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The rarity of terrestrial gamma-ray flashes II: 2 *RHESSI* stacking analysis

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³ Abstract.

4 We searched for gamma-ray emission from lightning using the *Reuven Ra*-

⁵ maty High Energy Solar Spectroscopic Imager (RHESSI) satellite by iden-

⁶ tifying times when *RHESSI* was near over 2 million lightning discharges lo-

⁷ calized by the Worldwide Lightning Location Network (WWLLN). We then

⁸ stacked together the gamma-ray arrival times relative to the sferic times, cor-

⁹ recting for light propagation time to the satellite. The resulting stacked gamma-

¹⁰ ray time profile is sensitive to an average level of gamma-ray emission per

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lightning discharge far lower than what can be recognized above background 11 for a single Terrestrial Gamma-ray Flash (TGF). The summed signal from 12 presumed small, previously unknown TGFs simultaneous with WWLLN dis-13 charges is remarkably weak: for the region from 0–300 km beneath RHESSI's 14 footprint, $(6.2\pm3.8)\times10^{-3}$ detector counts/discharge are measured, as op-15 bosed to a typical range of 12–50 detector counts for TGFs identified solely 16 from the gamma-ray signal. Under the assumption of a broken power-law dif-17 ferential distribution of TGF intensities, we find that the index must harden 18 dramatically or cut off just below the sensitivity limit of current satellites, 19 and that for most scenarios less than 1% of lightning can produce a TGF 20 that belongs anywhere in the same distribution as those that are observable. 21 For the minority of scenarios where more than a few percent of flashes pro-22 duce a TGF, most of these "TGFs" are less than 10^{-4} of the luminosity of 23 the faintest *RHESSI* TGFs, and therefore closer to the luminosity of light-24 ning stepped leaders. The rarity of TGFs holds not only for TGFs simulta-25 neous with the sferic observed by WWLLN, but for any time within 10 ms 26 of the sferic, allowing (for example) for the possibility that different events 27 within the upward propagation of a negative leader in positive intracloud light-28 ning triggered the TGF and WWLLN's detection. 29

1. Introduction

The terrestrial gamma-ray flashes (TGFs) detected from low Earth orbit in associ-30 ation with thunderstorms are thought to involve on the order of 10^{17} or 10^{18} relativistic 31 electrons, producing a comparable number of gamma-rays [Dwyer and Smith, 2005; Carl-32 son et al., 2007; Gjesteland et al., 2015]. While containing significantly less total energy 33 than the currents of ordinary lightning, these flashes are extraordinarily bright from the 34 standpoint of gamma radiation detection, saturating the responses of orbiting detectors 35 even at a distance of ≥ 600 km from the storm [Grefenstette et al., 2007, 2009; Gjesteland 36 et al., 2010; Tierney et al., 2013]. It has been pointed out [Dwyer et al., 2010] that inside 37 the production region of the TGF itself, radiation levels could be high enough to cause a 38 health risk to anyone on an aircraft. 39

Those individual lightning discharges that have been both tied unequivocally to TGFs 40 and categorized via well-studied VLF emissions have been classified as positive intracloud 41 +IC) events [Cummer et al., 2005; Stanley et al., 2006; Shao et al., 2010; Lu et al., 42 2010; Lu et al., 2011, and most recently it has been shown that the TGF appears as 43 a distinctive radio signature during the upward propagation of a leader from the main 44 negative to upper positive charge center [Cummer et al., 2011; Dwyer and Cummer, 2013; 45 Cummer et al., 2014, 2015; Lyu et al., 2015]. Only a small fraction of lightning (much 46 less than 1%) is creating TGFs that can be observed from space by current instruments 47 [Fuschino et al., 2011; Østgaard et al., 2012; Briggs et al., 2013; Tierney et al., 2013]. But 48 because TGFs are extremely brief (peaking from 100-500 μ s in duration, with just a few 49 lasting tens of microseconds or over one millisecond [Briggs et al., 2013; Marisaldi et al., 50

⁵¹ 2015]), there are typically only one or two orders of magnitude of dynamic range between ⁵² events that are barely detectable above background from satellites and those that begin ⁵³ to show saturation effects (high detector deadtime). How many fainter events remain to ⁵⁴ be discovered is an open question, and the main subject of this paper.

There is only one line of published evidence on the question that is not based on data 55 taken from orbit. The Airborne Detector for Energetic Lightning Emissions (ADELE), 56 flying near 14 km over the southeastern United States, passed within 4 km horizontal 57 distance of 133 lightning flashes with no gamma-ray detections [Smith et al., 2011]. We 58 used these null results to set an upper limit of $\sim 1\%$ of the canonical luminosity of a TGF 59 seen from space or less for each of these 133 flashes, for production altitudes of 8–16 km. 60 \emptyset stgaard et al. [2012] combined ADELE's detection rate (1/1213 flashes within 10 km, and 61 0/133 flashes within 4 km) with a cutoff powerlaw distribution derived from *RHESSI* and 62 Fermi data to suggest that approximately 2% of lightning produces a TGF somewhere 63 in the distribution, noting also that a distribution that flattens out at low luminosity 64 could give a TGF yield of up to 100% of lightning with TGFs occurring down to about 65 10^{12} relativistic electrons. Hansen et al. [2013] performed a second set of simulations that 66 gave a different estimate for ADELE's sensitivity, suggesting that those limits should be 67 weaker by about an order of magnitude, but even under that assumption they represent 68 significant limits on TGF production at low altitude that could not be obtained from 69 space. 70

The distribution of TGF intensities observed from space was suggested by *Collier et al.* [2011] to be qualitatively consistent with a power law, based on both the observed intensity distribution and the decrease of maximum observed intensity with the distance

along Earth's surface between the sub-satellite point on the Earth and the TGF source 74 position. We will write the power law form of the differential intensity distribution as 75 $dn/dN = N^{-\lambda}$, where N is the number of counts detected by a given instrument. Østgaard 76 et al. [2012] compared the observed brightness distributions of TGFs observed with the 77 Reuven Ramaty High Energy Solar Spectroscopic Imager (RHESSI) and Fermi satellites, 78 which have different sensitivities, to conclude that the power-law index λ was approx-79 imately 2.3 ± 0.2 over the sensitivity ranges of those two instruments. Tierney et al. 80 [2013], using Fermi data alone, found a comparable value of 2.20 ± 0.13 . Marisaldi et al. 81 [2014], using the "normal" population of AGILE TGFs (those without individual counts 82 >30 MeV), found a very similar index of $\lambda = 2.4$, noting that $\lambda = 2.2$ or 2.6 also gave 83 similar results. These numbers refer to the distribution of observed intensities, which is 84 a function of the true luminosity distribution at the source, the distribution in altitude, 85 and the effects of distance and beaming. 86

In this paper we search not for individual TGFs but for the cumulative gamma-87 ray signal produced by a large number of lightning discharges (radio-bright intracloud 88 discharges (IC) and cloud-to-ground discharges (CG)) beneath the *RHESSI* spacecraft. 89 We identify the times and locations of these discharges using the World Wide Lightning 90 Location Network (WWLLN) [Lay et al., 2004; Jacobson et al., 2006; Rodger et al., 2008; 91 Hutchins et al., 2012a]. We then sum (stack) the gamma-ray "light curves" (histograms 92 of count rate versus time), shifted in time so that t = 0 is the expected arrival time at 93 *RHESSI* of a light-speed signal from the WWLLN event. 94

A statistically significant signal is detected, but it amounts to a very low average signal per flash (see §3.1). Since RHESSI has passed near millions of lightning discharges ⁹⁷ in the nine years of data we searched, the sensitivity to a weak average gamma-ray signal ⁹⁸ per discharge in the stacked sum is orders of magnitude better than the sensitivity to any ⁹⁹ individual TGF. Sensitivity in both the stacked analysis and the usual algorithms that ¹⁰⁰ search for *RHESSI* TGFs [*Grefenstette et al.*, 2009; *Gjesteland et al.*, 2012] is dominated ¹⁰¹ by the Poisson variability in the background counts, which are mostly produced by cosmic ¹⁰² ray interactions in the detectors, spacecraft, and Earth's atmosphere.

We have presented preliminary results from this method [Smith et al., 2014], and 103 Østqaard et al. [2015] used substantially the same method to get a similar primary result. 104 \emptyset stgaard et al. [2015], however, emphasized the presence of the small revealed population 105 of subluminous events that could be identified by searching the WWLLN flash times 106 instead of searching RHESSI data at random. [McTaque et al., 2015] performed a similar 107 search using radio data from the National Lightning Detection Network and gamma-ray 108 data from the Gamma-ray Burst Monitor (GBM) on *Fermi*. They looked only at positive 109 IC lightning events of high peak current (>15 kA), further selecting only those with 110 specific VLF waveforms most closely resembling those associated with known TGFs, for a 111 total of 1787 flashes. Like Østqaard et al. [2015], they also found a few faint but significant 112 TGF candidate events; however, they noted that there were far fewer than expected given 113 the power-law distribution of brighter TGFs, and their stacking analysis, like the one we 114 present below, also showed a deficit of gamma-ray emission, such that no more than 1/40115 of lightning flashes, even in their highly TGF-favorable selected subset of lightning, could 116 produce a TGF vielding 1 or more photons in *Fermi* GBM. 117

Here, in keeping with our original approach [*Smith et al.*, 2014], rather than focusing on faint but individually significant events, we explore instead the implications of the very ¹²⁰ low *average* gamma-ray flux associated with WWLLN flashes, using a much larger but ¹²¹ much more indiscriminate class of lightning than *McTague et al.* [2015].

