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Chemical bond reorganization in intramolecular proton transfer revealed by ultrafast X-ray photoelectron spectroscopy

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Time-resolved X-ray photoelectron spectroscopy (TR-XPS) is used in a simulation study to monitor the excited state intramolecular proton transfer between oxygen and nitrogen atoms in 2-(iminomethyl)phenol. Real-time monitoring of the chemical bond breaking and forming processes is obtained through the time evolution of excited-state chemical shifts. By employing individual atomic probes of the proton donor and acceptor atoms, we predict distinct signals with opposite chemical shifts of the donor and acceptor groups during proton transfer. Details of the ultrafast bond breaking and forming dynamics are revealed by extending the classical electron spectroscopy chemical analysis to real time. Through a comparison with simulated time-resolved photoelectron spectroscopy at the valence level, the distinct advantage of TR-XPS is demonstrated thanks to its atom specificity.

time-resolved X-ray photoelectron spectroscopy | intramolecular proton transfer | ultrafast science

The real-time monitoring of the atoms and molecules undergoing elementary chemical reaction events is a central goal of femtochemistry. Optical spectroscopies usually capture the delocalized features of the entire molecule rather than the chemical bond dynamics of individual atoms. In this theoretical study, we show that chemical shifts of atom-specific signals can directly reveal dynamics of the chemical bond breaking and forming.

Proton transfer is one of the most fundamental reactions in chemistry and biophysics (1, 2). The associated bond-breaking and forming between hydrogen and the donor and acceptor atoms make it a perfect target to study local dynamics (3, 4). Excited-state intramolecular proton transfer (ESIPT) is of wide interest with applications to sensors, light generations and photoswitching devices (5–11). Photoexcitation can change the electronegative character of the proton donor and acceptor groups in a molecule. The subsequent proton exchange through intramolecular hydrogen bonding is known as ESIPT. Traditionally, it has been probed by steady-state and time-resolved spectroscopies (12–18). However, since ultrafast ESIPT occurs on a femtosecond scale, direct observation of its dynamics including details of the donor and acceptor groups is still challenging. Advanced measurements with site-selectivity, sufficient temporal and spectral resolution are therefore called for. Entangled light photoelectron spectroscopy that combines both high energy and time resolutions has been recently investigated (19).

Advancements in ultrashort pulse technology have enabled the observation and modulation of elementary physical and chemical processes on their natural time scale. The generation of X-ray pulses in free-electron lasers (FELs) and high-order harmonic generation (HHG) sources has made it possible to study the ultrafast dynamics in molecular systems with core-level excitation and attosecond temporal resolutions (20–23). Time-resolved X-ray photoelectron spectroscopy (TR-XPS) is most suitable for monitoring ultrafast dynamics (24–33). Conventional steady-state XPS can obtain site-specific chemical shifts of static ground-state of molecules, leading to the classical electron spectroscopy chemical analysis (ESCA) technique (34). It can reveal the bonding pattern of atoms in different chemical species. TR-XPS can observe site-specific excited-state chemical shifts in real time, extending ESCA to the real-time evolution of chemical shifts providing local bonding dynamics of atoms in molecules. The atom specificity of this method stems from the localized nature of the core-level ionization. Generally, the core orbitals of the reaction center atoms are more sensitive to chemical bond changes in its vicinity compared to delocalized valence orbitals. The significant chemical shifts of core ionization make it possible to achieve high spectral resolution. Recent experiments have illustrated the capacity of TR-XPS to monitor local charge dynamics and photodissociation dynamics (35, 36).

Significance

Ultrashort X-ray free electron lasers provide opportunities to study the chemical reactions in real time by core-level excitation. In this theoretical study, we simulate the time-resolved X-ray photoelectron spectroscopy signals for probing an excited state intramolecular proton transfer process. The local bonding dynamics is monitored by time evolution of chemical shifts. Through separate observations of the core ionization of proton donor and acceptor atoms, we find that the donor atom generates a signal with rapid red shift while the acceptor atom yields an opposite blue shift. We show that these signatures cannot be obtained by photoelectron signals of valence levels. This technique thus provides a unique access to chemical bond breaking and forming events in ultrafast chemical processes.

