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UNIVERSITY OF CALIFORNIA  
RIVERSIDE

Computational Insights into Porous Materials for Gas Separation

A Dissertation submitted in partial satisfaction  
of the requirements for the degree of

Doctor of Philosophy

in

Chemistry

by

Song Wang

June 2020

Dissertation Committee:

Dr. De-en Jiang, Chairperson

Dr. Gregory Beran

Dr. Leonard Mueller

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The Dissertation of Song Wang is approved:

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Committee Chairperson

University of California, Riverside

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## ABSTRACT OF THE DISSERTATION

Computational Insights into Porous Materials for Gas Separation

by

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Doctor of Philosophy, Graduate Program in Chemistry

University of California, Riverside, June 2020

Dr. De-en Jiang, Chairperson

Membrane-based materials are an important branch in the field of gas separation. There are increasing number of membrane materials which are synthesized in recent years. Among them, the novel ultrathin membranes with uniform pores like nanoporous graphene attracted attention of many researchers, because their excellent gas separation ability based on molecular sieving effect. Several factors might influence the performance of ultrathin membranes, including pore size, pore shape, pore density, etc. However, the theoretical understanding of these factors has not been clearly addressed. In this dissertation, we firstly investigated the optimal pore size by first-principles density functional theory and simulated the pore-size effect for post-combustion carbon capture by grand canonical Monte Carlo. Next, we used molecular dynamics simulations to study the effect of pore density on the nanoporous graphene membrane. Then, we proposed a bilayer design of

nanoporous graphene membrane with continuously tunable effective pore size for gas separations, such as  $\text{CO}_2/\text{CH}_4$ ,  $\text{N}_2/\text{CH}_4$ ,  $\text{O}_2/\text{N}_2$ . Meanwhile, we studied the effect of entropic selectivity by tracking the trajectories of gas-permeance events. After that, we proposed other design of graphene/ionic-liquid composites with tunable slit pore size. Finally, to satisfy the requirement of exploring huge material database for gas separation, we applied machine learning to predict the selectivity of porous carbon materials. We further used convolutional neural networks to search the optimal porous carbon material from an ultimate input feature. The same approach was also used for prediction of hydrogen locations in copper clusters, which are potential materials for hydrogen storage. The works in this dissertation aims to find and design ultrathin nanoporous materials for gas separation by different computational approaches.

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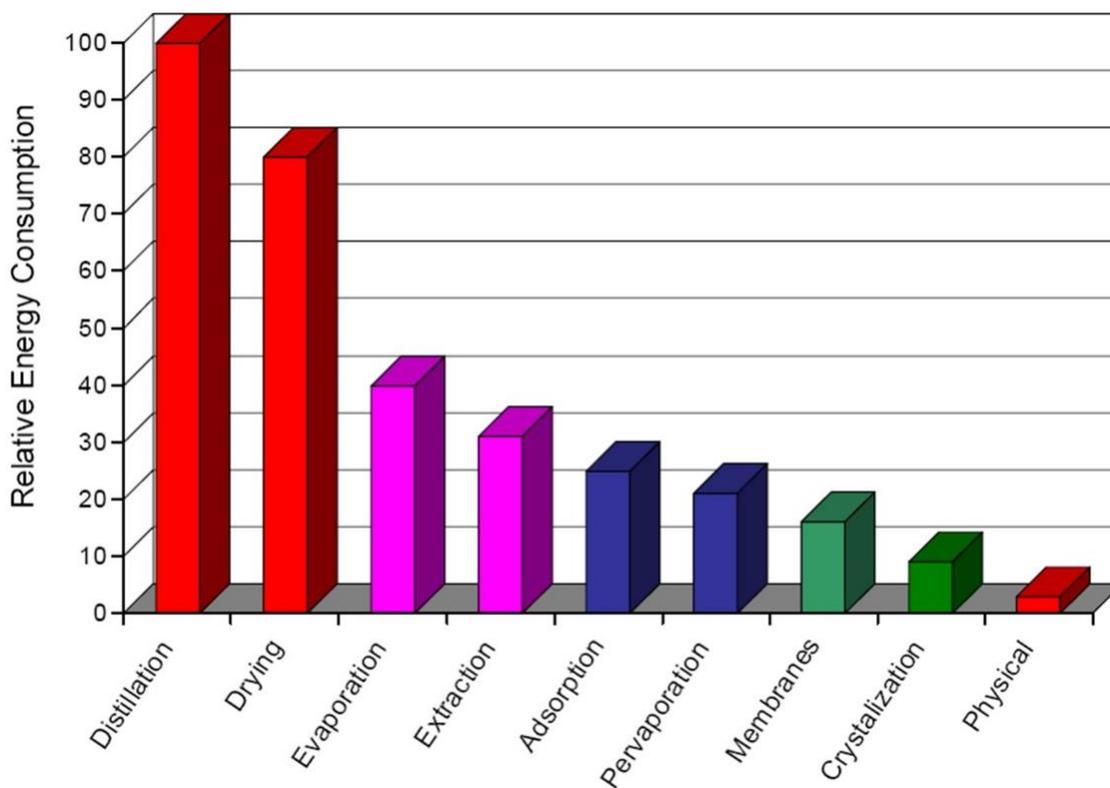
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## **Chapter 1. Introduction**

### **1.1 Basics of gas separation**

Separation is a process that converts a mixture or solution of chemical substances into two or more distinct product mixtures or fully divide the mixture into pure constituents. How to separate chemical mixtures into pure or purer forms is the goal of many industrial chemists. About 10-15% of the world's energy consumption comes from separation process. If the US petroleum, chemical and paper manufacturing factories use more energy efficient separation methods, they will save 100 million tonnes of CO<sub>2</sub> emissions and \$4 billion per year in energy costs.<sup>1</sup>

Nowadays, there are nine major separation technologies: distillation, evaporation, drying, extraction, absorption, adsorption, membrane, crystallization, and physical property-based operations (such as floatation and screening) (Figure 1-1). The first three methods are the most widely used in industry, which respectively account for 49%, 20%, and 11% of the industrial separations energy consumption.<sup>2</sup> The rest methods are energy efficient separation methods, which could lower global energy usage, greenhouse gases emissions, and environment pollution.



**Figure 1-1.** Relative energy use by various separation technologies.<sup>2</sup>

Gas separation is an important branch of chemical separation, including air separation, natural gas purification, hydrogen production, carbon dioxide capture, and et al. Air separation is a process that mainly divides air into nitrogen and oxygen. Pure nitrogen and oxygen both have many applications in medical, chemical, and industrial aspects. Natural gas purification is a process that remove water, carbon dioxide, and hydrogen sulfide from raw natural gas. Natural gas is already one of the most important energy resources for power generation, domestic use, transportation, and so on. Hydrogen production is the method for generating hydrogen gas. Nearly 95% hydrogen is produced

from fossil fuels by steam reforming of natural gas, partial oxidation of methane, and coal gasification.<sup>3</sup> So, before use of hydrogen, rest components such as water, methane, carbon oxide, and carbon dioxide have to be removed. Carbon capture and storage (CCS) is the process for reducing CO<sub>2</sub> emission from burning fossil fuels and weakening the greenhouse effect.<sup>4,5</sup> There are mainly three different CO<sub>2</sub> capture approaches: post-combustion, pre-combustion, and oxyfuel combustion. In post-combustion approach, CO<sub>2</sub> is removed from flue gas after fossil fuel combustion.<sup>6</sup> In pre-combustion approach, fossil fuel is partially oxidized and transferred into H<sub>2</sub> and CO<sub>2</sub>, which is easy to be captured from a relatively pure exhaust stream.<sup>7</sup> In oxyfuel combustion approach, pure oxygen instead of air is used to react with fossil fuel. After condensation of water vapor through cooling, the flue gas is almost pure CO<sub>2</sub>.<sup>8</sup> Among above three capture approaches, post-combustion carbon capture is most popular in research because it is most easily compatible with existing fossil fuel power plants.

## **1.2 Gas separation technologies and materials**

Besides traditional distillation method, which is based on different boiling point of gases, there are two common types of extensively studied gas separation technology, which are separately based on sorbents and membranes.

### 1.2.1 Sorbent-based separation technology

Sorbent-based separation technology uses solid or liquid sorbents for gas capture and storage. Common sorbent materials include but not limit to zeolites, metal organic frameworks (MOFs), porous carbons, porous organic polymers (POPs), polymeric materials, et al.<sup>9-12</sup> According to the binding energy, adsorption process is classified as chemisorption and physisorption.<sup>13</sup> In chemical adsorption, gas molecules are bound to the sorbents by covalent bond. In physical adsorption, gas molecules are bound to the sorbents by weak van der Waals forces or electrostatic attraction.

Gas adsorption process has two popular models (mechanisms): monolayer model (Langmuir theory) and multilayer model (Brunauer–Emmett–Teller (BET) theory). The former was proposed by Langmuir in 1918,<sup>14</sup> which is the most common adsorption theory because of its simplicity and its ability to fit a variety of adsorption data. This theory assumes that only a monolayer is formed on the sorbent surface at the maximum adsorption. Langmuir theory gives us an adsorption expression:

$$\frac{1}{v} = \frac{1}{Kv_{mon}} \cdot \frac{1}{P} + \frac{1}{v_{mon}}$$

where  $v$  is volume of adsorbate,  $v_{mon}$  is volume of adsorbate required to form a monolayer on the adsorbent,  $K$  is adsorption-desorption equilibrium constant,  $P$  is partial pressure of the gas. In 1938, Stephen Brunauer, Paul Emmett, and Edward Teller

developed a new model for multilayers adsorption.<sup>15</sup> The adsorption expression was also modified as follow:

$$\frac{1}{v(1-x)} = \frac{1}{v_{mon}c} + \frac{x(c-1)}{v_{mon}c}$$

where  $x$  is the pressure divided by vapor pressure ( $x = P/P_0$ ),  $c$  is equilibrium constant multiplied by vapor pressure ( $x = K \cdot P$ ).

### 1.2.2 Membrane-based separation technology

Membrane materials include polymers, carbon molecular sieves (CMS), MOFs, perovskites, etc.<sup>16-18</sup> The solution-diffusion mechanism is the most widely used theory for gas separation through polymeric and liquid membrane.<sup>19</sup> There are three steps in this mechanism: a) gas molecule adsorbs onto the feed side of membrane; b) gas molecule diffuses through the membrane; c) gas molecule desorbs from the permeate side of membrane. According to this mechanism, the permeability ( $P$ ) is a product of diffusivity ( $D$ ) and solubility ( $S$ ), expressed by:  $P = D \cdot S$ . There is a trade-off between selectivity and permeability called Robeson upper bound (Figure 1-2a),<sup>20-22</sup> which can be shown by following equation:

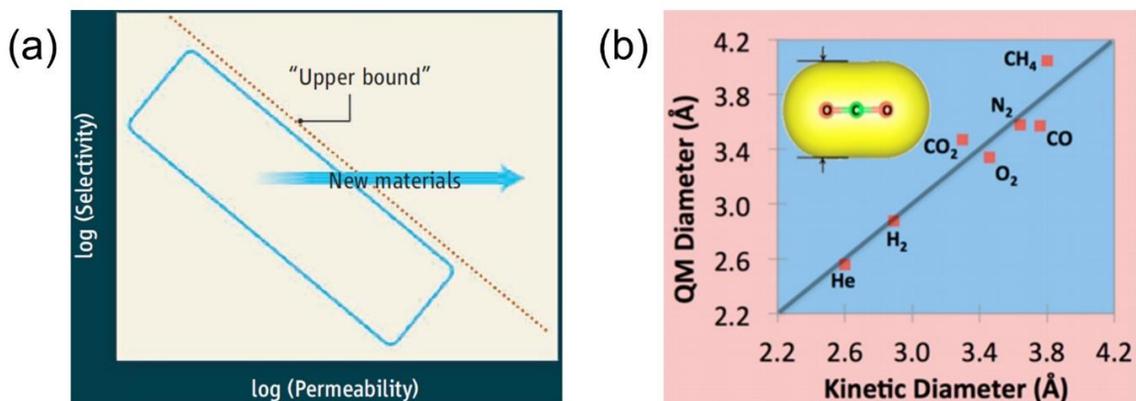
$$\alpha_{i,j} = P_i/P_j = \beta_{i,j} \cdot P_i^{-\lambda_{i,j}}$$

where  $P_i$  and  $P_j$  are permeabilities,  $\alpha_{i,j}$  is selectivity,  $\beta_{i,j}$  and  $\lambda_{i,j}$  are parameters depending on the gas pair.  $\lambda_{i,j}$  can be calculated by the ratio of gas molecule size:

$$\lambda_{i,j} = (d_j/d_i)^2 - 1$$

where  $d_i$  and  $d_j$  are the kinetic diameters of larger and smaller gas molecules.  $\beta_{i,j}$  is relevant to  $\lambda_{i,j}$ , gas solubility, and average distance between polymer chains and chain stiffness.

Although the trade-off constrains the separation performance of membrane materials, people also often use permeance to describe membrane productivity. Because permeance is equal to permeability divided by membrane thickness, if the permeability is a constant, when the thickness decreases, the permeance will increase. Therefore, ultrathin membranes even one-atom-thin membranes will have the highest permeance. If they also possess a series of nanoscale pores with uniform size between the kinetic diameters of the gas pair, they can achieve high selectivity by molecular-sieving separation process at the same time.<sup>23</sup>



**Figure 1-2.** Robeson upper bound and molecular diameters. (a) The trade-off between selectivity and permeability called Robeson upper bound.<sup>22</sup> (b) Comparison between the QM diameters with the experimental kinetic diameters.<sup>24</sup>

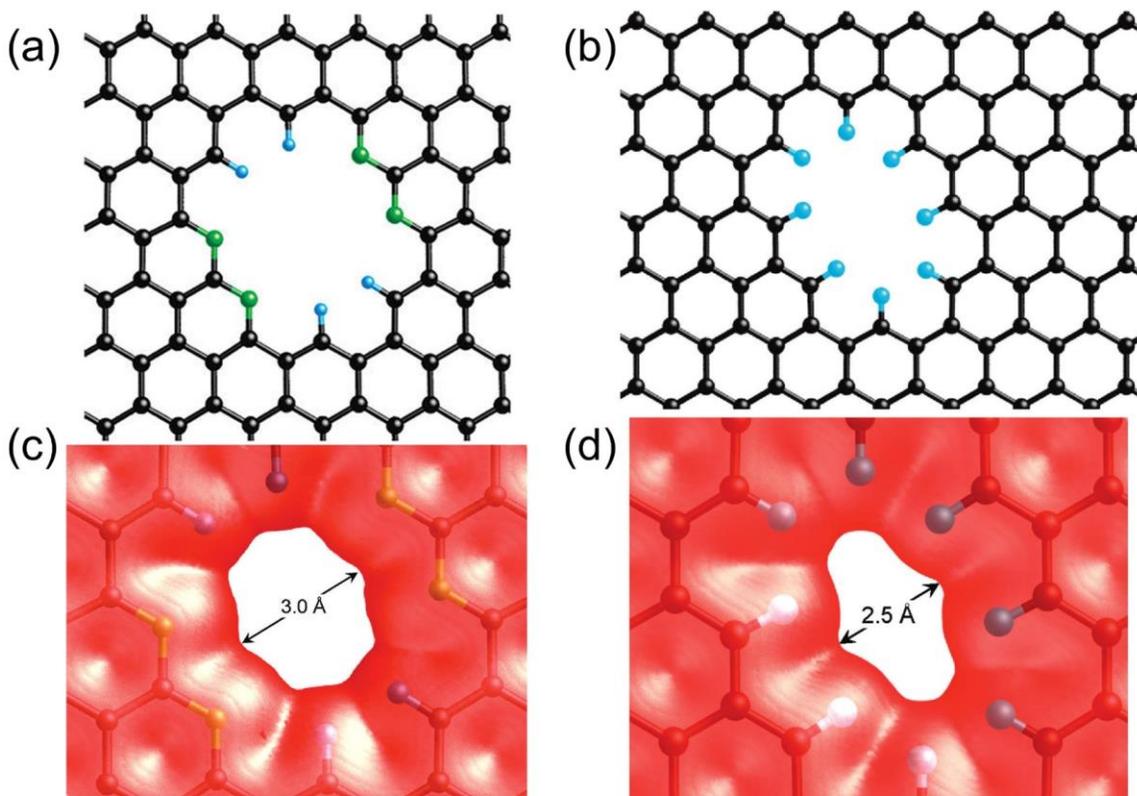
From above introduction, we can know that, for membrane-based separation technology, kinetic diameter of gas molecules is an essential parameter. It can be determined by quantum mechanical (QM) calculation. Figure 1-2b shows the QM diameter values calculated by the iso-electronic density surfaces and compares the QM diameters with the experimental kinetic diameters.<sup>24</sup>

### **1.3 Recent theoretical research on ultrathin membranes for gas separation**

#### **1.3.1 Porous graphene**

Graphene is a two-dimensional sheet of sp<sup>2</sup>-hybridized carbon atoms with hexagonal lattice, named by Hanns-Peter Boehm, who originally observed graphene in electron microscopes in 1962.<sup>25</sup> But the breakthrough discovery occurred in 2004 by Andre Geim and Konstantin Novoselov, who rediscovered, isolated, and characterized graphene.<sup>26</sup> They won Nobel Prize in Physics in 2010 for this work. There are increasing numbers of research on graphene because of its excellent chemical stability, unique electrical conductivity, and great potential applications, including catalysis, electronics, and energy storage.<sup>27</sup> The global market for graphene will reach \$151.4 million by 2021.<sup>28</sup> However, the perfect graphene sheet is impermeable to any gas molecules. To use it for gas separation, people have to introduce sub-nanometer pores into the pristine graphene sheet. In 2009, Dr. Jiang and coworkers firstly proposed the porous graphene for gas separation in theoretical study.<sup>29</sup> They designed two types of pore shown in Figure 1-3 and calculated

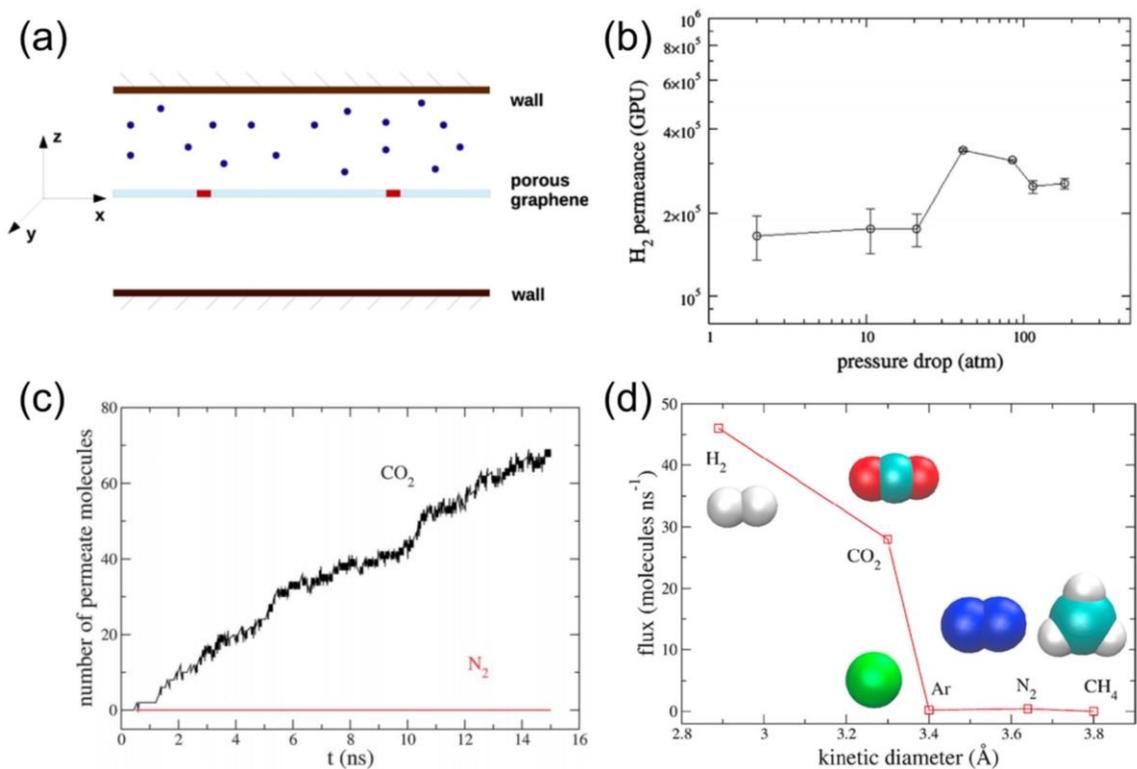
interaction energy between H<sub>2</sub> or CH<sub>4</sub> and the porous graphene to estimate the high H<sub>2</sub>/CH<sub>4</sub> selectivity. Three years later, the porous graphene was produced in experiment by using ultraviolet-induced oxidative etching technology and showed great gas separation ability.<sup>30</sup>



**Figure 1-3.** Two types of nanopores in graphene sheet. (a) all-hydrogen saturated pore; (b) nitrogen-functionalized pore. (c) and (d) are their electron density isosurface.<sup>29</sup>

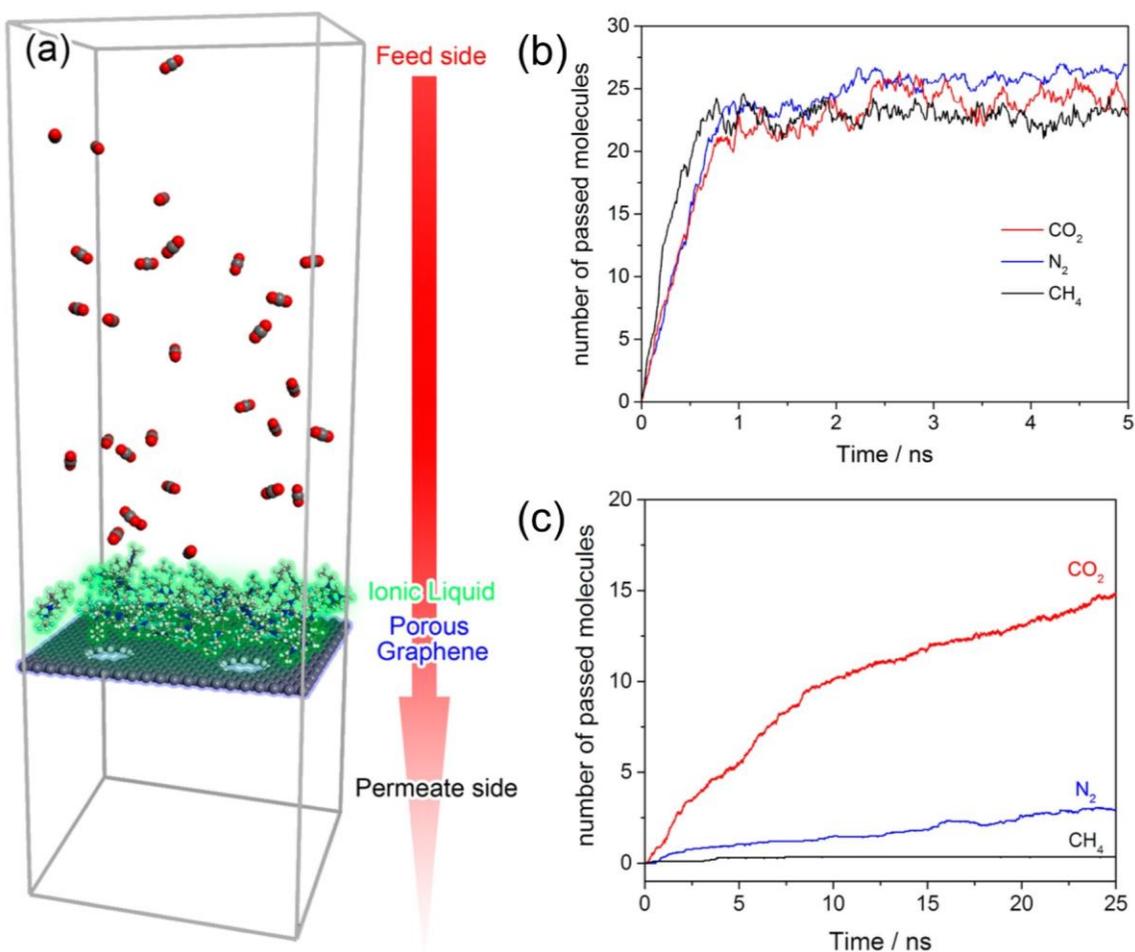
Later on, several works further studied the permeance and selectivity of more gas molecules by classical molecular dynamics (CMD).<sup>31-33</sup> Figure 1-4a shows the simulation model, which is divided into two chambers by the porous graphene membrane. Gas molecules are allowed to permeate from high pressure chamber (feed side) to the vacuum chamber (permeate side). Figure 1-4b shows the H<sub>2</sub> permeance at different feed pressures.<sup>31</sup>

Similarly, the result of CO<sub>2</sub> and N<sub>2</sub> permeation through nitrogen-functionalized nanopore (4N4H) are shown in Figure 1-4c.<sup>32</sup> With an initial pressure of 10 atm, CO<sub>2</sub> permeance reached to  $2.9 \times 10^5$  GPU (1 GPU =  $3.35 \times 10^{-10}$  mol·m<sup>-2</sup>·s<sup>-1</sup>·Pa<sup>-1</sup>) and nearly no N<sub>2</sub> molecule can pass through the pore. Then, various gas molecules were simulated and the trend of permeate flux is that H<sub>2</sub> > CO<sub>2</sub> > N<sub>2</sub> > Ar > CH<sub>4</sub>, generally agreement the sequence of their kinetic diameters.<sup>33</sup>



**Figure 1-4.** Examples of CMD simulations for gas separation. (a) The bi-chamber simulation model.<sup>31</sup> (b) H<sub>2</sub> permeance through the porous graphene at different pressure.<sup>31</sup> (c) CO<sub>2</sub> and N<sub>2</sub> permeation through nitrogen-functionalized nanopore (4N4H).<sup>32</sup> (d) Fluxes of several gas molecules through 4N4H nanoporous graphene by CMD simulations.<sup>33</sup>

Because precisely control the pore size down to 3-5 Å is difficult in experiment, and larger pore size could significantly decrease the permeability selectivity, how to utilize nonselective large pores for gas separation is a challenge. A strategy proposed in 2017 applied a composite membrane comprising a monolayer (less than 5 Å) of ionic liquid-coated porous graphene with pore diameter of 6.0 Å (Figure 1-5a).<sup>34</sup> The [emim][BF<sub>4</sub>] ionic liquid was chosen because of its wettability on graphene.<sup>35</sup>

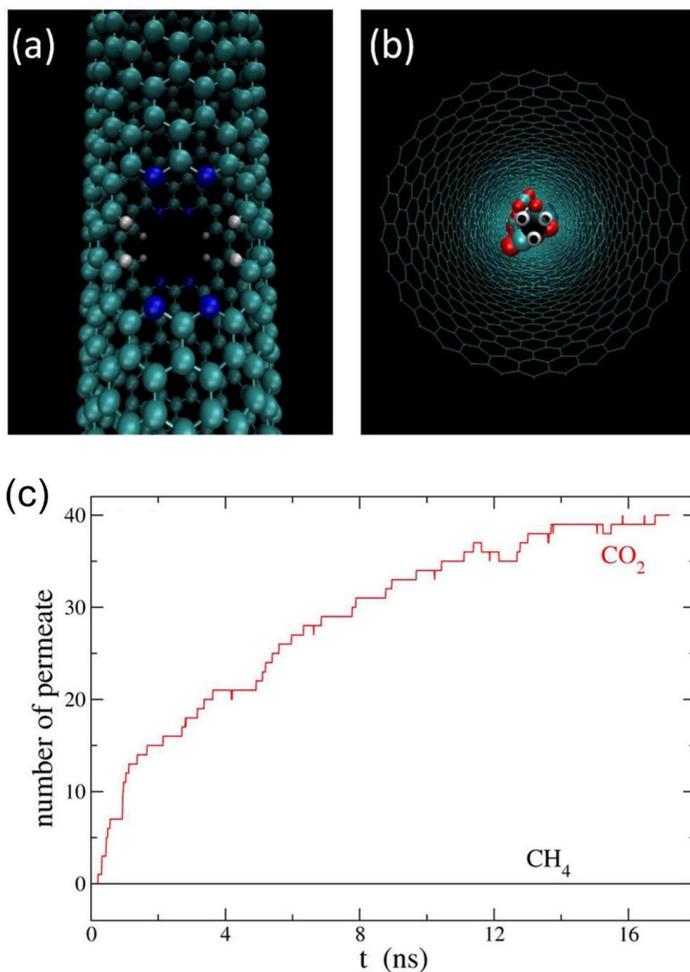


**Figure 1-5.** Porous graphene with one IL layer. (a) The simulation model of gas permeance through the porous graphene with one IL layer. Pure gas permeation through porous graphene membrane (b) without and (c) with IL layer.<sup>34</sup>

Because the pore size is much greater than the kinetic diameters of CO<sub>2</sub> (3.30 Å), N<sub>2</sub> (3.64 Å), and CH<sub>4</sub> (3.80 Å), without the IL layer, all three gases could pass through the porous graphene membrane without any hindrance and achieve equilibriums quickly (Figure 1-5b). However, with the IL layer, one can see that the membrane is highly selective for CO<sub>2</sub> permeation, while CH<sub>4</sub> permeation is reduced the most (Figure 1-5c). Based on linear regression, a high CO<sub>2</sub> permeance value of  $1.39 \times 10^5$  GPU and an impressive pure-gas selectivity of 42 for CO<sub>2</sub>/CH<sub>4</sub> are achieved.<sup>34</sup>

### 1.3.2 Porous carbon nanotube

Carbon nanotube is another allotrope of carbon, intermediate between fullerene and graphene. Naturally, the idea of rolling porous graphene into porous carbon nanotube for gas separation was came up with. Figure 1-6 shows a model containing two coaxial single-wall carbon nanotubes.<sup>36</sup> There are several 4N4H pores on the inner nanotube. Initially, the gas molecules are inside the inner nanotube. Then, they are allowed to pass through the pores and enter the interspace between two nanotubes. By CMD simulations, Figure 1-6c shows the number of permeate gas molecules. One can see that no CH<sub>4</sub> molecule can cross through the pore, resulting in high CO<sub>2</sub>/CH<sub>4</sub> selectivity. Similar studies have also been done for estimate the H<sub>2</sub>/CH<sub>4</sub> selectivity of porous carbon nanotubes.<sup>37</sup>



**Figure 1-6.** Porous carbon nanotube. (a) 4N4H pore on the carbon nanotube wall. (b) initial configuration of CMD simulation. (c) number of permeate molecules with time.<sup>36</sup>

### 1.3.3 2D organic membrane

Beside porous graphene and porous carbon nanotube, which use the top-down approach to obtain the pore, there is another pathway called bottom-up approach. Many 2D organic membranes natively have pores in nanoscale. With suitable design, the pore size could fit the separation requirement of target gas pairs.

The poly-phenylene membrane, as one of graphene-derived 2D membranes, was explored by Zhou et al.<sup>38</sup> Its native pore with a width of 2.48 Å, which is close to the kinetic diameter of H<sub>2</sub> and much less than the size of CO<sub>2</sub>, CO, and CH<sub>4</sub>. So, this membrane was expected to have high selective H<sub>2</sub> permeability for hydrogen purification application. Graphyne and graphdiyne are also graphene derivatives.<sup>39</sup> The pore size of the later is about 3.8 Å, which is between the diameters of H<sub>2</sub> and CH<sub>4</sub>. So, it is also an ideal choice for H<sub>2</sub> separation.<sup>40,41</sup>

Graphene oxide is obtained by treating graphite with strong oxidizer. The ultrathin porous graphene oxide usually has a few layers. Both pores and interlayer channels have effect of gas separation. Besides carbon atom, graphene oxide might also include oxygen, nitrogen, and hydrogen. These functional groups also influence the gas mixture selectivity.<sup>42,43</sup>

The number of 2D porous organic polymers is increasing. Their structures usually include a serial of phenyl rings linked by various planar groups like ethenyl, phenyl, biphenyl, etc.<sup>44-46</sup> The length of these linker groups controls the pore size of final structures. And the pore size determines the membranes will be used for which gas pair systems and what the selectivity will be. Porphyrin derivatives are another class of 2D porous materials, which have been studied for CO<sub>2</sub>/N<sub>2</sub> separation by computation study.<sup>47</sup>

## 1.4 Scientific questions in computational aspect

Although many works have been done, there are still some challenges in using membranes especially ultrathin membranes for gas separation. Here, we list four of them:

1) First challenge is how to precisely control the pore size. In experiment, pore size of membrane by top-down approach is too large to have high selectivity. 2) The second challenge is how to continuously tune the pore size, because for both experiment and simulation studies, the molecular construction forms the pore that varies non-continuously. 3) The third challenge is how to separate adsorption and diffusion selectivities. The permselectivity is the combination of adsorption selectivity and diffusion selectivity. Finding the contribution of both of selectivities is a challenge. 4) The last challenge is how to handle the increasing number of new membrane materials. Traditional simulation methods like DFT and CMD are always time-consuming to screen the huge material databases and pick out the required materials for required purpose. And they have poor ability to quickly make prediction and explore the unknown materials space.

In view of the above challenges, this dissertation presents our several works related to the effects of pore size and pore density, the new methods of continuously tuning pore size, the applications of entropy selectivity mechanism, and the applications of machine learning on prediction and screening materials space.

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## Chapter 2. Computational Methods

This chapter will briefly introduce the computational methods used in this thesis and figure out the main purposes of using these methods. The details of specific computational methods used in each work will be discussed in each following chapter.

### 2.1 Density functional theory (DFT)

Many porous materials capture gas molecules by physisorption such as van der Waals (vdW) interaction.<sup>1</sup> To accurately calculate the interaction energy, we could use many methods, including Hartree-Fock (HF) method, Møller-Plesset perturbation calculation (MP2) method, and DFT methods.<sup>2</sup> Among them, DFT methods are widely used because they can not only calculate small molecular systems, but also simulate large and periodic systems.

2D membrane materials are extended unlimitedly in x and y directions. In simulations, we usually pick one or more unit cells as our simulation systems. The cell parameters and material structures need to be optimized by DFT methods firstly before doing further simulations. Then, gas molecules are added into the systems. Further optimization by DFT can help us find the adsorption bonding sites and calculate the bonding energies by following equation:

$$\Delta E = E_{total} - E_s - E_g$$

where  $\Delta E$  is bonding energy,  $E_s$  is sorbent energy,  $E_g$  is gas molecule energy, and  $E_{total}$  is total energy after gas molecule has been bonded with sorbent material. The bonding energy differences between different gas molecules can help us estimate their Boltzmann factor or ideal pure-gas selectivity by Arrhenius equation.

## **2.2 Grand canonical Monte Carlo (GCMC)**

GCMC is the most popular method to calculate gas molecule uptakes and simulate adsorption isotherms of porous materials.<sup>3</sup> In grand canonical ( $\mu VT$ ) ensemble, chemical potential, temperature, and volume are fixed, while the total energy and total number of particles can be changed in all possible states of the system. Firstly, an initial N-particle system is established randomly. Then, a random trial move including insertion, deletion, or displacement is attempted. This trial move is accepted or rejected according to the Monte Carlo lottery. If it is accepted, a new microstate is obtained. After hundreds of hundreds of thousands of trials, many microstates are accepted. The ensemble average of them can tell us the properties of this system, such as the adsorption capacity. GCMC method is usually used for the study of sorbent-base materials.

## **2.3 Classical molecular dynamics (CMD)**

CMD is a powerful computational method to study and analyze dynamics of gas molecules in sorbent-based or membrane-based materials. It determined dynamic trajectories of gas molecule by solving Newton's equations of motion. Instead of solving

Schrodinger equation base on quantum mechanics, the force considered in CMD come from classical interatomic potentials or so-called force field energy functions, which are a sum of terms with analytical formula and empirical parameters. There are two parts in total energy ( $E_{total}$ ) of a system:

$$E_{total} = E_{bonded} + E_{nonbonded}$$

where  $E_{bonded}$  is covalent bonded energy terms,  $E_{nonbonded}$  is nonbonded energy terms. The  $E_{bonded}$  is a sum of four parts: bond interaction between pairs of atoms ( $E_{bond}$ ), angle interaction between triplets of atoms ( $E_{angle}$ ), dihedral interaction between quadruplets of atoms ( $E_{dihedral}$ ), and improper interaction between quadruplets of atoms ( $E_{improper}$ ). In this thesis, they are expressed by following formulas:

$$E_{bond} = K_{bond}(r - r_0)^2$$

$$E_{angle} = K_{angle}(\theta - \theta_0)^2$$

$$E_{dihedral} = K_{dihedral}[1 + d_1 \cdot \cos(n_1\phi_1)]$$

$$E_{improper} = K_{improper}[1 + d_2 \cdot \cos(n_2\phi_2)]$$

where all  $K$  parameters are pre-factors,  $r$  and  $r_0$  are actual and equilibrium bond distances,  $\theta$  and  $\theta_0$  are actual and equilibrium angle values,  $d_1$  and  $d_2$  are equal to +1 or -1,  $d_1$  and  $d_2$  are non-negative integers,  $\phi_1$  and  $\phi_2$  are dihedral and improper dihedral angles.

The  $E_{nonbonded}$  is a sum of two parts: van der Waals interaction ( $E_{van\ der\ Waals}$ ) and electrostatic interaction ( $E_{electrostatic}$ ). In this thesis, the former is described by standard 12/6 Lennard-Jones potential,<sup>4</sup> given by:

$$E_{van\ der\ Waals} = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right] \quad r < r_c$$

where  $\varepsilon$  is the depth of the potential well,  $\sigma$  is the finite distance at which the inter-particle potential is zero,  $r$  is the distance between the particles,  $r_c$  is the LJ cutoff distance. The is calculated by Coulomb's equation:

$$E_{electrostatic} = \frac{Cq_iq_j}{\varepsilon r} \quad r < r_c$$

where C is energy-conversion constant,  $q_i$  and  $q_j$  are the charges on the atoms,  $\varepsilon$  is the dielectric constant,  $r$  is the distance between the particles,  $r_c$  is the Coulombic cutoff distance.

The timestep used for CMD simulations is set to 1 fs. The simulations are usually on a time scale of 1-100 nanoseconds. Every fixed interval, the positions and distributions of gas molecules are recorded for further analyzing of properties, such as adsorption, diffusivity, permeability, and free energy.

