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### Title

Changing temporal trends in non-AIDS cancer mortality among people diagnosed with AIDS:  
San Francisco, California, 1996-2013

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1 **Title:** Changing Temporal Trends in Non-AIDS Cancer Mortality among People

2 Diagnosed with AIDS: San Francisco, California, 1996-2013

3

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## 1 **Abstract**

2 **Background:** Antiretroviral therapy (ART) has reduced AIDS-defining cancer (ADC)  
3 mortality, but its effect on non-AIDS-defining cancer (NADC) mortality is unclear. To help  
4 inform cancer prevention and screening, we evaluated trends in NADC mortality among  
5 people with AIDS (PWA) in the ART era.

6 **Methods:** This retrospective cohort study analyzed AIDS surveillance data, including  
7 causes of death from death certificates, for PWA in San Francisco who died in 1996-2013.  
8 Proportional mortality ratios (PMRs), and year, age, race, sex-adjusted standardized  
9 mortality ratios (SMRs) were calculated for 1996-1999, 2000-2005, and 2006-2013,  
10 corresponding to advances in ART.

11 **Results:** The study included 5,822 deceased PWA of whom 90% were male and 68% were  
12 aged 35-54 at time of death. Over time, the PMRs significantly decreased for ADCs (2.6%,  
13 1.4%, 1.2%) and increased for NADCs (4.3%, 7.0%, 12.3%). For all years combined  
14 (1996-2013) and compared to the California population, significantly elevated SMRs were  
15 observed for these cancers: all NADCs combined (2.1), anal (58.4), Hodgkin lymphoma  
16 (10.5), liver (5.2), lung/larynx (3.0), rectal (5.2), and tongue (4.7). Over time, the SMRs for  
17 liver cancer (SMR 19.8, 11.2, 5.0) significantly decreased while the SMRs remained  
18 significantly elevated over population levels for anal (SMR 123, 48.2, 45.5), liver (SMR  
19 19.8, 11.2, 5.0), and lung/larynx cancer (SMR 5.3, 4.7, 3.6).

20 **Conclusion:** A decline in ADC PMRs and increase in NADC PMRs represent a shift in the  
21 cancer burden, likely due to ART use. Moreover, given their elevated SMRs, anal, liver,  
22 and lung/larynx cancer remain targets for improved cancer prevention, screening, and

1 treatment.

2 **Key words:** AIDS; cancer; mortality; standardized mortality ratio (SMR); trends

3

#### 4 **1. Introduction**

5       The use of effective antiretroviral therapy (ART) to control HIV infection has led to  
6 a dramatic reduction in HIV-related mortality, extending life expectancy among persons  
7 with HIV/AIDS to ages at which cancer incidence rapidly rises [1-7]. The combination of  
8 older age, immune perturbation, and prolonged exposure to carcinogens and oncogenic  
9 viral infections puts ART-treated adults at a heightened risk of cancer and cancer-related  
10 mortality [8, 9].

11       From the beginning of the AIDS epidemic, the cancers commonly reported as  
12 underlying and contributory causes of death among people with AIDS (PWA) were two  
13 AIDS-defining cancers (ADCs) – non-Hodgkin lymphoma (NHL) and Kaposi sarcoma  
14 (KS) [10, 11]. Now, with the widespread use of effective ART, non-AIDS-defining cancers  
15 (NADCs) have become increasingly more common as a cause of death among PWA [12,  
16 13]. Although the use of ART has resulted in a decreased number of ADC deaths and  
17 increased life expectancy among PWA [6], the impact of ART on NADC mortality is less  
18 well known [13]. There are only a few recently published studies that have compared  
19 cancer-related mortality in the United States (US) among PWA to that of the general  
20 population, particularly for NADCs, and even fewer studies that have assessed temporal  
21 trends in cancer mortality [12, 13].

22       In this investigation, we evaluated the changing impact of ART on NADC mortality

1 by examining temporal trends in NADC-related causes of death among San Francisco PWA  
2 from 1996 to 2013. We hypothesized that the proportion of ADC deaths would decrease as  
3 the proportion of NADC deaths increase over time commensurate with the increased use  
4 and potency of ART. We also hypothesized that the standardized mortality ratios (SMRs)  
5 for certain NADCs would be elevated above population levels as a result of longer life  
6 expectancies among PWA and contributory factors such as immune dysfunction, and  
7 prolonged exposure to cancer causing agents and viral infections.