This method is also an ideal way to address the possibility that there is a large popu-122 lation of TGFs – or another high-energy radiation mechanism – much shorter in duration 123 than those currently known. The shortest values of t_{50} , the time interval containing con-124 taining the middle 50% of counts in a TGF, are about 30 μ s in *Fermi* data [*Briqqs et al.*, 125 2013] and 20 μ s in AGILE [Marisaldi et al., 2015]. But even just a few μ s is a plau-126 sible time scale for a single step of a stepped leader or for a lightning initiation event 127 stimulated by a large cosmic-ray shower in the atmosphere. Without the time-delays as-128 sociated with Comptonization in the atmosphere, the orbiting observatories would have 129 trouble distinguishing such an event from cosmic rays, some of which can produce showers 130 in the spacecraft and cause several detectors to register counts. Thus at first blush such 131 a population of TGFs could have been missed whether they are weak or strong. The 132 stacking analysis presented below eliminates this confusion since even a single registered 133 gamma-ray count, if it appears in a significant fraction of flashes, will add up to a strong 134 signal in the stacked light curve, while cosmic ray events will occur no more often during 135 lightning discharges than during other times. 136

¹³⁷ But Celestin and Pasko [2012] showed that delays from Compton scattering lengthen ¹³⁸ an instantaneous release of TGF gamma-rays at 15 km into an event with t_{50} ranging from ¹³⁹ about 15 to 75 μ s as the radial distance to the subsatellite point goes from 0–500 km. Thus ¹⁴⁰ a population of super-short TGFs produced at ordinary TGF altitudes seems impossible ¹⁴¹ to hide from the current generation of satellites. But a limit on very short gamma-ray ¹⁴² emission is still worth setting to check the possibility of another high-energy radiation ¹⁴³ mechanism from lightning that might take place at high altitudes, and might be weaker ¹⁴⁴ than ordinary TGFs. In particular, high-altitude gamma-ray production appears in some ¹⁴⁵ early models of TGFs, published before the lower altitudes now generally accepted were ¹⁴⁶ even thought of, and connected with the production mechanisms of sprites [*Roussel-Dupré* ¹⁴⁷ and Gurevich, 1996; Lehtinen et al., 1999] and elves Inan and Lehtinen [2005]. In these ¹⁴⁸ models, the spectrum produced is also that of RREA.

1.1. Observed versus intrinsic brightness distribution

Our analysis follows previous works in quantitatively discussing only the distribution 149 of observed brightnesses at the spacecraft, rather than the intrinsic distribution of TGFs 150 in total released energy [Collier et al., 2011; Østqaard et al., 2012; Tierney et al., 2013; 151 Marisaldi et al., 2014; McTaque et al., 2015]. These are different for at least two reasons: 152 the distribution of the TGFs in altitude, such that some suffer more atmospheric absorp-153 tion than others, and the different radial distances from the subsatellite point for different 154 TGFs. While the latter distribution should be entirely predictable (and uniform with 155 surface area), the nature of the TGF beam (broad or narrow, tilted or vertical), must be 156 determined from observations just as the altitude distribution is. 157

¹⁵⁸ Carlson et al. [2012] quantified the connection between the intrinsic and observed ¹⁵⁹ distributions in the case where the latter is a power law, still assuming that all TGFs ¹⁶⁰ occur at the same altitude; the intrinsic distribution is found to be harder. *Nisi et al.* ¹⁶¹ [2014] extended these calculations to include an altitude distribution of TGFs derived from ¹⁶² tropopause data, showing that taking this into account softens the observed distribution ¹⁶³ even more relative to the intrinsic one. *Hazelton* [2009], taking both factors into account, ¹⁶⁴ used a model altitude distribution from tropical thunderstorm cloud top heights [*Ushio* $_{165}$ et al., 2001] as a proxy for TGF altitudes to estimate the fraction of TGFs that triggered $_{166}$ RHESSI, and to find that an intrinsic intensity distribution with power law index -1.5 $_{167}$ seemed consistent with the softer observed RHESSI intensity distribution.

Both Østgaard et al. [2012] and Hansen et al. [2013] suggest that all lightning could 168 produce TGFs if they are faint enough not to be observed from space, and of course that 169 is possible; and as far as space observations are concerned, it must also be possible that 170 even bright TGFs could be associated with all lightning if most of them are hidden from 171 observation from space by being either very low in the atmosphere or beamed downwards 172 instead of upwards. As we discuss limits on the number of lightning flashes that can pro-173 ducing TGFs based on what is seen from orbit, we will have to consider two possibilities: 174 that there is a very large population of very faint TGFs, and that there is a population of 175 brighter TGFs (whether or not they are as bright as those seen from orbit) buried deep 176 in the atmosphere. We will address the former possibility over the course of our analysis, 177 showing that intrinsically faint TGFs produced in the same altitude range as the bright 178 ones, if they exist at all, have to either make up a second low-luminosity peak in the 179 TGF luminosity distribution, rather than being part of the same distribution as bright 180 TGFs, or else be part of a rather finely-tuned monotonic distribution in which the average 181 luminosity is so low that it resembles that of lightning stepped leaders rather than TGFs. 182 The other possibility – a population of deeply buried TGFs much larger than the 183 population we see from space – we discuss here. We believe that the most recent avail-184 able evidence suggests this is unlikely. When TGFs were thought to lie mostly in the 185 range of 15–21 km [e.g. Dwyer and Smith, 2005], and the environment of their produc-186 tion was entirely a mystery, hiding a large population at much lower altitudes was very 187

feasible. Now we are learning that TGFs are produced between the upper positive and main negative charge regions of storms, many at altitudes around 12 km [*Stanley et al.*, 2006; *Shao et al.*, 2010; *Lu et al.*, 2010; *Lu et al.*, 2011; *Dwyer and Cummer*, 2013; *Cummer et al.*, 2014, 2015; *Gjesteland et al.*, 2015] at mid latitudes, and presumably somewhat higher at equatorial latitudes where convective cells are taller on average. This has several implications.

Cummer et al. [2015] found in all three cases they studied that a TGF occurred 194 when an upward negative leader reached a length of 1-2 km, in the middle of its journey 195 from the main negative up to the upper positive region. Lyu et al. [2015] have identified 196 what appears to be the characteristic radio signal of a TGF, or at least of a subset of 197 TGFs, called a "positive energetic in-cloud pulse" (+EIP). Out of 27 +EIPs in North 198 America, 23 lay between 10 km and 13 km, just the range of altitudes identified for 199 TGFs in this geographic region [Stanley et al., 2006; Shao et al., 2010; Lu et al., 2010; 200 Lu et al., 2011; Dwyer and Cummer, 2013; Cummer et al., 2014, 2015]. Since the radio 201 emission isn't subject to atmospheric attenuation as gamma-rays viewed from orbit are, 202 to the extent that +EIPs and TGFs are the same population, this local and preliminary 203 result suggests that there may be no deeply buried population of TGFs being missed from 204 orbit. In addition, the number of reverse-polarity (negative) EIPs was much lower than 205 the number of +EIPs, suggesting that there is no large hidden population of downward 206 TGFs either, assuming their radio emission is similar. 207

Even if we ignore the evidence of the +EIP distribution until we are sure that +EIPs are really representative of TGFs, we can ask under what circumstances our results might still leave room for a much higher TGF/lightning ratio than we calculate. First, even

going down as far as 8 km, below all the North American +EIPs and presumably even 211 further below any tropical ones, there is only a factor of ~ 40 decrease in the apparent 212 intensity of a TGF from orbit relative to an origin at 12 km (using the atmospheric model 213 of Humphreys [1964] and the e-folding depth of 45 g cm⁻² for a TGF spectrum modeled 214 by Smith et al. [2010]). Since at least one TGF observed by RHESSI was among the 215 brightest it has seen despite originating at <12 km Gjesteland et al. [2015], it is possible 216 that the brightest TGFs, at least, may be visible from orbit all the way down to the 217 bottom of their production range. If the bright TGFs individually detected by *RHESSI* 218 are just the high-altitude tip of an iceberg peaked at much lower altitudes, and there are 219 many more TGFs down there, then qualitatively speaking there should be many weak 220 TGFs seen from a few kilometers further down (e.g. within that factor of 40 or so of 221 absorption). That would give exactly the sort of bright summed signal that we search for 222 below and do not find. 223

That forces us to consider a more finely-tuned case in which there are still a lot of unseen TGFs at low altitude, but they tend to be intrinsically weaker than normal TGFs. TGFs both modest in intensity (but still bright enough to be considered of the same class of event) and biased toward relatively low altitudes may still be numerous; but we have no evidence of their existence, and a small amount of evidence against it from ADELE [*Smith et al.*, 2011].