## 2.4 Machine learning

Machine learning is a powerful tool to build a mathematical model based on sample data. It can find the relationship between different variables and make predictions or decisions. Machine learning is a very hot topic in recent years. Increasing numbers of

algorithm are put forward. In this thesis, we will not discuss all of them. Instead, we pay attention to the artificial neural networks (ANNs).<sup>5</sup> More specifically, we mainly use feedforward neural network with backpropagation learning technique.<sup>6,7</sup> Typically, there are three types of layer: input layer, output layer, and hidden layer. Linear combination and nonlinear activation functions are applied to transfer the values. Suitable optimizers, such as stochastic gradient descent with momentum (SGDM) optimizer<sup>8</sup> and Adam optimizer,<sup>9</sup> are selected to search the optimal parameters in the best models. Once we obtain a well-trained model, we can use it to rapidly make prediction and explore the material databases. For the traditional theoretical methods, such a huge amount of work is extremely time-consuming and unimaginable. This is one of the most important benefits for machine learning methods. In this thesis, we will use machine learning to study the porous carbon materials for selective CO<sub>2</sub> capture and make a little attempt at hydrogen storage metal clusters for determining hydrogen locations in them.

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## Chapter 3. Optimal Size of a Cylindrical Pore for Post-Combustion CO<sub>2</sub> Capture

### 3.1 Abstract

Pore size is an essential factor in controlling gas sorption in porous separation media. Overlap of the potential energy surface (PES) of CO<sub>2</sub> interacting with a cylindrical pore wall can be used to tune gas sorption inside a porous material, but how such overlap can benefit post-combustion CO<sub>2</sub> capture has not been fully addressed from a computational perspective. Here we use van der Waals density functional (vdW-DF) theory to assess the overlap of PES of CO<sub>2</sub> inside cylindrical pores as represented by carbon nanotubes (CNTs) of different diameters. Then we employ grand canonical Monte Carlo simulations to obtain the adsorption capacity and selectivity of a CO<sub>2</sub>/N<sub>2</sub> mixture with a CO<sub>2</sub> partial pressure of 0.15 atm at room temperature. We find that the maximum PES overlap and maximum amount of CO<sub>2</sub> adsorbed are both achieved at a CNT diameter or cylindrical pore size of 7.8 Å, which corresponds to an accessible pore size of 4.4 Å. Further investigation of N<sub>2</sub> adsorption corroborates the idea of PES overlap. GCMC simulations reveal that a maximum CO<sub>2</sub>/N<sub>2</sub> selectivity of ~33 is reached at a CNT diameter of 7.05 Å for the gas mixture. This work suggests that a cylindrical pore size between 7 and 8 Å would be most beneficial for post-combustion CO<sub>2</sub> capture from overlap of PES.

### 3.2 Introduction

With increasing emission of CO<sub>2</sub>, global warming becomes one of the most critical environmental issues. Thus, CO<sub>2</sub> capture and storage (CCS) from large point sources of emission is important for reducing the global atmospheric concentration of CO<sub>2</sub>.<sup>1-2</sup> Post-combustion CO<sub>2</sub> capture from flue gas is a crucial choice to reduce CO<sub>2</sub> emission.<sup>3-4</sup> Both chemisorption and physisorption can be used for CO<sub>2</sub> separation from flue gas.<sup>5-7</sup> Several recent articles have reported a diverse range of promising porous materials for CO<sub>2</sub> capture.<sup>1, 8-12</sup>

Zeolites and activated carbons are traditional porous materials for gas adsorption.<sup>13</sup> Metal organic frameworks (MOFs) have shown great potential for CO<sub>2</sub> adsorption, due to their chemical diversity, intrinsic porosity, and abundant functionality.<sup>14-23</sup> The MOF-74 family with high density of open metal sites has excellent performance of CO<sub>2</sub> adsorption.<sup>24-26</sup> Covalent organic frameworks (COFs) can also serve as promising CO<sub>2</sub> sorbents.<sup>27-31</sup> Researchers have successfully developed strategies for converting a conventional 2D COF into an outstanding CO<sub>2</sub> capture scaffold through channel-wall functionalization.<sup>28</sup>

Optimizing pore size is another important strategy for CO<sub>2</sub> adsorption.<sup>32-36</sup> Zaworotko et al. reported a MOF comprised of hexafluorosilicate.<sup>37-39</sup> The pore sizes within these “SIFSIX” materials can be controlled by changing the length of the organic

linkers, the metal node, and framework interpenetration. They found that SIFSIX-3-Zn with a pore size of 3.84 Å had outstanding CO<sub>2</sub> uptake and selectivity. They further studied SIFSIX-3-Cu with a smaller pore size of 3.5 Å which showed higher CO<sub>2</sub> uptake. Recently, Jung et al. reported the optimal slit pore size for CO<sub>2</sub> capture by using the bilayer graphene,<sup>40</sup> while simulation of gas adsorption and separation by ordered carbon nanotube arrays mainly focused on double-walled tubes with a rather large and fixed inner-tube diameter of 3.0 nm with varying intertube distances<sup>41-42</sup> and relatively high pressure conditions.<sup>43</sup>

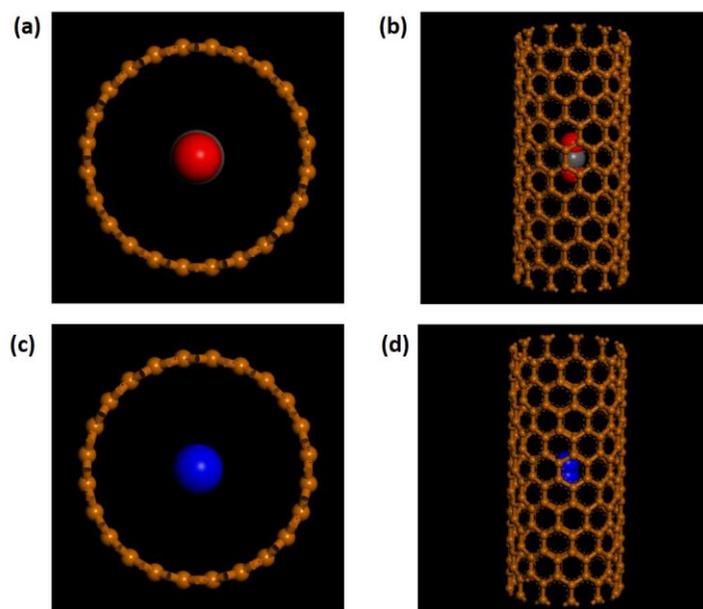
Although there are many previous studies of porous materials for CO<sub>2</sub> adsorption, the fundamental question of an optimal cylindrical pore size for post combustion CO<sub>2</sub> capture has not been clearly addressed. Especially, one can envision that when the cylindrical pore size is very small (< 1 nm), significant overlap of potential energy surface between CO<sub>2</sub> and the pore walls. To address this question, herein we use first-principles van der Waals density functional theory (vdW-DFT) to map out the potential energy surfaces of CO<sub>2</sub> in cylindrical pores, which are modeled by carbon nanotubes (CNTs); compared with the empirical Lennard-Jones potentials, vdW-DFT as an electronic-structure method is free of empirical parameters and able to describe the polarization of charge density due to the CO<sub>2</sub>-CNT interaction. Then we study the overlap of potential energy surfaces by adjusting the pore size (the CNT diameter). Next, we perform the grand

canonical Monte Carlo simulation to obtain the optimal pore size for adsorbing CO<sub>2</sub> and reveal the relationship between the PES overlap and adsorption performance of the cylindrical pore for post-combustion CO<sub>2</sub> capture.

### 3.3 Computational Method

The van der Waals corrected density functional (vdW-DF) calculations<sup>44</sup> were performed by using the Vienna ab initio simulation package (VASP).<sup>45-47</sup> The Perdew–Burke–Ernzerhof (PBE) form of the generalized-gradient approximation (GGA) was used for electron exchange and correlation.<sup>48</sup> The projector-augmented-wave (PAW) method was used to describe the electron-core interaction.<sup>49</sup> The plane waves cutoff energy of 450 eV was used. A supercell with cell parameters of  $a = b = 20.0 \text{ \AA}$ ,  $c = 21.3 \text{ \AA}$ ,  $\alpha = \beta = \gamma = 90^\circ$  was used for calculation of CO<sub>2</sub> in nanotube system. A CO<sub>2</sub> molecule was put in the center of CNTs in the axial direction. A  $1 \times 1 \times 1$  Monkhorst Pack k-point grid was chosen for sampling the Brillouin zone. The convergence threshold for geometry optimization was set to be 0.025 eV/Å in force, and the thicknesses of the vacuum layer were all set to be larger than 10 Å. The CNTs were kept fixed during all the calculations. For CO<sub>2</sub>, carbon atom was fixed, and oxygen atoms were allowed to relax in all directions. For N<sub>2</sub>, nitrogen atoms were only allowed to relax in nitrogen-nitrogen bond direction. The potential energy ( $E_{\text{pot}}$ ) was defined by  $E_{\text{pot}} = E_{\text{CNT+CO}_2} - (E_{\text{CNT}} + E_{\text{CO}_2})$  or  $E_{\text{pot}} = E_{\text{graphene+N}_2} - (E_{\text{graphene}} + E_{\text{N}_2})$ .

In order to simulate the adsorption of CO<sub>2</sub> and selectivity of CO<sub>2</sub>/N<sub>2</sub> at close to the experimental conditions, the grand canonical Monte Carlo (GCMC) simulation at constant chemical potential  $\mu$ , volume  $V$  and temperature  $T$  was used. The CNTs were arranged on a hexagonal bundle structure with minimal intertube distance. The force-field parameters from previous studies were used for the CNTs<sup>42</sup>, CO<sub>2</sub>,<sup>50</sup> and N<sub>2</sub>.<sup>50</sup> The vdW interactions between different atoms were calculated by the Lorentz-Berthelot Rules. The cutoff for the interatomic interactions was 12.8 Å. The temperature was fixed at 298K. All GCMC simulations ran 10<sup>7</sup> steps.

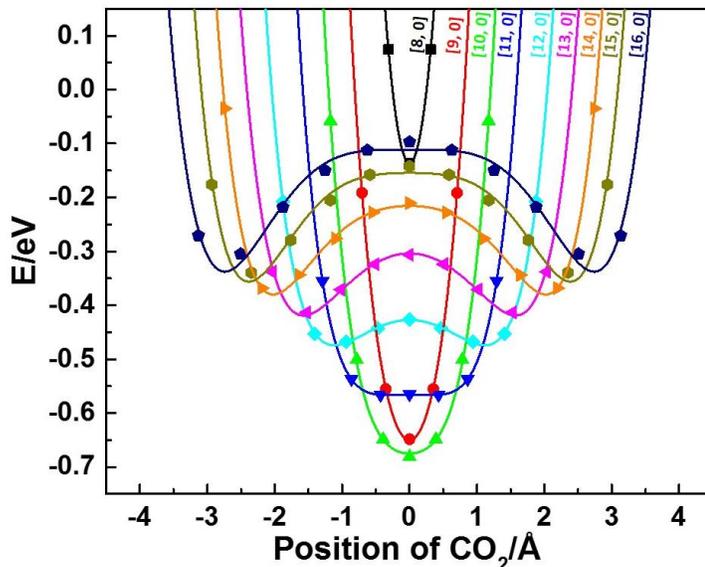


**Figure 3-1.** Structure of CO<sub>2</sub> (a and b) and N<sub>2</sub> (c and d) inside the carbon nanotube in our DFT modeling.

### 3.4 Results and discussion

#### 3.4.1 Potential energy surface of CO<sub>2</sub> and N<sub>2</sub> in carbon nanotubes.

Besides slit pores, the cylindrical pores are often used to simulate porous materials. Here we used carbon nanotubes (CNTs) to model a cylindrical pore. Figure 3-1 shows that we placed CO<sub>2</sub> or N<sub>2</sub> inside the CNTs along the axis. The radial position of the CNT axis was set at zero and the diameters of the CNTs were adjusted by the indices [n, 0]. Then for a given CNT, we computed the energy of the whole system as we changed the radial position of CO<sub>2</sub> or N<sub>2</sub> along a random direction in both ways to generate the potential energy surface (PES) curve.



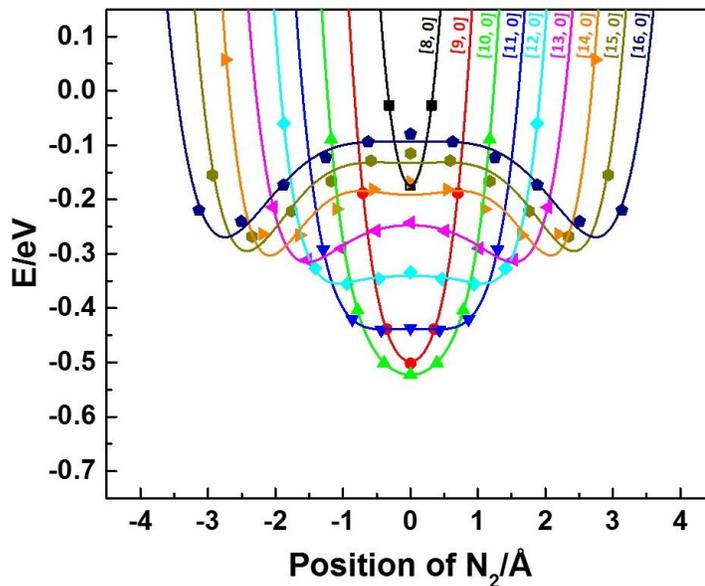
**Figure 3-2.** Potential energy surface of CO<sub>2</sub> in the CNTs calculated by the vdW-DF method.

As shown in Figure 3-2, we started with a relatively large nanotube CNT [16, 0] and then decreased it to CNT [8, 0]. We can see that all the potential energy surfaces are

symmetric. For CNT [16, 0], there are two minima where both the maximum binding energy are 0.34 eV. With the decrease of the diameter, the overlap effect becomes increasingly strong and the maximum bonding energy becomes larger. For CNT [11, 0], the double-well shape of the PES changes to a flat-bottom, single-well form, where the maximum binding energy is 0.56 eV and the width of this potential energy bottom is about 1.4 Å. Apparently, this is the size where the two peaks in the PES curve begin to merge into one. When the diameter or cylindrical pore size decreases further, the PES changes to a parabola shape with an increasingly sharper bottom. The lowest bottom is reached by CNT [10, 0] with a diameter of 7.8 Å, corresponding to the strongest binding of CO<sub>2</sub> at 0.675 eV. At this pore size, optimal overlap of PES is achieved. Further narrower CNTs such as CNT [9, 0] and [8, 0] have a weakened interaction with CO<sub>2</sub> because of repulsion against the wall, especially in CNT [8, 0]. Here, we used a simple definition of the CNT diameter as the pore size. The accessible pore size often used in the literature would correspond to the CNT diameter minus the vdW diameter of the carbon atom (3.4 Å; that is, the wall thickness), so a diameter of 7.8 Å would correspond to an accessible pore size of 4.4 Å.

The PES curves of N<sub>2</sub> in the CNTs were also obtained in the same way (Figure 3-3). The shapes of all curves are similar to those of CO<sub>2</sub> in Figure 3-2. However, the bonding energies of N<sub>2</sub> with CNTs are always weaker when those of CO<sub>2</sub>. The maximum interaction

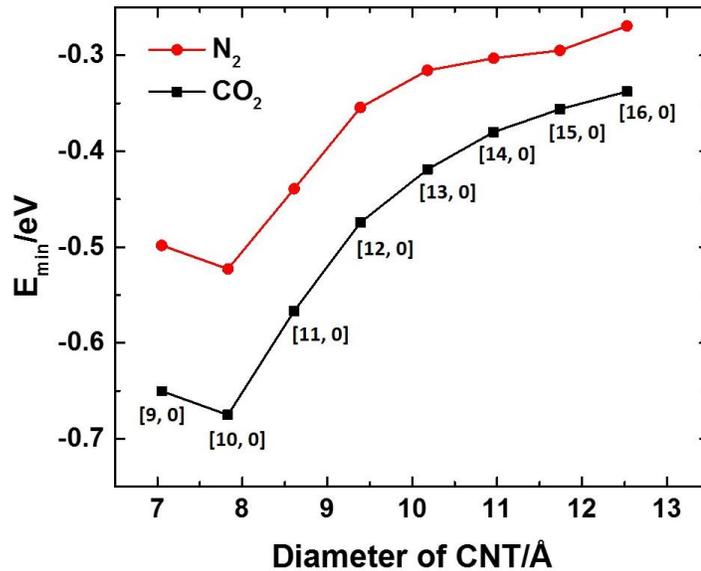
is also reached by CNT [10, 0] for N<sub>2</sub> with a binding energy of 0.523 eV.



**Figure 3-3.** Potential energy surface of N<sub>2</sub> in the CNTs calculated by the vdW-DF method.

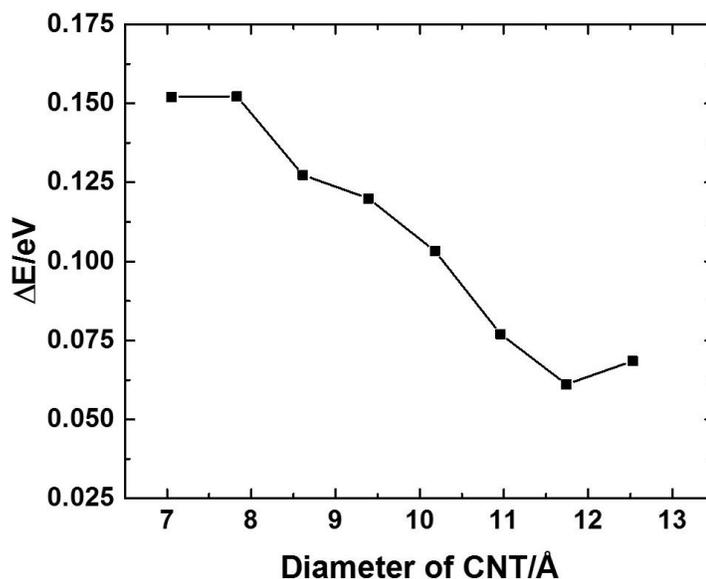
### 3.4.2 Optimal pore size from the potential energy surface.

To shed light on the optimal cylindrical pore size, Figure 3-4 plots the PES minimum versus the diameter of the CNT. We can see that the strongest binding is achieved at about 7.8 Å with a minimum of -0.675 eV for CO<sub>2</sub>. This value of CO<sub>2</sub> binding is much stronger than the optimal value in the case of the graphene bilayer slit pore,<sup>40</sup> indicating that the curvature increases CO<sub>2</sub> binding. This can be explained by the fact that the CNT wraps around the linear, rod-like CO<sub>2</sub> so that there are more carbon atoms from the CNT can be in close contact with CO<sub>2</sub> than those from the two planar graphene sheets. In addition, Figure 3-4 shows that the bonding of N<sub>2</sub> is weaker than that of CO<sub>2</sub> by about 15% to 25% for the same CNT.



**Figure 3-4.** The minimum values of potential energy for different diameters of CNTs.

Figure 3-5 shows the difference in the potential energy between N<sub>2</sub> and CO<sub>2</sub> for different diameters of CNTs, which is an indication of the CO<sub>2</sub>/N<sub>2</sub> selectivity; in other words, the more positive the difference, the higher the CO<sub>2</sub>/N<sub>2</sub> selectivity. One can see that the selectivity can be best achieved by a cylindrical pore size of 7 Å to 8 Å; the 0.15 eV energy difference yields a Boltzmann factor or ideal pure-gas selectivity of 372 at room temperature (298.15 K) for CO<sub>2</sub>/N<sub>2</sub> separation. The selectivity is expected to decrease with the increase of CNT diameter.

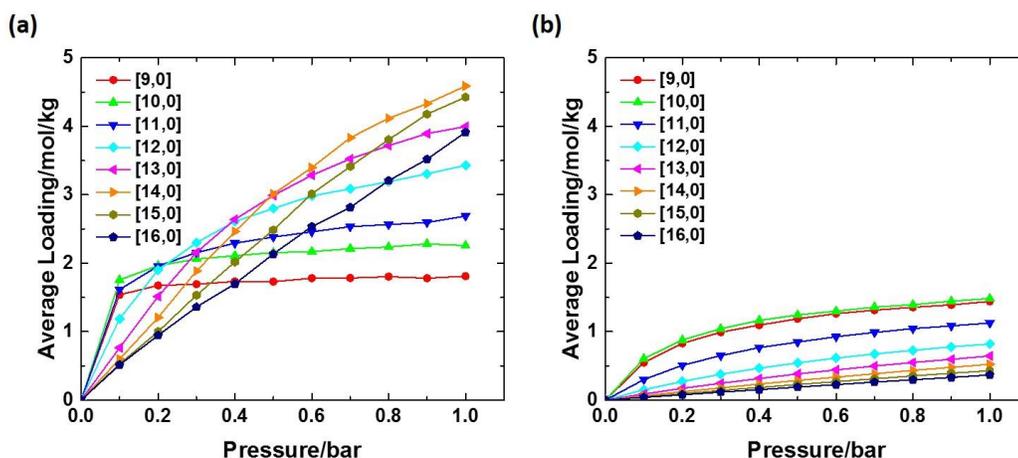


**Figure 3-5.** The difference in the potential energy between N<sub>2</sub> and CO<sub>2</sub> for different diameters of CNTs.

### 3.4.3 GCMC simulations of pure-gas CO<sub>2</sub> and N<sub>2</sub> uptakes inside the CNTs.

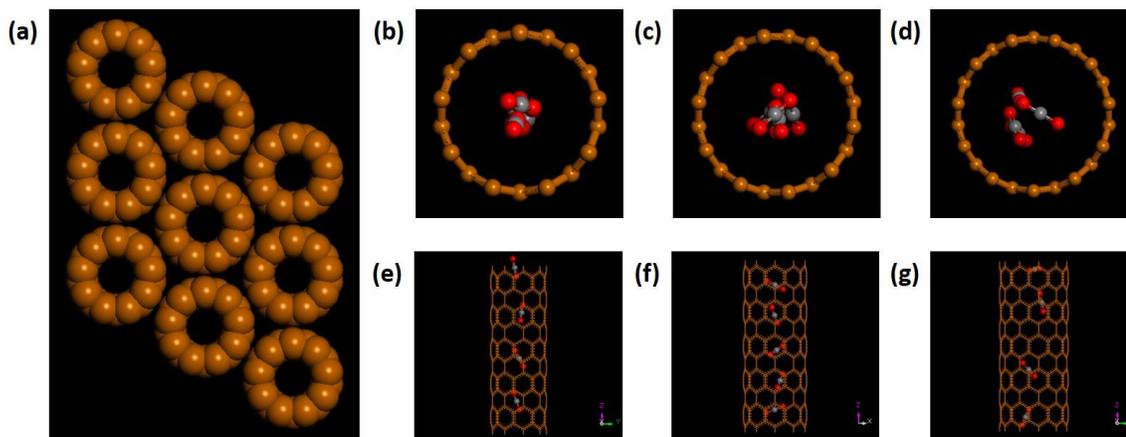
The PES overlap and the optimal size for selectivity from the first principles vdW-DF results above best represent the low to ambient pressure conditions where the strong adsorption sites are populated. To test these predictions, we employed GCMC to simulate CO<sub>2</sub> and N<sub>2</sub> uptakes inside the CNTs at ambient conditions that are relevant to post-combustion CO<sub>2</sub> capture. First, we simulated the isotherms up to 1 atm at 298 K (Figure 3-6). One can see that at 0.1 atm, CNT [9, 0] ( $d = 7.05 \text{ \AA}$ ), CNT [10, 0] ( $d = 7.83 \text{ \AA}$ ), and CNT [11, 0] ( $d = 8.61 \text{ \AA}$ ) have higher CO<sub>2</sub> uptake (Figure 3-6a) than the larger-sized CNTs. With the increasing pressure, the CNTs with larger pore sizes begin to win over the smaller-sized ones. At 1 bar, CNT [14, 0] ( $d = 10.96 \text{ \AA}$ ) has the highest CO<sub>2</sub> uptake (4.59 mol/kg). Figure 3-6b shows the isotherms of N<sub>2</sub>. Interestingly, the N<sub>2</sub> uptake in this pressure region

well follows the PES curves in Figures 3-3 and 3-4: CNT [9, 0] ( $d = 7.05 \text{ \AA}$ ) and [10, 0] ( $d = 7.83 \text{ \AA}$ ) have the best PES overlap, so their  $\text{N}_2$  uptake is the highest from 0 to 1 atm. This difference between  $\text{CO}_2$  and  $\text{N}_2$  in terms of pressure and pore size dependences can be leveraged for  $\text{CO}_2/\text{N}_2$  separation.



**Figure 3-6.** The isotherms of (a)  $\text{CO}_2$  and (b)  $\text{N}_2$  at 298K for different diameters of CNTs.

Figure 3-7 shows the snapshots of  $\text{CO}_2$  distribution inside CNTs of different sizes at 0.15 atm. When the diameter of CNT is small in the cases of [9,0] and [10,0], the  $\text{CO}_2$  molecules concentrate at the center of CNTs along the central axis, but when the diameter is larger as in [11,0], some  $\text{CO}_2$  molecules move away from the central axis toward the wall. While the  $\text{CO}_2$  molecules are more or less oriented along the central axis in CNT [9,0], some  $\text{CO}_2$  molecules are actually oriented almost perpendicularly to the central axis in CNT [10,0].



**Figure 3-7.** CNT bundle in GCMC simulation (a); and CO<sub>2</sub> distribution inside the CNT [9, 0] (b, e), CNT [10,0] (c, f) and CNT [11,0] (d, g); (b-d) are the top view figures and (e-g) are the side view figures.

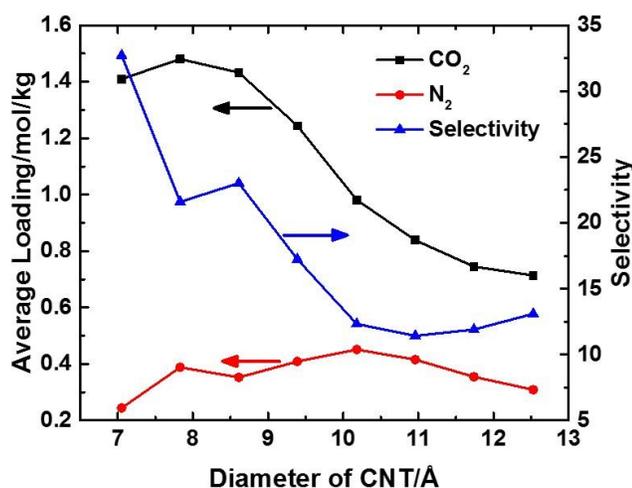
#### 3.4.4 GCMC simulations of CO<sub>2</sub>/N<sub>2</sub> mixture inside the CNTs.

To be relevant to the experimental conditions, we simulated the gas uptake and the CO<sub>2</sub>/N<sub>2</sub> selectivity of a 15%/85% molar ratio mixture of CO<sub>2</sub>/N<sub>2</sub> at 1 atm and 298 K. Figure 3-8 shows how the gas uptake and the CO<sub>2</sub>/N<sub>2</sub> selectivity change with the CNT diameter. One can see that CO<sub>2</sub> uptake is highest at the pore size of 7.8 Å, while N<sub>2</sub> uptake is highest at 10.3 Å. One can also see that the CO<sub>2</sub> uptake of 1.5 mol/kg from the mixture is similar to that in the pure-gas isotherm at the same partial pressure (Figure 3-6a). Due to this preferential adsorption of CO<sub>2</sub> from the mixture, N<sub>2</sub> uptake inside the CNTs from the mixture is much less than that in the pure-gas isotherm (Figure 3-6b). Figure 3-8 also shows the CO<sub>2</sub>/N<sub>2</sub> selectivity, defined as

$$S_{i/j} = (x_i / x_j)(y_j / y_i)$$

where  $x_i$  and  $y_i$  are the mole fractions of component  $i$  in adsorbed and bulk phases,

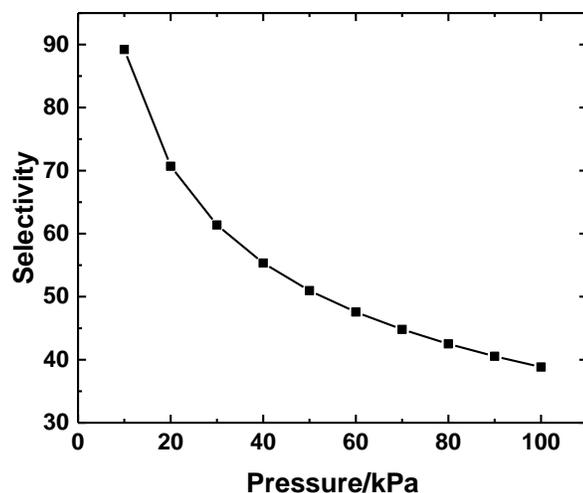
respectively. One can see that the highest selectivity ( $\sim 33$ ) is obtained at the pore size of 7.05 Å for CNT [9,0]. The selectivity decreases to below 15 when the pore size is greater than 10 Å.



**Figure 3-8.** Gas uptake and CO<sub>2</sub>/N<sub>2</sub> selectivity from a mixture of CO<sub>2</sub> (0.15 atm) and N<sub>2</sub> (0.85 atm) at 298K for different diameters of CNTs.

### 3.4.5 Selectivity from the Ideal Adsorbed Solution Theory (IAST).

Besides direct simulation of adsorption of mixture gases, another simple way to estimate the adsorption selectivity for gas mixtures is via the Ideal Adsorbed Solution Theory (IAST).<sup>42, 51-52</sup> Because CNT [9,0] exhibits the highest selectivity from the GCMC simulations (Figure 3-8), we applied the IAST to determine how CO<sub>2</sub>/N<sub>2</sub> selectivity changes as a function of pressure for a mixture of CO<sub>2</sub> and N<sub>2</sub> in 0.15/0.85 molar ratio inside the CNT [9,0]. As shown in Figure 3-9, IAST predicts a CO<sub>2</sub>/N<sub>2</sub> selectivity of 38.8 at 1 atm, very close to the GCMC result ( $\sim 33$ ) in Figure 3-8.



**Figure 3-9.** The IAST-predicted  $\text{CO}_2/\text{N}_2$  selectivity as a function of total pressure for a mixture of  $\text{CO}_2$  and  $\text{N}_2$  in 0.15/0.85 molar ratio in CNT [9, 0].

#### 3.4.6 Implications for the practical separation of $\text{CO}_2$ and $\text{N}_2$ .

The enhanced  $\text{CO}_2$  adsorption and  $\text{CO}_2/\text{N}_2$  selectivity inside the small-diameter CNTs as predicted above beg the questions of their practicality in real-world applications, such as how to deploy them and whether the desorption could be an issue due to the enhanced interaction. We expect that the desorption should be facile for  $\text{N}_2$  due to the much weaker  $\text{N}_2$ -CNT interaction. Although the  $\text{CO}_2$ -CNT interaction here is enhanced through the potential energy surface overlap, the interaction is still physisorption in nature and the magnitude is still lower than the chemisorption. To demonstrate this point, we compare the CNT with the conventional 13X zeolite. At a loading of 1.5 to 1.7 mmol/g, the average isosteric heat of adsorption of  $\text{CO}_2$  is about 40 kJ/mol in 13X<sup>53</sup> and about 44 kJ/mol in CNT [9,0] from our own calculation. In other words, the  $\text{CO}_2$ -CNT interaction is only

slightly stronger than the CO<sub>2</sub>-13X interaction. So we think that like the 13X zeolite, the narrow CNTs can also be used for pressure-swing adsorption for CO<sub>2</sub>/N<sub>2</sub> separation,<sup>54</sup> given their similar isotherms and heat of adsorption.

Although the present work focuses on the pore size, other factors may also contribute to the gas uptake and selectivity. These include surface chemistry and functionality, surface defects, and surface area. It would be interesting to find out how the pore size can combine with these other factors in affecting post-combustion CO<sub>2</sub> capture. Further simulations are warranted.

### **3.5 Summary and conclusions**

We have investigated the overlap of potential energy surface (PES) of CO<sub>2</sub> in cylindrical pores modeled by CNTs from first principles van der Waals density functional (vdW-DF) theory. We further simulated the pore-size effect on CO<sub>2</sub> uptake and CO<sub>2</sub>/N<sub>2</sub> selectivity for post-combustion CO<sub>2</sub> capture. By changing the diameter of CNTs, we found that the optimal pore size for overlap of PES is about 7.8 Å for the cylindrical pore. The binding strength of CO<sub>2</sub> inside the 7.8-Å CNT triples that between CO<sub>2</sub> and a single layer of graphene. We have also performed the GCMC simulations to obtain the uptakes of CO<sub>2</sub> at low pressure and the CO<sub>2</sub>/N<sub>2</sub> selectivity from a mixture. The results show that CNTs with a pore size between 7 and 8 Å is particularly good for achieving high CO<sub>2</sub>/N<sub>2</sub> selectivity (~ 33) and good CO<sub>2</sub> uptake (1.5 mol/kg) for a 0.15atm/0.85atm CO<sub>2</sub>/N<sub>2</sub> mixture

at 298 K. Our work shows that cylindrical pores of 7 to 8 Å in size are promising for post-combustion CO<sub>2</sub> capture.

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## **Chapter 4. Effect of Pore Density on Gas Permeation through Nanoporous Graphene Membranes**

### **4.1 Abstract**

Pore density is an important factor dictating gas separations through one-atom-thin nanoporous membranes, but how it influences the gas permeation has not been fully understood. Here we use molecular dynamics (MD) simulations to investigate gas permeation through nanoporous graphene membranes with the same pore ( $3.0 \text{ \AA} \times 3.8 \text{ \AA}$  in dimensions) but varying pore densities (from 0.01 to  $1.28 \text{ nm}^{-2}$ ). We find that higher pore density leads to higher permeation per unit area of membrane for both  $\text{CO}_2$  and He, but the rate of the increase decreases greatly for  $\text{CO}_2$  at high pore densities. As a result, the per-pore permeance decreases for  $\text{CO}_2$  but remains relatively constant for He with the pore density. By separating the total flux into direct flux and surface flux, we find that He permeation is dominated by direct flux and hence the per-pore permeation rate is roughly constant with the pore density. In contrast,  $\text{CO}_2$  permeation is dominated by surface flux. The overall decreasing trend of the per-pore permeation rate of  $\text{CO}_2$  with the pore density can be explained by the decrease of coverage per pore on feed side with the increase of pore density and the coverage per pore on permeate side can be ignored. Our work now provides a complete picture of the pore-density dependence of gas permeation through one-atom-thin nanoporous membranes.

## 4.2 Introduction

Graphene with sub-nanometer pores is promising as a one-atom-thin membrane for applications in separations of gases, water, ions, and isotopes.<sup>1-11</sup> Porous graphene was first proposed as the ultimate membrane for gas separation in 2009 by a computational proof-of-concept.<sup>12</sup> In 2012, molecular sieving of gases through porous graphene membranes with controlled pore sizes was experimentally demonstrated.<sup>13</sup> Meanwhile, porous graphene membranes and their derivatives have been predicted to be able to separate hydrogen isotopes.<sup>14</sup> To achieve scalable production of porous graphene membranes, graphene-oxide (GO) membranes have been fabricated and tested for gas separations.<sup>15-17</sup> Recently, other two-dimensional materials have also been examined as porous membranes.<sup>18-23</sup> For example, the MoS<sub>2</sub> nanosheets with suitable triangular pores were proposed for separating H<sub>2</sub> from N<sub>2</sub>, CO, and CH<sub>4</sub> and for removing CO<sub>2</sub> from natural gas,<sup>19</sup> while molecular sieving of gases was shown for a MXene membrane.<sup>23</sup>

Although molecular sieving has been the main working mechanism for selective gas separations by porous graphene and related ultrathin membranes,<sup>24</sup> Draushuk and Strano proposed two pathways of gas permeation through nanoporous graphene membranes from a detailed kinetic analysis: direct gas-phase pathway and adsorbed phase pathway.<sup>25</sup> In the gas-phase pathway, the flux scales with the pore area and the differential pressure. In the adsorbed phase pathway, the permeation is divided into five steps:

adsorption, association, translocation, dissociation, and desorption.<sup>25</sup> Hadjiconstantinou et al. further explored the impact of pore size and pore functionalization on gas permeation through nanoporous graphene membrane by theoretical analysis and MD simulations.<sup>26</sup>

Although the role of pore density has been alluded to in several previous studies,<sup>27-</sup><sup>31</sup> how exactly the pore density affects permeation has not been fully addressed, especially in the light of the direct gas-phase pathway vs the indirect adsorbed phase or surface pathway. In a more recent theoretical analysis combined with simulations for the adsorption-translocation mechanism, a minimum pore density has been identified for the porous graphene membrane to achieve sufficient permeance.<sup>31</sup> But finding an optimal pore density would help guide both the top-down and bottom-up syntheses of ultrathin membranes with desired pore densities.

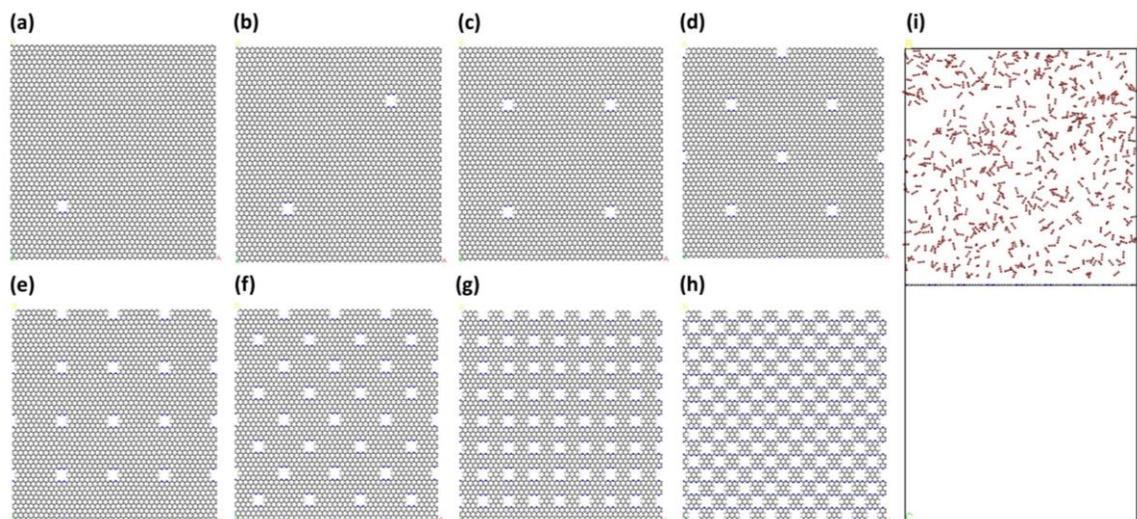
The goal of the current work is to understand the role of pore density in gas permeation through porous graphene. To this end, we have built a series of nanoporous graphene membrane models with different pore densities using the same pore, and then used classical molecular dynamics (CMD) simulation to study the relationship of gas permeation with the pore density. We chose CO<sub>2</sub> and He, which represent two different types of gas molecule with strong and weak adsorption onto the nanoporous graphene membrane surface, respectively. By comparing the permeation behaviors of CO<sub>2</sub> and He, we aim to achieve a deeper understanding of how gas permeation depends on pore density

on a porous graphene and to probe the direct vs indirect pathways.

