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Developments in our understanding of the genetic basis of birth defects

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Abstract

Birth defects are a major cause of morbidity and mortality worldwide. There has been much progress in understanding the genetic basis of familial and syndromic forms of birth defects. However, the etiology of nonsyndromic birth defects is not well-understood. Although there is still much work to be done, we now have the tools to accomplish the task. Advances in next-generation sequencing have introduced a sea of possibilities, from disease-gene discovery to clinical screening and diagnosis. These advances have been fruitful in identifying a host of candidate disease genes, spanning the spectrum of birth defects. With the advent of CRISPR-Cas9 gene editing, researchers now have a precise tool for characterizing this genetic variation in model systems. Work in model organisms has also illustrated the importance of epigenetics in human development and birth defects etiology. Here we review past and current knowledge in birth defects genetics. We describe genotyping and sequencing methods for the detection and analysis of rare and common variants. We remark on the utility of model organisms and explore epigenetics in the context of structural malformation. We conclude by highlighting approaches that may provide insight into the complex genetics of birth defects.

Introduction

Nearly 8 million children are born in the world each year with a serious birth defect (Christianson et al., 2005). In the United States, birth defects affect at least 1 in every 33 newborns and result in considerable mortality and long-term disability (Centers for Disease Control and Prevention, 2008). Progress has been made in identifying environmental risk factors in nonsyndromic birth defects;¹ however, ample work remains in terms of characterizing the genetic basis for most of these conditions. Here we review the genetic basis of nonsyndromic structural birth defects, with a focus on the four most common structural birth defects: congenital heart defects (CHD), neural tube defects (NTD), clefts of the lip and/or palate (CLP), and hypospadias. We provide a historical perspective and describe current microarray- and sequencing-based approaches for identifying common and rare variants underlying structural birth defects. We discuss the strengths and limitations of each technique and provide examples of the successful implementation of each approach to identify genetic factors influencing the risk of nonsyndromic birth defects.

CHD, NTD, CLP, and hypospadias account for nearly half of the birth defects that occur in the United States (Parker et al., 2010; Porter et al., 2005). CHDs are abnormalities of the heart or great vessels that are present at birth. They are the most common type of birth defect. These malformations occur in about 8 of every 1000 live births, and approximately 40% of babies born with the most serious CHDs die in infancy (Gilboa et al., 2010; Hoffman and Kaplan, 2002; Mathews et al., 2013; Moller et al., 1993; Pierpont et al., 2007; Yoon et al., 2001). Affected infants who survive often require repeated surgeries and lengthy hospitalization. Similarly, neural tube defects are often severe and debilitating. These malformations result from improper closure of the skull or vertebrae, leaving the brain or spinal cord exposed. In the United States, NTDs affect 0.6 in every 1000 births (Parker et al., 2010). Rates of NTDs are even higher in some developing countries (Castilla et al., 2003). CLP is a congenital malformation in which facial development is disrupted. It affects 2 of every 1000 births in the United States. Although it is not a major cause of infant mortality, children with craniofacial malformations often require surgery to repair the cleft lip or cleft palate and may encounter problems with feeding, speaking, hearing, or social stigmatism. Hypospadias is a structural malformation in which the opening of the urethra is located on the underside of the penis rather than on the tip. It affects approximately 3 per 1000 births (Dolk et al., 2004; Fisch et al., 2009; Porter et al., 2005).

Genetic Landscape: Past

Several lines of evidence, in both animal and human studies, indicate that most nonsyndromic defects have a genetic component. Existing evidence from human studies includes increased concordance among monozygotic twins compared to dizygotic twins, among full siblings compared to half siblings, and among first-degree relative compared to second- and third-degree relatives. Such studies point to a genetic basis for CHD (Oyen et

¹Nonsyndromic birth defects are defined in the context of this paper as congenital malformations that are not associated with a known or identifiable syndrome.

al., 2009), NTD (Janerich and Piper, 1978), CLP (Christensen and Mitchell, 1996), and hypospadias (Schnack et al., 2008).

Candidate Gene Studies

Early genetic studies of nonsyndromic birth defects focused on testing the association of a small number of candidate single-nucleotide polymorphisms (SNPs) with common birth defects, including CLP, NTDs, and CHDs. For many of these studies, candidate genes were selected based upon mouse models of normal and abnormal development. For example, animal studies initially highlighted growth factors involved in development of the palate. Several of these developmental genes were later included in a small case-control study of nonsyndromic cleft lip with or without cleft palate (Ardinger et al., 1989). This work revealed an association between transforming growth factor-alpha (*TGFA*) and CLP – an association that has been replicated in subsequent studies (Lu et al., 2014). Characterization of NTDs in two mouse models (*spin cycle* and *crash*) led to the discovery of *CELSR1* (Curtin et al., 2003) and associated proteins within the planar cell polarity pathway. Genes within this pathway (e.g. *CELSR1*, *FUZ*, *VANGL1*, *VANGL2*, and *SCRIB*) have since been linked to NTDs among humans. In the case of nonsyndromic CHDs, many of the critical cardiac transcription factors (e.g. *NKX2-5*, *GATA4*) were first characterized in the mouse then included as targets in candidate gene studies (Lyons et al., 1995; Molkenin et al., 1994). Discovery of mutations in the transcription factors, *ZIC3*, *GATA4*, and *NKX2-5* in CHD has since highlighted the critical role of these proteins in cardiac development (McCulley and Black, 2012).

