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Intestinal Virome Signature Associated With Severity of Nonalcoholic Fatty Liver Disease

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Abstract

Background & Aims: Alterations in the gut microbiome have been associated with the severity of nonalcoholic fatty liver disease (NAFLD). These studies focused exclusively on the bacteria in the microbiome; we investigated changes in the viral microbiome (virome) in patients with NAFLD.

Methods: In a prospective, cross-sectional, observational study, we extracted RNA and DNA virus-like particles from fecal samples from 73 patients with NAFLD: 29 patients had a NAFLD activity score (NAS) of 0–4, 44 patients had a NAS of 5–8 or cirrhosis (NAS 5–8/LCI), 37 patients had F0–F1 fibrosis, and 36 patients had F2–F4 fibrosis. As controls, 9 subjects without liver disease and 13 patients with mild primary biliary cholangitis were included in the analysis. We performed shotgun metagenomic sequencing of virus-like particles.

Results: Patients with NAFLD and NAS 5–8/LCI had a significant decrease in intestinal viral diversity compared with patients with NAFLD and NAS 0–4 or controls. The presence of more advanced NAFLD was associated with a significant reduction in the proportion of bacteriophages (phages) compared with other intestinal viruses. Using multivariate logistic regression analysis with leave-1 out cross validation, we developed a model, including a viral diversity index and simple clinical variables, that identified patients with NAS 5–8/LCI with an area under the curve of 0.95 (95% CI, 0.91–0.99) and F2–F4 fibrosis with an area under the curve of 0.88 (95% CI, 0.80–0.95). Addition of data on viral diversity significantly improved multivariate models, including those based on only clinical parameters or bacterial diversity.

Conclusions: In a study of fecal viromes from patients with NAFLD and controls, we associated histologic markers of NAFLD severity with significant decreases in viral diversity and proportion of bacteriophages. We developed a model based on fecal viral diversity and clinical data that identifies patients with severe NAFLD and fibrosis more accurately than models based only on clinical or bacterial data.

Keywords

microbiota; biomarker; prognostic factor; progression

INTRODUCTION

Non-alcoholic fatty liver disease (NAFLD) is a global health burden with 25% of people in Western countries being affected¹. Within NAFLD, patients with a higher NAFLD activity score (NAS) and higher degrees of liver fibrosis on liver histology are at higher risk for disease progression, the development of hepatocellular carcinoma and liver-related mortality^{2, 3}. While several non-invasive approaches have already been developed and validated for prediction of liver fibrosis, liver biopsy is still required for assessing grades of steatosis, inflammation and ballooning^{4, 5}. Currently, there are no approved drug treatments for NAFLD and lifestyle-intervention is the main therapeutic approach. Due

to the dramatically increasing incidence of NAFLD, new diagnostic tools to non-invasively assess the degree of histological disease severity as well as new potential treatment targets are urgently needed.

Patients with NAFLD show alterations in the intestinal microbiota, which might contribute to disease development and progression⁶, and features of NAFLD can even be transmitted by fecal microbiota transfer^{7, 8}. However, microbiome research in NAFLD has almost exclusively focused on intestinal bacteria although immense populations of intestinal viruses reside in the gut and interact with other microorganisms and the human host^{9, 10}. The majority of intestinal viruses are bacteriophages (phages), viruses that can specifically infect bacteria¹¹. Phages are the most abundant but also the least understood microbes in the gut¹². Phages may serve as important reservoirs of genetic diversity in the microbiota by acting as vehicles for the horizontal transfer of virulence, antibiotic resistance and metabolic determinants among bacteria¹³. Bacterial acquisition of phage genes could modify the functional properties of the microbiota, thereby substantially impacting host metabolism and immunity¹⁴. Besides phages, mammalian viruses and viruses that infect other microorganisms (archaea, fungi) or plants, are present^{15, 16}. Alterations in the intestinal virome have been described in inflammatory bowel disease¹⁷⁻¹⁹, colorectal cancer²⁰ and the development of type 1 diabetes²¹.

The aim of this study was to characterize the gut virome in a well characterized NAFLD cohort and to explore associations between features of the gut virome with different histological disease stages.

METHODS

Patients.

Patients with NAFLD were prospectively enrolled between March 2015 and December 2018 in the outpatient liver department of the Clinic for Gastroenterology and Hepatology, University Hospital of Cologne, Germany, as previously described²². In this study, a total of 73 patients with NAFLD (64 biopsy-proven patients with NAFLD and 9 patients with NAFLD diagnosed with cirrhosis based on characteristic clinical findings (see criteria below)) were included. Inclusion criteria for patients with NAFLD were the presence of 5% fat in histological analysis of liver biopsy, daily alcohol consumption of less than 10g in women and less than 20g in men, absence of steatogenic drugs and absence of other diseases causing secondary steatosis such as human immunodeficiency virus infection, celiac disease, inflammatory bowel disease and absence of other chronic liver diseases, e.g. viral hepatitis, autoimmune hepatitis, toxic liver injury, alcoholic steatohepatitis, cholestatic liver disease, Wilson's disease and hereditary hemochromatosis.

Exclusion criteria were oral- or intravenous antibiotic treatment within the last 6 months prior to the study, known malignancy, pregnancy, and age <18 years. Further exclusion criteria were ongoing successful lifestyle modifications defined as more than 5% loss of body weight within the last three months prior to enrollment, or current or prior participation in an interventional non-alcoholic steatohepatitis (NASH) study²². Treatment recommendations for study participants did not differ from standard of care, which

included overall lifestyle recommendations as indicated in the current European guideline²³. All blood samples for laboratory analyses were collected under fasting conditions. Anthropometric measurements were carried out by trained physicians or research assistant nurses.

Type 2 diabetes was defined as glycated hemoglobin (HbA1c) $\geq 6.5\%$ and/or fasting glucose ≥ 126 mg/dL and/or use of antidiabetic medications. Metabolic syndrome was defined following the International Diabetes Foundation criteria²⁴. Arterial hypertension was defined as office blood pressure $\geq 140/90$ mmHg on ≥ 2 measurements during ≥ 2 occasions or antihypertensive drug treatment.

13 patients with primary biliary cholangitis (PBC), were enrolled in the outpatient liver department of the Clinic for Gastroenterology and Hepatology, University Hospital of Cologne, Germany. PBC diagnosis followed the most recent guidelines (AASLD, EASL) at any time. All patients with PBC were under stable treatment with ursodeoxycholic acid. Nine normal weight subjects without any relevant chronic disease and without increased alcohol consumption (social drinkers who consumed alcohol less than 20 g/day) (controls) were enrolled in Cologne, Germany (n=5) and at St. Luc University Hospital, Université Catholique de Louvain, Brussels, Belgium (n=4). The protocol was approved by the local Ethics Commission and written informed consent was obtained from each patient. The study was performed in accordance with the Declaration of Helsinki.

Liver biopsies.