### 4.3 Computational Method

To simulate the effect of pore density, the same membrane dimensions of 10 nm × 10 nm and the same pore are employed, while the number of pores increases from 1 to 128 (Figure 4-1a-h), corresponding to pore densities from 0.01 nm<sup>-2</sup> to 1.28 nm<sup>-2</sup>. The size of simulation box is 10 nm × 10 nm × 20 nm. The pore has dimensions of 3.0 Å × 3.8 Å (see Figure S4-1 in the electronic supplementary information, ESI) and has been used previously for H<sub>2</sub>/CO<sub>2</sub>/N<sub>2</sub>/CH<sub>4</sub> separations; it has very good performances for selective gas separations, for example, a selectivity of 300 for CO<sub>2</sub>/N<sub>2</sub> separation with a CO<sub>2</sub> permeance on the order of 10<sup>5</sup> GPU.<sup>32, 33</sup> A bi-chamber system (Figure 4-1i) with two-dimensional periodic boundary conditions is set up in our classical MD simulations, with the porous graphene membrane in the middle. The upper chamber is pressurized at 20 atm by 550 gas molecules of CO<sub>2</sub> or He for all graphene sheets of different pore densities, while the lower chamber is vacuum initially. MD simulations are performed using the LAMMPS package<sup>34</sup> in the canonical (NVT) ensemble at 300 K controlled using the Nose-Hoover thermostat.<sup>35, 36</sup> The force-field parameters for the membrane and gas molecules are taken from previous studies.<sup>33, 37, 38</sup> Only the non-bonded interactions (van der Waals and electrostatic) are considered. The graphene membrane is fixed, and the gas molecules are rigid during the simulations. The Lennard-Jones parameters and partial atomic charges are provided in ESI.

The cutoff distances for Lennard-Jones and Coulombic interactions are 12 Å; the long-range electrostatic interaction is calculated using the PPPM method.<sup>39-41</sup>



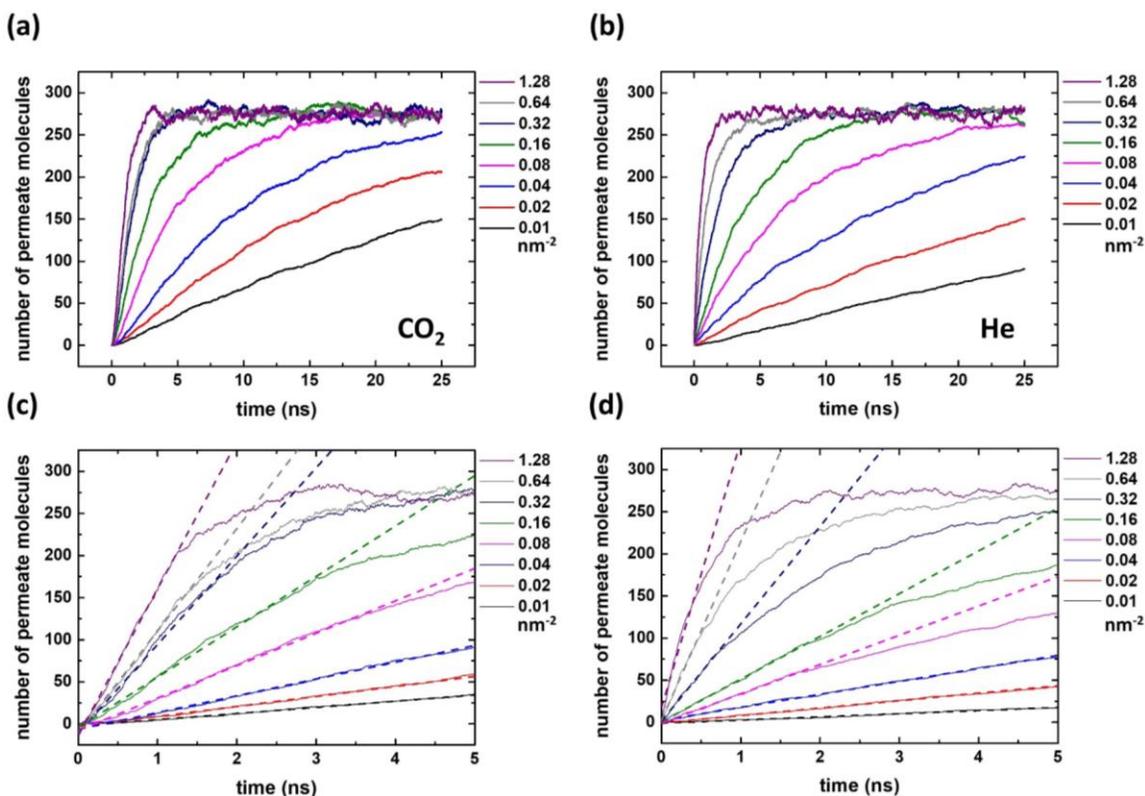
**Figure 4-1.** The  $10 \times 10 \text{ nm}^2$  porous-graphene membrane with different pore densities of the same pore ( $3.0 \text{ \AA} \times 3.8 \text{ \AA}$  in size; see Figure S4-1 in ESI for a close-up view of the pore): (a)  $0.01 \text{ nm}^{-2}$ ; (b)  $0.02 \text{ nm}^{-2}$ ; (c)  $0.04 \text{ nm}^{-2}$ ; (d)  $0.08 \text{ nm}^{-2}$ ; (e)  $0.16 \text{ nm}^{-2}$ ; (f)  $0.32 \text{ nm}^{-2}$ ; (g)  $0.64 \text{ nm}^{-2}$ ; (h)  $1.28 \text{ nm}^{-2}$ . (i) Side view of the bi-chamber setup for simulating gas permeation through the membrane in the middle; the upper chamber (the feed side) is pressurized at 20 atm while the lower chamber (the permeate side) is vacuum initially.

## 4.4 Results and discussion

### 4.4.1 Gas permeation through the nanoporous graphene membranes

Figure 4-2 shows the MD simulation results of the number of the gas molecules permeating through the porous graphene membrane with time for different pore densities. One can see that for both  $\text{CO}_2$  (Figure 4-2a) and He (Figure 4-2b), the permeation rate (the slope of the line) increases with the pore density. This can be seen more clearly in the first 5 ns of the simulations (Figure 4-2c,d). In addition, we can see that when the pore density

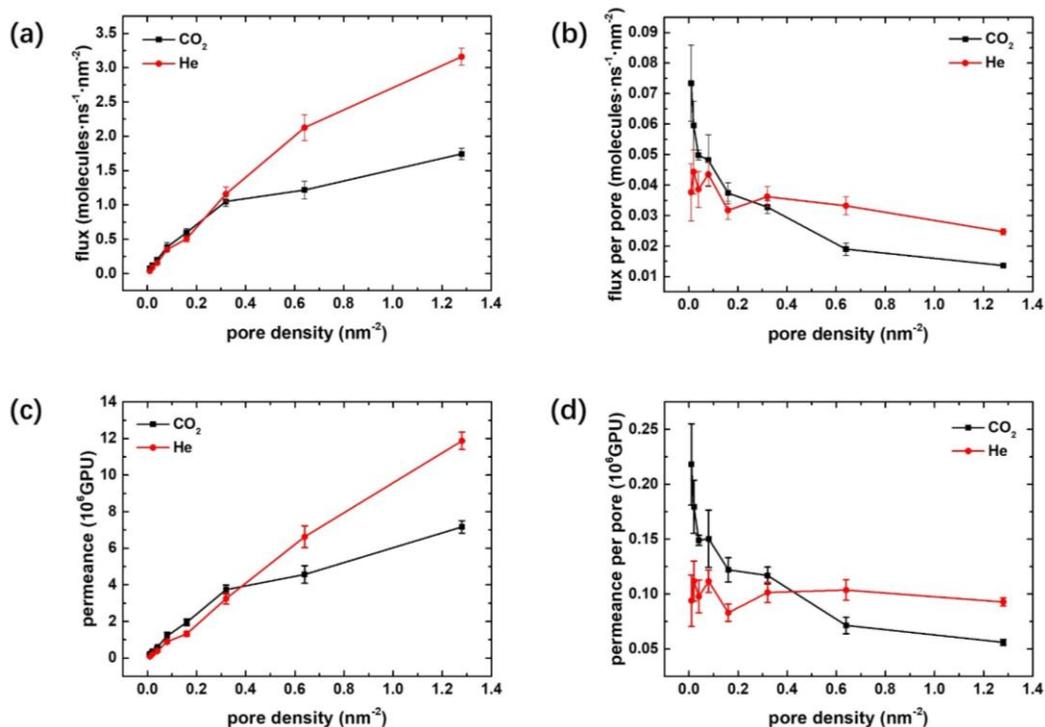
is relatively high ( $> 0.16 \text{ nm}^{-2}$ ), equilibrium can be reached within about 5 ns when pressure difference across the membranes approaches zero. To compare the permeation rates for the different pore densities, we used the initial slopes from our simulations (dashed lines in Figure 4-2c,d).



**Figure 4-2.** The number of gas molecules permeating through the nanoporous graphene membrane with time for different pore densities (from  $0.01$  to  $1.28 \text{ nm}^{-2}$ ): (a)  $\text{CO}_2$  in 25 ns; (b) He in 25 ns; (c)  $\text{CO}_2$  in 5 ns; (d) He in 5 ns. Dashed lines in (c) and (d) denote the permeation rates used to compute the initial fluxes.

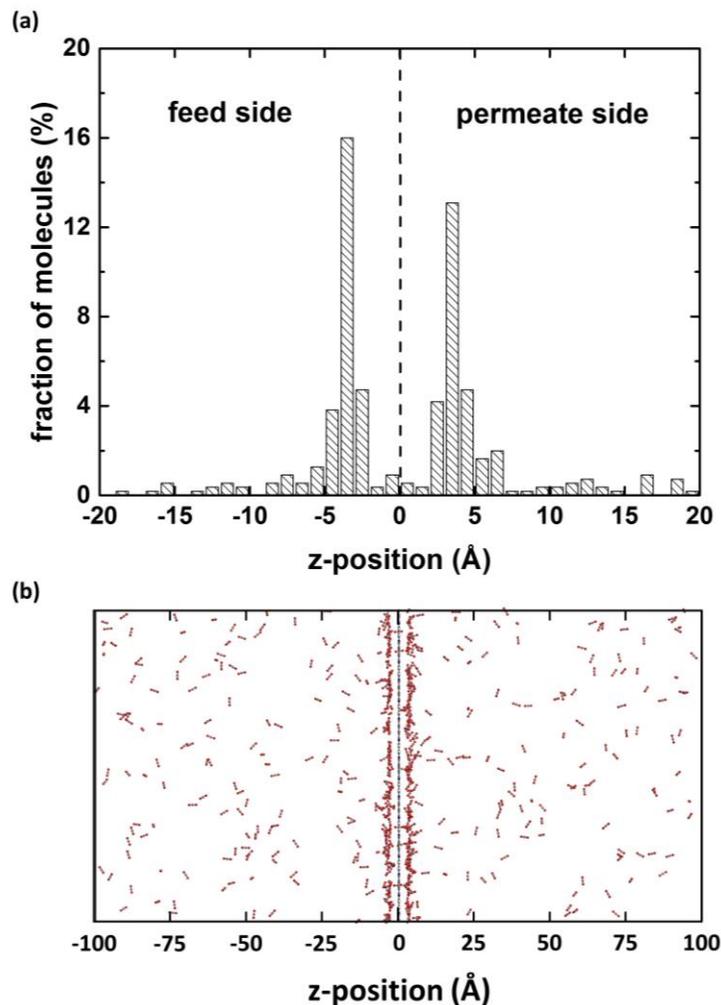
From the initial permeation rate and the membrane total area ( $100 \text{ nm}^2$ ), we computed the initial flux as a function of the pore density. Figure 4-3a shows that the flux of He increases almost linearly with the pore density, while the flux of  $\text{CO}_2$  shows a similar

linear increase when the pore density is  $< 0.3 \text{ nm}^{-2}$  but the increase greatly slows down after  $0.3 \text{ nm}^{-2}$ . Figure 4-3c shows the bulk-pressure-normalized flux (that is, permeance). At the pore density of  $1.28 \text{ nm}^{-2}$ , the flux of  $\text{CO}_2$  is about 60% that of He and corresponds to a permeance of  $\sim 6 \times 10^6 \text{ GPU}$ . This permeance is higher than the typical permeance found for one-atom-thin membranes ( $\sim 10^4$  to  $10^5 \text{ GPU}$ ) from previous simulations<sup>18, 33, 42, 43</sup> because of the higher pore densities employed in this work. We also used an exponential decay model to fit the whole curves in Figure 4-2 and obtained similar trends of fluxes for both  $\text{CO}_2$  and He (see Figure S4-3 in ESI).



**Figure 4-3.** Initial flux and permeance vs pore density of graphene membranes for  $\text{CO}_2$  and He permeation: (a) flux per unit membrane area; (b) flux per pore; (c) permeance; (d) permeance per pore. Error bars from averaging over parallel simulations.

The difference between CO<sub>2</sub> and He regarding the flux vs pore density trend can be more clearly seen in terms of the per-pore flux. One can see from Figure 4-3b that at low pore densities the initial flux per pore is higher for CO<sub>2</sub> than He, even though He is smaller in size. This reverse selectivity is not uncommon in the literature of gas-separation membranes. For example, some polymeric membranes are selective for CO<sub>2</sub> than the smaller H<sub>2</sub>, due to CO<sub>2</sub>'s higher solubility in these polymers.<sup>44</sup> The underlying reason is similar in our case, due to the much more favorable surface adsorption of CO<sub>2</sub> than He on the membrane, as explained below. Over the whole range of the pore densities, Figure 4-3b shows that the initial flux per pore is nearly constant for He, but displays a roughly exponential decay with the pore density for CO<sub>2</sub>. This distinct and interesting difference between CO<sub>2</sub> and He begs a detailed analysis of their permeation behaviors through the porous graphene membranes of different pore densities. We first examine the adsorption behavior.

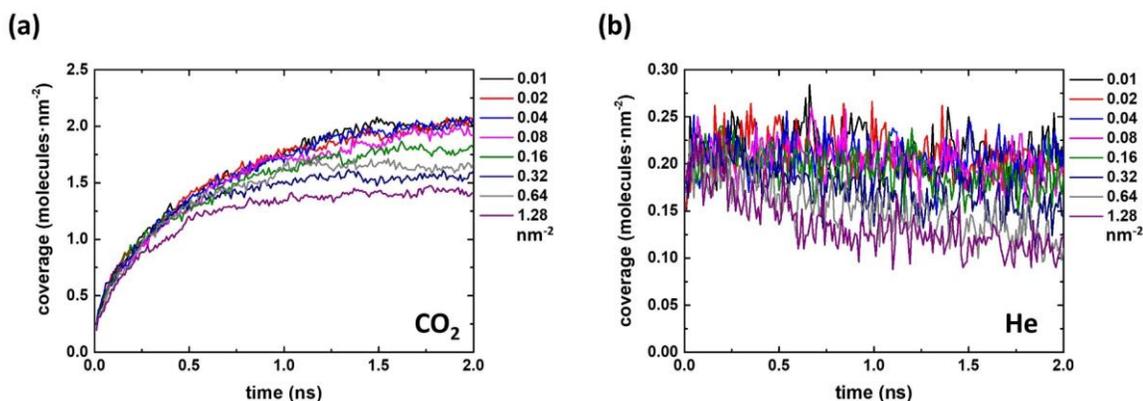


**Figure 4-4.** CO<sub>2</sub> distribution along the z direction for a graphene membrane (at z=0) with the pore density of 1.28 nm<sup>-2</sup> after 25 ns MD simulation: (a) statistical distribution at the 25-ns time point (bin size: 1 Å); (b) snapshot of CO<sub>2</sub> distribution at the 25-ns time point.

#### 4.4.2 Gas adsorption on the nanoporous graphene membranes

Being a larger molecule with a large quadrupole moment, CO<sub>2</sub> adsorbs more strongly than He on the graphene membrane. Figure 4-4 shows the distribution of CO<sub>2</sub> molecules along the direction perpendicular to the membrane surface after the equilibrium has been reached across the membrane. One can clearly see the adsorption layer on both

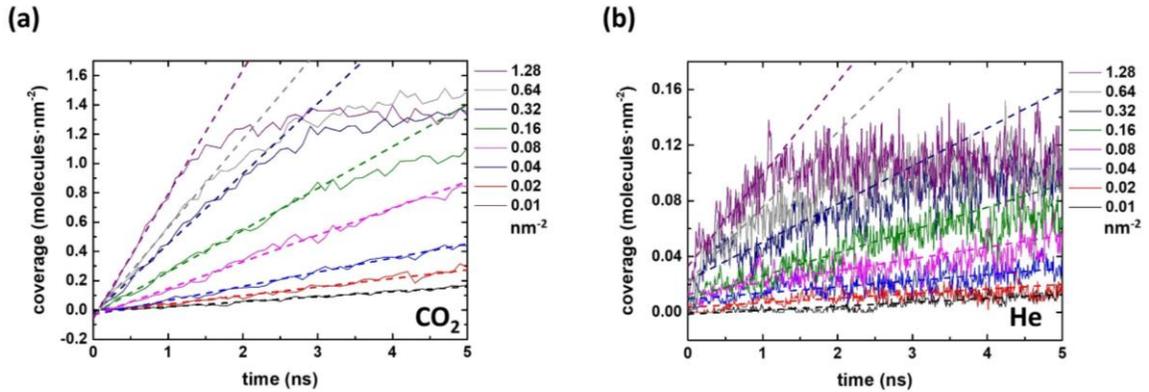
sides of the membrane: each layer is about 5 Å thick, with the majority of the CO<sub>2</sub> molecules about 3 to 4 Å away from the graphene surface. Some CO<sub>2</sub> molecules with  $z < 3$  Å are actually close to or in the pore.



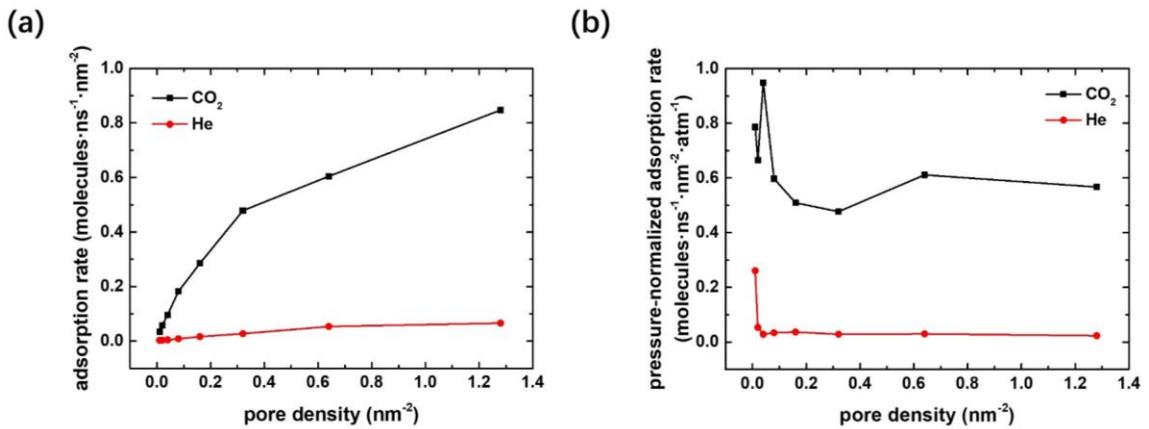
**Figure 4-5.** Coverage of the gas adsorbate vs time on the feed side of the graphene membranes of different pore densities: (a) CO<sub>2</sub>; (b) He.

Next, we examined how fast the adsorption layers are built up on both sides of the graphene membrane. Figure 4-5 shows the adsorption on the feed side of the membrane. One can see that regardless of the pore density, CO<sub>2</sub> adsorption on the feed side quickly reaches equilibrium within about 1 ns (Figure 4-5a). In contrast, He coverage is much lower and shows much greater fluctuation (Figure 4-5b). Similarly, we proceed to analyze the adsorption on the permeate or back side of the membrane. As shown in Figure 4-6, the change of gas coverage with time displays a strong dependence on the pore density, especially for CO<sub>2</sub> (Figure 4-6a). Figure 4-7a shows that the initial adsorption rate on the permeate side increases with the pore density for both CO<sub>2</sub> and He. This increase is expected to closely correlate with the pressure rise in the permeate side; indeed, after

pressure normalization, the adsorption rate becomes roughly constant at high pore densities (Figure 4-7b).



**Figure 4-6.** Coverage of the adsorbate vs time on the permeate side of the graphene membrane of different pore densities: (a) CO<sub>2</sub>; (b) He.



**Figure 4-7.** (a) Adsorption rate and (b) pressure-normalized adsorption rate on the permeate side vs the pore density for CO<sub>2</sub> and He.

Figures 4-5 to 4-7 indicate that adsorption plays an important role in the dependence of CO<sub>2</sub> permeation on the pore density. However, to fully understand the trends in Figure 4-3, we need to quantify the contribution of surface adsorption to the total flux relative to

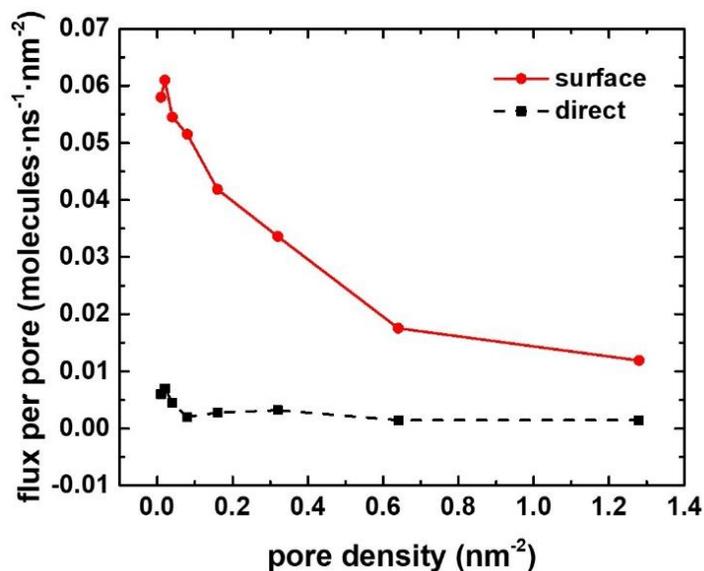
that of the direct flux.

#### 4.4.3 Surface flux vs direct flux

According to a previous kinetic analysis of gas permeation through a porous graphene membrane, the total flux can be decomposed into direct flux and surface flux.<sup>25</sup>

<sup>26</sup> To assess their contributions, we have tracked all gas molecules in our simulations individually and analyzed their trajectories during the initial 1 ns, to determine the numbers of the different events. This allowed us to obtain the surface vs direct flux contributions. For He, we found that the total flux is dominated by the direct flux and the surface flux can be ignored (especially at high pore densities, see Figure 4-8b), due to the weak adsorption (as evidenced from Figure 4-5b and Figure 4-6b). Since the direct flux scales with the permeable area (which in turns scales with the pore density), one expects a net flux linear with the pore density or a constant per-pore flux, as seen in Figure 4-3 for He.

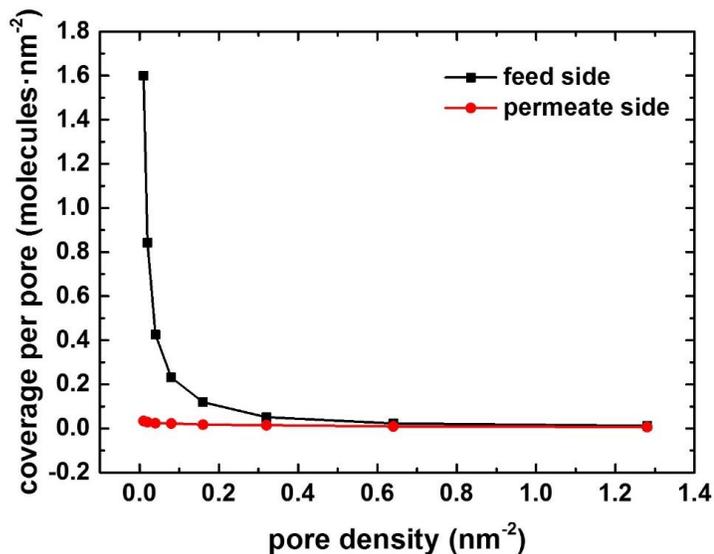
On the other hand, CO<sub>2</sub> shows a completely different behavior. We found that the CO<sub>2</sub> total flux per pore is dominated by the surface flux, as the direct flux is minor for all pore densities (Figure 4-8a), due to the strong adsorption (as evidenced from Figure 4-5a and Figure 4-6a). Since the surface flux is relevant to surface adsorption, we will discuss the effect of adsorption behavior below.



**Figure 4-8.** The surface flux and the direct flux of CO<sub>2</sub>, across graphene membranes of different pore densities. The values are from the trajectory analysis of all gas molecules during the initial 1 ns.

#### 4.4.4 The relationship between adsorption and surface flux for CO<sub>2</sub>

Figure 4-8 suggests that surface flux is the dominating part for CO<sub>2</sub>. To analyze the relationship between adsorption and surface flux per pore, we plot coverage per pore vs. pore density, as shown in Figure 4-9. Compared with the coverage per pore on feed side, the coverage per pore on permeate side can be ignored. The similar curve trends of coverage per pore on feed side and surface flux per pore in Figure 4-8 indicates a close relationship between them.



**Figure 4-9.** Coverage per pore of CO<sub>2</sub> on feed side and permeate side of the graphene membrane of different pore densities.

#### 4.4.5 Implications

The present work revealed some interesting trends of gas permeation across the porous graphene membranes of different pore densities. Our simulations showed that the higher the pore density, the greater the flux for both strongly and weakly adsorbing gases. This is expected. More important, we found that the adsorption on both sides of the membrane greatly modulates the dependence of the flux on the pore density for a strongly adsorbing gas such as CO<sub>2</sub>. The observation suggests that making the membranes asymmetric by creating dissimilar surfaces could lead to more interesting permeation behavior.

## 4.5 Summary and conclusions

We have investigated permeation of CO<sub>2</sub> and He through nanoporous graphene membranes with varying pore densities (from 0.01 nm<sup>-2</sup> to 1.28 nm<sup>-2</sup>) by using molecular dynamics (MD) simulations. Higher pore density will yield higher permeation rate for both CO<sub>2</sub> and He per unit area of membrane, but the increase rate decreases greatly for CO<sub>2</sub>. Separating the total permeation flux into direct flux and surface flux allowed us to find that He permeation is dominated by direct flux, leading to a relatively flat per-pore flux with the pore density. In contrast, CO<sub>2</sub> permeation is dominated by the surface flux. The per-pore flux of CO<sub>2</sub> decreases with the pore density overall, mainly due to the decrease of coverage per pore of the adsorbed CO<sub>2</sub> molecules on feed side with the increase of pore density. The present work provides insights into the pore-density dependence of gas permeation through a one-atom-thin membrane and also suggests new ways to improve the design of ultrathin membranes for gas separations.

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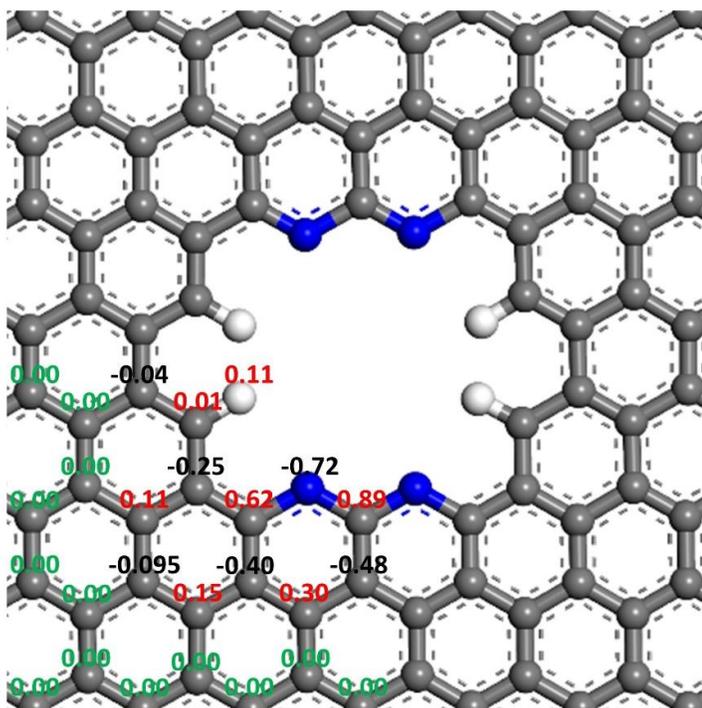
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## Supporting Information

### 1. The 4N4H pore structure



**Figure S4-1.** The 4N4H pore structure (carbon, grey; nitrogen, blue; hydrogen, white). The partial atomic charges used in our simulations are provided next to each atom. Due to the  $D_{2h}$  symmetry of the pore structure, only the atoms in the lower left part are labelled with their atomic charges.

## 2. Force field parameters

**Table S4-1.** Lennard-Jones parameters for the porous graphene (atomic charges are in Figure S4-1).

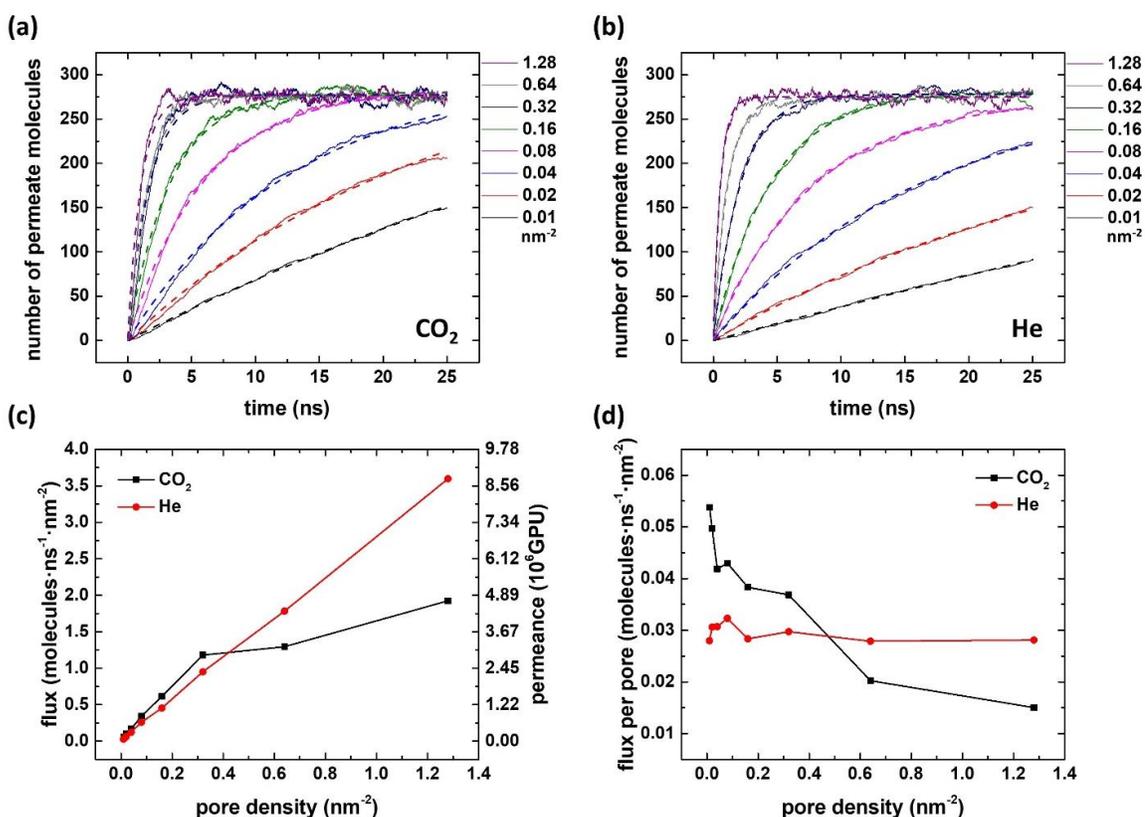
Porous graphene		
	$\epsilon$ (K)	$\sigma$ (Å)
C	28.0	3.40
N	85.6	3.25
H	15.1	2.42
bonds	length (Å)	
C-C	1.42	
C-N	1.42	
C-H	1.10	

**Table S4-2.** Force field parameters for gas molecules.

CO <sub>2</sub>			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
C	28.129	2.757	0.6512
O	80.507	3.033	-0.3256
bonds	length (Å)		
C-O	1.149		
He			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
He	10.956	2.641	0

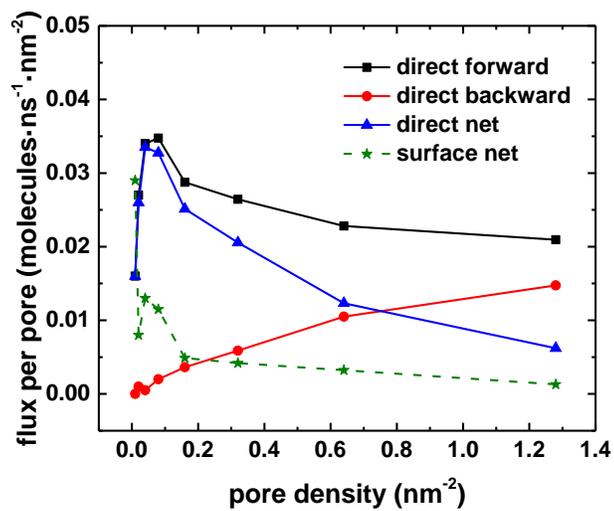
### 3. Exponential fitting

Instead of the linear fitting of the initial portion of the permeation number vs. time curves (Figure 4-2 in the text), here we use an exponential decay model  $y = a * (1 - e^{-\lambda t})$  to fit the whole curves (Figure S4-2a,b). For each curve, the fitting yields a  $\lambda$  value; the half-time,  $t_{1/2}$ , is simply  $\ln(2)/\lambda$  and the permeation rate is  $a/(2t_{1/2})$ . Then total flux and flux per pore can be obtained (Figure S4-2c,d). Compared with the linear fitting results (Figure 4-3 in the text), one can see that the two methods give very similar results.



**Figure S4-2.** The number of gas molecules permeating through the nanoporous graphene membrane with time for different pore densities (from 0.01 to 1.28 nm<sup>-2</sup>): (a) CO<sub>2</sub>; (b) He; Dashed lines represent the exponential decay model fitting. Flux vs pore density of graphene membranes for CO<sub>2</sub> and He permeation: (c) flux and permeance per unit membrane area; (d) flux per pore.

#### 4. Flux for He molecule



**Figure S4-3.** The forward, backward, and net direct fluxes, in comparison with the net surface flux of He, across graphene membranes of different pore densities.

## **Chapter 5. Continuously Tunable Pore Size for Gas Separation via A Bilayer Nanoporous Graphene Membrane**

### **5.1 Abstract**

Pore size is a crucial factor impacting gas separation, but it is difficult to control for a single-layer nanoporous graphene membrane. Here we propose a bilayer design of a nanoporous graphene membrane with a continuously tunable effective pore size, by shifting the lateral position of one graphene layer against the other. Molecular dynamics simulations of gas permeation reveal that selective separation of gases such as CO<sub>2</sub>, N<sub>2</sub>, and CH<sub>4</sub> of 3 to 4 Å in kinetic diameter can be achieved for a bilayer membrane from single-layer pores as large as 25 Å in size. Hence this bilayer design allows both great flexibility of pore sizes in a single layer graphene and continuous variation of the effective pore size at a sub-Å level.

### **5.2 Introduction**

Nanoporous graphene is an important size-selective membrane both conceptually and experimentally.<sup>1-3</sup> With the sub-nanometer pores, it can be used as a one-atom-thin molecular-sieving membrane for separations of gases,<sup>4-8</sup> ions,<sup>9-11</sup> water,<sup>12-14</sup> and isotopes.<sup>15-17</sup> Nanoporous graphene membrane can be also used for DNA sequencing.<sup>18-21</sup>

For gas separation, several factors can influence the performance of nanoporous

graphene membrane, including pore shape, pore size, pore density, and functionalization.<sup>22-</sup>

<sup>25</sup> The mechanisms of gas permeation through nanoporous graphene membranes with different pore sizes were explored from molecular simulations.<sup>24, 25</sup> Interesting proposals such as ion gating have been proposed to tune the pore size.<sup>26</sup> Experimentally, it is challenging to precisely control the pore size in a one-atom-thin membrane for gas separation.<sup>3, 27</sup> It is even harder to continuously tune the pore size because of the molecular construction forming the pores that varies non-continuously.<sup>25</sup>

To overcome the above challenges in pore control in 2D membranes, we propose a bilayer nanoporous graphene membrane with continuously tunable pore size. The key idea is to use the lateral shift of the two graphene layers (called offset in this work) to tune the effective pore size continuously. Although bilayer and multilayer membranes have been explored before for gas permeations,<sup>28, 29</sup> these graphene-oxide-based membranes have relative large interlayer spacing ( $\sim 10$  Å) and the main mechanism of permeance control is via modulation of the interlayer gas adsorption.<sup>28</sup> In contrast, the present work focuses on the effect of the offset in the bilayer graphene membrane on the molecular sieving of gases without any interlayer adsorption. We demonstrate the working of such an idea in selective gas permeation of CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> by using molecular dynamics simulations.

