. Baseline characteristics of the  
264 three groups are displayed in Table 1. The groups had similar characteristics  
265 including age, race, and body mass index (BMI), but there were more females in the

266 non-smoking group. Baseline plasma cotinine level was not different in the EC-  
267 vapers and TC-smokers, indicative of similar smoking burden. Nine EC users and 9  
268 TC smokers did not completely abstain from smoking prior to the study, as indicated  
269 by detectable plasma nicotine levels  $\geq 3$  ng/mL. An analysis was performed without  
270 these participants, and results were unchanged (data not shown).

#### 271 Baseline FMD

272 Baseline brachial artery diameter was smaller in the non-smokers compared to the  
273 other groups (Table 1). Sheer rate stimulus was not different among the groups  
274 (Table 1). Baseline FMD, unadjusted (Figure 1), or adjusted for baseline artery  
275 diameter, was not different among the three groups, non-smoker vs EC vaper vs TC  
276 smoker, whether measured by percent change (adjusted  $\% \Delta$ ,  $7.2 \pm 0.59$  vs  $6.9 \pm$   
277  $0.56$  vs  $8.0 \pm 0.60$  respectively,  $p=0.22$ ) , absolute change (adjusted  $\text{mm} \Delta$ ,  $0.24 \pm$   
278  $0.02$  vs  $0.25 \pm 0.02$  vs  $0.28 \pm 0.02$  respectively,  $p=0.44$ ), or normalized for shear  
279 stress (adjusted a.u. $\Delta$ ,  $0.086 \pm 0.02$  vs  $0.081 \pm 0.02$  vs  $0.085 \pm 0.02$  respectively,  
280  $p=0.84$ ). This was true when primary outcomes were compared by group and sex as  
281 well ( $\% \Delta$ : group  $p=0.59$ , sex  $p=0.71$ , group\*sex  $p=0.73$ ;  $\text{mm} \Delta$ : group  $p=0.80$ , sex  
282  $p=0.12$ , group\*sex  $p=0.68$ , or a.u. $\Delta$ : group  $p=0.68$ , sex  $p=0.19$ , group\*sex  $p=0.73$ )  
283 Sublingual nitroglycerin, which evokes endothelium-independent vasodilation,  
284 caused dilation in all groups (non-smokers  $21.3 \pm 5.4\%$ ; EC-vapers  $19.9 \pm 6.4\%$ ; TC-  
285 smokers  $23.2 \pm 8.6\%$ ).

#### 286 Baseline hemodynamics

287 Baseline hemodynamics (Table 1), including SBP, DBP, MBP, and HR were not  
288 different among non-smokers, chronic EC-vapers and chronic TC-smokers.

#### 289 Acute Exposures

290 We then assessed the acute effects of TC smoking in 33 chronic TC-smokers, and  
291 the acute effects of EC vaping in 47 non-smokers and 31 chronic EC-vapers.  
292 Baseline characteristics of the three groups did not differ in age, sex, BMI, or race  
293 (Table 2).

#### 294 TC-Smokers: Acute Changes in FMD Following Acute TC Smoking

295 TC smoking increased plasma nicotine levels by  $5.88 \pm 0.69$  ng/mL (Figure 2).  
296 Brachial artery diameter was not different on the TC smoking vs straw control day  
297 ( $3.59 \pm 0.11$  vs  $3.59 \pm 0.11$ mm,  $p=0.94$ ).TC smoking compared to straw control  
298 significantly decreased FMD, reported as percent change ( $-2.52 \pm 0.92$  vs  $0.65 \pm$   
299  $0.93\%$  respectively,  $p=0.02$ ), absolute change ( $-0.091 \pm 0.033$  vs  $0.023 \pm 0.034$   
300 mm respectively,  $p=0.02$ ), and tended to decrease FMD when normalized for shear  
301 stress, although this did not reach significance ( $-0.11 \pm 0.09$  vs  $0.13 \pm 0.09$  a.u.  
302 respectively,  $p=0.07$ ; Figure 3). The decrease in FMD was not correlated with the  
303 increase in plasma nicotine levels (Table 3).

#### 304 TC-Smokers: Acute Changes in Hemodynamics Following Acute TC Smoking

305 After smoking the TC compared to straw control, all hemodynamic outcomes (SBP,  
306 DBP, MBP, HR) were significantly increased (Table 4). The increase in all  
307 hemodynamic outcomes were moderately to strongly correlated with the increase in  
308 plasma nicotine levels (Table 3).

