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Mitigation and optimization of induced seismicity using physics-based forecasting

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Key Points:

- 1) Hybrid fully-coupled poroelastic physical and statistical model suggests induced seismicity in Raton Basin is still primarily driven by wastewater injection.
- 2) Linear-programming optimization can reduce seismic hazard for a given amount of injected fluid (safety objective) or maximize fluid injection for a prescribed seismic hazard (economic objective).
- 3) Optimization tends to spread out higher rate injection wells, thus managing injection rate per unit field area may be a useful tool to reduce basin-scale induced seismic hazard.

Abstract

It is well recognized that underground fluid injection can induce seismicity by altering stresses on pre-existing faults. Here, we investigate minimizing induced seismic hazard by optimizing injection operations in a physics-based forecasting framework. We built a 3D finite element model of the poroelastic crust for the Raton Basin, Central US, and use it to estimate time dependent Coulomb stress changes due to ~ 25 years of wastewater injection in the region. Our finite element model is complemented by a statistical analysis of the seismogenic index (SI), a proxy for critically stressed faults affected by variations in the pore pressure. Forecasts of seismicity rate from our hybrid physics-based statistical model suggest that induced seismicity in the Raton Basin, from 2001 - 2022, is still driven by wastewater injection. Our model suggests that pore pressure diffusion is the dominant cause of Coulomb stress changes at seismogenic depth, with poroelastic stress changes contributing about 5% to the driving force. Linear programming optimization for the Raton Basin reveals that it is feasible to reduce seismic hazard for a given amount of injected fluid (safety objective) or maximize fluid injection for a prescribed seismic hazard (economic objective). A common theme across the scenarios presented shows the optimization tends to spread out high-rate injectors and shift them to regions of lower SI. This intuitive result has practical importance: managing injection rate per unit field area may be a useful tool to reduce induced seismic hazard. Our optimization framework is both flexible and adaptable to mitigate induced seismic hazard in other regions and for other types of subsurface fluid injection.

Plain Language Summary

The Raton Basin, in the central United States, has had a remarkable increase in seismicity coincident with large wastewater injection since 2001. This seismicity primarily occurs at depths greater than several kilometers where preexisting faults in the crystalline basement are reactivated by fluid percolation. The spatial extent and rate of the induced earthquakes can inform hazard maps which display the probability of an earthquake occurrence within a specific time period. We use the physics-based and statistical models to develop an optimization framework that may help inform well operations. The proposed method allows for the maximization of injected fluid (the economic objective) and the reduction of seismic hazard (the safety objective).

1 Introduction

Induced seismicity is a growing problem world-wide as it accompanies a variety of industrial activities, including hydraulic fracturing (Rutqvist et al., 2015; Bao & Eaton, 2016) and wastewater disposal (Ellsworth, 2013; Keranen et al., 2014; Shirzaei et al., 2016), extraction and storage of natural gas (Grasso & Wittlinger, 1990; van Thienen-Visser & Breunese, 2015; Zbinden et al., 2017), CO₂ sequestration (Goertz-Allmann et al., 2014; White & Foxall, 2016), and renewable geothermal energy exploitation (Fialko & Simons, 2000; Giardini, 2009; Majer & Peterson, 2007; Mignan et al., 2015). Within the last decade, a dramatic increase in seismic activity in the Central and Eastern United States (CEUS) was caused by deep injection of water that was co-produced with oil (Keranen et al., 2014; Walsh III & Zoback, 2015; Langenbruch & Zoback, 2016; Langenbruch et al., 2018). Several moderate (M5+) events were induced in historically aseismic regions (Ellsworth, 2013; Weingarten et al., 2015; Foulger et al., 2018). Like natural tectonic earthquakes, induced events occur on pre-existing critically stressed faults, primarily in the crystalline basement (Townend & Zoback, 2000).

The occurrence of induced seismicity is attributed to various physical mechanisms, including pore pressure diffusion, poroelastic coupling and stress changes caused by seismic or aseismic fault slip (Segall & Lu, 2015; Keranen & Weingarten, 2018; Ge & Saar, 2022). In general, all mechanisms may contribute to the triggering of seismicity, because induced earthquakes can be triggered by stress changes just above stress perturbations caused by the Earth's tides (1-10 kPa) (Bachmann et al., 2012; Cacace et al., 2021; W. Wang et al., 2022; Stokes et al., 2023). Modelling studies at well-characterized injection locations show that the relative significance of these mechanisms varies from site to site depending on the physical rock properties, reservoir structure, fault geometry, seismotectonic conditions, and distance from injection among others. Pore pressure diffusion and poroelastic stress changes are considered primary mechanisms for induced seismicity (Segall & Lu, 2015; Keranen & Weingarten, 2018; Zhai et al., 2019; Ge & Saar, 2022; Stokes et al., 2023).

Understanding and mitigating the seismic response to fluid injection is still a major challenge, not just for wastewater disposal, but for other types of subsurface fluid injection: CO₂ sequestration, enhanced geothermal systems and hydraulic fracturing. In each region where subsurface fluid injection occurs, it is paramount to future operations

79 to find an optimal balance of efficient yet safe injection practices. The field of hydroge-
80 ology has long used coupled groundwater simulations and management models to op-
81 timize pressure changes in multiple wells for a certain benefit (Gorelick, 1983; Gorelick
82 & Zheng, 2015). For example, Gorelick and Remson (1982) sought the optimal solution
83 that maximized pollutant disposal while meeting spatial water quality standards at the
84 wells over time. A similar approach in the case of wastewater injection and induced seis-
85 micity could be to maximize injection while meeting spatial fault reactivation constraints.

86 Here, we present a framework that seeks to optimize the amount of wastewater in-
87 jected at the basin-scale with a fully-coupled poroelastic model combined with a statis-
88 tical seismicity forecasting model. Optimization is performed under a spatially varying
89 Coulomb failure stressing rate constraint dependent on faulting orientation (King et al.,
90 1994; Cocco, 2002; Jin et al., 2022). We first demonstrate the hybrid model’s effective-
91 ness at forecasting the observed seismicity in the Raton Basin of Colorado and New Mex-
92 ico – a long-standing and well-documented case of induced seismicity. We then demon-
93 strate the feasibility of future induced seismicity management using optimization of in-
94 jection under various constraint scenarios.

95 For our simulation and management models, we take advantage of the linearity in
96 the fully coupled poroelastic equations as well as the linearity in the Coulomb stress equa-
97 tion. Coupled poroelastic calculations are performed using a 3D finite element hydrome-
98 chanical model (Dassault Systemes, 2020). Our statistical seismicity model follows the
99 methodology of prior work performed in Oklahoma and Kansas, where spatiotemporal
100 variations of induced seismic hazard are calculated from pore pressure changes and spa-
101 tial variations of the subsurface’s susceptibility to induced earthquakes (Langenbruch et
102 al., 2018). The susceptibility is described by the spatially varying seismogenic index (SI),
103 a proxy for the number and stress state of pre-existing basement faults affected by stress
104 changes (Langenbruch & Zoback, 2016; Shapiro et al., 2010). Note that the SI model ap-
105 plied in Oklahoma and Kansas only considered pore pressure changes, while we consider
106 the fully coupled problem by including poroelastic stress changes in the Coulomb stress
107 analysis. We then form a management model using a response matrix for rate depen-
108 dent model constraints provided by the SI.

109 The management models considered are three 5-year prospective scenarios that use
110 the remnant pore pressure and stress conditions from prior injection in the Raton Basin.

111 In each scenario, the optimization chooses which injection wells to operate and at which
112 monthly rate of injection. The first scenario optimizes induced seismic hazard for an in-
113 jection strategy that tapers the overall injection by 70% from the 2022 levels (reduction
114 objective). The second scenario minimizes the seismic hazard for the current Raton Basin
115 injection rate, thus optimizing seismic hazard for a given injected volume (safety objec-
116 tive). The third scenario maximizes the total injected volume while holding constant Ra-
117 ton Basin’s currently forecasted seismic hazard (economic objective). The total frame-
118 work serves as a flexible platform by which the optimization of injection activities are
119 drafted to reduce the seismic hazard and maximize an economic objective.

120 **1.1 Raton Basin**

121 The Raton Basin, a ~ 150 km long by ~ 75 km wide sedimentary basin situated along
122 the border between Colorado and New Mexico, has shown a remarkable seismic rate in-
123 crease coincident with the beginning of industrial-scale wastewater injection in 2001 (Rubinstein
124 et al., 2014) (Figure 1). The rate increase was punctuated by the August 23rd, 2011 M5.3
125 Trinidad, Colorado earthquake, which caused structural damage in the nearby town of
126 Trinidad, as well as 17 M4+ events, the most recent of which occurred on March 10th,
127 2023 [ANSS Comprehensive Catalog] (Figure 1). Previous studies have linked seismic-
128 ity and wastewater injection wells operating in the basin using observational evidence
129 and physical modeling (Rubinstein et al., 2014; Barnhart et al., 2014; Nakai et al., 2017).
130 The time-dependent seismic hazard associated with these induced events can change based
131 on the pumping rates associated with the injection wells. Understanding both the spa-
132 tial and temporal change of past seismic hazard is critical to mitigating future hazard.

133 Injection induced seismicity began in 2001 and peaked in late 2011 with the Au-
134 gust 23rd, 2011 M5.3 Trinidad, Colorado earthquake (Figure 2). Since 2011, regional in-
135 jection rates have declined more than $\sim 33\%$, but the basin continues to exhibit an el-
136 evated seismicity rate with several recent M4+ events (Glasgow et al., 2021). The regional
137 stress field is heterogeneous, with a substantial rotation of the maximum horizontal stress
138 from predominantly north-south to east-west directions (Snee & Zoback, 2022). The earth-
139 quake focal mechanisms indicate a mixture of normal and strike-slip earthquakes (R. Wang
140 et al., 2020; Glasgow et al., 2021).

141 Geologic and hydrogeologic data indicate that the injection reservoir, the Dakota-
 142 Purgatoire Formation, a fractured sandstone reservoir, and underlying sedimentary units
 143 are permeable and hydraulically connected over a large lateral extent of the basin (Geldon,
 144 1989; Nelson et al., 2013). The injection reservoir is also well-confined from the shallower
 145 stratigraphy within the basin by more than 700 m of poorly-permeable Pierre Shale. Ad-
 146 ditionally, the western boundary is characterized by the Sangre de Cristo Mountain thrust
 147 fault system, a complex of west-dipping, Laramide-age thrust faults that show dip-slip
 148 offsets of 0.6 to 3 km (Clark et al., 1966). The observed seismicity in the Raton Basin
 149 is primarily found within the crystalline basement at average depths of 5 - 7 km below
 150 surface (Nakai et al., 2017; Glasgow et al., 2021). There is also strong evidence to sug-
 151 gest three prominent zones of seismicity: Tercio, Vermejo Park, and Trinidad (Figure 1)
 152 (“A Raton Basin geothermal prospect, author=Macartney, HH and O’Farrell, CR”, 2010;
 153 Higley, 2007; Barnhart et al., 2014).

154 2 Physics-based Forecasting Model

155 2.1 Methods

156 2.1.1 Linear poroelasticity

157 To understand how injection across the Raton Basin is changing stress on pre-existing
 158 basement faults, we develop a fully coupled poroelastic model and compute the Coulomb
 159 stress changes at depth. Linear poroelasticity is essential to understanding the time-dependent
 160 coupling between the deformation of, and fluid flow in, hydrogeologic units within the
 161 Earth. The governing equations for a fully coupled linear poroelastic three-dimensional
 162 medium are defined as (Biot, 1941; Rice & Cleary, 1976; H. Wang, 2000):

$$163 \quad G\nabla^2 u_i + \frac{G}{1-2\nu} \frac{\partial^2 u_k}{\partial x_i \partial x_k} = \alpha \frac{\partial p}{\partial x_i} - F_i, \quad (1)$$

$$\alpha \frac{\partial \epsilon_{kk}}{\partial t} + S_\epsilon \frac{\partial p}{\partial t} = \frac{k}{\mu} \nabla^2 p + Q, \quad (2)$$

164 where G is the shear modulus, u the displacement, ν the Poisson’s ratio, α the Biot-Willis
 165 coefficient, F the body force, k the permeability, μ the fluid viscosity, S_ϵ the constrained
 166 specific storage, ϵ_{kk} the volumetric strain, and Q the fluid source (H. Wang, 2000). Equa-
 167 tions (1) are nearly identical to the classic equations for linear elasticity except for the
 168 coupling of pore pressure in the conservation of linear momentum equations (1) and the
 169 fluid flow coupled to strain by the requirement of fluid continuity (2). However, the sys-

170 tem (1)-(2) is more difficult to solve, with analytic solutions restricted to a few highly
 171 idealized cases. We solve the respective equations numerically using the three-dimensional
 172 finite element software Abaqus FEA (Dassault Systemes, 2020; LaBonte et al., 2009; Pearse
 173 & Fialko, 2010; Hill et al., 2023).

174 The pore pressure diffusion is governed by an inhomogeneous diffusion equation
 175 Eq. (2). Because the fluid flow is coupled with the strain field pore pressure changes have
 176 direct effects on the stress and changes in the strain have direct effect on the fluid pres-
 177 sure. Under different assumptions, the stress field will uncouple from the pore pressure
 178 field and the diffusion equation resembles its hydrogeologic counterpart; the ground wa-
 179 ter flow equation $S \frac{\partial p}{\partial t} = \frac{k}{\mu} \nabla^2 p + Q$ (where $S = S_\epsilon \frac{K_v^{(u)}}{K_v}$) (Detournay & Cheng, 1993;
 180 H. Wang, 2000).

181 Following Gorelick and Remson (1982) and Gorelick et al. (1993), we use a physics-
 182 based numerical model to generate a unit source response matrix (see section 3.1.2). The
 183 key difference is that our simulation model incorporates the fully coupled poroelastic re-
 184 sponse (1-2), calculated using a finite element model, and generates a unit source response
 185 matrix of Coulomb stress (3) which is only possible due to the linearity in all the equa-
 186 tions. The Coulomb stress is also dependent on fault geometries (SM Figure 1).

187 ***2.1.2 Stressing rate and earthquake probability***

188 Triggering of seismic events due to fluid injection can be adequately described by
 189 equations (1-2) and changes in Coulomb stress (H. Wang, 2000; Cocco, 2002). We de-
 190 fine Coulomb stress τ as:

$$\tau = \tau_s + \mu(\sigma_n + P), \quad (3)$$

191 where τ_s is the shear stress on a fault plane, σ_n is the normal stress (compression is deemed
 192 negative), P is the pore pressure, and μ is the coefficient of friction. An increase in pore
 193 pressure reduces the absolute value of the effective stress ($\sigma_e = \sigma_n + P$) such that the
 194 Coulomb stress increases, corresponding to promotion of failure. In the presence of a re-
 195 gional stress field even modest perturbations in pore pressure may encourage slip on pre-
 196 existing critically stressed faults. The diffusion of pore pressure is highly dependent on
 197 hydraulic properties. Furthermore, depending on fault geometries, the poroelastic cou-
 198 pling of the fluid may play a significant role in promotion or inhibition of fault failure,

199 especially in the far field where the effects of fluid percolation are negligible (Segall &
200 Lu, 2015).

201 Similar to previous work (Langenbruch et al., 2018), which was carried out in the
202 region of north-central Oklahoma and southernmost Kansas, seismicity data in the Ra-
203 ton Basin also shows the expected increase of earthquake probability with the rate of
204 stress increase (Supplementary Methods). These observations can be used to describe
205 the monthly earthquake rates $R_{\geq M}(\mathbf{r}, t)$ according to a modified Gutenberg-Richter law
206 for induced earthquakes (Langenbruch et al., 2018):

$$R_{\geq M}(\mathbf{r}, t) = 10^{a(\mathbf{r}, t) - bM} = \left[\frac{\partial}{\partial t} \tau_{\tau}(\mathbf{r}, t) \right]^2 10^{\Sigma_{\tau}(\mathbf{r}) - bM}, \quad (4)$$

207 Here, we replaced the pore pressure rate, used by (Langenbruch et al., 2018) by the monthly
208 Coulomb stressing rate $\frac{\partial}{\partial t} \tau_{\tau}(\mathbf{r}, t)$ in space and time to add the effect of poroelastic cou-
209 pling. $\Sigma_{\tau}(\mathbf{r})$ is the spatially varying Seismogenic Index (SI). The SI and b values are eval-
210 uated through a specific calibration period (see section 2.3). The calibrated parameters
211 are then used to forecast expected earthquake rates and to initialize the management
212 model (see section 3) for optimization. An important distinction from previous studies
213 (Langenbruch et al., 2018) is the use of Coulomb stressing rate $\frac{\partial}{\partial t} \tau_{\tau}(\mathbf{r}, t)$ as opposed to
214 pressure rates. While pore pressure rates are still the dominant signal (SM Figure 2),
215 the fully coupled numerical model takes into account the stress field.

216 2.2 Numerical Domain

217 The numerical domain was developed and discretized in Abaqus CAE (Complete
218 Abaqus Environment, Dassault Systemes, 2020). The domain has horizontal dimensions
219 of 120 km x 200 km and a depth dimension of 14 km, with the y axis corresponding to
220 north in the Universal Transverse Mercator coordinates (Figure 3). The finite-element
221 mesh consists of nearly 1.5 million first-order hexahedral elements. Characteristic ele-
222 ment sizes vary from 5,000 m in the far field to less than 500 m near the injection wells
223 and in the vicinity of the central basin. The depth domain is partitioned into the 5 dis-
224 tinct hydrogeologic layers of the basin. The heterogeneous hydrogeologic properties of
225 the model are summarized in Table 1. Permeability and storage parameters of the pri-
226 mary injection formations, the Dakota-Purgatoire and Morrison-Glorieta, were calibrated
227 from analysis of injection step-rate tests (see Supplementary Materials). The permeabil-
228 ity k of the Dakota-Purgatoire formation and the Morrison-Glorietta formation is taken

229 to be $6.4 - 6.8 \times 10^{-14}$ and $5.8 - 8.9 \times 10^{-14}$ m^2 , respectively. While no wells penetrate
 230 the crystalline basement for diagnostic analysis of basement permeability, we chose a crys-
 231 talline basement permeability ($k = 1 \times 10^{-15}$ [m^2]) that results in the best correlation
 232 between the observed seismicity rates and modelled pressure rates (Figure 2). While this
 233 permeability is slightly higher than that inferred from small-scale field measurements of
 234 basement in other regions, it is similar to large-scale measurements made in regions of
 235 induced seismicity. In addition, it is also consistent with depth-dependent permeability
 236 models for continental crust at the mean depth of seismicity ($k \approx 3.35 \times 10^{-15}$ [m^2])
 237 (Shmonov et al., 2003), and constraints on in situ hydraulic diffusivity of the upper crust
 238 from observations of post-seismic deformation(e.g., Fialko, 2004). The increased perme-
 239 ability is chosen to capture the basin-scale permeable faults that transmit fluid pressure
 240 to seismogenic depths.

Unit	Pierre-Benton-Niobrara	Dakota-Purgatoire	Morrison-Entrada-Gloreita	Sangre De Cristo	Crystalline Basement
Depth (km)	1-1.4	1.4-1.6	1.6 - 2	2 - 2.8	2.8 - 15
Permeability (m^2)	$1 \cdot 10^{-20}$	$6.7 \cdot 10^{-14}$	$8.9 \cdot 10^{-14}$	$8 \cdot 10^{-15}$	$1 \cdot 10^{-15}$
E (GPa)	0.22	38	32	40.74	60
ν	0.3	0.287	0.13	0.15	0.25
K_s (GPa)	0.34	33.8	26.6	36.6	42
ϕ	0.38	0.25	0.07	0.06	0.01

Table 1: **Material Properties.** Hydrogeologic material values for different units and their corresponding depths in the numerical model. Note that the model begins at 1 km depth below the surface.