### **5.3 Computational Method**

MD simulations were performed with the LAMMPS package<sup>36</sup> in the canonical

(NVT) ensemble at 300 K controlled using the Nose-Hoover thermostat.<sup>37, 38</sup> The simulation box of  $10 \times 10 \times 20 \text{ nm}^3$  with periodic boundaries in the  $xy$  directions was divided into two chambers along the  $z$  direction by the bilayer nanoporous graphene membrane at  $z = 0$ : the upper chamber (the feed side) was pressurized at 10 bar, while the lower chamber (the permeate side) was vacuum initially. The bilayer nanoporous graphene membrane was fixed during the simulations. We chose a high initial pressure to accelerate our MD simulations, so that sufficient numbers of gas passing-through events could be observed in our simulation time.

The duration of each simulation was 25 ns. The feed pressure was initialized with a certain number of gas molecules in the upper chamber and then it would decrease as gas molecules begin to permeate through the membrane to the lower chamber. To determine gas permeance, we used the first 5-ns permeation data for flux analysis when the pressure difference across the membrane was roughly constant. We have previously shown that this simple approach gives similar permeances as an exponential fitting method.<sup>23</sup> We note that there is a more accurate approach to derive the permeance for the non-equilibrium system.<sup>25, 39, 40</sup> In addition, we are also exploring advanced simulation techniques that can maintain a constant pressure difference across the membrane.<sup>41</sup>

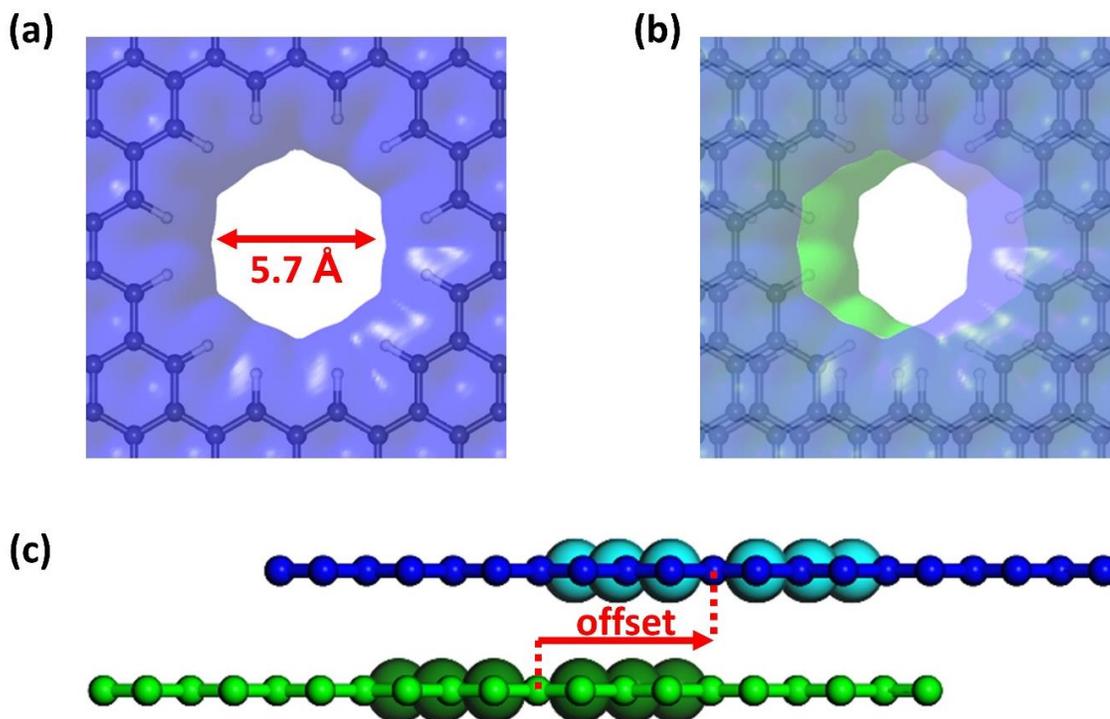
The partial atomic charges of nanoporous graphene were calculated by the Repeating Electrostatic Potential Extracted Atomic charges (REPEAT) method based on

DFT-derived electrostatic potential.<sup>42</sup> The Lennard-Jones (LJ) parameters of carbon atoms in nanoporous graphene sheet were 0.086 kcal/mol for  $\epsilon$  and 3.4 Å for  $\sigma$ , while the LJ parameters of hydrogen were 0.015 kcal/mol for  $\epsilon$  and 2.45 Å for  $\sigma$ .<sup>26</sup> The force field of gas molecular atoms were taken from previous studies.<sup>26, 43</sup> For CO<sub>2</sub> and N<sub>2</sub>, three-site models were adopted, and for methane, all-atom model was used (Table S5-1).<sup>26</sup> The LJ potential parameters were calculated by using Lorentz-Berthelot Combining Rules. The cutoff distance for Lennard-Jones and Coulombic potential was 12 Å. The long ranged electrostatic interaction was calculated using the PPPM method with a kspace slab correction.<sup>44-46</sup>

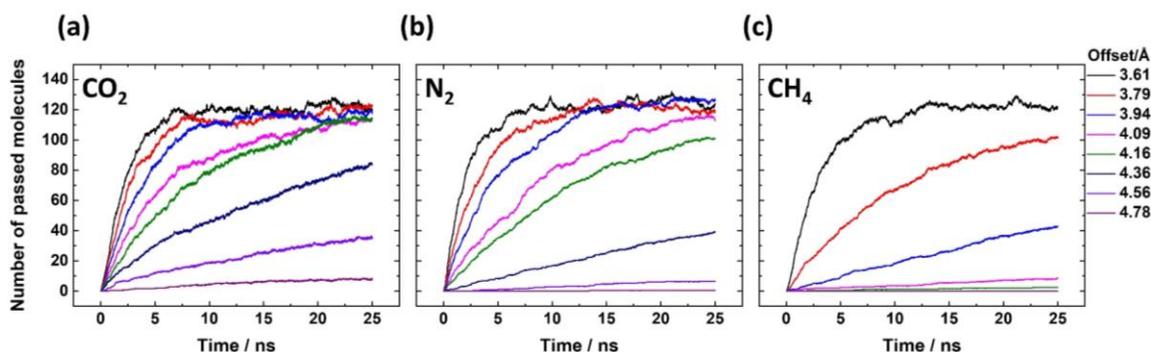
## 5.4 Results and discussion

### 5.4.1 Bilayer design and gas permeation

Since we are tuning the offset of two pores in two overlapping graphene layers, the single pore size can be flexible and large. To demonstrate our idea, we employed a pore with all-hydrogen termination and a size of 5.7 Å (Figure 5-1a). The distance between the two layers of graphene sheets was fixed at 3.4 Å, similar to the layer spacing in graphite,<sup>30</sup> so there is no adsorption between the two layers. Figure 5-1c shows how the offset, which represents the relative position of two layers of graphene sheet in a lateral direction, is continuously tuned. The effective pore size and shape through the bilayer graphene membrane due to the offset can be seen in Figure 5-1b (the white space).

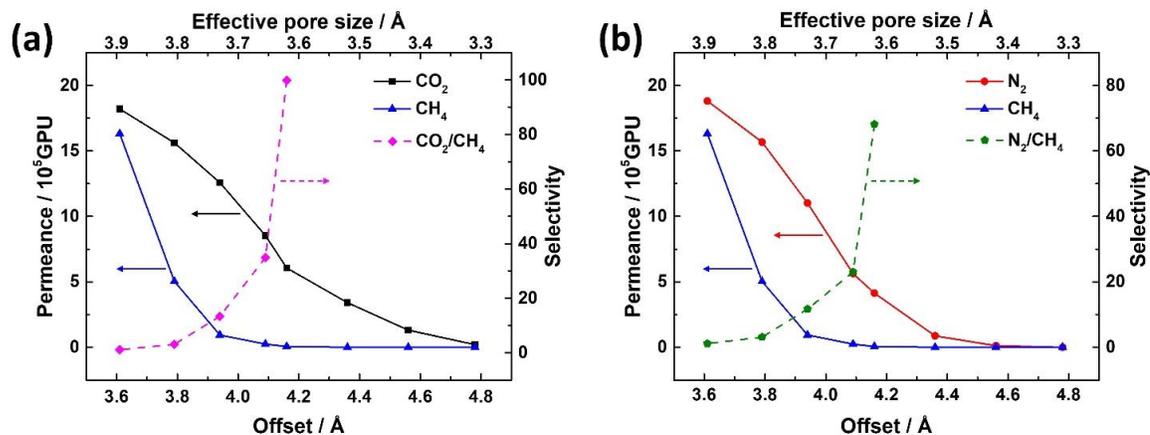


**Figure 5-1.** Construction of a porous bilayer graphene membrane: (a) the single-layer graphene with a relatively larger pore (5.7 Å in diameter) than the sizes of small gas molecules such as CO<sub>2</sub>; (b) the bilayer nanoporous graphene membrane from stacking two single-layer membranes from (a); (c) side view of the bilayer nanoporous graphene membrane. Isosurfaces of electron density are shown in (a) and (b) to define the pore shape; larger spheres in (c) indicate the pore rims.



**Figure 5-2.** The number of gas molecules passing through the bilayer nanoporous graphene membrane vs. time for different offset values between the two single-layer graphene membranes: (a) CO<sub>2</sub>, (b) N<sub>2</sub> and (c) CH<sub>4</sub>.

To simulate gas permeation through the bilayer membrane, classical molecular dynamics (CMD) simulations were performed via a bi-chamber setup with the membrane in the middle. The feed chamber was pressurized at 10atm initially, while the permeate side started with a vacuum. Since the pore in the single-layer graphene (Figure 5-1a) has a larger size than the kinetic diameters of many small gaseous molecules, such as CO<sub>2</sub>, N<sub>2</sub>, and CH<sub>4</sub>, the single layer porous graphene would not have any selectivity to separate these gases.<sup>26</sup> Hence, the bilayer membrane setup would provide a desirable test to determine whether selective gas permeation can be achieved. Figure 5-2 shows the number of molecules permeating through the bilayer membrane with time for the varying offset values or effective pore sizes. The trends are similar for the three gas molecules simulated. In each case, the number of pass-through gas molecules decreases with the increasing offset, due to the shrinking effective pore size. More interestingly, one can see that for the same offset (that is, same-colored curves in Figure 5-2), the decrease in the number of pass-through gas molecules is much greater for CH<sub>4</sub> than CO<sub>2</sub> and N<sub>2</sub>. In other words, at certain offsets, the bilayer graphene membrane began to show selective permeation of CO<sub>2</sub> and N<sub>2</sub> over CH<sub>4</sub>.



**Figure 5-3.** Permeance (left axis) and selectivity (right axis) as a function of the offset (bottom axis) or the effective pore size (top axis) in the bilayer nanoporous graphene membranes: (a)  $\text{CO}_2$  vs.  $\text{CH}_4$ ; (b)  $\text{N}_2$  vs.  $\text{CH}_4$ .

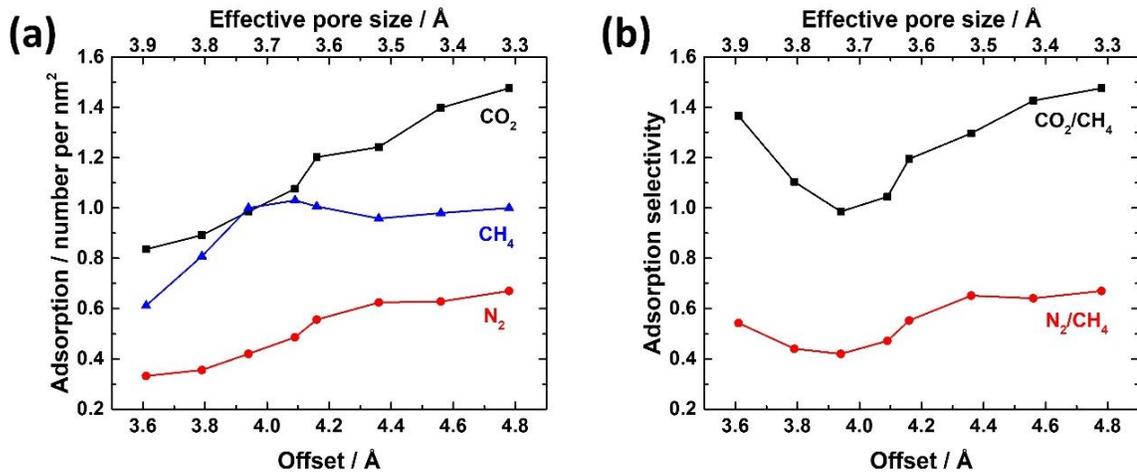
#### 5.4.2 Permeance and selectivity

To quantify the permeation rate and selectivity as a function of the offset, we chose the first 5-ns permeation data to calculate the permeance and selectivity. In addition, we also defined an effective pore size (see Figure S5-1 in SI) of the bilayer graphene membrane to better understand the control of the overlapping pore area relative to the sizes of the gas molecules. Figure 5-3a shows the permeances of  $\text{CO}_2$  and  $\text{CH}_4$  (two solid lines, left axis) and the  $\text{CO}_2/\text{CH}_4$  selectivity (the dashed line, right axis). We can see that the selectivity of  $\text{CO}_2/\text{CH}_4$  increases as the offset increases or the effective pore size decreases. When the effective pore size is about 3.6 Å, the selectivity achieves 100 with a  $\text{CO}_2$  permeance of  $6 \times 10^5$  GPU.

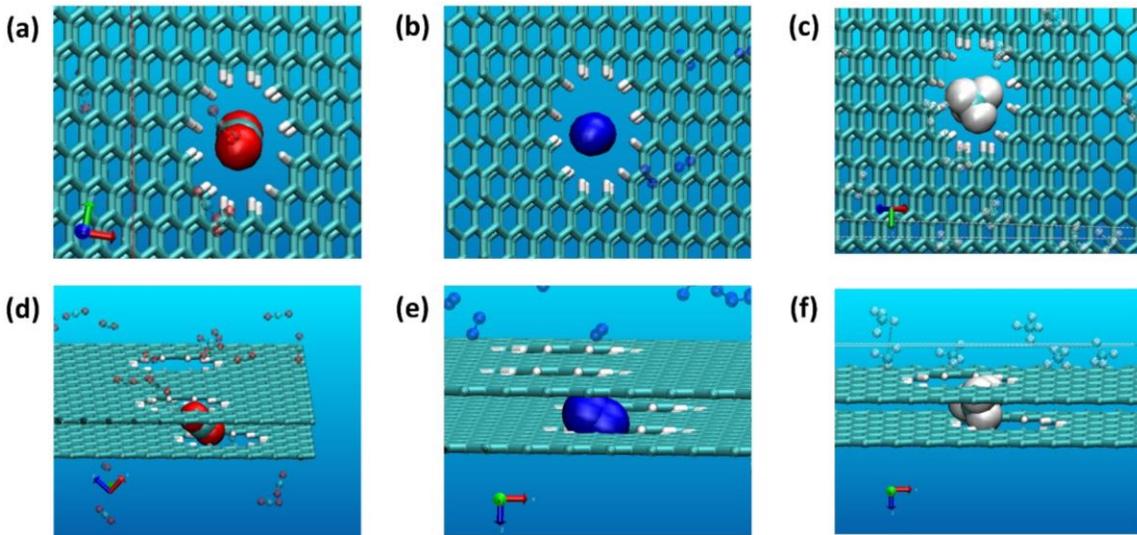
Further decrease of the effective pore size essentially blocks permeation of  $\text{CH}_4$  molecule, leading to much higher selectivity of  $\text{CO}_2/\text{CH}_4$ . Since too small an effective pore

size also causes the permeance of CO<sub>2</sub> to decrease, a trade-off between permeance and selectivity suggests that control of the effective pore size between 3.6 and 3.7 Å affords the optimal balance. Likewise for N<sub>2</sub> and CH<sub>4</sub>, as shown in Figure 5-3b, when the effective pore size is about 3.6 Å, the selectivity of N<sub>2</sub>/CH<sub>4</sub> reaches to 68 while N<sub>2</sub> permeance remains 4×10<sup>5</sup> GPU. Hence, Figure 5-3 has convincingly shown that the effective pore size through a bilayer porous graphene membrane can be continuously tuned for selective gas permeation.

We examined the contributions of adsorption and diffusion to the selectivity. Figure 5-4a shows the coverages of gas molecules on the feed side as a function of the effective pore size, while Figure 5-4b shows the adsorption selectivity. One can see that adsorption selectivity of CO<sub>2</sub>/CH<sub>4</sub> varies between 1 and 1.5, while that of N<sub>2</sub>/CH<sub>4</sub> between 0.4 and 0.7. In other words, the contribution of adsorption to the high selectivities shown in Figure 5-3 is negligible, so the diffusion selectivity is the key factor. Figure 5-4b also shows that adsorption selectivities of N<sub>2</sub>/CH<sub>4</sub> and CO<sub>2</sub>/CH<sub>4</sub> have a minimum at offset ~ 4.0 Å. This can be understood from Figure 5-4a: both CO<sub>2</sub> and N<sub>2</sub> adsorption amounts increase with the offset, but CH<sub>4</sub> adsorption amount has a maximum at offset ~ 4.0 Å, so the adsorption selectivities of N<sub>2</sub>/CH<sub>4</sub> and CO<sub>2</sub>/CH<sub>4</sub> as a ratio of the adsorption amount show a minimum at offset ~ 4.0 Å.



**Figure 5-4.** Adsorption amount of CO<sub>2</sub>, N<sub>2</sub> and CH<sub>4</sub> on the feed side (a) and adsorption selectivities of CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> (b) as a function of the offset (bottom axis) or the effective pore size (top axis) in the bilayer nanoporous graphene membranes.



**Figure 5-5.** Snapshots of passing-through events of gas molecules: (a) and (d) CO<sub>2</sub>; (b) and (e) N<sub>2</sub>; (c) and (f) CH<sub>4</sub>. Upper pane, top view; lower panel, side view.

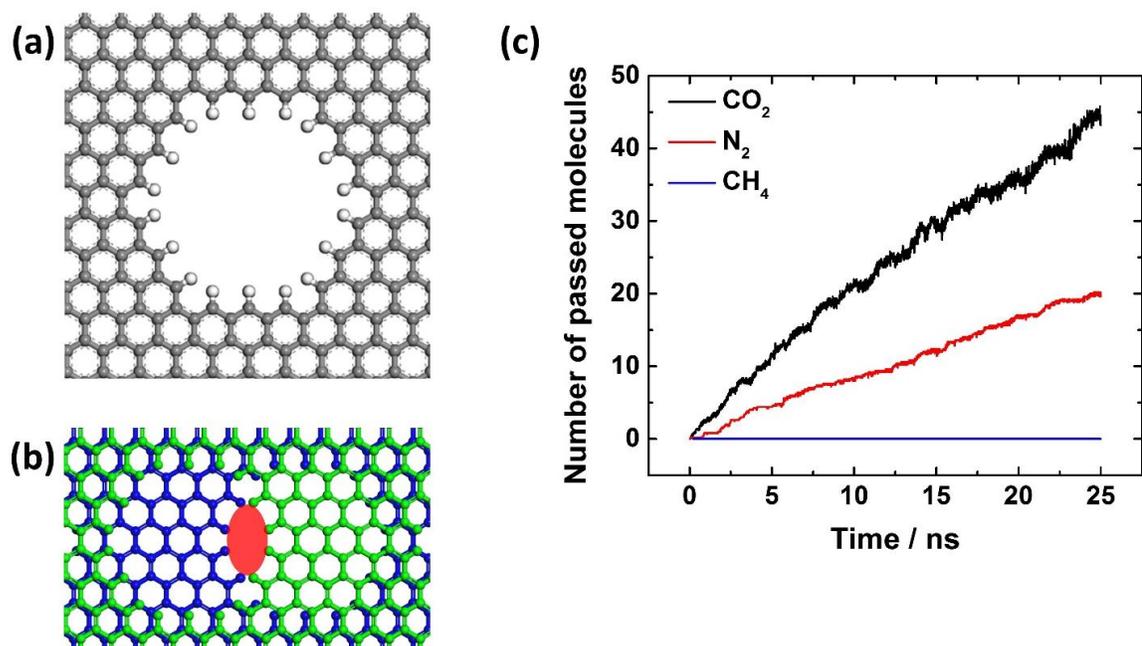
As previously used for understanding gas permeation through the microporous carbon molecular sieve membrane,<sup>31, 32</sup> the diffusion selectivity can be decomposed into

energetic selectivity and entropic selectivity.<sup>33</sup> Due to their smaller sizes,<sup>34</sup> CO<sub>2</sub> and N<sub>2</sub> diffusions are favored energetically (that is, they have lower diffusion barriers) than CH<sub>4</sub>. Moreover, they are also favored in the entropic selectivity which is related to the partition functions in both the adsorbed state before permeation and the transition state in the pore.<sup>33</sup> For the linear gas molecules such as CO<sub>2</sub> and N<sub>2</sub>, they pass along the pore channel by orienting their molecular axis along the line connecting the two pore centers, as shown in Figure 5-5a,d for CO<sub>2</sub> and Figure 5-5b,e for N<sub>2</sub>. In contrast, the CH<sub>4</sub> molecule is more tightly held in the transition state (Figure 5-5c,f).

#### 5.4.3 Entropic effect

Although CH<sub>4</sub> is more spherical, its kinetic diameter is larger, so it can only struggle through the nanopore and will lose all three rotational degrees of freedom in the transition state. On the other hand, the linear molecules such as N<sub>2</sub> and CO<sub>2</sub> are thinner than CH<sub>4</sub>. So, they still keep one of their two rotational degree of freedom in the transition state. The elliptic-cylinder shape of the composite pore of the bilayer membrane also facilitates the rotation of N<sub>2</sub> and CO<sub>2</sub>, leading to higher entropy selectivity (more degrees of freedom at the transition state, leading to a lower free energy of permeation). O<sub>2</sub> is even thinner than N<sub>2</sub>, so when the pore size is controlled properly to be between the kinetic diameters of O<sub>2</sub> and N<sub>2</sub>, N<sub>2</sub> will lose all two rotational degrees of freedom, while O<sub>2</sub> can maintain one, leading to a higher O<sub>2</sub>/N<sub>2</sub> selectivity due to the entropy different at the transition state.<sup>33</sup>

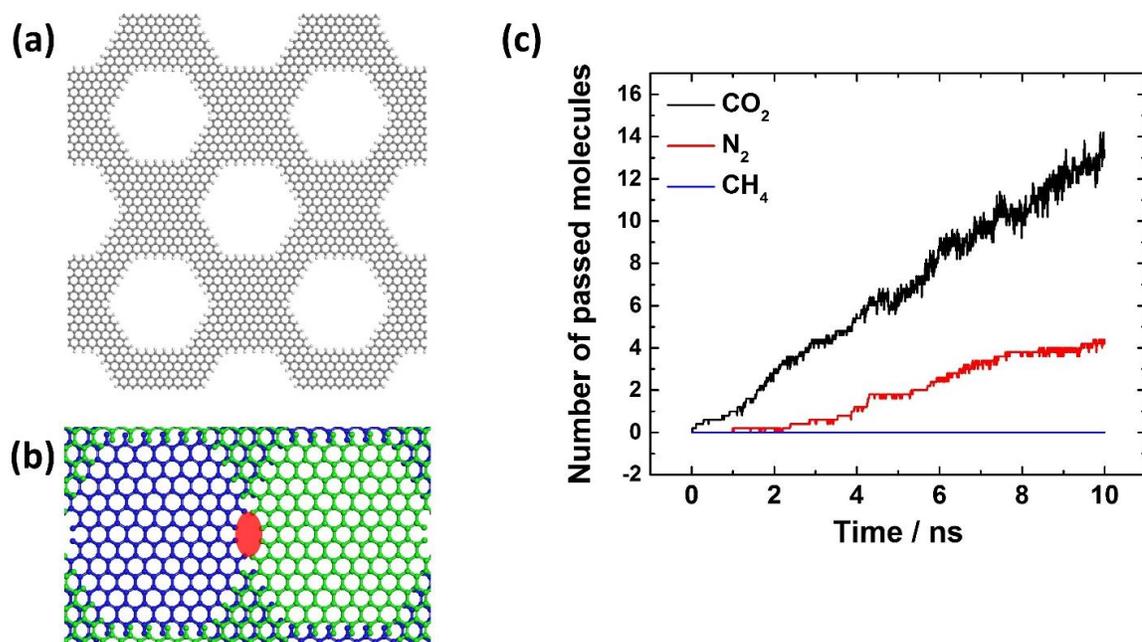
There are both similarities and differences regarding the mechanism of selective gas separation between the single-layer graphene and the bilayer graphene. Gas permeation through both types of membranes involve adsorption and diffusion and the selectivity is mainly dictated by the (effective) pore size. But the difference in pore shape does have a significant impact on permselectivity: the single-layer porous graphene has a disk-like pore shape, while the bilayer porous graphene with a small effective pore size has an elliptic-cylinder shape. This difference in the pore shape has an impact on the motion of the gas molecule in the transition state. This entropic-selectivity difference will be fully addressed in next chapter.



**Figure 5-6.** Bilayer membranes with pore size of 10.4 Å. (a) Structure of the graphene sheet with pore size of 10.4 Å; (b) the bilayer graphene membrane from two single-layer membranes from (a) at an offset of 9.3 Å (red oval represents the effective pore dimensions, ~3.6 Å in width); (c) permeation of CO<sub>2</sub>, N<sub>2</sub> and CH<sub>4</sub> with time through the bilayer graphene membrane in (b).

#### 5.4.4 Bilayer membranes with larger pores in the single layer

Having demonstrated that selective gas separation can be achieved for a bilayer graphene membrane with a pore size of 5.7 Å in a single graphene layer, we next explore the flexibility in the pore size. In other words, we want to show that the continuously tunable effective pore size can be achieved for offsetting very large pores in a bilayer graphene membrane. We first tested a pore size of 10.4 Å (Figure 5-6a) and tuned the offset such that the effective pore size is about 3.6 Å (Figure 5-6b). As shown in Figure 5-6c, highly selective CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> permeation can be seen. We further tested a pore as large as 25.2 Å (Figure 5-7a), which is in the mesopore range. Again, at the effective pore size of 3.6 Å (Figure 5-7b), selective CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> permeations can be achieved (Figure 5-7c).



**Figure 5-7.** Bilayer membranes with pore size of 25.2 Å. (a) Structure of the graphene sheet with pore size of 25.2 Å; (b) the bilayer graphene membrane from two single-layer membranes from (a) at an offset of 24.0 Å (red oval represents the effective pore dimensions; ~3.6 Å in width); (c) permeation of CO<sub>2</sub>, N<sub>2</sub> and CH<sub>4</sub> with time through the bilayer graphene membrane in (b).

We further estimated the gas permeances for the two bilayer porous graphene membranes. For the membrane in Figure 5-6, average permeances are  $1.18 \times 10^5$  GPU for CO<sub>2</sub> and  $0.47 \times 10^5$  GPU for N<sub>2</sub>. For the membrane in Figure 5-7, average permeances are  $0.67 \times 10^5$  GPU for CO<sub>2</sub> and  $0.13 \times 10^5$  GPU for N<sub>2</sub>. For CH<sub>4</sub>, no permeation through these two bilayer porous graphene membranes has been observed in the simulation time scale, so the permeances of CH<sub>4</sub> are too slow to allow a reliable determination of the very high selectivities of CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> in these two membranes.

#### 5.4.5 Implications

In current work, we considered only the overlapping of two single-layer pores to form a single composite pore in the bilayer membrane. For large-scale bilayer membranes, there would be many such composite pores and it would be challenging to maintain uniform offsets among the different composite pores. To alleviate this difficulty, we think that the key is to make sure that the single-layer porous graphene membrane has an ordered array of the same-sized pores as in a two-dimensional covalent-organic framework. It is encouraging that great progress toward the bottom-up synthesis of such porous graphene has been made recently.<sup>27</sup>

To experimentally realize the desired offset of one graphene layer against the other,

it would be challenging to have just only one bilayer membrane and then try to vary its offset. Instead, we suggest that one prepare many parallel, random samples of porous graphene bilayer membranes stabilized by the van der Waals interaction and with different offsets and then transfer them on a porous support (such as carbon) for characterization of the offset and test of membrane performance. To prepare such bilayer membranes, we suggest two strategies. First, one can simply stack one porous-graphene layer to another one. Recently, great progress has been made in bottom-up synthesis of single-layer porous graphene.<sup>27</sup> The second strategy is to fold a porous single-layer porous graphene into a bilayer. Ruoff and coworkers reported the folded graphene film by using a tailored substrate having a hydrophobic region and a hydrophilic region.<sup>35</sup>

## 5.5 Summary and conclusions

In summary, we have designed bilayer nanoporous graphene membranes with continuously tunable effective pore size. Using classical molecular dynamics (MD) simulations, we showed that the effective pore size can be tuned in the bilayer membranes to achieve selective CO<sub>2</sub>/CH<sub>4</sub> and N<sub>2</sub>/CH<sub>4</sub> separations while CO<sub>2</sub> or N<sub>2</sub> permeance remains on the order of 10<sup>5</sup> GPU. We further showed that the bilayer membrane can utilize pores as large as 25.2 Å in the single layer to achieve selective gas separations.

Compared with single-layer porous graphene, the bilayer porous graphene makes the effective pore size continuously tunable, allows the use of much larger graphene pores,

and creates a unique and variable pore shape that allows one to explore more permeation mechanisms such as entropic selectivity in addition to molecular sieving that dominates the permeation through the single-layer porous graphene. Hence, our work suggests a promising direction to achieve advanced control in pore sizes and permeation mechanisms for selective gas separations via the ultrathin membranes.

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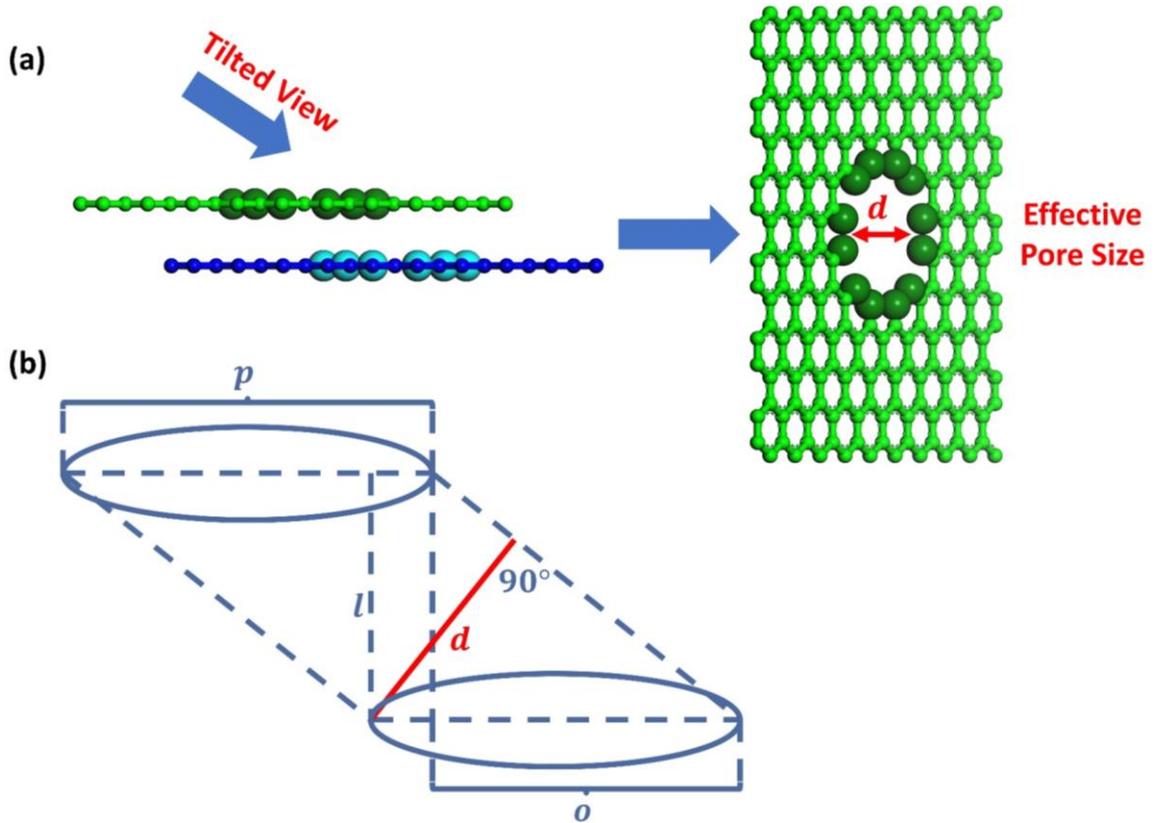
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## Supporting Information

**Table S5-1.** Force field parameters for gas molecules

CO <sub>2</sub>			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
C	28.129	2.757	0.6512
O	80.507	3.033	-0.3256
bonds	length (Å)		
C-O	1.149		
N <sub>2</sub>			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
N	36.4	3.318	-0.4048
COM	0	0	0.8096
bonds	length (Å)		
N-N	1.098		
CH <sub>4</sub>			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
C	33.23	3.500	-0.2400
H	15.1	2.500	0.0600
bonds	length (Å)		
C-H	1.087		

### Definition of effective pore size



**Figure S5-1.** (a) Side view and tilted view of bilayer nanoporous graphene membrane; (b) Schematic of cross section of pore (5.7 Å).

As shown in Figure S5-1a, if we look at the membrane in tilted view angle, the short shaft of the elliptical pore is defined as effective pore size, which can be calculated by mathematic formula. Figure S5-1b is a schematic of cross section of the pore, the effective pore size ( $d$ ) can be expressed as:

$$d = \frac{l}{\sqrt{l^2 + o^2}} \times p$$

where  $l$  is the interlayer distance,  $o$  is the offset,  $p$  is the original pore size. When  $l$

and  $p$  are fixed, the offset is the only parameter affecting effective pore size. Table S5-2 shows eight numbers of offset used in this work and corresponding effective pore sizes.

**Table S5-2.** Eight numbers of offset used in this work and corresponding effective pore sizes.

$p = 5.7 \text{ \AA}, l = 3.4 \text{ \AA}$								
$o/\text{\AA}$	3.61	3.79	3.94	4.09	4.16	4.36	4.56	4.78
$d/\text{\AA}$	3.90	3.80	3.72	3.64	3.60	3.50	3.40	3.30

## **Chapter 6. Entropic Selectivity in Air Separation via a Bilayer Nanoporous Graphene Membrane**

### **6.1 Abstract**

Membranes are an energy-efficient technology for air separation, but it is difficult to control the pore size to separate  $N_2$  and  $O_2$  due to their similar kinetic diameters. Here we demonstrate from molecular dynamics simulations that a bilayer nanoporous graphene membrane with continuously tunable pore sizes by the offset between the two graphene layers can achieve  $O_2/N_2$  selectivity up to 26 with a permeance over  $10^5$  GPU. We find that the entropic selectivity is the main reason behind the high selectivity via the tumbling movement of the skinnier and shorter  $O_2$  molecules entering and passing through the elliptic-cylinder-shaped nanopore of the bilayer membrane. Such motion is absent in the single-layer graphene membrane with a similar-sized and similar-shaped pore which yields an  $O_2/N_2$  selectivity of only 6 via molecular sieving alone. Hence the bilayer nanoporous graphene membrane provides a novel way to enhance entropic selectivity for gas separation via control of both the pore size and the 3D pore shape.

### **6.2 Introduction**

Pure oxygen is widely used in medical, chemical, and material applications. Pure nitrogen is also important as a feedstock in ammonia synthesis, an inert atmosphere, or a

coolant. Hence, air separation is paramount for many industrial and medical uses. Conventionally, large-scale oxygen/nitrogen production by air separation is performed by the energy-intensive cryogenic distillation process.<sup>1-3</sup> For small to medium scales, other technologies such as pressure-swing-adsorption and membranes are also employed.<sup>4-8</sup>

Membrane technologies can provide a more energy-efficient alternative for air separation.<sup>4, 8</sup> An increasing number of membrane materials have been synthesized, such as polymers, zeolites, inorganic ceramic materials, carbon molecular sieves (CMS), and metal-organic frameworks (MOFs).<sup>9-14</sup> There is a well-known trade-off between membrane selectivity and membrane permeability, called the Robeson upper bound.<sup>10, 15, 16</sup> To surpass the upper bound, various approaches have been suggested for next-generation molecularly selective synthetic membranes, for example, using advanced semi-rigid polymers, hybrid materials, or scalable molecular sieves.<sup>14</sup>

One-atom-thin membranes such as porous graphene offers an attractive feature of ultra-high permeance and selectivity for gas separations based on molecule sieving.<sup>17-22</sup> However, due to the similar kinetic diameters of N<sub>2</sub> (3.64 Å) and O<sub>2</sub> (3.46 Å) and the discontinuously varying pore size on the graphene sheet, high O<sub>2</sub>/N<sub>2</sub> selectivity is hard to achieve via the monolayer nanoporous graphene membranes. It is well known that the kinetic selectivity favors O<sub>2</sub> over N<sub>2</sub> in carbon molecular sieves via the ultra-micropore windows.<sup>10</sup> The selectivity has been attributed to an entropic factor that favors a smaller

molecule at the transition state, while the diffusion motion of the larger molecule is hindered.

Given the increasing interest in graphene and other 2D membranes for molecular and ion transport,<sup>23, 24</sup> we wonder if the entropic selectivity of O<sub>2</sub>/N<sub>2</sub> can be achieved by a nanoporous graphene membrane. To address this question, we perform classical molecular dynamics (CMD) simulations and show that high nanoporous entropic selectivity of O<sub>2</sub>/N<sub>2</sub> can be achieved via O<sub>2</sub> tumbling through a bilayer nanoporous graphene membrane. Below we first explain our simulation methods and then show our membrane design and performance. This is followed by the explanation and analysis of the selectivity mechanism and then the implications of our results and main conclusions.