#### 309 EC-Vapers: Acute Changes in FMD Following Acute EC Vaping

310 The change in plasma nicotine level when analyzed by EC device type was not  
311 different in EC vapers (pen-like vs JUUL: ( $7.80 \pm 2.14$  vs  $5.00 \pm 1.17$  ng/mL, overall  
312  $p =0.25$ ) thus the EC data were grouped as a single EC device, distinguished only  
313 by liquid with and without nicotine. The increase in plasma nicotine was similar after  
314 using the EC with nicotine compared to the TC ( $5.75 \pm 0.74$  ng/mL vs  $5.88 \pm 0.69$

315 ng/mL,  $p = 0.47$ , respectively), and significantly greater than the NI ( $2.83 \pm 0.83$   
316 ng/mL,  $p=0.01$ ; Figure 2). Brachial artery diameter was not different on the ECN,  
317 EC0, NI, or straw control days ( $3.87 \pm 0.10$  vs  $3.82 \pm 0.10$  vs  $3.78 \pm 0.10$  vs  $3.77 \pm$   
318  $0.26$  mm respectively,  $p=0.49$ ). None of the exposures, including the ECN, EC0 or NI  
319 produced a significant change in FMD compared to the sham control, reported as  
320 percent change, ( $1.29 \pm 0.84$  vs  $0.87 \pm 0.81$  vs  $0.39 \pm 1.01$  vs  $0.26 \pm 1.98$  %  
321 respectively,  $p=0.88$ ), absolute change( $0.037 \pm 0.029$  vs  $0.030 \pm 0.028$  vs  $0.004 \pm$   
322  $0.035$  vs  $0.010 \pm 0.068$  mm respectively,  $p=0.93$ ), or normalized for sheer stress  
323 ( $0.061 \pm 0.10$  vs  $0.21 \pm 0.10$  vs  $-0.031 \pm 0.11$  vs  $0.073 \pm 0.20$  a.u. respectively,  
324  $p=0.40$ ; Figure 4,).

#### 325 EC-Vapers: Acute Changes in Hemodynamics Following Acute EC Vaping

326 After using the ECN, but not EC0 or NI, all hemodynamic outcomes (SBP, DBP, MBP,  
327 HR) were increased compared to the sham control (Table 4). The increase in all  
328 hemodynamic outcomes were strongly correlated with the increase in plasma  
329 nicotine levels (Table 3).

#### 330 Non-smokers: Acute Changes in FMD Following Acute EC Vaping

331 The change in plasma nicotine level when analyzed by EC device type was not  
332 different in non-smokers (pen-like vs JUUL:  $2.08 \pm 0.06$  vs  $1.55 \pm 2.03$  ng/mL, overall  $p$   
333  $=0.80$ ) thus the EC data were grouped as a single EC device, distinguished only by  
334 liquid with and without nicotine. The increase in plasma nicotine when non-smokers  
335 used the ECN or the NI was not significantly different ( $2.64 \pm 0.55$  ng/mL vs  $1.40 \pm$   
336  $0.86$  ng/mL,  $p = 0.41$ , Figure 2). The increase in plasma nicotine when non-smokers  
337 used the ECN or NI was significantly lower compared to when chronic EC-vapers  
338 used the ECN, or when chronic TC-smokers smoked a TC (Figure 2). Brachial artery  
339 diameter was not different on the ECN, EC0, NI, or straw control days ( $3.50 \pm 0.08$

340 vs  $3.42 \pm 0.08$  vs  $3.45 \pm 0.09$  vs  $3.46 \pm 0.08$  a.u. respectively,  $p=0.46$ ). None of the  
341 exposures, including the ECN, EC0 or NI produced a significant change in FMD  
342 compared to the sham control, reported as percent change ( $0.94 \pm 0.67$  vs  $-0.14 \pm$   
343  $0.70$  vs  $0.31 \pm 1.04$  vs  $0.05 \pm 0.94$  % respectively,  $p=0.62$ ), absolute change ( $0.028$   
344  $\pm 0.022$  vs  $-0.007 \pm 0.023$  vs  $0.002 \pm 0.034$  vs  $0.000 \pm 0.031$  mm respectively,  
345  $p=0.65$ ), or normalized for sheer stress ( $-0.006 \pm 0.049$  vs  $-0.035 \pm 0.053$  vs  $0.073$   
346  $\pm 0.078$  vs  $-0.023 \pm 0.073$  a.u. respectively,  $p=0.75$ ; Figure 5).

347 Non-smokers: Acute Changes in Hemodynamics Following Acute EC Vaping  
348 After using the ECN, but not EC0 or NI, the SBP, MBP, and HR were increased  
349 compared to the sham control (Table 4) and were correlated with changes in  
350 nicotine levels (Table 3).

351 Microvascular function: Velocity Reactive Hyperemia (VHR) and Shear Stress  
352 Reactive Hyperemia (SSRH)

353 Microvascular function, as estimated by VHR or SSRH, was not different among the  
354 three groups at baseline (NS vs EC vs TC, VHR:  $125.3 \pm 26.5$  vs  $129 \pm 31.9$  vs  $133.7$   
355  $\pm 28.3$  cm/s respectively,  $p=0.27$ ; SSRH:  $104.1 \pm 29.5$  vs  $99.5 \pm 31.3$  vs  $105.4 \pm$   
356  $31.3$  dynes/cm<sup>2</sup> respectively,  $p=0.60$ ). Furthermore, none of the exposures,  
357 including TC in smokers or ECN, EC0 or NI in EC vapers and non-smokers, produced  
358 a significant change in VHR or SSRH compared to straw control (Table 5).