Candidate gene studies of birth defects not only built upon findings from developmental biology but also gained insight from epidemiologic studies. It was clear by 1992² that periconceptional folate intake reduced the risk of NTD. Subsequent work established a link between maternal periconceptional multivitamin use and reduced risk of conotruncal heart defects, limb deficiencies, and CLP (Shaw et al., 1995a; Shaw et al., 1995b). Frosst et al. (1995) described a polymorphism in methylenetetrahydrofolate reductase (*MTHFR* 677C>T) that encodes a thermolabile enzyme with diminished activity. Individuals with this form of *MTHFR* have a decreased concentration of serum folate and an increased concentration of homocysteine. Recognizing the potential implications, researchers soon tested for associations between *MTHFR* 677C>T and common birth defects. By the end of the decade, *MTHFR* 677C>T was established as an important risk factor in NTD (van der Put, Nathalie MJ et al., 1998) and conotruncal heart defects (Junker et al., 2001; van Beynum et al., 2006; Yin et al., 2012).

As custom genotyping microarrays became more available in the mid-2000s, researchers began to genotype entire pathways rather than individual genes. Despite changes in technology, folate-related genes continued to be an important focus of study (Hobbs et al., 2014; Shaw et al., 2009; Zhu et al., 2012). This approach cast a broader net in search of common variants affecting disease risk. It did so by examining tens to hundreds of polymorphisms, within the context of gene-environment (Hobbs et al., 2014; Zhu et al.,

²In 1992, the United States Public Health Service made the recommendation that women of childbearing age consume 0.4 mg of folic acid per day to reduce the risk of having a pregnancy affected by a neural tube defect (Houk et al., 1992).

2012) and maternal-fetal interactions (Li et al., 2014). An increasingly large number of study participants were necessary in order to account for multiple testing. Therefore, common birth defects (CHD, NTD, and CLP) received much of the initial focus.

Candidate gene studies are especially well-suited to situations where there is strong evidence for involvement of a pathway in disease. However, this method may be vulnerable to inadvertent bias in the selection of candidate genes. It is also possible that a significant association is detected by chance alone. Therefore, it is important that results be replicated. In the past, there have been a number of questionable genotype-phenotype associations (Hirschhorn et al., 2002), which might have been clarified by rigorous validation efforts. To address this issue, the National Human Genome Research Institute (NHGRI) working group has outlined several best practices for replicating genotype-phenotype associations (Chanock et al., 2007). The guidelines have become requisite standards for GWAS, but they are equally applicable to candidate gene studies. The report recommends that a comparable phenotype and population should be analyzed in both the initial study and the replication. It also stresses that the replication study should be large enough to identify the initial association. Ideally, the replication sample should be at least as large in number as the discovery sample.

Genetic Landscape: Present

Birth defects sometimes cluster within families and have a higher recurrence rate among full-siblings compared to half-siblings. This is especially true of syndromic birth defects, which often segregate as autosomal dominant, autosomal recessive, or X-linked traits (Fahed et al., 2013). In contrast, genotype may play only a minor role in birth defects caused by maternal exposure to a teratogen such as isotretinoin (Rosa, 1983).

Genetic variation among humans is often classified as either rare or common. Common variants are arbitrarily defined as those with a minor allele frequency (MAF) of at least 5% (1000 Genomes Project Consortium, 2012); whereas, rare variants are often characterized as having a MAF less than 1%. There is a longstanding debate about the respective contributions of common and rare variants to complex diseases (Bodmer and Bonilla, 2008; Gibson, 2012). In reality, both common and rare variants are thought to contribute to risk of nonsyndromic birth defects. In the following pages, we will describe both categories and will review methods for variant detection and analysis.

Common Variants

Genome wide association studies (GWAS) interrogate hundreds of thousands to millions of SNPs in order to identify associations between a genotype and complex disease. Nearly 2000 GWAS have been reported since the initial publication of Ozaki et al. (2002). These studies have identified numerous common variants that are risk factors for disease (Welter et al., 2014). The majority of GWAS of birth defects have focused on CLP, CHD, and hypospadias (Table 1). To our knowledge, none have include NTDs. GWAS of CHDs have identified 5 SNPs reaching genome-wide significance ($p < 5 \times 10^{-8}$). The CHD-associated SNPs have modest odds ratios (OR) ranging from 1.2 to 1.5 and have global minor allele frequency (GMAF) of 0.2–0.3 (Table 1). Meanwhile, GWAS on CLP have reported SNPs

with greater effects size (OR = 1.4–2.6). Two GWAS has been conducted on hypospadias. The first identified a common variant (GMAF=.44) in the gene *DGKK* that is strongly associated with risk of hypospadias (van der Zanden, Loes FM et al., 2011). The second, much larger study identified 18 SNPs with genome-wide significance, accounting for a total of 8.7% of disease liability (Geller et al., 2014). A total of 56.9% disease liability was explained when all SNPs in the study were considered. This lends support to the infinitesimal model, in which hundreds or thousands of loci contribute to disease risk. In this model, statistically significant GWAS results represent only the largest of effects drawn from a normal distribution (Gibson, 2012).