### 6.3 Computational Method

Classical molecular dynamics (CMD) simulations were performed with the LAMMPS package<sup>25</sup> in the canonical (NVT) ensemble at 300 K via the Nose-Hoover thermostat.<sup>26, 27</sup> The simulation box of 10×10×20 nm<sup>3</sup> with periodic boundaries in the *xy* directions was divided into two chambers along the *z* direction by the bilayer nanoporous graphene membrane at *z* = 0: the feed side was pressurized at 10 bar, while the permeate side was vacuum initially. Single-component or pure-gas permeance was simulated; the feed pressure was initialized by randomly placing a certain number of pure gas molecules in the feed side to yield a pressure of 10 bar according to the ideal-gas law. The duration

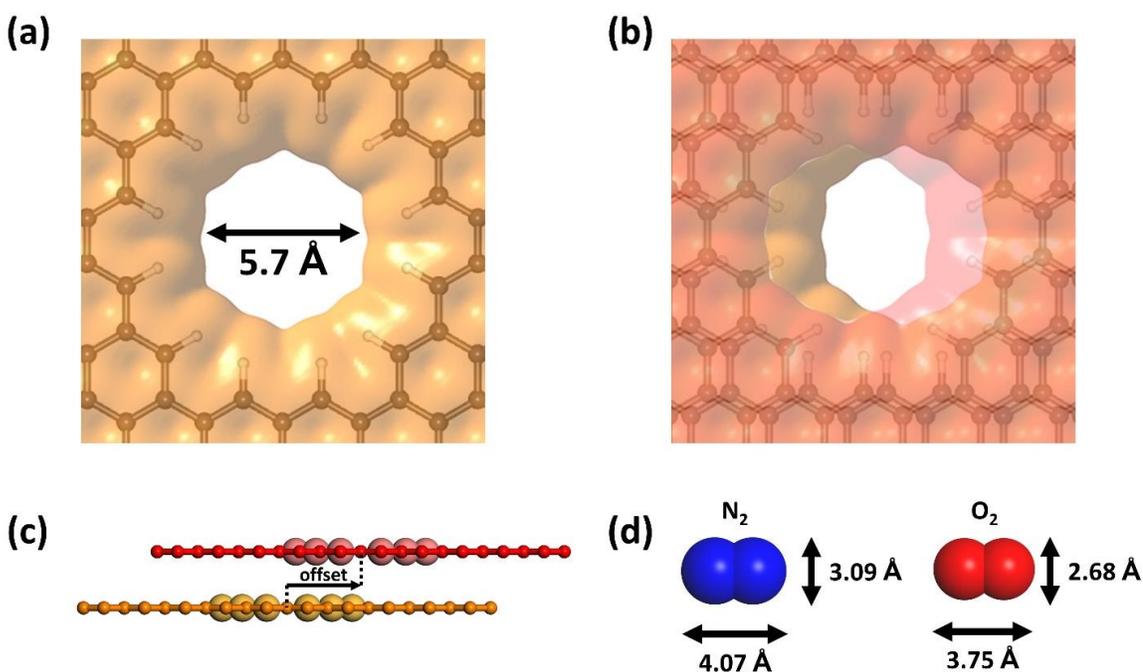
of each simulation was 10 ns; the number of molecules in the permeate side, as the number of molecules crossed net that of crossed back, was counted every 10 ps. The bilayer nanoporous graphene membrane was fixed during the simulations. The force-field parameters for the membrane (consisting of carbon and hydrogen atoms only) were given in ESI. For O<sub>2</sub> and N<sub>2</sub>, three-site models were adopted.<sup>28</sup> The LJ potential parameters were calculated by using Lorentz-Berthelot Combining Rules. The cutoff distance for Lennard-Jones and Coulombic potential was 12 Å. The long ranged electrostatic interaction was calculated using the PPPM method with a k-space slab correction.<sup>29-31</sup> Free-energy profiles were calculated via the umbrella sampling method implemented in the PLUMED tool.<sup>32</sup> The weighted histogram analysis method (WHAM) was applied to reconstruct the free energy profile.<sup>33</sup>

## 6.4 Results and discussion

### 6.4.1 Membrane setup

Bilayer nanoporous graphene membranes provide an effective way to continuously tune the pore size at sub-angstrom resolution via the offset between the two one-atom-thin membranes.<sup>34</sup> It also offers a knob to control the diffusion path through the bilayer membrane. Figure 6-1a shows the single-layer porous graphene used to build the bilayer. It has an all-hydrogen-terminated pore of 5.7 Å in size which is much greater than the sizes of O<sub>2</sub> and N<sub>2</sub> (Figure 6-1d). So the single-layer membrane would not have any O<sub>2</sub>/N<sub>2</sub>

selectivity (that is, it is close to 1). The bilayer membrane is then constructed with an interlayer spacing of 3.4 Å (similar that in graphite) between the two porous single-layer graphene membranes (Figure 6-1b), so there is no adsorption between the two layers (the accessible pore size in between the layers is hence zero). The offset (Figure 6-1c) can be tuned to control the effective pore size of the bilayer membrane for gas permeation.

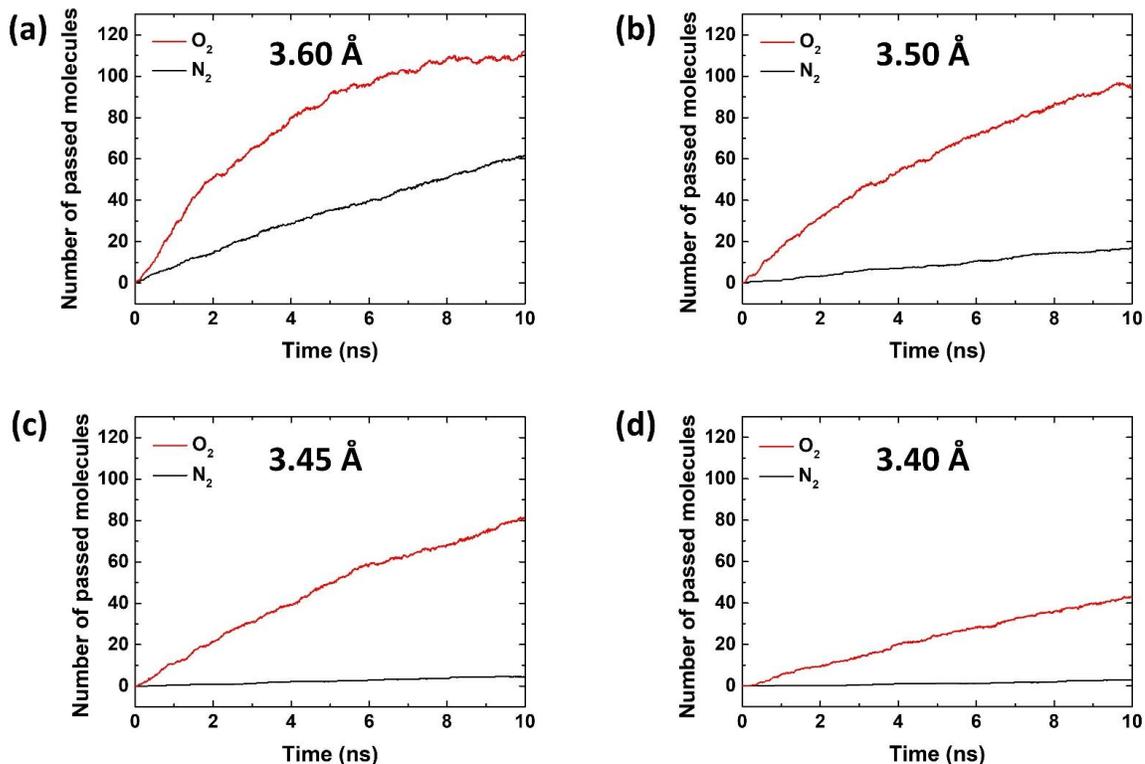


**Figure 6-1.** Construction of a porous bilayer graphene membrane: (a) Single-layer graphene pore; (b) bilayer nanoporous graphene membrane; (c) side view of the bilayer nanoporous graphene membrane with an offset of the two pore centers (the larger spheres indicate the pore rims); (d) molecular sizes of nitrogen and oxygen. In (a) and (b), the pore shapes and sizes are estimated by the isosurfaces of electron density at  $0.0004 e/a_0^3$ .

#### 6.4.2 O<sub>2</sub>/N<sub>2</sub> permeation through the bilayer membrane

The bilayer membrane was then placed in the middle of a bi-chamber system where the upper chamber was pressurized at 10 bar with either O<sub>2</sub> or N<sub>2</sub> and the lower was vacuum.

Then classical molecular dynamics simulations were performed to follow the trajectories of the gas molecules. Figure 6-2 shows the number of gas molecules ( $\text{N}_2$  or  $\text{O}_2$ ) permeating through the bilayer membrane with time for different effective pore sizes. The effective size is determined by a simple analytical relationship with the offset (Figure S6-1; ESI). When the effective pore size is 3.60 Å (Figure 6-2a), both of  $\text{N}_2$  and  $\text{O}_2$  have high permeances. When the effective pore size decreases to 3.50 Å (Figure 6-2b),  $\text{N}_2$  permeance is significantly reduced while  $\text{O}_2$  permeance decrease only slightly, indicating an increase in  $\text{O}_2/\text{N}_2$  selectivity. When the effective pore size further decreases to 3.45 Å (Figure 6-2c),  $\text{N}_2$  permeance is greatly reduced, because now the pore size is much less than the  $\text{N}_2$  kinetic diameter (3.64 Å) but still comparable to  $\text{O}_2$  kinetic diameter (3.46 Å). When the effective pore size further decreases to 3.40 Å (Figure 6-2d), now  $\text{O}_2$  permeance is also greatly reduced. Figure 6-2 suggests that an effective pore size of 3.45 Å would be optimal for  $\text{O}_2/\text{N}_2$  selectivity and  $\text{O}_2$  permeance.

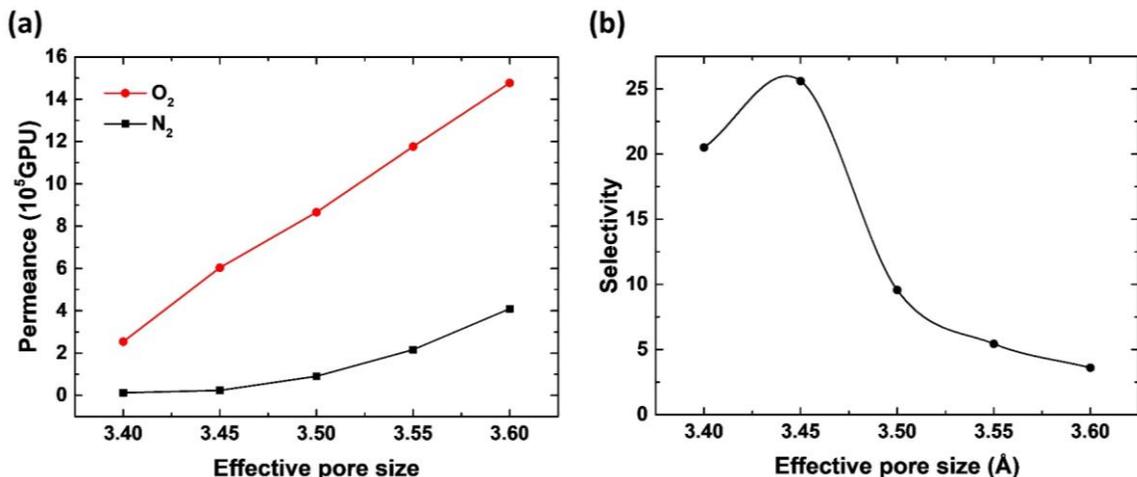


**Figure 6-2.** The numbers of gas molecules passed through the bilayer nanoporous graphene membranes with different effective pore sizes: (a) 3.60 Å; (b) 3.50 Å; (c) 3.45 Å; (d) 3.40 Å.

### 6.4.3 O<sub>2</sub>/N<sub>2</sub> selectivity

To analyze the relationship between the O<sub>2</sub>/N<sub>2</sub> selectivity and the effective pore size, we first fitted the curves in Figure 6-2 via an exponential model,  $y = a * (1 - e^{-\lambda t})$ ,<sup>35</sup> to obtain the fitting parameter  $a$  and  $\lambda$  whose product is proportional to the permeance. Figure 6-3 shows how O<sub>2</sub> and N<sub>2</sub> permeances and O<sub>2</sub>/N<sub>2</sub> permselectivity vary with the effective pore size. One can see that the effective pore size of 3.45 Å affords the highest selectivity of 25.6, while the O<sub>2</sub> permeance is at  $5 \times 10^5$  GPU (gas permeation unit). For comparison, carbon molecular sieve (CMS) membranes show O<sub>2</sub>/N<sub>2</sub> selectivity of 8 – 25.<sup>13</sup>

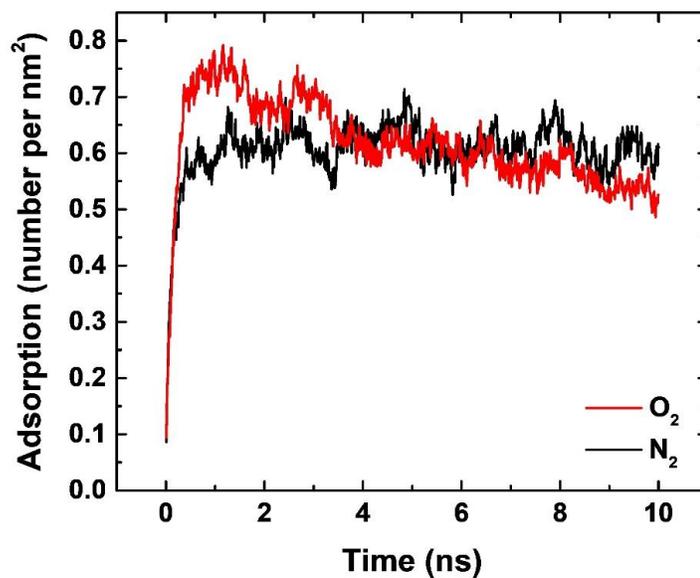
<sup>36</sup> So the bilayer nanoporous graphene membrane with the optimal effective pore size rivals the best CMS membranes in terms of O<sub>2</sub>/N<sub>2</sub> selectivity.



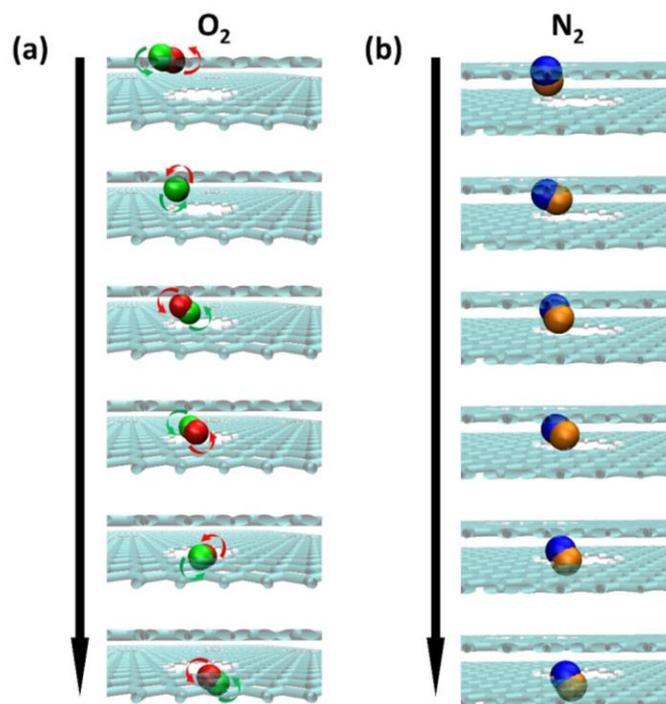
**Figure 6-3.** O<sub>2</sub> and N<sub>2</sub> permeances (a) and O<sub>2</sub>/N<sub>2</sub> permselectivity (b) of the bilayer nanoporous graphene membranes with different effective pore sizes.

#### 6.4.4 Mechanism of O<sub>2</sub>/N<sub>2</sub> separation

For ultrathin membranes such as porous graphene, gas permeation usually consists of two main steps: adsorption on the surface of the feed side and diffusion across the membrane.<sup>14, 37</sup> To determine which step dictates the selectivity, we analyze them separately. Figure 6-4 shows that the coverages of N<sub>2</sub> and O<sub>2</sub> on the feed side with time for the bilayer membrane with the optimal effective pore size of 3.45 Å. One can see that both gases reach their maximum coverages very quickly (< 1 ns). Then O<sub>2</sub> coverage slightly decreases, while N<sub>2</sub> coverage fluctuates. After 4 ns, O<sub>2</sub> and N<sub>2</sub> coverages are close to each other and in fact N<sub>2</sub> coverage is slightly higher, so the adsorption selectivity is close 1, which means that O<sub>2</sub>/N<sub>2</sub> permselectivity is dictated by the diffusion process.

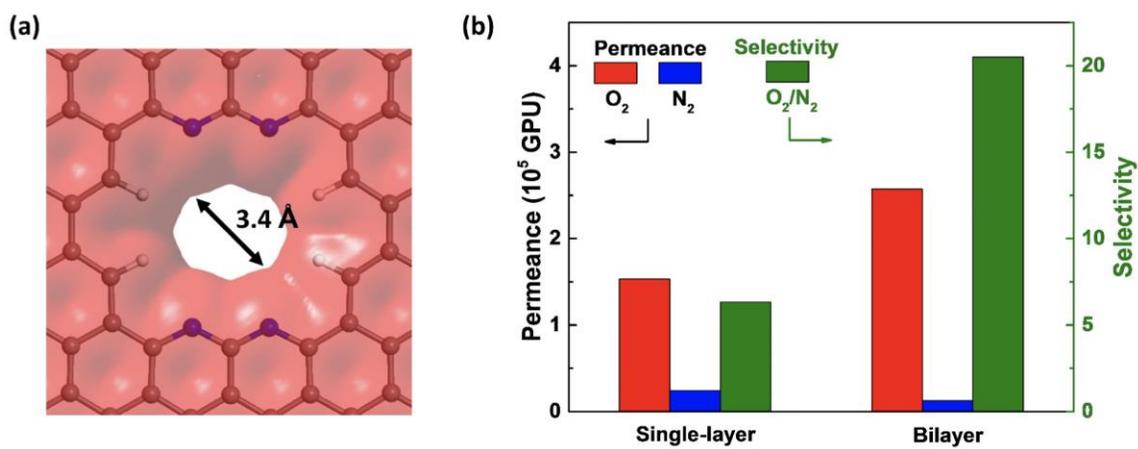


**Figure 6-4.** Adsorption amount on the feed site of the bilayer nanoporous graphene membrane (effective pore size at 3.45 Å) with time.



**Figure 6-5.** Snapshots of (a) O<sub>2</sub> and (b) N<sub>2</sub> passing through bilayer nanoporous graphene membrane with effective pore size of 3.45 Å.

To understand how the bilayer membrane modulates the diffusion process and hence yields the high  $O_2/N_2$  selectivity, we tracked and analyzed the motions of  $O_2$  and  $N_2$  molecules passing through the membrane. As shown in Figure 6-5, the skinnier and shorter  $O_2$  molecule tumbles through the elliptical-shaped nanopore (Figure 6-5a), while the longer and fatter  $N_2$  molecule wiggles through the nanopore with the rotational degrees of freedom hindered (Figure 6-5b). Hence the unique elliptical-shaped nanopore in the bilayer graphene greatly facilitates the tumbling of  $O_2$ .



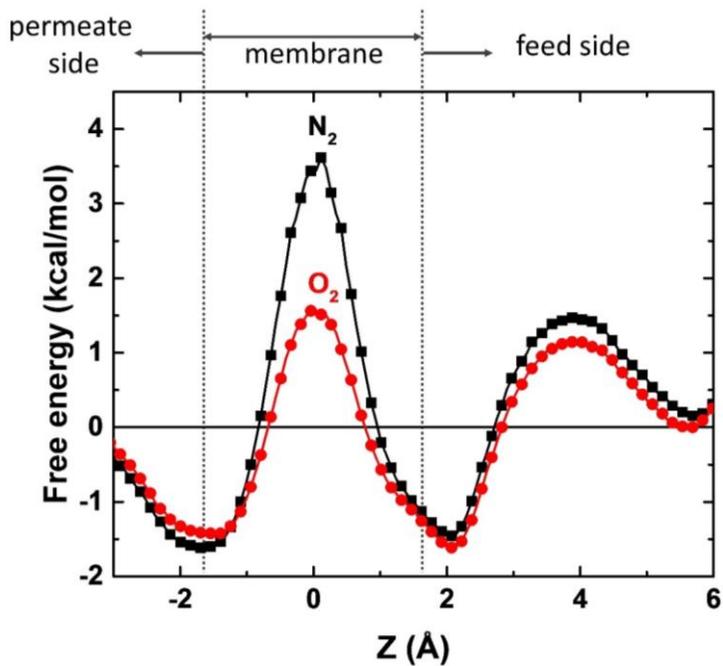
**Figure 6-6.** Comparison between single-layer and bilayer graphene membrane. (a) A single-layer porous graphene membrane with a pore of an elliptical shape and an average pore size of 3.4 Å. (b) Comparison of  $O_2$  and  $N_2$  permeances as well as  $O_2/N_2$  selectivity between a single-layer graphene with a pore size of 3.4 Å and the bilayer graphene membrane with an effective pore size of 3.4 Å.

To further show that the distinct difference of this bilayer-graphene nanopore from the single-layer graphene pore, we also simulated  $O_2/N_2$  separation through a single-layer graphene pore with an elliptic shape and an average pore size of 3.4 Å (Figure 6-6a). As shown in Figure 6-6b, in comparison with the performances of the bilayer graphene

membrane of the similar pore size, the O<sub>2</sub> permeance becomes lower while the N<sub>2</sub> permeance becomes higher through the single-layer membrane, leading to a lower O<sub>2</sub>/N<sub>2</sub> selectivity of 7. Further analysis of the trajectories confirmed that there is no tumbling motion for either O<sub>2</sub> or N<sub>2</sub> in passing through the single-layer membrane. In other words, the size-sieving effect of the single-layer membrane achieves O<sub>2</sub>/N<sub>2</sub> selectivity of 7, while the additional entropic effect via the tumbling of the O<sub>2</sub> molecule through the bilayer membrane increases the selectivity by two times.

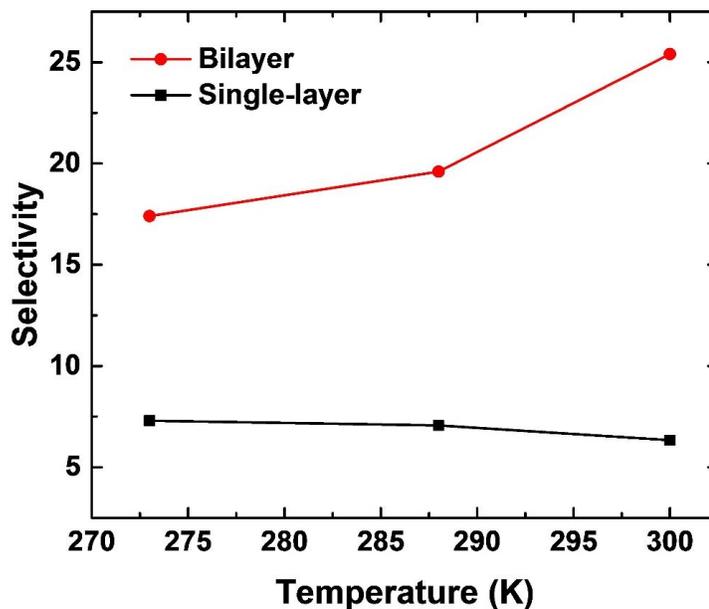
Figures 6-5 and 6-6 clearly indicate that it is the entropic effect that yields the high O<sub>2</sub>/N<sub>2</sub> selectivity through the bilayer graphene membrane, due to the extra unconstrained rotational degrees of freedom that O<sub>2</sub> enjoys at the transition state. To quantify this entropic difference, the umbrella sampling method was applied to determine the free-energy profiles of permeation for N<sub>2</sub> and O<sub>2</sub> through the bilayer membrane (Figure 6-6). The two porous graphene layers are at  $z = \pm 1.7 \text{ \AA}$ . For both O<sub>2</sub> and N<sub>2</sub>, the transition state is at the middle of the bilayer ( $z = 0$ ), with the free energy barrier of 5.08 kcal/mol for N<sub>2</sub> permeation and 3.18 kcal/mol for O<sub>2</sub>. Then, we apply the transition-state theory. The free-energy-barrier difference would yield an O<sub>2</sub>/N<sub>2</sub> selectivity of 24.5, which is consistent with the selectivity of 25.6 from CMD simulations. The 1.9 kcal/mol difference between N<sub>2</sub> and O<sub>2</sub> at the transition state should mainly result from the entropy contribution, because a simple estimate of the entropy difference from the partition functions would yield a contribution

to the free energy at 1.7 kcal/mol at room temperature (see ESI).



**Figure 6-7.** Free energy profiles of gas-permeation through the bilayer nanoporous graphene membrane (effective pore size at 3.45 Å) for N<sub>2</sub> and O<sub>2</sub>.

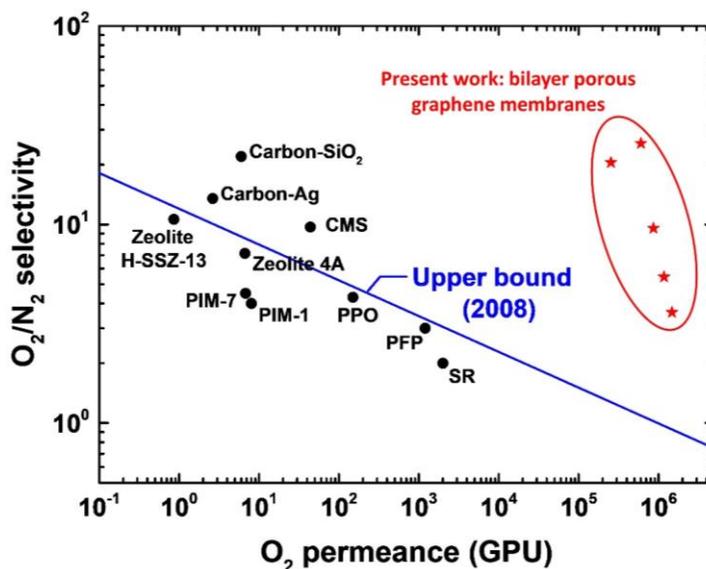
To further show the favorable entropic selectivity in the bilayer graphene membrane, we simulated the temperature effect on the selectivity whereby a more favorable entropy contribution would yield a higher selectivity at a higher temperature. As shown in Figure 6-8, the O<sub>2</sub>/N<sub>2</sub> selectivity through the bilayer membrane increases from 273 K to 300K, while it stays about the same for the single-layer membrane during the same temperature range.



**Figure 6-8.** Change of O<sub>2</sub>/N<sub>2</sub> selectivity with temperature for single-layer and bilayer nanoporous graphene membranes.

#### 6.4.5 Comparison of air-separation performances with available materials

Although our bilayer porous graphene membranes are still a design concept to be realized experimentally, it is still informative to compare with available materials in the literature for their air-separation performances, to show the distinctive features of the bilayer porous graphene membranes. Figure 6-9 shows that the bilayer porous graphene membranes have much higher permeances because of their sub-nanometer thickness, way beyond the upper bound.<sup>16</sup> More important, by obtaining the entropic selectivity via controlling the effective pore size, the bilayer porous graphene membranes can achieve higher O<sub>2</sub>/N<sub>2</sub> selectivity, rivaling those of carbon-based mixed matrix membranes and carbon-molecular-sieve (CMS) membranes.



**Figure 6-9.** Comparison of bilayer porous graphene membranes to other membranes for  $O_2/N_2$  separation.<sup>8, 38</sup> The upper bound for polymer membranes is plotted with an assumed 1  $\mu\text{m}$ -thick selective layer.<sup>38</sup> CMS: carbon molecular sieve; PPO: poly(phenylene oxide); PFP: perfluoropolymer; SR: silicone rubber.

The bilayer design is not limited to graphene membranes and could also be extended to 2D covalent-organic frameworks (COFs) and MOFs. To experimentally realize such design, we propose to first prepare a single-layer membrane with uniform pore sizes. There has been great progress recently in bottom-up synthesis of single-layer porous graphene.<sup>39</sup> More excitingly, 2D COFs<sup>40</sup> and MOFs<sup>41, 42</sup> have also been created by exfoliation. Once such a 2D membrane with uniform pores is available, one can stack such two layers together randomly to create the bilayer membranes with different offsets, or one can fold it into a bilayer, as experimentally demonstrated recently.<sup>43</sup>

## 6.5 Summary and conclusions

In summary, we have demonstrated by classical molecular dynamics simulations that bilayer nanoporous graphene membranes with a proper effective pore size can achieve an O<sub>2</sub>/N<sub>2</sub> selectivity as high as 26, while maintaining an O<sub>2</sub> permeance above 10<sup>5</sup> GPU. By tracking the trajectories of gas-permeation events, we found that the high O<sub>2</sub>/N<sub>2</sub> permselectivity is mainly contributed by the extra tumbling motion of O<sub>2</sub> molecules through the elliptical-shaped pore. Both transition-state theory analysis, simulated free-energy profiles, and temperature-dependent permeation confirm this entropic contribution to the selectivity. Our work hence shows that the bilayer nanoporous graphene membrane is effective for air separation and could be potentially useful for other separations by enhancing entropic selectivity.

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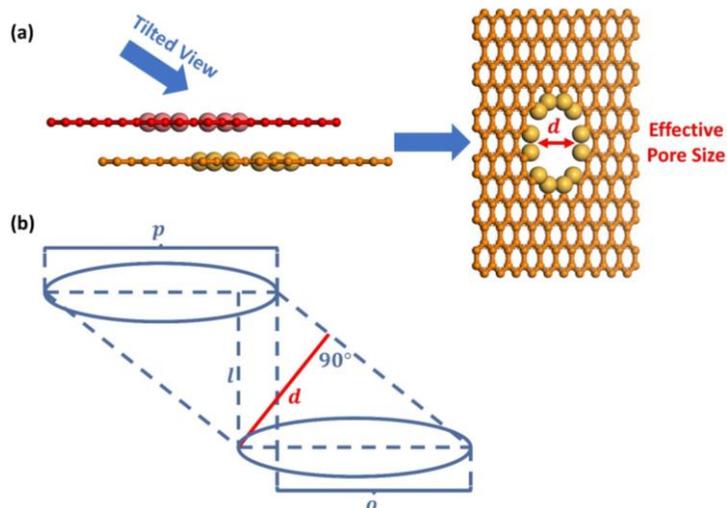
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## Supporting Information

### 1. Definition of the effective pore size ( $d$ )



**Figure S6-1.** (a) Side view and tilted view of bilayer nanoporous graphene membrane; (b) Schematic of cross section of pore (5.7 Å).

$$d = \frac{l}{\sqrt{l^2 + o^2}} \times p$$

$l$ : the interlayer distance;

$o$ : is the offset

$p$ : single-layer pore size.

**Table S6-1.** Five numbers of offset used in this work and corresponding effective pore sizes.

$p = 5.7 \text{ \AA}, l = 3.4 \text{ \AA}$					
$o/\text{\AA}$	4.16	4.26	4.36	4.46	4.56
$d/\text{\AA}$	3.60	3.55	3.50	3.45	3.40

## 2. Calculation of entropic selectivity

According to transition state theory of diffusion,<sup>1, 2</sup> the O<sub>2</sub>/N<sub>2</sub> entropic diffusion selectivity can be written as:

$$\left(\frac{D_{O_2}}{D_{N_2}}\right)_{entropic} = \exp\left(\frac{S_{D,O_2} - S_{D,N_2}}{R}\right) = \frac{(F^\ddagger/F)_{O_2}}{(F^\ddagger/F)_{N_2}}$$

where  $D$  is diffusion,  $S$  is entropy,  $F$  is partition function for normal state, and  $F^\ddagger$  is partition function for transition state. The partition function includes translational, rotational, and vibrational contributions, as shown below:

$$F = F_{trans} \cdot F_{rot} \cdot F_{vib}, \quad F_{trans} = \left(\frac{2\pi mkT}{h^2}\right)^{n/2} a^n, \quad F_{rot} = \left(\frac{T}{\sigma\theta_r}\right)^{n/2}, \quad F_{vib} = \left[\frac{\exp\left(-\frac{\theta_v}{2T}\right)}{1 - \exp\left(-\frac{\theta_v}{T}\right)}\right]^n$$

where  $n$  is degree of freedom,  $m$  is mass of molecule,  $k$  is Boltzmann constant,  $h$  is Planck constant,  $a$  is cavity length (which is the difference between gas molecular width and the elliptical pore size<sup>2</sup>),  $\sigma$  is symmetry number of gas molecule,  $\theta_r$  is characteristic rotational temperature,  $\theta_v$  is characteristic vibrational temperature. In the transition state, all two rotational degrees of freedom of N<sub>2</sub> is suppressed, while O<sub>2</sub> still keeps one unconstrained rotational degrees of freedom. And in the transition state, both of N<sub>2</sub> and O<sub>2</sub> are believed to only have two translational degrees of freedom, since the factor  $\frac{kT}{h}$  accounts for the translational degree of freedom in the direction of gas diffusion. The vibrational degrees of freedom of N<sub>2</sub> and O<sub>2</sub> are unrestricted in both normal and transitional state.<sup>1</sup> Thus, the vibrational partition functions are cancelled out. Table S6-2 shows the

parameters used in calculations. According to these functions and parameters, the O<sub>2</sub>/N<sub>2</sub> entropy difference is 5.67 cal/K and entropic diffusion selectivity is 17.3 at 300 K.

Table S6-2. Parameters used in entropic selectivity calculation when effective pore size of 3.45 Å<sup>1,2</sup>

	O <sub>2</sub>	N <sub>2</sub>
$a$ for normal state / Å	100	100
$a$ for transition state / Å	0.77 for short axis 2.85 for long axis	0.36 for short axis 2.01 for long axis
$\sigma$	2	2
$\theta_r$	2.07	2.88

### 3. Force field parameters

#### 3.1. Gas molecules

Table S6-3. Force field parameters for gas molecules<sup>3</sup>

O <sub>2</sub>			
	$\epsilon$ (kcal/mol)	$\sigma$ (Å)	q (e)
O	0.108	3.050	-0.1120
COM	0	0	0.2240
bonds	length (Å)		
O-O	1.21		
N <sub>2</sub>			
	$\epsilon$ (K)	$\sigma$ (Å)	q (e)
N	0.0728	3.318	-0.4048
COM	0	0	0.8096
bonds	length (Å)		
N-N	1.098		

#### 3.2. Porous graphene

Table S6-4. Lennard-Jones parameters for the bilayer porous graphene membrane<sup>4</sup>

	$\epsilon$ (kcal/mol)	$\sigma$ (Å)
C	0.086	3.400
H	0.015	2.450

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## **Chapter 7. Highly Selective CO<sub>2</sub> Separation via Graphene/Ionic-Liquid Composites**

### **7.1 Abstract**

Pore size is a crucial factor impacting gas separation in porous separation materials, but how to control the pore size to optimize the separation performance remains a challenge. Here we propose a design of graphene/ionic-liquid (GIL) composites with tunable slit pore size where cation and anions are intercalated between graphene layers. By varying the sizes of the ions, we show from first-principles density function theory calculations that the accessible pore size can be tuned from 3.4 Å to 6.0 Å. Grand canonical Monte Carlo simulations of gas uptakes find that the composite materials possess high CO<sub>2</sub> uptakes at room temperature and 1 bar. Further simulations of adsorption of gas mixtures reveals that high CO<sub>2</sub>/N<sub>2</sub> and CO<sub>2</sub>/CH<sub>4</sub> adsorption selectivities can be obtained when the accessible pore size is < 5 Å. This work suggests a new strategy to achieve tunable pore size via the graphene/IL composites for highly selective CO<sub>2</sub>/N<sub>2</sub> and CO<sub>2</sub>/CH<sub>4</sub> adsorption.

### **7.2 Introduction**

Increasing demand for energy has been driving the combustion of fossil fuel in the past century. Consequently, rising CO<sub>2</sub> levels in the atmosphere has led to climate change. Developing advanced materials and technologies is key to energy-efficient carbon capture

and sequestration (CCS) which has garnered interest of many researchers.<sup>1-3</sup> Sorbents and membranes are two common types of materials for carbon capture.

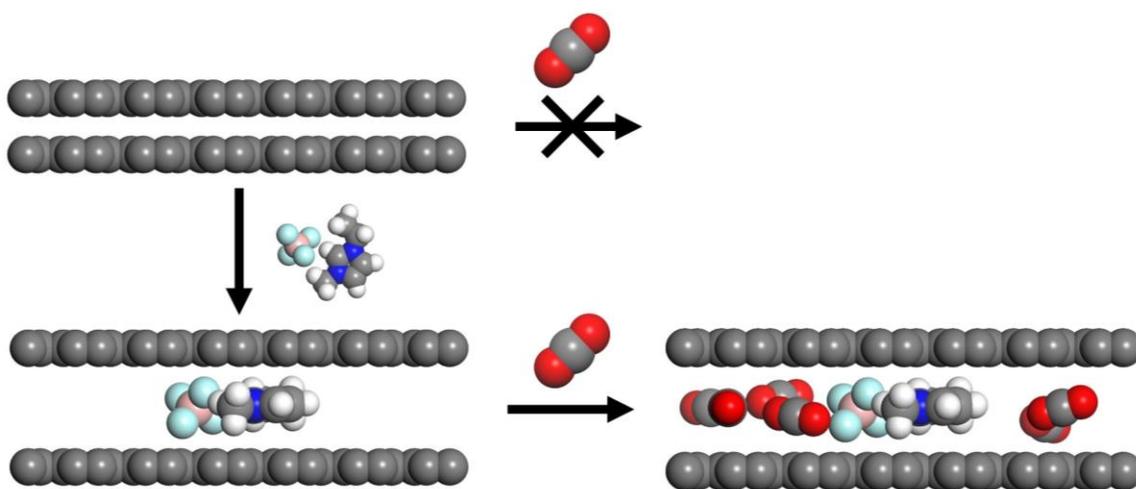
Porous materials are the most widely studied system for CO<sub>2</sub> separation.<sup>4</sup> Metal-organic frameworks (MOFs) have shown excellent performance as CO<sub>2</sub> sorbents.<sup>5</sup> For example, at 303 K and 0.15 bar, Mg-MOF-74 achieves CO<sub>2</sub> uptake of 5.9 mmol/g and CO<sub>2</sub>/N<sub>2</sub> selectivity of 44.<sup>6</sup> Other porous materials such as covalent-organic frameworks, conventional zeolites, porous carbons, and porous organic polymers have also been explored for carbon capture.<sup>7-10</sup>

The pore size of porous materials is an important factor for molecule adsorption and separation.<sup>11</sup> For example, the SIFSIX-3-Zn MOF with a pore size of 3.84 Å shows prominent CO<sub>2</sub> uptakes, while SIFSIX-3-Cu with smaller pore size of 3.5 Å possesses even higher CO<sub>2</sub> uptakes.<sup>12</sup> Based on the idea of the overlapping of potential energy surfaces, the optimal accessible pore size for achieving high uptake and selectivity of CO<sub>2</sub> separation has been shown to be ~3.6 Å.<sup>11</sup> The pore size of SIFSIX-3-Cu is right in this optimal range.