Liver biopsy was performed in patients with NAFLD with history of persistently elevated serum alanine aminotransferase (ALT) and/or aspartate aminotransferase (AST) of at least 6 months, to rule out potential other liver diseases than NAFLD and if there was clinical suspicion for advanced liver disease. If liver biopsy was performed, samples were evaluated by an experienced liver pathologist who was blinded for all clinical and laboratory patient data. The NASH clinical research network histological scoring system²⁵ was used to evaluate disease activity and severity. Accordingly, the NAS was obtained for each biopsy. This score is defined as the unweighted sum of the scores for steatosis, (0–3), lobular inflammation (0–3), and ballooning (0–2); thus ranging from 0 to 8^{25, 26}. Fibrosis was staged from 0–4. Fibrosis stages: 0 none, 1 perisinusoidal or periportal, 2 perisinusoidal and portal/periportal, 3 bridging fibrosis, 4 cirrhosis. Stages 1a, 1b and 1c were summarized as stage 1.

Non-invasive diagnosis of liver cirrhosis.

If the following criteria were present, patients with NAFLD were staged as histological F4 fibrosis without obtaining a liver biopsy: besides hepatic steatosis on liver imaging (ultrasound and/or magnet resonance imaging), liver imaging consistent with liver cirrhosis (e.g. nodular hepatic contour, changes in volume distribution indicating portal hypertension in the absence of portal vein thrombosis, secondary phenomena of portal hypertension such as splenomegaly, enlarged caudate lobe and left lobe lateral segment, regenerative nodules) together with clinical and laboratory signs of portal hypertension/cirrhosis (e.g. low platelets, albumin and prothrombin time, esophageal varices)²⁷.

Liver stiffness measurement.

Vibration controlled transient elastography (FibroScan, Echosens, Paris, France) was performed in fasting patients by experienced operators, blinded to all clinical patient data. At least 10 valid measurements were performed, and the median value of these measurements was reported in kilopascal (kPa). In accordance with the manufacturer's protocol, patients were first scanned using the M probe and if indicated by the equipment, patients were re-scanned using the XL probe.

Bacterial DNA extraction and 16S rRNA sequencing.

16S rRNA gene sequencing of human stool samples was performed as previously described²⁸.

Virome preparation and metagenomic sequencing.

Viral nucleic acid was extracted from fecal samples, reverse transcribed and subjected to metagenomic sequencing. For this purpose, we used the NetoVIR protocol with minor modifications²⁹. In brief, fecal samples were resuspended in phosphate-buffered saline and sequentially filtered using 0.8 µm (PES) filter (Sartorius). Any remaining DNA that was not encapsidated was degraded by treating with a mixture of benzonase (EMD Millipore) and micrococcal nuclease (New England Biolabs) followed by EDTA inactivation of DNases. The remaining supernatant was subjected to lysis and viral DNA and RNA were extracted using the QIAamp Viral RNA mini kit without carrier RNA (Qiagen). Amplification was performed using a modified WTA2 (Complete Transcriptome Amplification Kit) protocol from Sigma Aldrich. Library preparation was performed using an adjusted protocol for the Nextera XT DNA Library Preparation kit from Illumina. The size of amplified viral products was determined using a High Sensitivity DNA Kit on a Bioanalyzer (Agilent Technologies), and concentration was measured by High Sensitivity Double Stranded DNA kit on a Qubit Fluorometer (Thermo Fisher Scientific). The sterile water control contained no detectable DNA, indicating no contamination of exogenous DNA during the analysis. Viral DNA from each sample was pooled into equimolar proportions and sequenced on the Illumina platform at the UCSD IGM Genomics Center.

Virome analysis.

Raw sequence reads were deduplicated using Clumpify (<https://sourceforge.net/projects/bbmap/>) followed by trimming and filtering for low-quality and contaminating human reads using Kneaddata³⁰ with the GRCh38_v25 human genome reference. Reads were aligned and assigned taxonomy using the PathSeq pipeline (distributed in GATK v4.1.3.0)^{31, 32}. Default settings were used, including --min-score-identity and --identity-margin, which were 0.90 and 0.02, respectively. Also, by default, PathSeq will discard alignments if both read pairs do not match the same organism (<https://gatk.broadinstitute.org/hc/en-us/articles/360036717051-PathSeqScoreSpark>). An inhouse Perl script was made (pathseq2taxsummary.pl) to convert PathSeq concatenated scores.txt files into a MOTHUR³³ style .taxsummary file. The perl script is available at <https://github.com/JCVenterInstitute/pathseq2taxsummary>. Read counts, allowing ambiguity, were imported into R, data were normalized, and richness and diversity were calculated.

Statistical analysis.

Results are expressed as median and range unless stated otherwise. Two groups were compared using the Student's t-test or Mann-Whitney-Wilcoxon rank-sum test for highly skewed distributions. Three or more groups were compared using one-way ANOVA with Tukey's post-hoc test or the Kruskal-Wallis test with Dunn's post-hoc test for highly skewed distributions. Categorical variables were compared using the Fisher's exact test. All statistical tests were two-sided. Viral diversity and richness were calculated including all detected viruses (phages, mammalian viruses and other viruses, including plant/food derived viruses) using the "phyloseq" package in R³⁴. The average proportion of phages, mammalian viruses and other viruses, including plant/food derived viruses in between groups, was calculated at the family level. Relative abundances were calculated within each virus category (phages vs mammalian viruses vs other viruses) for each taxonomic level. Single phages were analyzed at the species level and summarized according to their hosts. The relative abundance for these summarized phages was calculated within the phages with a known bacterial host. For this purpose, all sequence reads of phage species belonging to one host, e.g. all reads belonging to any of the 47 detected *Lactococcus* phages were summarized to a new variable "*Lactococcus* phages". The presence of a NAS 5 and F2–F4 fibrosis have been associated with worse long-term outcomes^{2, 3, 35}. Therefore, patients with NAFLD were grouped according to the NAS in a group with less severe disease (NAS 0–4) versus more severe disease (NAS 5–8 or presence of liver cirrhosis (LCI)). A NAS of 5–8 indicates definitive NASH²⁵. Furthermore, patients with NAFLD and F0–F1 fibrosis and F2–F4 fibrosis were compared. We used Random Forest feature selection to determine features (viral diversity, viral richness and intestinal viruses at species level) that discriminate patients with a NAS of 0–4 (NAS 0–4) from patients with a NAS of 5–8 or cirrhosis (NAS 5–8/LCI) and patients with F0–F1 fibrosis from patients with F2–F4 fibrosis. Multivariate logistic regression analyses were performed to develop prediction models that incorporate clinical features alone or in combination with gut microbial features, to non-invasively predict NAS 5–8/LCI or F2–F4 fibrosis on liver biopsy. Receiver operating characteristic (ROC) analysis was performed with the area under the curve (AUC) to compare all non-invasive approaches³⁶. Further, the likelihood ratio test as implemented in the "rms" package for R³⁷ was used to determine, if adding viral diversity to clinical parameters significantly improves the diagnostic accuracy in multivariate models. Leave-one-out cross validation (LOOCV) was used to validate model accuracy. Under this approach, the prediction model is trained on all the data except for one sample and the prediction is validated in this left-out sample. This procedure is repeated k times (whereas k is the total sample size) and the average error rate is computed for model evaluation³⁸. For all analyses, P values of .05 or less were considered to be statistically significant. Statistical analysis was performed using R statistical software, R version 3.5.1³⁹. The R script is available at <https://github.com/SchnablLab/ViromeNAFLD>.