Author contributions: Y.G., H.Y., and S.M. designed research; Y.G., H.Y., and B.G. performed research; Y.G., H.Y., and S.M. analyzed data; and Y.G., H.Y., B.G., and S.M. wrote the paper.

The authors declare no competing interest.

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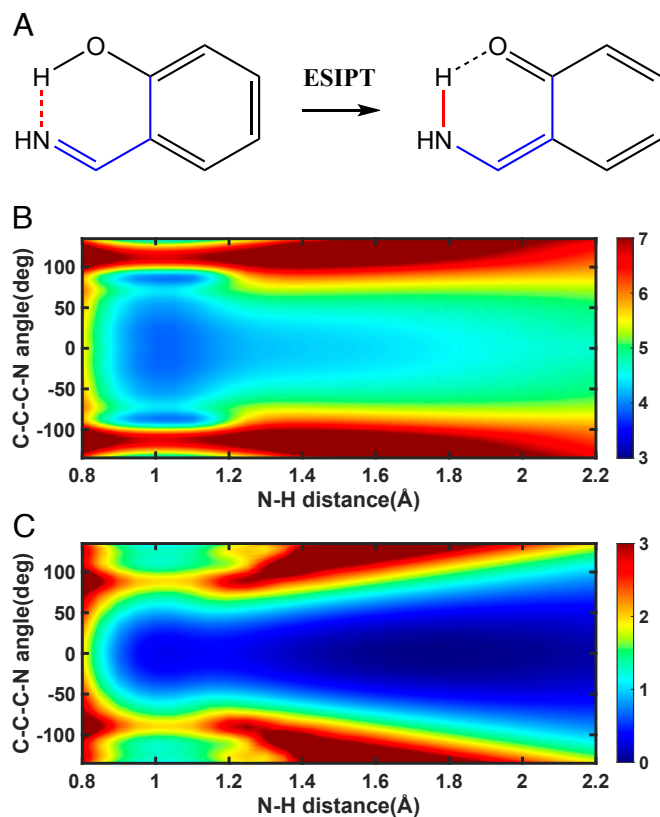


Fig. 1. (A) ESIPIT in 2-(iminomethyl)phenol. The selected nuclear degrees of freedom are marked in red (N–H distance) and blue (C–C–C–N dihedral angle). (B) and (C) show the adiabatic potential energy surfaces of S_1 and S_0 in the two-dimensional nuclear space marked in (A). All energies are in eV.

Experimentally, ESIPIT has been measured by time-resolved fluorescence and time-resolved photoelectron spectroscopy at valence levels (14, 18, 37, 38). These techniques are limited by their temporal resolution and by valence excited-state chemical shifts, which prohibit the detailed study of local dynamics. To overcome these challenges, we explore the unique features of TR-XPS to monitor ESIPIT. The power of time-resolved X-ray absorption spectroscopies was recently demonstrated by a theoretical study of ESIPIT (39) and by an experimental study of intermolecular proton transfer in solution (40).

In this work, we simulate the gas-phase TR-XPS signals in order to separately observe the local dynamics of both the proton donor and acceptor groups of the ESIPIT process in 2-(iminomethyl)phenol. Schematic representation is sketched in Fig. 1A. This is a tautomerization from the *cis*-enol form to the *cis*-keto form. Potential energy surface calculations show that ESIPIT in this case is barrierless, which leads to an ultrashort reaction. We show that by employing femtosecond X-ray pulses, both ultrafast hydrogen bond breaking and forming features can be observed with high temporal resolution. Large excited-state chemical shifts providing spectral information of ESIPIT are predicted thanks to the high sensitivity of core ionization. We find that the site-selectivity of X-ray pulses can be used to identify the donor and acceptor groups of the proton transfer process. Through separate observation of the core level of the donor side and acceptor side, they can be clearly distinguished by their chemical shift dynamics. These features show significant advantages of TR-XPS compared to the simulated time-resolved photoelectron spectroscopy at the valence levels.