241 We assume initial conditions of equilibrium stress and pore pressure (Segall, 2010,
 242 chapter 9). Therefore, the model only considers the perturbing effects of the wastewa-
 243 ter injection and does not include any tectonic loading. The bottom and sides of the model
 244 are fixed only in the surface normal direction (the roller boundary condition). The top
 245 surface of the model is stress-free. We model the Sangre de Cristo Mountain complex
 246 of thrust faults as barriers to cross-fault fluid flow and use an insulating condition at the
 247 western boundary of the model. We use the same injection depth of 1,500 m for all wells
 248 as the former is the middle depth of the modelled Dakota-Purgatoire injection reservoir.
 249 We record pore pressure and stress perturbations at the mean seismogenic depth of $\sim 7,040$
 250 m which is equivalent to $\sim 38,000$ observation points for each time step. Generation of
 251 the SI map requires the full 29 well injection profile data ranging from November 1994

252 to December 2017, giving rise to 331 time steps, while the 5 year response matrix mod-
 253 els require only 61 time steps.

254 **2.3 Seismogenic Index (SI)**

255 The SI map is a map of the seismo-tectonic state controlled by the number and stress
 256 state of pre-existing faults in the crystalline basement affected by Coulomb stress changes
 257 (Figure 4) (Langenbruch et al., 2018). The SI ($\Sigma_\tau(r)$) is determined in local regions of
 258 7 km radius at $\sim 25,000$ seed points. The seed points represent the interpolated Coulomb
 259 stress changes produced by the model at the mean seismogenic depth within the crys-
 260 talline basement. The higher the SI ($\Sigma_\tau(r)$) at each seed point, the higher the earthquake
 261 rate caused by a given Coulomb stress increase, because a higher number of (or more crit-
 262 ically stressed) preexisting faults are affected by the Coulomb stress increase (see Eq.
 263 4).

264 Calibration of the SI is set based on a calibration time period. In this way, future
 265 modelled Coulomb stressing rates are used to forecast expected spatiotemporal earth-
 266 quake rate. We set the calibration time (Nov 1994 to July 2016) of our SI map prior to
 267 the Glasgow et al., 2020 study and find that forecasted earthquakes (July 2016 to July
 268 2020) are well explained by basin Coulomb stressing rate, despite lowered injection rates
 269 at this time (Figure 6).

270 Calibration of SI follows closely to previous methods (Langenbruch et al., 2018).
 271 The following steps are performed to calibrate the SI maps:

- 272 1. Monthly Coulomb stressing rates $\frac{\partial}{\partial t}\tau_\tau(\mathbf{r}_n, t)$ at all n seed points with a radius of
 273 7-km around a selected seed point up to a given calibration time t_c (we use Nov-
 274 1994 to July-2016) are extracted, squared, and summed $\sum_n [\frac{\partial}{\partial t}\tau_\tau(\mathbf{r}_n, t \leq t_c)]^2$
- 275 2. The total number $N_{M \geq M_c}(t \leq t_c)$ ($M_c = 2.5$, see Supplementary Figure 3) of
 276 earthquakes within a 7-km radius around the current seed point observed up to
 277 the given calibration time is summed.
- 278 3. Estimate of the b-value is computed using all $M \geq M_c$ earthquakes recorded through
 279 the calibration time t_c in the complete study area.
- 280 4. The SI at location \mathbf{r} is evaluated:

$$\Sigma_\tau(\mathbf{r}) = \log_{10} N_{M \geq M_c}(t \leq t_c) - \log_{10} \left\{ \sum_n \left[\frac{\partial}{\partial t} \tau_\tau(\mathbf{r}_n, t \leq t_c) \right]^2 \right\} + b(t_c)M \quad (5)$$

281 Due to the occurrence of singular earthquakes outside of the local areas of elevated
282 seismicity one can get outlier SI values. These events are often attributed to Coulomb
283 stressing rates that are quite low which results in significantly larger than average SI at
284 those locations. Prior work found that as soon as two earthquakes occurred within the
285 chosen radius of any given seed point a good estimate of the SI can be obtained (Langenbruch
286 et al., 2018). Our region uses a smaller radius and calibration magnitude. Therefore we
287 precondition the SI to only be evaluated when there are more than 3 earthquakes. We
288 evaluate the sensitivity of the SI for a smaller 5-km radius and removal of the “more than
289 3 earthquakes” precondition. These changes produce an SI map that appears different,
290 as outliers are now included, but the overall seismicity rate remains very similar (SM Fig-
291 ure 4-6).

292 Within the central basin region, we find that the SI varies by about 1.5 units (Fig-
293 ure 4). A one unit increase in SI is the equivalent of expecting 10 times more earthquakes
294 for the same CFS rate change at that location. A higher SI in the central basin corre-
295 sponds spatially with the well known zones of seismicity: Tercio, Vermejo Park, and Trinidad.

296 The SI is dependent on the spatial density of the observed seismicity and the ra-
297 dius of inclusion. This implies that seed points without observed seismicity in a 7-km
298 radius will not produce SI. For the purpose of forecasting seismicity and optimizing in-
299 jection rates for the entire basin we use an inverse distance weighting interpolation (power=2,
300 radius= ∞) (Figure 4) in areas that have no observed seismicity during the calibration
301 period. The interpolated map helps inform the Coulomb stressing constraints in the SI
302 dependent response matrix models.

303 **2.4 Results & Discussion: Forecast Performance (2016 - 2020)**

304 The results of the time dependent pore pressure evolution and associated seismic-
305 ity during our calibration time are shown in Figure 5. The pore pressure continues to
306 increase at depth within the basin due to the diffusion of fluid pressure despite lowered
307 injection rates during 2016-2022. The total pore pressure increases, but the rate of in-
308 crease declines (Figure 2). Returning to Eq. (4), we can now forecast seismicity rate be-
309 yond our calibration time using both the SI map and Coulomb stress perturbations from
310 the numerical model. Figure 6 depicts the seismicity rate forecasts from a variety of cal-
311 ibration time periods and the resulting projected seismicity rate between 2016 and 2020.

312 There is little sensitivity of the modelled earthquake rates to the calibration time. We
 313 find that the observed seismicity rate from 2016 to 2020 is fit well by our calibrated SI
 314 model and the computed Coulomb stress changes.

315 Furthermore, assuming the occurrence of induced earthquakes follows a Poisson process
 316 (Langenbruch et al., 2011; Langenbruch & Zoback, 2016; Shapiro et al., 2010), the prob-
 317 ability of exceeding a magnitude M , that is the probability to observe one or more events
 318 of magnitude M or larger, is given by (Langenbruch et al., 2018):

$$Pr(M) = 1 - Pr(0, M, N_{\geq M}) = 1 - \exp(-N_{\geq M}) \quad (6)$$

319 Where, $(N_{\geq M})$ is the expected number of events of magnitude M or larger in a consid-
 320 ered time interval (see Eq. 4).

321 Based on our calibrated model, we compute the annual expected number of events
 322 in the range from M 2.5-6.5 and determine magnitude exceedance probabilities using Eq.
 323 6 (Figure 7). Our results suggest that between 2016-2020 there was a $\sim 85\%$ probabil-
 324 ity to observe one or more $M_{\geq 4+}$ earthquakes and a $\sim 18\%$ probability to observe one
 325 $M_{\geq 5+}$. We find that Coulomb stress rates at seismogenic depth continued to trigger seis-
 326 micity between 2016-2020 although injection rates declined. Therefore, induced seismic-
 327 ity was still driven by wastewater injection during this time period. Declining injection
 328 rates alone are not necessarily an indicator of decreased seismic hazard as one must also
 329 consider diffusion-driven time delays in the induced seismicity process.

330 **2.5 Results & Discussion: Business As Usual Forecast (2022 - 2027)**

331 In this section we explore the seismicity forecasted by our calibrated model from
 332 2022 through 2027 under a 'business as usual' (BAU) injection scenario. The BAU sce-
 333 nario uses the last observed monthly injection rate for each well from May 2022 and holds
 334 them constant until May 2027 (Figure 8). This scenario serves as the baseline compar-
 335 ison for the optimization scenarios presented in Section 3. We list the following impor-
 336 tant results of the BAU forecast:

- 337 • The BAU forecast from 2022-2027 shows that the probability to exceed a $M_{\geq 5+}$
 338 event is $\sim 15\%$ and a $M_{\geq 4+}$ event is $\sim 75\%$ (Figure 9).
- 339 • Spatially, higher rate injection wells are clustered in the central portion of the basin
 340 near the Vermejo Park cluster. Injection wells in this area, just south of the CO-

- 341 NM border, on average inject at rates higher than 20,000 m³ per month (Figure
342 10 (B)).
- 343 • Seismic hazard is also mostly elevated in this same region for the BAU forecast
344 (Figure 10 (A)). Within this region of clustered injection, the spatial probability
345 to exceed a $M \geq 4+$ is $\sim 20\%$ over the 5-year BAU forecast.
 - 346 • Seismic hazard in the North of the basin is proportionally smaller. We interpret
347 this as a result of lower injection rates, largely below $\sim 10,000$ m³ per day, and lower
348 SI in this region.
 - 349 • The two observed $M4+$ events that have occurred from May 2022 to September
350 2023 occur within the zone of elevated seismic hazard forecasted by our model (Fig-
351 ure 10).
 - 352 • In comparison to a complete shut-in of injection in May 2022, BAU injection in-
353 creases the likelihood of an $M \geq 4+$ event by 150% (from 30% to 75%) and a $M \geq 5+$
354 by more than 200% (from 5% to 15%) (Figure 9).

355 SM Figures 7-8 show the seismicity rate forecasts resulting from the BAU projected
356 injection rates. The forecasted seismicity rates are used to produce magnitude exceedance
357 probabilities from our calibrated SI model (Figure 9). Figure 9 also includes the lower
358 bound on any optimization we can achieve, the shut-in scenario, which represents the
359 post-diffusion pore pressure and stress effects from the full injection history (ie. blue line
360 in Figure 8). The 5 year hazard for the shut-in scenario is also characterized spatially
361 for a probability of exceeding a $M \geq 4+$ (Figure 11). Given enough prior seismicity to pro-
362 duce a SI map and a physical model to produce Coulomb stress rate any future injec-
363 tion scenarios can be considered in our model. We elaborate on three management mod-
364 els in the following sections.

365 **3 Physics-Based Forecasting with Optimization**

366 **3.1 Methods**

367 The previous sections describe the methods to construct the simulation model built
368 from two data sets: (1) the physics-based poroelastic model and (2) the statistical seis-
369 micity model or SI map (Figure 12). In this section we describe the additional methods
370 required to frame our problem as a management model that allows for varied optimiza-
371 tions. In our optimization model, the objective function allows for the maximization of

372 a desired objective, i.e. total injection rate, using decision variables (monthly injection
 373 rates) subject to constraints, such as CFS rate at a particular location. In order to solve
 374 this optimization problem, we must build a response matrix of the system and use mixed-
 375 integer and linear programming to resolve our objective. An overview of the simulation-
 376 optimization procedure, including the construction of the simulation model, is provided
 377 in Figure 12.

378 **3.1.1 Objective Function**

379 In our study of the Raton Basin, the objective function is framed to maximize a
 380 desired objective over the 5-year management period. This objective function is max-
 381 imized subjected to specific constraints, i.e. Coulomb stress or Coulomb stress rate $\dot{\tau}$,
 382 below a threshold at chosen locations. Linear programming employs the unit-source so-
 383 lutions of the response matrix by linear superposition to acquire the optimal injection
 384 rates at each of the 29 wells in our model. The general framework of the linear program
 385 is represented as:

$$\min_q f^T q \quad (7)$$

386 subject to

$$Rq \leq x \quad (8)$$

$$0 \leq q \leq ub \quad (9)$$

387 where q is the injection rate at each of the wells for each time step (i.e. monthly), f^T
 388 is a row vector of negative ones $[-1, \dots, -1]$ so that the objective function seeks to max-
 389 imize the cumulative injection, R is the response matrix (see section 3.1.2), x is the con-
 390 straint vector ($\dot{\tau}$) at each of the model output locations, and ub is the upper bound on
 391 the monthly injection rate for each well. For all optimization scenarios presented, the
 392 upper bound for a single well injection rate is $1500 \text{ m}^3/\text{day}$, which represents the thresh-
 393 old of high-rate well injection nationwide (Weingarten et al., 2015). We solve the linear
 394 program using the `linprog()` function in MATLAB which generates optimal values of q ,
 395 i.e. the injection rates, for each well that do not exceed the constraints at the model out-
 396 put points. This objective function subject to various constraints is flexible and adapt-
 397 able to a wide variety of adjustments within linear programming optimization. In sec-
 398 tion 3.1.4, we elaborate on different ways to alter the management model constraints and
 399 provide a selection of controls that may be of interest to real-world injection practices.

3.1.2 *Response Matrix*

Given any linear system used to describe a given simulation model, a management model can be built with a response matrix. Construction of the response matrix requires individual unit-source solutions for each well operating within the management model. A unit-source solution is generated by producing an impulse from an individual well (i.e. unit flow rate) and measuring its response at all model output locations for the duration of the management period. The impulse has a fixed value for a specified period and a value of zero thereafter. The response of the system are changes in pore pressure and stress. Due to the linearity of the Coulomb stress equation (Eq. 3), Coulomb stress and Coulomb stress rate are derived from this response (see Appendix for rate response matrix construction).

In our model, the Raton Basin contains 29 wells. Therefore, we must generate 29 independent, unit-source impulses (one for each well) and record the unit response at all model output locations. We must record each response for the entire 5-year management period (ie. June-2022 to June-2027). Each time step in the model is 30 days. Hence, the unit-source response is a single flow rate equivalent to $100 \text{ m}^3/\text{day}$ for the first time step and then zero for the 60 months after. The result of this procedure is the unit-source response matrix of CFS rate produced by each well at every model output location (SM Figure 9). An example of this procedure is provided in the supplement (SM Methods 6.3; SM Figure 10).

3.1.3 *Considering Injection Prior to Management Time Period*

Our optimization management model optimizes injection rates under a set of given constraints for a prescribed management time period. It does not, inherently, consider injection prior to the management time period. We solve this issue by taking the difference of Coulomb stress between two simulations: (1) an ABAQUS simulation which considers all injection from Nov 1994 - 2027 (BAU rates) and (2) a response matrix simulation which considers only injection from 2022 - 2027 (BAU rates). The resulting Coulomb stressing rates represent the contribution of all prior injection during the management time period. This could be considered a ‘complete shut-in’ scenario from 2022 - 2027.

We calculated seismicity rates and a probability of exceedance curve expected from this shut-in scenario (Figure 9). SM Figure 11 depicts the spatial distribution of haz-

431 ard for yearly time steps. If wells were to have suddenly shut-off in May 2022 our model
 432 predicts that there would still be a $\sim 35\%$ probability of exceeding a $M > 4+$ earthquake
 433 in the next 5 years. The shut-in Coulomb stress rate perturbations are added to the Coulomb
 434 stress rate constraints of the optimization results prior to the seismicity rate and seis-
 435 mic hazard calculations, thus serving as the initial conditions or starting point in the op-
 436 timizations. This step is essential, otherwise the seismic hazard is underestimated by the
 437 optimizations alone.

438 ***3.1.4 Mixed Integer Programming***

439 Mixed-integer programming (MIP) allows the optimization manager to impose con-
 440 straints that simulate real-world injection practices (Gorelick & Remson, 1982; Hsu &
 441 Yeh, 1989). Without MIP, the optimization solution is free to produce large swings in
 442 injection rate at individual wells. In reality, large injection wells have tolerances for in-
 443 jection rate changes over time. MIP allows the optimization manager to place controls
 444 what wells are operating and how the wells operate (independent or dependent on one
 445 another) through time. Injection rates can be constrained within a running average of
 446 past injection at a particular well, or monotonically increase or decrease injection through
 447 time, or exclude certain wells during certain periods.

448 The process of applying different types of MIP constraints is similar for most sce-
 449 narios. First, a mixed-integer matrix is constructed R^* such that $R^*q \leq x^*$, where q
 450 is the corresponding injection well location for each management period and x^* is a vec-
 451 tor of additional constraints. Both R^* and x^* are concatenated with original response
 452 matrix equation, Eq. (8), and the objective function is maximized subject to these com-
 453 bined constraints (R and R^*). A simplified example is provided in SM Section 6.3, and
 454 further description of applying each type of MIP constraint in the management model
 455 is provided in SM Section 6.4.

456 ***3.1.5 Setting a Desired Seismic Hazard***

457 The optimization problem described above is setup to constrain only CFS rate at
 458 specified locations through time. However, the optimization manager may still use our
 459 methodology to achieve a desired seismic hazard. This is performed by combining the
 460 calculated CFS rates with the SI model to produce seismicity rate forecasts. Optimiza-

tion is still possible without coupling to a SI map if desired (See Supplementary Methods 6.3; SM Figure 13-14).

For a desired magnitude exceedance probability $Pr(M)$ (Eq. 6), a user can solve for the total number of earthquakes expected during the management period ($N_{\geq M}$). This $N_{\geq M}$, in combination with spatially varying SI map $\Sigma_{\tau}(r)$, can be used to calculate desired Coulomb stress rate constraints $x_{\dot{\tau}}$ for the management model:

$$x_{\dot{\tau}} = \frac{\partial}{\partial t} \tau_{\tau}(\mathbf{r}, t) = \sqrt{\frac{N_{\geq M}}{P \cdot T} 10^{-\Sigma_{\tau}(\mathbf{r}) + bM}} \quad (10)$$

where P now refers to the total number of constraint points in the SI model and T refers to the total time chosen for the management period. This initialization assumes that each point in the model will carry a scaled portion of the total earthquake probabilistic hazard- ie. $\frac{N_{\geq M}}{P \cdot T} 10^{bM}$ which is scaled by the SI (ie. $10^{-\Sigma_{\tau}(r)}$). In our case, the total number of model points exceeds the computational limitation of the linear program and a subset of the total model points must be chosen. For example, the output of our model contains >30,000 points across the basin, but we reduce this total to 500 constraint locations for the management model. The chosen points are based on a uniform random distribution of points within a circle that contains all of the seismicity (SM Figure 15).

In practice, we have found that the CFS rate constraints provided by equation 10 always produce a basin-wide $Pr(M)$ lower than the desired threshold $Pr(M)$. The desired threshold $Pr(M)$ would only be met if the CFS rate constraint threshold is met at all points P for all time T . To resolve this issue, we iteratively solve the optimization model while increasing the CFS rate constraints at locations within the model that reached that threshold at any time during the management period. In this way, the constraints slowly increase based on which locations require a higher CFS rate in order to produce the desired $Pr(M)$ in the basin. For our study, we set a goal of achieving the desired $Pr(M)$ in the basin to within $\pm 0.2\%$ (See Methods 6.5).

The following steps describe the methodology, generalized for application to other studies:

1. Choose a desired exceedance probability for an arbitrary magnitude threshold and solve for $N_{\geq M}$ (Eq. 6).
2. Calculate CFS rate constraints for the management model (Eq. 10).
3. Find optimal injection rates for calculated CFS rate constraints.