Data availability.

Raw sequences from 16S rRNA gene sequencing were registered at NCBI under BioProject PRJNA540738. The specific BioSample IDs corresponding to samples used in this study can be found in Supplementary Table 4. Raw virome sequence reads are publicly accessible from the NCBI, through Bioproject number PRJNA622386.

RESULTS

The median age of patients with NAFLD was 55.6 years (range 20.2–79.6 years), 51% were female and the median body mass index (BMI) was 30 kg/m² (range 22–53 kg/m²). 40% had a NAS of 0–4, and 60% had a NAS of 5–8 or were non-invasively staged as cirrhotic. The main clinical differences between patients with NAS 0–4 and patients with NAS 5–8/LCI were an older age ($P = .003$), a higher BMI ($P = .031$), higher proton pump inhibitor (PPI) use ($P = .041$), and higher AST ($P < .001$) and ALT levels ($P = .002$) in patients with NAFLD and NAS 5–8/LCI (Table 1). When we compared patients with NAFLD and F0–F1 fibrosis with those who were staged as F2–F4 fibrosis, we observed an older age ($P < .001$), higher prevalence of type 2 diabetes ($P = .012$), higher PPI use ($P = .002$) and several alterations in laboratory parameters in patients with NAFLD and a higher degree of liver fibrosis (Supplementary Table 1). Normal weight subjects and patients with PBC and mild disease (based on transient elastography) were used as control groups. Eight patients with PBC were overweight and five had steatosis on liver imaging (Supplementary Table 2).

We detected a total of 420 different viral species in the NAFLD cohort. Many of these individual viral species were detected in less than 20% of all fecal samples, while 19 viral species were detected in at least 50% of all samples and 27 species were shared in 20%–50% of the samples (Fig. 1). This indicates that the intestinal virome is individual specific.

Decreased viral diversity and compositional alterations in the gut virome of patients with NAFLD and NAS 5–8/LCI

We compared the viral diversity among patients with NAFLD and different disease stages, controls and patients with PBC and mild liver disease. Patients with NAFLD and NAS 5–8/LCI had a significantly lower viral diversity as measured by the inverse Simpson index when compared with patients with NAFLD and NAS 0–4, patients with mild PBC or controls ($P = .005$, Fig. 2A). Phages were the most abundant viruses in proportion to mammalian viruses, and other viruses (including plant/food derived viruses) (Fig. 2B). Fecal samples from patients with NAFLD and NAS 5–8/LCI contained significantly less phages, in proportion to other viruses, compared with fecal samples from patients with NAFLD and NAS 0–4, patients with mild PBC or controls (phages; NAS 0–4 vs. NAS 5–8/LCI: $P = .046$, controls vs. NAS 5–8/LCI: $P = .038$, PBC vs. NAS 5–8/LCI: $P = .038$, other comparisons not significant, Fig. 2B).

In patients with NAFLD, we observed significant correlations between various metabolic and immunological parameters with the relative abundance of viral taxa. Several *Leuconostoc* phages were associated with a lower BMI, lower blood glucose and HbA1c levels whereas *Escherichia* and *Enterobacteria* phages were associated with increased blood glucose levels (Supplementary Fig. 1).

To identify features of the gut virome that discriminate patients with NAFLD and NAS 5–8/LCI from patients with NAFLD and NAS 0–4, we performed Random Forest feature selection under consideration of clinical variables that might be associated with more disease activity as well. Twenty viral taxa were among the top 40 features, and most of them belonged to phages. Overall, most clinical features were of higher importance based on the

mean decrease in Gini index for discriminating NAS 5–8/LCI from NAS 0–4 compared with individual viral species. However, viral diversity measures were the third and fifth most important variables following a higher AST and a higher age (Fig. 2C). The 20 most important viral species predominantly belonged to *Lactococcus* phages whereas several *Lactococcus* phages were significantly less present in patients with NAFLD and NAS 5–8/LCI (Fig. 2D, Supplementary Table 3). On the other hand, *Streptococcus* phages TP-J34 were significantly more present in fecal samples from patients with NAFLD and NAS 5–8/LCI (Fig. 2D, Supplementary Table 3). We further summarized phage species according to their known bacterial host. The predominant viral species were *Lactococcus* phages that were present in almost all samples. *Escherichia*, *Enterobacteria* and *Lactobacillus* phages were more abundant in patients with NAFLD and NAS5–8/LCI but overall, phages were individual specific and several phages were only detected in a few fecal samples (Fig. 3).

Although patients with more pronounced disease activity had a higher age, we did not observe significant correlations between age and viral diversity or the proportion of phages, mammalian and plant viruses (Spearman correlation between age and viral diversity (inverse Simpson index): $R = -0.14$, $P = .25$, proportion of phages, $R = -0.082$, $P = .49$, proportion of mammalian viruses: $R = -0.088$, $P = .46$; proportion of plant/other viruses: $R = 0.1$, $P = .40$), indicating that age did not have a major impact on the results. There was no significant age difference between controls, patients with PBC and patients with NAFLD (Supplementary Table 2).

NAFLD fibrosis associates with alterations in the gut virome

We further investigated if the presence of liver fibrosis is associated with alterations in the gut virome. Patients with NAFLD and F2–F4 fibrosis had a significantly lower proportion of phages compared with patients with NAFLD and no or minimal liver fibrosis (78% mean relative abundance in F2–F4 vs 93% in F0–F1, $P < .001$; Fig. 4A). The viral diversity was decreased in fecal samples from patients with NAFLD and a higher degree of fibrosis (Fig. 4B).

Similar to what we observed in distinguishing patients with NAFLD and NAS 0–4 from patients with NAFLD and NAS 5–8/LCI, clinical features played a more important role than viral species, based on the mean decrease in Gini index, to discriminate patients with NAFLD and at least significant fibrosis from patients with NAFLD and low fibrosis. However, viral diversity and richness indices were among the top discriminating features. When we compared the top viral species, detected by Random Forest feature selection, we found that several *Lactococcus* and *Leuconostoc* phages were significantly decreased in patients with NAFLD and higher degrees of liver fibrosis whereas *Lactobacillus* phage phiAT3 was significantly increased (Fig. 4D, Supplementary Table 3). When we summarized all reads belonging to 47 detected different *Lactococcus* phages, the relative *Lactococcus* phage abundance was significantly decreased in patients with F2–F4 fibrosis compared with patients with F0–F1 fibrosis ($P = .047$), but did not reach significance when comparing patients with NAS 5–8/LCI vs. NAS 0–4 ($P = .063$).