The tremendous advances in 2D materials in the past 15 years prompted the question how one can leverage them to create tunable spaces for carbon capture and storage. One idea is to use pillars and layer-by-layer assembly to create a composite system with tunable interlayer spacing and amply empty space. Due to their non-volatile nature and great tunability,<sup>13</sup> ionic liquids can be the perfect candidate for the pillars. The interface of

an ionic liquid with a 2D material has been previously explored for membrane gas separation and water/ion separation.<sup>14</sup> Here we think that there is a great opportunity in creating the composites of 2D materials and ionic liquids as adsorbents for CO<sub>2</sub> separation by controlling the accessible pore size.

Using graphene as our 2D material of choice, Figure 7-1 shows the schematic of our design. The interlayer distance of multilayer graphene as in graphite is just 3.4 Å, so the accessible pore size is zero and there is no space for gas sorption. After inserting an ionic liquid in between graphene layers, the interlayer space could be opened up for gas uptake. By choosing different ionic liquids, the slit pore size could be tuned to achieve highest uptake and/or selectivity. To demonstrate this proof-of-concept, we use both first-principles density function theory calculations (to determine the slit pore size) and grand canonical Monte Carlo simulations (to obtain gas-adsorption isotherms and selectivity).



**Figure 7-1.** Schematic of the design of a composite material of graphene and an ionic liquid for gas adsorption. Ionic liquid is used to as a pillar to open up the space between graphene layers.

### 7.3 Computational Method

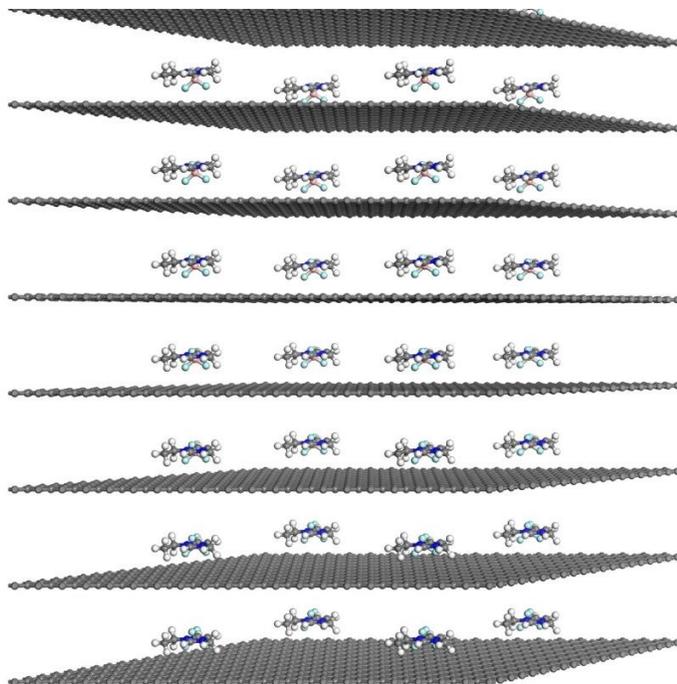
Density function theory (DFT) calculations were performed using the Vienna Ab initio Simulation Package (VASP).<sup>15-17</sup> The generalized gradient approximation (GGA) of Perdew-Burke-Ernzerhof (PBE) was used for electron exchange-correlation.<sup>18</sup> The D3 correction method of Grimme et al. was used for the van der Waals interaction.<sup>19</sup> The projector-augmented wave (PAW) method was used to describe the electron-core interaction.<sup>20</sup> The cutoff energy of 450 eV was applied for the plane-wave basis set. A hexagonal lateral cell of  $24.6 \times 24.6 \text{ \AA}^2$  with a  $c$  parameter (varying from 7 to 10  $\text{\AA}$ ) was used to simulate the composite material (including a graphene layer and a pair of cation and anion). A  $1 \times 1 \times 6$  Monkhorst-Pack k-point grid was chosen for sampling the Brillouin zone. The convergence threshold for geometry relaxation was set to 0.025 eV/ $\text{\AA}$  in force.

The grand canonical Monte Carlo (GCMC) method at constant chemical potential  $\mu$ , volume  $V$ , and temperature  $T$  was used to simulate the uptakes of pure gases and selectivities of mixed gases at close to the experimental conditions. The periodic boundary conditions in all three dimensions and the generic Dreiding force-field parameters were used.<sup>21</sup> The cutoff of the interatomic interactions was 12.5  $\text{\AA}$ . The temperature was fixed at 298 K. All GCMC simulations ran  $10^7$  steps.

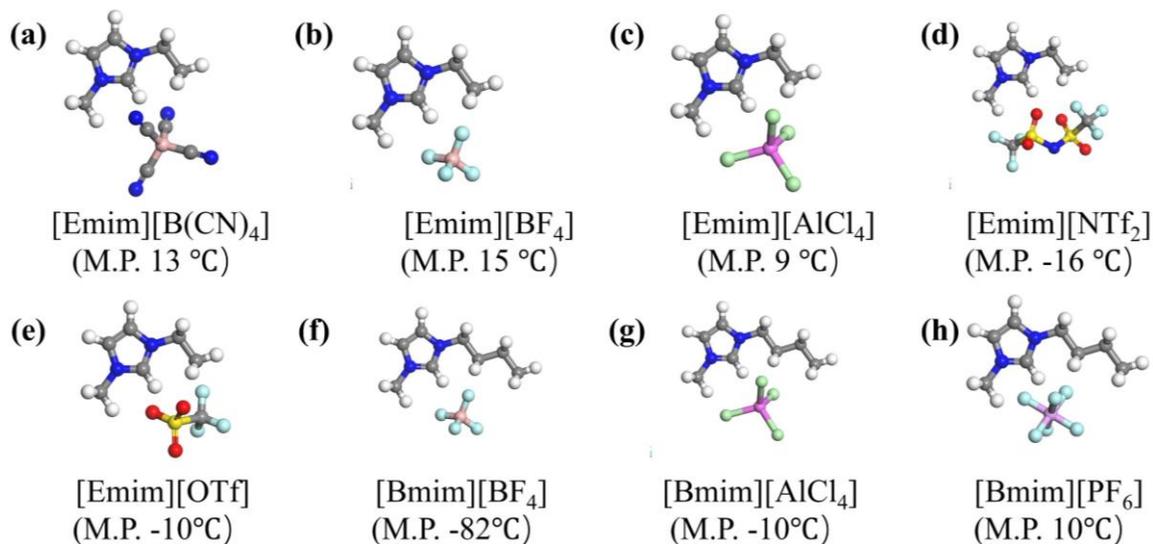
## 7.4 Results and discussion

### 7.4.1 Composite design and control of the pore size

Figure 7-2 shows the composite material with a layer-by-layer stacked structure of an ionic liquid pillar in between graphene layers. By varying the cation and anions of the room-temperature ionic liquids (Figure 7-3), one can control the size of the slit-like pores.



**Figure 7-2.** Construction of graphene/IL composites via a layer-by-layer structure (coverage: 0.19 ion pair per  $\text{nm}^2$ ).



**Figure 7-3.** Eight room-temperature ionic liquids in our work and their melting points.

To determine the pore size for the different ionic liquid pillars, we optimized the structure of the composite material by DFT-D3 calculations. After optimization, the graphene is still quite flat and only slightly distorted around the ionic liquid. The optimized *c* parameter represents the interlayer distance between adjacent two layers of graphene. Subtracting the vdW diameter of a carbon atom (3.4 Å; namely, the thickness of the wall), one obtains the accessible pore size. As shown in Table 7-1, the pore sizes are mainly determined by the anion because the two imidazolium cations are similar in size lying flat between graphene layers. As the anion varies from [BF<sub>4</sub>] to [B(CN)<sub>4</sub>], the interlayer distance increases from 6.8 to 9.4 Å while the accessible pore size from 3.4 to 6.0 Å.

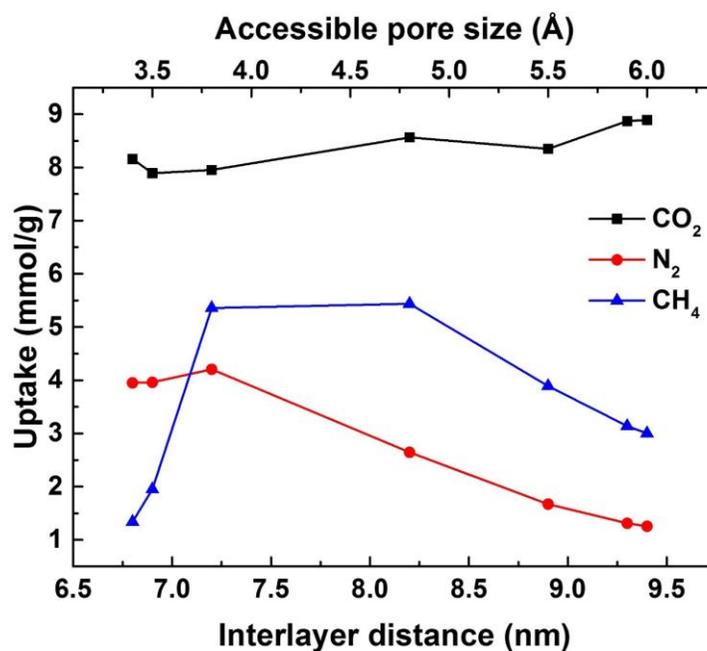
**Table 7-1.** The interlayer distance (D) and accessible pore size ( $\sigma$ ) of the graphene/ionic-liquid (IL) composite for different ionic liquids (see Figure 7-3 for their molecular structures).

IL	[Emim][BF <sub>4</sub> ]	[Bmim][BF <sub>4</sub> ]	[Bmim][PF <sub>6</sub> ]	[Emim][OTf]
D (Å)	6.8	6.9	7.2	8.2
$\sigma$ (Å)	3.4	3.5	3.8	4.8
IL	[Emim][NTf <sub>2</sub> ]	[Emim][AlCl <sub>4</sub> ]	[Bmim][AlCl <sub>4</sub> ]	[Emim][B(CN) <sub>4</sub> ]
D (Å)	8.9	9.3	9.3	9.4
$\sigma$ (Å)	5.5	5.9	5.9	6.0

#### 7.4.2 GCMC simulations of pure gas uptakes

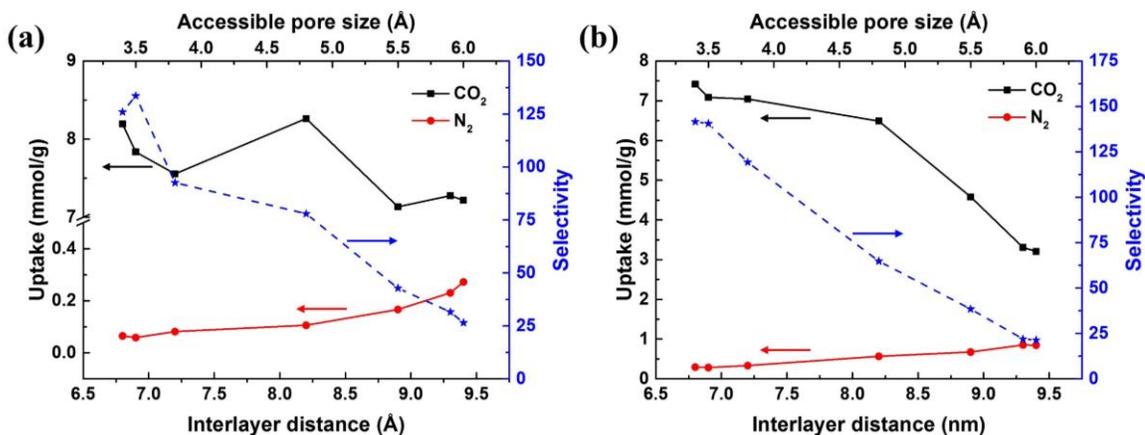
We simulated pure-gas uptakes of CO<sub>2</sub>, N<sub>2</sub>, and CH<sub>4</sub> at 1 bar and 298 K. As shown in Figure 7-4, CO<sub>2</sub> uptakes vary between 8 and 9 mmol/g with the interlayer spacing, which is greatly higher than other state-of-the-art materials. As a comparison, the CO<sub>2</sub> uptake of an excellent porous carbon material is 5.56 mmol/g at the same condition.<sup>22</sup> The slightly increase of CO<sub>2</sub> uptake with the increase of accessible pore size mainly due to the increase of absorption interlayered space. On the other hand, the interaction between N<sub>2</sub> and graphene is weaker than CO<sub>2</sub>, so the uptakes are lower than CO<sub>2</sub>. Moreover, according to our previous work, the potential energy surface overlap effect plays a dominant role for N<sub>2</sub> uptakes.<sup>11</sup> With the decrease of slit pore size, overlap effect becomes stronger, which causes the increase of N<sub>2</sub> uptakes. Only when the accessible pore size had been reduced to 3.5 Å, the uptake no longer increased. Too small pore size (less than N<sub>2</sub> kinetic diameter of 3.64 Å) will weaken the interaction between N<sub>2</sub> and graphene due to more repulsions. Similarly,

CH<sub>4</sub> uptake curve has the similar trend when accessible pore size decreased from 6 Å. The adsorption energy of CH<sub>4</sub> lies between CO<sub>2</sub> and N<sub>2</sub>, so the uptakes are also higher than N<sub>2</sub> but lower than CO<sub>2</sub>. However, due to the larger kinetic diameter of CH<sub>4</sub> than N<sub>2</sub>, when pore size is equal to or less than 3.5 Å, CH<sub>4</sub> uptakes dramatically decreased and presents an inverted N<sub>2</sub>/CH<sub>4</sub> uptake abilities.

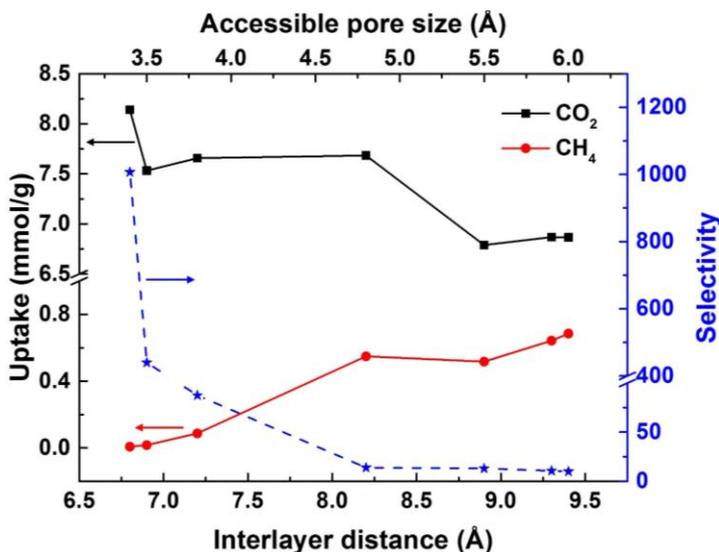


**Figure 7-4.** Uptakes of pure gas molecules (CO<sub>2</sub> – black, N<sub>2</sub> – red, CH<sub>4</sub> – blue) at 298K and 1 bar by different GIL composites.

### 7.4.3 GCMC Simulations of Mixed Gas Selectivities



**Figure 7-5.** Uptakes of CO<sub>2</sub> (black) and N<sub>2</sub> (red) by different GIL composites of (a) 50/50 and (b) 15/85 CO<sub>2</sub>/N<sub>2</sub> gas mixtures at 298K and 1 bar and corresponding selectivities (blue).



**Figure 7-6.** Uptakes of CO<sub>2</sub> (black) and CH<sub>4</sub> (red) by different GIL composites of 50/50 CO<sub>2</sub>/CH<sub>4</sub> gas mixtures at 298K and 1 bar and corresponding selectivities (blue).

To be relevant to the experimental conditions, we further performed GCMC simulations for gas uptakes and selectivities of a 50%/50% molar ratio mixture of CO<sub>2</sub>/N<sub>2</sub>

or CO<sub>2</sub>/CH<sub>4</sub> and a 15%/85% molar ratio mixture of CO<sub>2</sub>/N<sub>2</sub> at 1 bar and 298 K. The selectivity is defined as  $S_{i/j} = (x_i/x_j)(y_j/y_i)$ , where  $x_i$  and  $y_i$  are the mole fractions of component  $i$  in absorbed and bulk phases, respectively. For the CO<sub>2</sub>/N<sub>2</sub> mixture (Figure 7-5), one can see that CO<sub>2</sub> uptakes at partial pressure of 0.5 bar are similar to that in the pure gas at 1 bar. When partial pressure is 0.15 bar, the CO<sub>2</sub> uptakes are still not reduced largely until accessible pore size is larger than 5.0 Å. By contrast, compared with that in the pure gas, N<sub>2</sub> uptakes are significantly decreased, especially when the pore size is small, which leads to an opposite trend between N<sub>2</sub> uptakes and pore size. According to our previous work, when accessible pore size is less than 4.4 Å, the adsorption energy difference between CO<sub>2</sub> and N<sub>2</sub> is the highest and absorbed CO<sub>2</sub> molecules will further block the absorption of N<sub>2</sub>.<sup>11</sup> Thus, with the contributions of energy difference and competitive exclusion, the selectivity is increased with the decrease of pore size. For the CO<sub>2</sub>/CH<sub>4</sub> mixture (Figure 7-6), competitive exclusion is also proved to play an important role, because of the dramatically reduction of CH<sub>4</sub> uptakes. When the pore size is less than the kinetic diameter of CH<sub>4</sub>, the size exclusion effect causes CH<sub>4</sub> uptakes to be closed to zero. So, the CO<sub>2</sub>/CH<sub>4</sub> selectivity achieves a pretty high level.

#### 7.4.4 Implications

By GCMC simulations of GIL composite materials, we found that high CO<sub>2</sub> uptakes were achieved at room temperature even compared with other cutting-edge

materials. Besides, the composites also possessed satisfactory adsorption selectivities at the actual experimental conditions. A huge selection of ionic liquid suggests diversity of multilayer graphene with different slit pore size for the requirements of absorption of different objective adsorbates.

To experimentally realize the GIL composites, the following steps are briefly suggested below. First, large area graphene layer is grown on a copper substrate,<sup>23</sup> following by a serial of processes to transfer graphene layer to a porous substrate.<sup>24</sup> Next, the nanopores are generated by oxygen plasma etching or ion bombardment of chemical etching.<sup>25-27</sup> Then, low-density ionic liquid pillars are introduced by spin coating.<sup>28</sup> And another layer of graphene is stacked through a polymer transfer layer like PMMA, which can be removed later. By repeating the above steps, the GIL composites can be used for gas absorption.

## **7.5 Summary and conclusions**

In summary, we presented a layer-by-layer graphene/ILs composite material. The interlayer distances were calculated by first-principle DFT-D3 method. We further used GCMC to simulate CO<sub>2</sub>/N<sub>2</sub>/CH<sub>4</sub> adsorption capacities and selectivities at experimental conditions. The CO<sub>2</sub> uptakes are significantly high than current gas absorbing materials. We also found that high CO<sub>2</sub>/N<sub>2</sub> selectivity and CO<sub>2</sub>/CH<sub>4</sub> selectivity are achieved for gas mixtures when accessible slit pore size is less than 5 Å and 4 Å separately. This type of

composite with high selective absorption ability is an application of many theoretical effects, such as potential energy difference, size exclusion, and competition exclusion. This work suggests a novel strategy to achieve tunable slit pore size of graphene/ILs composite for high selective carbon capture.

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## **Chapter 8. Insights into CO<sub>2</sub>/N<sub>2</sub> Selectivity in Porous Carbons from Deep Learning**

### **8.1 Abstract**

Porous carbons are an important class of porous material for carbon capture. The textural properties of porous carbons greatly influence their CO<sub>2</sub> adsorption capacities. But it is still unclear what features are most conducive to achieve high CO<sub>2</sub>/N<sub>2</sub> selectivity. Here, we trained deep neural networks from the experimental data of CO<sub>2</sub> and N<sub>2</sub> uptakes in porous carbons, based on textural features of micropore volume, mesopore volume, and BET surface area. We then used the model to screen porous carbons and to predict CO<sub>2</sub> and N<sub>2</sub> uptakes as well as CO<sub>2</sub>/N<sub>2</sub> selectivity. We found that the highest CO<sub>2</sub>/N<sub>2</sub> selectivity can be achieved not at the regions of highest CO<sub>2</sub> uptake but at the regions of lowest N<sub>2</sub> uptake where mesopores disrupt N<sub>2</sub> adsorption. This insight will help guide experiment to synthesize better porous carbons for post-combustion CO<sub>2</sub> capture.

### **8.2 Introduction**

Post-combustion CO<sub>2</sub> capture from flue gas (composed mainly of N<sub>2</sub>) is an important approach to reduce the CO<sub>2</sub> emissions.<sup>1-3</sup> A range of porous materials for CO<sub>2</sub> capture have been developed, including zeolites,<sup>4</sup> metal-organic frameworks (MOFs),<sup>5-7</sup> covalent-organic frameworks (COFs),<sup>8-10</sup> porous organic polymers,<sup>11</sup> porous carbons,<sup>12-14</sup>

ionic liquids,<sup>15-16</sup> advanced solvents,<sup>17-19</sup> and membranes.<sup>20-22</sup>

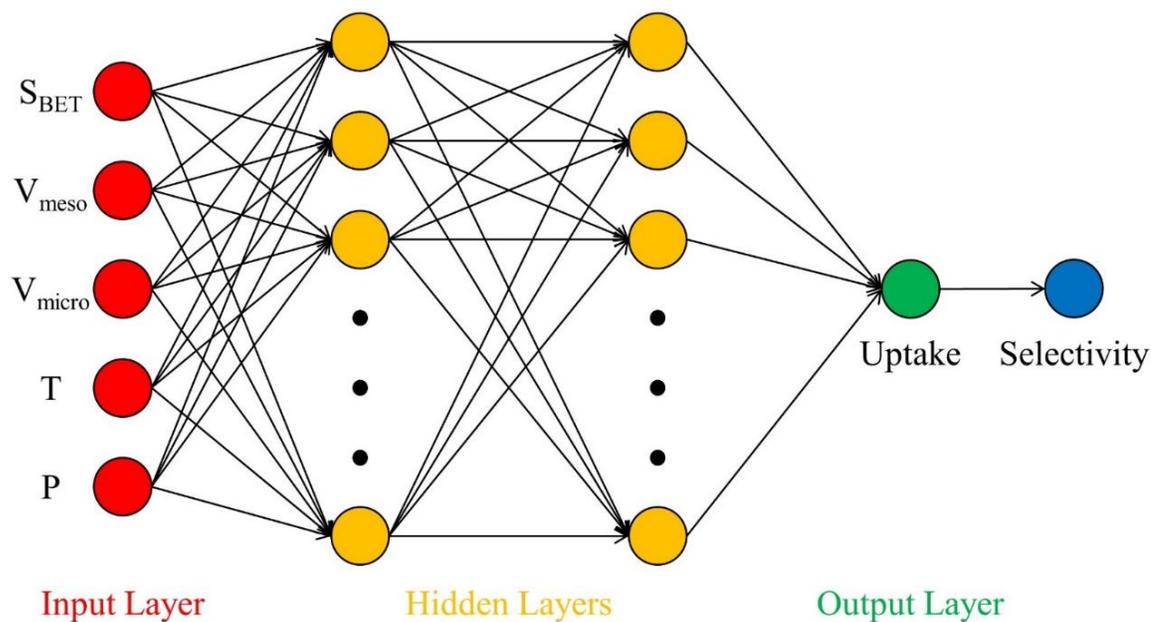
Porous carbons are versatile due to their tunable pore size, pore shape, and electronic conductivity.<sup>23</sup> An empirical relation for CO<sub>2</sub> adsorption capacity as a function of mesopore ( $V_{meso}$ ) and micropore ( $V_{micro}$ ) volumes has been proposed for porous carbons:  $M_{ads}^{CO_2} = 0.095 + 2.10 \times V_{micro} + 3.51 \times V_{micro} \times V_{meso}$ .<sup>24</sup> Although this relationship can be used to predict CO<sub>2</sub> uptake, it will have difficulty in predicting CO<sub>2</sub>/N<sub>2</sub> selectivity, since it does not apply to other gases such as N<sub>2</sub>. In addition, it is often very difficult to measure accurately the low N<sub>2</sub> uptakes in materials with high CO<sub>2</sub>/N<sub>2</sub> selectivity, so it is desirable to predict N<sub>2</sub> uptakes to guide synthesis.

To establish the complex relationship between the textural properties of porous carbons and the desired CO<sub>2</sub>/N<sub>2</sub> selectivity, machine learning is a powerful tool to build a mathematical model based on sample data.<sup>25</sup> As a popular ML method based on artificial neural networks (ANNs), deep learning employs is an ANN with multiple hidden layers, so that it can build a complex non-linear model. The applications of deep learning in chemistry are increasing quickly in recent years.<sup>25-29</sup>

One application of machine learning is to rapidly screen materials,<sup>28</sup> predict properties,<sup>25</sup> and suggest promising candidates for synthesis.<sup>30</sup> Recently, deep learning was for the first time applied to predict CO<sub>2</sub> adsorption in porous carbons.<sup>25</sup> It was found that besides micropore volume, mesopore volume and BET surface area ( $S_{BET}$ ) are also

important in dictating CO<sub>2</sub> uptake.<sup>25</sup> Inspired by this work and moving beyond CO<sub>2</sub> uptake, herein we use deep learning to evaluate another important factor in using porous carbons for post-combustion carbon capture, namely, CO<sub>2</sub>/N<sub>2</sub> selectivity.

To achieve our goal, we built two deep NNs (DNNs) for adsorption capacities of CO<sub>2</sub> and N<sub>2</sub>, respectively. Each DNN is composed of two hidden layers (Figure 8-1). After training on experimental data, the DNNs were used to make predictions of CO<sub>2</sub> and N<sub>2</sub> uptakes and then the CO<sub>2</sub>/N<sub>2</sub> selectivity (as a ratio of the uptakes) for porous carbons of broader feature space. Finally, by analyzing the results, we discuss the desired textual features for the porous carbons to yield high CO<sub>2</sub>/N<sub>2</sub> selectivity.



**Figure 8-1.** The deep neural networks (DNNs) used in the present work to predict gas uptake in porous carbons based on inputs from BET surface area ( $S_{\text{BET}}$ ), mesopore volume ( $V_{\text{meso}}$ ), micropore volume ( $V_{\text{micro}}$ ), temperature ( $T$ ), and pressure ( $P$ ).

### 8.3 Computational Method

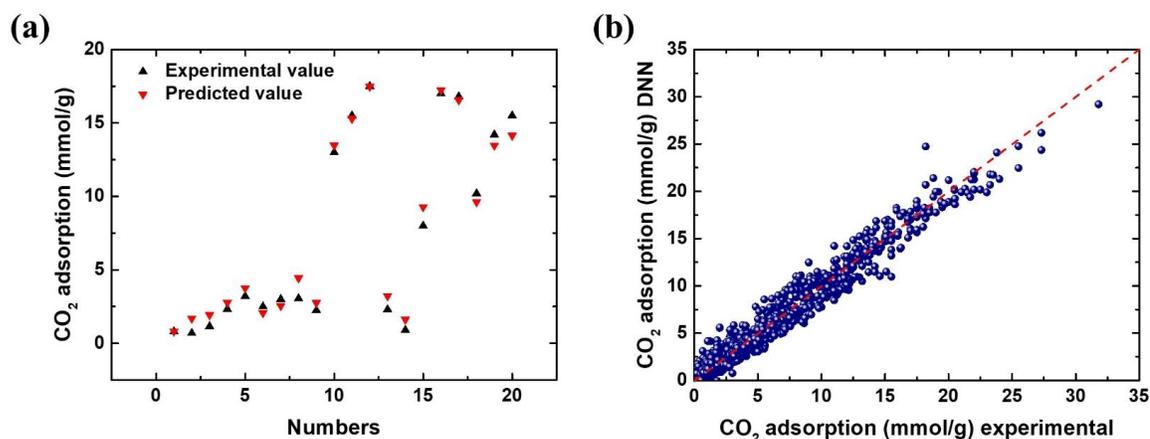
All deep neural networks (DNNs) were created by using the MATLAB R2018a environment. The DNNs consist of an input layer, two hidden layers (each having 7 neurons), and an output layer. When training the DNNs, the weights and biases were adjusted according to the gradient descent with momentum and adaptive learning rate backpropagation algorithm. The maximum number of training epochs was 100000 and the performance goal was 0.001.<sup>25</sup> We totally collected 1138 data points of CO<sub>2</sub> uptake<sup>25, 34-38</sup> and 314 data points of N<sub>2</sub> uptake<sup>12, 24, 37-45</sup> in various porous carbons. All screened porous carbons almost have no heteroatom, especially no nitrogen atom, since the amount of N is an important influence factor for the CO<sub>2</sub> adsorption capacity. We used the BET surface area ( $S_{\text{BET}}$ ), micropore volume ( $V_{\text{micro}}$ ), mesopore volume ( $V_{\text{meso}}$ ), temperature (T), and pressure (P) as five input neurons. Gas uptake was used as the output neuron. Both inputs and outputs were normalized in the range of 0 to 1.<sup>46</sup> The leave-one-out method was applied for cross-validation and to avoid overfitting: one data point was used as the validation set and the remaining data points were used as the training set; this process was repeated for each data point.<sup>25</sup>

### 8.4 Results and discussion

#### 8.4.1 Training neural networks for CO<sub>2</sub> and N<sub>2</sub> uptakes in porous carbons

1138 CO<sub>2</sub> adsorption data points were collected with five varying input parameters

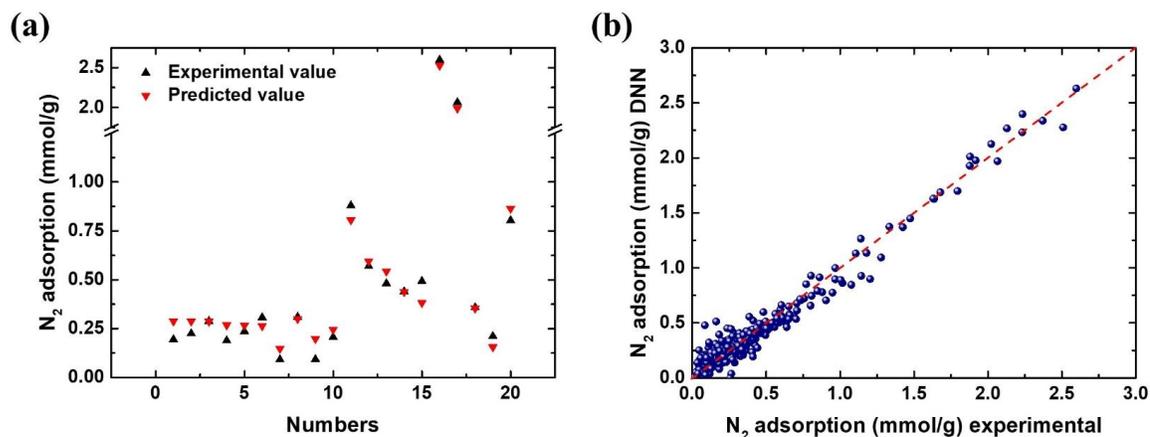
( $S_{\text{BET}}$ ,  $V_{\text{meso}}$ ,  $V_{\text{micro}}$ ,  $T$ , and  $P$ ). Among them, 1118 random data points were chosen for training a neural network via deep learning (DNN-1). The remaining 20 data points were used to test the trained neural network. As shown in Figure 8-2a, the predicted values and the experimental values are in good agreement, indicating that the trained neural network should be useful for predicting  $\text{CO}_2$  uptakes. To check our model accuracy and avoid overfitting, we further did leave-one-out cross-validation.<sup>31</sup> As shown Figure 8-2b, very good linear relationship is found between experimental values and DNN-1 predicted values.



**Figure 8-2.** Results of predicted  $\text{CO}_2$  uptakes. (a) Comparison between experiment and DNN-1 prediction for 20 pairs of  $\text{CO}_2$  adsorption values. (b) The correlation between experiment and DNN-1 prediction for  $\text{CO}_2$  uptakes by the leave-one-out method ( $R^2 = 0.96$ ).

Likewise, we trained a neural network for  $\text{N}_2$  adsorption capacity (DNN-2). 314  $\text{N}_2$  adsorption data points were used: 294 in the training set and 20 in the test set. The training set is smaller than that of  $\text{CO}_2$  because most studies reported the  $\text{N}_2$  adsorption data only when the porous carbon materials have good selectivity. Nevertheless, a good prediction of the test set is also made by the trained neural network (Figure 8-3a); cross-validation of

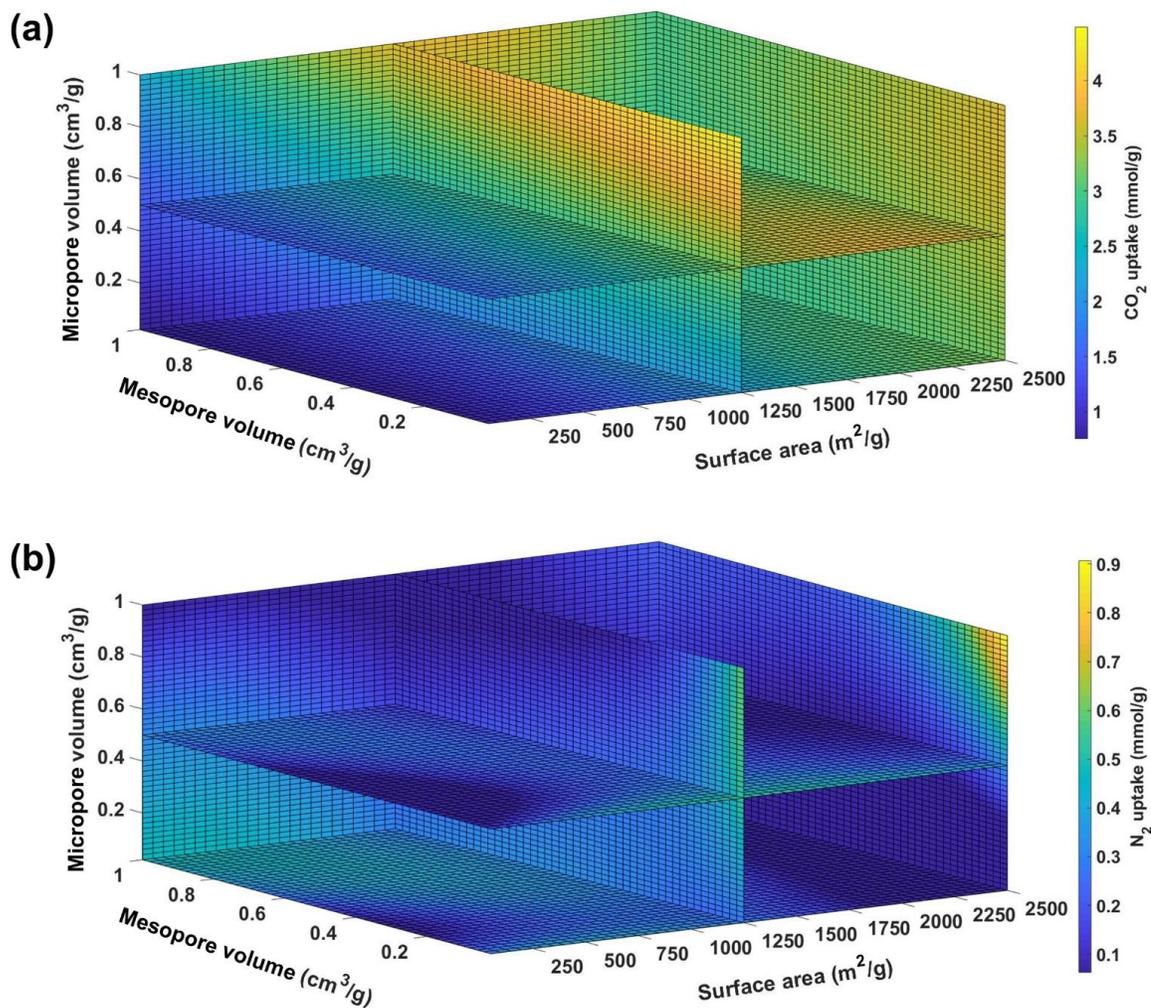
the training set shows good agreement between experimental values and predicted values (Figure 8-3b).



**Figure 8-3.** Results of predicted N<sub>2</sub> uptakes. (a) Comparison between experiment and DNN-2 prediction for 20 pairs of N<sub>2</sub> adsorption values. (b) The correlation between experiment and DNN-2 prediction for N<sub>2</sub> uptakes by the leave-one-out method ( $R^2 = 0.96$ ).

#### 8.4.2 Exploration of the porous carbon space

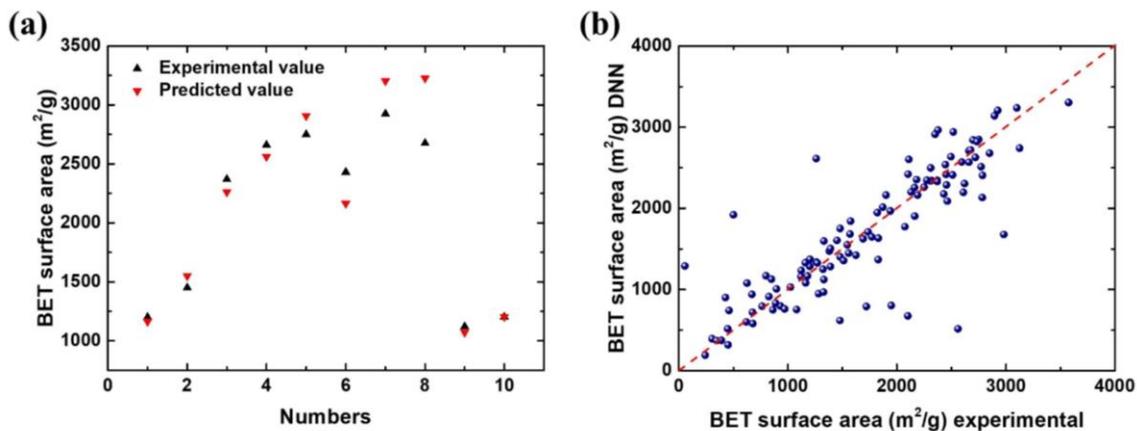
With the help of above two well-trained neural networks for CO<sub>2</sub> and N<sub>2</sub> adsorptions (DNN-1 and DNN-2), we can now explore the space of porous carbons. Here, we fixed temperature at 298 K and pressure at 1 bar. By varying  $S_{\text{BET}}$ ,  $V_{\text{meso}}$ , and  $V_{\text{micro}}$ , we created 125000 hypothetical data points. From the contour maps, we can pinpoint the regions of highest CO<sub>2</sub> and/or lowest N<sub>2</sub> uptake, to yield highest CO<sub>2</sub>/N<sub>2</sub> selectivity. Figure 8-4a shows that the region of  $V_{\text{micro}} \sim 1.0 \text{ cm}^3/\text{g}$  and  $S_{\text{BET}} \sim 1250 \text{ m}^2/\text{g}$  has higher CO<sub>2</sub> uptake. On the other hand, Figure 8-4b shows that porous carbon materials with large  $S_{\text{BET}}$  and large  $V_{\text{micro}}$  will have high N<sub>2</sub> uptake, negatively impacting CO<sub>2</sub>/N<sub>2</sub> selectivity. Thus, to obtain high selectivity, high CO<sub>2</sub> uptake and low N<sub>2</sub> uptake are necessary.