## 359 **DISCUSSION**

360 Traditional cardiovascular risk factors, such as age, hypertension, diabetes  
361 mellitus, hyperlipidemia, and importantly, TC smoking, are all associated with  
362 endothelial dysfunction as detected by impaired FMD, the earliest marker of future  
363 atherosclerosis, and a predictor of adverse cardiovascular events(12, 45). Impaired  
364 FMD is indicative of decreased endothelial NO bioavailability, and as well as the



365 presence of excessive oxidative stress that promotes atherosclerosis by oxidizing  
366 lipids and activating pro-inflammatory monocytes(30). Impaired FMD is predictive of  
367 future adverse cardiovascular events in those with and without known  
368 cardiovascular disease(20, 40, 45). Impaired FMD is not static, and can be reversed  
369 when risk factors are treated, and this reversal is associated with improved  
370 cardiovascular prognosis(40).

371 To our knowledge, this is the first study to compare baseline FMD in a large  
372 cohort of otherwise healthy young EC-vapers and TC-smokers to non-smokers, and  
373 to compare acute EC vaping in EC-vapers to acute TC smoking in TC-smokers. There  
374 are two major new findings from this study. First, baseline endothelial function is  
375 not different among the three groups of otherwise healthy young people, including  
376 non-smokers, EC-vapers, and TC-smokers. And second, TC smoking but not EC  
377 vaping acutely and markedly impairs endothelium-dependent vasodilation as  
378 measured by FMD.

379 It is perhaps surprising that these chronic TC-smokers do not have impaired  
380 endothelial function as assessed by brachial artery FMD. Evidence in pre-clinical and  
381 clinical studies support the notion that endothelial dysfunction is an early and  
382 sensitive indicator of uncompensated oxidative stress in humans(9, 12, 18, 35).  
383 Since TC smoking is a well-known source of oxidative stress, the lack of impairment  
384 in FMD in our smokers is unexpected. In fact, even non-smokers exposed to  
385 secondhand smoke have been shown to have impaired endothelial function  
386 measured by FMD(7). There are several potential explanations for our findings.

387 First of all, it should be clarified that unfiltered secondhand smoke has up to  
388 10 fold the toxicants as mainstream, filtered smoke(2, 33), so it is deceptive to  
389 think that since a non-smoker is “only” inhaling secondhand smoke that her

390 exposure to pro-oxidants is necessarily less than that of the TC-smoker. Secondly,  
391 our otherwise healthy, young TC smokers were overall light smokers as suggested  
392 by their relatively low plasma cotinine levels, a metabolite of nicotine. Importantly,  
393 impaired FMD in smokers is directly related to smoking burden(8). Third, our  
394 protocol specified that TC-smokers refrain from smoking 12 hours before the  
395 baseline study. This is in stark contrast to the protocol followed by Celermajer et  
396 al(8), which mandated that TC smokers must smoke at least one TC within 12 hours  
397 of the FMD measurement. Finally, in contrast to the demographics of TC-smokers in  
398 prior reports, all of our TC-smokers were young, non-obese, without co-morbidities,  
399 and did not use recreational drugs, including marijuana. In short, with the exception  
400 of their TC smoking, they apparently engaged in relatively healthy lifestyles.

401         A similar line of reasoning could explain why endothelium-dependent  
402 vasodilation was not attenuated in chronic EC-vapers compared to non-smokers.  
403 Cotinine levels in EC-vapers were not different from those in TC-smokers, indicative  
404 of relatively light vaping habits. These were similarly otherwise healthy, non-obese,  
405 young people who did not regularly use drugs. Of course, this finding should not be  
406 interpreted as TC smoking or EC vaping is not harmful when one is young. The  
407 development of atherosclerosis is an insidious, slow process, and the lack of  
408 abnormal FMD may just reflect the sensitivity of the test rather than the true  
409 absence of pathology(1).

410         The second novel finding in our study was that when chronic TC smokers  
411 acutely smoked one TC, endothelium-dependent vasodilation was significantly  
412 impaired, whereas when chronic EC-vapers vaped a similar EC dose as measured by  
413 the increase in plasma nicotine pre/post exposure, endothelium-dependent  
414 vasodilation was not impaired. This is consistent with the notion that EC vaping

415 imposes less of an oxidative stress burden compared to TC smoking. The non-  
416 nicotine, pro-oxidative toxicants in TC smoke such as volatile free radicals,  
417 aldehydes, and acrolein, which interrupt cellular enzymatic pathways leading to  
418 excessive oxidative stress, are in greater abundance in TC smoke compared to EC  
419 emissions(9, 12, 15, 29). Although the dose of nicotine may be the same, the dose  
420 of toxicants was not. Additionally, the impairment in FMD was not correlated with  
421 the change in plasma nicotine levels in TC smokers in these studies.