Even ignoring the ADELE result, the scenario of many underluminous and lowaltitude TGFs runs into a further difficulty. Lightning itself is much more common in storms that reach high altitudes than in those that don't, with the flash rate going approximately as the 4.9th power of the cloud top height [*Price and Rind*, 1992]. Thus the

low storms that might harbor low-lying TGFs between their main negative and upper pos-234 itive charge centers produce only a very, very small fraction of global lightning; and thus, 235 TGFs produced in these storms cannot do a lot to boost the overall global TGF/lightning 236 ratio, even in the highly tuned case where low-altitude storms produce TGFs at a much 237 higher rate of efficiency but with much lower intrinsic luminosity. Figure 1 illustrates 238 the effect of taking into account how much lightning storms at different heights produce. 239 The solid curve is an approximation to the altitude distribution of thunderstorm cloud 240 tops from Ushio et al. [2001], for the case of lightning over land in the tropics, the most 241 relevant for most TGFs (see their Figure 5, where they show the cumulative distribution 242 while we have fit their data to a smooth empirical curve and converted it to a differential 243 distribution). Looking at this curve, you might expect that low-altitude storms could 244 harbor a lot of missing TGFs. But the dashed curve multiplies this curve by the flash 245 rate function of *Price and Rind* [1992], to give the distribution of storm heights measured 246 at the times of lightning flashes. This is the more relevant curve for estimating TGF 247 production altitudes (which should be a few km below the cloud top), and it is clear that 248 it is more narrow in altitude than the curve that is uncorrected for flash rate. 249

2. Data Analysis Method

We included data from 2004 January 1 to 2012 December 31. All discharges localized by WWLLN were stored in a catalog if they occurred within 1200 km of the current sub-satellite point of *RHESSI*. The time-tagged gamma-ray counts in *RHESSI* near the time of each stored WWLLN discharge were captured from the raw *RHESSI* database using the SolarSoft package (http://www.lmsal.com/solarsoft/). The light-propagation time from the WWLLN discharge position was subtracted from the *RHESSI* event times,

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assuming a TGF altitude of 15 km, then the RHESSI clock correction of Gjesteland et al. 256 [2014] was applied (+2.35 ms before 2005 August 5 and +1.82ms afterward). The time-257 tagged list of counts was then cleaned, a process that combines simultaneous interactions 258 in multiple detectors into a single event (under the assumption of Compton scattering) 259 and removes certain instrumental artifacts [Smith et al., 2002]. The cleaned count lists 260 corresponding to all WWLLN discharges were then combined into a single histogram, 261 with time relative to the matching WWLLN discharge, in 1 ms bins being the x axis of 262 the histogram. Summed histograms were made separately for *RHESSI*/WWLLN ground 263 distances ranging from 0-100 km to 1100-1200 km so that comparisons could be made 264 for both near and more distant lightning. 265

We further divided the histogram for each distance range into a histogram for the 266 small fraction of WWLLN discharges that match closely (within 10 ms) with a known 267 *RHESSI* TGF and the great majority that do not. In each case we looked for an excess in 268 gamma-ray counts near t = 0; in the first case, of course, this signal should be very large, 269 but in the second case it depends entirely on the unknown population of weak TGFs that 270 cannot be recognized individually. Our primary results are the number of gamma-ray 271 counts per WWLLN discharge in the unmatched sample and its ratio to the same excess 272 in the matched sample. 273

The *RHESSI* catalog we used combines events from the first *RHESSI* catalog [*Grefen*stette et al., 2009] and a new algorithm, still under development, that uses binning times from 60 μ s to 3 ms to detect TGFs, as opposed to the single ~ 1 ms binning of the first catalog. This combined catalog produces a total of 3277 TGFs from 2004–2012, with 477 matching WWLLN discharges within 10 ms and 1200 km. While the experimental algo-

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²⁷⁹ rithm contains a larger fraction of false positive detections than the first catalog, for this ²⁸⁰ work we don't use the gamma-ray data from unmatched TGFs. Those TGF candidates ²⁸¹ that match a WWLLN discharge within 10 ms are vastly less likely than the rest of the ²⁸² sample to be false positive detections, since on average the probability of accidentally ²⁸³ finding a WWLLN discharge within 1200 km of *RHESSI* within 10 ms is 3.4×10^{-4} (this ²⁸⁴ rate is simply the total number of discharges during our 2004-2012 period within that ²⁸⁵ distance divided by the duration of that period).

It is important to consider the effects of the imperfect sensitivity of both WWLLN and *RHESSI*. Early in this period, WWLLN was less sensitive than it was at the end. For some intervals within this period, *RHESSI* was less sensitive than usual due to radiation damage to its detectors, which are periodically annealed to reduce this effect [*Grefenstette* et al., 2009].

In 2011, WWLLN was compared with the more sensitive Earth Networks Total Light-291 ning Network (ENTLN) over the continental United States [Hutchins et al., 2012b], and 292 it was estimated that WWLLN's efficiency at that time was 4.2% for all discharges and 293 15% for CG strokes in particular. But for data taken at approximately the same time 294 in the evolution of WWLLN's array, Connaughton et al. [2013] found WWLLN matches 295 for 182 out of 601 Fermi TGFs, a 30% match rate. Most of these events took place in 296 parts of the world where WWLLN's sensitivity is lower than in the continental United 297 States as well, showing that WWLLN is much more sensitive to TGF-related lightning 298 than to either non-TGF-related IC or CG lightning. We expect that relative sensitivity 299 to hold even earlier in the evolution of WWLLN, when its overall sensitivity was lower. 300 What this means for our analysis is that we are missing a much higher percentage of the 301

lightning without TGFs than the lightning with TGFs; thus the values and upper limits 302 for the gamma-ray counts per WWLLN discharge that we derive below are probably too 303 high, and the constraints would become even stricter under a more detailed analysis in-304 corporating WWLLN sensitivity as a function of time, position, and flash type. Note also 305 that there are many bright TGFs that are not matched by WWLLN, particularly those 306 of longer duration [Connaughton et al., 2013], so that nothing in our work here should 307 be construed as putting any limit on a potential population - even a very large one - of 308 weak TGFs that are not connected to conventional lightning. 309

For the issue of *RHESST*s time-varying sensitivity, we note that when the detectors are damaged and counting fewer gamma-rays in each TGF, the fraction of counts lost will be comparable for the luminous TGFs that are detected and for the (presumed) subluminous TGFs that we are looking for from the unmatched WWLLN discharges. In that case the *RHESSI* sensitivity drops out to first order, since we express our results in terms of the ratio of gamma-ray counts from unmatched WWLLN discharges to those during WWLLN discharges matched to known TGFs.

We also consider the effect of *RHESSI*'s instrumental deadtime, which causes some counts to be lost during bright TGFs [*Grefenstette et al.*, 2009]. To the extent that this is an important effect, it would also result in even stricter upper limits on the sub-luminous TGFs than are quoted below, since the detected TGFs would suffer from deadtime much more than the faint, undetected ones. So the corrected ratio of gamma-ray counts in unmatched WWLLN discharges to matched ones would drop further if the latter were corrected for deadtime.

Finally, it is important to maintain the distinction between ruling out a TGF coinci-324 dent with the specific discharge or return stroke seen by WWLLN and ruling out a TGF 325 anywhere within the overall flash. Omar et al. [2014] found three types of association 326 between TGFs detected by the *Fermi* Gamma-ray Burst Monitor (GBM) and lightning 327 sferics recorded by the Earth Networks Total Lightning Network (ENTLN): those that are 328 virtually simultaneous [e.g. Connaughton et al., 2013], those in which the timing differs 329 by a few milliseconds in either direction, and those in which the TGF precedes the radio 330 signal by hundreds of milliseconds. Figure 2 shows the same pattern in our association of 331 *RHESSI* TGFs and WWLLN sferics. In section 3 below, we set separate stacking limits 332 for gamma-rays using different combinations of these three types of timing association 333 with our database of WWLLN sferics. 334

3. Gamma-ray upper limits with distance, time offset, and energy

In this section we present and interpret gamma-ray upper limits on *RHESSI* counts associated with WWLLN discharges, as a function of three parameters: the distance (measured along Earth's surface) between the WWLLN location and the *RHESSI* subsatellite point, the time offset between the WWLLN time and the stretch of *RHESSI* data being searched, and the energy range of the *RHESSI* photons considered.

3.1. Limits over integrated distance ranges

To get preliminary upper limits that are both simple to understand and have good statistical significance, we wish to sum the gamma-ray data corresponding to all WWLLN discharges out to two radii: the radius at which the sensitivity of *RHESSI* to known TGFs begins to fall off, and the radius at which it has fallen nearly to zero. The former tells us ³⁴⁴ primarily about subluminous TGFs in the distance range where we might expect that all ³⁴⁵ typically bright TGFs would be seen, while the latter opens up the possibility of seeing ³⁴⁶ events that might be typically bright, or nearly so, but appear fainter because of their ³⁴⁷ distance.