- 491 4. Calculate exceedance probabilities $Pr(M)$ across the basin for the optimized so-
- 492 lution.
- 493 5. Check if exceedance probabilities $Pr(M)$ are within $\pm 0.2\%$ of desired $Pr(M)$.
- 494 6. If yes, skip steps 7 and 8.
- 495 7. If no, adjust CFS rate constraints dependent on too high or too low of threshold.
- 496 8. Return to step 3.

497 **3.2 Prospective Case ‘Reduction’ - Reduce the Seismic Hazard**

498 The first prospective case we consider is called ‘Reduction’ (Figure 8 - Prospective

499 Case Reduction). Prospective case ‘Reduction’ is the management solution for a hypo-

500 theoretical well operation that seeks to reduce the overall injection and maintain the haz-

501 ard within a chosen threshold. We include a constraint that the overall injection must

502 be reduced by at least 80% from May 2022 levels by the end of the 5 year management

503 window. Additionally, we constrained seismic hazard such that the probability of exceed-

504 ing a $M \geq 4+$ event is 40% lower than the BAU forecast (Figure 9). The optimization

505 and iterative method arrive at a solution to these constraints while maximizing the amount

506 of fluid injected.

507 In order to achieve a smooth tapering of injection from the BAU initial injection

508 rate of $\sim 10,000 \text{ m}^3$ per day we incorporate a MIP constraint to the management model.

509 The constraint is a monotonic decrease of at least 2% each month for all injection wells

510 (see 6.4) (Figure 8 - yellow line). This constraint smoothly reduces the overall injection

511 rate and therefore the Coulomb stress rate by the end of the five year management pe-

512 riod.

513 We find that there are several wells in the optimization that are never injecting,

514 and that the algorithm preferentially chooses injectors towards the northeast more than

515 other locations (Figure 13b). The northeast portion of the basin is a relatively low SI

516 area (Figure 4). The west-central portion of the basin, which contains the highest SI haz-

517 ard, does not have large amounts of injection during the management period. The op-

518 timization preferentially chooses to spread out large injectors from one another and to

519 regions of lower SI (Figure 13b).

520 Another important observation is that prior injection still drives significant haz-

521 ard due to the time delay of pressure diffusion continuing to elevate the Coulomb stress

522 rate in the periphery of the basin (Figure 13a). Hazard is elevated in the west-central
523 and western portion of the basin by prior injection, despite the optimization lowering
524 injection in these areas. Our iterative technique still slowly reduces injection at wells and
525 areas associated with high prior hazard if hazard thresholds are not initially met. In this
526 way, our method takes into account prior injection through iterative forward solutions
527 without direct inclusion in the optimization constraint vector (see Section 6.5).

528 The enhanced hazard to the west in all of our models does not consider previously
529 mapped faults unless they were captured by the SI map. This hazard is primarily driven
530 by continued Coulomb stress rate increase from prior injection. The inclusion of known
531 faults is currently a limitation to our method. However, additional spatial constraints
532 from known faults could be implemented as additional rows/elements in the response ma-
533 trix/constraint vector prior to optimization. Constraint thresholds of Coulomb stress or
534 Coulomb stress rate could be applied to these known faults.

535 Visualizing the optimization at each time step is informative to the evolution of
536 hazard and how each individual well injects over time (SM Video 1). For the prospec-
537 tive case ‘Reduction’, wells inject continuously in the northeast - a low SI area - for the
538 entire management period. Higher SI areas still receive injection but the optimization
539 tends to spread the overall hazard across the basin.

540 **3.3 Prospective Case ‘Safety’**

541 Our second prospective case consider how the optimization algorithm might dis-
542 perse BAU injection rates in order to minimize seismic hazard (i.e. ‘Safety’) (see Sec-
543 tion 2.5 and Figure 8).

544 The second optimization solution, which we call prospective case ‘Safety’, seeks an
545 optimized solution that lowers the overall seismic hazard while the basin-wide injection
546 rate is constrained at May 2022 levels for the 5 year management period. The optimiza-
547 tion will preferentially increase volume in wells where SI is lower, because the Coulomb
548 stress rate constraints will be relaxed in these areas (see Equation 10). By moving in-
549 jection volume to wells and areas with lower SI, the forecasted seismic hazard is reduced.
550 The solution therefore produces an overall annual exceedance curve that is lower for the
551 same total injection volume (Figure 8 - pink line).

552 Figure 14 describes the optimization results across the basin for prospective case
553 ‘Safety’. When the spatial distribution of injection is compared to the Business As Usual
554 case, we find that the optimization spread injection volume out more evenly through-
555 out the basin, instead of clustering injection in the central region. At the same, seismic
556 hazard increases on the peripheries of basin away from the higher SI zones in the cen-
557 tral basin. In the central basin, forecasted haard is reduced greatly, with less than 2%
558 probability to exceed an $M \geq 4+$ within 7 km. This is compared to nearly 20% proba-
559 bility to exceed an $M \geq 4+$ within 7 km in the Business As Usual case in the central basin.
560 Forecasted hazard is highest in the northeast portion of the basin, with 10% probabil-
561 ity to exceed an $M \geq 4+$ within 7 km.

562 Our solution, during the 5 year management window, reduces the basin-wide an-
563 nual exceedance probability $M \geq 4+$ from 75% to 71%. This optimized result is a rela-
564 tively small reduction in the annual exceedance probabilities. However, we found that
565 injection prior to the management period contributes to a large portion of the overall
566 hazard observed during the 5 year window. If the prospective case ‘Safety’ is run with-
567 out prior injection, the optimization can reduce the annual exceedance probability $M \geq 4+$
568 from 75% to 58% (Figure 9 - green line). This reduction in seismic hazard is due to the
569 optimization shifting injection to areas of lower SI.

570 Simply excluding prior injection does not, in and of itself, reduce the overall ex-
571 ceedance probabilities. We ran a seismic hazard forecast for the Business As Usual case
572 excluding prior injection and found the annual exceedance probability for a $M \geq 4+$ earth-
573 quake increased from 75% to 80% (Figure 9 - BAU without prior injection line). The rea-
574 son for this increase in overall seismic hazard when excluding prior injection is that prior
575 injection was on a long-term decline, especially in areas with high SI. These declining
576 injection rates prior to the management time period actually reduce the Coulomb stress
577 rate in areas where the BAU injection is high. Therefore, counter intuitively, excluding
578 prior injection increases the seismic hazard in the BAU case and decreases in the ‘Safety’
579 case.

580 The results from the ‘Safety’ case reveal that prior injection can have a large in-
581 fluence on how much the optimization method reduces overall seismic hazard. Further-
582 more, it highlights the importance of optimizing injection as early as possible in the course
583 of an induced seismic sequence. In the case of Raton Basin, injection and induced seis-

584 micity have been ongoing for multiple decades, which reduce the positive safety effects
 585 of minimizing seismic hazard during the management period.

586 **3.4 Prospective Case ‘Economic’**

587 The third optimization solution, which we call prospective case ‘Economic’, seeks
 588 to increase the overall injection rate but maintain the same basin-wide seismic hazard
 589 as the BAU case (see Section 2.5 and Figure 8). In this case, we allow the optimization
 590 freedom to increase the overall volume that can be injected in any month of the 5 year
 591 management window. An optimal solution is found when the basin-wide annual exceedance
 592 probabilities are within $\leq 2\%$ of the BAU probability of exceedance for $M \geq 4+$ ($\sim 75\%$).
 593 We include two constraints on individual wells in this solution: (1) no individual well in-
 594 jection rate can exceed $1,500 \text{ m}^3/\text{day}$, and (2) an MIP constraint that limits individual
 595 well injection rates to within a 6-month running average so that the optimization can-
 596 not drastically front-load or back-load the management period with injection volume.
 597 Again, the Coulomb stress rate constraints derived from the SI map force the optimiza-
 598 tion to preferentially increase volume in areas away from the largest seismic hazard (i.e.
 599 lower SI).

600 An optimal solution was found for the ‘Economic’ case, which increased the over-
 601 all injection rate basin-wide compared to the BAU case (Figure 8 - green line). The so-
 602 lution shows a gradual increase in basin-wide injection rate from $\sim 300,000 \text{ m}^3/\text{month}$
 603 in 2022 to $\sim 375,000 \text{ m}^3/\text{month}$ in 2027. The increase in cumulative volume injected in
 604 the ‘Economic’ case is more than $1,080,000 \text{ m}^3$ ($\sim 6,750,000$ barrels) when compared to
 605 the BAU case.

606 The spatial distribution of injection in the ‘Economic’ case shows a substantial change
 607 in the how the field would be operated during the 5 year management period (Figure
 608 15b). Of the 29 potential injection wells, the optimization chooses to inject at only 12
 609 wells, while the remaining 17 are completely shut-in. Of the 12 wells which operate dur-
 610 ing the 5 year window, only 6 inject at rates higher than $20,000 \text{ m}^3/\text{month}$. These 6 in-
 611 jectors, where the vast majority of fluid is injected, are spread out across the entirety
 612 of the well field and to regions of lower SI. These 6 wells inject at a more or less a con-
 613 stant rate for the entire management time (SM Video 3). Clustering of injection is held
 614 to a minimum when compared to the ‘Reduction’ or ‘Safety’ case.

615 This case highlights what the optimization method ultimately attempts achieve:
 616 spatially distributed injection across regions of lower SI. By spreading out injectors, the
 617 basin-wide Coulomb stress rate is reduced by minimizing superposition of clustered in-
 618 jectors. By concentrating injection in regions of lower SI, the Coulomb stress rate that
 619 is created by injection results in lower induced seismicity. This combination of effects
 620 – spatially distributed injection in regions of lower SI – allows for the highest basin-wide
 621 injection rates (and largest cumulative injected volume) for a given seismic hazard.

622 4 Discussion

623 The combination of physics-based forecasting with optimization management shows
 624 promise for future work in mitigating induced seismic hazard at the basin-scale. The op-
 625 timization framework allows a user to maximize a particular objective (i.e. reduction,
 626 safety or economic) while maintaining a specified induced seismic hazard. Our method
 627 is also flexible and adaptable to other regions or other types of fluid injection that in-
 628 duce seismicity. The main components are the following:

- 629 1. **Physics-based model of pressure and/or stress change.** First, a physics-
 630 based model of injection must be built of the region that has good estimates of
 631 the relevant reservoir flow parameters. Here, we have built a fully coupled, poroe-
 632 lastic numerical model using the finite-element method calibrated using injection
 633 data from reservoir step-rate tests. However, a finite-difference model could also
 634 work (e.g. MODFLOW). Any linear system is the key. Depending on whether the
 635 poroelastic stress effects are marginal to the pore pressure effects may influence
 636 this decision.
- 637 2. **Seismogenic Index (SI) Map.** Second, a SI map (see Section 2.3) must be cal-
 638 ibrated from the empirical relationship of seismic response to injection. Thus, some
 639 degree of prior injection and earthquake history are required for forecasting. With-
 640 out the SI map, optimization is still possible, but will not be constrained by de-
 641 sired seismic hazard.
- 642 3. **Response Matrix.** Third, a response matrix of system is built from impulse-responses
 643 of the system to a unit injection at each prospective injection site (see Section 3.1.2).
 644 The response matrix allows the optimization to scale injection rates of individual

645 wells to find the combination which both satisfies the constraints and maximizes
646 the objective function.

647 **4. Optimization Framework.** Lastly, an optimization framework of an objective
648 function, constraints and decision variables are input. The model then seeks the
649 optimized solution that will satisfy either a reduction, safety or economic objec-
650 tive and maximize fluid injected.

651 The adaptability of this method to other regions is possible through the gather-
652 ing of required basin-specific input data on reservoir flow parameters, injection and seis-
653 micity response. In addition, the method is flexible enough to consider any fluid injec-
654 tion that produces a linear poroelastic response. Listed below are some of the potential
655 improvements and limitations of the current framework:

- 656 **1. Real-time optimization and forecasting:** Once the physics-based model and
657 SI map are initially calibrated the user could develop an optimal injection strat-
658 egy and continuously update the SI map if seismicity evolves in new areas. The
659 response matrix method allows for quick integration of new constraints without
660 the need to re-run elaborate physical models continuously. Therefore, rapid ad-
661 justments in well optimization are possible as the SI adjusts and improves in new
662 areas of the basin.
- 663 **2. Stacked optimization for model uncertainty:** As described in Section 6.4,
664 stacked optimization allows the user to find one set of optimal injection rates that
665 explicitly account for the uncertainty in the physical model. The existing frame-
666 work contains uncertainty in the seismic hazard due to the Poisson distribution
667 within the SI model. However, stacked optimization allows the user to consider
668 uncertainty within the physical model (i.e. a distribution of flow parameters). Stacked
669 optimization does require more computational power as it requires N (where N
670 is the number of wells) additional model runs for each uncertain distribution to
671 be appended to the response matrix.
- 672 **3. Non-linear programming:** Non-linear programming allows optimization of non-
673 linear objective functions and constraints. Currently, our linear program cannot
674 explicitly optimize injection using seismic hazard (R) as a constraint because R
675 is non-linearly related to CFS rate. Therefore, we rely on an iterative approach
676 to optimize injection to a desired seismic hazard (see Section 6.5). Non-linear pro-

677 programming may be able to address the issue of local-minima in the optimal solu-
678 tion where currently non-unique solutions may be found by a linear program. Our
679 iterative method slowly adjusts the constraint locations one at a time to prevent
680 any over saturation in hazard and injected fluid at any one location in the solu-
681 tion. Non-linear programming may be able to save computational time as com-
682 pared to the iterative approach.

683 **4. Incorporating known fault maps:** A key piece of future work is the integra-
684 tion of known fault maps within the optimization framework. Known faults would
685 serve as additional constraint locations appended to the response matrix and con-
686 straint vector, where pressure and/or stress change would be limited. From a prac-
687 tical point of view, known faults in many cases of induced seismicity are not the
688 primary drivers of induced seismic hazard (i.e. Oklahoma), but users may desire
689 to avoid stressing faults when optimizing basin-scale injection. This optimization
690 framework would allow the consideration of both an SI map and fault maps.

691 **5. Incorporating risk for policy:** While we looked at the total hazard in the re-
692 gion, it would be possible to constrain hazard spatially depending on seismic risk
693 (Schultz et al., 2021). For example, agreement might be met with industrial well
694 operations that maximizes the fluid injected while restricting hazard in an area
695 with high risk, like a densely populated area. A scientifically informed policy, for
696 example one that limits the probability of exceeding a $M \geq 5+$ earthquake within
697 a high risk zone, could be met while still reaching the economic objective of the
698 well operators.

699 **5 Conclusions**

700 Here, we investigated the relationship between wastewater injection and seismic-
701 ity in the Raton Basin of Colorado and New Mexico using a physics-based forecasting
702 framework. First, a 3D finite element model of a poroelastic crust is used to estimate
703 time dependent Coulomb stress changes over the more than two decades of Raton Basin
704 injection. The outputs of Coulomb stress rate from our finite element model were com-
705 bined with a seismogenic index (SI) model to forecast induced seismicity in space and
706 time throughout the basin. Using this hybrid physics-statistical forecasting model we found
707 the following conclusions:

- 708 1. The recent and ongoing induced seismicity within the Raton Basin is well explained
709 by our physics-based forecasting model. Declining seismicity rates between 2016
710 - 2022 are forecasted well by the decline in basin-wide injection rate. Despite in-
711 jection rate declines, modeled Coulomb stress rate is still increasing in several re-
712 gions of the basin, suggesting that induced seismic hazard is still ongoing. Our model
713 also shows that induced seismicity is driven primarily by the pore pressure com-
714 ponent of the poroelastic stresses, with poroelastic stress changes accounting for
715 about 5% of the driving force.
- 716 2. Using our physics-based forecasting model, we estimated the induced seismic haz-
717 ard produced by continued Raton Basin injection at May 2022 levels through 2027
718 (Business As Usual case). Our 5 year forecast estimates the probability to exceed
719 a $M \geq 4+$ event is 75% and $M \geq 5+$ event 14%.
- 720 3. Linear-programming optimization using the response matrix method is implemented
721 successfully using a safety objective framework that reduces seismic hazard for given
722 amount of fluid injection (safety objective) or (b) maximizes fluid injection for a
723 prescribed seismic hazard (economic objective).
- 724 4. Across the different objectives tested, the optimization algorithm tends to spread
725 injection out across the field when compared to the Business As Usual case. In
726 the safety and economic objective cases, we observed the algorithm spreading out
727 higher rate injection wells from one another and to regions lower seismogenic in-
728 dex (SI). We also demonstrate that injection prior to the optimization manage-
729 ment period may have differing effects on seismic hazard during the management
730 period. In the reduction and safety cases, we show that prior injection enhanced
731 seismic hazard during the management period, thus decreasing the impact of in-
732 jection optimization. We conclude that optimization of injection earlier in an in-
733 duced sequence will allow for better control of seismic hazard during the manage-
734 ment period.

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 743 [.co.us/#/home](https://ecmc.state.co.us/#/home)). The wastewater injection data is available from the New Mexico Oil
 744 Conservation Division Permitting website ([https://wwwapps.emnrd.nm.gov/OCD/OCDPermitting/](https://wwwapps.emnrd.nm.gov/OCD/OCDPermitting/Data/Wells.aspx)
 745 [Data/Wells.aspx](https://wwwapps.emnrd.nm.gov/OCD/OCDPermitting/Data/Wells.aspx)).

746 R.G.H. built the models, performed analysis of the model results, made the figures,
 747 and wrote the manuscript. M.W. managed the study, provided access to the modelling
 748 software, helped conceive the experiment, and helped write the manuscript. C.L. assisted
 749 with analysis and contributed to the manuscript. Y.F. contributed to the manuscript.

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919 **6 Supplementary**

920 **6.1 Data**

921 Wastewater injection well data for Las Animas County, Colorado was retrieved from
 922 Colorado Oil and Gas Corporation Commission Website ([https://ecmc.state.co.us/](https://ecmc.state.co.us/#/home)
 923 [#/home](#)), (Accessed: 20223-10-10). Wastewater injection well data for Colfax County, New
 924 Mexico was retrieved from New Mexico Oil Conservation Division Permitting Website
 925 (<https://wwwapps.emnrd.nm.gov/OCD/OCDPermitting/Data/Wells.aspx>) (Accessed:
 926 Accessed: 20223-10-10). In this study we convert injection well data from bbl/month to
 927 m³/day across 29 wells from Nombor 1994 to May 2022 (See Supplementary Data).

928 Multiple seismic studies have taken place in the Raton Basin. We leverage these
 929 combined data sets to form a comprehensive catalog of earthquakes up to July-2020. Earth-
 930 quakes from 1963–2013 are given from Rubinstein et al., 2014, which include recorded
 931 earthquakes by the USGS temporary seismic networks from 2001-2011 (Rubinstein et
 932 al., 2014). Earthquakes from 2008-2010 were recorded by the EarthScope Transportable
 933 Array (?). Past 2013, we rely on cataloged earthquakes from USGS National Earth-
 934 quake Information Center (NEIC). Furthermore, from July 2016 to July 2020 Earthquakes

935 are provided from a combined broadband seismometer and geophone node study avail-
 936 able from the International Seismological Centre (Glasgow et al., 2021; ?, ?).