422         Interestingly, Neunteufl et al(36) found that nicotine alone, as delivered by  
423 nicotine nasal spray, which is free of non-nicotine toxicants, significantly attenuated  
424 FMD in chronic TC smokers, albeit to a significantly lesser degree than acute TC  
425 smoking. The explanation for this finding in humans is uncertain; evidence of  
426 oxidative stress in plasma biomarkers was not uncovered, although the study may  
427 have been underpowered(36). This finding contrasts with preclinical studies, in  
428 which nicotine alone, at doses present in TC smokers, has no effect, or only minimal  
429 effects, on endothelial function(4, 26, 43). This finding also is at odds with our  
430 finding that acute EC vaping did not attenuate FMD, despite a similar increase in  
431 nicotine as acute TC smoking. Additionally, the impairment in FMD with acute TC  
432 smoking in our study was not correlated with the change in plasma nicotine levels.  
433 Finally, this finding also contrasts with the finding of George et al(14), who showed  
434 that switching from TCs to ECs with or without nicotine, significantly increased  
435 endothelial function at one month. In George's study(14), endothelial function was  
436 not different between those that switched to the ECs with nicotine compared to  
437 those who switched to ECs without nicotine.

438         In contrast to our study and to George's study(14), Carnevale et al(6)  
439 reported that chronic TC smokers had similar acute impairment in FMD after

440 smoking a TC compared to vaping nine puffs from an early generation EC.  
441 Unfortunately, acute changes in plasma nicotine were not measured, so it is  
442 unknown if these exposures were equivalent. Surprisingly, despite similar  
443 impairments in FMD, the impact of acute EC vaping on plasma markers of oxidative  
444 stress were less than acute TC smoking. One explanation for these findings of  
445 similar impairment in FMD after EC vaping or TC smoking is that in Carnevale's  
446 study, chronic TC-smokers used the EC whereas in our study, chronic EC-vapers  
447 (non-TC smokers) used the EC. It is possible that TC-smokers have less vascular  
448 reserve, that is, they are more vulnerable to stressors of endothelial function  
449 compared to EC-vapers who do not smoke TCs.

450

#### 451 Study Limitations

452 These are studies in humans, who are heterogeneous, thus the groups may have  
453 differed in cofounders for which we did not account. We relied on self-report for past  
454 medical history and use of tobacco products and drugs. However, we also  
455 performed confirmation testing. Specifically, we measured exhaled CO to detect  
456 surreptitious TC smoking in EC-vapers and non-smokers, and tested urine for  
457 marijuana. The JUUL, used in only a small number of our acute studies, delivers  
458 alveolar nicotine, similar to TC smoking. Although the acute increase in plasma  
459 nicotine in TC-smokers and EC-vapers was not different in our study, the  
460 pharmacokinetics of the increase was likely different. Future studies utilizing the  
461 JUUL or another pod-EC device, would be of interest. The NI contained menthol  
462 flavoring described as "inactive" but we cannot rule out a vasodilatory effect of the  
463 menthol flavoring in our participants. We did not simultaneously measure plasma  
464 markers of oxidative stress in TC-smokers and EC-vapers. However, the purpose of

465 our study was not to determine mechanisms for endothelial dysfunction in TC-  
466 smokers, but to detect its presence. After all, there is already a large body of animal  
467 and human data supporting the notion that excessive oxidative stress underlies  
468 endothelial dysfunction and abnormal FMD(9, 12, 35, 45). Oxidative stress degrades  
469 tetrahydrobiopterin, the cofactor for endothelial NO synthase, thereby uncoupling  
470 NO synthase, which leads to greater generation of oxidative stress in the form of  
471 superoxide anion, and less NO bioavailability(25, 28).

472

473 In summary, in healthy young people who smoke TCs or vape ECs, impaired FMD  
474 compared to non-smokers was not present at baseline. However, FMD was  
475 significantly impaired after smoking one TC, but not after vaping an equivalent  
476 “dose” (as estimated by change in plasma nicotine) of an EC. Impaired FMD in TC  
477 smokers is most likely attributable to non-nicotine toxicants in TC smoke, since an  
478 equivalent increase in plasma nicotine from the EC did not lead to acute impairment  
479 in FMD. Although it is reassuring that acute EC vaping did not acutely impair FMD, it  
480 would be dangerous and premature to conclude that ECs do not lead to  
481 atherosclerosis. However, there is increasing scientific literature (13, 14, 21) that  
482 supports the notion that ECs, although not harmless, may be less harmful than TC  
483 smoking for cardiovascular risk.

484 **Acknowledgments**

485 Acknowledgements: The authors would like to thank Dr. Gary L. Pierce, PhD,  
486 Associate Professor, Department of Health and Human Physiology, University of  
487 Iowa, for generously sharing his expertise to train K.P.H. in the technique and  
488 analysis of flow-mediated dilation. The authors are also grateful to Ms. Harshika  
489 Chatterjee who enthusiastically helped with the Tables.