Figure 3 shows the surface density of known TGFs as a function of the distance 348 between the *RHESSI* subsatellite point and the WWLLN discharge position for all 477 349 known TGFs that occurred within 1200 km and 10 ms of a WWLLN discharge. This 350 distribution is qualitatively similar to those reported by previous authors who used both 351 RHESSI and Fermi TGFs [Cummer et al., 2005; Cohen et al., 2010; Connaughton et al., 352 2010; Collier et al., 2011; Briggs et al., 2013]. The curve shown in the figure is a best-353 fit error function (integral of a Gaussian) with the 50% point at (403 \pm 21) km and 354 $\sigma = (174 \pm 20)$ km. This is purely a phenomenological function chosen to resemble the 355 data. Figure 3 suggests that the TGF beam is either moderately broad (~ 400 km radius 356 at ~ 600 km altitude giving a half angle of 34°), or else, if narrower, distributed in tilt 357 angle out to a comparable angle. The model of a somewhat broad beam is supported 358 by spectroscopic results [Hazelton et al., 2009; Gjesteland et al., 2011]. Below, we use 359 0-300 km to represent the range where we have nearly constant sensitivity to known 360 TGFs, and 0–700 km for a range that could include a significant number of TGFs that 361 are typically bright intrinsically, but not identified because they are distant. 362

Figures 4 and 5 show, in their top panels, the stacked gamma-ray histograms for the WWLLN discharges that were matched to a known *RHESSI* TGF within 10 ms (top panel) and those that were not matched to any *RHESSI* TGF within 1 s (bottom panel). Figure 4 runs out to 300 km and includes 216 known TGFs in the top panel and 432,342 ³⁶⁷ WWLLN flashes in the bottom panel; Figure 5 runs out to 700 km and includes 461 ³⁶⁸ known TGFs in the top panel and 2,338,292 WWLLN flashes in the bottom panel.

The expectation is that a population of under-luminous TGFs would make a signifi-369 cant signal when summed together. The TGF-matched discharges show a dramatic peak, 370 as expected. To calculate the average number of counts in a TGF, we sum the central four 371 milliseconds of the figure and subtract the average background, averaged from 0.1 to 1 s in 372 the direction of the WWLLN sferic leading the TGF. This range is chosen with reference 373 to Figure 2, since it appears that there are not a significant number of known TGFs in 374 this range of time offset. Table 1 shows the number of gamma-ray counts per WWLLN 375 flash, its error bar, statistical significance, and upper limit at 95% confidence for the two 376 distance ranges and for both the known TGFs and the WWLLN discharges without a 377 known TGF. For the known TGFs, the error is the 1σ statistical error on the average: 378 of course the variance in the number of counts in each TGF is much higher [Grefenstette 379 et al., 2009]. 380

Table 1 includes gamma-ray count limits for five time ranges relative to the WWLLN 381 flash in which known TGFs appeared in Figure 2 and in the work presented by Omar et al. 382 [2014]: within 2 ms (essentially simultaneous, but allowing for inclusion of most of the flux 383 of the longest-duration TGFs – "group I" in Figure 2); between 2 ms and 10 ms in either 384 direction (group II); within 10 ms in either direction (group I plus group II, intended 385 to encompass all processes in the upward-going leader, regardless of which one triggers 386 WWLLN): between 200 ms and 800 ms in the sense of the TGF preceding the WWLLN 387 detection (group III); and the sum of all three time groups, intended to account for any 388 likely time the gammas might appear relative to WWLLN. Most known TGF/WWLLN 389

matches are simultaneous (Figure 2), but it is reasonable to suppose that if a TGF can be under-luminous, the sferic pulse associated with the TGF current would become less likely to be the one to trigger WWLLN. Then, if there is a TGF at all, it would be more likely to fall into one of the other two groups of *RHESSI*-WWLLN time difference.

The total detected signals for WWLLN discharges without a known TGF are small, and that from 0–300 km does not constitute a significant detection. As shown in the third line of Table 1, the upper limit at 95% confidence is (1.24×10^{-2}) *RHESSI* counts per WWLLN flash, or 7.82×10^{-4} times the intensity of an average *RHESSI* TGF. As we mentioned in section 2 above, *RHESSI's* deadtime during the known TGFs and WWLLN's preferential sensitivity to TGF-producing lightning both produce biases that, if corrected, would result in making these limits even stricter.

Assuming that not all discharges produce a TGF, even a subluminous one, the upper 401 limits can be re-interpreted as a function of the fraction of discharges considered to be 402 candidate TGF producers. The solid lines in Figure 6 illustrate this; all points along each 403 line give the same value, that tabulated in the last column of Table 1, for the upper limits 404 on TGF intensity per WWLLN flash from 0–300 km (relative to an average TGF of 15.85 405 counts). The curves are shown under three assumptions: that the TGF is simultaneous 406 with the WWLLN signal (group I, as studied by \emptyset stgaard et al. [2015]), that the TGF 407 and WWLLN signal are both somewhere in the upward progression of the initial leader 408 (group I + group II) and that the TGF/sferic relation could be in any of the three groups 409 identified in Figure 2. For the first two timing assumptions, these curves show that TGFs 410 with anything even approaching the intensity of the known population are relatively rare; 411

Case	Range	dT^{a}
TGF	0–300 km	1
TGF	$0-700 \mathrm{~km}$	1
No TGF	$0300~\mathrm{km}$	1
No TGF	0–700 km $$	1
No TGF	0–300 km	2
No TGF	$0-700 \mathrm{~km}$	2
No TGF	$0-300 \mathrm{~km}$	3
No TGF	$0-700 \mathrm{~km}$	3
No TGF	$0-300 \mathrm{~km}$	1 + 2
No TGF	$0-700 \mathrm{~km}$	1 + 2
No TGF	$0-300 \mathrm{~km}$	1 + 2 + 3
No TGF	0–700 km	1 + 2 + 3

Table 1. <i>RHESSI</i> counts per WWLLN flash, measured values and limits, versus distance range and relative timin	ing
--	-----

Counts/flash

15.85

14.89

 6.23×10^{-3} 3.76×10^{-3}

 7.43×10^{-3} 1.62×10^{-3}

 -6.85×10^{-4} 7.57×10^{-3}

 $1.96\times 10^{-3}\ \ 3.25\times 10^{-3}$

 $6.54 \times 10^{-2} \ 5.93 \times 10^{-2}$

 5.54×10^{-3} 8.48×10^{-3}

 $9.39\times 10^{-3}\ \ 3.65\times 10^{-3}$

 7.08×10^{-2} 6.07×10^{-2} 3.83×10^{-3} 2.61×10^{-2}

 $-5.47\times 10^{-3}\ \ 2.55\times 10^{-2}$

 $\overline{\mathrm{Error}}(\sigma)$

0.32

0.22

 $dT^{\rm a}$

Value/error	95% limit	95% frac. limit ^b
50	16.38	1.033
69	15.25	1.024
1.66	1.24×10^{-2}	7.82×10^{-4}
4.60	1.01×10^{-2}	6.78×10^{-4}
-0.09	1.18×10^{-2}	7.44×10^{-4}
0.60	7.31×10^{-3}	4.91×10^{-4}
1.10	1.63×10^{-1}	1.03×10^{-2}
-0.21	3.65×10^{-2}	2.45×10^{-3}
0.65	1.95×10^{-2}	1.23×10^{-3}
2.58	1.54×10^{-2}	1.03×10^{-3}
1.17	1.71×10^{-1}	1.08×10^{-2}
0.15	4.67×10^{-2}	3.14×10^{-3}

^a Time difference range code, *RHESSI*–WWLLN. 1: -2 to +2 ms, group I; 2: -10 to -2 and +2 to +10 ms, group II; 3: -200 to -800 ms, group III.

^b 95% confidence upper limit expressed as a fraction of the average counts from known TGFs for that distance range and simultaneous timing.

D

⁴¹² under the third assumption, in which 620 ms of background has to be included when ⁴¹³ calculating the limits, the constraint is not as severe.

One physically-motivated reason to focus attention on only a subset of lightning is 414 the lack, to date, of any reported TGF observed from space in conjunction with cloud-to-415 ground lightning. This may be due to the TGF mechanism requiring the fields generated 416 when a new leader of one sign (e.g. an upward-moving negative leader) approaches a 417 charge region of the opposite sign (e.g. the upper positive charge center in a simple 418 tripolar thunderstorm). So we attempt, in the simplest possible way, to estimate the 419 limit on gamma-ray counts per WWLLN-detected +IC flash, instead of per any WWLLN 420 flash. WWLLN doesn't report flash type, but a comparison with NLDN data over the 421 continental United States [Abarca et al., 2010] from 2006–2009 gave an average ratio of 422 2.15 for the WWLLN detection efficiency of CG to IC lightning. This ratio was relatively 423 constant from 2006 to 2009, a period in which the absolute efficiency of WWLLN was 424 increasing rapidly, so for this simple calculation we feel justified in using it for the entire 425 RHESSI database, for which the 2006–2009 period represents the central third. Assuming 426 a conservative IC/CG ratio of ~ 3 averaged over *RHESSI's* view of the tropical and 427 temperate globe (see, e.g., Figure 1 of *Boccippio et al.* [2001]), we expect that roughly 428 58% of the WWLLN sample is IC lightning (\emptyset stgaard et al. [2015] used the same references 429 and reached the same conclusion). Due to the limitations of WWLLN's sensitivity, most of 430 the +IC lightning in the sample is going to be that which contains either a narrow bipolar 431 event (NBE) or a +EIP, the latter perhaps the direct current signal of TGFs themselves 432 [Lyu et al., 2015]. If we consider most of this IC lightning to be positive lightning due 433 to upward negative leaders, which might be expected to be capable of producing a TGF. 434

our WWLLN upper limits are increased (weakened) by a factor of 1/0.58 = 1.7. We emphasize that this is not a correction to our results in Table 1, it's the answer to a different question: what is the gamma-ray yield of +IC lightning, as opposed to the gamma-ray yield of WWLLN-detected lightning in general? The dashed lines in Figure 6 differ from the solid ones in including this factor.