1. Quantum Dynamical Simulations

The ESIPIT process of 2-(iminomethyl)phenol was studied in a reduced nuclear space of the N–H distance and the C–C–C–N dihedral angle (Fig. 1A). Since ESIPIT typically happens within 30 fs, it is reasonable to only consider limited nuclear degrees of freedom. We note that an exact description of such a process would still require considering nuclear motions on multidimensional potential surfaces with all degrees of freedom taken into account. To partially include this effect, we performed a relaxed scan while constructing the potential energy surfaces (i.e., with geometry optimization at each individual geometry). Ground state (S_0) and excited state (S_1) electronic structure calculations were performed in Gaussian16 software (41) at density functional theory (DFT) and time-dependent density functional theory (TDDFT) level with M06-2X (42) functional and 6-311++G(d,p) basis set. The validity of this level of theory has been demonstrated in a previous study by comparison with high-level multiconfiguration methods (43). The adiabatic potential energy surfaces S_1 and S_0 are depicted in Fig. 1B and C, respectively. At small C–C–C–N dihedral angles (-50° to 50°), with the N–H distance decreasing from ~ 2 to ~ 1 Å (from *cis*-enol form to *cis*-keto form), the S_0 energy surface gradually rises, indicating that spontaneous intramolecular proton transfer cannot happen in the ground state. In contrast, the S_1 energy surface monotonously goes down from the enol to the keto side as shown in Fig. 1B. The corresponding proton transfer is an ultrafast barrierless process.

We have performed exact nuclear wave packet dynamical simulations in the two-dimensional nuclear space by numerically solving the time-dependent Schrödinger equation. The pump excitation at $t = 0$ prepares the system to the S_1 energy surface. Initialized wave packet is localized in both the distance and dihedral angle dimensions. Fig. 2 shows the resulting nuclear wave-packet dynamics at selected times t . As shown by the contour lines at 16.4 fs, the wave packet rapidly spreads along the N–H distance and reaches the intermediate area between the valence bond and hydrogen bond. At 26.6 fs, the wave packet arrives at the *cis*-keto side with the new N–H valence bond formed. It becomes more delocalized in both nuclear degrees of freedom. This emphasizes the need for a high temporal resolution techniques to observe the details of the ultrafast ESIPIT process.

2. TR-XPS

TR-XPS signals were simulated aimed at monitoring the intramolecular proton transfer process. We consider a neutral molecule excited by the pump pulse at $t = 0$, and its subsequent electronic and nuclear dynamics probed by an ultrafast X-ray pulse at a variable time T which ionizes the molecule into cation and a photoelectron. The ultrafast probe pulse is given by $\mathbf{E}(t - T) = A\vec{e}e^{-i\omega_X(t-T)}\mathcal{E}(t - T)$, with peak amplitude A , field polarization \vec{e} , mean photon energy ω_X and envelope $\mathcal{E}(t)$ normalized to 1 at the maximum. The time-dependent wave function $|\Psi(\mathbf{q}, t)\rangle = \sum_I |\chi_I(\mathbf{q}, t)\rangle \otimes |\psi_I(\mathbf{q})\rangle$ describes the temporal evolution of the neutral molecule, where $|\chi_I(\mathbf{q}, t)\rangle$ is the time-dependent wave packet on the I th potential energy surface, $\{|\psi_I(\mathbf{q})\rangle\}$ is the adiabatic electronic states, and \mathbf{q} is the two-dimensional vector of the nuclear coordinates in our model. The cation states created upon the photoionization are denoted by $\{|\phi_\alpha(\mathbf{q})\rangle\}$. Neglecting the Coulomb interaction between cation and photoelectron, the latter can be treated as free electron $H_{\text{free}} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} |\varphi_{\mathbf{k}}\rangle \langle \varphi_{\mathbf{k}}|$, where \mathbf{k} runs over all the continuum states with kinetic energy $\epsilon_{\mathbf{k}} = |\mathbf{k}|^2/2$. The