Taken together, this data indicates that more advanced liver disease in NAFLD is associated with a lower intestinal viral diversity and compositional alterations of specific gut viral taxa.

Proton pump inhibitor use associated with changes in the virome

Among several drugs, PPI use has the strongest influence on the gut bacterial microbiome⁴⁰. Since we observed significantly more patients with NAS 5–8/LCI or F2–F4 fibrosis using PPI, we further investigated associations between PPI use and alterations in the intestinal virome. Patients with NAS 5–8/LCI and PPI use ($n = 10/44 = 22.7\%$) had a significantly ($P = .015$) less proportion of phages and a significantly ($P = .049$) higher proportion of other viruses (including plant/food derived viruses) compared with patients with NAS 5–8/LCI that did not take PPI (Supplementary Fig. 2). Similar trends were observed for patients with NAFLD and F2–F4 fibrosis, however, these changes were not significant ($P = .08$ for phages, $P = .15$ for other viruses) (Supplementary Fig. 2). This indicates, that observed differences in proportion of phages and other viruses between patients with NAS 0–4 and patients with NAS 5–8/LCI as well as patients with F0–F1 versus F2–F4 fibrosis might be in part attributable to the use of PPI. On the other hand, PPI use was not significantly associated with a lower viral diversity and importantly, adjusting the association between NAS 5–8/LCI or F2–F4 fibrosis with viral diversity for PPI use in a multivariate logistic regression model, did not affect significance (outcome: NAS 5–8/LCI, dependent: inverse Simpson index: OR .80 (95% CI 0.68–0.92), adjusted $P = .004$, outcome: F2–F4, OR 0.84 (95% CI 0.73–0.95), adjusted $P = .010$). We further adjusted the P values corresponding to heatmaps (Fig. 2D, Fig. 4D) for PPI use in multivariate logistic regression models. Most of the associations that were significantly associated with more disease severity in the univariate analysis, remained significant after adjustment for PPI (Supplementary Table 3).

Metformin use, on the other hand, was not associated with differences in the viral diversity or the proportion of phages/mammalian viruses/other viruses (data not shown).

Viral diversity improves non-invasive prediction of histological disease severity

We next performed multivariate logistic regression analyses with the aim to noninvasively detect the presence of NAS 5–8/LCI and F2–F4 fibrosis. We selected the top predictors determined by Random Forest feature selection. Age and AST alone had an AUC of 0.88 (95% CI 0.80–0.96, LOOCV error rate: 0.15) to predict the presence of NAS 5–8/LCI. Whereas adding bacterial diversity (inverse Simpson index) did not improve the model accuracy, adding the viral diversity (inverse Simpson index) significantly improved the diagnostic accuracy as compared with a model computed with age and AST alone (likelihood ratio P value $< .001$). This model (viral diversity + age + AST) had an AUC of 0.95 (95% CI 0.91–0.99, LOOCV error rate: 0.10) for the prediction of NAS 5–8/LCI (Fig. 5A).

For the detection of F2–F4 fibrosis, adding viral diversity to a model calculated based on clinical variables (age + AST + platelet counts) with or without bacterial diversity significantly increased the diagnostic accuracy, however with a higher LOOCV error rate (AUC 0.88, 95% CI 0.80–0.95, LOOCV 0.17, likelihood ratio P value (compared with the clinical model) = .001, Fig. 5B).

The prediction models, calculated based on viral diversity and clinical parameters, were evenly distributed over all individual histological parameters (grade of steatosis, inflammation, ballooning and fibrosis stage) (Fig. 5C).

Overall, this data indicates that assessing the viral diversity in fecal samples from patients with NAFLD might be helpful to non-invasively detect the presence of more severe liver disease.

Associations between phages and their bacterial host

We next investigated relationships between phages and bacterial genera in patients with NAFLD. An abundance heatmap visualizes the log₁₀ relative abundance of the 20 most abundant phages in direct relationship with their bacterial host in each individual patient.

Phage/bacteria relationship was characterized by high abundance of some phages and decreased abundances of their bacterial host and vice versa (Fig. 6). For example, *Lactococcus* phages were the most abundant phages, but the level of *Lactococcus* was comparably low. *Bacteroides* was high abundant, whereas the corresponding *Bacteroides* phages were low abundant and detected in only a few patients. On the other hand, a less stringent relationship was seen for *Streptococcus* and *Streptococcus* phages (Fig. 6). No obvious relationship was observed for several other phages with the abundance of the bacterial host. Also, viral diversity did not correlate with bacterial diversity (Spearman correlation between viral and bacterial Shannon index: $R=0.13$, $P=0.262$, and inverse Simpson index: $R=0.10$, $P=0.393$).

DISCUSSION

In this study, we show that a more pronounced disease activity in NAFLD is associated with specific alterations in the intestinal virome. We found that patients with NAFLD and NAS 5–8/LCI or F2–F4 fibrosis had a significantly lower gut viral diversity and significantly fewer phages in proportion to other viruses. We further observed that specific viral taxa, such as several *Lactococcus* phages were less frequently present in patients with more severe disease. A logistic regression model using simple clinical parameters and the gut viral diversity had a high diagnostic performance for the non-invasive detection of more severe liver disease. In our study, a decreased viral diversity was associated with NAS 5–8/LCI and fibrosis. Since there is no non-invasive biomarker available to accurately detect patients with NAS 5–8/LCI, viral diversity together with simple clinical parameters might help in the future to predict more advanced disease non-invasively.

Analyzing the viral species level, we observed an individual specific composition of the virome. The more species we detected in one patient, the higher the proportion of taxa that were shared in only up to 20% of the samples, consistent with other publications^{19, 41, 42}. Despite high individual differences in viral composition, longitudinal studies have shown a high stability of an individual's gut virome over time^{42, 43}. The high individuality might limit the utility of individual viral species as potential diagnostic or prognostic biomarker but assessing the overall viral diversity might be a broader applicable and therefore a more robust biomarker.

NAFLD is a multifactorial disease with well-known and less well understood risk factors; an older age, the presence of type 2 diabetes, increased BMI, genetic variants like the common *PNPLA3* (encoding patatin like phospholipase domain containing protein 3) p.I148M polymorphism and dietary factors are well known factors for disease development and progression¹. It has been demonstrated in several studies that patients with NAFLD, particularly those with advanced disease stages have a reduced bacterial diversity and compositional changes in the gut microbiome^{44–47}. There are several mechanisms of how alterations in the gut bacterial microbiota might modulate disease. Gut barrier dysfunction observed in patients with NAFLD can lead to microbial translocation to the liver, where microbial components such as lipopolysaccharide can induce an inflammatory cascade. Further, intestinal bacteria are the rate-limiting step in deconjugation of bile acids, produced by the liver, and to convert primary bile acids into secondary bile acids. Bile acids act as signaling molecules through binding to host nuclear and G-protein-coupled receptors, which impacts several host metabolic functions. Other potential mechanistic pathways of how the gut bacterial microbiome might affect NAFLD include synthesis of short-chain fatty acids, an increased energy harvest by gut bacteria as well as endogenous ethanol production⁴⁸.