As promised in the Introduction, we can interpret the limits of Figure 6 as limits 440 not only on weak but otherwise conventional TGFs, but also on RREA of much smaller 441 duration, which would be mistaken for cosmic ray showers in the spacecraft by the TGF-442 detection algorithm. Because of Comptonization broadening [Celestin et al., 2012], these 443 short events (say 10μ s or shorter) must occur at high altitudes, due to some exotic mech-444 anism. This interpretation doesn't affect the values of the limits on counts per flash, but 445 it does suggest which of the values presented in Figure 6 might be most relevant. For 446 example, considering the electromagnetic pulse (EMP) mechanism [Inan and Lehtinen, 447 2005] leads us to look primarily at the "group I only" timescale, since presumably the 448 brightest EMP during a flash is both most likely to produce gamma-rays and most likely 449 to trigger WWLLN. The current analysis is not well suited for searching for sprite-related 450 gamma-rays, however, since these are expected to occur with a large delay between the 451 sferic (usually +CG) and the high-altitude breakdown presumably producing gamma-452 rays. This is a time window we do not examine (the opposite sense of delay to group III), 453 although we can see from Figure 2 that there are not a statistically significant number of 454 detections of normal TGFs in this window. In addition, since only a very small fraction 455 of lightning produces sprites, only a search targeting sprites, or at least lightning with a 456

very large charge moment change suggesting it might have made a sprite, will be usefully
 sensitive.

3.2. Limits versus narrow distance band and energy

Figures 7 and 8 show the basic result of the analysis (counts/WWLLN flash) as a 459 function of ground distance, using rings 100 km wide instead of the ranges 0–300 km and 460 0-700 km discussed above. In Figure 7 we show the results separately for the three relative 461 time ranges derived from Figure 2 (groups I, II, and III). In Figure 8 we explore three 462 energy ranges: the whole detectable range for RHESSI's rear detector segments (roughly 463 30 keV to 17 MeV), which was used for all the results above, and also the two bands 464 above and below 500 keV. Above this energy, the spectrum has a significant component of 465 non-Comptonized gammas, and below it is dominated by multiply-Comptonized gammas 466 [Dwyer and Smith, 2005]. In both Figures, the black data points with error bars represent 467 the measurement for the WWLLN flashes with no known TGF, and the red data points 468 add in the few WWLLN flashes associated with known TGFs as well. 469

The top panel of Figure 7 shows that at small radii, the entire population of WWLLN 470 flashes without a TGF contributes about the same number of gamma-rays to the sum as 471 the known TGFs. Since there are 2,000 times as many WWLLN flashes without known 472 TGFs as with, this is another way of expressing the paucity of gamma radiation outside 473 the known TGFs. Breaking down the results by distance shows something else important: 474 the most significant excess is in the 400–700 km range. This suggests that most of the 475 excess, which, as shown above, is already quite small, is due not to truly subluminous 476 TGFs but rather to normally bright TGFs that are faint at the spacecraft only because 477 of their distance and beaming. This is implicit in the differences shown in Table 1 for the 478

 $_{479}$ 0-300 km range (no significant detection) and the 0-700 km range (significant detection), but is made clearer by Figure 7. The importance of the > 400 km distance range to finding new TGFs was also noticed by Østgaard et al. [2015], who found that 50% of their newly discovered, subluminous events were found in the 400-800 km range. We note that in the population of 477 bright, normally detected TGFs with WWLLN counterparts (Figure 3), only 135 (28.3%) were found at > 400 km.

The following two panels of Figure 7 show that there is no distance band in which there is a statistically significant gamma-ray signal for either of the non-simultaneous *RHESSI*/WWLLN time difference groups.

The top panel of Figure 7 also shows two theoretical distributions, based on simula-488 tions of a relativistic runaway avalanche propagated through models of Earth's atmosphere 489 and the RHESSI spacecraft [Dwyer and Smith, 2005; Hazelton et al., 2009]. The simu-490 lated source altitude is 13 km, but the shape of the curves is not very sensitive to altitude. 491 The blue curve ("Narrow") represents the natural minimum angular source width due to 492 electron scattering and bremsstrahlung production (about 18° full width at half maxi-493 mum when the photons are first created – see Figure 2 of *Hazelton et al.* [2009]), while 494 the green curve ("Broad") has all the photons at the time of their creation redistributed 495 into a distribution isotropic within, but confined to, a half opening angle of 45°. These 496 curves, which are shown with an arbitrary normalization, should be compared in shape 497 to the red diamonds, which represent the sum of gamma-rays from all WWLLN flashes, 498 whether they correspond to a known TGF or not. 499

Since even the result of the broad TGF model is not as broad as the true distribution, we can conclude that the signal at small radial distances from the brighter (e.g. known)

TGFs is badly suppressed by instrumental deadtime. This conclusion is supported by 502 the observation [Grefenstette et al., 2009] that in nearly all known RHESSI TGFs the 503 instrument is counting at its maximum throughput at the peak of the event, as well 504 as by the recent discovery of a class of RHESSI TGFs, roughly 3% of the total, that 505 completely paralyze the instrument at their peaks and are detected primarily by the 506 delayed, Comptonized tail [Kelley et al., 2015]. This suggests that the average number 507 of counts in the known TGFs is too low, probably by a factor of 2 or more, which would 508 make the limits in the last column of Table 1 and in Figure 6 lower (more restrictive) by 509 the same factor, assuming that the unidentified, faint TGFs are not themselves affected 510 by deadtime. We note that Østgaard et al. [2012] estimated an average RHESSI TGF 511 deadtime of only 26%, but they appear to have made the conservative assumption that 512 every TGF was on the rising part of curve of registered counts vs. true counts [Grefenstette 513 et al., 2009]. The new results on RHESSI TGFs that reach 100% deadtime at their peak 514 suggest that many other TGFs, although they fall short of paralysis at their peaks, likely 515 also have >50% deadtime, so that assumption needs to be revisited. 516

Figure 8 shows only the data for the near-simultaneous relative time range. The 517 top panel is the same data as the top panel of Figure 7. In the following panels, the 518 counts above and below 500 keV are shown separately. While no data point is highly 519 significant except the 600–700 km band at low energies, the overall trend is for the low-520 energy photons to reside at larger distances than the high-energy ones. This is consistent 521 with the expectation that distant events are dominated by either the Compton tail alone 522 or else the intrinsically softer spectrum at the edge of the bremsstrahlung beam $[\emptyset st qaard$ 523 et al., 2008; Hazelton et al., 2009]. 524

3.3. Constraining broken power-law distributions

Table 1 gives our limits on the average gamma-ray intensity of a typical WWLLN flash relative to a typical known *RHESSI* TGF. Here we use the same limits to constrain the distribution of TGF intensities under the assumption that it has the form of a power law on the bright end [*Collier et al.*, 2011; Østgaard et al., 2012; *Tierney et al.*, 2013; *Marisaldi et al.*, 2014] breaking to a flatter index or cutting off completely below a threshold, the threshold being at or below *RHESSI*'s individual detection level.

We define the differential intensity distribution of TGFs, dn/dN, over the range where 531 TGFs are detectable by RHESSI, as a power law $N^{-\lambda}$, with $\lambda = 2.3$ being the expected 532 value [Østqaard et al., 2012; Tierney et al., 2013; Marisaldi et al., 2014] and N in units 533 of *RHESSI* counts. We do not attempt to derive the number of relativistic electrons or 534 gamma-rays at the source, which requires knowledge of the altitude distribution and the 535 beam opening angle. This is consistent with the approach of the other authors. An index 536 of $\lambda = 2.3$ must flatten or turn over at low intensities to prevent the total number of 537 TGFs from becoming infinite – and must do so even sooner to prevent it from exceeding 538 the number of potentially TGF-producing lightning discharges. But since there are far 539 more lightning discharges than detected TGFs, this break could in principle be orders of 540 magnitude below the lower sensitivity limit of *RHESSI*. Instead, with the strong limits 541 from our stacked analysis, we can now show that the break is, in fact, quite close to 542 the instrumental sensitivity limit. Of course, the broken power law could be replaced 543 with a distribution that flattens more continuously toward low intensity, but we cannot 544 evaluate all possible distributions, and no particular functional form has been theoretically 545 predicted. 546

X - 28

There have been hints of flattening being observed close to the lower intensity limits 547 already. Marisaldi et al. [2014] noted that a flattening at lower count rates improved their 548 $\lambda = 2.4$ fit to AGILE data, although they thought that might be attributable to their 549 selection criteria. Østgaard et al. [2015], looking at RHESSI/WWLLN matches without 550 previously identified TGFs, found a small population of new, individual, faint TGFs with 551 a power-law index of 1.85. McTaque et al. [2015] found a highly significant deficit of Fermi 552 GBM TGFs with 6–9 counts relative to those with a higher number of counts that could 553 be identified without a coincidence with known lightning. 554

We first examine the case where the index above the break is fixed at $\lambda = 2.3$. 555 The normalization of the distribution above the effective *RHESSI* detection threshold 556 $(\sim 13.625 \text{ counts}; \text{ see Appendix})$ is determined by the fraction of WWLLN discharges 557 with detected TGFs. A variety of indices λ_0 below the break are tested, and, for each, the 558 95% upper limit from the stacked gamma-ray observation (Table 1, penultimate column) 559 is used to set a value for the position of the break in *RHESSI* counts. At this point, 560 the distribution function for a given λ_0 is completely constrained, and can be integrated 561 to give the fraction of WWLLN discharges that produce any TGF, including those in 562 the part of the distribution that cannot be detected individually by *RHESSI*. The details 563 of the calculation are given in the Appendix, and the results are shown in Table 2 and 564 Figure 9 for the 95% upper limits on TGFs simultaneous with WWLLN (group I) and at 565 any point within 10 ms (groups I + II). 566

All the models must break within an order of magnitude, and sometimes within a factor of 2, of the effective *RHESSI* threshold. As was also the case for the results of the previous section (Figure 6), considering only +IC flashes as candidates for TGF ⁵⁷⁰ production would loosen the constraints (lower the break points), while accounting for ⁵⁷¹ deadtime, if it were accurately knowable, would tighten them. In Table 2 we show the ⁵⁷² total percentage of WWLLN flashes that produce a TGF of any brightness given each of ⁵⁷³ the models in Figure 9. Recall that these are 95% upper limits on what the data will ⁵⁷⁴ allow; the most likely values are therefore even lower, and the number of counts at the ⁵⁷⁵ break even higher.