8

## 9 **2. Methods**

### 10 **2.1 Study population**

11 We conducted a population-based retrospective cohort study of cancer-related  
12 mortality among PWA in San Francisco. In San Francisco, HIV/AIDS surveillance has  
13 been conducted through active and passive methods and, as of December 31, 2015, 15,995  
14 people were reported living with HIV [14]. For this investigation we included all people  
15 diagnosed with AIDS (infected with HIV and have either a CD4+ T-cell count <200  
16 cells/ $\mu$ L, a CD4+ T-cell percentage of total lymphocytes of <15%, or one of the AIDS-  
17 defining illnesses)[15] who were aged  $\geq$ 15 years and who died from January 01, 1996  
18 through December 31, 2013. The study excluded individuals with an HIV diagnosis who  
19 did not develop AIDS since name-based reporting of HIV infection began in 2006 and thus  
20 was not available for the entire study time period. Also excluded were children <15 years of  
21 age due to their low risk of cancer-related mortality.

## 1 **2.2 Dependent and independent variables**

2 Data on the date of AIDS diagnosis, demographic characteristics, HIV mode of  
3 transmission, country of origin, current address, and prescription of ART among PWA  
4 were ascertained through the San Francisco Department of Public Health (SFDPH)  
5 HIV/AIDS registry. Race was categorized as African American, Hispanic, Other (including  
6 multi-race), or White and age was categorized into 10-year age groups. We defined an  
7 individual as residing in an impoverished neighborhood at diagnosis if they lived in a  
8 census tract where >20% of persons aged 18 years of older had a median annual household  
9 income that was below the U.S. poverty level [16]. All independent variables used in these  
10 analyses were obtained at the time of diagnosis except for age, which was calculated as of  
11 the date of death.

12 Information on underlying and contributory causes of death was obtained from  
13 computer matches with the National Death Index (NDI), which included deaths through  
14 December 2013. For each decedent, underlying and contributory causes of death were  
15 classified as AIDS-defining cancer (ADC; KS, NHL, and invasive cervical cancer), cancers  
16 that meet the U.S. Centers for Disease Control and Prevention HIV stage 3 disease case  
17 definitions [10], non-AIDS-defining cancer (NADC), HIV/AIDS related non-cancer, or  
18 other. The frequencies of all underlying cancer causes of death were examined and those  
19 cancers that occurred in four or more persons were selected for cause-specific analyses.

20

## 21 **2.3 Statistical analysis**

1           To explore temporal changes, we divided time into three calendar periods, which  
2 corresponded to the improvements in ART: 1996-1999 (early years of effective ART), 2000-  
3 2005 (following FDA approval of lopinavir/ritonavir—Kaletra® and tenofovir disoproxil  
4 fumarate—Viread®), and 2006-2013 (following FDA approval of multi-class combination  
5 medication). We analyzed changes in the distribution of socio-demographic, risk, survival,  
6 and treatment characteristics of our study population over the three time periods using the  
7 Maentel-Hanzel chi-square test for trends.

8           We also examined the number of underlying causes of deaths due to ADCs,  
9 NADCs, HIV/AIDS related non-cancers, and other conditions in each time period. The  
10 causes of death information on the death certificates [17] was summarized and coded using  
11 the International Classification of Diseases [18, 19]. A single underlying cause of death was  
12 identified from all reported conditions that began the chain of events that resulted in death  
13 using the NDI Automated Classification of Medical Entities computer program [20]. All  
14 coded conditions (including the underlying and contributory causes of death) listed on the  
15 death certificate were included in our multiple cause of death category.

16           Furthermore, we calculated proportional mortality ratios (PMRs) by calendar period  
17 for underlying and multiple causes of death. PMRs were expressed as a ratio of the number  
18 of deaths from a specific cause over the total number of deaths from all causes. We used  
19 chi-squared or Fisher's exact test to measure changes in PMRs across the three time  
20 periods. The PMR analyses were stratified by sex at birth. There were 181 transgender  
21 females (male to female) in our study sample and we categorized them by their sex at birth  
22 (male) because sex specific cancers such as prostate cancer are more closely associated with

1 anatomy than gender identity. There were no transgender males (female to male) in our  
2 study sample. Given the relatively low number of females in our study (n=499), we only  
3 reported female PMRs for all ADCs and all NADCs combined without a breakdown of  
4 specific cancer types.

5         Year-, age-, race-, and sex-adjusted SMRs with 95% Poisson confidence intervals  
6 were calculated for specific underlying NADC causes of death for all years combined  
7 (1996-2013) and then stratified by the three periods: 1996-1999, 2000-2005, and 2006-  
8 2013. The SMRs were calculated as the ratio of observed to expected number of deaths.  
9 The California population was our standard population for both number alive and cause-  
10 specific deaths [21, 22]. The expected deaths were calculated by multiplying the death rates  
11 of the California population by the total number of participants in the study population at  
12 the corresponding year, age, race, and sex group and summing up all the values for each  
13 group (using indirect standardization). Changes in the SMRs across the three time periods  
14 were measured using Poisson regression using a log of the expected counts as an offset. All  
15 analyses were performed using SAS® [23].