**Figure 8-4.** The 3D contour maps of (a) CO<sub>2</sub> and (b) N<sub>2</sub> pure-gas adsorption capacities at 298 K and 1 bar in 125000 hypothetical porous carbons predicted from DNN-1 and DNN-2, respectively.

To determine the textural features that can yield high CO<sub>2</sub>/N<sub>2</sub> selectivity for realistic porous carbons, we need to reduce the three descriptors to two, since S<sub>BET</sub> are related to V<sub>micro</sub> and V<sub>meso</sub>. By doing this, we can avoid hypothetical carbons that cannot exist (such as a high S<sub>BET</sub> with close-to-zero V<sub>micro</sub> and V<sub>meso</sub>). Toward this end, we trained another

neural network (DNN-3) to predict  $S_{\text{BET}}$  from  $V_{\text{micro}}$  and  $V_{\text{meso}}$ . As shown in Figure 8-5, DNN-3 provides a reasonably good model to correlate  $V_{\text{micro}}$  and  $V_{\text{meso}}$  to  $S_{\text{BET}}$ .



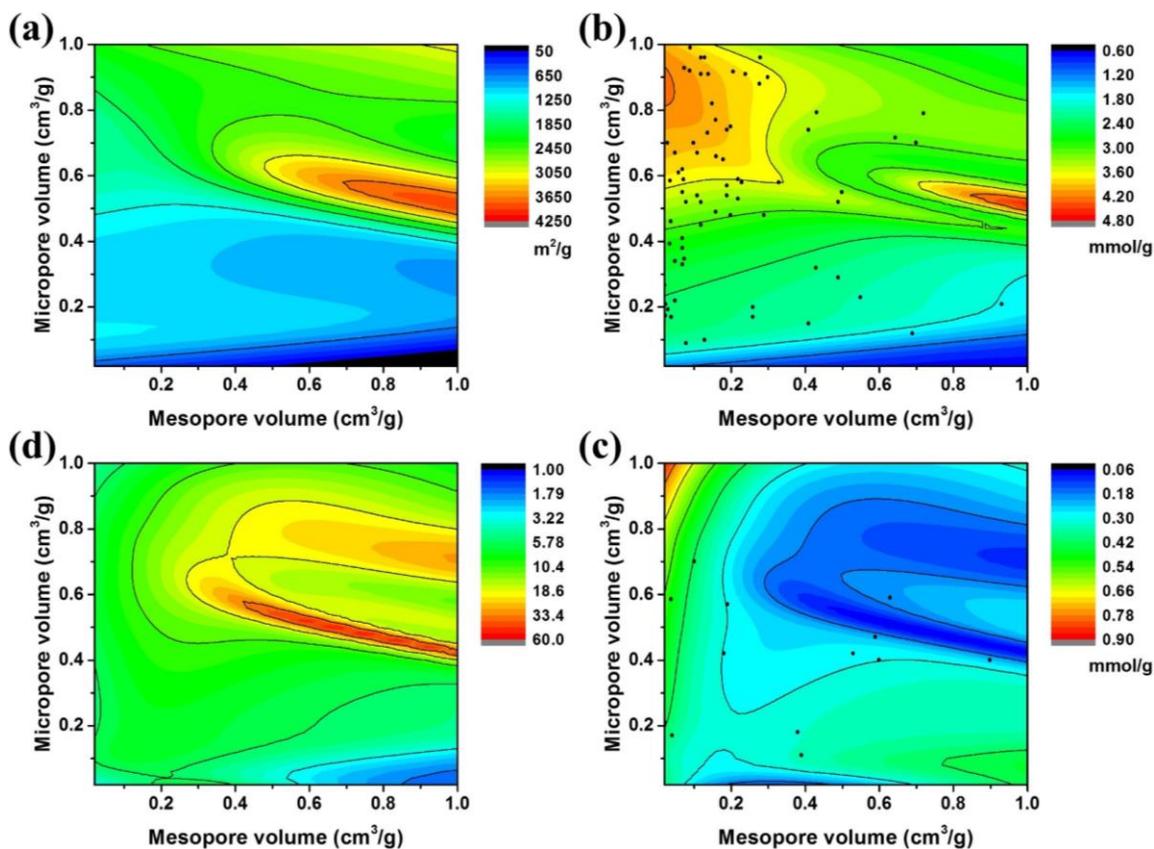
**Figure 8-5.** Results of predicted BET surface area. (a) Comparison between experiment and DNN-3 prediction for 10 pairs of BET surface area values. (b) The correlation between experiment and DNN-3 prediction for BET surface area by the leave-one-out method ( $R^2 = 0.70$ ).

#### 8.4.3 2D maps of adsorption uptakes and selectivity

With the reduced dimensions, we can now determine the features of porous carbons in terms of  $V_{\text{micro}}$  and  $V_{\text{meso}}$  for high  $\text{CO}_2/\text{N}_2$  selectivity. First, we created 2500 different hypothetical combinations of  $V_{\text{micro}}$  and  $V_{\text{meso}}$  ranging from 0.02 to 1.00  $\text{cm}^3/\text{g}$ . Then, the trained DNN-3 was applied to predict their  $S_{\text{BET}}$ . As shown in Figure 8-6a,  $V_{\text{micro}}$  plays a dominating role in  $S_{\text{BET}}$ :  $V_{\text{micro}} > 0.5 \text{ cm}^3/\text{g}$  generally leads to a larger  $S_{\text{BET}}$ ; for  $V_{\text{micro}} \sim 0.55 \text{ cm}^3/\text{g}$ ,  $V_{\text{meso}}$  can greatly promote  $S_{\text{BET}}$  by increasing from 0.5  $\text{cm}^3/\text{g}$  to 1.0  $\text{cm}^3/\text{g}$ .

$V_{\text{micro}}$  and  $V_{\text{meso}}$  as well as  $S_{\text{BET}}$  from DNN-3 were used as inputs to predict  $\text{CO}_2$  and  $\text{N}_2$  uptakes at 1 bar and 298 K from DNN-1 and DNN-2, respectively. As shown in Figure 8-6b, there are two regions of high  $\text{CO}_2$  uptake ( $> 4 \text{ mmol/g}$ ): Region I – high  $V_{\text{micro}}$  ( $\sim 0.85$

cm<sup>3</sup>/g) and close-to-zero  $V_{\text{meso}}$ , where  $S_{\text{BET}}$  is moderately high (2000 m<sup>2</sup>/g); Region II – medium  $V_{\text{micro}}$  (~ 0.5 cm<sup>3</sup>/g) and high  $V_{\text{meso}}$  (~ 1.0 cm<sup>3</sup>/g), where  $S_{\text{BET}}$  is very high (4000 m<sup>2</sup>/g). Interestingly, N<sub>2</sub> uptake is also highest at Region I (Figure 8-6c), leading to moderate CO<sub>2</sub>/N<sub>2</sub> selectivity ~ 10 (Figure 8-6d). In contrast, the narrow band from  $V_{\text{micro}} \sim 0.6$  cm<sup>3</sup>/g and  $V_{\text{meso}} \sim 0.4$  cm<sup>3</sup>/g to  $V_{\text{micro}} \sim 0.4$  cm<sup>3</sup>/g and  $V_{\text{meso}} \sim 1.0$  cm<sup>3</sup>/g has the lowest N<sub>2</sub> uptake (Figure 8-6c) and the highest CO<sub>2</sub>/N<sub>2</sub> selectivity ~ 60 (Figure 8-6d). Interestingly, there is another region of low N<sub>2</sub> uptake with  $V_{\text{micro}} \sim 0.7$  cm<sup>3</sup>/g and  $V_{\text{meso}} \sim 1.0$  cm<sup>3</sup>/g (Figure 8-6c), leading to moderately high CO<sub>2</sub>/N<sub>2</sub> selectivity ~ 30 (Figure 8-6d). Figure 8-6 suggests that inducing more mesopores in microporous carbons disrupts and decreases N<sub>2</sub> adsorption, hence benefiting CO<sub>2</sub>/N<sub>2</sub> selectivity. This concept was proposed in 2015 by Choi et al.<sup>32</sup> It's based on their observation that the CO<sub>2</sub>/N<sub>2</sub> selectivity actually increases from 273 K to 298 K in ordered mesoporous carbons of 2.9 nm in size, due to a greater decrease in N<sub>2</sub> uptake from 273 K to 298 K. In other words, mesopores are much less attractive to N<sub>2</sub> than to CO<sub>2</sub> at ambient conditions, thereby “disrupting N<sub>2</sub> adsorption”.

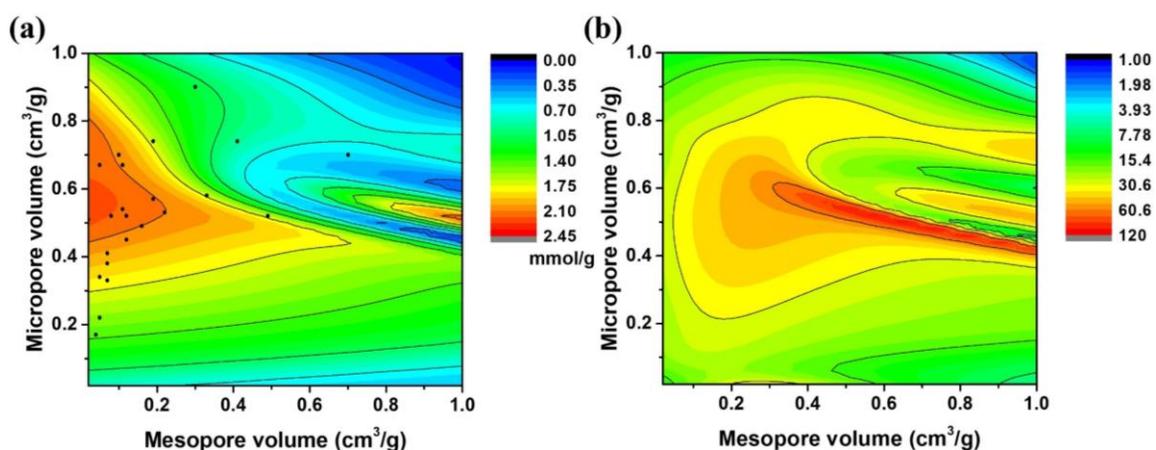


**Figure 8-6.** The 2D contour maps of (a) BET surface areas ( $\text{m}^2/\text{g}$ ), (b)  $\text{CO}_2$  and (c)  $\text{N}_2$  adsorption capacities ( $\text{mmol}/\text{g}$ ), and (d)  $\text{CO}_2/\text{N}_2$  selectivity for 2500 hypothetical porous carbons at 298 K and 1 bar. The black dots in (b) and (c) represent the experimental data points at the same condition.

To be relevant to the experimental conditions, we further calculated the  $\text{CO}_2/\text{N}_2$  selectivity for 0.15 bar  $\text{CO}_2$  vs 0.85 bar  $\text{N}_2$  at 298 K. The  $\text{CO}_2$  uptake is shown in Figure 8-7a, while the  $\text{CO}_2/\text{N}_2$  selectivity is shown in Figure 8-7b. The high selectivity region is similar to that in Figure 8-6d. The available experimental data points in the space of the textual features of porous carbons examined are shown as black dots in Figure 8-6b,c and Figure 8-7a for comparison. One can see that there is very little data at the regions of predicted high  $\text{CO}_2/\text{N}_2$  selectivity. This could be areas of potential interest for future

experimental synthesis.

The selectivity in this work is simply defined as the ratio of pure-component CO<sub>2</sub> and N<sub>2</sub> uptakes at the corresponding gas-phase pressures ( $S_{CO_2/N_2} = (n_{CO_2}/p_{CO_2})/(n_{N_2}/p_{N_2})$ ), where  $n$  is the adsorbed amount and  $p$  is the gas-phase pressure), which are predicted from neural networks. To predict IAST or Henry's Law selectivity, we need to predict the whole isotherms which is much more time-demanding and difficult to do for N<sub>2</sub> due to the limited data available. We plan to address this issue in the future by directly predicting CO<sub>2</sub> and N<sub>2</sub> adsorption isotherms at ambient conditions and then IAST selectivity from the commonly used experimental measurement of N<sub>2</sub> adsorption isotherm at 77 K.



**Figure 8-7.** The 2D contour maps of (a) CO<sub>2</sub> adsorption capacity at 298K and 0.15 bar, and (b) CO<sub>2</sub>/N<sub>2</sub> selectivity for 0.15 bar CO<sub>2</sub> vs 0.85 bar N<sub>2</sub> at 298 K. The black dots in (a) represent the experimental data points.

## 8.5 Summary and conclusions

In summary, we have trained deep neural networks to predict N<sub>2</sub> uptakes and CO<sub>2</sub>/N<sub>2</sub> selectivity for post-combustion CO<sub>2</sub> capture by porous carbons, using three features (micropore volume, mesopore volume, and BET surface area). In this work, all screened porous carbons have approximately nonpolar surface. But it would be interesting to include the polarity of the surface together with the doping of heteroatoms as an additional feature or descriptor in our deep-learning model. This is indeed what we plan to do next. We found that the best features for high CO<sub>2</sub> uptake may not be optimal for CO<sub>2</sub>/N<sub>2</sub> selectivity. Instead, focusing on the lowest N<sub>2</sub>-uptake regions of moderate micropore and mesopore volumes is a better strategy to achieve highest CO<sub>2</sub>/N<sub>2</sub> selectivity. The predicted selectivity maps show promising regions for experimental realization. Pore size is also an important factor for CO<sub>2</sub> adsorption and CO<sub>2</sub>/N<sub>2</sub> selectivity.<sup>33</sup> In experiment, the textural features of pore volume, surface area, as well as pore size distribution are all derived from N<sub>2</sub> adsorption isotherms at 77 K. In the future, we hope to directly use N<sub>2</sub> adsorption isotherm at 77 K to predict CO<sub>2</sub> and N<sub>2</sub> adsorption isotherms at ambient conditions.

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## **Chapter 9. Prediction of CO<sub>2</sub>/N<sub>2</sub> Selectivity in Porous Carbons from N<sub>2</sub> Adsorption Isotherm at 77 K via Convolutional Neural Networks**

### **9.1 Abstract**

Porous carbons are an important class of porous materials with many applications including gas separation, while N<sub>2</sub> adsorption isotherm at 77 K is the most widely used approach to characterize porosity. Conventionally, textual properties such as surface area and pore volumes are derived from the N<sub>2</sub> adsorption isotherm at 77 K via fitting to an adsorption theory and then correlated to gas separation performance (uptake and selectivity). Here we use the N<sub>2</sub> isotherm at 77 K directly as input (representing feature descriptors for the porosity) to train convolutional neural networks that predict gas separation performance (using CO<sub>2</sub>/N<sub>2</sub> as a test case) for porous carbons more accurately. We then explore the porosity space for porous carbons for higher CO<sub>2</sub>/N<sub>2</sub> selectivity. We find that porous carbons with a bimodal pore-size distribution of well-separated mesopores (3 – 7 nm) and micropores (< 2 nm) are most promising. This work will be useful in guiding experimental research of porous carbons with desired porosity for gas separation and other applications.

### **9.2 Introduction**

Due to their low cost, large surface area, fast adsorption-desorption kinetics, and

tunable porosity,<sup>1,2</sup> porous carbons are widely used as separation media for gases, ions, and large molecules<sup>3-7</sup> as well as electrodes for supercapacitors<sup>8-10</sup> and other electrochemical applications.<sup>11,12</sup> However, their amorphous nature impedes our understanding of their structure-property relationship at the atomic level. As a compromise, researchers have used textural properties such as surface area and pore volumes as structural descriptors to establish correlation with properties such as uptakes of CO<sub>2</sub> and N<sub>2</sub> for post-combustion carbon capture.<sup>13-15</sup> To this end, an empirical function for predicting CO<sub>2</sub> uptake ( $M_{ads}^{CO_2}$ ) was proposed based on fitting the experimental data of CO<sub>2</sub> uptakes to the textural properties of porous carbons:  $M_{ads}^{CO_2} = 0.095 + 2.10 \times V_{micro} + 3.51 \times V_{micro} \times V_{meso}$ , where  $V_{micro}$  is micropore volume and  $V_{meso}$  is mesopore volume.<sup>13</sup> Going beyond the empirical relationship, Zhang et al. employed a deep learning neural network approach based on the same experimental database and trained a model that uses the BET surface area  $S_{BET}$  in addition to  $V_{micro}$  and  $V_{meso}$  as input parameters to predict CO<sub>2</sub> uptake.<sup>14</sup> More recently, a similar deep learning method was used to predict N<sub>2</sub> uptakes at ambient conditions as well as CO<sub>2</sub>/N<sub>2</sub> selectivity.<sup>15</sup>

Despite the insights from these data-driven, machine-learning (ML) approaches using textural features of porous carbons as input, important information such as pore size distribution (PSD) has not been explicitly used in the ML models. PSD and textural features are most commonly obtained from N<sub>2</sub> adsorption isotherm at 77 K fitted to a theoretical

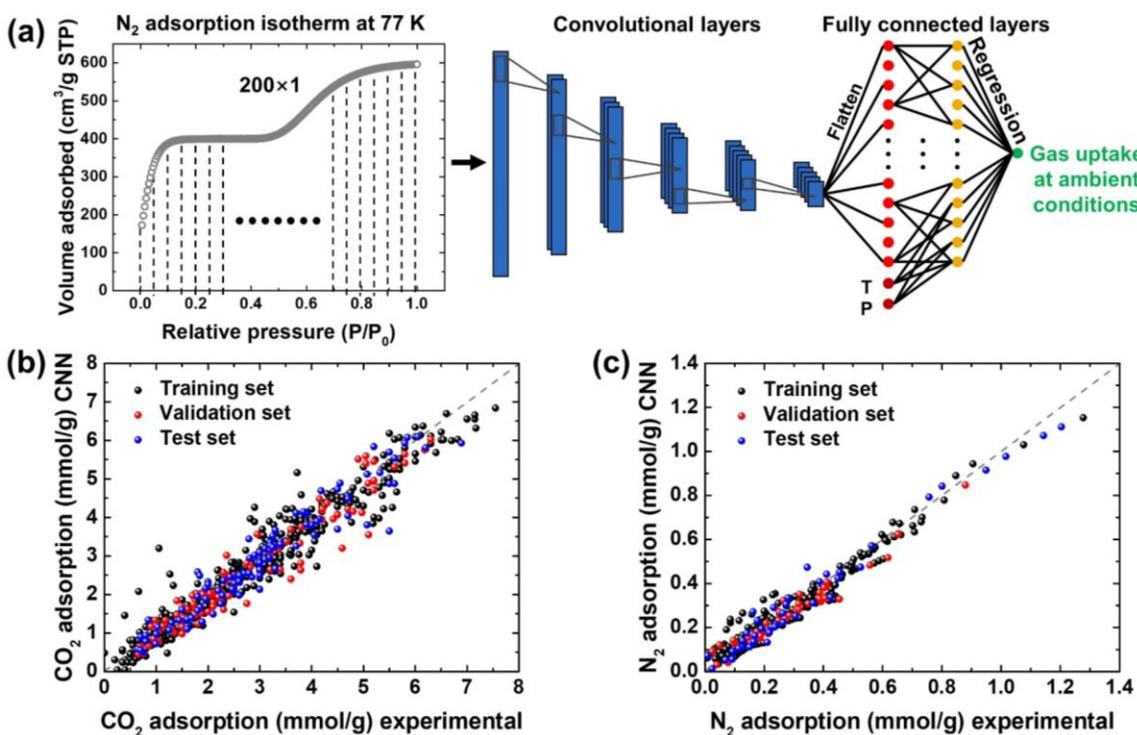
model such as BET<sup>16</sup> or non-local density functional theory (NL-DFT).<sup>17</sup> In other words, N<sub>2</sub> adsorption isotherm at 77 K contains rich information about the porosity of a porous carbon that could be harvested for a ML model directly, to establish a mapping between porosity and gas-separation performance for porous carbons, bypassing the BET or NL-DFT theory. To this end, this paper aims to demonstrate such a ML model by using the convolutional neural networks (CNNs)<sup>18</sup> that directly take as input the experimental 77K-N<sub>2</sub>-adsorption isotherms of porous carbons.

### 9.3 Results and discussion

#### 9.3.1 Prediction of CO<sub>2</sub> and N<sub>2</sub> uptakes

CNNs are an important deep learning algorithm most commonly applied to analyze images, because they are good at extracting features and handling high-dimensional inputs.<sup>19</sup> There are already a few applications of CNNs in chemistry, such as crystal symmetry determination and molecular fingerprinting.<sup>20-22</sup> Figure 9-1a shows a typical architecture of our CNNs. Each 77K-N<sub>2</sub>-adsorption curve as a 1D image is represented by an array of 200 pressure points and their corresponding adsorbed volumes, which is fed into five convolutional layers that automatically extract features from the image. Then, these features as representations of porosity, together with temperature (T: 273 ~ 323 K) and pressure (P: 0 ~ 1 bar) inputs, are fed into three fully connected layers and one regression layer to an output neuron that is the gas uptake (see Supporting Information and

Figure S9-1 for details). 111 different experimental 77K-N<sub>2</sub>-adsorption curves corresponding to 111 different porous carbons were used as input. Their gas-adsorption performances at ambient conditions were collected (679 uptake data points for CO<sub>2</sub> and 291 for N<sub>2</sub>) and each partitioned into 3 sets: 70% of data as training set, 15% as validation set, and 15% as test set. As shown in Figure 9-1b and c, after training both models of CNN-1 (for prediction of CO<sub>2</sub> adsorption capacity) and CNN-2 (for N<sub>2</sub>) show excellent agreement with the experimental values for not only training and validation sets but also test sets. This indicates that CNN-1 and CNN-2 can reliably predict CO<sub>2</sub> and N<sub>2</sub> uptakes at ambient conditions directly using 77K-N<sub>2</sub>-adsorption isotherm as input.

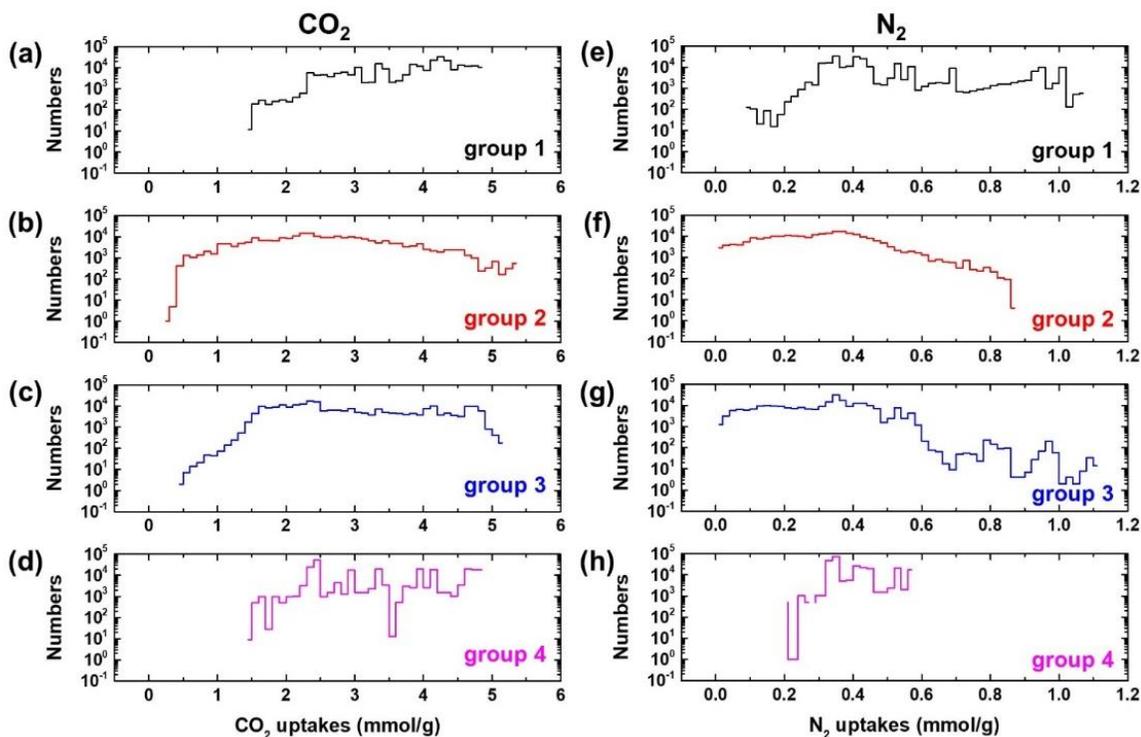


**Figure 9-1.** Results of predicted gas uptakes. (a) Architecture of the convolutional neural networks (CNN) used in this work: input, N<sub>2</sub> adsorption at 77 K; output, gas uptakes at ambient temperature

(T) and pressure (P). The correlation between experimental and CNN-predicted gas uptakes: (b) CO<sub>2</sub> by CNN-1; (c) N<sub>2</sub> by CNN-2.

Armed with the two CNNs, we can now explore the much wider space of porous carbons with different porosity as characterized by their 77K-N<sub>2</sub>-adsorption isotherms, to find the promising ones with high CO<sub>2</sub> uptakes and/or high CO<sub>2</sub>/N<sub>2</sub> selectivity around 298 K and 1 bar, conditions relevant to post-combustion CO<sub>2</sub> capture. We generated 1 million 77K-N<sub>2</sub>-adsorption isotherms corresponding to 1 million hypothetical porous carbons by using an analytical function with six varying parameters (see SI and Figure S9-2 for details). We divided the isotherms into four groups (see Figure S9-3 for 10 examples for each group). Groups 1 and 4 are mainly type I isotherms representing microporous carbons, while groups 2 and 3 are mainly type IV isotherms representing mesoporous carbons.

The 1 million 77K-N<sub>2</sub>-adsorption isotherms in four groups are fed into CNN-1 and CNN-2 to predict their CO<sub>2</sub> and N<sub>2</sub> uptakes (at 298 K and 1 bar), respectively. Figure 9-2 shows the results for each group. One can see that some porous carbons belong to groups 2 and 3 can achieve CO<sub>2</sub> uptake above 5 mmol/g at 298 K and 1 bar. More interestingly, some porous carbons of groups 2 and 3 have very low N<sub>2</sub> uptakes. In other words, some mesopore structures in groups 2 and 3 offer great opportunity in controlling CO<sub>2</sub>/N<sub>2</sub> selectivity than the micropore-dominated groups 1 and 2.

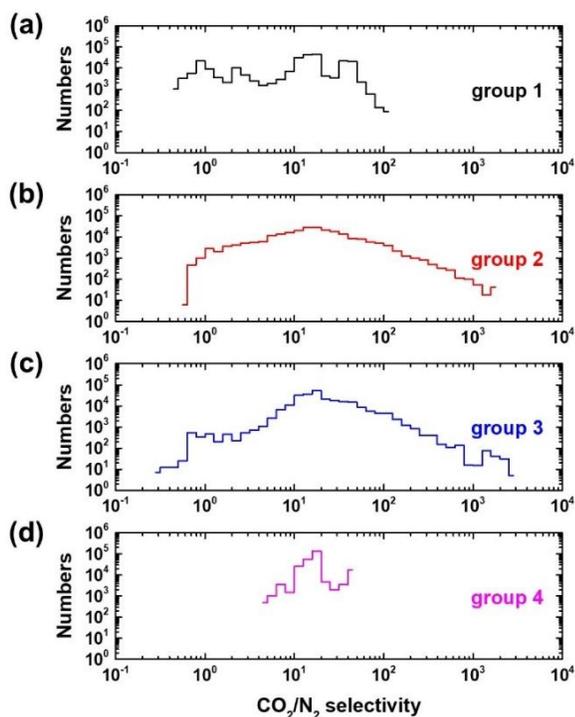


**Figure 9-2.** Statistical distribution of gas uptakes at 298 K and 1 bar in each of the four groups of hypothetical porous carbons: (a-d) CO<sub>2</sub>; (e-h) N<sub>2</sub>.

### 9.3.2 Prediction of CO<sub>2</sub>/N<sub>2</sub> IAST selectivity

To quantify the CO<sub>2</sub>/N<sub>2</sub> selectivity relevant to post-combustion carbon capture and to compare with experimental values, we applied the Ideal Adsorbed Solution Theory (IAST),<sup>23</sup> a thermodynamic theory which predicts mixed-gas selectivity from a set of pure gas adsorption isotherms at ambient conditions. Here, we first used CNN-1 and CNN-2 to predict CO<sub>2</sub> and N<sub>2</sub> uptakes at 298 K for 20 different pressures (from 0.05 bar to 1 bar) for each hypothetical porous carbon, to obtain pure-gas adsorption isotherms at 298 K. Then we applied the IAST theory to our CNN-predicted pure-gas isotherms and obtained the predicted CO<sub>2</sub>/N<sub>2</sub> selectivity for a mixed gas of 90 mol% N<sub>2</sub> and 10 mol% CO<sub>2</sub> at 298 K

and 1 bar (total pressure). See SI for details of our prediction of IAST selectivity. The results are analyzed as follows.



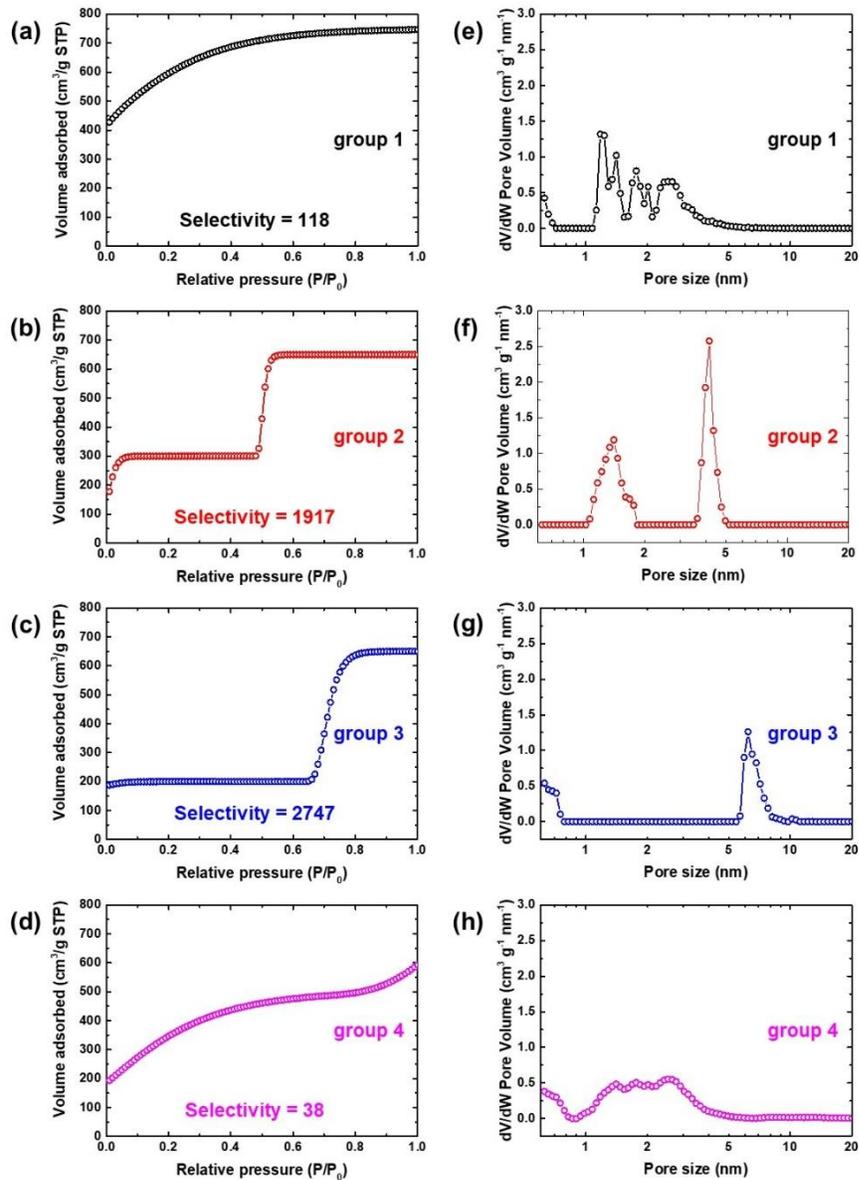
**Figure 9-3.** Statistical distribution of  $\text{CO}_2/\text{N}_2$  IAST selectivity for 90 mol%  $\text{N}_2$  and 10 mol%  $\text{CO}_2$  at 298 K and total pressure of 1 bar for the four groups of hypothetical porous carbons.

The distributions of IAST selectivity for four groups of hypothetical porous carbons are shown in Figure 9-3. The highest selectivity is about 100 for group 1 and 40 for group 4, similar to the experimental selectivity of common microporous carbons.<sup>24-26</sup> In contrast, the highest selectivity is about 2000 for group 2 and 3000 for group 3, two groups where mesopores are predominant.

### 9.3.3 Optimal pore size distribution

To further understand the origin of the highest selectivity, the best-performing ones

in each group are singled out and their 77K-N<sub>2</sub>-adsorption isotherms and corresponding pore-size distributions are shown in Figure 9-4 (see SI for details of how the pore-size distributions are obtained). One can see that porous carbons with gapped (or well-separated) bimodal distributions of distinct micropores and mesopores have the highest selectivity: the case from group 2 has a peak around 1.5 nm and another around 4.0 nm, while the case from group 3 has peaks around 0.5 nm and 6.5 nm. The two cases from groups 1 and 4 resemble conventional activated carbons with a continuous (or not well-separated) distribution of micropores (predominant) to mesopores up to 5 nm, which have a much lower selectivity than the two cases from groups 2 and 3. Hence, our finding points out a design strategy for porous carbons in terms of post-combustion carbon capture: creating a porous carbon with nonoverlapping, distinct micropores (< 2 nm) and mesopores (3 – 7 nm). This conclusion echoes a few recent studies<sup>13-15,27</sup> in emphasizing the importance of mesopores in porous carbons for CO<sub>2</sub> uptake and gas separation. More important, we provide a target porosity to guide synthesis. In the future, we hope to further incorporate defects and functional groups in our training models to predict their effects in addition to porosity.



**Figure 9-4.** (a-d)  $N_2$  adsorption isotherm at 77 K and (e-h) the corresponding pore size distribution of the hypothetical porous carbon with the highest IAST  $CO_2/N_2$  selectivity in each group for 90 mol%  $N_2$  and 10 mol%  $CO_2$  at 298 K and total pressure of 1 bar.

## 9.4 Summary and conclusions

In sum, to understand and predict how the porosity of porous carbons impacts their

CO<sub>2</sub>/N<sub>2</sub> separation performance, we have used their N<sub>2</sub> adsorption isotherms at 77 K as direct input to train convolutional neural networks (CNN) to predict their CO<sub>2</sub> and N<sub>2</sub> uptakes and CO<sub>2</sub>/N<sub>2</sub> selectivity at ambient conditions. Excellent agreement between experimental and CNN-predicted values was achieved. We then explored one million hypothetical porous carbons of varying 77K-N<sub>2</sub>-adsorption isotherms and predicted their CO<sub>2</sub>/N<sub>2</sub> separation performance. From the predicted IAST selectivity of a CO<sub>2</sub>-N<sub>2</sub> mixture, we found that the highest selectivity on the order of 2000 – 3000 can be achieved for porous carbons that have bimodal distributions of well-separated micropores (< 2 nm) and mesopores (3 – 7 nm).

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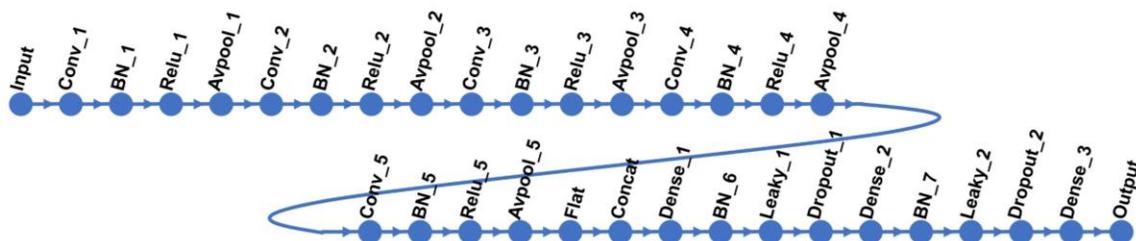
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## Supporting Information

**1. Details of the neural networks and training methods used:** The convolutional neural networks CNN-1 and CNN-2 were created in the MATLAB R2019b environment using the stochastic gradient descent with momentum (SGDM) optimizer. The CNNs included one input layer, five convolutional layers, three fully connected dense layers, and one output layer (Figure S9-1).