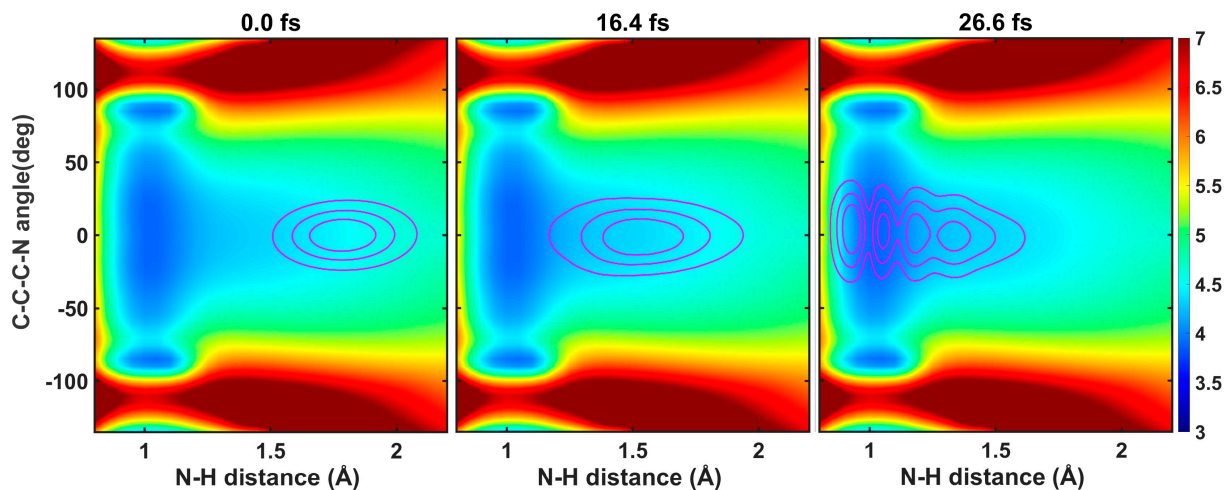


Fig. 2. Simulated nuclear wave packet dynamics in our two-dimensional nuclear space (N-H distance and C-C-C-N dihedral angle) at selected times t . Contour lines depict the nuclear wave-packet snapshots on the S_1 adiabatic potential energy surface. The potential energy (eV) is represented by the color intensity.

orientationally averaged TR-XPS signal, measured as a function of emitted photoelectron kinetic energy ϵ_k with probe pulse arrival time T was calculated by (25)

$$\begin{aligned}
 S^{\text{TRXPS}}(\epsilon_k, T) &= 2\mathcal{R}e \int_{-\infty}^{\infty} dt \int_0^{\infty} dt_1 \mathcal{E}^*(t - T) \\
 &\times \mathcal{E}(t - t_1 - T) \cdot e^{-i(\epsilon_k - \omega_X)t_1} \frac{\sqrt{2\epsilon_k}}{3} \\
 &\times \sum_{I' ai} \int d^2\mathbf{q} \int d\Omega_{\epsilon_k} \chi_{I'}^*(\mathbf{q}, t) \vec{e}_i^* \cdot V_{I', ak}(\mathbf{q}) \\
 &\times e^{-i\omega_{\alpha}t_1 - \Gamma t_1} \vec{e}_i \cdot V_{\alpha k, I}(\mathbf{q}) \chi_I(\mathbf{q}, t - t_1),
 \end{aligned}
 \quad [1]$$

where the unit vector \vec{e}_i runs over the three spatial directions x , y , and z . The natural linewidths Γ of oxygen (0.18 eV) and

nitrogen (0.132 eV) 1s ionization are used (44). The calculation of transition dipole moments $\{V_{\alpha k, I}(\mathbf{q})\}$ is described in *Materials and Methods*. The key molecular properties needed for the spectroscopic simulation are the Dyson orbitals

$$\varphi_{\alpha I}^D(\mathbf{q}, \mathbf{r}) = \sqrt{N} \int d\mathbf{r}_2 \dots d\mathbf{r}_N \phi_{\alpha}^*(\mathbf{q}, \mathbf{r}_2 \dots \mathbf{r}_N) \psi_I(\mathbf{q}, \mathbf{r}, \mathbf{r}_2 \dots \mathbf{r}_N),
 \quad [2]$$

which are the overlaps between the N electron neutral molecule and $N - 1$ electron cation system. The calculations were performed in NEWTONX software using TDDFT results of Gaussian16 (45).