16

### 17 **3. Results**

#### 18 **3.1 Population characteristics**

19         The study sample included 5,822 deceased PWA of whom 90% were male, 68%  
20 were aged 35-54 at time of death, 63% were White, and 59% were men who have sex with  
21 men (MSM). The distribution of socio-demographic, risk, and clinical characteristics of the  
22 study population changed significantly from 1996 to 2013 (Table 1). There were increases



1 in the proportions of females, decedents aged 45 to 94 years, persons who survived more  
2 than eight years post-AIDS diagnosis, non-Whites, MSM-PWID (MSM who also inject  
3 drugs), persons with non-U.S. country of origin, residents of impoverished neighborhoods  
4 and those who were prescribed ART.

5

1 **Table 1.** Study population characteristics by year of death, San Francisco AIDS cases.

<b>Characteristics</b>	<b>1996-1999 Total n=2142 n (%)</b>	<b>2000-2005 Total n=1874 n (%)</b>	<b>2006-2013 Total n=1806 n (%)</b>	<b>1996-2013 Total n=5822 n (%)</b>	<b>P value<sup>a</sup></b>
<b>Gender</b>					<0.001
Female	123 (5.74)	158 (8.43)	162 (8.97)	443(7.61)	
Male	1991 (92.95)	1649 (87.99)	1573 (87.10)	5213 (89.53)	
Transgender	28 (1.31)	67 (3.58)	71 (3.93)	166 (2.85)	
<b>Age at death (years old)</b>					<0.001 <sup>b</sup>
15-24	10 (0.47)	3 (0.16)	7 (0.39)	20 (0.34)	
25-34	306 (14.29)	113 (6.03)	60 (3.32)	479 (8.23)	
35-44	948 (44.26)	650 (34.69)	333 (18.44)	1931 (33.17)	
45-54	661 (30.86)	701 (37.41)	685 (37.93)	2047 (35.16)	
55-64	165 (7.70)	300 (16.01)	502 (27.80)	967 (16.61)	
65-94	52 (2.43)	107 (5.71)	219 (12.13)	378 (6.49)	
<b>Number of months surviving since AIDS diagnosis</b>					<0.001
0-23	571 (26.66)	339 (18.09)	266 (14.73)	1176 (20.20)	
24-47	726 (33.89)	224 (11.95)	147 (8.14)	1097 (18.84)	
48-71	504 (23.53)	295 (15.74)	147 (8.14)	946 (16.25)	
72-95	229 (10.69)	349 (18.62)	160 (8.86)	738 (12.68)	
>95	112 (5.23)	667 (35.59)	1086 (60.13)	1865 (32.03)	
<b>Race/Ethnicity</b>					<0.001
Black/African American	359 (16.76)	415 (22.15)	363 (20.10)	1137 (19.53)	
White/ Caucasian	1451 (67.74)	1144 (61.05)	1091 (60.41)	3686 (63.31)	
Hispanic	250 (11.67)	222 (11.85)	226 (12.51)	698 (11.99)	
Other	82 (3.83)	93 (4.96)	126 (6.98)	301 (5.17)	
<b>Risk Group</b>					
MSM	1419 (66.25)	1027 (54.80)	986 (54.60)	3432 (58.95)	
MSM + IDU	380 (17.74)	426 (22.73)	462 (25.58)	1268 (21.78)	
IDU only	291 (13.59)	346 (18.46)	283 (15.67)	920 (15.80)	
Other	52 (2.43)	75 (4.00)	75 (4.15)	202 (3.47)	

<b>Country of origin</b>					0.024
US	1961 (91.55)	1711 (91.30)	1611 (89.20)	5283 (90.74)	
Outside of US	181 (8.45)	163 (8.70)	195 (10.80)	539 (9.26)	
<b>Residents of impoverished neighborhoods<sup>c</sup></b>					<0.001
Yes	518 (24.50)	600 (32.66)	577 (33.28)	1695 (29.82)	
No	1596 (75.50)	1237 (67.34)	1157 (66.72)	3990 (70.18)	
<b>Prescribed ART</b>					<0.001
Yes	1455 (67.93)	1552 (82.82)	1578 (87.38)	4585 (78.75)	
No/unknown	687 (32.07)	322 (17.18)	228 (12.62)	1237 (21.25)	

2 Abbreviations: n, number; ART, antiretroviral therapy; MSM, men who have sex with men;

3 IDU, Injection drug use; US, United States

4 Chi-squared test.

5 <sup>b</sup> Fisher's exact test instead of chi square test.