In this interplay, the gut virome might play a role by either affecting the host directly (for instance through causing host immune responses) or by influencing the bacterial microbiota. One can speculate that a reduced viral diversity could lead to a quantitative increase of certain bacteria in patients with NAFLD, which then might contribute to modulation of disease. Similar to patients with NAFLD and more pronounced disease, patients with *Clostridium difficile* infections have a significantly lower viral diversity as compared with controls⁴⁹. The treatment response in fecal microbiota transfer performed in these patients, was also associated with the donor viral diversity, in which a high viral diversity in the donor feces was associated with favorable effects⁴⁹. Transfer of the fecal virome from mice with a lean phenotype into mice fed a high-fat diet, resulted in reduced weight gain and amelioration of metabolic parameters, which was accompanied by shift in the gut bacterial microbiota composition⁵⁰. Although this data indicates an active role of phages shaping the bacterial microbiome, causal relationships in the complex human situation are elusive. Alterations in the gut virome and particularly in the gut “phageome” might also govern the taxonomic composition of the bacterial microbiota or might be primarily driven by the host disease. It is unclear what factors are driving a reduced viral diversity. Similar to the bacterial microbiome, several factors, such as environmental, dietary, genetic as well as medications might play a role in virome composition. Longitudinal studies in humans are needed to further characterize the interaction between bacteria and phages in patients with NAFLD and preclinical studies are required to determine the contribution of phages to disease progression.

The strength of our study is the very well characterized study cohort with available liver histology. Assessing the intestinal virome is challenging and we cannot rule out that a possible DNA amplification bias and bacterial DNA contamination might have resulted in a distorted taxonomic profiling of fecal samples. An additional shortcoming of this study is the low number of known viral genomes in public databases⁴³. Improvements in sequencing, bioinformatics and probably the use of phage culturing and phage proteomics techniques are needed to further investigate this viral “dark matter”⁴³.

In conclusion, we show that histological disease severity of NAFLD is associated with changes in the gut virome. While mechanistic studies are needed to investigate a potential causal role in disease progression, the intestinal virome could contain information to identify patients with NAFLD at risk for future liver-related complications.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Conflicts of interest: B.S. has been consulting for Ferring Research Institute, Intercept Pharmaceuticals, HOST Therabiomics and Patara Pharmaceuticals. B.S.’s institution UC San Diego has received grant support from BiomX, NGM Biopharmaceuticals, CymaBay Therapeutics, Synlogic Operating Company and Axial Biotherapeutics. R.L. serves as a consultant or advisory board member for Arrowhead Pharmaceuticals, AstraZeneca, Boehringer Ingelheim, Bristol-Myer Squibb, Cirius, CohBar, Galmed, Gemphire, Gilead, Glympse bio, Intercept, Ionis, Merck, Metacrine, Inc., NGM Biopharmaceuticals, Novo Nordisk, Pfizer, and Viking Therapeutics. In addition, his institution has received grant support from Allergan, Boehringer-Ingelheim, Bristol-Myers Squibb, Eli Lilly and Company, Galmed Pharmaceuticals, Genfit, Gilead, Intercept, Janssen, Madrigal Pharmaceuticals, NGM Biopharmaceuticals, Novartis, Pfizer, pH Pharma, and Siemens. He is also co-founder of Liponex, Inc.

Abbreviations:

ALT	alanine aminotransferase
AST	aspartate aminotransferase
AUC	area under the curve
BMI	body mass index
HbA1c	glycated hemoglobin
LCI	liver cirrhosis
LOOCV	leave one out cross validation
NAFLD	non-alcoholic fatty liver disease
NAS	NAFLD activity score
phages	bacteriophages
PBC	primary biliary cholangitis
PPI	proton pump inhibitor

ROC receiver operating curve

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What you need to know:**Background and Context:**

Alterations in the gut microbiome have been associated with the severity of nonalcoholic fatty liver disease (NAFLD), but these studies focused only on change in bacteria; little is known changes in the viral microbiome (virome) in patients with NAFLD.

New Findings:

In a study of fecal viromes from patients with NAFLD and controls, the authors associate histologic markers of NAFLD severity with significant decreases in viral diversity and proportion of bacteriophages. They developed a model based on fecal viral diversity and clinical data that identifies patients with severe NAFLD and fibrosis.

Limitations:

This was an association study—further studies are needed to determine whether changes in intestinal viruses are a cause or effect of NAFLD, and how these changes might come about during development of fatty liver.

Impact:

Fecal viromes might be analyzed to identify patients at risk for severe disease and also to identify therapeutic targets.

Lay Summary:

This study identified changes in the population of viruses in fecal samples of patients with fatty liver that might contribute to development of disease or be used to identify patients with more severe disease.

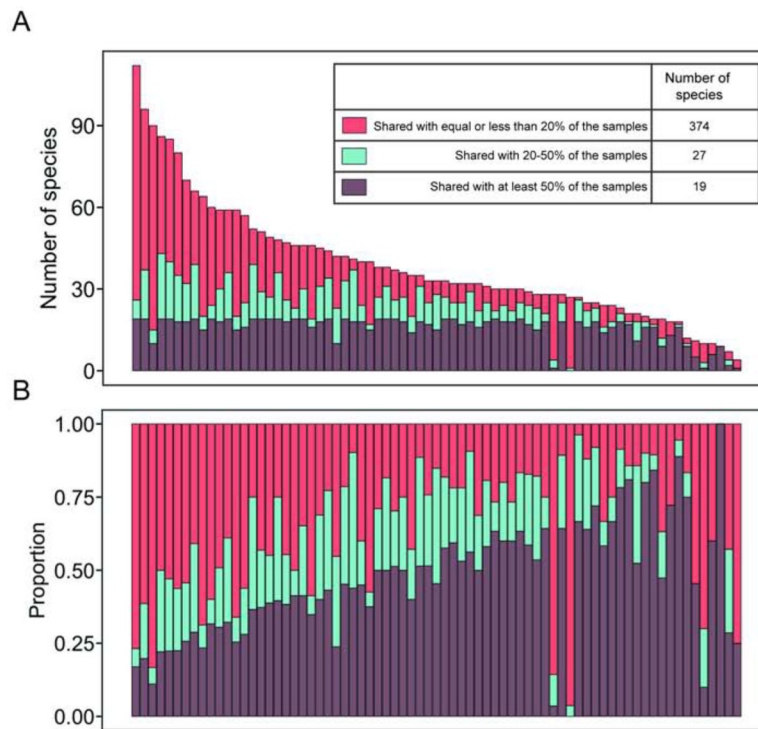


Figure 1. Distribution of shared viral species.