The calculated Dyson orbitals at selected geometries corresponding to the oxygen 1s level, the nitrogen 1s level and the valence first ionization employed in our signal simulations are presented in Fig. 3. In Fig. 3 A-C, as the O-H distance is

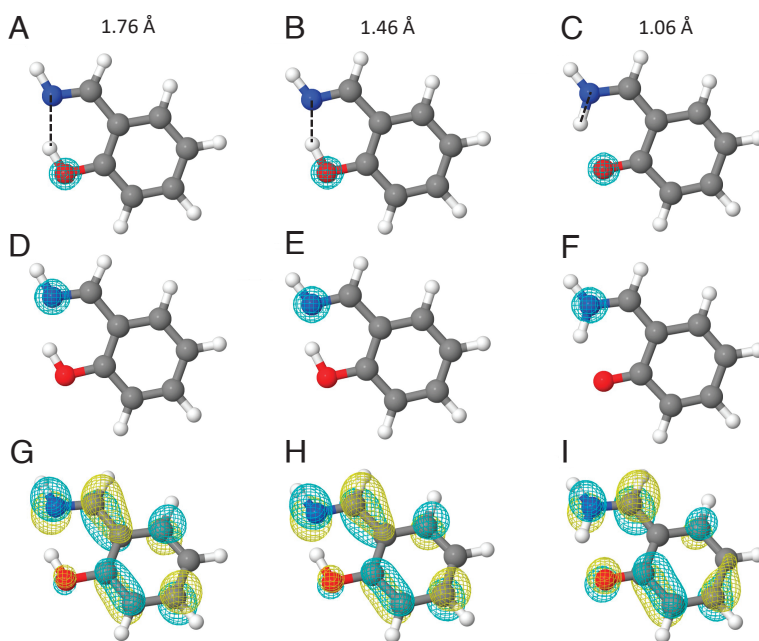


Fig. 3. (A-C) are Dyson orbitals of oxygen 1s ionization at N-H distances of 1.76 Å, 1.46 Å, and 1.06 Å, respectively. (D-F) same for the nitrogen 1s ionization. (G-I) same for the valence first ionization. The C-C-C-N dihedral angle are 0 for all geometries.

increased, the calculated oxygen 1s Dyson orbital remains localized around the donor oxygen atom of the proton transfer process. The nitrogen 1s Dyson orbital is also localized and centered on the acceptor nitrogen atom in panels *D–F*. For comparison, the valence Dyson orbitals of the first ionized cation state are presented in Fig. 3 *G–I*. The π type orbital is delocalized spanning the entire molecular system. Its shape only slightly changes from the enol form to the keto form. One should therefore not expect a prominent spectroscopic signature of ESIPT in this case due to the delocalized nature of valence ionization.

3. Results and Discussion

The simulated TR-XPS signals at the oxygen 1s and the nitrogen 1s levels are depicted in Fig. 4. We employed Gaussian X-ray ionization pulses with envelope $\mathcal{E}(t) = e^{-\frac{t^2}{(2\tau)^2}}$ and duration $\tau = 1$ fs. The time evolution of the average N–H distance and C–C–N dihedral angle along with their wave packet widths are also presented to show the explicit connection between nuclear motion and chemical shift. As shown by Fig. 4 *A* and *B*, the wave packet widths do not exhibit significant delocalization along the N–H distance and gradually increase in the C–C–N angle dimension during the proton transfer process. It becomes delocalized along the N–H distance but more local along the C–C–N angle at the end of the ESIPT. The intramolecular proton transfer process is clearly captured by the oxygen 1s signal in Fig. 4*C*. We notice a significant intensity decrease around 15 fs along with a rapid ionization energy red shift up to 2 eV. For comparison, chemical shifts of oxygen 1s ionization between enol and keto form of different molecules are around 2 to 4 eV as reported by static XPS experiments (46). The corresponding average N–H bond length decreases rapidly from ~ 1.5 to ~ 1.2 Å, indicating a intramolecular hydrogen bond is transforming into a valence bond. This reflects the intermediate state where the old O–H bond is breaking and the new N–H bond is forming. After 25 fs, the intensity above 535 eV decays and a new signal peak around 533.5 eV emerges, indicating that the proton transfer process is over. In Fig. 4*D*, the nitrogen 1s signal records this ultrafast process from the acceptor side. A similar intensity decrease can also be found at 15 fs. However, with the same intensity change, the acceptor nitrogen signal shifts to higher energies in contrast to the donor oxygen signal. The intensity below 400 eV decays after 25 fs and new peak emerges around 401 eV.