6 <sup>c</sup> Missing data: 137 records with unknown income.

7

### 8 **3.2 Overall distribution of deaths**

9 The number of deaths in which an ADC was the underlying cause primarily  
10 decreased between the first two time periods and then remained relatively stable between  
11 2000-2005 and 2006-2013 ( $p=0.002$ ; Fig. 1). Meanwhile, the number of deaths from a  
12 NADC as the underlying cause increased over the three time periods from 93 to 132 to 222  
13 ( $p<0.001$ ). The number of deaths due to AIDS other than cancer decreased over the three  
14 time periods while deaths from non-AIDS-related underlying causes increased significantly.

15

### 16 **3.3 Proportional mortality ratios—underlying causes of death**

1           When stratified by sex, the PMRs for underlying causes of death for both ADCs and  
2 NADCs in females did not change significantly over time largely due to the small sample  
3 size ( $p=0.59$  and  $0.51$ , respectively; Table 2). Among males, the PMRs for ADCs  
4 significantly decreased for all ADCs combined ( $p=0.004$ ) and for NHL ( $p<0.001$ ), but not  
5 for KS ( $p=0.67$ ; Table 2). In men, the PMRs significantly increased for certain NADCs,  
6 specifically, colon ( $p=0.01$ ), liver ( $p=0.005$ ), lung/larynx cancer ( $p<0.001$ ), pancreas  
7 ( $p=0.005$ ) and prostate ( $p=0.008$ ) while the PMRs for anal cancer had a borderline  
8 significant increase ( $p=0.06$ ).

9

#### 10 **3.4 Proportional mortality ratios—multiple causes of death**

11           In females, the PMR trends for multiple causes of death were similar to that of the  
12 underlying causes and did not significantly change over time for ADCs and NADCs  
13 ( $p=0.39$  and  $0.47$ , respectively; Table 2). The PMRs for multiple causes of death in males  
14 were significant for several cancers (Table 2). Most notably, the PMRs significantly  
15 decreased for both KS and NHL (from  $11.94\%$  in years 1996-1999 to  $2.86\%$  in years 2006-  
16 2013, and from  $10.80\%$  in years 1996-1999 to  $6.33\%$  in years 2006-2013, respectively;  
17  $p<0.001$ ). Moreover, the PMRs significantly increased for anal cancer ( $p=0.03$ ) in addition  
18 to colon ( $p=0.02$ ), liver ( $p<0.001$ ), lung/larynx ( $p<0.001$ ), pancreas ( $p<0.001$ ) and prostate  
19 cancer ( $p=0.002$ ).

20

21 **Table 2.** Proportional mortality ratios (PMRs) for cancer-related underlying and multiple  
22 causes of death among people diagnosed with AIDS in San Francisco.

	<b>Female</b>			
	<b>Year of death</b>			
<b>Cause of death</b>	<b>1996-1999 Total n=123 n (%)</b>	<b>2000-2005 Total n=158 n (%)</b>	<b>2006-2013 Total n=162 n (%)</b>	<b>P value<sup>a</sup></b>
<b>ADCs (all)</b>				
<b>UCOD</b>	2 (1.63)	3 (1.90)	1 (0.62)	0.59
<b>MCOD<sup>b</sup></b>	3 (2.44)	9 (5.70)	8 (4.94)	0.39
<b>NADCs (all)</b>				
<b>UCOD</b>	2 (1.63)	6 (3.80)	7 (4.32)	0.51
<b>MCOD</b>	4 (3.25)	10 (6.33)	10 (6.17)	0.47
	<b>Male</b>			
	<b>Year of death</b>			
<b>Cause of death</b>	<b>1996-1999 Total n=2019 n (%)</b>	<b>2000-2005 Total n=1716 n (%)</b>	<b>2006-2013 Total n=1644 n (%)</b>	<b>P value<sup>a</sup></b>
<b>ADCs (all)</b>				
<b>UCOD</b>	53 (2.63)	24 (1.40)	21 (1.28)	0.004
<b>MCOD</b>	430 (21.30)	223 (13.00)	147 (8.94)	<0.001
Kaposi sarcoma				
<b>UCOD</b>	3 (0.15)	5 (0.29)	4 (0.24)	0.67
<b>MCOD</b>	241 (11.94)	80 (4.66)	47 (2.86)	<0.001
Non-Hodgkin lymphoma				
<b>UCOD</b>	50 (2.48)	19 (1.11)	17 (1.03)	<0.001
<b>MCOD</b>	218 (10.80)	147 (8.57)	104 (6.33)	<0.001
<b>NADCs (all)</b>				
<b>UCOD</b>	91 (4.51)	126 (7.34)	215 (13.08)	<0.001
<b>MCOD</b>	172 (8.52)	182 (10.61)	296 (18.00)	<0.001
Anal				
<b>UCOD</b>	7 (0.35)	7 (0.41)	15 (0.91)	0.06
<b>MCOD</b>	9 (0.45)	13 (0.76)	20 (1.22)	0.03
Bladder				
<b>UCOD</b>	2 (0.10)	2 (0.12)	4 (0.24)	0.56
<b>MCOD</b>	3 (0.15)	3 (0.17)	6 (0.36)	0.39
Brain				
<b>UCOD</b>	4 (0.20)	3 (0.17)	6 (0.36)	0.54
<b>MCOD</b>	5 (0.25)	5 (0.29)	6 (0.36)	0.78
Colon				
<b>UCOD</b>	2 (0.10)	4 (0.23)	11 (0.67)	0.01
<b>MCOD</b>	3 (0.15)	5 (0.29)	12 (0.73)	0.02
Esophageal				