Genotyping Technology

Genotyping methods have evolved rapidly since the first GWAS was completed in 2002. The number of variants assayed by GWAS has increased from around 10,000 SNPs in the early 2000s to 5 million SNPs at present (Hopper et al., 2012). The additional SNPs provide increased resolution of haplotypes, increased coverage of low frequency variants, and improved ability to infer genomic structural variation (Alkan et al., 2011). Structural variation, including insertions, deletions, and inversions, is broadly associated with nonsyndromic birth defects (Southard et al., 2012). SNP microarray and array comparative genomic hybridization (array CGH) have historically been the workhorses for detecting insertions and deletions, referred to as copy number variation (CNV). However, SNP microarrays may be gaining an edge because of their versatility. Increased density of SNP microarrays has made it possible to detect smaller structural variation and accurately resolve their breakpoints. Although the high density SNP microarray is a powerful tool, it is biased by a lower sensitivity in detecting single copy gains compared to single copy deletions. Even with high density chips, it can be challenging to consistently detect small events. Next-generation sequencing (NGS), a high-throughput method for sequencing millions or billions of DNA strands in parallel, overcomes many of these limitations and is arguably better suited at detecting small structural variation. There are multiple bioinformatics and statistical considerations in CV detection (Alkan et al., 2011) that are beyond the scope of this paper.

GWAS of CHD, CLP, and hypospadias have been successful in identifying common variants that influence disease risk (Beaty et al., 2010; Birnbaum et al., 2009; Cordell et al., 2013a; Cordell et al., 2013b; Geller et al., 2014; Hu et al., 2013; Ludwig et al., 2012; van der Zanden, Loes FM et al., 2011). These SNPs (Table 1) occur frequently in the population ($af = 0.17-0.49$) and have modest effects size (OR = 1.3–2.6) (Figure 1). They may be directly involved in the disease etiology (i.e. functional or causal variant) or may “tag” a nearby functional variant that is involved in development of the disease. In most cases, the SNP being “tagged” is common within the population and has an effect size that is similar, if not slightly larger than the original SNP. In some instances, the GWAS points to genomic regions that are not only susceptible to perturbations caused by common variants but are also vulnerable to rare variants. Ventral anterior homeobox 1 (*VAX1*) proves an example of a gene that contains both common SNPs and rare functional variants. A GWAS first identified SNPs within *VAX1* that were strongly associated with CLP. Sequencing of the gene among affected family trios later replicated the risk markers from the GWAS and identified both

common and rare variants associated within CLP (Butali et al., 2013). Interferon regulatory factor 6 (*IRF6*) provides a similar example. Mutations in this gene are known to cause Van der Woude syndrome, an autosomal dominant form of cleft lip and palate. Not only do rare variants in *IRF6* cause syndromic cleft lip and palate, but a common variant within an *IRF6* enhancer influences disease risk by disrupting transcription factor binding (Rahimov et al., 2008). Genomic variants that have a major impact on health or reproductive fitness are more likely to be under selective pressures, limiting allele transmission and reducing allele frequencies within the population, as shown in the upper left-hand quadrant of Figure 1. These mutations often have large effects but occur at such low frequency that even in large GWAS they fail to meet genome-wide significance.

Risk loci identified by GWAS account for only a small fraction of the observed heritability of any particular birth defect (Gibson, 2012). Rare variants are seen to play an increasingly important role in the etiology of birth defects, and it is likely that rare alleles explain some of the missing heritability of complex traits. NGS provides an effective means of identifying rare variants. Whole-exome sequencing (WES) is an especially efficient approach for functional variant discovery. WES uses probe hybridization enrichment to capture 50–60 Mb of genomic DNA including, protein coding sequences, micro RNA, and in some cases untranslated regions flanking each gene (UTR). The exome comprises only 2% of the human genome; however, it contains the majority of known, disease-causing mutations. WES has been widely successful in identifying mutations responsible for inherited Mendelian diseases (Rabbani et al., 2012) and familial forms of birth defects (Arrington et al., 2012; Yu et al., 2013). As the cost of WES continues to fall, it becomes a more attractive tool for studying complex disorders, including birth defects. For example, exome sequencing of 362 cases-parent trios with CHD and 264 control-parent trios recently identified histone-modifying genes that are involved in CHD (Zaidi et al., 2013). Because CHD is under strong selective pressure, the investigators in this example focused on *de novo* mutations that might account for the sporadic pattern of occurrence among their cases. They found a very similar number of *de novo* protein-altering mutations among cases and controls, but interestingly the mutations among cases were more likely to occur in genes required for heart development. Based on the number *de novo* mutations in heart developmental genes, the paper estimated that such mutations have a role in 10% of severe nonsyndromic CHD. The results suggest that risk for isolated CHD is influenced by mutations that affect any one of a broad range of developmental genes. This mirrors a study among trios with autism, which showed that predicted damaging, *de novo* mutations among cases were more likely to affect genes expressed in the developing brain (Sanders et al., 2012).