420 detected viral species in 73 patients with NAFLD were assigned into three categories based on overlapping characteristics among samples. 19 species were detected in at least 50% of the samples, 27 in 20%–50% of the samples and the remaining 374 species were detected in equal or less than 20% of the samples and therefore more unique to each patient. In panel A and B, one column represents one patient. (A) Absolute number, (B) relative number of detected species in relationship to sharing characteristics.

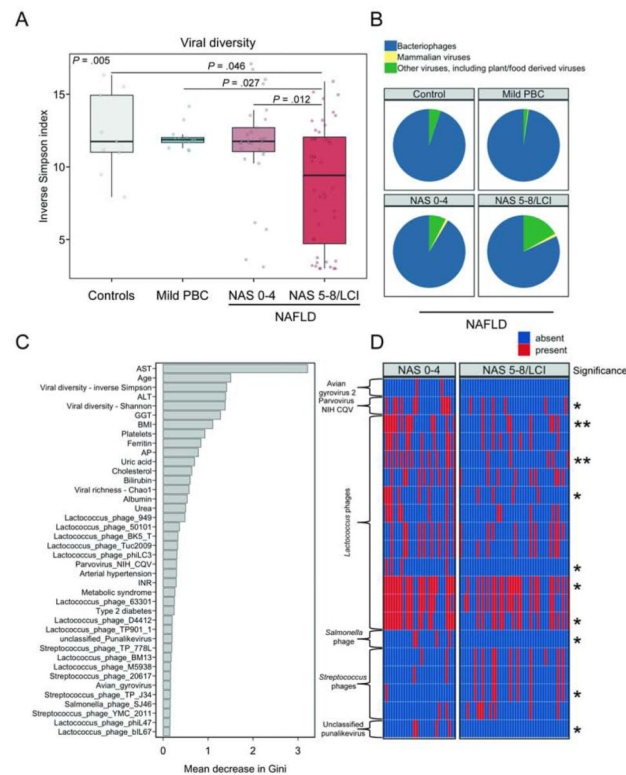


Figure 2. Altered fecal virome composition in patients with NAS 5-8/LCI.

(A) Viral diversity based on the inverse Simpson index. (B) Mean relative abundance of intestinal bacteriophages (phages), mammalian viruses, and other viruses, calculated at the family level. In panel A–B, 29 patients with NAFLD staged as NAS 0–4 and 44 patients with NAFLD staged as NAS 5–8/LCI were compared with 9 controls and 13 patients with mild primary biliary cholangitis (PBC). (C) Random Forest feature selection including the presence/absence of viral taxa at species level together with selected clinical features to discriminate NAS 5–8/LCI from NAS 0–4. (D) Presence/absence heatmap of the relative abundance of viral taxa among the top 40 features identified in Random Forest feature selection. Stars on the right side of the panel indicate significance whereas one star (*) denotes a P value equal or below .05 but higher than .01. Unadjusted and P values adjusted for proton pump inhibitor use can be found in Supplementary Table 3. ALT, alanine aminotransferase; AST, aspartate aminotransferase; AP, alkaline phosphatase; BMI, body mass index; GGT, gamma-glutamyltransferase; INR, international normalized ratio; HDL, High-density lipoprotein; LCI, liver cirrhosis; LDL, Low-density lipoprotein; NAS, NAFLD activity score.

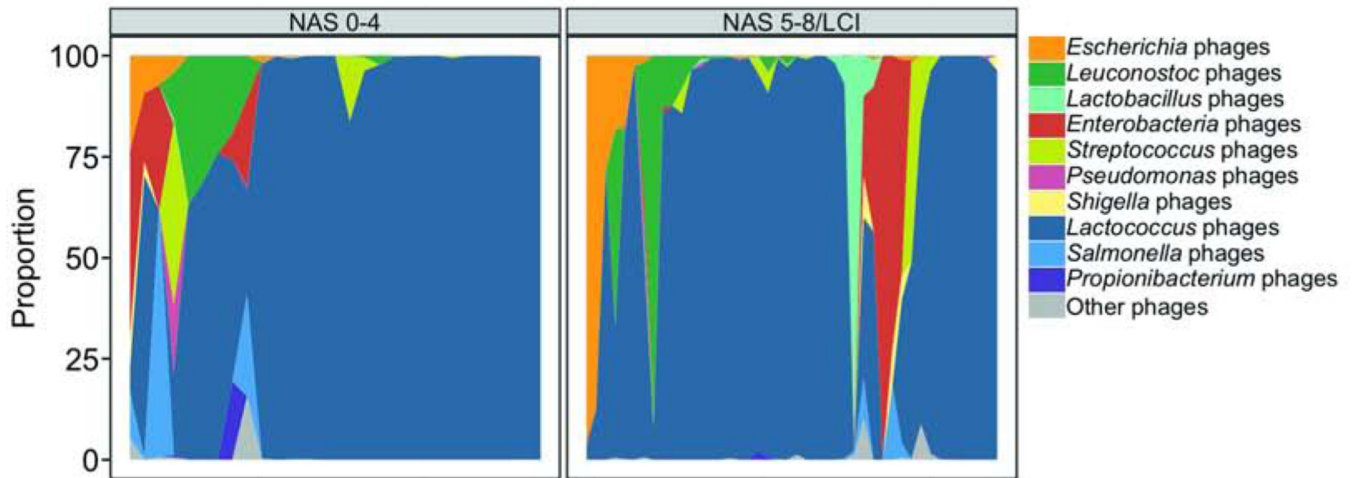


Figure 3. Proportion of bacteriophage species summarized by their bacterial host.

Single phages were analyzed at the species level and summarized according to their hosts.

The mean relative abundance for these summarized phages was calculated within the phages with a known bacterial host. The x-axis represents individual patients, grouped by the presence of NAS 5–8/LCI. Patients were further grouped according to the relative abundance of specific phages. NAS, NAFLD activity score; LCI, liver cirrhosis.

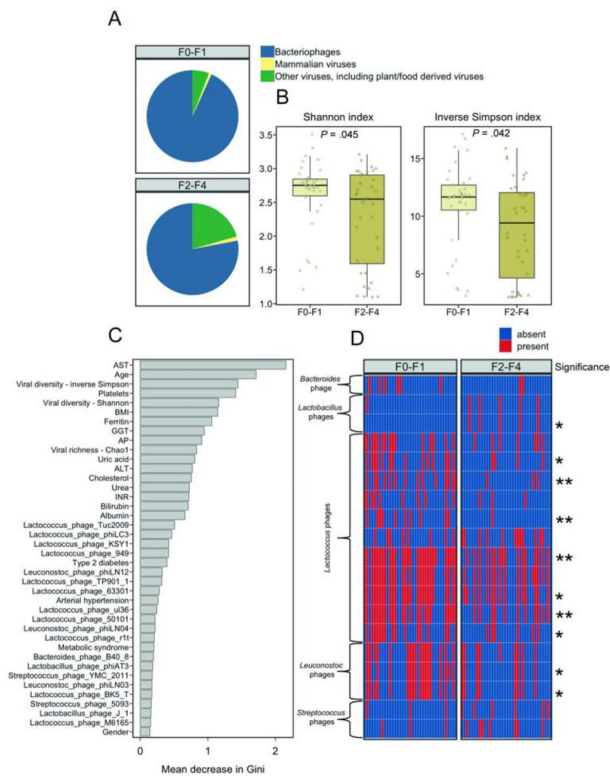


Figure 4. Altered fecal virome composition in patients with NAFLD and fibrosis.