UCOD	2 (0.10)	1 (0.06)	6 (0.36)	0.10
MCOD	2 (0.10)	2 (0.12)	7 (0.43)	0.08
Hodgkin lymphoma				
UCOD	4 (0.20)	1 (0.06)	4 (0.24)	0.41
MCOD	7 (0.35)	7 (0.41)	15 (0.91)	0.06
Kidney				
UCOD	2 (0.10)	4 (0.23)	5 (0.30)	0.38
MCOD	2 (0.10)	5 (0.29)	5 (0.30)	0.29
Leukemia				
UCOD	2 (0.10)	2 (0.12)	5 (0.30)	0.31
MCOD	8 (0.40)	5 (0.29)	11 (0.67)	0.26
Liver				
UCOD	16 (0.79)	27 (1.57)	33 (2.01)	0.005
MCOD	20 (0.99)	31 (1.81)	44 (2.68)	<0.001
Lung/larynx <sup>c</sup>				
UCOD	22 (1.09)	40 (2.33)	64 (3.89)	<0.001
MCOD	26 (1.29)	44 (2.56)	73 (4.44)	<0.001
Melanoma				
UCOD	2 (0.10)	4 (0.23)	6 (0.36)	0.25
MCOD	2 (0.10)	5 (0.29)	7 (0.43)	0.14
Pancreas				
UCOD	0 (0.00)	3 (0.17)	7 (0.43)	0.005
MCOD	0 (0.00)	3 (0.17)	10 (0.61)	<0.001
Prostate				
UCOD	1 (0.05)	3 (0.17)	9 (0.55)	0.008
MCOD	1 (0.05)	5 (0.29)	11 (0.67)	0.002
Rectal				
UCOD	4 (0.20)	7 (0.41)	8 (0.49)	0.27
MCOD	5 (0.25)	12 (0.70)	11 (0.67)	0.08
Stomach				
UCOD	1 (0.05)	1 (0.06)	4 (0.24)	0.26
MCOD	1 (0.05)	3 (0.17)	4 (0.24)	0.26
Testis				
UCOD	3 (0.15)	0 (0.00)	1 (0.06)	0.33
MCOD	3 (0.15)	0 (0.00)	2 (0.12)	0.34
Tongue				
UCOD	2 (0.10)	2 (0.12)	4 (0.24)	0.56
MCOD	2 (0.10)	2 (0.12)	4 (0.24)	0.56

1

2 Abbreviations: ADC, AIDS-defining cancer; NADC, non-AIDS-defining cancer; UCOD,

1 underlying cause of death; MCODE, multiple cause of death.

2 <sup>a</sup>Fisher's exact test.

3 <sup>b</sup> Individuals may have more than one cause of death.

4 <sup>c</sup> Larynx only in males: UCODE—0 case (1996-1999), 3 cases (2000-2005), 1 case (2006-  
5 2013); MCODE—0 case (1996-1999), 4 cases (2000-2005), 2 cases (2006-2013).

6

### 7 **3.5 Standardized mortality ratios**

8 The study sample contributed a total of 250,254 person-years of observation. For  
9 all years combined (1996-2013), significantly elevated SMRs were observed for all NADCs  
10 combined (2.1), anal cancer (58.4), Hodgkin lymphoma (10.5), liver cancer (5.2),  
11 lung/larynx cancer (3.0), rectal cancer (5.2), and tongue cancer (4.7).