WES has recently been used alongside SNP arrays in order to identify *de novo* CNV in CHD (Glessner et al., 2014). In a study conducted by the Pediatric Cardiac Genomics Consortium, two complementary technologies, WES and microarray, were used to detect *de novo* mutations among 538 CHD case-parent trios and 1301 healthy controls. SNP microarray is very effective at identifying large CNV that reside throughout the genome; however, it is unable to identify small CNV, has a bias towards detecting single copy losses, and cannot map the location of copy number gains. In contrast, exome sequencing is often inaccurate at

identifying large structural variation, but is well-suited at detecting small CNV, which take the form of insertions or deletions (indels). By pairing WES with microarray, the investigators enhanced the effectiveness of both technologies and were able to identify recurrent *de novo* CNV at 15q11.2 and detect CNVs in genes that interact with key CHD proteins, NKX2-5 and GATA4 (Glessner et al., 2014).

WES has certain limitations. First, it covers only a small fraction of the genome. Regulatory elements play a critical role in development and disease risk (Wamstad et al., 2014); however, only a fraction of these elements are assessed by WES. A second limitation of WES is that it does not provide uniform coverage. Some regions of the exome have high coverage; whereas others receive limited coverage and suffer from low sensitivity in SNP detection. Uniformity of coverage for WES has improved in recent years, but there is still much room for improvement (Meynert et al., 2014). WES also has limited accuracy in identifying structural variation greater than 30 bp. Synthetic long-read approaches may improve accuracy of indel detection from WES datasets (McCoy et al., 2014), but microarray and whole genome sequencing are currently more accurate alternatives for detecting long indels (Fang et al., 2014).

Whole-genome sequencing overcomes many of the limitations of WES. This method uses NGS technology to determine the DNA sequence of the human genome. Unlike WES, whole-genome sequencing does not depend upon targeted enrichment; thus, it provides fairly uniform coverage across much of the genome and has less bias in detecting non-reference alleles (Meynert et al., 2014). Because of improved coverage, whole-genome sequencing requires a mean depth of approximately 14 reads to achieve 95% sensitivity; whereas, WES requires a mean on-target depth of 40 reads to reach this threshold (Meynert et al., 2014). Whole-genome sequencing has been used to study autism, CHD, and other complex diseases (Chaiyasap et al., 2014; Michaelson et al., 2012). It is able to identify potential, disease-causing variants both within and between genes and is more accurate than WES in detecting structural variation, such as insertions or deletions (Fang et al., 2014). With the release of the Illumina HiSeq X Ten system in 2014, the cost of sequencing the whole genome at 30X depth has dropped to approximately \$1000 per sample (Meynert et al., 2014). An economic analysis by Meynert et al. (2014) recently demonstrated that the cost of sequencing the whole exome and the whole genome are roughly equivalent for institutions with access to a HiSeq X Ten system. Because access to this sequencing platform is limited, WES still remains in most cases a more affordable option for sequencing protein coding regions. A major consideration with whole genome sequencing is that it generates an enormous amount of data, which must be stored and analyzed. Analysis requires bioinformatics and statistical expertise that is not broadly available. There are also challenges in predicting the functional consequences of variants, especially those within the intragenic region (Kircher et al., 2014).

Identifying *de novo* mutations is another strategy for discovering rare causal variants. Samocha et al. (2014) recently described a framework for interpreting *de novo* mutations, in which the number of *de novo* mutations within a gene or gene-set is compared to the expected number of mutations. In this framework, expected mutation rates are estimated based on local sequence context and selective constraint. Evolutionary constraint is not only

informative within this context, but is also an important factor in prioritizing rare sequence variants. Several algorithms are available for estimating sequencing conservation among species: GERP++, PhyloP, SiPhy (Liu et al., 2013). Sequence variants may also be prioritized using functional prediction algorithms, such as PolyPhen2, SIFT, MutationTaster, MutationAssessor, FATHMM, or LRT (Liu et al., 2013). Functional prediction methods are individually prone to false positives; therefore, it is prudent to evaluate the consensus among models (Tennesen et al., 2012). Software is also available to help predict the effects of genomic variation upon RNA splicing; Human Splicing Finder represents one such tool (Desmet et al., 2009). Conservation- and functional-prediction tools are useful for prioritizing single nucleotide variation (SNV) within the exon. However, there are still major challenges to interpreting SNV within noncoding regions (Khurana et al., 2013). Prediction models are also not well suited to evaluating the consequences of insertions and deletions.