490

491 **Grants**

492 Sources of Funding: This work was supported by the Tobacco-Related Disease  
493 Research Program (TRDRP) under the contract numbers: TRDRP 23XT-0006H (HRM),  
494 25IR-0024H (HRM), and TRDRP 28IR-0065 (HRM), and by the NIH National Center for  
495 Advancing Translational Science (NCATS) UCLA CTSI Grant Number UL1TR001881.

496

497 **Disclosures**

498 None

499



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**Table 1.**  
**Baseline Characteristics**

	<b>Non-Smokers</b> (n=47)	<b>EC Vapers</b> (n=49)	<b>TC Smokers</b> (n=40)	<b>p value</b>
<b>Mean Age</b> (years)	26.3 ± 5.20	27.4 ± 5.45	27.1 ± 5.51	0.53
<b>Sex</b> (M/F)	22/25	36/13	26/14	0.02
<b>Mean BMI</b> (kg/m <sup>2</sup> )	23.5 ± 2.91	24.2 ± 3.58	24.7 ± 3.92	0.47
<b>Race</b>				0.60
African American	4	2	5	
Caucasian	26	29	25	
Asian	9	13	8	
Hispanic	5	5	2	
Hawaiian	2	0	0	
Unknown	1	0	0	
<b>Base Cotinine</b>	0	83.2(17.6,141	82.0(34.6,160.	0.68
(ng/mL)*		.5)	5)	†
<b>Former TC Smoker</b>	2 (4.3%)	28 (57.1%)	N/A	
669 <b>SBP</b> (mmHg)	118.2±13.1	120.8±11.0	118.0±10.4	
670	0.37			
671 <b>DBP</b> (mmHg)	74.7±11.3	76.1±10.9	73.6±8.3	
672	0.66			
673 <b>MBP</b> (mmHg)	88.3±11.1	89.6±9.9	86.9±8.3	
674	0.56			
675 <b>HR</b> (bpm)	66.7±9.5	63.9±9.5	63.7±8.5	
676	0.23			
677 <b>Peak shear rate</b> (s <sup>-1</sup> )*	78437	86427	91680	
678	0.51			
679	(58340,108744)	(50760,116471)	(965953,124978)	
680 <b>Artery diameter</b> (mm)	3.44 ± 0.47	3.74 ± 0.51	3.64 ± 0.57	0.008
681	BMI = body mass index, DBP= diastolic blood pressure, EC= electronic cigarette,			
682	HR= heart rate, MBP= mean blood pressure, SBP=systolic blood pressure, TC =			
683	tobacco cigarette			
684	Value ± SD			
685	*median, Q1-Q3    †p value EC Vapers vs TC Smokers			

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687  
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**Table 2.**

**Baseline Characteristics: Acute Exposure**

	<b>Non- Smokers</b> (n=47)	<b>EC Vapers</b> (n=31)	<b>TC Smokers</b> (n=33)	<b>p value</b>
<b>Mean Age</b> (years)	26.3 ± 5.2	27.2 ± 5.7	26.9 ± 4.9	0.69
<b>Sex</b> (M/F)	22/25	21/10	20/13	0.17
<b>Mean BMI</b> (kg/m <sup>2</sup> )	23.5 ± 2.9	23.8 ± 3.3	23.9 ± 2.8	0.90
<b>Race</b>				0.88
African American	4	1	4	
Caucasian	26	18	19	
Asian	9	8	8	
Hispanic	5	4	2	
Hawaiian	2	0	0	
Unknown	1	0	0	

689 BMI = body mass index, EC= electronic cigarette, TC = tobacco cigarette

690 Value ± SD

691

692 **Table 3.**

693 **Spearman Correlation with Increase in Plasma Nicotine**

694

	<b>Non-Smokers</b>		<b>EC Vapers</b>		<b>TC Smokers</b>		
	Correlation	p value	Correlation	p value			
697	Correlation	p value					
698	<b>FMD</b> (%Δ)	0.134	0.12	0.154	0.15	-0.068	0.60
699	<b>FMD</b> (mmΔ)	0.105	0.23	0.146	0.17	-0.083	0.52
700	<b>SBP</b> (mmHg)	0.371	0.00001	0.410	0.0001		0.407
701		0.002					
702	<b>DBP</b> (mmHg)	0.274	0.001	0.300	0.005	0.321	
703		0.01					
704	<b>MBP</b> (mmHg)	0.388	0.00001	0.373	0.0003		0.418
705		0.002					
706	<b>HR</b> (bpm)	0.238	0.006	0.515	0.00001	0.687	0.00001
707							
708	DBP= diastolic blood pressure, EC= electronic cigarette, FMD= flow-mediated dilation,						
709	HR= heart rate, MBP= mean blood pressure, SBP=systolic blood pressure, TC = tobacco						
710	cigarette						
711							
712							