937 **6.2 Step Rate Tests**

938 Prior work calibrated reservoir permeability in the main injection reservoirs, the
 939 Dakota Formation and the Entrada Formation, from injection-recovery step rate tests
 940 (? , ?). A step rate test determines how pressures within a formation change as a result
 941 of small-scale injection. The pressure changes can be converted to input parameters for
 942 AQTESOLV which utilizes a Theis step-drawdown test to approximate hydraulic prop-
 943 erties (? , ?). SM Table 1 provides the permeability values obtained from AQTESOLV
 944 for different cases: case 1 considered the lowest values of psi from each step, case 2 con-
 945 sidered the highest values of psi, case 3 used an incremental increase per minute, and
 946 case 4 was simply the recovery data. Case 4 provided the lowest mean residual for both
 947 reservoirs (SM Figure 11-12) and was chosen as the preferred permeability for the model.
 948 We include plots from within AQTESOLV of the data and transmissivity solution The
 949 calculated permeability are within those reported by previous studies (? , ?; Nakai et al.,
 950 2017).

951 **6.3 Simplified Optimization Example (no SI map required)**

952 There is strong evidence to suggest that stressing rate and accumulated stress, the
 953 latter which is related to the total injected volume ($\int_V \Delta\tau(P) \sim \Delta V$) (? , ?), are key
 954 factors that influence the occurrence of induced seismicity (? , ?; Weingarten et al., 2015;
 955 ? , ? , ?). As an example, the following management model uses the prior total Coulomb
 956 stress τ and Coulomb stress rate $\dot{\tau}$ at locations in the Raton Basin that were associated
 957 with injection induced $M \geq 4+$ events. We make the assumption that all former $M \geq 4+$
 958 events occurred at the mean seismogenic depth where model results are output. This man-
 959 agement model can be thought of as a retroactive example since we exclusively let the
 960 previous stress conditions of past large earthquakes inform the management model so-
 961 lution. Therefore, this method does not require an SI map to forecast the hazard, although
 962 the solution to the injection rates q can be used to forward solve the hazard if desired.
 963 The following steps describe the methodology, generalized for application to other stud-
 964 ies:

- 965 1. Resolve the stress and pore pressure spatiotemporal evolution from the numer-
 966 ical domain based on the full well injection history.
- 967 2. Record the τ and $\dot{\tau}$ at each $M \geq 4$ earthquake location in the numerical domain
 968 during the time step it occurred. These will provide the constraints for the x_τ and
 969 $x_{\dot{\tau}}$ respectively.
- 970 3. Generate response matrix R_τ and $R_{\dot{\tau}}$ (See Appendix) for both τ and $\dot{\tau}$ then stack
 971 them vertically; This requires running Q individual models based on Q wells for
 972 the length of the management period desired.
- 973 4. Solve the linear program management model:
$$\begin{bmatrix} R_\tau \\ R_{\dot{\tau}} \end{bmatrix} q \leq \begin{bmatrix} x_\tau \\ x_{\dot{\tau}} \end{bmatrix}$$

974 SM Figure 13 describes the derived constraints at each of the earthquake locations
 975 and the resulting optimization of the τ and $\dot{\tau}$ at each of the locations during the man-
 976 agement period. Note that the total Coulomb stress and Coulomb stressing rate thresh-
 977 olds are never exceeded. The cumulative injection rate is also reduced. Another impor-
 978 tant feature of the optimization is the shape of the τ and $\dot{\tau}$ at each of the locations dur-
 979 ing the management period. Notice that τ steadily increases and that $\dot{\tau}$ increases near
 980 the end. The optimization only considers the 5 year management period, and therefore
 981 does not consider what ramping the injection rates and subsequent τ and $\dot{\tau}$ near the end
 982 of the management period would do for the months following the management period.
 983 We present the solution this way to introduce the response matrix method and reveal
 984 the inherent flaws in the optimization since this exact solution would not be ideal for prac-
 985 tical use. However, there are a variety of solutions that makes use of mixed-integer pro-
 986 gramming to control the behavior of the injection wells to avoid this type of solution which
 987 we elaborate on in the main text and incorporate for prospective case ‘Reduction’.

988 6.4 Mixed-Integer Programming and Additional Constraints

989 Monotonic decreasing/increasing is an injection scenario by which the injection for
 990 all the wells is only ever decreasing/increasing and never increasing/decreasing. The con-
 991 struction of the mixed-integer R^* matrix for a monotonically decreasing scenario is sim-
 992 ple. If we consider q_{jk} to represent the injection rate for well j at management period k ,
 993 then for all k the constraint $q_{j,k+1} \leq q_{j,k}$ must be satisfied for monotonically decreas-
 994 ing rates. To ensure that this constraint is met x^* must equal a column vector of zeros
 995 with length m , and the integer matrix R^* would contain -1 s across the diagonal and

1s offset from the diagonal by the number of wells. Similarly, for the monotonic increasing scenario the constraint that $q_{j,k} \leq q_{j,k+1}$ must be satisfied. To achieve this the integer matrix R^* would contain 1s along the diagonal and -1 s offset from the diagonal by the number of wells, but with the important inclusion that the diagonals associated with the last time step at all well locations is 0 because otherwise $q_{j,k} \leq 0$ which would result in zero injection rates for all time. We include the monotonically decreasing constraint for Prospectice case ‘Reduction’.

Running average constrains the injection rates to to be equivalent to an average over t management periods such that the constraint $\frac{q_{j,k+1}+q_{j,k+2}+\dots+q_{j,k+t}}{t} \leq q_{j,k}$ is satisfied. The running average is useful if smoothing of injection rates through time is desired. The mixed integer construction still results in a column vector of zeros with length m for x^* . The integer matrix R^* therefore contains diagonal integer values equivalent to $-t$ and t 1s offset from the diagonal by the number of wells times t .

Exclusion of certain wells is another constraint that is necessary for typical injection management practices. The construction of the integer matrix R^* is similar to the monotonic scenario. In order to satisfy the constraint for specific wells such that $q_{j,k} \leq 0$ wells at specified management periods in the R^* matrix are represented with 1s since Eq. (8) limits the injection rate q as nonnegative. The combination of monotonic, running average, and exclusion of wells allows for a wide variety of variable injection scenarios that are all possible to optimize for.

Furthermore, uncertainty in the simulation model is also possible to incorporate into the management solution. While not included in this study, the concept is similar to the previous management model controls. For example, in our model of the Raton Basin, if there was significant uncertainty in the fault permeability structure we could recreate an entirely new response matrix based on an altered simulation model where the fault zone permeability in the model was changed. This would require 29 (each well) different unit-source solutions ie. model runs. The newly formed response matrix is appended with the primary response matrix and also the constraint vector is appended. The linear program will find an optimal solution again, but with the inclusion that the uncertainty in permeability is accounted for. Uncertainties in any of the material parameters is accountable for different model realizations which are ‘stackable’ *ad infinitum*. It is important to note that solving the linear program in this way means that the so-

1028 lution finds the optimal injection solution *to* the uncertainty instead of *with* uncertainty.
1029 The only 'free' uncertainty that does not require additional simulation model realizations
1030 is that of the fault geometry. Additional τ response matrices are calculable for differ-
1031 ent receiver fault geometries and concatenated in the same way as any other uncertain-
1032 ties.

1033 6.5 Iterative Method

1034 The iteration technique is designed to slowly adjust the rate constraints at the sub-
1035 set of model output locations such that the forward solution of the constraints and sub-
1036 sequent seismicity rate and seismic hazard across the entire basin arrives at the desired
1037 threshold. The technique is not exhaustive or optimized, but was found to work adequately
1038 for our efforts.

- 1039 1. Given the forward solution of rate constraints x from the optimized injection rates
1040 q resolve the total seismicity rate and subsequent hazard across the basin. If within
1041 the tolerance of the desired threshold finish the iteration. If not within the tol-
1042 erance of the desired threshold continue to the next step.
- 1043 2. Find the locations l used in the optimization (ie. the 500 subset of points used in
1044 optimization (SM Figure 15)) that for all time during management period (ie. 5
1045 years) reached their constraints, even one time step.
- 1046 3. For the specific locations l , increase their constraints (for all time) by a small amount.
1047 That is to say use a multiplier that increases the constraint. The amount is based
1048 on how far way from the desired solution the current total probability is. If close,
1049 then the scaling is low, but if far the scaling can be larger if desired by the user.
1050 Otherwise if the probability is too high reduce all constraints by an adjustable per-
1051 centage.
- 1052 4. Solve the optimization again with the adjusted constraints which will produce a
1053 new q array.
- 1054 5. Forward solve a solution for the rate constraints x given the new q .
- 1055 6. If you are incorporating previous remnant stress fields, add those stress rates to
1056 x now. This is for Prospective Case #2.
- 1057 7. Return to step 1.

Test Case	Permeability m^2	
	Dakota	Entrada
Low Displacement	$6.825 \cdot 10^{-14}$	$5.892 \cdot 10^{-14}$
High Displacement	$6.415 \cdot 10^{-14}$	$6.164 \cdot 10^{-14}$
Increasing Displacement	$6.607 \cdot 10^{-14}$	$5.836 \cdot 10^{-14}$
Recovery	$6.667 \cdot 10^{-14}$	$8.924 \cdot 10^{-14}$

Table SM 1: **Permeability Calibration.** Calculated permeabilities in each step rate case test for the Dakota and Entrada formations obtained from AQTESOLV (?, ?).

6

Coulomb Stress Rate Response Matrix The *rate* response matrix is represented as differences in the original Response Matrix between adjacent time intervals, analogous to a derivative. It is helpful to define components of the original Response Matrix as $R_{n,w,t}$ corresponding to the response at n model output points by w wells during the time interval t . Similarly, the injection rate for all wells w during the time interval t is given by $q_{w,t}$. One of these components is equivalent to the colored blocks in (9).

It is informative to expand on the derivation of the *rate* response matrix by working out how each time step portion is generated, and its relation to the rate constraint. First, the the initial time step is simply:

$$R_{n,w,1}q_{w,1} \leq \dot{x}_{n,1}$$

Then, for the second time step, rate constraint $x_{n,2}$ must satisfy the difference between the response generated in step 2 from the response generated prior. In other words, the difference between the second ‘row’ of the Response Matrix (the response at $t=1$) and the response at $t=2$:

$$(R_{n,w,2}q_{w,1} + R_{n,w,1}q_{w,2}) - (R_{n,w,1}q_{w,1}) \leq \dot{x}_{n,2}$$

Which, we then factor out the independent injection rates at specific time steps from:

$$(R_{n,w,2} - R_{n,w,1})q_{w,1} + (R_{n,w,1})q_{w,2} \leq \dot{x}_{n,2}$$

1073 Repeating the two steps above for the next time step, a pattern begins to emerge:

$$\begin{aligned} (R_{n,w,3}q_{w,1} + R_{n,w,2}q_{w,2} + R_{n,w,1}q_{w,3}) - (R_{n,w,2}q_{w,1} + R_{n,w,1}q_{w,2}) &\leq \dot{x}_{n,3} \\ (R_{n,w,3} - R_{n,w,2})q_{w,1} + (R_{n,w,2} - R_{n,w,1})q_{w,2} + (R_{n,w,1})q_{w,3} &\leq \dot{x}_{n,3} \end{aligned}$$

1074 so that in general the rows for each time step t of the *rate* response matrix $\dot{R}_{n,w,t}$ are
1075 appended by:

$$\dot{R}_{n,w,t} = \sum_{N=0}^{t-1} (R_{n,w,t-N} - R_{n,w,t-N-1})$$

1076 Therefore, the coefficients for each $q_{w,t}$ factor can be combined in a *rate* response ma-
1077 trix which only requires the individual $R_{n,w,t}$ components from the original response ma-
1078 trix to generate. Once generated, if desired, you can choose to optimize the injection rate
1079 from the rate constraints exclusively or combined with other constraints.

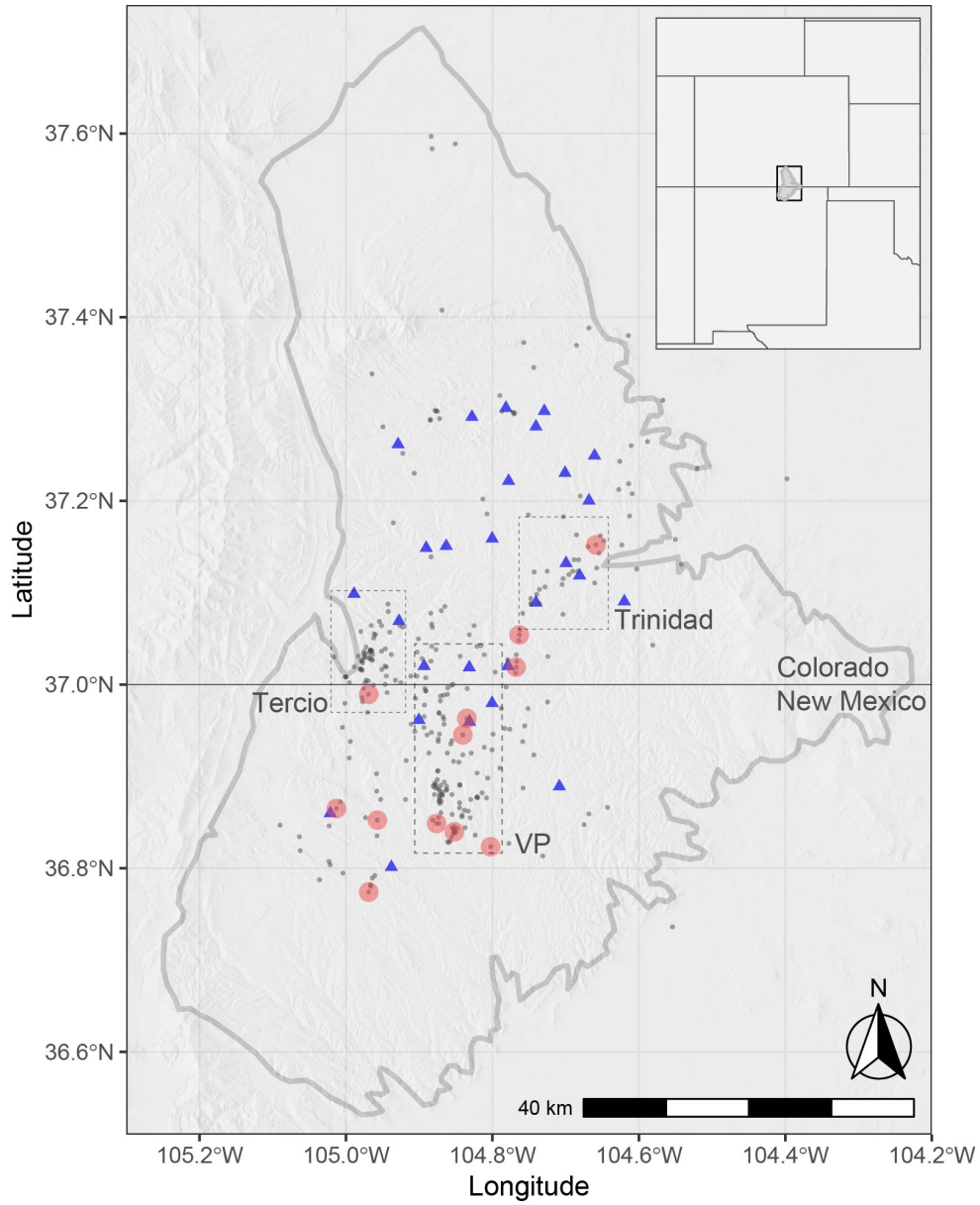


Figure 1. Regional Context. Light grey outline is the Raton Basin. Blue triangles are the 29 injection wells. Grey dots are earthquakes with $M \geq 2.5$ and red dots are earthquakes with $M \geq 4$ from Nov-2001 to July-2020. Boxed regions represent zones of seismicity: Tercio, Vermejo Park, and Trinidad.

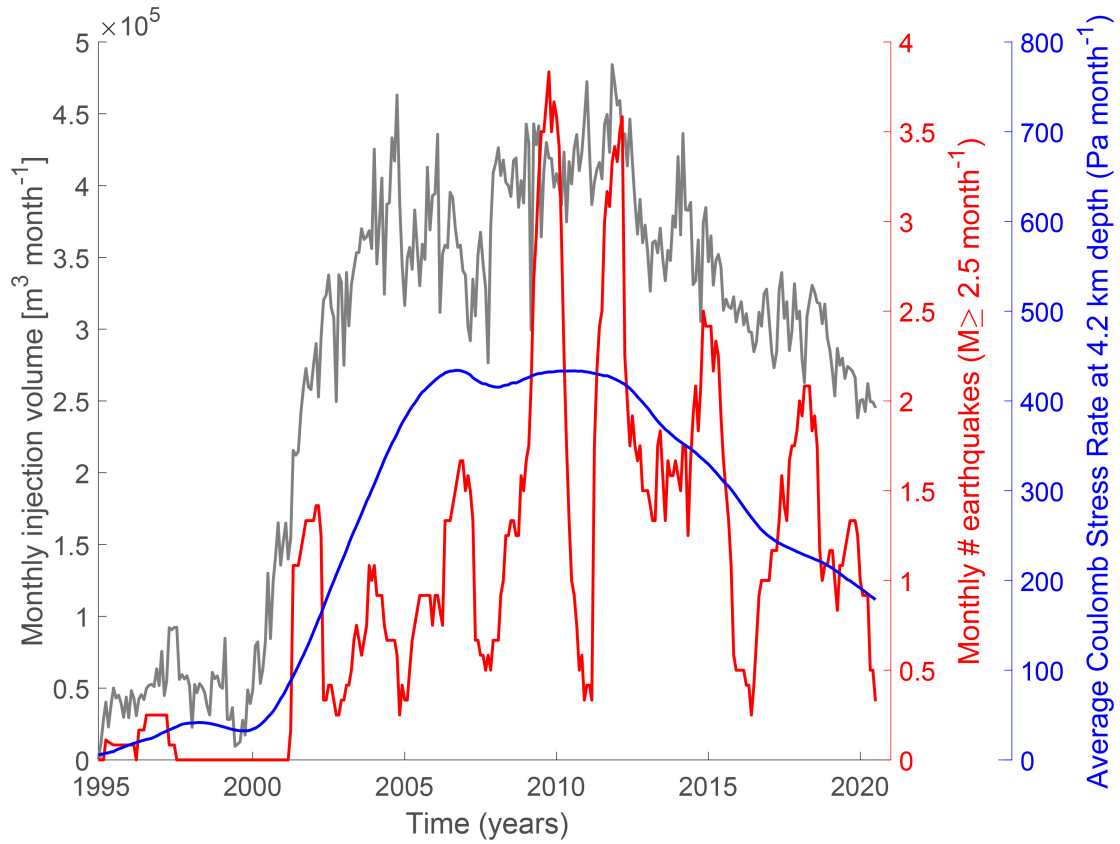


Figure 2. Injection, induced earthquakes, and Coulomb stress rate. Total monthly injection volume (grey), observed earthquakes $M \geq 2.5$ (1 year moving mean), and the average modelled Coulomb stress rate in the study area. The Coulomb stress rate lags the injection rate due to the diffusion of pore pressure into the crystalline basement. A correlation between increased stress at depth and seismicity is observed.