12 When stratified by the three time periods, the SMRs for all NADCs combined  
13 significantly decreased ( $p < 0.001$ , Table 3). However, only one of the NADC-specific SMR  
14 trends changed significantly over time: the SMRs for liver cancer decreased (19.80, 11.16,  
15 4.95, respectively;  $p < 0.001$ ). Still, the SMRs were significantly elevated among PWA  
16 compared to the general population for anal, liver, and lung/larynx cancer during the entire  
17 study period as well as rectal cancer in 2000-2013.

18

19 **Table 3.** Year, age, race, sex-adjusted standardized mortality ratios (SMRs) for underlying  
20 causes of death among people diagnoses with AIDS in San Francisco.

Cause of	Year of death			P
	All years	1996-1999	2000-2005	

<b>death<sup>a</sup></b>	<b>combined (1996-2013)</b>				<b>value<sup>b</sup></b>
<b>NADCs (all)</b>					<0.001
Observed	447	93	132	222	
Expected	214.67	25.97	57.89	120.06	
SMR (CI)	2.08 (1.89-2.28)	3.58 (2.85-4.31)	2.28 (1.89-2.67)	1.85 (1.61-2.09)	
<b>Anal</b>					0.07
Observed	29	7	7	15	
Expected	0.50	0.06	0.15	0.33	
SMR (CI)	58.36 (37.12-79.60)	122.8 (31.83-231.8)	48.24 (12.50-83.97)	45.53 (22.49-68.57)	
<b>Bladder</b>					0.23
Observed	8	2	2	4	
Expected	4.91	0.33	0.86	2.13	
SMR (CI)	1.63 (0.50-2.76)	6.00 (0-14.31)	2.32 (0-5.54)	1.88 (0.04-3.72)	
<b>Brain</b>					0.28
Observed	14	4	3	7	
Expected	9.58	1.14	2.29	4.53	
SMR (CI)	1.46 (0.70-2.23)	3.51 (0.07-6.95)	1.31 (0-2.79)	1.54 (0.4-2.69)	
<b>Colon</b>					0.70
Observed	17	2	4	11	
Expected	16.57	1.85	4.26	8.74	
SMR (CI)	1.03 (0.54-1.51)	1.08 (0-2.59)	0.94 (0.02-1.86)	1.26 (0.51-2.00)	
<b>Esophageal</b>					0.86
Observed	9	2	1	6	
Expected	8.58	0.63	1.46	3.2	
SMR (CI)	1.05 (0.36-1.73)	3.17 (0-7.56)	0.69 (0-2.03)	1.88 (0.38-3.38)	
<b>Hodgkin lymphoma</b>					0.41
Observed	9	4	1	4	
Expected	0.86	0.16	0.24	0.32	
SMR (CI)	10.47 (3.63-12.31)	24.41 (0.49-48.33)	4.15 (0-12.29)	12.38 (0.25-24.52)	



Kidney					0.36
Observed	11	2	4	5	
Expected	7.02	0.62	1.41	2.95	
SMR (CI)	1.57 (0.64-2.49)	3.24 (0-7.73)	2.82 (0.06-5.59)	1.69 (0.21-3.18)	
Leukemia					0.66
Observed	9	2	2	5	
Expected	8.53	0.99	2.05	4.14	
SMR (CI)	1.05 (0.37-1.74)	2.03 (0-4.84)	0.98 (0-2.33)	1.21 (0.15-2.26)	
Liver					<0.001
Observed	78	17	27	34	
Expected	15.01	0.86	2.42	6.87	
SMR (CI)	5.20 (4.04-6.34)	19.80 (10.39-29.21)	11.16 (6.95-15.38)	4.95 (3.29-6.61)	
Lung/larynx <sup>c</sup>					0.06
Observed	133	22	45	66	
Expected	44.62	4.13	9.60	18.58	
SMR (CI)	2.98 (2.47-3.49)	5.32 (3.10-7.55)	4.69 (3.32-6.06)	3.55 (2.69-4.41)	
Melanoma					0.75
Observed	12	2	4	6	
Expected	6.26	0.73	1.37	2.62	
SMR (CI)	1.92 (0.83-3.00)	2.75 (0-6.56)	2.91 (0.58-5.77)	2.29 (0.46-4.13)	
Pancreas					0.83
Observed	12	1	4	7	
Expected	15.05	1.31	3.34	8.36	
SMR (CI)	0.80 (0.35-1.25)	0.76 (0-2.26)	1.20 (0.02-2.37)	0.84 (0.22-1.46)	
Prostate					0.69
Observed	13	1	3	9	
Expected	11.45	0.62	1.46	3.8	
SMR (CI)	1.14 (0.52-1.75)	1.61 (0-4.75)	2.06 (0-4.38)	2.37 (0.82-3.91)	
Rectal					0.08
Observed	19	4	7	8	
Expected	3.62	0.34	0.78	1.8	
SMR (CI)	5.24	11.81	8.95	4.46	