Functional Validation

Despite limitations, functional prediction models can be very helpful for population-based studies. Studies often identify multiple disease-associated variants. Functional predictions can help prioritize variants for further study. Likely causal variants may then be characterized in cell culture or in animal models. In studies where there is appropriate consent, lymphoblastoid cell lines can be generated from peripheral B lymphocytes. Cell lines possessing the candidate variant can be screened to detect phenotypic changes at a cellular level. In cases where a cell line from the proband is unavailable, gene editing may be used to introduce the candidate variant into an appropriate cell type. Several options are available for gene editing, including clustered regularly interspaced short palindromic repeats (CRISPRs), zinc-finger nucleases (ZFNs) and transcription activator-like effector nucleases (TALENs) (Gaj et al., 2013). The most recent addition to this group, CRISPR/Cas, has proven to be a powerful tool for sequence specific gene editing. CRISPR/Cas systems can be used to efficiently introduce a putative causal variant into model systems (Cong et al., 2013). CRISPR/Cas systems have been used generate mice (Heckl et al., 2014), zebrafish (Hwang et al., 2013), and *Xenopus tropicalis* (Nakayama et al., 2013) models with targeted mutations.

Gene knockdown provides an alternative method of studying a candidate disease gene. Fakhro et al. (2011) used a *Xenopus tropicalis* gene knockdown system to identify human gene orthologs that are responsible for heterotaxy, which is a type of congenital heart disease caused by defects in left-right body patterning. A large excess of copy number variants in 61 genes had been discovered in human heterotaxy subjects compared to unaffected subjects. Twenty-two of these genes had *Xenopus* orthologs, and 7 of these were found to be expressed in the ciliated left-right organizer. Gene knockdown experiments with 5 of the genes resulted in left-right heart morphological anomalies, thereby validating their function in cardiac left-right patterning. Animal models provide much of the foundation for what we know about developmental processes. However, these models are not without their shortcomings. Animals and humans often have differences in the rate and production of birth defects related to environment, teratogens, and modifying factors. In addition, genes are not uniformly conserved between humans and model organisms. These differences can

hinder translation of findings between animals to humans. Nonetheless, model systems are invaluable in the study of birth defects and will play an increasingly vital role in characterizing candidate disease-genes related to human birth defects.

Epigenetics and non-syndromic birth defects

Epigenetic modifications include DNA methylation, modification of histones, and DNA interactions with non-coding RNA. DNA methylation, the most studied epigenetic modification, constitutes an epigenetic mechanism whereby a methyl group is covalently bound to a cytosine base in the context of CpG dinucleotides. Methylation of cytosines in DNA has been implicated in the mechanism of silencing of gene expression, genomic imprinting, chromosomal stability and protection against repetitive element expression.

During pregnancy, folate-dependent nucleotide synthesis and DNA methylation are increased (Oommen et al., 2005), and altered DNA methylation may be an underlying mechanism in the development of birth defects (Blom et al., 2006; Li et al., 2005; Okano et al., 1999). Indeed, recent studies showed that altered DNA methylation is associated with NTD and CHD (Chen et al., 2010; Chowdhury et al., 2011a; Chowdhury et al., 2011b; Wang et al., 2010). DNA methylation is an attractive therapeutic target for congenital defects because maternal dietary supplementation may restore DNA methylation patterns and negate the hypomethylating effects of harmful maternal exposures such as bisphenol-A (Dolinoy et al., 2007). Various maternal factors (Waterland and Jirtle, 2003) implicated in abnormal fetal development have been shown to affect DNA methylation patterns (Baccarelli et al., 2009; Candiloro and Dobrovic, 2009; Cooney et al., 2002). Alterations in epigenetic phenomena, such as DNA methylation, are likely to play a crucial role in determining the fetal phenotype (Wolff et al., 1998). The combined effects of genetics and epigenetics in the intrauterine environment and subsequent fetal development are not well understood and warrant further investigation.

Animal studies have confirmed the importance of folic acid and folate metabolism in normal fetal growth and development. A homomorphic mutation in the mouse *MTRR* gene, which is necessary for utilization of methyl groups from folate metabolism, resulted in developmental delay and congenital malformations, including neural tube and heart defects (Padmanabhan et al., 2013). Transgenerational effects of *MTRR* deficiency were also observed. When maternal grandparents were *MTRR* deficient, wild type female grand progeny exhibited wide-spread genomic instability and altered placental gene expression, as well as an increased level of congenital malformations. These malformations persisted in wild type progeny for five generations and were independent of maternal environment, suggesting transgenerational epigenetic inheritance, triggered by defects in *MTRR*.