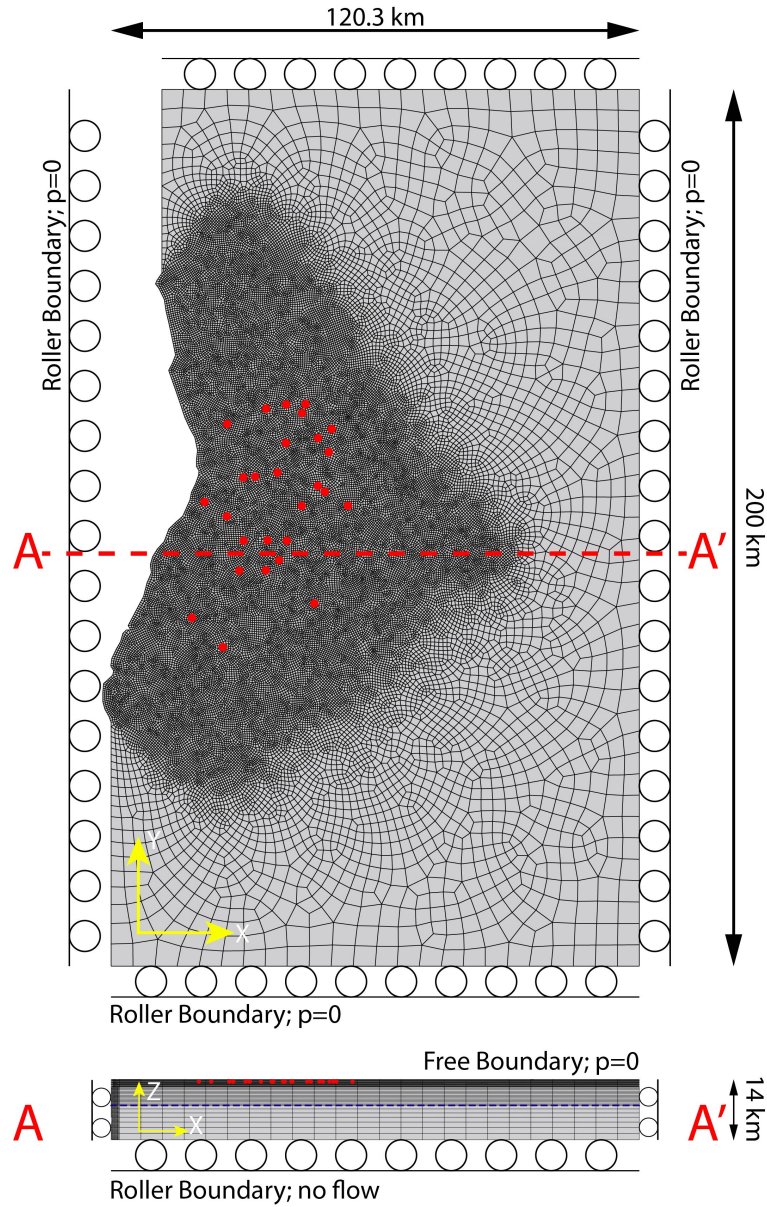


Figure 3. Numerical Domain. Three-dimensional finite-element model domain. The model mesh contains about 1.5 million hexahedron elements. The Red dots represent the well injection locations. The blue dotted line represents pore pressure and stress output location at the mean seismogenic depth (~ 7 km depth or 4240 m below the top of the crystalline basement).

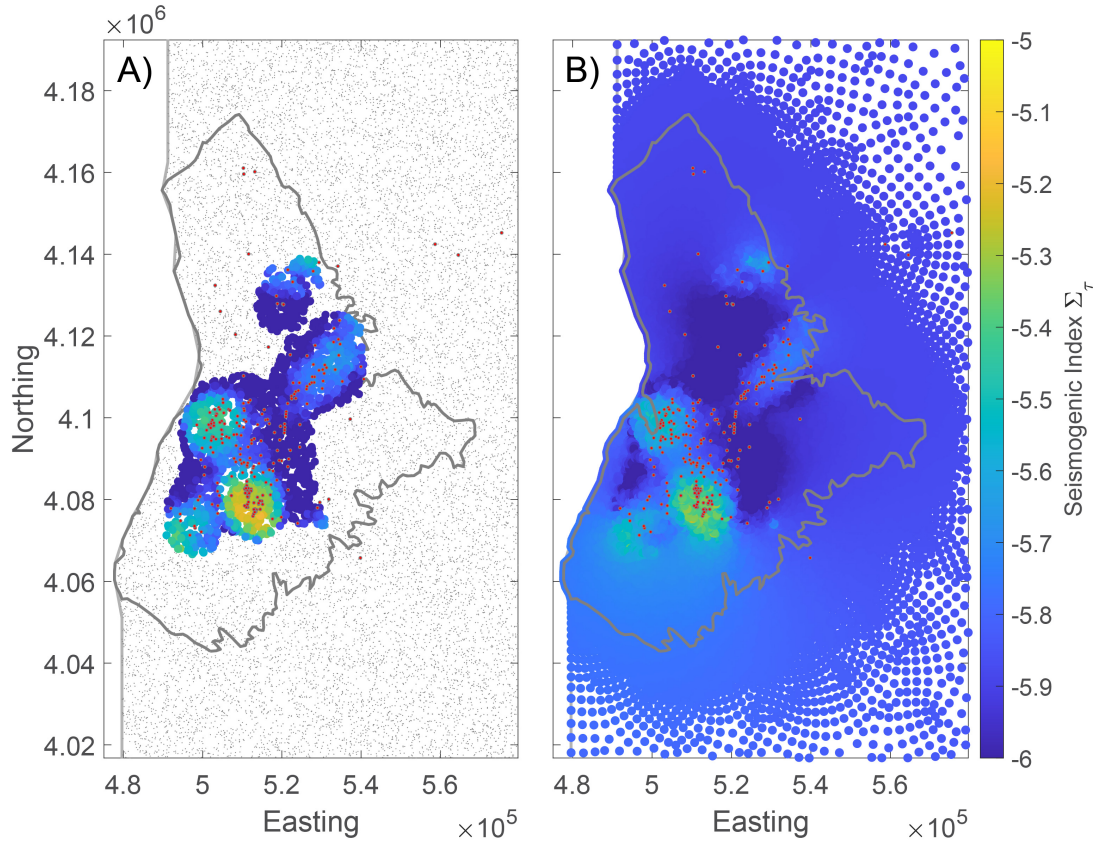


Figure 4. Seismogenic Index Σ_r Maps. Mapped spatial variability of the SI in the Raton Basin. The SI is computed in local regions of 7-km radius around the 25,000 seed points (grey dots in panel A). The calibration time is between Nov-1994 and July-2016. See Methods for additional details. Red dots represent earthquakes $M \geq 2.5$ used in calibration. Panel B) represents the inverse distance weighted interpolation of the SI to the model points used in the forward model management solutions.

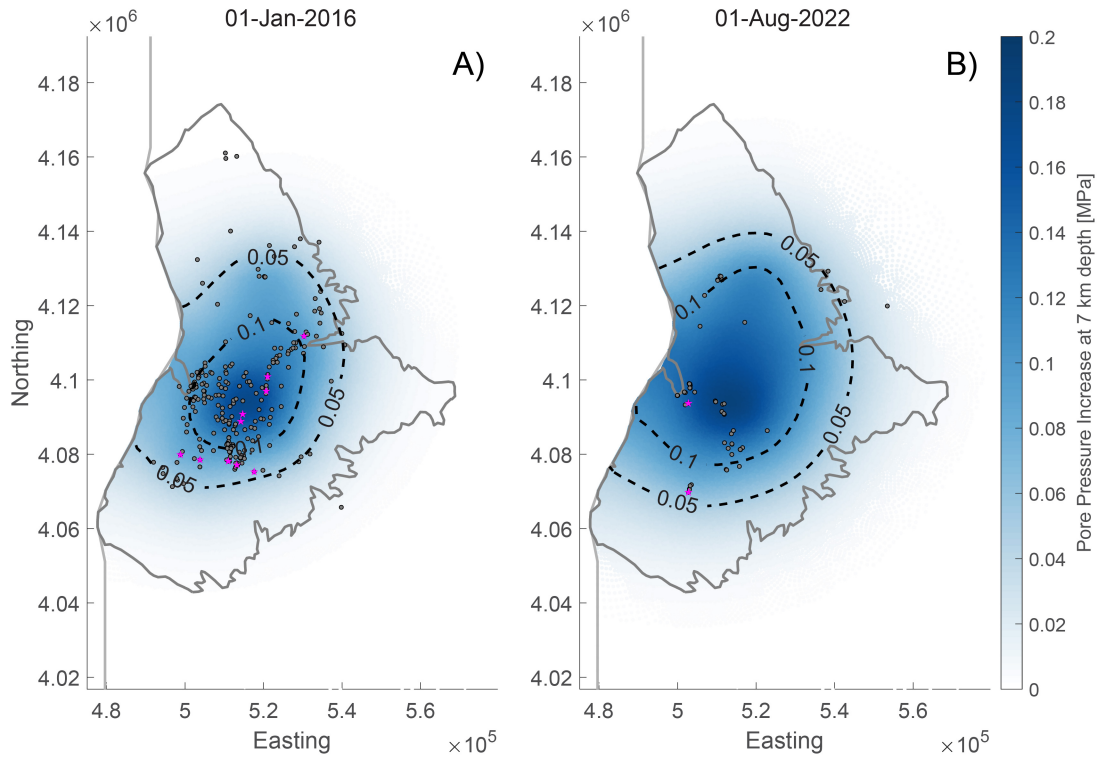


Figure 5. Pore Pressure Increase. A)) Pore pressure increase at mean seismogenic depth across the basin including seismicity from Dec 1994 through Jan 2016. Black dots represent earthquakes with $M \geq 2.5+$ and magenta stars are earthquakes with $M \geq 4+$. B) Pore pressure increase at mean seismogenic depth across the basin including seismicity between July 2016 to July 2022.

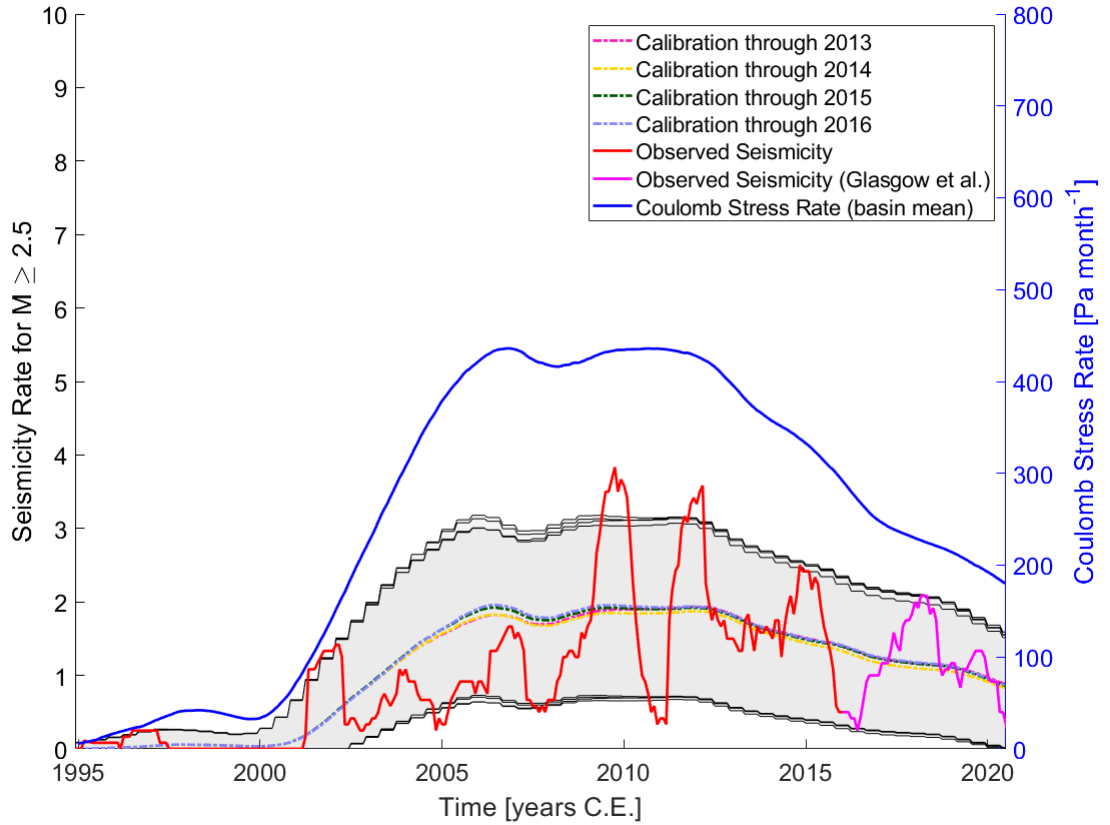


Figure 6. Seismicity Rate Forecast. Seismicity rate forecasts, above our completeness magnitude $M \geq 2.5$, compared to observed seismicity rate (1 year moving mean). Calibration period is from Nov 1994 through 2013, 2014, 2015, and 2016 prior to the Glasgow et al., 2021 study (Glasgow et al., 2021). The earthquakes and longest calibration time period used to calibrate the SI model is represented by the red line. The varying dashed lines and grey boundaries are the 95% confidence bounds forecasted by the seismicity rate produced from the SI model that includes the inverse distance weighted interpolation (right panel of Figure 4). Magenta line represents the observed seismicity from Glasgow et al., 2021 which is well explained by the seismicity rate forecasted by our model.

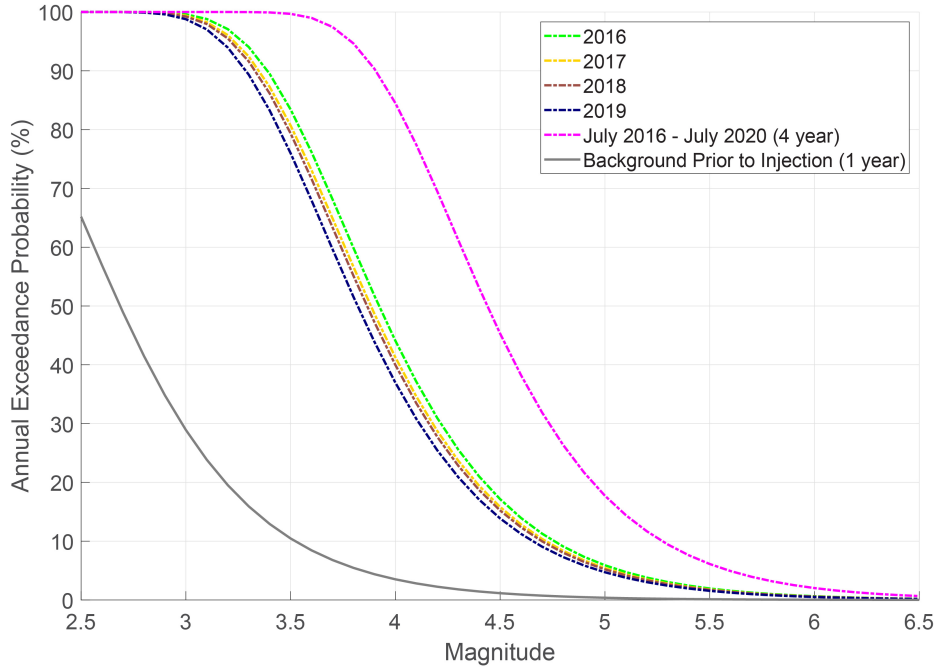


Figure 7. Forecasted Magnitude Exceedance Probabilities. Exceedance probabilities for magnitudes $M \geq 2.5-6.5$ from our physic-based forecasting model. Each line represents the probability forecasted by our model based on the calibrated SI map and computed Coulomb stress model outputs. The forecasted probability from 2016-2020 is significantly higher than the tectonic background (grey line) and is highest in 2016. Background probabilities are derived from prior work (Rubinstein et al., 2014). Each year from 2016 to 2019 the the magnitude exceedance probabilities or decreasing, but still above the tectonic background level. From 2016 to 2020 the potential to trigger a $M \geq 5+$ increases to $\sim 18\%$.

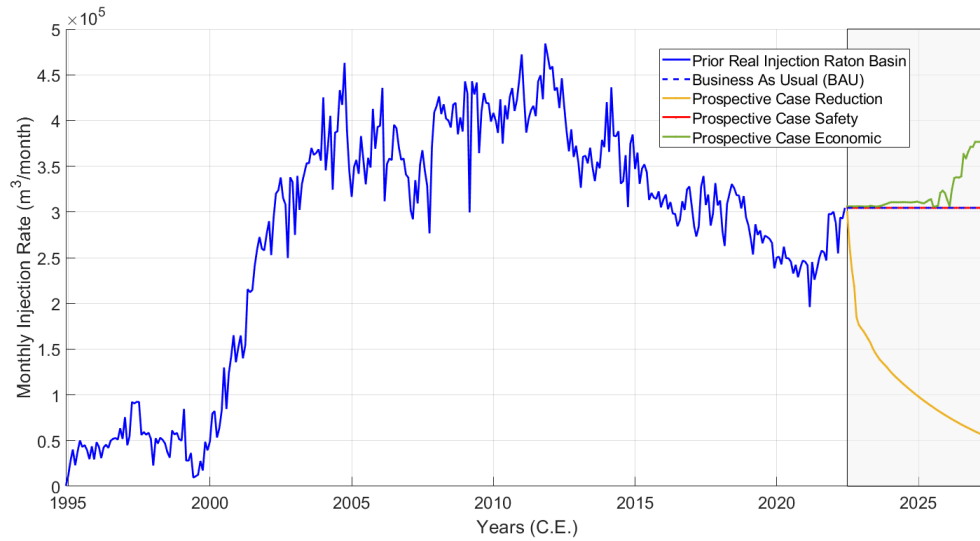


Figure 8. Different Optimization Scenarios. Plot shows the monthly injection rate (total of all 29 wells) for the observed data (blue). At June-01-2022, the next 5 year window (gray box) represent the forecasted injection rates. The business-as-usual rate takes the last known injection rates and holds them constant for the five years (blue-dash). The prospective case ‘Reduction’ is the optimized injection rates subject to reducing the overall injection by 70% in 5 years as well as a taper in individual well rates (yellow). The prospective case ‘Safety’ is the optimized injection rates subject to the constraint that the total fluid injected must be the same as the BAU, but reduces the overall hazard (Figure 9) (red). The prospective case ‘Economic’ is the optimized injection rates subject to the constraint that the overall 5 year hazard must be the same as the BAU, but increases the overall injection (green).