	(2.89-7.60)	(0.24-23.39)	(2.32-15.59)	(1.37-7.55)	
Stomach					0.81
Observed	6	1	1	4	
Expected	6.81	0.74	1.61	3.07	
SMR (CI)	0.88	1.35	0.62	1.30	
	(0.18-1.58)	(0-4.01)	(0-1.84)	(0.03-2.58)	
Testis					0.11
Observed	4	3	0	1	
Expected	0.64	0.07	0	0.15	
SMR (CI)	6.20	43.88	0	6.85	
	(0.12-12.29)	(0-93.53)		(0-20.27)	
Tongue					0.42
Observed	8	2	2	4	
Expected	1.69	0.16	0.34	0.70	
SMR (CI)	4.74	12.89	5.83	5.69	
	(1.46-8.02)	(0-30.75)	(0-13.92)	(0.11-11.26)	

1

2 Abbreviation: NADC, non-AIDS-defining cancer; SMR, standardized mortality ratio; CI,

3 95% confidence interval.

4 Number of expected deaths was rounded to two decimal places.

5 <sup>b</sup> Poisson regression for change in SMRs across the three time periods, fixed effects.6 <sup>c</sup> Larynx only (observed): 0 case (1996-1999), 6 cases (2000-2005), 1 case (2006-2013).

7

8 **4. Discussion**

9 We observed a dramatic decrease in both the number and the proportion of deaths

10 due to ADCs and an increase in both the number and the proportion of deaths due to

11 NADCs among PWA since the introduction of ART in 1996, as hypothesized. Among

12 males, these trends were seen for cancers listed as either the underlying cause or other

1 contributory cause of death on the death certificate, giving further support to our  
2 hypotheses.

3       Even though the year, age, race, and sex-adjusted SMRs for NADCs significantly  
4 decreased over the three time periods, the SMRs remained elevated for select NADCs  
5 (anal, liver, and lung/larynx) among PWA compared to the general population. For  
6 Hodgkin lymphoma, rectal, and tongue cancer, the SMRs were also significant for all years  
7 combined but failed to show a significant change over time – perhaps due to too few  
8 observations in the three time periods. A recent and large population-based registry study  
9 that linked HIV/AIDS and cancer registry data found that one-third of the rectal squamous  
10 cell carcinoma's (SCC) of the anus were misclassified as rectal SCC [24]. This suggests it  
11 is possible that some of the anal cancers may have been misclassified as rectal cancer on the  
12 death certificates and, if so, the SMRs for anal cancer would be even higher and rectal  
13 cancer lower.

14       A few hypotheses have been proposed to explain the mechanism by which use of  
15 ART may contribute to the decline in the SMRs for the NADCs. Use of ART reduces the  
16 HIV viral burden allowing the immune system to reconstitute, thus reducing immune  
17 deficiency-related deaths [25-27]. Additionally, recent HIV treatment guidelines  
18 recommend newer classes of ART such as integrase inhibitor as first line treatment, which  
19 have fewer drug-drug interactions with chemotherapy compared to older protease inhibitors  
20 [28, 29]. Clinicians are now more likely to continue ART during cancer treatment than in  
21 the past which results in longer overall survival thus resulting in fewer cancer-related  
22 causes of death [30].

1           Other studies have examined the effect of risk factors other than  
2 immunosuppression on the development of liver, lung, and anal cancer. The increased risk  
3 of liver cancer is associated with excessive alcohol consumption and hepatitis B and C co-  
4 infections [31-33], behaviors and infections that are more common among PWA than in the  
5 general population [31, 34-36]. The high prevalence of smoking in PWA certainly  
6 contributes to excess lung cancer risk, though it may not entirely account for the increased  
7 rate of lung cancer in PWA [37-40]. The excess incidence of anal cancer has been  
8 associated with an increased prevalence of oncogenic anal human papillomavirus (HPV)  
9 infection among HIV infected individuals, especially men who had sex with men [41].  
10 Evidence has demonstrated a role of immunosuppression in cultivating the spread of HPV  
11 [42]. Therefore, the impact of immunosuppression on mortality for each NADC may differ  
12 since development of NADCs appears multifactorial.