The observation of local dynamics by TR-XPS for intramolecular proton transfer is a notable result of the present study. We have demonstrated that the site-selectivity of X-ray pulses can be used to separately probe the donor and acceptor groups along the ESIPT process. Through separate measurements on the proton donor and acceptor atoms, the TR-XPS signal of the donor (oxygen) side has a considerable red shift and the acceptor (nitrogen) side signal exhibits blue shift. Even though femtosecond pulses can provide high temporal resolution for ultrafast dynamics, the associated spectral resolution cannot be generally guaranteed due to the weak selection rule of photoelectron spectroscopy. However, as shown by the simulated signals, the large energy shifts at the core-level ionization up to 2 eV make it possible to overcome the spectral broadening caused by ultrashort pulses. To further explore the optimal joint temporal and spectral resolution of TR-XPS, we calculated the oxygen 1s signals for probe pulses of 500 as and 200 as (Fig. 5 *A* and *B*). These attosecond pulses result in broadband signals up to several eVs, making it difficult to resolve the chemical shifts and intensity changing details of the ESIPT. Natural linewidth due to the short lifetime of core excited states is the other factor that may influence the spectral resolution. We also calculated the TR-XPS signals of the oxygen 1s and the nitrogen 1s ionization with the probe pulses approximated by delta functions in the frequency domain (very long pulses) to single out the spectral broadening effect of natural linewidth. As shown by Fig. 5 *C* and *D*, the corresponding signals are far narrower than the realistic signals in Fig. 4, indicating that its broadening is negligible and probe pulse broadening is dominant in the XPS signals. We demonstrated that one should choose suitable ultrashort pulses to achieve a balance between temporal and spectral resolution.

The large core excited-state chemical shifts and different shift directions observed in the signals are attributed to the electron charge dynamics of the donor and acceptor atom. ESIPT is a sigmatropic hydrogen shift reaction. From *cis*-enol form to the *cis*-keto product, the chemical bonding of the observed oxygen atom changes from C–O–H to C=O as illustrated in Fig. 1*A*, resulting in a carbon-oxygen bond order change. The atomic core orbitals are sensitive to their bonding configurations. In classical ESCA, core binding energies are determined by the electrostatic interaction of the core electron with the nucleus and the electrostatic shielding of the nuclear charge from all electrons in the atom (47). The latter can be altered by changes in chemical bonding of the atoms. From C–O–H to C=O groups, the core ionization energy of the donor oxygen decreased by

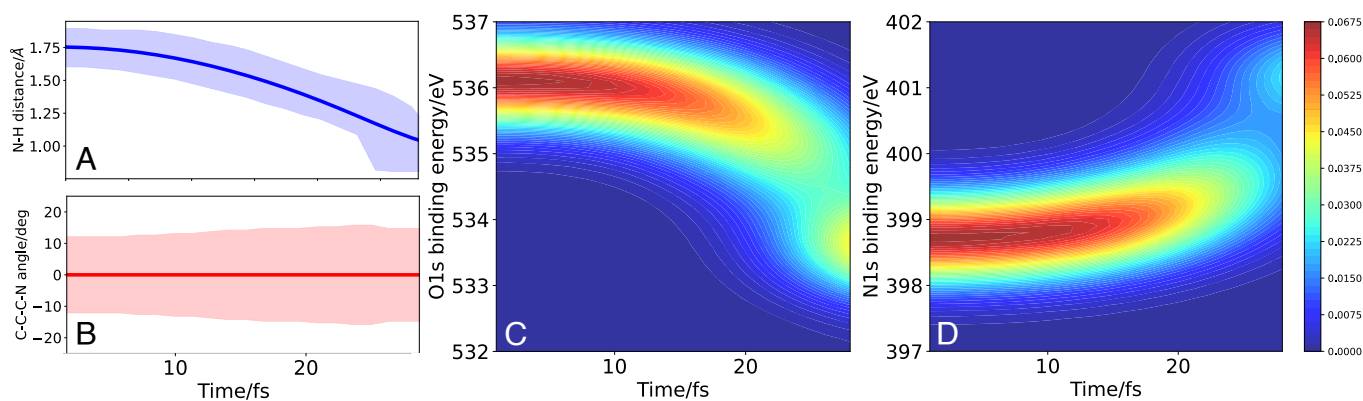


Fig. 4. (*A* and *B*) Time evolution of the average N–H distance and C–C–N dihedral angle calculated by the expectation value of wave packet. The corresponding wave packet widths represented by the full width at half maxima (FWHM) are also presented. (*C* and *D*) are TR-XPS signals for $\tau = 1$ fs probe pulses for the oxygen 1s ionization with mean photon energy 538 eV and the nitrogen 1s ionization 403 eV, respectively.