		$\Delta$ SBP (mmHg)	$\Delta$ DBP (mmHg)	$\Delta$ MBP (mmHg)	$\Delta$ HR (bpm)
713	<b>Table 4.</b>				
714	<b>Changes in Hemodynamics</b>				
715					
716					
717					
718	<b>TC Smokers</b>				
719	<b>TC</b>	14.6±2.1	8.7±1.7	9.8±1.8	13.7±1.7
720	<b>Control</b>	8.0±2.1	3.3±1.7	3.7±1.8	-1.0±1.7
721	<i>p value</i>	0.04	0.03	0.03	
722	0.00001				
723	<b>EC Vapers</b>				
724	<b>ECN</b>	4.53±1.84	2.67±1.99	3.88±1.77	
725	15.83±2.1				
726	<b>ECO</b>	-4.03±1.76	-3.38±1.89	-2.73±1.69	
727	4.49±2.01				
728	<b>NI</b>	1.65±2.14	1.96±2.3	1.57±2.05	
729	5.06±2.45				
730	<b>Control</b>	-5.58±4.17	-4.19±4.49	-3.77±4.01	
731	1.42±4.78				
732	<i>p value</i>	0.001		0.03	0.01
733	0.0001				
734	<b>Non-Smokers</b>				
735	<b>ECN</b>	9.5±1.41	3.69±1.38	5.97±1.32	
736	9.79±1.47				
737	<b>ECO</b>	-1.73±1.41	-1.87±1.38	-0.89±1.32	
738	4.45±1.47				
739	<b>NI</b>	2.41±2.11	5.03±2.08	5.60±1.98	
740	5.54±2.22				
741	<b>Control</b>	-0.53±1.92	1.55±1.88	0.37±1.80	
742	3.11±2.01				



743 *p value* 0.00001 0.007 0.0001  
744 0.002

745

746 *Values ± SEM*

747 DBP= diastolic blood pressure, EC= electronic cigarette, ECN=electronic cigarette with  
748 nicotine, EC0=electronic cigarette without nicotine, HR= heart rate, MBP= mean blood  
749 pressure, NI= nicotine inhaler, SBP=systolic blood pressure, TC = tobacco cigarette

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**Table 5.**

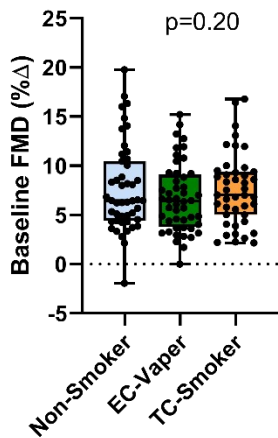
**Microvascular Function**

	<b>ΔVHR</b> (cm/s)	<b>ΔSSHR</b> (dynes/cm <sup>2</sup> )
<b>TC Smokers</b>		
<b>TC</b>	7.03 ± 7.57	5.87 ± 5.88
<b>Control</b>	-1.60 ± 7.36	0.71 ± 5.72
<i>p value</i>	0.36	0.47
<b>EC Vapers</b>		
<b>ECN</b>	-5.69 ± 8.12	0.00 ± 6.26
<b>ECO</b>	-11.47 ± 8.26	-10.11 ± 6.36
<b>NI</b>	-14.34 ± 9.68	-11.64 ± 7.44
<b>Control</b>	-4.04 ± 17.41	-4.41 ± 13.45
<i>p value</i>	0.76	0.79
<b>Non-Smokers</b>		
<b>ECN</b>	-0.97 ± 5.20	2.71 ± 4.40
<b>ECO</b>	4.10 ± 5.65	2.40 ± 4.79
<b>NI</b>	-17.65 ± 8.40	-12.94 ± 7.12
<b>Control</b>	-6.89 ± 7.82	-6.42 ± 6.63
<i>p value</i>	0.18	0.21

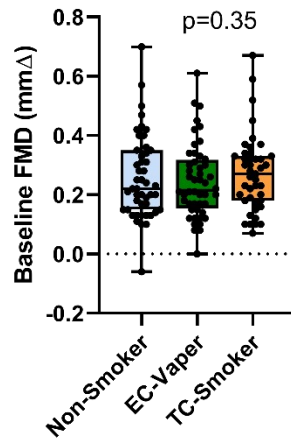
*Values ± SEM*

EC= electronic cigarette, ECN=electronic cigarette with nicotine, ECO=electronic cigarette without nicotine, NI= nicotine inhaler, SSHR = shear stress reactive hyperemia, TC = tobacco cigarette, VHR = velocity reactive hyperemia

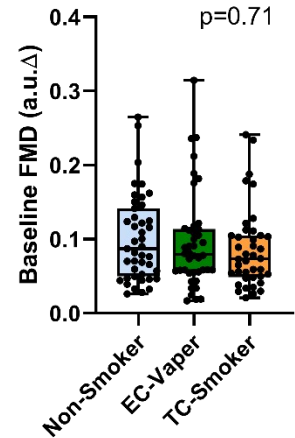
Figure 1 A



B.