Values of $\lambda_0 \geq 1$ give an infinite number of TGFs, which of course is not physically 576 possible, nor is a number of WWLLN-associated TGFs exceeding 100% of the lightning 577 flashes in the WWLLN sample (we consider multi-peaked TGFs to be a single TGF; in 578 most cases of double-peaked TGFs, both peaks would be contained in the 4 ms wide 579 "group I" bin, and virtually all known multi-peak TGFs would be summed into the 20 ms 580 wide "group II" bin). As λ_0 asymptotically approaches 1 from below, the percentage 581 of flashes with a TGF grows larger, and the average counts per TGF smaller. It is not 582 inevitable that these solutions are allowed; tightening the limit for group I (top panel of 583 Figure 9) by just a factor two would make all solutions with $\lambda_0 \ge 0.6$ invalid by pushing 584 the break point up into the regime where TGFs have been measured and found to have 585 the 2.3 index. Such a tightening would probably be found to be the case if we could 586 accurately understand *RHESSI*'s deadtime during the bright, known TGFs. But there is 587 some room for flexibility on this constraint for two reasons: first, none of the published 588 analyses are sufficiently powerful to reject at least a little hardening at the bottom of the 589 range of individually detected TGFs, and, second, the detection threshold of *RHESSI* is 590 not sharp, due to variations in background and detector efficiency with time (see Figure 12 591 in the Appendix). 592

To facilitate comparison with the results of \emptyset stgaard et al. [2012] combining RHESSI 593 and *Fermi* data and the ADELE detection and upper limits, we examing one more specific 594 model: one that breaks from 2.3 to 1.7 just at our calculated average *RHESSI* detection 595 threshold of 13.625 counts (see Appendix), and then cuts off abruptly at a lower value, 596 which is adjusted to match the 95% upper limit from the 0–300 km stacking analysis, as 597 the power-law break position is adjusted in the other cases. The results of this model 598 - the cutoff position and total percent of TGFs - are shown as the last row in Table 2 599 and the dotted line in Figure 9. Østqaard et al. [2012] didn't specify a particular point 600 for the break to index 1.7; in their text they suggest it might be as low as 1/3 the 601 *RHESSI* threshold, but their Figure 4 shows it at perhaps 35 observed *RHESSI* counts, 602 or well above the threshold. As a compromise, we place the break at the threshold (as 603 we define it), which is close to the middle of these possibilities and happens to make the 604 calculations more convenient as well (see Appendix). We find, for this model and for our 605 group I (simultaneous) time interval, 0.29% of lightning being allowed to contain a TGF 606 anywhere in the distribution, considerably lower than the 2% estimated by Østqaard et al. 607 [2012] when using only the ADELE nondetections to set limits on the weaker events. 608

It is always possible to define a function that will give a 100% yield of TGFs, as long as the definition of a "TGF" can be something that is very faint indeed as seen from orbit. In this work, with the exception of the dotted line case in Figure 9, we survey only power laws with a single break. For the cases where λ_0 approaches 1 from below and the percent of flashes producing a TGF starts to increase beyond 1%, it is important to examine what most of these TGFs would look like. For example, in the red curve in the bottom panel of Figure 9, $\lambda_0 = 0.99$ and 18.84% of WWLLN flashes are expected to produce a TGF. But

90% of these "TGFs" would be below the level of 3.5×10^{-4} RHESSI counts, or 2.6×10^{-5} 616 of a threshold *RHESSI*TGF; and the average event within this 90% has 3.5×10^{-6} *RHESSI* 617 counts, or 2.6×10^{-7} the intensity of a threshold TGF, if produced at the same altitude. 618 We would contend that in that case, labeling most of the events allowed at low intensity 619 as "TGFs" would be a misnomer. In fact, this is far from hypothetical. Since negative 620 leaders seen near the ground often produce their own high-energy emission, with a softer 621 spectrum and a luminosity on the order of 10^{-6} of a TGF [e.g. Saleh et al., 2009], it is 622 likely that all upward negative leaders in +IC lightning produce high-energy radiation 623 at some level. In Table 2, in addition to the total percentage of lightning giving TGFs 624 in each of the distributions, we also show the percentage of lightning giving TGFs with 625 greater than 10^{-4} the brightness of a *RHESSI* threshold TGF, or $> 1.36 \times 10^{-3}$ *RHESSI* 626 counts (see Appendix A). We select this value somewhat arbitrarily as the point where 627 the luminosity is significantly more than that of a stepped leader and we would consider 628 it to fall more in the category of a very weak TGF. 629

This argument extends even more clearly to other intensity distributions that would 630 be allowed by our constraints. We can imagine a function that looks like the blue curves 631 in Figure 9 ($\lambda_0 = 0$), with a second break back upwards to a steeply falling spectrum at 632 a much lower count level, and then a sharp cutoff below that point such that the curve 633 integrates to 100%. A function like that would be allowed by virtually any limit we can 634 produce using this method, but it would embody two populations – a set of bright TGFs 635 already known and a very different population at low luminosity. An extreme example of 636 this is implicit in Figure 6, where 100% of WWLLN lightning flashes seem to be allowed 637 to have a TGF within ± 10 ms of brightness 0.001 of an average TGF; however, this 638

can only be accommodated if the known power law distribution of normal TGFs cuts off completely and immediately below the *RHESSI* threshold – something that is not only quite artificial but also contradicted by the better sensitivity of *Fermi*, which finds real TGFs a bit below *RHESSI*'s threshold [\emptyset stgaard et al., 2012].

We emphasize that we are not offering evidence for a low-luminosity component in 643 any way; we are merely pointing out that the models we have explored with λ_0 approach-644 ing 1, and also more complicated models that we haven't explored, would allow such 645 a component. But if this component were allowed to approach a significant fraction of 646 lightning flashes, then either these "TGFs" would have to be part of a very different 647 brightness distribution than the normal ones – perhaps peaked at low brightness – or if 648 they were part of a continuous distribution, as we saw in the case of $\lambda_0 = 0.99$ for our 649 particular family of models, the shape of that distribution would have to be rather finely 650 tuned and the average brightness of a "TGF" would still be so low that we would argue 651 they should not be classed as the same phenomenon. As noted above, we define a "TGF" 652 as being both at least 10^{-4} of the brightness of a TGF near *RHESSI*'s threshold, and 653 belonging to a monotonic or single-peaked distribution of brightnesses that includes the 654 observed *RHESSI* TGFs. Within this definition, and with other caveats discussed in §1.1, 655 the results of this section show that TGFs are rare; and we stress that for most of the 656 distributions modeled, the TGF percentage of WWLLN lightning is less than 1% even if 657 the brightness threshold is not imposed. 658

Leaving aside the distributions that contain many events so faint that they are more like stepped-leader emissions than TGFs, the range of breaks explored in Figure 9 suggests some additional generality. Since the result that > 99% of WWLLN flashes do not contain ⁶⁶² a TGF – not even one below *RHESSI*'s detection threshold – is robust for a wide range ⁶⁶³ of shapes below *RHESSI*'s threshold, any hypothetical distribution that joins the $\lambda = 2.3$ ⁶⁶⁴ curve above the detection threshold and flattens out to something flatter than $\lambda_0 = 0.5$, ⁶⁶⁵ even if the transition is smooth rather than abrupt, is likely to give the same result. ⁶⁶⁶ And, as Figure 9 shows, this conclusion holds whether you consider a potential TGF as ⁶⁶⁷ occurring simultaneous with the WWLLN event or anywhere within 10 ms of it.