In human studies, McKay et al. (2012) found that interindividual differences in DNA methylation patterns at birth are influenced by environmental factors, such as maternal vitamin B12 levels, genetic factors such as infant *MTRR* and maternal *MTHFR* genotypes, as well as length of gestation. These factors influence folate metabolism and affect the pool of methyl groups available for DNA methylation. Differences in methylation at the IGF2 locus, important in intrauterine growth (Börzsönyi et al., 2012), were associated with maternal *MTHFR* 677C>T polymorphism. They conclude that both global and gene-specific DNA

methylation patterns in the developing fetus are dependent on genetic factors in both fetus and mother that influence folate metabolism, as well as the intrauterine environment (vitamin B12 levels). In a study of one pair of monozygotic twins who were discordant for renal agenesis, Jin et al. (2014) found no differences in SNPs, CNVs or indels between the twins. They did, however, find 514 differentially methylated regions that were localized to 10 signaling pathways and 25 genes, including 6 genes that are known to be involved in organ development. These data implicate DNA methylation in the mechanism of organogenesis as well as in congenital malformations.

Recent work by Zaidi et al. (2013) suggests that aberrant histone modification may play a role in certain birth defects. This study compared the frequency of harmful *de novo* mutations among infants affected by CHD compared to healthy controls. Infants with CHD had a 7.5-fold excess of protein-altering *de novo* mutations (premature termination, frameshift or splice site) in genes that are important in cardiac development. Notably, cases also had a significant excess of mutations in genes involved in histone modifications. Histone modifications influence gene transcription throughout life and are especially important in regulating developmental genes.

Most research concerning congenital malformations has focused on maternal or fetal genetics and/or epigenetics. The paternal genetic component of human birth defects has not been sufficiently studied. Some recent evidence has emerged from experimental work to suggest paternal genetics contribute to risk of birth defects in offspring. For example, Lambrot et al. (2013) determined that paternal dietary folate deficiency increases birth defects in offspring. It was demonstrated that a folate deficient diet alters the sperm DNA methylation at loci associated with genes responsible for normal development and disease. Also, sperm histone methylation was altered in folate deficient males, suggesting that dietary insufficiency of folate could alter gene expression. Folate deficient males had a significantly reduced pregnancy rate when mated to control females due to increased post-implantation resorption. An increase in the frequency of malformations was observed in offspring of folate deficient males, including hydrocephalus, limb and muscle or skeletal defects. These data suggest that male folate levels may also be important in the prevention of structural birth defects. Therefore, it may be useful to consider both maternal and paternal folate deficiency in future studies of birth defects.

Genetic Landscape: Future

As Figure 2 illustrates, most birth defects are multifactorial in origin. Specifically, maternal environment (e.g. medications, folate, nutrition, obesity, smoking, alcohol) interacts with maternal genetics, epigenetics, and hormones to influence various metabolic processes and signaling pathways. These factors shape the intrauterine environment and can interact with fetal genetics and epigenetics to either facilitate or disrupt embryogenesis. Recent developments have helped to better understand such risk factors. In short, epidemiology has identify environmental risk factors; genomics has provided an unprecedented tool for identifying genetic variants within an affected population; model systems and gene editing have been invaluable in studying development and disease; and new analytical approaches have provided a means of interpreting complex datasets. Aided by these tools, research has

shifted from a gene-centered analysis to a systems-based analysis that examines the interactions among metabolic pathways and gene networks within the context of maternal and intrauterine environments. Much progress has been made; however, there is still much work to be done.

There have been successful reductions in some birth defects through research and population-based initiatives, for example, folate supplementation and fortification; yet, birth defects continue to be a global public health problem. Developing countries may not have the resources to follow through with nutritional or environmental preventive measures when malnutrition remains all too common. Birth defects persist in developed countries because nutritional, genetic, epigenetic environmental and unknown factors have not been fully investigated. Continued research in the areas of epidemiology, genetics, and epigenetics will lessen the global burden of birth defects through personalized and population-based preventative strategies.