(A) Mean relative abundance of intestinal bacteriophages (phages), mammalian viruses, and other viruses, calculated at the family level, in fecal samples from patients with NAFLD and F0–F1 fibrosis or patients with NAFLD and F2–F4 fibrosis. (B) Viral diversity based on the Shannon and inverse Simpson indices. (C) Random Forest feature selection including the presence/absence of viral taxa at species level together with selected clinical features to discriminate NAFLD F0–F1 from NAFLD F2–F4. (D) Presence/absence heatmap of the relative abundance of viral taxa among the top 40 features identified in Random Forest feature selection. Stars on the right side of the panel indicate significance whereas one star (*) denotes an adjusted P value equal or below .05 but higher than .01, two stars (**) denote an adjusted P value equal or lower than .01 but higher than .001. Unadjusted and P values adjusted for proton pump inhibitor use can be found in Supplementary Table 3. In panel A–D, 37 patients were staged as F0–F1 fibrosis and 36 patients were staged as F2–F4 fibrosis. ALT, alanine aminotransferase; AST, aspartate aminotransferase; AP, alkaline phosphatase; BMI, body mass index; GGT, gamma-glutamyl-transferase; INR, international normalized ratio; HDL, High-density lipoprotein; LDL, Low-density lipoprotein.

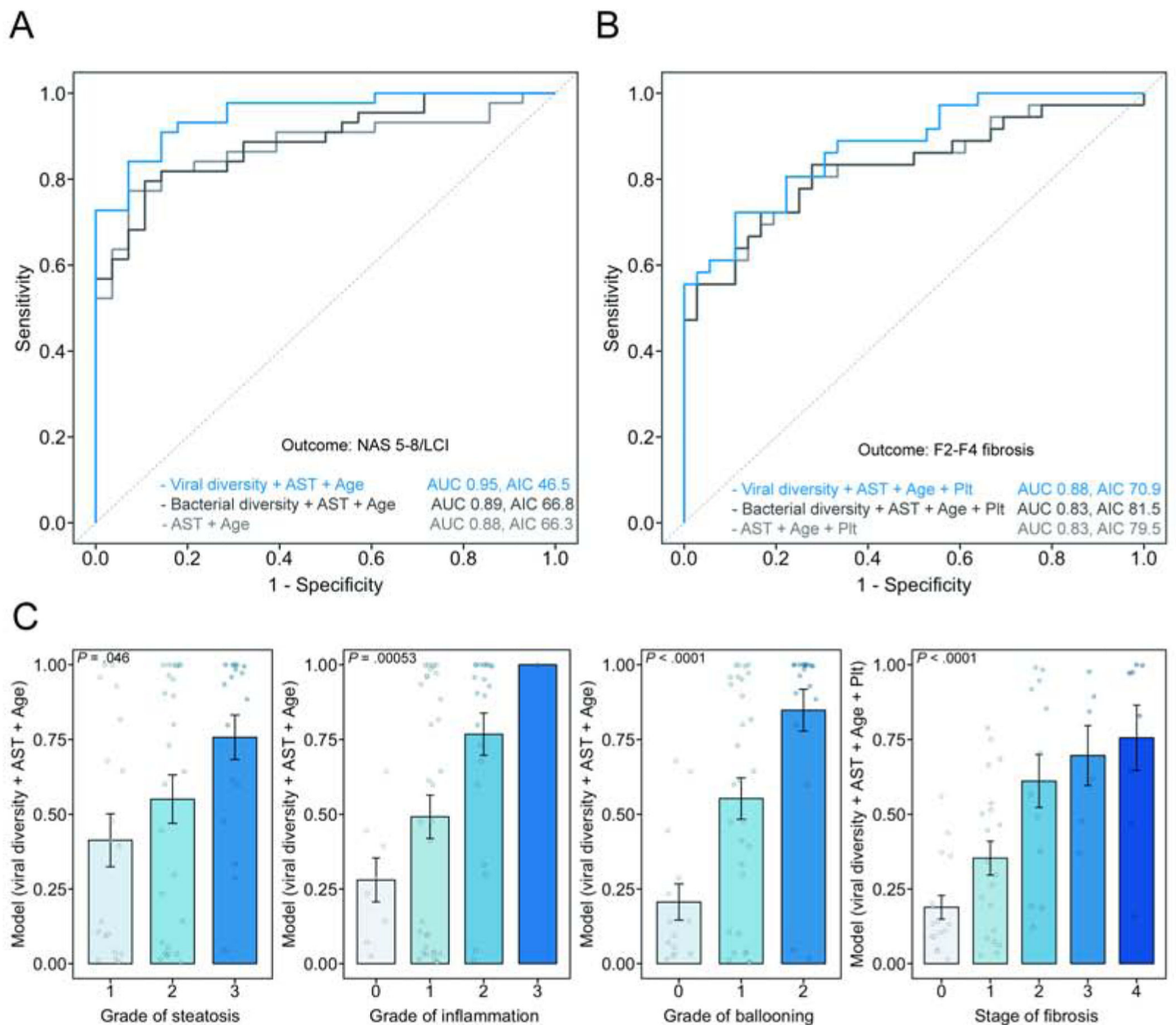


Figure 5. Prediction of more severe liver disease using clinical features and intestinal viral diversity.

Receiver operating curves (ROC) were performed based on multivariate models to predict the presence of (A) NAS 5–8/LCI and (B) F2–F4 fibrosis. The most important variables detected by Random Forest feature selection were included in the models, with or without viral diversity (inverse Simpson index) and bacterial diversity (inverse Simpson index). Likelihood ratio test for the detection of NAS 5–8/LCI, model comparison age + aspartate aminotransferase (AST), versus viral diversity + age + AST, $P < .001$; detection of F2–F4 fibrosis, model comparison age + AST + platelet counts (Plt), versus viral diversity + age + AST + platelet counts, $P = .001$. (C) Association between the calculated model value for each individual patient and the individual components of the NAFLD activity score and the stage of fibrosis. AUC, area under the curve; AIC Akaike information criterion; NAS, NAFLD activity score; LCI, liver cirrhosis.