Although $\lambda = 2.3$ now has considerable support in the literature, we explore other 668 values for completeness. Figures 10 and 11 show the break value in counts and total 669 intensity-integrated percentage of TGF production for a range of combinations of λ and 670 λ_0 . The models for $\lambda = 2$ are evaluated at $\lambda = 2.001$ in order to escape division by zero 671 (see equation A5). Cases where the break has to be pushed above the mean detection 672 threshold of 13.625 counts have been left blank (white) in Figure 10. The TGF percentages 673 of WWLLN lightning (bottom panels in Figures 10 and 11) are shown both with and 674 without the cutoff at 10^{-4} of a *RHESSI* threshold TGF. 675

4. Discussion

Returning to the timing association between known TGFs and WWLLN sferics (Fig-676 ure 2), we note that $Omar \ et \ al. \ [2014]$ pointed out that this pattern is consistent with the 677 picture of TGFs being generated in middle of the original upward progression of the neg-678 ative leader in a positive IC flash. The strongest signal during this propagation, which is 679 what the sferic networks will fix on, may be the TGF current itself [Dwyer and Cummer, 680 2013; Cummer et al., 2014, 2015; Lyu et al., 2015], vielding a simultaneous detection, or 681 another event such as an NBE during the upward progression [Stanley et al., 2006; Shao 682 et al., 2010, giving an offset of a few milliseconds in either direction. The events in which 683

the sferic networks trigger from roughly 200 to 800 ms after the TGF would involve cases 684 where the dominant sferic caught by the networks was associated with a subsequent pro-685 cess within the IC flash (e.g. the currents responsible for K changes, in which horizontal 686 breakdowns occur that couple new regions of charge into the established channel). It is 687 interesting that there seem to be no TGFs generated during these processes; if there were, 688 we would expect a significant number of events in which the recorded sferic occurs during 689 the leader ascent and the TGF several hundred milliseconds later. This is consistent with 690 the observed absence of TGFs in CG lightning, which can also include similar horizontal 691 breakdowns after the initial leader and first return stroke. 692

Østqaard et al. [2015] demonstrated how starting from radio signals allows a deeper 693 search for individual gamma-ray excesses than can be accomplished by blindly searching 694 the gamma-ray data, and they emphasized the new population of low-count TGFs that 695 can be identified in this way. This population contributes to the excess that both they and 696 we measure when summing *RHESSI* gamma-ray data at the times of WWLLN flashes. 697 Because we use a different radio-blind triggering algorithm for the brighter TGFs, and 698 because we use different years, different time binning, and different radial bands, our 699 results can't be expected to be identical. For the case of simultaneous comparison, using 700 the radial range 0–800 km, they found 3.92×10^{-3} counts per WWLLN flash (2903 excess 701 counts in 740,210 flashes), a bit less than a factor of 2 below our value of 7.43×10^{-3} 702 from row 4 of Table 1. Note that the difference would be in the direction of deriving even 703 stronger constraints on faint TGFs if applying our analysis methods to their measurement. 704 There is no contradiction here. Starting from roughly similar stacked *RHESSI* results, 705 we have simply chosen to address different sides of the question: how new TGFs can be 706

identified in the case of Østqaard et al. [2015], versus what is the limit on how many faint 707 ones there could be in our case. For that purpose, it was necessary that we take a wider 708 time bin for group I (4 ms instead of the 300 μ s used by Østgaard et al. [2015]), because 709 our goal was not the highest possible signal-to-noise ratio, as in their search, but rather to 710 be certain that no flux was being unfairly discarded. Our conclusion is similar to that of 711 McTaque et al. [2015] from Fermi/GBM and NLDN data: that there is a strong turnover 712 in the TGF intensity distribution on orbit not far below the detection threshold of the 713 current missions in space. 714

The upcoming Atmosphere-Space Interactions Monitor (ASIM) on the International Space Station will be well positioned to look for this turnover, given its large effective area and factor of 2 advantage is sensitivity due to an orbital altitude lower than that of the spacecraft studying TGFs to date. Further observations within the atmosphere, from aircraft and balloons, and in a wider variety of meteorological environments than ADELE sampled, would provide critical proof of the arguments against a low-altitude population of TGFs given in §1.1.

Further modeling of the connection between observed and intrinsic brightness distributions should concentrate on model altitude distributions informed by lightning population data (e.g. Figure 1) and VLF studies of TGFs, in the context of intrinsic brightness distributions more complex than the simple power laws considered by *Hazelton* [2009] and *Nisi et al.* [2014].

Eventually, models of TGF generation should explain the observed luminosity distributions. *Celestin et al.* [2015] present a theoretical framework that unifies the steppedleader x-ray emissions in CG lightning with TGFs, modeling both as cold runaway in a

leader tip. They suggest the possibility of a population of subluminous TGFs that can't 730 be individually detected by satellites, originating in leaders with a potential drop some-731 what lower than the 300 MV they describe as being necessary to produce a TGF. While 732 this seems to contradict the upper limits we present, we don't believe this is necessarily 733 the case; they also find that the gamma-ray yield drops very quickly with the leader po-734 tential drop, so that a relatively flat distribution of potential drops could produce only a 735 small number of TGFs of less than normal, but still substantial, brightness, which could 736 perhaps still be allowed by our constraints. 737

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Appendix A: Constraining the Broken Power Law

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The results in Figures 9 through 11 are derived as follows. We define these symbols:

- M is the number of WWLLN discharges without a known TGF, e.g. 432,342 for 750 0-300 km radial offset 751
- T is the number of WWLLN discharges with a known TGF, e.g. 216 for 0-300 km 752
- $f_{\rm obs} = \frac{T}{M+T}$ is the fraction of WWLLN discharges that make a known TGF, e.g. 753 5.0×10^{-4} for 0–300 km 754
- f is the fraction of WWLLN discharges that make any TGF, known or not 755
- N is the number of counts per TGF 756
- $\frac{dn}{dN}$ is the distribution of the number of TGFs per flash as a function of number of 757 counts in the TGF. 758
- N_0 is the number of counts at the power-law index break 759
- N_1 is the number of counts below which a single TGF will not be seen by *RHESSI* 760
- λ is the power law index above the break, positive for a falling distribution 761

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 λ_0 is the power law index below the break

C is the number of counts per discharge over the M discharges with no known TGF 763

We take theoretical models of $\frac{dn}{dN}$ in the form of a broken power law matched at the break,

$$\frac{dn}{dN} = \begin{cases} AN_0^{\lambda_0 - \lambda} N^{-\lambda_0} & N < N_0\\ AN^{-\lambda} & N > N_0 \end{cases}$$
(A1)

Now we get the normalization constant A under the assumption that this should integrate to f. To avoid an infinite value for the integral, we require $\lambda_0 < 1$; while $\lambda > 2$ is also required to avoid an infinity in the total number of photons in all TGFs, we are able to examine cases with $\lambda < 2$ by assuming a cutoff at very high intensities; the analysis is insensitive to such a cutoff. In practice there must be such a cutoff for any λ , due to the

finite amount of energy available in the thunderstorm creating any single TGF.

$$A = N_0^{\lambda - 1} \frac{(1 - \lambda_0)(1 - \lambda)}{\lambda_0 - \lambda} f$$
(A2)

Integrating just over the range of detectable TGFs, we find

$$f_{\rm obs} = \int_{N_1}^{\infty} A N^{-\lambda} dN = \frac{A}{\lambda - 1} N_1^{1-\lambda} = \frac{\lambda_0 - 1}{\lambda_0 - \lambda} \left(\frac{N_1}{N_0}\right)^{1-\lambda} f \tag{A3}$$

or

$$f = \frac{\lambda_0 - \lambda}{\lambda_0 - 1} \left(\frac{N_1}{N_0}\right)^{\lambda - 1} f_{\text{obs}}$$
(A4)

The measured value (or upper limit) for counts/discharge excluding known TGFs becomes:

$$C = \int_{0}^{N_{0}} A N_{0}^{\lambda_{0}-\lambda} N^{1-\lambda_{0}} dN + \int_{N_{0}}^{N_{1}} A N^{1-\lambda} dN = \frac{A}{2-\lambda_{0}} N_{0}^{2-\lambda} + \frac{A}{2-\lambda} (N_{1}^{2-\lambda} - N_{0}^{2-\lambda})$$
$$= N_{0} \frac{(1-\lambda_{0})(1-\lambda)}{\lambda_{0}-\lambda} \left(\frac{1}{2-\lambda_{0}} + \frac{1}{\lambda-2} \left[1 - \left(\frac{N_{1}}{N_{0}}\right)^{2-\lambda}\right]\right) f$$
(A5)

For a chosen λ and λ_0 (neither is constrained by the stacking analysis) equations A4 and A5 together can be solved numerically to find the unknown break N_0 and the total fraction of discharges containing a TGF belonging to the distribution, f.