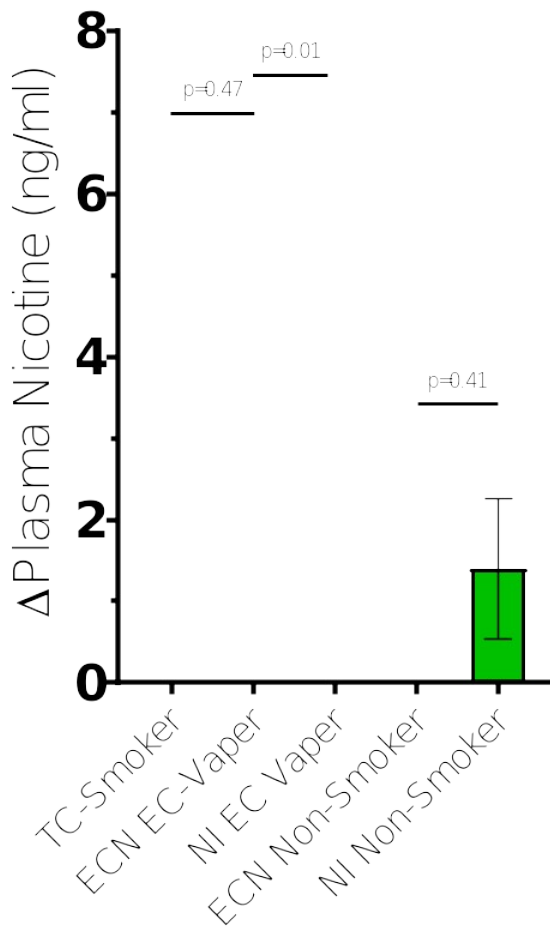


C.



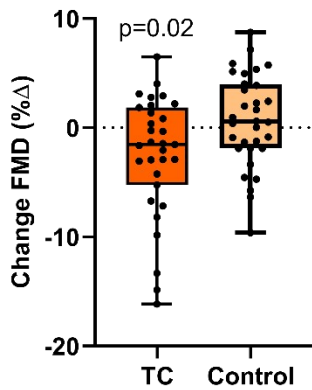
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784 **Figure 1. Baseline flow mediated dilation in the three groups.** Among the three  
785 groups, including non-smokers (n=47), chronic electronic cigarette (EC) vapers (n=49),  
786 and chronic tobacco cigarette (TC) smokers (n=40), baseline flow mediated dilation  
787 (FMD) was not different unadjusted, or adjusted for artery diameter, whether reported  
788 as % change (%Δ) (panel 1A), absolute change (mmΔ) (panel 1B), or normalized for  
789 shear stress (n.a. Δ) (panel 1C). Unadjusted means compared between groups using a  
790 repeated measure (mixed) analysis of variance model, and displayed as mean (25-75%)  
791 with whiskers to min to max of the data.

792 EC=electronic cigarette, FMD=flow mediated dilation, TC=tobacco cigarette  
793

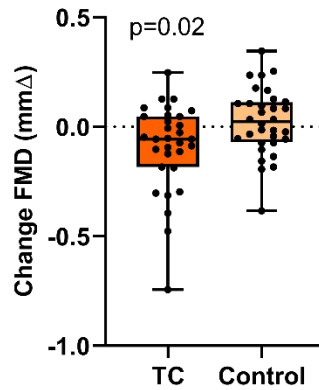


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795 **Figure 2. Changes in plasma nicotine.** The increase in plasma nicotine levels was  
796 not different in chronic tobacco cigarette (TC) smokers (n=31) after smoking 1 TC and  
797 in chronic electronic cigarette (EC) vapers (n=22) after using the EC with nicotine  
798 (ECN). When EC vapers used the ECN, the increase in nicotine was significantly greater  
799 compared to the nicotine inhaler (NI, n=19). When non-smokers used the ECN (n=41),  
800 the increase in plasma nicotine was not different compared to the NI (n=17). Mean  
801 post-exposure minus baseline differences were compared across TC, ECN, and NI using  
802 a cross over repeated measure (mixed) analysis of variance model, and results are  
803 displayed as mean  $\pm$  SEM.  
804 EC = electronic cigarette, ECN = electronic cigarette with nicotine, ECO = electronic  
805 cigarette without nicotine, NI=nicotine inhaler. TC = tobacco cigarette  
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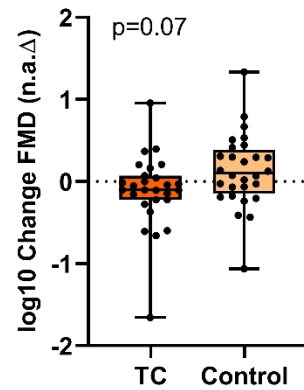
Figure 3 A.



B.



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810 **Figure 3. Change in FMD in TC smokers after TC smoking.** In TC-smokers, FMD

811 was significantly impaired pre/post acute TC smoking (n=31) compared to pre/post

812 sham-control (n=32), whether reported as % change (%Δ) (panel 3A), absolute change

813 (mmΔ) (panel 3B), or normalized for shear stress (n.a. Δ) (panel 3C). Mean post-

814 exposure minus baseline differences were compared across TC and sham-control using

815 a t-tests, and displayed as mean (25-75%) with whiskers to min to max of the data.