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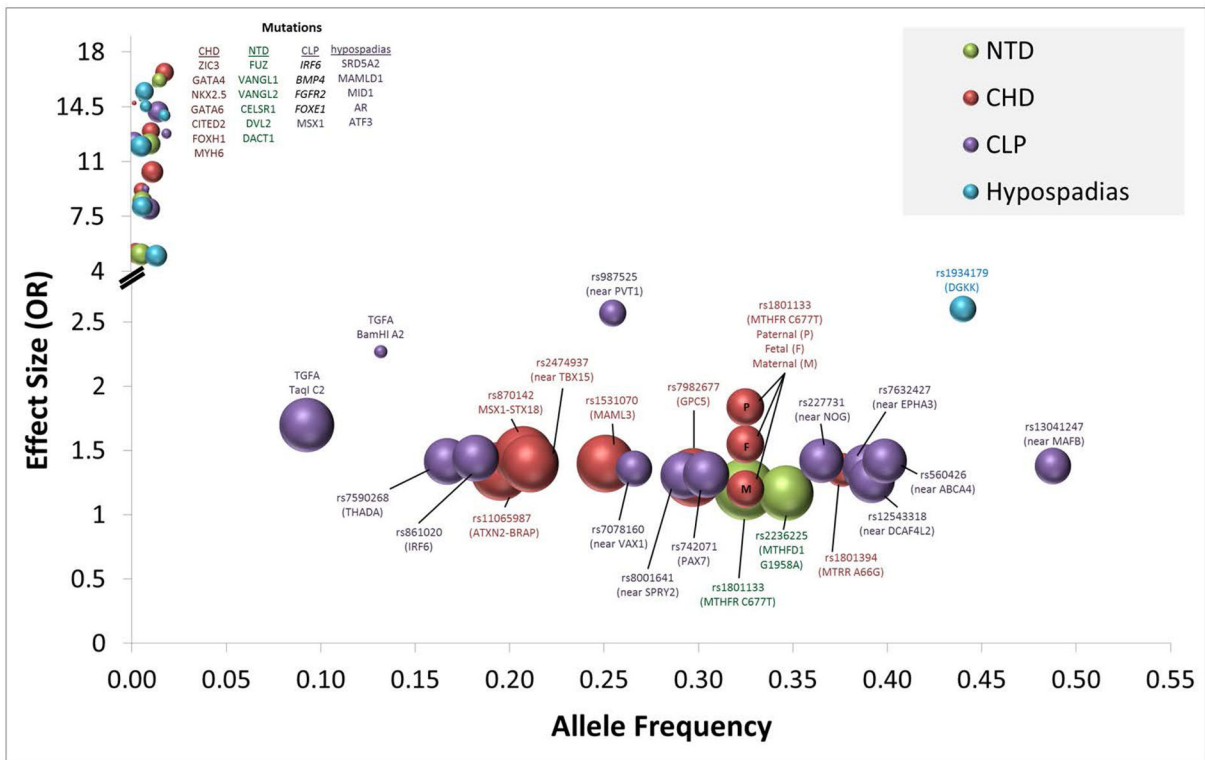


Figure 1. Allele frequency, effect size, and select birth defects

Common single nucleotide polymorphisms (SNPs) with modest effect size (middle-center) have been identified by association studies of sporadic cases of congenital heart defects (CHD), neural tube defects (NTD), clefts of the lip and/or palate (CLP), and hypospadias. In contrast, certain rare mutations appear to have high penetrance/effect size for the above-listed birth defects. The genes listed in the upper left are rare causal mutations that have been substantiated among patient groups and characterized in model systems. There are few if any adequately powered studies for these mutations; therefore, the OR for mutations is estimated based upon published literature and is intended to illustrate the general trend. Disease-associated SNPs are identified in the lower middle part of the figure by spheres, which are scaled in size based upon the total number of cases and controls in combined discovery and validation studies, from 479 individuals in the smallest study to 10,091 individuals in the largest study. Allele frequency represents global minor allele frequency (GMAF) from dbSNP. Effect sizes in the figure are based upon GWAS in Table 1 and meta-analyses of candidate-gene association studies (Cai et al., 2014; Feng et al., 2014; Jiang et al., 2014; Lu et al., 2014; Yadav et al., 2014; Yin et al., 2012).

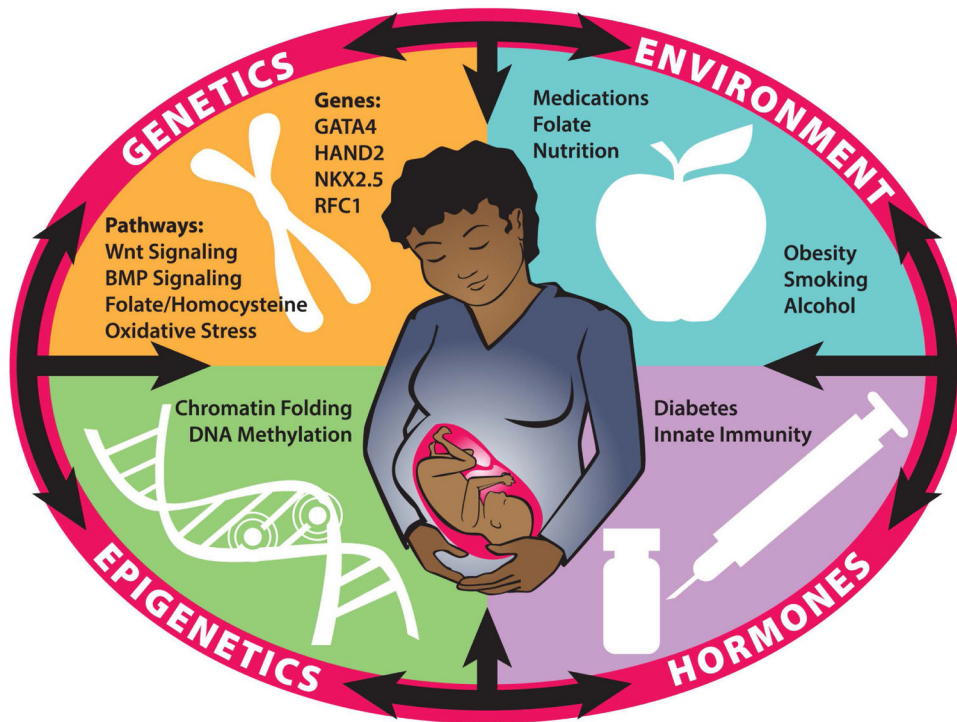


Figure 2. Interactions between genetics, epigenetics, maternal hormonal levels, and environmental exposures during embryonic development