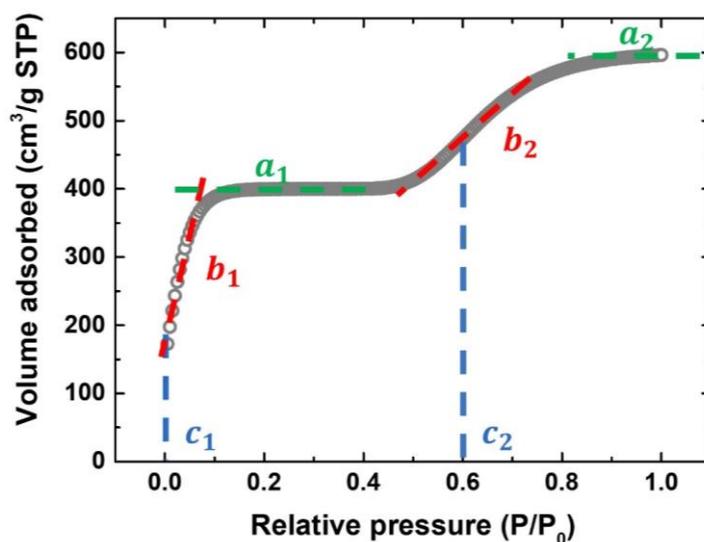
From each N<sub>2</sub> adsorption isotherm, 200 adsorbed volume values at different relative pressures were extracted as an input layer of size 200×1. After each convolutional layer, a batch normalization (BN) layer was used to speed up training and one rectified linear unit (ReLU) layer and one average pooling layer (Avpool) was employed to perform down-sampling. Flatten layer (Flat) transformed multi-channel output of convolutional layers into a single long feature vector that can be fed into a fully connected layer. Concatenation layer (Concat) was used to concatenate features from convolutional layers and other input features (temperature and pressure) together. Dense layers were the fully connected layers, which multiplied the input by a weight matrix and then adds a bias vector. After each dense layer, a BN layer, a leaky ReLU layer (Leaky), and a dropout layer with dropout probability 0.125 (Dropout) were applied to avoid overfitting. The filter sizes of five convolutional layers were [9,1], [7,1], [7,1], [5,1], [3,1], the number of filters were 2, 3, 4, 5, 5, and the neuron numbers of three dense layers were 1024, 256, and 1. During the training process,

the parameters in convolutional filters as well as weights and biases were adjusted to improve the performance. The maximum number of training epochs was 3000. The initial learning rate was 0.01 and reduced by a factor 0.99 every 10 epochs. The training data was shuffled before each training epoch. The 111 different experimental 77K-N<sub>2</sub>-adsorption curves and their gas-adsorption performances at ambient conditions (679 uptake data points for CO<sub>2</sub><sup>1-19</sup> and 291 for N<sub>2</sub><sup>3,10,12,13,16,19-22</sup>) were collected from the literature.

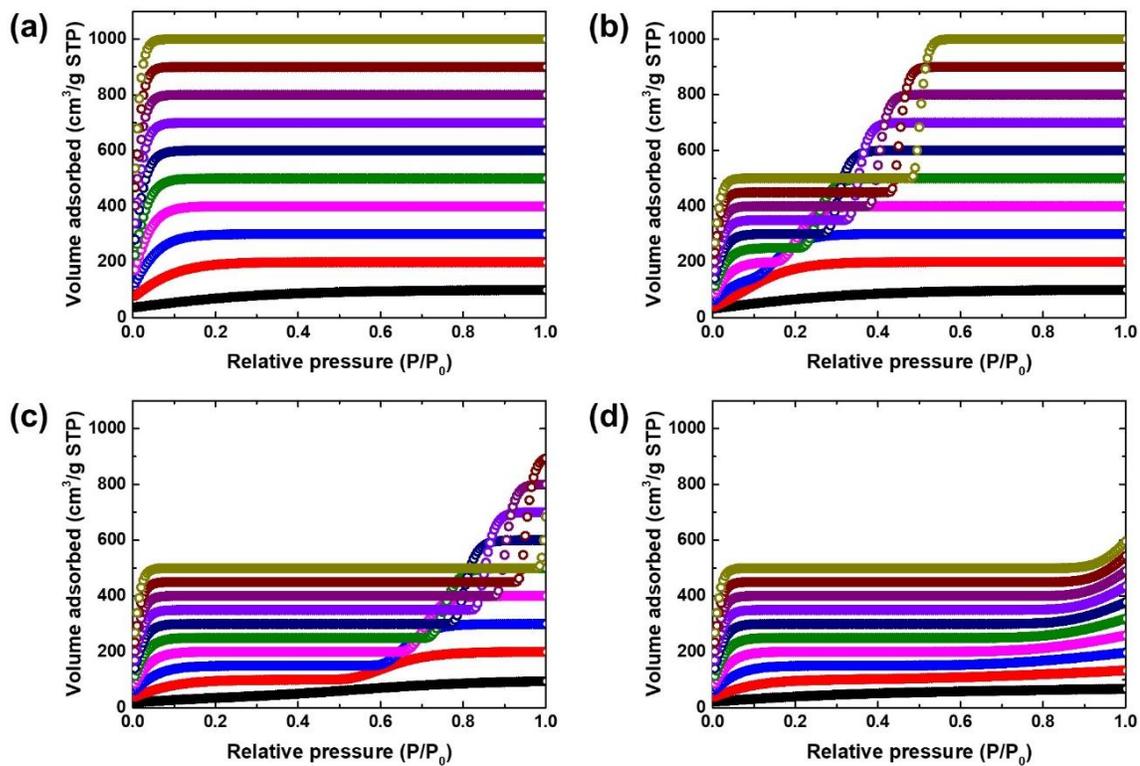


**Figure S9-1.** The detailed architecture of the convolutional neural networks used in this work. The layer names represent different types of layer. “Input”: image input layer; “Conv”: convolutional layer; “BN”: batch normalization layer; “Relu”: rectified linear unit activation layer; “Avpool”: average pooling layer; “Flat”: flatten layer; “Concat”: concatenation layer; “Dense”: fully connected layer; “Leaky”: leaky ReLU activation layer; “Dropout”: dropout layer; “Output”: regression output layer.

**2. Generating 1 million 77K-N<sub>2</sub>-adsorption isotherms:** 1 million 77K-N<sub>2</sub>-adsorption isotherms corresponding to 1 million hypothetical porous carbons were generated by a function:  $y = a_1 e^{-e^{-b_1(x-c_1)}} + a_2 e^{-e^{-b_2(x-c_2)}}$ , where y is N<sub>2</sub> adsorption value at 77 K, x is the adsorption pressure, parameter  $a_1$  and  $a_2$  control the equilibrium values of isotherm curve, parameter  $b_1$  and  $b_2$  control the slope of steps, and parameter  $c_1$  and  $c_2$  control the position of steps (Figure S9-2). The range of each parameter:  $a_1 = 50 \sim 500$ ,  $a_2 = 50 \sim 500$ ,  $b_1 = 5 \sim 95$ ,  $b_2 = 5 \sim 95$ ,  $c_1 = -0.4 \sim 0$ ,  $c_2 = -0.4 \sim 1.5$ . The increments of these variables are 50 for  $a_1$  and  $a_2$ , 10 for  $b_1$  and  $b_2$ , 0.1 for  $c_1$  and  $c_2$ . We further divide the 1million isotherms into four groups (see Figure S9-3 for some examples in each group).



**Figure S9-2.** An N<sub>2</sub> adsorption isotherm at 77 K created by generation function ( $y = 400e^{-e^{-35(x-0)}} + 200e^{-e^{-10(x-0.6)}}$ ).



**Figure S9-3.** The N<sub>2</sub> adsorption isotherm examples from (a) group 1 ( $c_2 = -0.4 \sim 0.0$ ), (b) group 2 ( $c_2 = 0.1 \sim 0.5$ ), (c) group 3 ( $c_2 = 0.6 \sim 1.0$ ), and (d) group 4 ( $c_2 = 1.1 \sim 1.5$ ).

**3. Prediction of Ideal Adsorbed Solution Theory (IAST) selectivity:** The IAST selectivity was calculated by using the pyIAST code.<sup>23</sup> Each pure-gas adsorption isotherm at 298 K was fit to the Langmuir model. These fitting parameters were provided as input to calculate the adsorption values of CO<sub>2</sub> and N<sub>2</sub> for a mixed gas of 90 mol% N<sub>2</sub> and 10 mol% CO<sub>2</sub> at 298 K and 1 bar (total pressure). Finally, the IAST selectivity was calculated by the following function:  $S = (q_1/q_2)/(p_1/p_2)$ , where  $q_1$  and  $q_2$  are the uptakes of CO<sub>2</sub> and N<sub>2</sub>, and  $p_1$  and  $p_2$  are the partial pressures of CO<sub>2</sub> and N<sub>2</sub>.

**4. Plotting pore size distribution:** The pore size distribution was plotted by using an experimental software QuadraWin. It was obtained from N<sub>2</sub> adsorption isotherm at 77 K using non-local density functional theory (NLDFT) method.

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## **Chapter 10. Prediction of Hydride Location in Copper Clusters by Deep Learning**

### **10.1 Abstract**

The location of hydrides in copper clusters is hard to be determined. Here, we present a rapid approach to help us predict the hydride location by deep learning. The input feature of this network only has the coordinates of “heavy atoms”, which are accessible from single-crystal X-ray diffraction. Then, two copper clusters with undetermined hydride location were used to verify the predictive ability of this neural network.

### **10.2 Introduction**

Since the first copper hydride CuH was reported in 1844 by Wirtz,<sup>1</sup> there is already a long time to study it. Recent years, with the advances in synthetic technology, a serial of copper hydride clusters have been presented.<sup>2</sup> And the research of their applications such as hydrogen storage and catalytic activity are also carrying out in full swing.<sup>3-8</sup> The hydrogen atoms as an important part of these copper clusters, heavily depend on neutron diffraction to determine their locations, and cannot be localized from common single-crystal X-ray diffraction (SXRD).<sup>2</sup> But sometimes growing a larger crystal (1 mm<sup>3</sup>) suitable for neutron diffraction is hard to be successful for many novel copper hydride clusters, especially for those with complex structures.<sup>9</sup> For them, nowadays, people usually

have to hypothesize the hydride locations according to their own chemical knowledge and experience. Then, to make verification, the hypothesized structures need to be optimized by density functional theory (DFT) calculation.<sup>9-13</sup> If the hydride locations are wrong, the whole cluster structures will collapse. If not, the hypothesized hydride locations might be reasonable. However, the making hypothesis process is always time-consuming because no one can guarantee success on their very first attempt. The hypothesis might be also not the most stable structure because of the possibility of energy local minimum. And the difficulty will even multiply with the increasing number of copper and hydrogen atoms. So, how to quickly and accurately locate all hydrides is still a challenge. Here, we describe a rapid and universal approach to predict the hydride locations in copper clusters by deep learning.

### **10.3 Computational Method**

#### **10.3.1 Rebuilding copper clusters in MATLAB**

Firstly, the experimental cif files for all copper cluster crystals were download from CCDC database. They were imported into software Materials Studio. Secondly, a single cluster structure from each crystal was selected and put into a large box ( $25.5 \times 25.5 \times 25.5 \text{ \AA}^3$ ), which is large enough for all collected clusters. Then, the coordinates of “heavy atoms” were used to rebuild the structures in MATLAB. The resolution was  $0.1 \text{ \AA}$ , so the size of 3D image in MATLAB is  $256 \times 256 \times 256$ . The size of atoms was Van der Waals radius, and

the grey level of each atom was quadratically declined from the atom's center, which can help the network easily recognize the atom's position. Since there are seven different "heavy atoms": C, N, O, P, S, Se, Cu, seven channels were applied for each element, analogous to red, green, blue channels in color images. So, the size of rebuilt structures in MATLAB was  $256 \times 256 \times 256 \times 7$ .

### 10.3.2 Generation of input dataset

Because one cluster might have more than 1 hydride, above large boxes was further divided to many small boxes, which can make sure there is no more than one hydride in each small box. This process is shown in Figure S10-1. The size of small red boxes in each channel was  $32 \times 32 \times 32$ , while a smaller green box ( $24 \times 24 \times 24$ ) in the center of each red box was set to be predicted whether it possesses a hydride or not. The green boxes could help us pinpoint the hydride location more precisely and the red boxes could contain more surrounding information around a potential hydride location. The sampling step's length was equal to 24 to avoid omission or repetition.

### 10.3.3 Training 3D-CNN

Figure S10-2 shows the detailed architecture of 3D-CNN. It was created in MATLAB R2019b environment using the stochastic gradient descent with momentum (SGDM) optimizer. After each convolutional layer, there were one batch normalization layer to speed up training, one ReLU layer, and one average pooling layer to perform down-

sampling. Two dropout layers with dropout probability 0.3 were used to avoid overfitting. The filter sizes of three convolutional layers were [3,3,3,7], [3,3,3,8], [3,3,3,16], the number of filters were 8, 16, 16, and the neuron numbers of three dense layers were 1024, 256, 2. During the training process, the parameters in convolutional filters as well as weights and biases were adjusted to improve the performance. The maximum number of training epochs was 100. The initial learning rate was 0.01 and it was reduced by a factor 0.9 every epoch. The training data was shuffled before each training epoch.

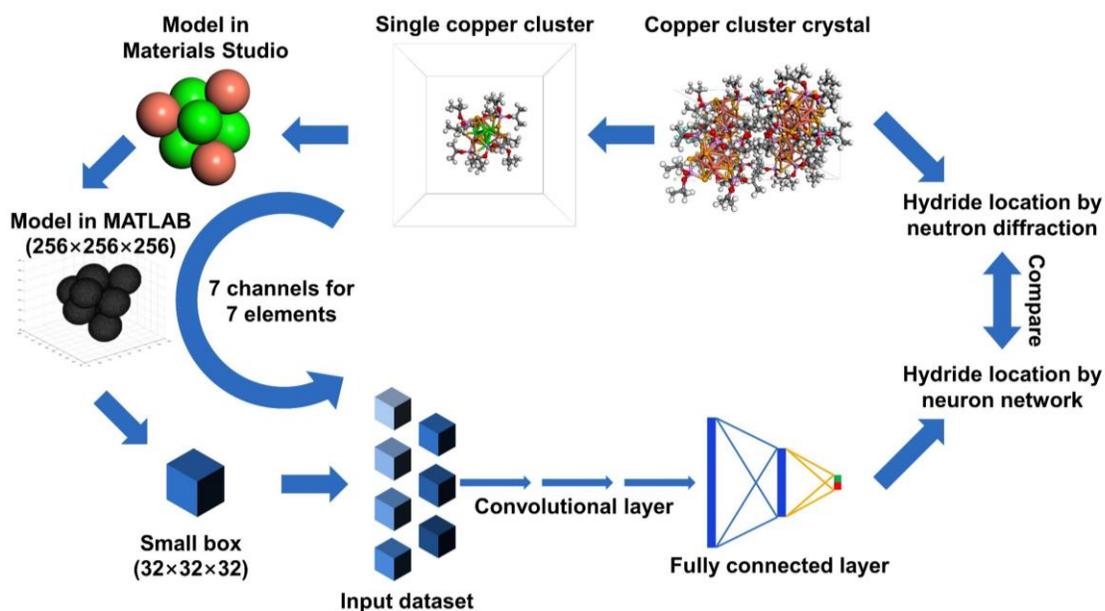
#### 10.3.4 Optimization of copper hydrides

The density functional theory (DFT) calculations were performed with the Vienna ab initio simulation package (VASP) to optimize the structures of copper hydride clusters.<sup>26-28</sup> The Perdew–Burke–Ernzerhof (PBE) form of the generalized-gradient approximation (GGA) was used for electron exchange and correlation.<sup>29</sup> The projector-augmented-wave (PAW) method<sup>30</sup> was used to describe the electron-core interaction with the cutoff energy of 450 eV for the planewave bases. To reduce computational cost, the outer groups like phenyl and tert-butyl were replaced by methyl. All atoms were allowed to relax.

## 10.4 Results and discussion

We collected 21 different copper clusters which have been determined exact hydride locations by neutron diffraction as benchmark.<sup>14-25</sup> The coordinates of “heavy

atoms” (excluding hydrogen atom) in copper clusters which can be measured by SXRD were selected as the input. They were not directly delivered to the input layer but converted into 595 small three-dimensional images (or called boxes) as the input dataset. Because there are seven different “heavy atoms” among the collected copper clusters, seven input channels were created for each element. Then, a three-dimensional convolutional neural network (3D-CNN) was applied for classification, as shown in Figure 10-1 and Figure S10-2. In this network architecture, there are three convolutional layers to automatically extract features and three fully connected layers to classify input data to two classes: boxes with hydride or boxes without hydride.

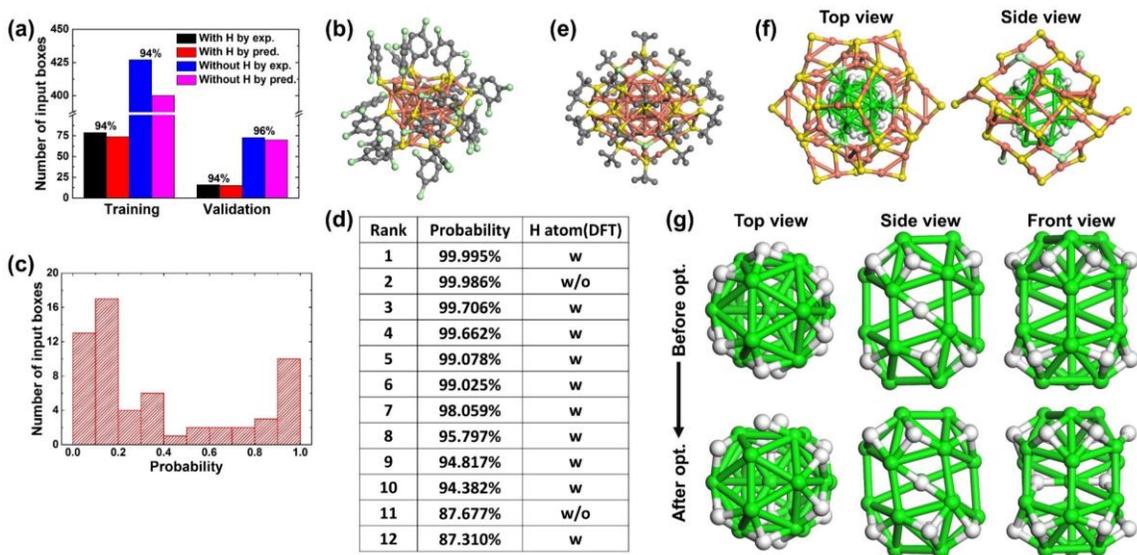


**Figure 10-1.** The process of generating input dataset and the sample architecture of 3D-CNN.

There are 85% input data as training set and 15% input data as validation set. The prediction results by 3D-CNN were compared with the benchmark from neutron diffraction

in Figure 10-2a. The accuracies of 3D images with hydride and without hydride are both around 95%. The consistency of accuracy between training set and validation set indicates no overfitting.

Then, another two new copper hydride clusters without neutron diffraction measurement were used to proof the predictability of above well-trained 3D-CNN. The first example includes 25 copper atoms and 10 hydrides (Figure 10-2b).<sup>12</sup> Because it is not a very complicated structure, the hydride locations have been obtained by DFT calculation, shown in the third column of Figure 10-2d. Then, a serial of small boxes from the whole structure were put into the 3D-CNN, and the number of boxes with different probability of having hydride are shown in Figure 10-2c. They were approximatively divided into two sides, and just few boxes have an ambiguous probability. Then, we further considered the number of hydrides according to the experimental chemical formula  $[\text{Cu}_{25}\text{H}_{10}(\text{SPhCl}_2)_{18}]^{3-}$ , and preferentially paid attention to the boxes with higher probability of having hydride (Figure 10-2d). In the top 10, nine of them agree with the DFT results, while the last right location also ranks higher.



**Figure 10-2.** The prediction results of 3D-CNN. (a) The statistical number of input boxes with or without hydride according to the experimental neutron diffraction or the prediction of 3D-CNN. (b) The SXR structure of  $[\text{Cu}_{25}\text{H}_{10}(\text{SPhCl}_2)_{18}]^{3-}$ . (c) The predicted probability distribution for the boxes extracted from  $[\text{Cu}_{25}\text{H}_{10}(\text{SPhCl}_2)_{18}]^{3-}$ . (d) The comparison between predicted and DFT calculated results. (e) The SXR structure of  $[\text{Cu}_{61}(\text{StBu})_{26}\text{S}_6\text{Cl}_6\text{H}_{14}]^+$ . (f) The top and side view of copper cluster part with predicted hydride locations. (g) The comparison between core copper hydride clusters before and after DFT optimization.

The second example is more complex including 61 copper atoms and 14 hydrides (Figure 10-2e),<sup>13</sup> so the hydride locations are still undetermined in experiment. But the XRD measurement has already been done, so the chemical formula  $[\text{Cu}_{61}(\text{StBu})_{26}\text{S}_6\text{Cl}_6\text{H}_{14}]^+$ , the crystal symmetry, and the cluster structure without hydrogen atom could be obtained. The prediction process was similar to that for the first example. The XRD structure was divided to many small 3D boxes as input. Then, from high to low probability, and also considering the symmetry (space group  $C2/c$ ),<sup>13</sup> 14 boxes were selected to possess a hydride, and the structure with 14 hydrides are shown in Figure 10-

2f. All hydrides are around the core copper cluster. Then, the whole structure was optimized by DFT calculation to check its stability. As shown in Figure 10-2g, the copper atoms have almost no change, and the hydrogen atoms are also fine-tuned slightly. The absence of collapse proves the structure's stability and the hydride location's reasonability. As comparison, several hydrides were moved to other locations, and the structure was also optimized in the same way. But this time a structure distortion was observed (Figure S10-5).

## 10.5 Summary and conclusions

In summary, we have trained a 3D-CNN from the experimental copper hydride clusters based on the coordinates of "heavy atoms". Then, the well-trained network successfully predicted hydride locations for two complicated copper clusters which cannot be measured by neutron diffraction in experiment. This deep learning approach can help researches rapidly pinpoint the hydride locations for increasing number of novel copper hydride clusters. Furthermore, the neural network is possible to be transferred for prediction of other metal clusters like silver hydride if enough clusters are reported in future.

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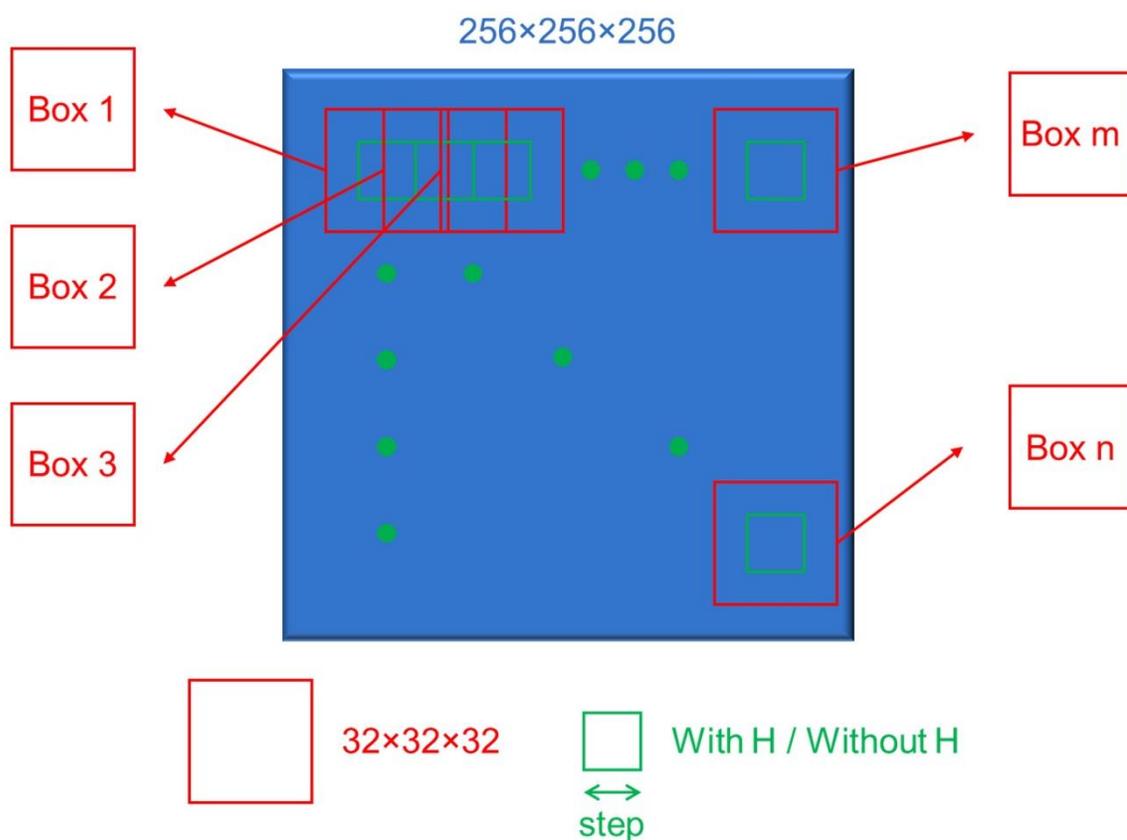
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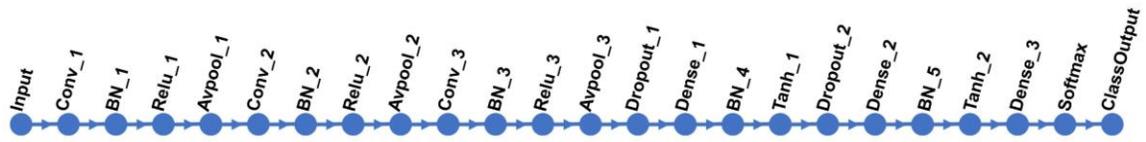
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## Supporting information



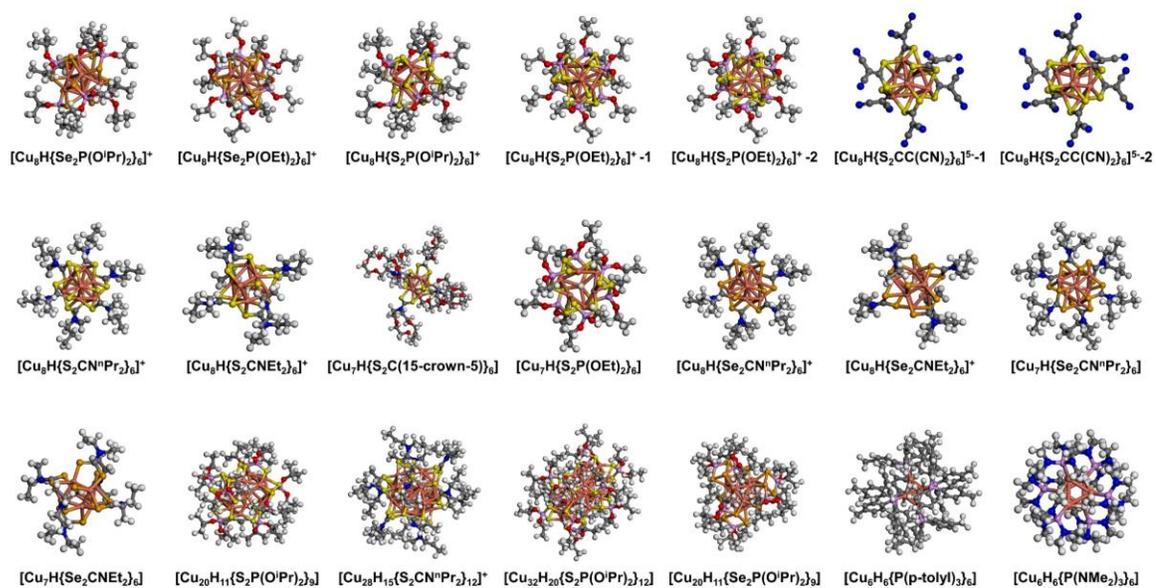
**Figure S10-1.** The process of generating input dataset.

The large blue box ( $256 \times 256 \times 256$ ) contained the copper hydride cluster. The middle red boxes, which included enough information around a potential hydride location, formed the input dataset. The 3D-CNN would predict whether there is a hydride in each small green box or not.

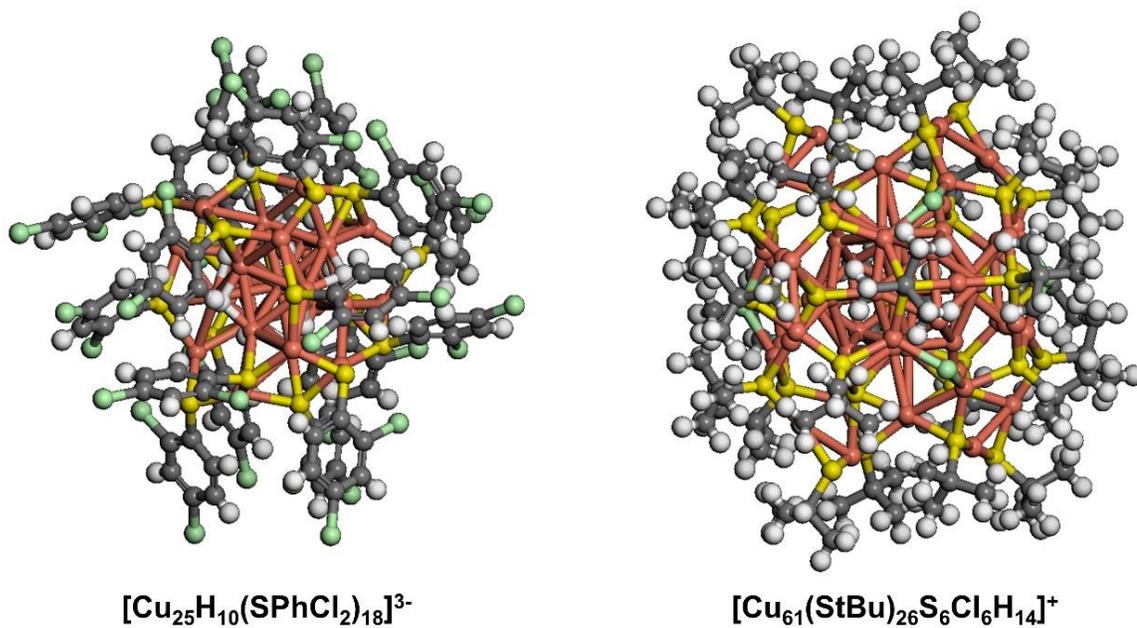


**Figure S10-2.** The detailed architecture of 3D-CNN.

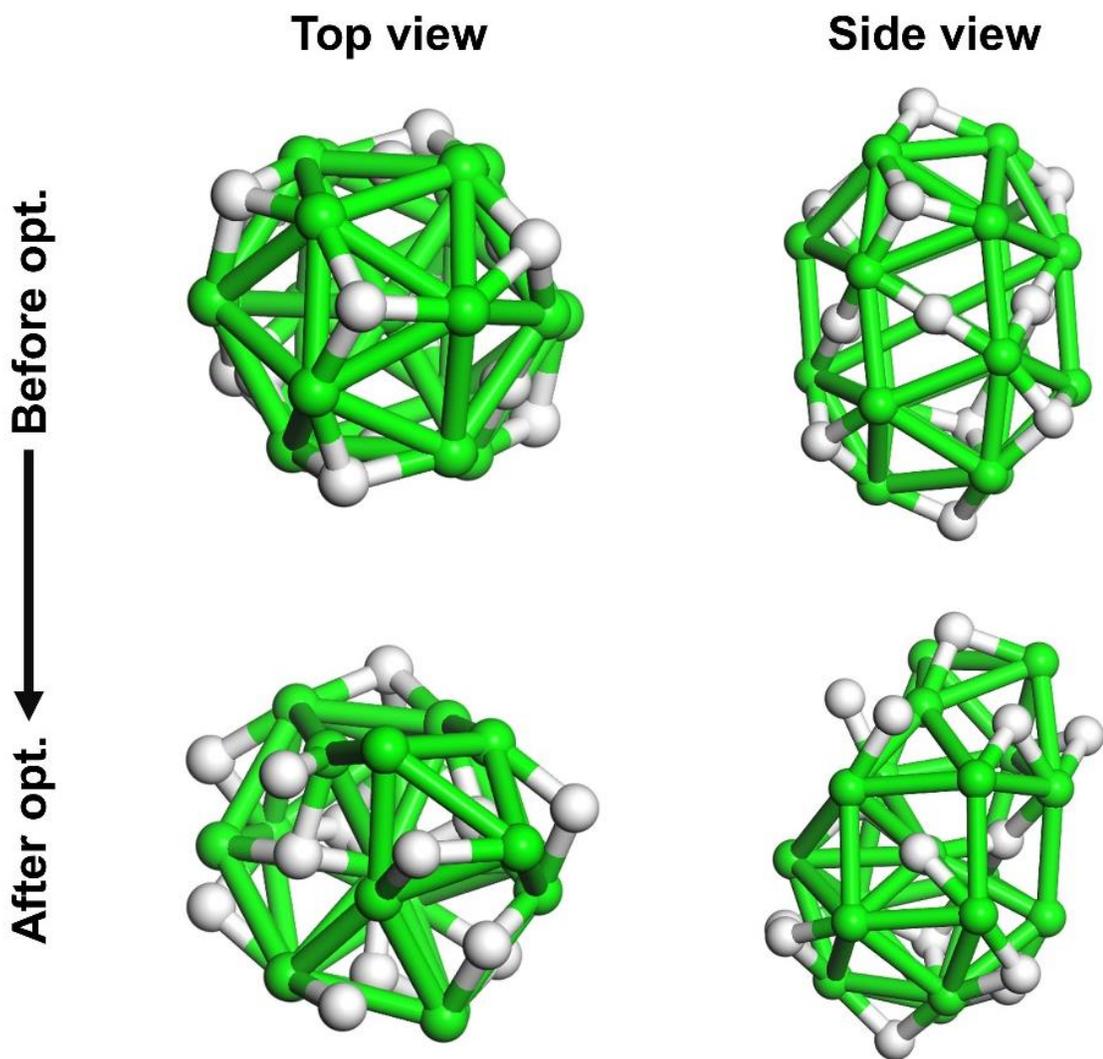
The layer names represent different types of layer. “Input”: 3D image input layer; “Conv”: 3D convolutional layer; “BN”: batch normalization layer; “Relu”: ReLU layer; “Avpool”: average pooling layer; “Dropout”: dropout layer; “Dense”: fully connected layer; “Tanh”: hyperbolic tangent activation layer; “Softmax”: softmax layer; “ClassOutput”: classification layer.



**Figure S10-3.** The structures and chemical formulas of 21 copper hydride clusters for training 3D-CNN.



**Figure S10-4.** The structures and chemical of 2 copper hydride clusters for checking predictability of 3D-CNN.



**Figure S10-5.** The comparison between core copper hydride clusters with unreasonable hydride locations before and after DFT optimization.

## Chapter 11. Summary and Outlook

In this dissertation, we studied the ultrathin nanoporous materials for gas separation by using different computational approaches including DFT, GCMC, CMD, and machine learning. The effect of several factors has been studied, such as pore size, pore density, pore shape, surface area, et al.

In Chapter 3, we studied the pore-size effect on CO<sub>2</sub> uptake and CO<sub>2</sub>/N<sub>2</sub> selectivity according to the overlap of potential energy surface of gas molecules in carbon nanotubes. GCMC simulations were applied to quantify the values of uptake and selectivity. We found that cylindrical pores of 7 to 8 Å (or accessible pores of 3.4 to 4.4 Å) in size are promising for post-combustion CO<sub>2</sub> capture.

In Chapter 4, we studied another important factor pore density dictating gas separations through ultrathin nanoporous membranes. By CMD simulations, we found that higher pore density would yield higher permeation rate for gas molecules. We also found that for weakly adsorbing gas such as He, its permeation is dominated by direct flux. In contrast, for strongly adsorbing gas such as CO<sub>2</sub>, its permeation is dominated by surface flux. This work suggested that making the membranes asymmetric by creating dissimilar surfaces could lead to more interesting permeation and separation behaviors.

In Chapter 5 and 6, we presented a design of bilayer nanoporous graphene membranes to continuously tune the effective pore size. With suitable effective pore size,

high selectivities of CO<sub>2</sub>/CH<sub>4</sub>, N<sub>2</sub>/CH<sub>4</sub>, and O<sub>2</sub>/N<sub>2</sub> can be achieved, while CO<sub>2</sub>, N<sub>2</sub>, and O<sub>2</sub> permeances remain on the order of 10<sup>5</sup> GPU. By tracking trajectories of gas molecules, we found extra tumbling motion of gas molecule through the elliptical-shaped pore would yield high entropic selectivity. This design can be also extended to more membranes with larger pore size. These works suggested a promising direction to tune the effective pore size for selective gas separation.

In Chapter 7, we presented another design to tune pore size through combination of graphene and ionic liquid. The layer-by-layer graphene/ILs composite materials were optimized by DFT calculation. Then, they were simulated by GCMC to calculate their CO<sub>2</sub>/N<sub>2</sub>/CH<sub>4</sub> adsorption capacities and selectivities at experimental conditions. This work shows an easily way to tune slit pore size for high selective carbon capture.

In Chapter 8 and 9, we presented how to use machine learning to rapidly predict the uptakes and selectivities for large numbers of porous carbon material. In Chapter 8, we chose micropore volume, mesopore volume, and BET surface area as input features. Further, in Chapter 9, we chose N<sub>2</sub> adsorption isotherm at 77 K, which is an ultimate input feature. By exploring hypothetical porous carbons space, we found the best features for high CO<sub>2</sub> uptake are not the same with the best features for CO<sub>2</sub>/N<sub>2</sub> selectivity. Focusing on the lowest N<sub>2</sub>-uptake regions is a better strategy. The region should have bimodal distributions of well-separated micropores (< 2 nm) and mesopores (3 – 7 nm).

In Chapter 10, we extended the application of deep learning to predict the location of hydrides in copper clusters. This work presented how to transfer crystal structures of copper cluster to a serial of 3D images as input dataset and how to train a 3D-CNN model to predict the hydride location. The accuracy of this model was proved by DFT calculation for two complicated copper clusters whose hydride locations have not been measured by neutron diffraction in experiment. This work provides a useful tool to rapidly pinpoint the hydride locations in novel copper clusters, even in other metal clusters by transfer learning in future.

In conclusion, we have studied various factors which are relevant to gas separation via ultrathin membrane materials by several computational methods. Our works are important for exploring novel materials for different gas separation systems. However, with the increasing numbers of new materials, there is still room for further research in this area. First, beside carbon based membrane materials, which are mainly considered in this dissertation, there are many other 2D porous materials which are promising for forming gas separation membranes. They need more researches in future. Second, some functional groups like nitrogen, oxygen, and fluorine are significantly influence the separation ability of membrane materials. The effects of them need more studies. Third, this dissertation only considered physisorption. Chemisorption is another important branch. Many ionic liquids belong to this aspect. Reactive force fields need to be developed for them for molecular

dynamics simulations. Fourth, traditional computational methods like DFT and CMD are usually time-consuming. For exploring large material database to find novel membrane materials for gas separation, high throughput methods will be more and more important. As one of these methods, machine learning applications in chemical area are still limited. In future, machine learning will be benefit for every aspect of chemistry.