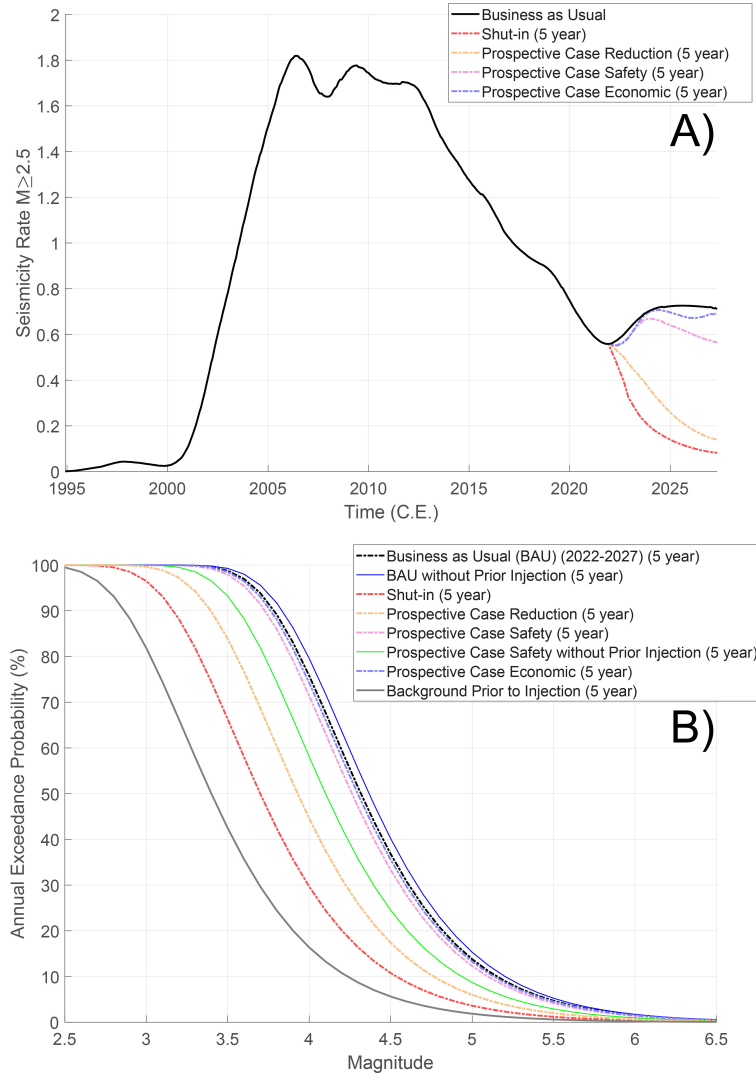


Figure 9. Seismicity Rate Forecasts and Forecasted Magnitude Exceedance Probabilities (Optimizations). A) Seismicity rate for $M \geq 2.5$ from beginning of injection until beginning of optimization management period. Each of the 5 year optimizations have an associated exceedance probability in the next panel. B) Exceedance probabilities for scenarios projected into the future (see main text). The Business as Usual (BAU) forecast is determined by extrapolating the last observed injection well data into the next 5 years. The shut-in forecast is determined in a similar way, but for immediate shut-in of all wells in June-2022. Prospective Case ‘Reduction’ considers reducing overall injection volume by 80% while not allowing the probability of exceeding a $M \geq 4+$ to be over 45%. Prospective case ‘Safety’ considers the same amount of fluid as the BAU case, but a more spatially optimized strategy based on the SI map. Prospective case ‘Economic’ optimizes to a solution for much more fluid for the same seismic hazard as the BAU case.

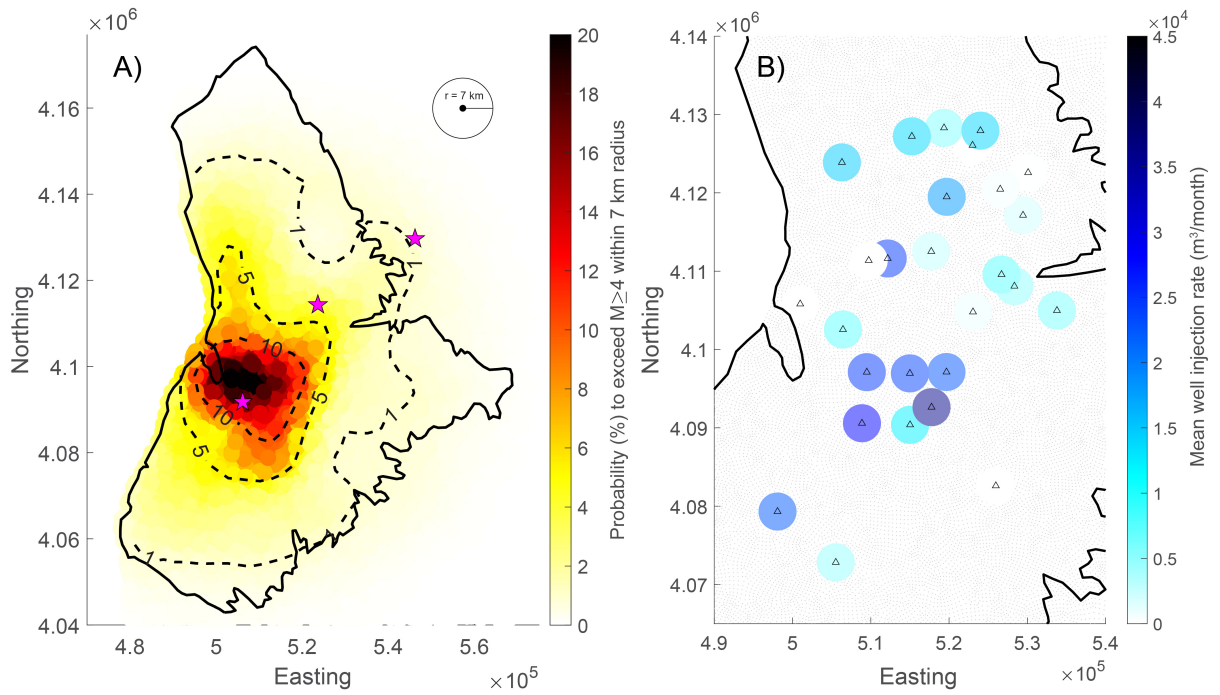


Figure 10. BAU Hazard and Mean Injection Rate. A) Magnitude exceedance hazard map for $M \geq 4$ for the 5 year management window. Each location is taken as the sum in a 7 km radius. Magenta stars (3) represent the locations of actually observed $M \geq 4$ earthquakes between June-2022 and Sept-2023. B) The Mean well injection rate (m^3/month) for all 29 wells (triangles) in the BAU extrapolation. Grey dots represent model nodes.

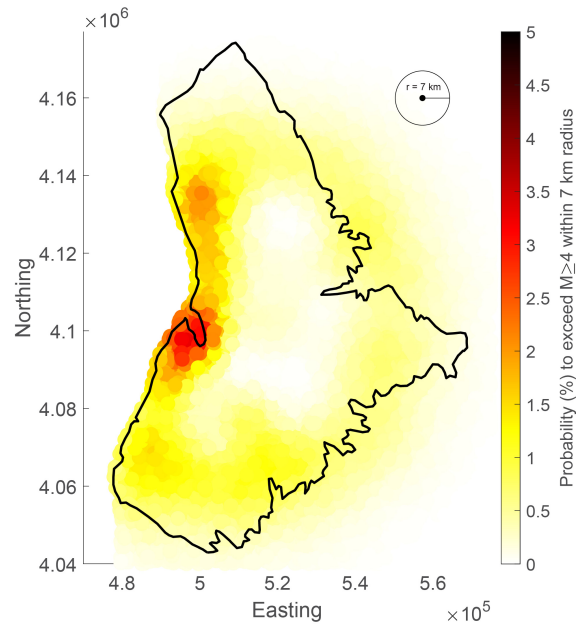


Figure 11. Shutin Hazard. The 5 year hazard for the shut-in scenario (all wells cease injection in May 2022 and stay off for 5 years) is also characterized spatially for a probability of exceeding a $M \geq 4+$. Shut-in represents the post-diffusion pore pressure and stress effects from the full injection history that continue to linger through the model and contribute to perturbations. Note that the colorbar axis is lower (5%) compared to all other maps which use 20% to clearly show the spatial distribution of the hazard.

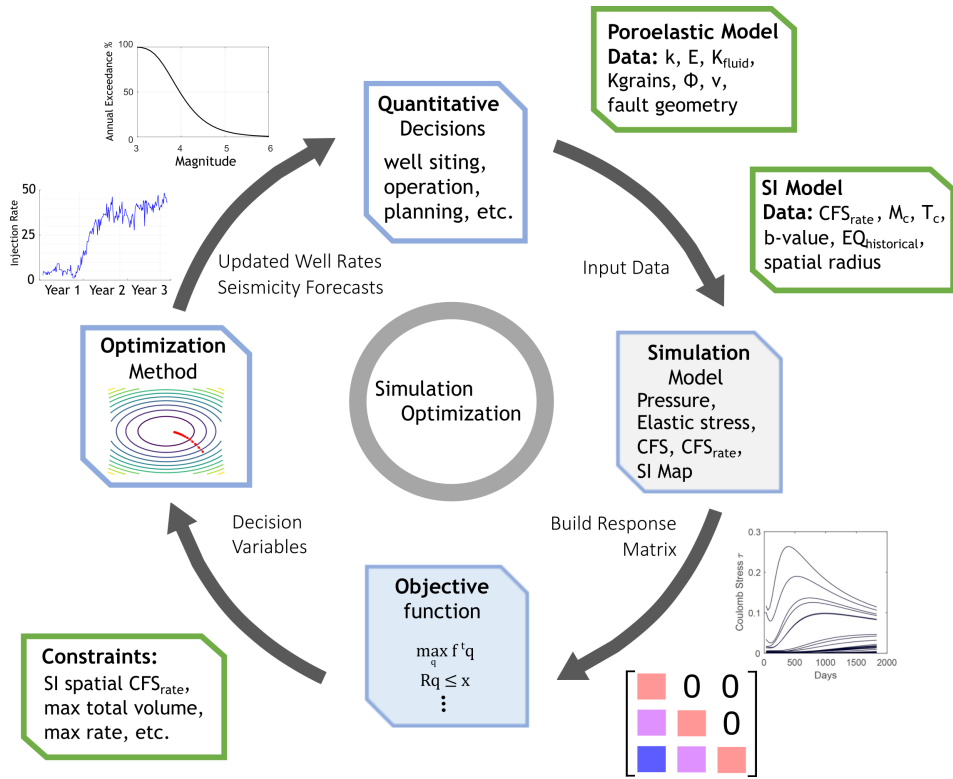


Figure 12. Simulation Optimization Schematic. Beginning at the top, operations consider quantitative decisions in well placing and operation prior to injection. By developing a numerical model and SI map from current injection a simulation model is built. The simulation model is used to build a response matrix which through linear programming solves a desired objective function (maximize the fluid injected). Additional constraints further inform the optimization which arrives at informed injection rates and spatial hazard maps to then advise future operation practices.

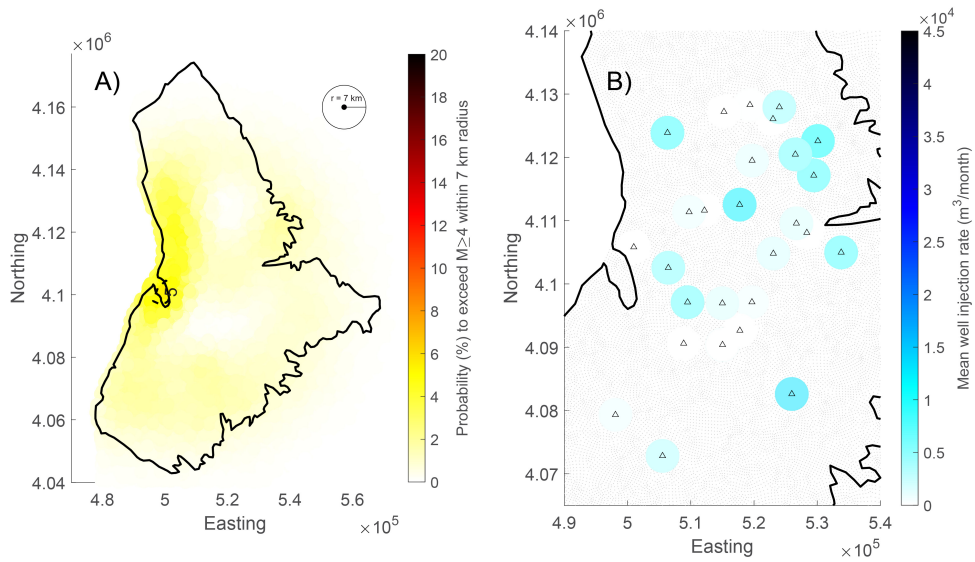


Figure 13. Prospective Case ‘Reduction’ Results. A) Magnitude exceedance hazard map for $M \geq 4+$ for the 5 year management window. Each location is taken as the sum in a 7 km radius. See SM Figure 1 for yearly plots. B) Mean injection rate (m^3/month) at each well location (triangles). There are several locations where the optimization chooses not to inject. The grey dots represent the model nodes.

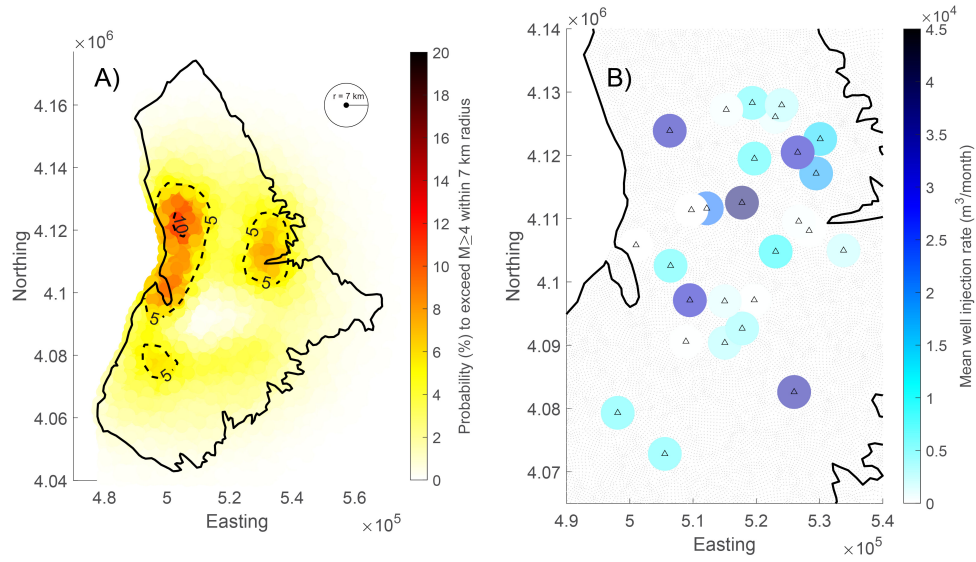


Figure 14. Prospective Case ‘Safety’ Results. A) Total probability of exceeding a $M \geq 4+$ earthquake across the entire basin during the total 5 year management window. Hazard is spread more evenly throughout the model and in less than the BAU case in areas that contribute to high hazard. See SM Figure 2 for yearly plots. B) Mean injection rate in m^3/month at each well location (triangles). There are several locations where the optimization chooses not to inject. The grey dots represent the model nodes.

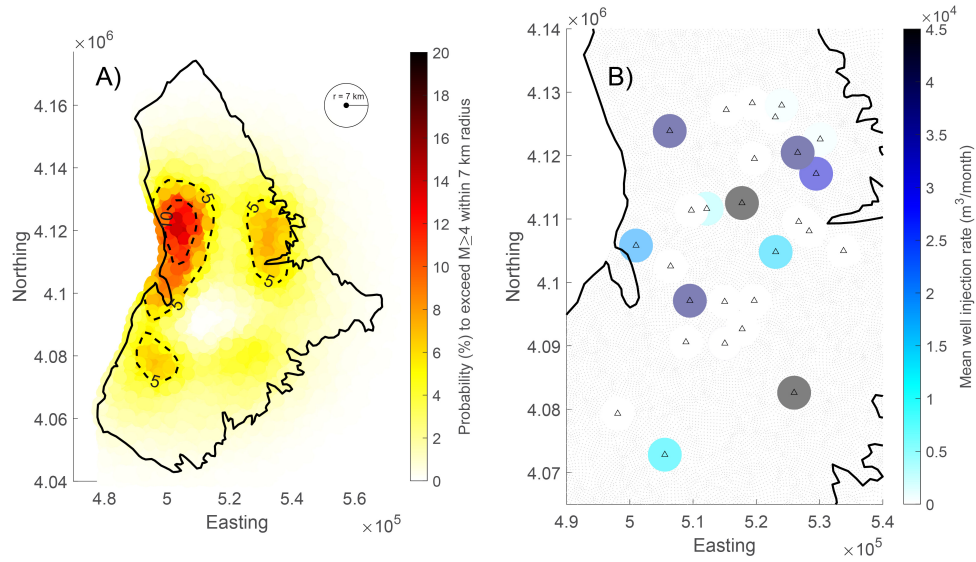


Figure 15. Prospective Case ‘Economic’ Results. A) Total probability of exceeding a $M \geq 4+$ earthquake across the entire basin during the total 5 year management window. The highest probability western part of the basin is associated with the large fluid injection. See SM Figure 3 for yearly plots. B) Mean injection rate in m^3/month at each well location (triangles). There are several locations where the optimization chooses not to inject. The grey dots represent the model nodes.

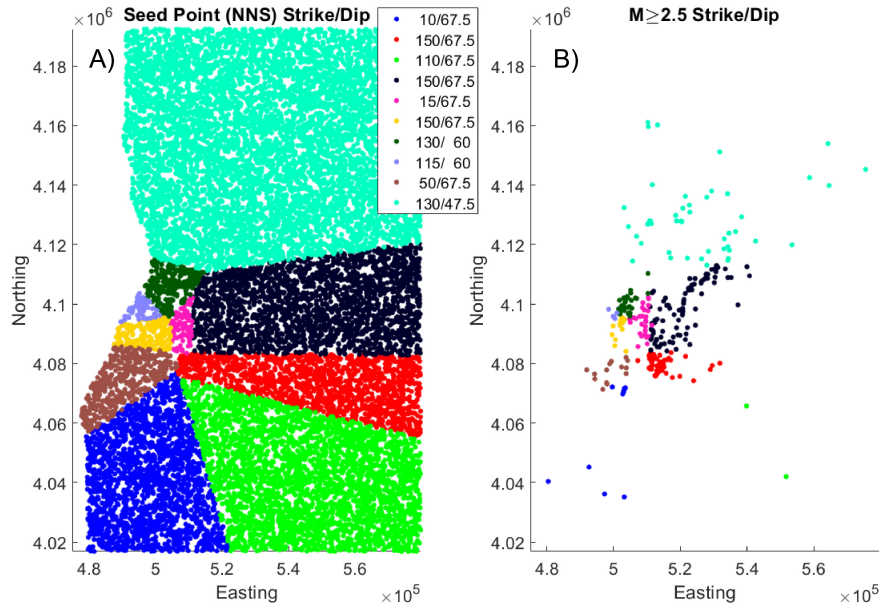


Figure 1. Map of the $\sim 25,000$ seed points (A) and $M \geq 2.5+$ earthquakes (B) with their associated fault geometries (strike/dip). The strikes were determined by a nearest neighbor search (NNS) across the basin by choosing several varying locations of strikes given by previous work (Glasgow et al., 2021) (their Fig. 5). Dips were determined by taking the closest large event focal mechanisms. Regardless of inaccuracies in our fault geometry assumptions, the fault geometries play a minimal role in the overall Coulomb stress rate calculations since the pore pressure rate is the largest component which is independent of fault geometry (SM Figure 2).