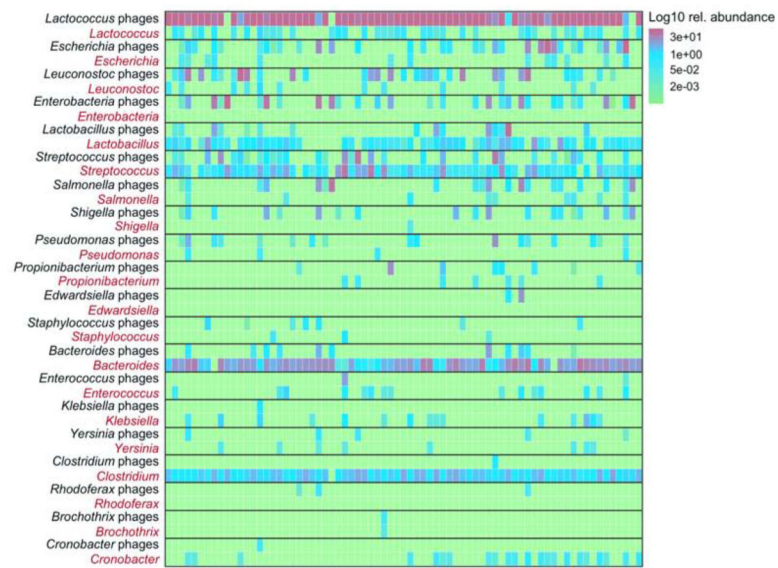


Figure 6. Association between bacteriophages and bacteria.

Abundance heatmap showing the log₁₀ relative abundance of the 20 most abundant phages in direct relationship with their bacterial host in each individual patient (x-axis).

Table 1.

Clinical characteristics of the NAFLD cohort

	Data not available	NAS 0–4	NAS 5–8/LCI	P value
Total n		29	44	
Demographics				
Age, years		51.9 (28.8–74.2)	58.9 (20.2–79.6)	.003
Gender female, n (%)		13 (44.8)	24 (54.5)	.478
Body mass index, kg/m ²		29.4 (22.5–52.9)	31.0 (21.9–46.5)	.031
Waist circumference (cm)	14	106.0 (84.0–130.0)	113 (81.0–143.0)	.048
Type 2 diabetes, n (%)		3 (10.3)	13 (29.5)	.082
Arterial hypertension, n (%)		15 (51.7)	34 (77.3)	.040
Metabolic syndrome (IDF criteria), n		9 (31.0)	24 (54.5)	.057
Proton pump inhibitor use, n (%) ^a		1 (3.4)	10 (22.7)	.041
Metformin use, n (%)		2 (6.9)	11 (25.0)	.063
Laboratory parameters				
AST, U/L	1	28.0 (17.0–48.0)	43.5 (22.0–189.0)	< .001
ALT, U/L	1	36.0 (16.0–97.0)	54.0 (20.0–239.0)	.002
GGT, U/L	1	79.5 (14.0–732.0)	71.5 (29.0–334.0)	.876
Alkaline phosphatase, U/L	1	74.5 (43.0–164.0)	74.5 (43.0–150.0)	.699
Bilirubin, mg/dL	2	0.6 (0.3–1.9)	0.5 (0.2–2.7)	.593
Albumin, g/L	2	45.0 (40.0–51.0)	44.0 (34.0–50.0)	.041
Triglycerides, mg/dL	1	120.0 (42.0–1104.0)	166.0 (55.0–484.0)	.082
Total cholesterol, mg/dL	1	187.0 (104.0–274.0)	189.5 (104.0–329.0)	.675
HDL cholesterol mg/dL	6	54.0 (16.0–82.0)	45.0 (27.0–96.0)	.103
LDL cholesterol mg/dL	8	112.0 (47.0–184.0)	115.0 (42.0–247.0)	.801
Fasting glucose, mg/dL	1	93.5 (80.0–147.0)	101.0 (63.0–196.0)	.086
HbA1c, %	7	5.3 (4.7–6.6)	5.6 (4.7–8.3)	.080
Alpha-fetoprotein kU/L	8	2.0 (1.0–10.0)	3.0 (1.0–85.0)	.055
Creatinine, mg/dL	1	0.8 (0.6–1.4)	0.8 (0.5–1.3)	.963
Urea, mg/dL	1	28.0 (15.0–45.0)	29.0 (15.0–48.0)	.655
Uric acid, mg/dL	1	5.9 (2.9–10.5)	6.2 (2.2–8.7)	.693
Ferritin, µg/L	1	204.0 (19.0–592.0)	211.5 (16.0–2187.0)	.371
White blood cell count, x1E9/L	1	6.6 (3.7–11.2)	6.9 (4.0–10.3)	.764
C-reactive protein, mg/L	2	0.0 (0.0–15.5)	2.1 (0.0–22.9)	.091
Immunoglobulin G, g/L	3	9.9 (6.8–17.3)	10.9 (6.0–19.2)	.073
Immunoglobulin A, g/L	3	2.4 (0.8–3.9)	2.5 (0.7–7.4)	.564
Immunoglobulin M, g/L	3	0.9 (0.3–2.6)	1.0 (0.3–2.6)	.890
Platelet count, x1E9/L	1	232.5 (132.0–386.0)	213.5 (74.0–373.0)	.181
INR	1	1.0 (0.9–1.1)	1.0 (0.9–2.4)	.167

	Data not available	NAS 0–4	NAS 5–8/LCI	P value
Liver histology data of biopsy-proven cohort (n=64)	Scoring			
Total n		29	35	
Grade of steatosis, n (%)	0	0 (0.0)	0 (0.0)	
	1	14 (48.3)	5 (14.3)	.001
	2	13 (44.8)	14 (40.0)	
	3	2 (6.9)	16 (45.7)	
Ballooning, n (%)	0	14 (48.3)	1 (2.9)	< .001
	1	14 (48.3)	16 (45.7)	
	2	1 (3.4)	18 (51.4)	
Grade of inflammation, n (%)	0	9 (31.0)	0 (0.0)	< .001
	1	19 (65.5)	14 (40.0)	
	2	1 (3.4)	20 (57.1)	
	3	0 (0.0)	1 (2.9)	
Stage of fibrosis, n (%)	0	16 (55.2)	1 (2.9)	< .001
	1	11 (37.9)	9 (25.7)	
	2	2 (6.9)	11 (31.4)	
	3	0 (0.0)	6 (17.1)	
	4	0 (0.0)	8 (22.9)	

Values are presented as median and range in parentheses or number and percentage. Bold font indicates significance (P value equal or below .05). If liver biopsy was performed ($n = 64$ patients with NAFLD), samples were evaluated by an experienced liver pathologist who was blinded for all clinical and laboratory patient data. The NASH clinical research network histological scoring system was used to evaluate disease activity and severity. The NAFLD activity score (NAS) was obtained for each biopsy. This score is defined as the unweighted sum of the scores for steatosis, (0–3), lobular inflammation (0–3), and ballooning (0–2); thus ranging from 0 to 8. ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; GGT, gamma-glutamyl-transferase; HbA1c, glycated hemoglobin; INR, international normalized ratio; HDL, High-density lipoprotein; LCI, liver cirrhosis; LDL, Low-density lipoprotein; NAS, NAFLD activity score.