Disruptions or anomalies in these interrelated systems may perturb the precise developmental program, leading to an increased risk of structural birth defects. Environmental and lifestyle factors, such as obesity, cigarette smoke, alcohol, nutrient intake, and folate supplementation influence folate and homocysteine metabolism and may impact oxidative stress. Oxidative stress and increased homocysteine can affect signaling pathways that include critical developmental genes, such as GATA4, HAND2, NKX2.5 and RFC1. Aberrant folate or homocysteine metabolism can also drain the pool of methyl groups that is critical to maintaining gene expression levels through DNA- and histone-methylation. This reflects the multifactorial origin of most nonsyndromic birth defects.

Genome wide association studies of congenital heart defects, clefts of the lip and/or palate, and hypospadias.

Table 1

Phenotype	SNP	Allele ^a	GMAF	Chr.	Gene	P	OR het (95% CI)	OR hom (95% CI)	Author
Atrial septal defect	rs870142	T/C	0.207	4p16.2	<i>MSX1 - STX18</i> (between)	2.60×10^{-10}	1.46 (NR)		Cordell HJ et al.
CHD	rs1531070	A/G	0.251	4q31.1	<i>MAML3</i>	5.0×10^{-12}	1.40 (1.27 – 1.54)		Hu Z et al.
CHD	rs2474937	C/T	0.211	1p12	<i>TBX15</i> (closest)	8.0×10^{-10}	1.40 (1.26 – 1.56)		Hu Z et al.
TOF	rs11065987	G/A	0.195	12q24.12	<i>ATXN2 - BRAP</i> (between)	7.7×10^{-11}	1.34 (1.21 – 1.50)		Cordell HJ et al.
TOF	rs982677	G/A	0.297	13q31.3	<i>GPC5</i>	3.03×10^{-11}	1.29 (1.15 – 1.44)		Cordell HJ et al.
CLP	rs560426	G/A	0.399	1p22.1	<i>ABCA4</i> (closest)	5.01×10^{-12}	1.42 (1.29 – 1.59)		Beaty TH et al.
CLP	rs987525	A/C	0.254	8q24	<i>PVT1 - GSDMC</i> (between)	3.34×10^{-24}	2.57 (2.02 – 3.26)	6.05 (3.88 – 9.43)	Birnbaum S et al.
CLP	rs7078160	A/G	0.266	10q25	<i>KIAA1598 - VAX1</i>	1.92×10^{-8}	1.36 (1.21 – 1.53)	2.50 (1.95 – 3.21)	Mangold E et al.
CLP	rs227731	C/A	0.365	17q22	<i>NOG</i> (closest)	1.07×10^{-8}	1.38 (1.21 – 1.56)	1.91 (1.63 – 2.24)	Mangold E et al.
CLP	rs13041247	C/T	0.488	20q12	<i>MAFB</i> (closest)	1.44×10^{-11}	.70 (0.64 – 0.78)		Beaty TH et al.
CLP	rs861020	A/G	0.182	1q32.2	<i>IRF6</i>	3.24×10^{-12}	1.44 (1.27 – 1.64)	2.04 (1.60 – 2.60)	Ludwig KU et al.
CLP	rs742071	T/G	0.304	1p36	<i>PAX7</i>	7.02×10^{-9}	1.32 (1.13 – 1.54)	1.88 (1.52 – 2.32)	Ludwig KU et al.
CLP	rs7590268	G/T	0.167	2p21	<i>THADA</i>	1.25×10^{-8}	1.42 (1.23 – 1.64)	1.98 (1.47 – 2.66)	Ludwig KU et al.
CLP	rs7632427	C/T	0.388	3p11.1	<i>EPHA3</i> (closest)	3.90×10^{-8}	0.73 (0.64 – 0.83)	0.61 (0.49 – 0.76)	Ludwig KU et al.
CLP	rs12543318	C/A	0.392	8q21.3	<i>DCAF4L2</i> (closest)	1.90×10^{-8}	1.27 (1.11 – 1.46)	1.68 (1.40 – 2.01)	Ludwig KU et al.
CLP	rs8001641	A/G	0.292	13q31.1	<i>SPRY2</i> (closest)	2.62×10^{-10}	1.31 (1.13 – 1.51)	1.86 (1.54 – 2.26)	Ludwig KU et al.
Hypospadias	rs1934179	T/C	0.438	Xp11.22	<i>DGKK</i>	2.80×10^{-21}	2.60 (2.10 – 3.10)		Van der Zanden LFM et al.
Hypospadias	18 SNPs ^b								Geller F et al.

Odds Ratios (ORs) are given with the major allele set as baseline. Chr., chromosome; het, heterozygous; hom, homozygous; CHD, congenital heart defect; TOF, Tetralogy of Fallot, CLP, clefts of the lip and/or palate;

^aThe minor allele is given first. The risk allele is shown in bold.

^bA total of 18 loci were significantly associated with hypospadias