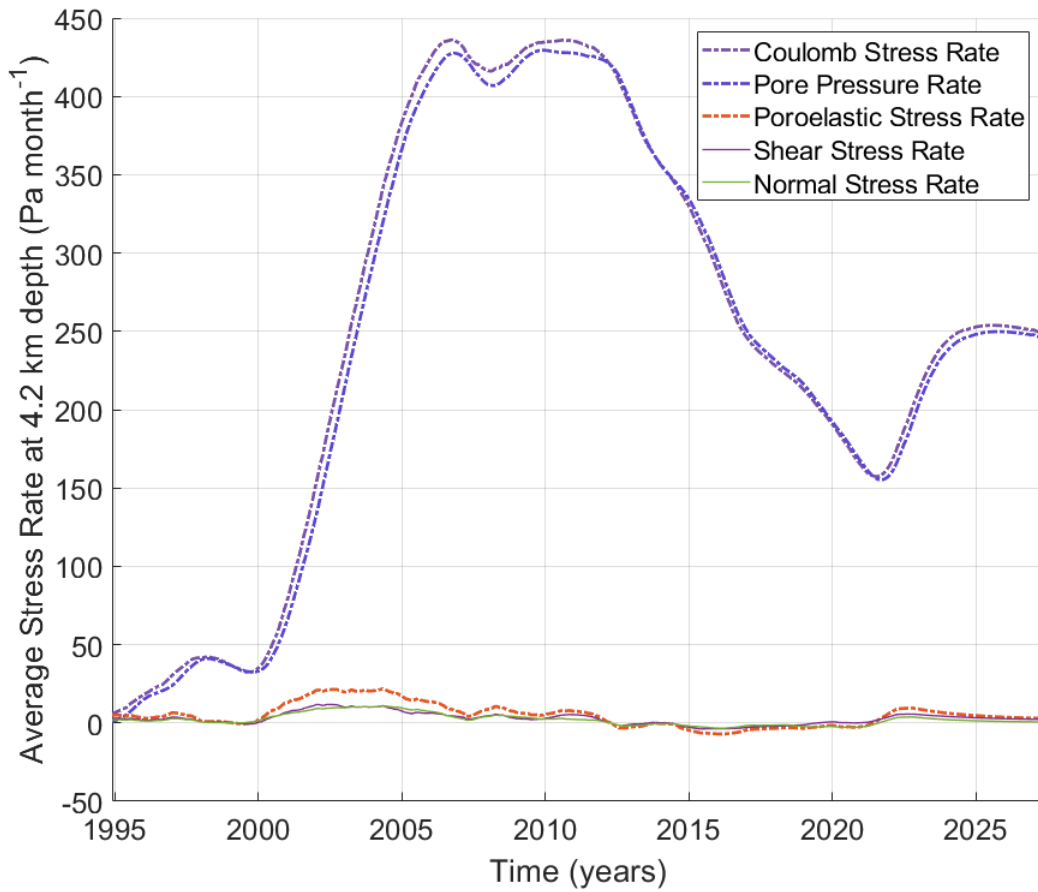


Figure 2. Average stressing rates for the central Raton basin at 4.2 km depth. The dominant signal of the Coulomb stress rate is the pore pressure rate.

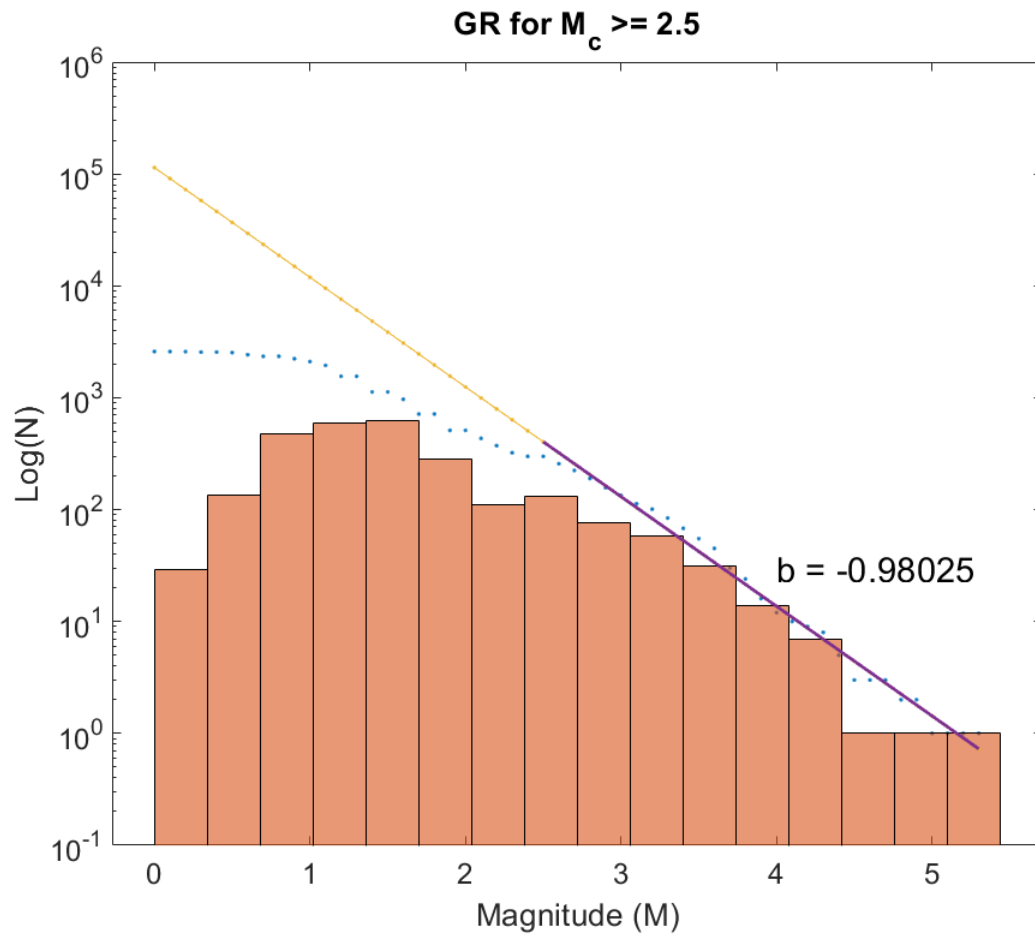


Figure 3. GR law of earthquake catalog prior to higher resolution data from Glasgow et al., 2021 (Glasgow et al., 2021) (see Data). A magnitude cut-off of $M_c=2.5$ is chosen from visual inspection where the frequency of events experience 'roll-off' from b-value estimate.

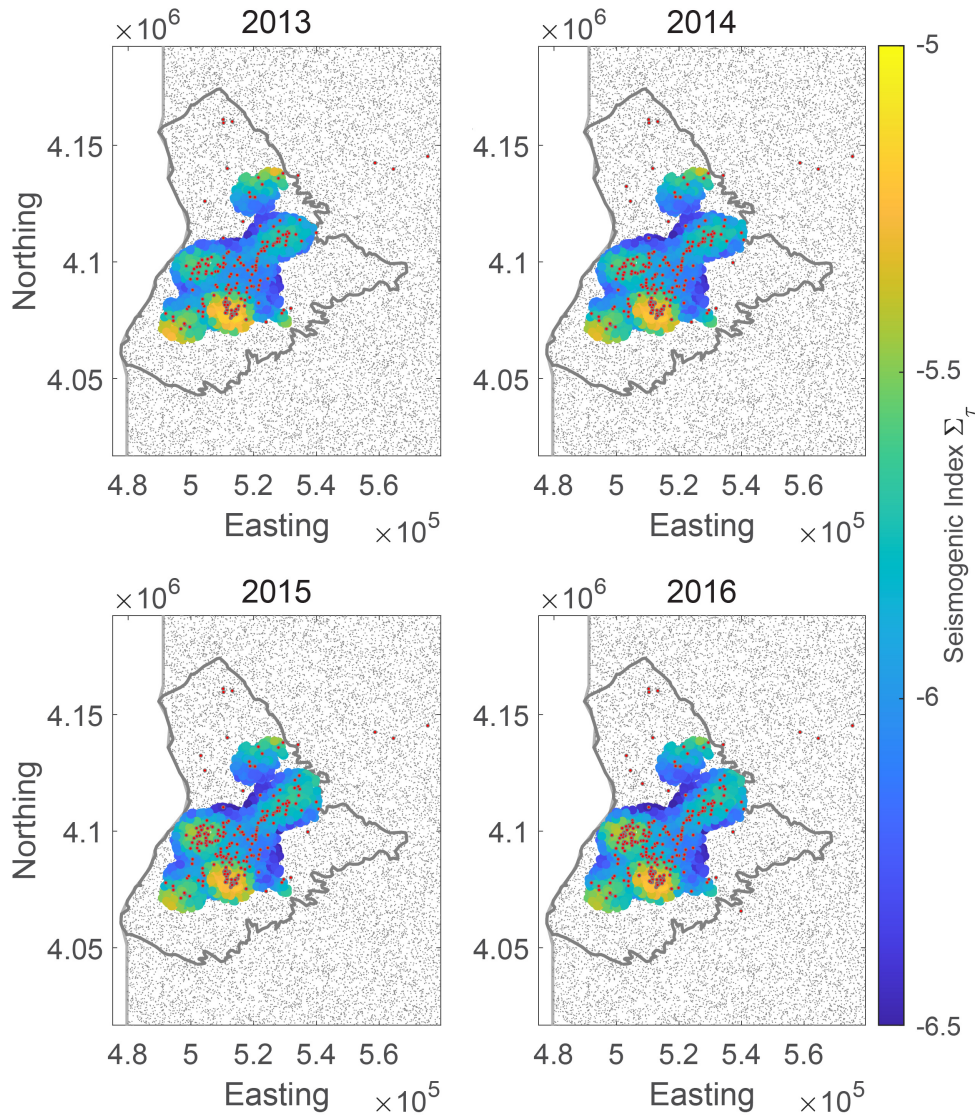


Figure 4. SI map for varying calibration period 2013-2016. With increasing earthquake count the SI improves in spatial resolution, but there is little change among the different calibration years.

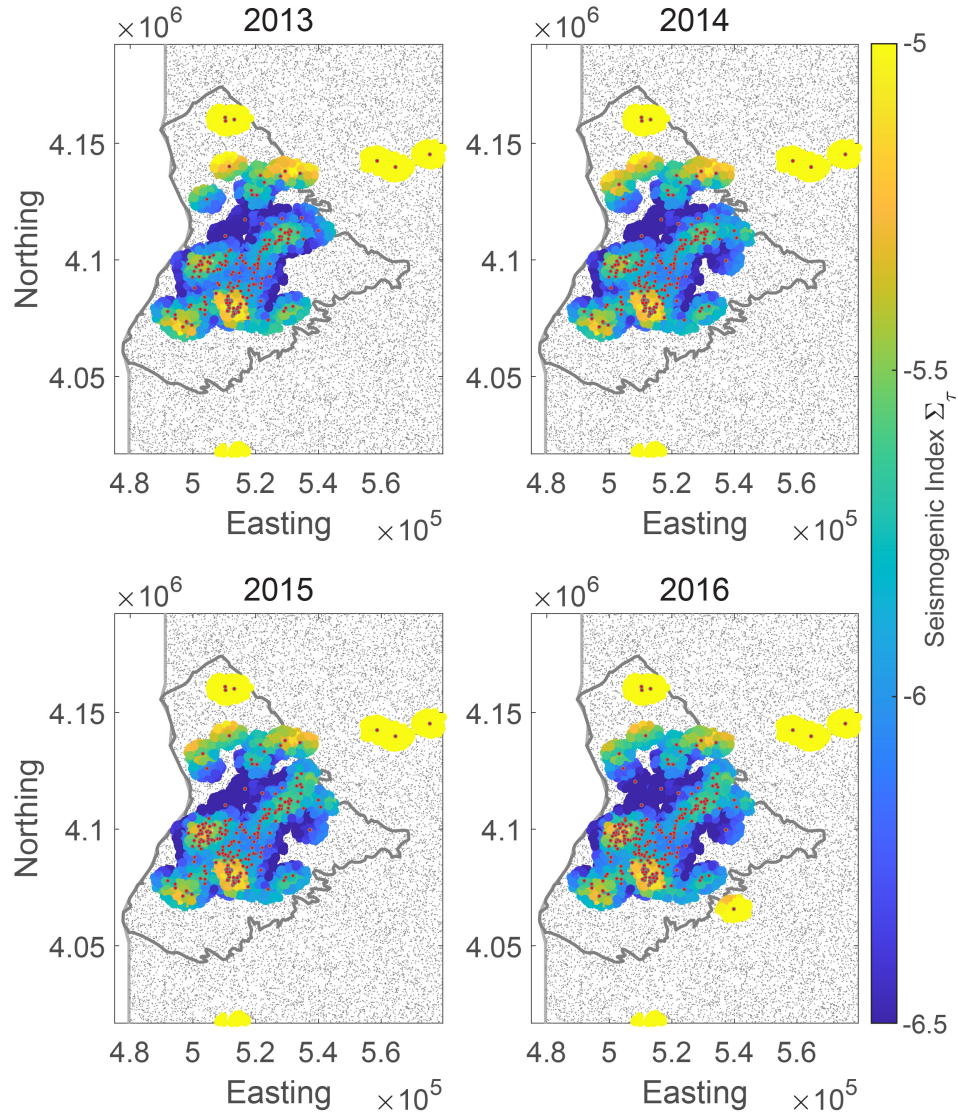


Figure 5. SI map for varying calibration period 2013-2016 for the model that uses a 5-km search radius and removes the >3 earthquake precondition. Outliers away from the basin provide high localized areas of enhanced SI. Notice that within the basin though, the overall structure and features of enhanced SI do not change.

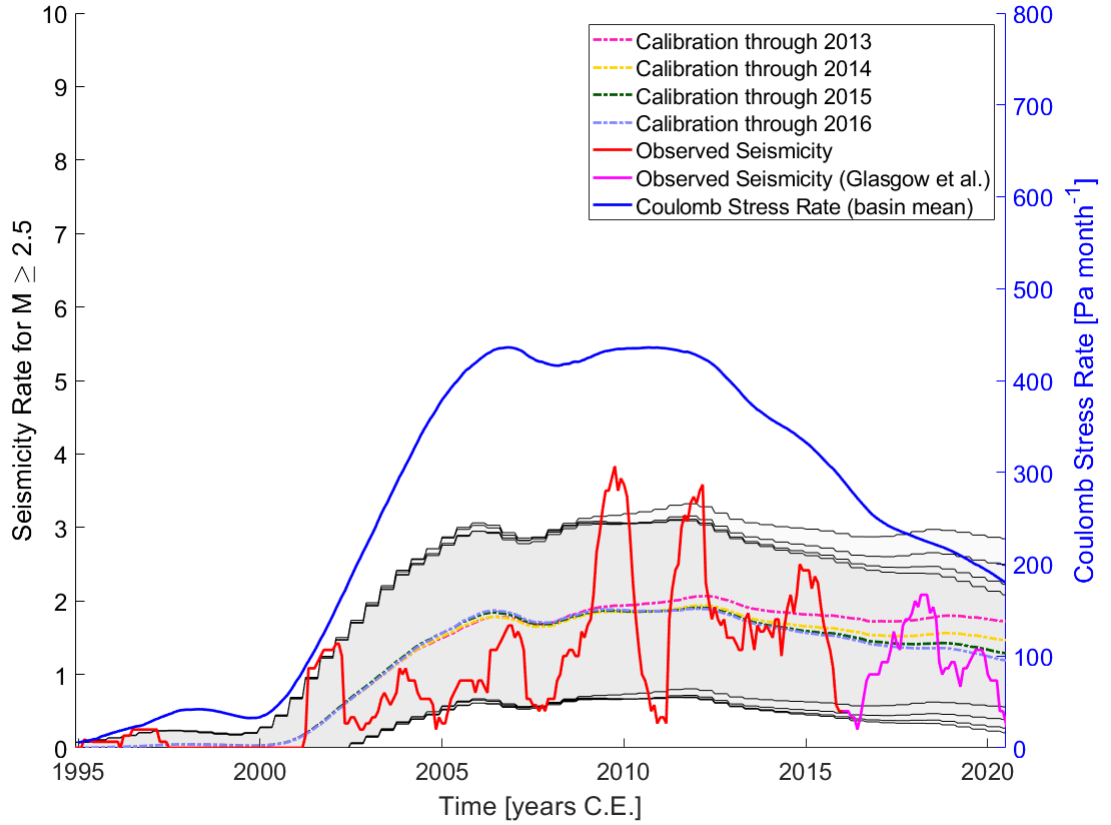


Figure 6. Seismicity rate forecasts, above our completeness magnitude $M \geq 2.5$, compared to observed seismicity rate (1 year moving mean). Calibration period is from Nov 1994 to July 2016, prior to the Glasgow et al., 2021 study. The earthquakes and time period used to calibrate the SI model is represented by the red line. The grey areas are the 95% confidence bounds for the different calibration time periods for the the forecasted seismicity rate produced from the SI model that includes the inverse distance weighted interpolation (right panel of Figure 4). Magenta line represents the observed seismicity from Glasgow et al., 2021 which is well explained by the seismicity rate forecasted by our model.

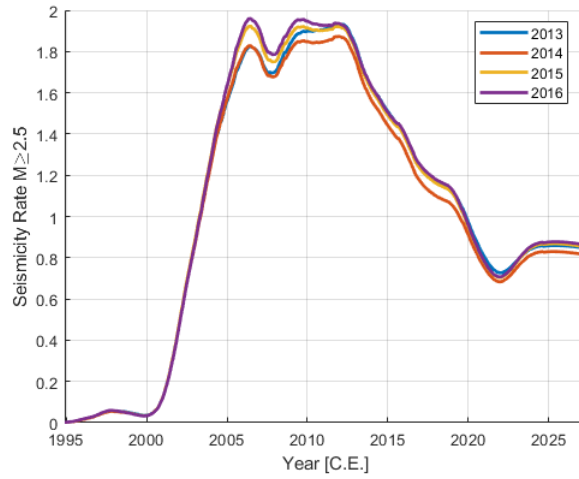


Figure 7. Seismicity rate for four different calibration periods including the BAU forecast after May 2022. This is the forecast based on our SI model shown in Figure 4.

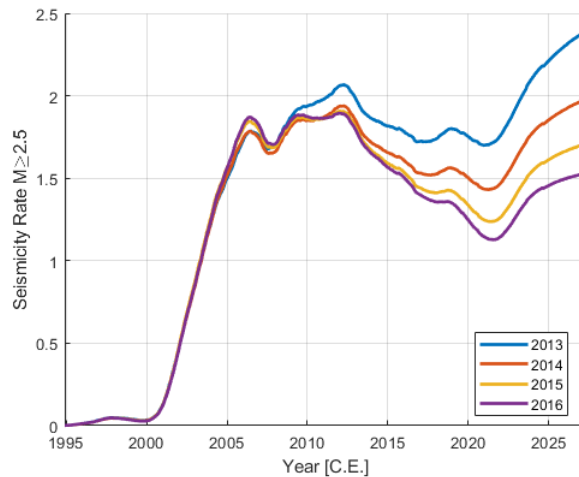


Figure 8. Seismicity rate for four different calibration periods including the BAU forecast after May 2022. This is the forecast based on our SI model shown in SM Figure 5. Notice that the seismicity rate increases much more than the prior model in SM Figure 7. The reason is that the large outliers of SI now experience elevated rates of Coulomb stress rate which contribute to the overall seismicity rate considerably more.

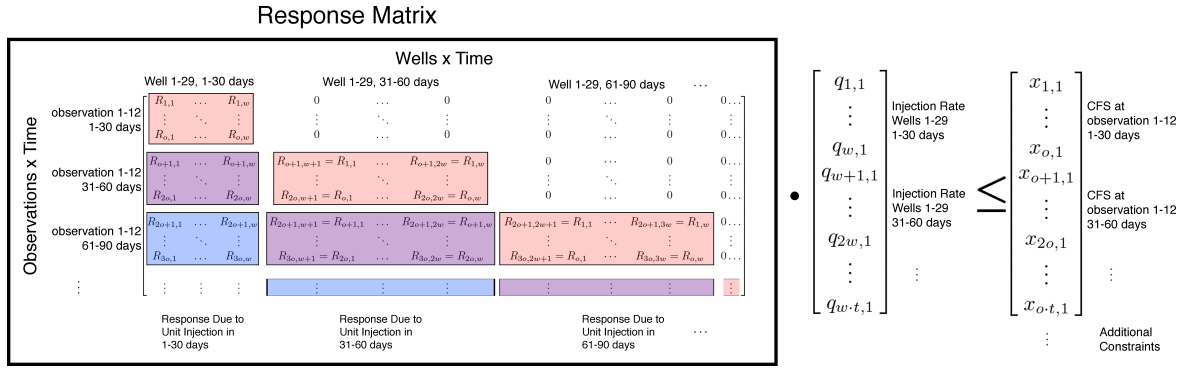


Figure 9. Response Matrix. As an example, we denote the Coulomb stress response matrix as R_{mn} , where $m=732$ is the number of rows that equals the number of model output locations (12) times the number of time steps (61), and where $n=1769$ is the number of columns that equals the number of wells (29) times the number of time steps (61). Steps to form the response matrix for the Coulomb stress *rate* are provided in the Appendix. If we denote q as the injection rates at each of the 29 wells for all time steps (61), we can multiply Rq to produce the resulting Coulomb stress at each of the observed locations for each time step. This is the foundation for the management model and linear program optimization. An example of using 12 model output locations is presented in the Supplementary Methods 6.3).