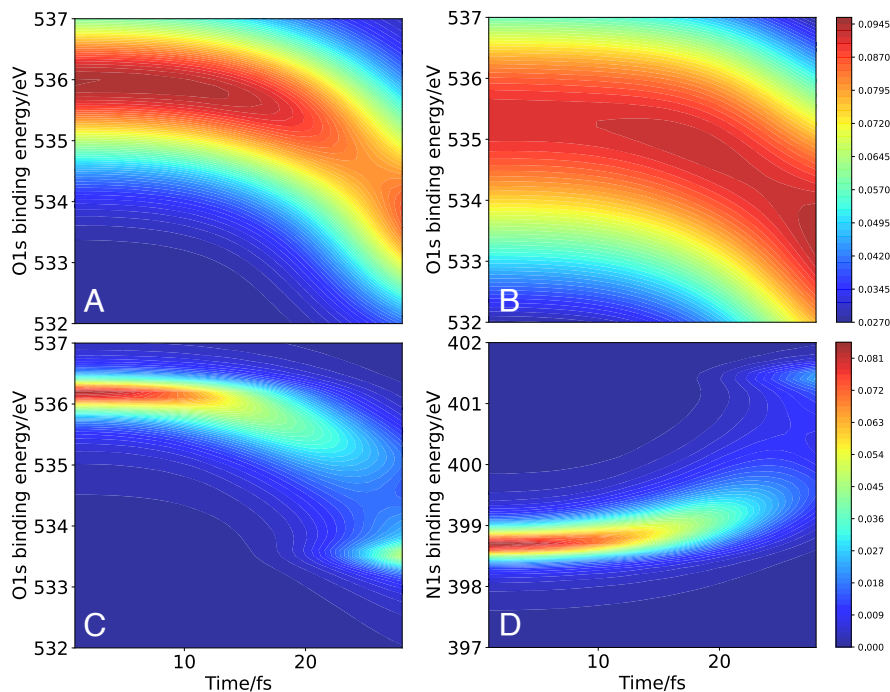


Fig. 5. (A) and (B) are TR-XPS signals for the oxygen 1s ionization with probe pulses of $\tau = 500$ as and 200 as, respectively. (C) and (D) are TR-XPS signals for the oxygen 1s ionization and the nitrogen 1s ionization calculated with probe pulses approximated by delta functions in the frequency domain, respectively.

more than 2 eV due to the addition of valence electron charge. On the acceptor side, the C=N–H group turns into C–NH₂ with a withdrawal of valence electron charge to the nitrogen atom. Hence the TR-XPS signal of the acceptor side shall have a positive ionization energy shift. The sensitivity of TR-XPS to atom-specific bonding situations makes it a potential tool of local reaction probe for not only proton transfer, but a wide range of ultrafast reactions involving local electron charge changes.

For comparison, the time-resolved photoelectron spectroscopy at the first valence ionization level of 2-(iminomethyl)phenol is simulated and presented in Fig. 6. The intensity change and chemical shift at 15 fs cannot be observed in the valence signal. Around 25 fs when the ESIPT has finished as shown in the previous TR-XPS signals, the only resolvable spectral signature is the increasing of signal peak. The bond-breaking details of the proton

transfer process are missed in the calculated valence level photoelectron signal (Fig. 6) due to the delocalized nature of the valence ionization. As shown in Fig. 3 G–I, the Dyson orbitals employed to calculate the valence signals are π type orbitals spanning the entire molecule. The local details of the sigmatropic hydrogen shift in intramolecular proton transfer process therefore cannot be resolved by valence photoelectron spectroscopy. Note that we have only simulated the signals of the first ionization. In practice, due to the weak selection rule of photoelectron spectroscopy, different valence ionization signals may overlap, making the spectral resolution even worse. In contrast, the core ionization TR-XPS exhibits high temporal resolution and shows rapid excited-state chemical shifts. The site-selectivity of X-ray pulses allows distinctive observation of the proton transfer details from both the donor and acceptor sides. The unique features obtained by TR-XPS for monitoring ESIPT ultrafast dynamics are revealed.