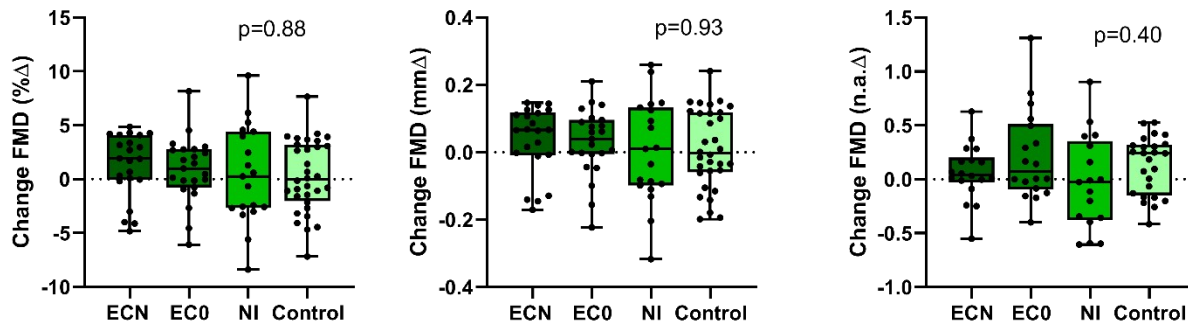
816 FMD= flow mediated dilation, TC = tobacco cigarette

817

Figure 4A.

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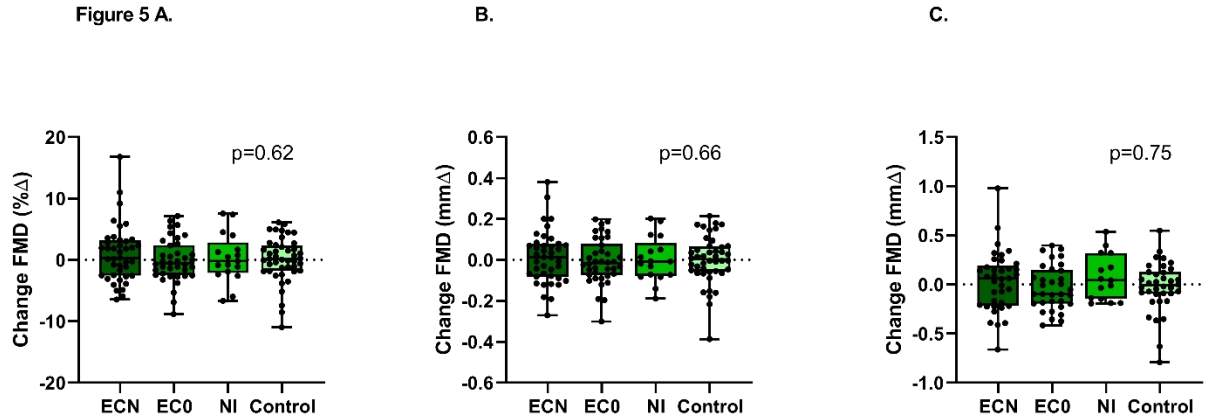
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821 **Figure 4. Change in FMD in EC vapers after EC vaping or nicotine inhaler.** In  
822 EC-vapers, FMD was unchanged pre/post acute use of an EC-with-nicotine (n=22), EC-  
823 without-nicotine (n=23), or nicotine inhaler (n=19) compared to pre/post sham-control  
824 (n=31), whether reported as % change (%Δ) (panel 4A), absolute change (mmΔ) (panel  
825 4B), or normalized for shear stress (n.a. Δ) (panel 4C). Mean post-exposure minus  
826 baseline differences were compared across ECN, EC0, NI, and sham-control using a  
827 cross over repeated measure (mixed) analysis of variance model, and displayed as  
828 mean (25-75%) with whiskers to min to max of the data.

829 ECN = electronic cigarette with nicotine, EC0 = electronic cigarette without nicotine,  
830 FMD= flow-mediated dilation, NI=nicotine inhaler.

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834 **Figure 5. Change in FMD in non-smokers after EC vaping or nicotine inhaler.** In

835 non-smokers, FMD was unchanged pre/post acute use of an EC-with-nicotine (n=41),

836 EC-without-nicotine (n=39), or nicotine inhaler (n=17) compared to pre/post sham-

837 control (n=44), whether reported as % change (%Δ) (panel 5A), absolute change (mmΔ)

838 (panel 5B), or normalized for shear stress (n.a. Δ) (panel 5C). Mean post-exposure

839 minus baseline differences were compared across ECN, EC0, NI, and sham-control using

840 a cross over repeated measure (mixed) analysis of variance model, and displayed as

841 mean (25-75%) with whiskers to min to max of the data.

842 ECN = electronic cigarette with nicotine, EC0 = electronic cigarette without nicotine,

843 FMD= flow-mediated dilation, NI=nicotine inhaler.

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