We also present a model, after Østgaard et al. [2012], where the index $\lambda = 2.3$ breaks to a harder index (they estimated $\lambda_0 = 1.7$) just at the detectability threshold of *RHESSI* (N_1) before cutting off abruptly at a lower number of counts, which we call N_2 , determined by the 95% upper limits given in Table 1. In this scenario,

$$\frac{dn}{dN} = \begin{cases} 0 & N < N_2\\ AN_1^{\lambda_0 - \lambda} N^{-\lambda_0} & N_2 < N < N_1\\ AN^{-\lambda} & N > N_1 \end{cases}$$
(A6)

$$A = f \left[\frac{N_1^{1-\lambda}}{1-\lambda_0} \left(\frac{\lambda_0 - \lambda}{1-\lambda} - \left(\frac{N_2}{N_1} \right)^{1-\lambda} \right) \right]^{-1}$$
(A7)

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$$f = \frac{1}{1 - \lambda_0} \left[(\lambda - \lambda_0) + (1 - \lambda) \left(\frac{N_2}{N_1} \right)^{1 - \lambda_0} \right] f_{\text{obs}}$$
(A8)

$$C = \frac{AN_1^{\lambda_0 - \lambda}}{2 - \lambda_0} \left(N_1^{2 - \lambda_0} - N_2^{2 - \lambda_0} \right)$$
(A9)

For both types of model above, although we have chosen a single value for detectability 767 threshold N_1 , it varies with time for a number of reasons, including variation of gamma-ray 768 background as a function of the orbital position of RHESSI and the changes in RHESSI's 769 efficiency for detecting TGF gammas due to radiation damage of its detectors [Grefenstette 770 et al., 2009]. In that paper, we chose a hard minimum of 17 counts in a TGF (before 771 background subtraction). This helped keep false positive detections out of the first catalog, 772 but also caused a lot of events to be missed [Gjesteland et al., 2012; Østgaard et al., 2015]. 773 Figure 12 shows the distribution of the number of background-subtracted counts in 774 the new catalog used here. The new TGF detection algorithm, which is still being refined, 775 uses true Poisson statistics, as was done by Gjesteland et al. [2012] but not Grefenstette 776 et al. [2009], but also searches independently for significant excesses over a range of of 777 time scales (from 60 μ s to 30 ms). The number of counts per TGF is a continuous rather 778 than discrete variable because the background for each TGF, which is averaged over a 779 long interval nearby and is not an integer, is subtracted. The binning shown is at 0.25780 counts, rebinned where necessary to get at least 10 TGFs in each bin before fitting. 781

In order to find an effective average threshold number of counts, N_1 , for inclusion in the data set, we fit the data in Figure 12 to the following function:

$$\frac{dn}{dN} = \begin{cases} P_0 N^{-2.3} \left[\frac{1}{2} \operatorname{erf} \left(\frac{(N-P_1)}{P_2} + 1 \right) \right] & N < P_4 \\ P_0 N^{-2.3} \left[\frac{1}{2} \operatorname{erf} \left(\frac{(N-P_1)}{P_2} + 1 \right) \right] \left[e^{\left(-\frac{(N-P_4)}{P_3} \right)} \right] & N \ge P_4 \end{cases}$$
(A10)

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with P_0 through P_4 being free parameters. This is the expected power law of index 782 2.3 [Østgaard et al., 2012; Tierney et al., 2013; Marisaldi et al., 2014] with a cutoff in 783 the form of an error function on the low end due to instrumental sensitivity (including 784 variable background) and a steepening on the high end in the form of an exponential 785 due to deadtime at high count rates. The forms of these two cutoffs are empirical, not 786 theoretically motivated. The fit is good, with a χ^2 value of 111 for 124 degrees of freedom. 787 The values of the parameters of the fit and their 1σ errors are: $P_0 = (3.97 \pm 0.12) \times 10^4$, 788 $P_1 = (14.60 \pm 0.20), P_2 = (4.44 \pm 0.19), P_3 = (17.3 \pm 1.5), \text{ and } P_4 = (30.4 \pm 1.2).$ We 789 compare the fitted function with and without its low-count cutoff to find the effective 790 threshold point N_1 where the same number of TGFs above the threshold are missed as 791 there are TGFs below the threshold picked up. This effective threshold N_1 is 13.625 counts. 792

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Table 2. Number of counts at the spectral break and percent of lightning creatingTGFs of any intensity

Model	Counts at break	$\% \ {\rm TGFs}$	Counts at break	% TGFs
	(N_0) , group I	group I	(N_0) , group I+II	group I+II
$\lambda = 2.3, \lambda_0 = \text{cutoff}$	4.32	$0.23 \ / \ 0.23 \ ^{\rm a}$	2.56	0.44 / 0.44
$\lambda = 2.3, \lambda_0 = -1$	5.88	0.25 / 0.25	3.49	0.48 / 0.48
$\lambda = 2.3, \lambda_0 = 0$	6.82	0.28 / 0.28	4.04	$0.56 \ / \ 0.56$
$\lambda = 2.3, \lambda_0 = 0.5$	7.85	0.37 / 0.36	4.66	$0.72 \ / \ 0.72$
$\lambda = 2.3, \lambda_0 = 0.9$	9.56	1.11 / 0.68	5.67	2.18 / 1.30
$\lambda = 2.3, \lambda_0 = 0.99$	10.18	9.56 / 0.88	6.04	18.84 / 1.65
Østgaard (see text)	2.20	0.29	0.37	1.11

^a The percentage before the slash includes all TGFs in the distribution down to zero luminosity; the percentage after the slash includes only those > 10^{-4} of a threshold TGF (1.36×10^{-3} RHESSI counts).



Figure 1. Solid curve: differential distribution of cloud top height for tropical thunderstorms over land, adapted from *Ushio et al.* [2001]. Dashed curve: the same, multiplied by the flash rate prescription of *Price and Rind* [1992] to show the lack of significant extra lightning in low-altitude storms.

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Figure 2. Histogram of relative time delays between a TGF in the catalog used here and a WWLLN sferic within 700 km. The background level due to chance coincidences is shown in blue-green. The three groups of relative timing are from -2 to +2 ms time difference (group I), between 2 and 10 ms in absolute time difference (group II), and with the TGF leading WWLLN by 200–800 ms (group III). The number in parentheses by each group is the excess above the background level, which is measured using time differences of 5–30 seconds, where no real matches are expected.



Figure 3. Surface density of TGFs as a function of radial distance from the *RHESSI* subsatellite point (upper x axis), including 477 events from 2004 to 2012 with a match to a WWLLN discharge within 5 ms and 1000 km. The lower x axis represents the angle between an upward ray at the position of the WWLLN discharge and a ray directed from the WWLLN discharge point to *RHESSI*. The fit in red is to an error function (see text).



Figure 4. Stacked histograms of gamma-ray arrival times centered on the expected arrival time of a signal from each WWLLN discharge, with 1 ms binning. Top: summed over the 216 discharges within 10 ms and 300 km of an independently detected *RHESSI* TGF. Bottom: summed over the 432,342 discharges within 300 km of *RHESSI*'s subsatellite point with no known TGF within 1.25 s.



Figure 5. As Figure 4 for a radial distance range of 0–700 km, with 461 discharges included in the upper panel and 2,338,292 in the lower.



Figure 6. Upper limits (95% confidence) on the average allowed gamma-ray brightness of WWLLN discharges from 0–300 km expressed as a function of the fraction of such discharges assumed to contribute. Red: gammas averaged over ± 2 ms of the WWLLN event ("group I" of Figure 2). Blue: gammas averaged over ± 10 ms of the WWLLN event ("groups I+II" of Figure 2). Purple: gammas averaged over all time differences that generally relate known TGFs with WWLLN events ("groups I+II+III" or ± 10 ms plus 200–800 ms with the gammas leading). Solid lines assume all WWLLN events are of interest. Dashed lines assume only 58% of WWLLN events (the approximate number that are +IC lightning) are of interest as potential gamma-ray producers.



Figure 7. *RHESSI* counts per WWLLN flash as a function of the ground distance between the WWLLN flash position and the *RHESSI* subsatellite point. Top: Gammas summed within ± 2 ms of the WWLLN event. Middle: gammas summed between 2 and 10 ms in either direction of the WWLLN event. Bottom: Gammas summed between 200 and 800 ms before the WWLLN event. In the top panel, the red points include the counts from the known (independently detected) TGFs as well as the rest of the WWLLN flashes. The blue curves represent, with arbitrary normalization, the expected falloff from a TGF produced at 13 km altitude with the narrow and broad angular distributions described in the text.

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Figure 8. As Figure 7 but each panel includes only "group I" *RHESSI* counts (within ± 2 ms of the WWLLN event), with the middle and bottom panels containing only counts > 500 keV and < 500 keV, respectively.



Figure 9. Broken power law TGF differential intensity distributions giving the known rate of TGFs above the mean *RHESSI* detection threshold, with differential power law index 2.3, and an integral of counts below that threshold equal to the 95% confidence upper limit for 0–300 km from the stacking analysis. Top: *RHESSI* data summed within ± 2 ms of the WWLLN event only. Bottom: *RHESSI* data summed within ± 10 ms of the WWLLN event. The colors black, purple, blue, green, orange, and red represent, in that order, the first six rows of Table 2. The dotted line is the hardening index suggested by \emptyset stgaard et al. [2012] (see text) shown in the last row of Table 2.

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for known individual TGFs, 13.625 counts.

Figure 10. Number of *RHESSI* counts at the power-law break (top) and percentage of WWLLN flashes encompassed by the entire distribution (bottom), consistent with the 95% upper limit on *RHESSI* counts simultaneous with WWLLN within ± 2 ms ("group I"), for the 0–300 km radial distance range, as a function of all power law indices explored above (λ) and below (λ_0) the break. In the bottom panel, the color in the upper left of each box represents all TGFs in the distribution, no matter how faint, while the color in the lower right represents only those TGFs above 1.36×10^{-3} *RHESSI* counts (see text). In the range not filled in, the number of counts at the break exceeds the effective threshold D R A F T D R A F T D R A F T



Figure 11. As Figure 10, but for all *RHESSI* counts within ± 10 ms ("groups I+II").



Figure 12. Differential distribution of the number of *RHESSI* counts in the sample of known TGFs from the current algorithm. The data were fit with a model consisting of a power law of index $\lambda = 2.3$ (purple curve) with cutoffs at the low end (red) to represent the sensitivity limit and the high end (blue) to represent the presumed effect of dead time.