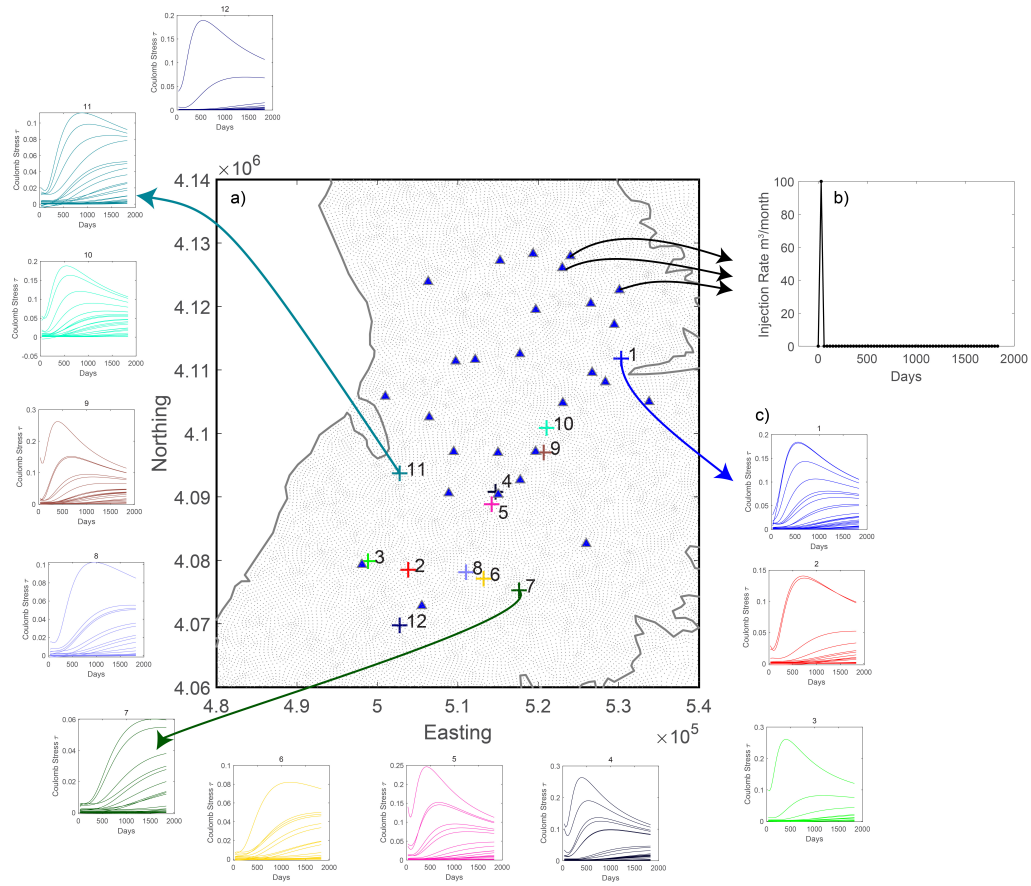


Figure 10. Visualization of Response Matrix Generation. Panel a) shows a zoomed in portion of the model with corresponding well locations (blue triangles) and 12 model output locations where prior $M \geq 4$ events occurred in the basin. Panel b) is the unit impulse injection rate. We create 29 separate models that follow this injection profile for each well. The impulse response is an injection of 100 m^3 immediately followed by zero injection rate with no injection at the other well locations. Note that the unit impulse response shares both the number of time steps and total time length of the management model. We then record the response at the entire basin (model points in grey). For this example we choose 12 points associated with prior earthquakes. Panel c) shows the 29 responses that each unit response has on each given location. These response values are combined in the response matrix (Figure 9).

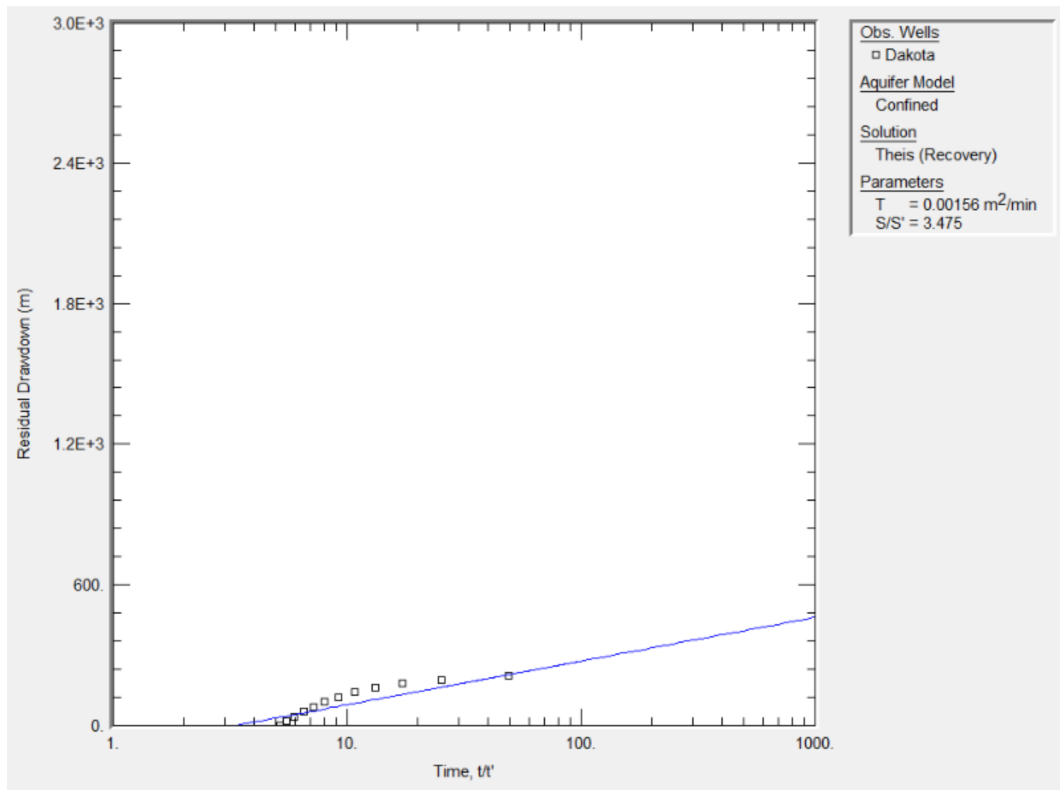


Figure 11. Dakota Formation (Recovery). Dakota formation residual drawdown over $\log(t/t')$ calculated fit from AQTESOLV. Transmissivities were converted to permeability (Table 1).

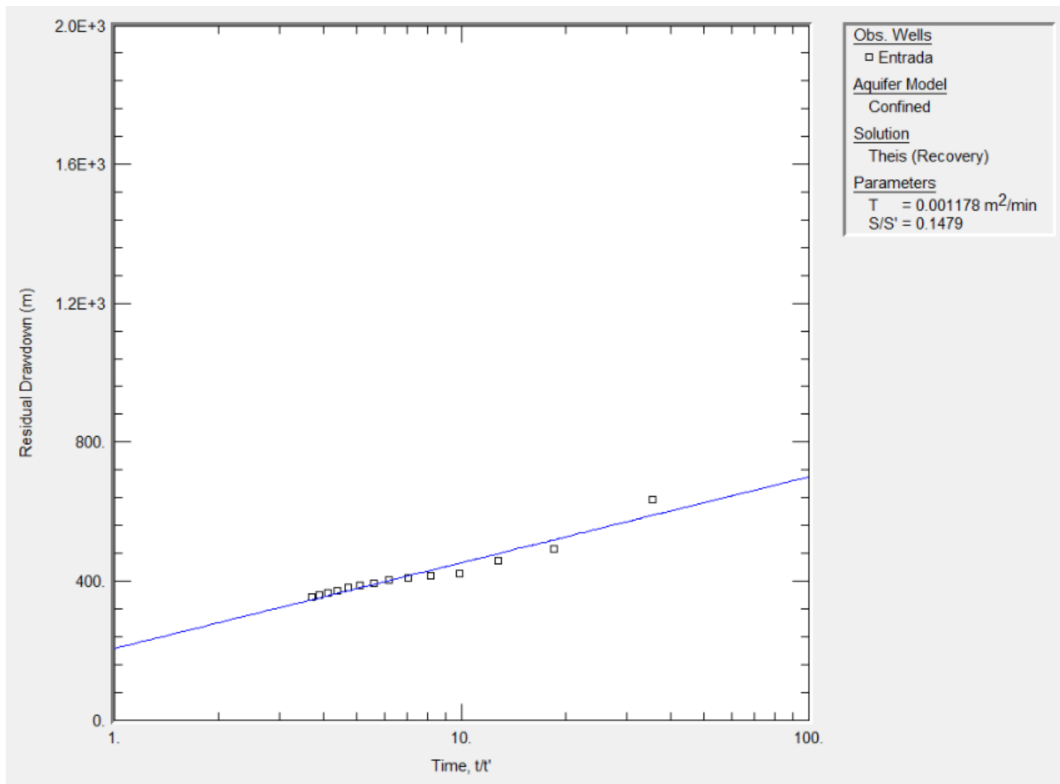


Figure 12. Entrada Formation (Recovery). Entrada formation residual drawdown over $\log(t/t')$ calculated fit from AQTESOLV. Transmissivities were converted to permeability (Table 1).

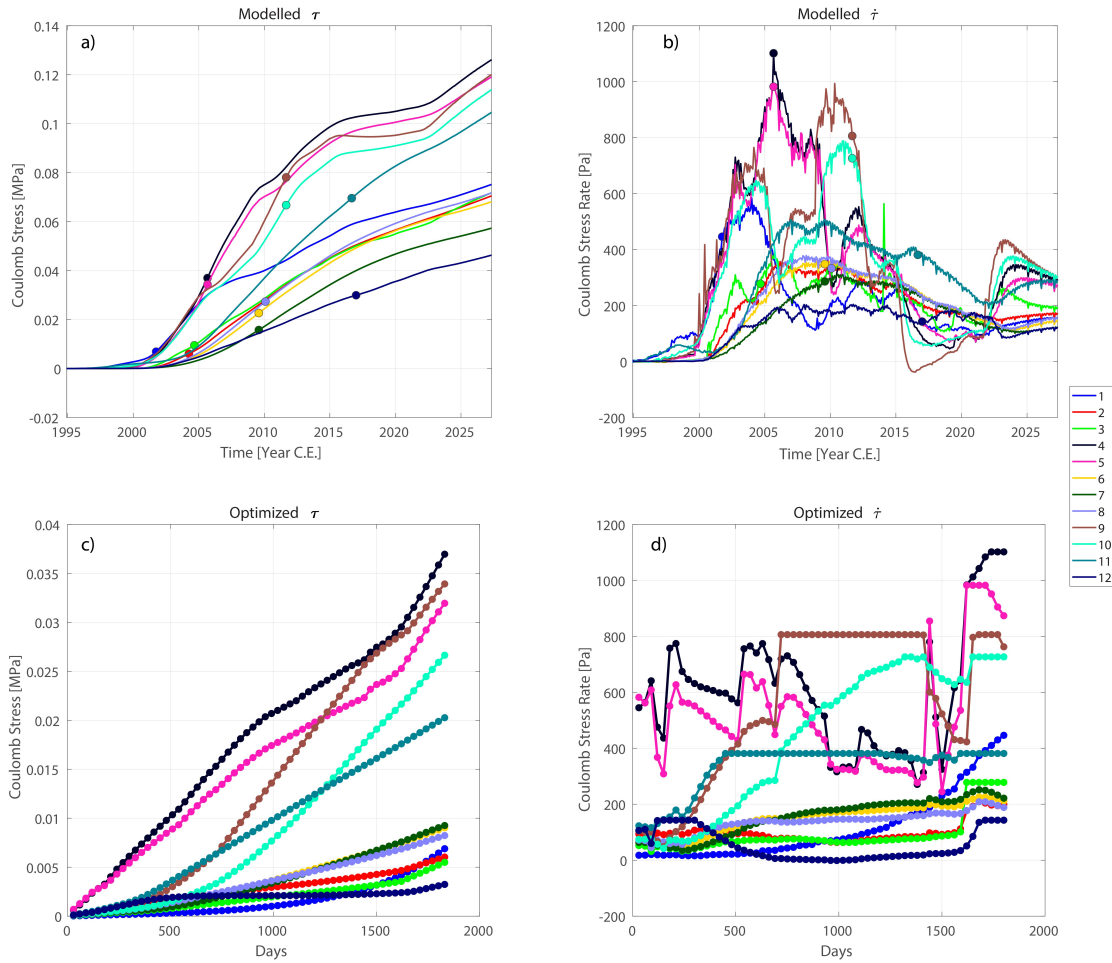


Figure 13. Simple Optimization Example (12 points) Panel a) is the modelled Coulomb stress at the 12 model output points with dots at the time of previously recorded $M \geq 4+$ earthquakes at that location. Panel b) is the modelled Coulomb stress rate at the 12 model output points with dots at the time of the $M \geq 4+$ earthquake at that location. Notice how the Coulomb stress rate at the model output points 4,5,8,6,7,10 coincide when rates were peaking indicating good, and entirely independent, agreement between Coulomb stress rate and timing of seismicity. Panel c) is the optimized Coulomb stress which is considerably lower than the modelled stress. Panel d) is the optimized Coulomb stress rate. Notice how some locations clearly reach the maximum allowed rate for some time steps. Individual model output locations compared to the overall and rate constraints through time are provided in the Supplementary (SM Figure 14).

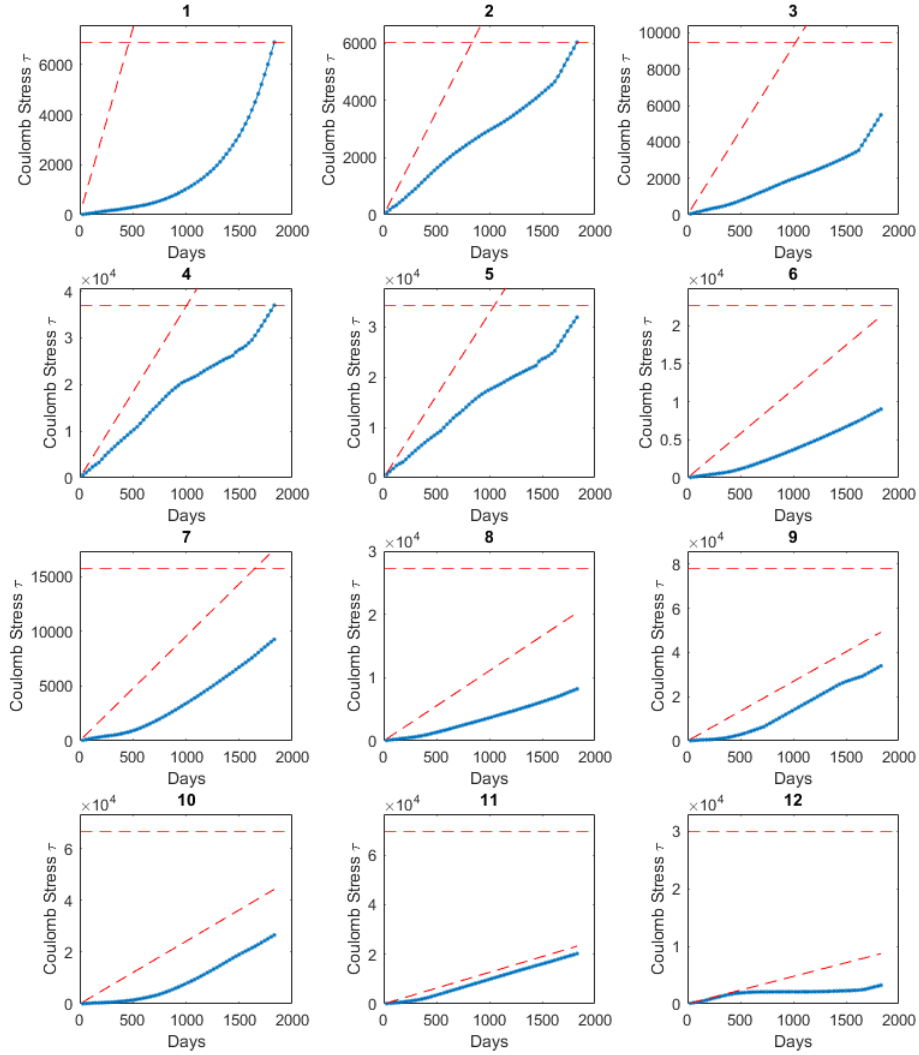


Figure 14. Simplified management model example results that does not require an SI map. The (blue line) is the optimized Coulomb stress results for the 12 model output locations compared to the maximum Coulomb stress allowed (horizontal red dash line) and compared to the maximum Coulomb stress Rate (angled red dash line) allowed at each of the model output locations.

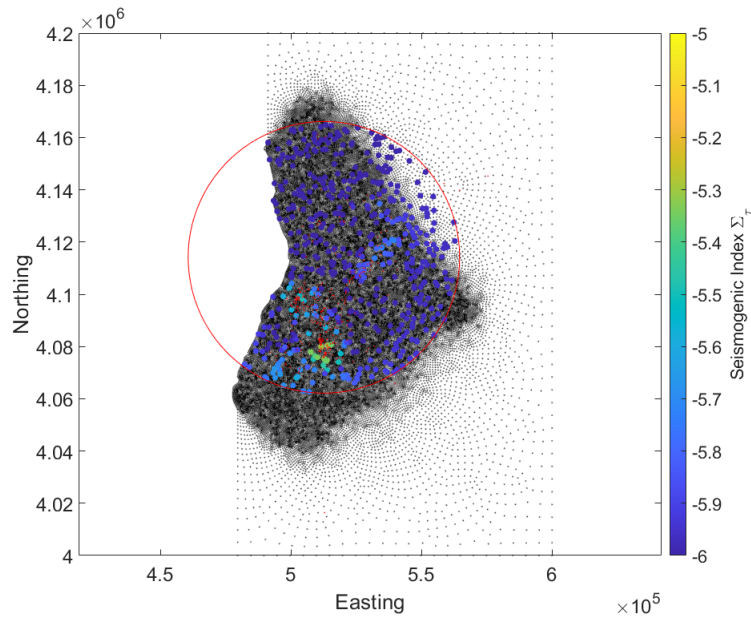


Figure 15. Uniform random distribution of points (500) used for management model 2 examples. The red dots represent the earthquakes in the basin with $M \geq 2.5$. The red circle represents the subset of the model points used such that all seismicity is within it ensuring that the random points chosen for the initialization of the optimization are not irrelevant.

Figure 1. Placeholder for SM Video 1. Monthly hazard and well injection for prospective case ‘Reduction’.

Figure 2. Placeholder for SM Video 2. Monthly hazard and well injection for prospective case ‘Safety’.

Figure 3. Placeholder for SM Video 3. Monthly hazard and well injection for prospective case ‘Economic’.