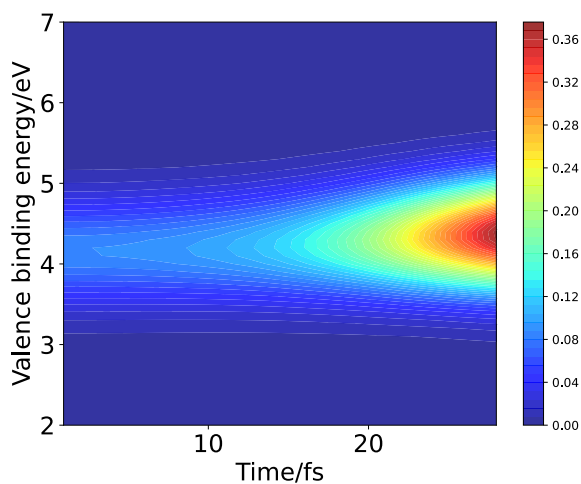


Fig. 6. Time-resolved photoelectron signals at the first valence ionization level of 2-(iminomethyl)phenol for 1 fs probe pulse.

4. Conclusions

We have simulated the gas-phase TR-XPS signals that monitor the ESIPT of 2-(iminomethyl)phenol. Individual core ionization probes of both the proton donor oxygen atom and acceptor nitrogen atom were studied. Chemical bond breaking and forming details of the molecule undergoing intramolecular hydrogen bond exchanging are captured. Significant intensity change and rapid red shift of ionization energies are observed in the TR-XPS signal of the donor oxygen atom. The TR-XPS signal of the acceptor nitrogen atom, in contrast, shows a rapid blue shift during the proton transfer process. The proton donor and acceptor groups can be therefore distinguished by separately probing their local dynamics. Thanks to the large chemical shifts of core ionization, high spectral resolution can be obtained despite the lack of selection rules in photoelectron spectroscopy.

We would like to mention the connection and complementary relation of TR-XPS and ultrafast X-ray and electron diffraction techniques (48–53). Femtosecond X-ray and electron diffraction

are capable of imaging all the molecular motions as opposed to local motions. There are limits in spatial resolution in diffraction experiments and details of local changes in charge redistribution of key small groups can be complemented by TR-XPS. A joint study of these two techniques may provide a more profound understanding of chemical reactions with global spatial observation and local energetic features.

We have demonstrated the sensitivity of TR-XPS signals for local chemical environments of the probed atoms in molecules. The different spectral features of proton donor and acceptor atoms stem from the associated valence bond-order changes. The time evolution of the chemical shifts in TR-XPS can provide a unique access to local bonding dynamics of different kinds of ultrafast chemical processes.

5. Materials and Methods

5.1. Simulation of the Wave Packet Dynamics. The wave packet is initialized on the S_1 surface using Franck-Condon approximation. Arnoldi propagation with a time step of 0.024 fs is employed. Potential energy surfaces and related molecular quantities in this two-dimensional space are calculated with ab initio quantum chemistry and subsequently discretized on a numerical grid with 128 grid points in the N-H distance and 512 grid points in the C-C-N dihedral angle.

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5.2. Calculation of the Transition Dipole Moment. Using the orthogonality between cation and free electrons, the transition dipole moment can be approximately calculated by (54)

$$V_{\alpha k, l}(\mathbf{q}) = \langle \phi_{\alpha}(\mathbf{q}) \otimes \varphi_k(\mathbf{q}) | \hat{V} | \psi_l(\mathbf{q}) \rangle \approx \int d\mathbf{r} \frac{e^{i\mathbf{k}\mathbf{r}} \cdot \mathbf{r}}{\sqrt{8\pi^3}} \varphi_{\alpha l}^D(\mathbf{q}, \mathbf{r}), \quad [3]$$

where \hat{V} is the dipole operator and $\{\varphi_{\alpha l}^D(\mathbf{q}, \mathbf{r})\}$ are Dyson orbitals.

Data, Materials, and Software Availability. All study data are included in the article and/or *SI Appendix*.

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