13           A limited number of studies that also examined SMRs for NADCs reported similar  
14 results. One Italian study of PWA observed significantly elevated SMRs during 2006-2011  
15 for the following NADCs when compared to the general population of Italy: anal  
16 (SMR=228), Hodgkin lymphoma (SMR=122), unspecified uterus (SMR=52.5), liver  
17 (SMR=13.2), skin melanoma (SMR=10.9), lung (SMR=8.0), head and neck (SMR=7.8),  
18 leukemia (SMR=7.6), and colon-rectal (SMR=5.4) [43]. To our knowledge only one US  
19 study compared NADC mortality among PWA to people without AIDS, this study included  
20 people living with HIV and HIV-uninfected individuals. That study reported significantly  
21 elevated hazard ratios (HR) in PWA compared to people without AIDS for risk of death  
22 from Hodgkin lymphoma (HR=2.6, 95% CI=1.7-4.1), larynx (HR=4.0, 95% CI=1.9-8.3),

1 and lung (HR=2.5, 95% CI=2.0-3.1) cancer in New York City from 1996-2000 [44]. The  
2 variation in results among these studies could be attributed to differences in study time  
3 period, location, population and relative measures (i.e. SMR vs. HR).

4         There were limitations to this study that require consideration. First, our data were  
5 derived from one geographic region where males accounted for the vast majority of the  
6 AIDS cases. However, our large sample and comprehensive data from San Francisco  
7 provide robust estimates for PWA in cities where the epidemic is concentrated among  
8 MSM. Second, the mortality information originated from death certificates, which may  
9 underreport causes of death especially if there are multiple morbidities or may misclassify  
10 causes of death if the disease is associated with social stigma. Nevertheless, studies have  
11 shown that cancer causes of death are less likely to be underreported compared to other  
12 causes such as infection [45-47]. Third, the study population was restricted to people  
13 diagnosed with AIDS and thus the results may not be applicable to people with HIV  
14 infection who have not been diagnosed with AIDS. However, because surveillance name-  
15 based reporting of HIV infection was not required until the mid-2000's, by restricting our  
16 study sample to those diagnosed with AIDS, we were able to examine the changing impact  
17 of ART on cancer mortality during the entire ART time period (1996-2013). Finally,  
18 although we had data on when ART was first prescribed, we lacked a direct measure of  
19 ART use or adherence, and thus relied on calendar periods as a surrogate for an increase in  
20 use and improvements in ART.

21         Despite the above limitations, our study had several notable strengths. First, our  
22 data was matched with the NDI and evidence has shown that linking death certificate data

1 with NDI improved accuracy of population-based cancer survival rate measurements [48].  
2 Our methodology for analyzing cause of death data from death certificates was also  
3 standardized and has been utilized by other studies, thus facilitating a direct comparison of  
4 our study results to that of other studies. Finally, our mortality data included the early ART  
5 era and extended to 2013, thus allowing us to evaluate, indirectly, the impact of ART and  
6 of treatment policies that call for earlier initiation of ART (such as “Test and Treat”) to  
7 further prevent HIV/AIDS related deaths [49].

8       Compared to the California population, mortality rates for anal, liver, lung /larynx,  
9 and rectal cancer remained significantly elevated in PWA during the most current ART  
10 period, years 2006-2013. For some of these cancers, significant progress has been made in  
11 cancer prevention and treatment including the HPV vaccine for prevention of anal and  
12 cervical cancer, smoking cessation for the prevention of lung/larynx cancer, and hepatitis B  
13 virus (HBV) vaccination and HBV and hepatitis C virus treatment for prevention of liver  
14 cancer. As had been previously demonstrated, use of and adherence to ART will also help  
15 prevent certain ADCs [50-53].

16       Regarding cancer screening interventions specific to people living with HIV, the  
17 information is limited. In the US there are guidelines for cancer screening in the general  
18 population that are derived via expert opinion regarding the best available scientific  
19 evidence, and these guidelines are regularly updated and incorporate a rigorous evaluation  
20 of the benefits and harms of screening procedures [54, 55]. Presently, there are data  
21 indicating that the approach to screening for at least three of the most common NADCs  
22 (anal, liver, and lung cancer) in the general population may require adaptation for people

1 living with HIV infection because of the increased risk associated with HIV, as well as the  
2 behaviors and conditions that are prevalent among those with HIV [56, 57]. Deciding when  
3 and how to screen people living with HIV for cancer is complex and should consider the  
4 risk of the particular cancer, the life expectancy of the patient, and the benefits and harms  
5 that may result from the screening intervention [56].

6 In summary, by analyzing this large population-based HIV/AIDS surveillance  
7 registry data and utilizing up-to-date mortality data, our study helped identify cancers that  
8 should be considered for increased prevention, screening, and early detection as part of  
9 standard of care for people living with HIV. Future research is still needed to explore  
10 NADC mortality among all people with HIV infection to determine if the cancer mortality  
11 trends we observed among PWA are similar to those in HIV-infected people without AIDS.

12

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17

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