

# UC Berkeley

## UC Berkeley Previously Published Works

### Title

Temporal Dynamics of Aerodynamic Canopy Height Derived From Eddy Covariance Momentum Flux Data Across North American Flux Networks

### Permalink

<https://escholarship.org/uc/item/7175901q>

### Journal

Geophysical Research Letters, 45(17)

### ISSN

0094-8276

### Authors

Chu, Housen  
Baldocchi, Dennis D  
Poindexter, Cristina  
[et al.](#)

### Publication Date

2018-09-16

### DOI

10.1029/2018gl079306

Peer reviewed

# Temporal Dynamics of Aerodynamic Canopy Height Derived From Eddy Covariance Momentum Flux Data Across North American Flux Networks

Housen Chu<sup>1,2</sup>, Dennis D. Baldocchi<sup>2</sup>, Cristina Poindexter<sup>3</sup>, Michael Abraha<sup>4</sup>, Ankur R. Desai<sup>5</sup>, Gil Bohrer<sup>6</sup>, M. Altaf Arain<sup>7</sup>, Timothy Griffis<sup>8</sup>, Peter D. Blanken<sup>9</sup>, Thomas L. O'Halloran<sup>10</sup>, R. Quinn Thomas<sup>11</sup>, Quan Zhang<sup>12,13</sup>, Sean P. Burns<sup>9</sup>, John M. Frank<sup>14</sup>, Dold Christian<sup>15</sup>, Shannon Brown<sup>16</sup>, T. Andrew Black<sup>17</sup>, Christopher M. Gough<sup>18</sup>, Beverly E. Law<sup>19</sup>, Xuhui Lee<sup>20</sup>, Jiquan Chen<sup>21</sup>, David E. Reed<sup>21</sup>, William J. Massman<sup>14</sup>, Kenneth Clark<sup>22</sup>, Jerry Hatfield<sup>15</sup>, John Prueger<sup>15</sup>, Rosvel Bracho<sup>23</sup>, John M. Baker<sup>8,24</sup>, and Timothy A. Martin<sup>23</sup>

<sup>1</sup> Earth and Environmental Sciences Area, Lawrence Berkeley National Lab, Berkeley, CA, USA, <sup>2</sup> Department of Environmental Sciences, Policy, and Management, University of California, Berkeley, CA, USA, <sup>3</sup> Department of Civil Engineering, California State University, Sacramento, CA, USA, <sup>4</sup> Great Lakes Bioenergy Research Center, Michigan State University, East Lansing, MI, USA, <sup>5</sup> Department of Atmospheric and Oceanic Sciences, University of Wisconsin-Madison, Madison, WI, USA, <sup>6</sup> Department of Civil, Environmental and Geodetic Engineering, The Ohio State University, Columbus, OH, USA, <sup>7</sup> School of Geography and Earth Sciences, McMaster University, Hamilton, Ontario, Canada, <sup>8</sup> Department of Soil, Water, and Climate, University of Minnesota, Minneapolis, MN, USA, <sup>9</sup> Department of Geography, University of Colorado, Boulder, CO, USA, <sup>10</sup> Forestry and Environmental Conservation Department, Clemson University, Clemson, SC, USA, <sup>11</sup> Department of Forest Resources and Environmental Conservation, Virginia Tech, Blacksburg, VA, USA, <sup>12</sup> School of Public and Environmental Affairs, Indiana University, Bloomington, IN, USA, <sup>13</sup> State Key Laboratory of Water Resources and Hydropower Engineering Science, Wuhan University, Wuhan, China, <sup>14</sup> USDA-Forest Service, Rocky Mountain Research Station, Fort Collins, CO, USA, <sup>15</sup> USDA-Agricultural Research Service, National Laboratory for Agriculture and the Environment, Ames, IA, USA, <sup>16</sup> School of Environmental Sciences, University of Guelph, Guelph, Ontario, Canada, <sup>17</sup> Faculty of Land and Food Systems, University of British Columbia, Vancouver, British Columbia, Canada, <sup>18</sup> Department of Biology, Virginia Commonwealth University, Richmond, VA, USA, <sup>19</sup> Department of Forest Ecosystems and Society, Oregon State University, Corvallis, OR, USA, <sup>20</sup> School of Forestry and Environmental Studies, Yale University, New Haven, CT, USA, <sup>21</sup> Department of Geography, Environment, and Spatial Sciences, Michigan State University, East Lansing, MI, USA, <sup>22</sup> USDA Forest Service, Northern Research Station, New Lisbon, NJ, USA, <sup>23</sup> School of Forest Resources and Conservation, University of Florida, Gainesville, FL, USA, <sup>24</sup> Soil and Water Research Unit, USDA-Agricultural Research Service, Saint Paul, MN, USA

## Abstract

Aerodynamic canopy height ( $h_a$ ) is the effective height of vegetation canopy for its influence on atmospheric fluxes and is a key parameter of surface-atmosphere coupling. However, methods to estimate  $h_a$  from data are limited. This synthesis evaluates the applicability and robustness of the calculation of  $h_a$  from eddy covariance momentum-flux data. At 69 forest sites, annual  $h_a$  robustly predicted site-to-site and year-to-year differences in canopy heights ( $R^2 = 0.88$ , 111 site-years). At 23 cropland/grassland sites,

weekly  $h_a$  successfully captured the dynamics of vegetation canopies over growing seasons ( $R^2 > 0.70$  in 74 site-years). Our results demonstrate the potential of flux-derived  $h_a$  determination for tracking the seasonal, interannual, and/or decadal dynamics of vegetation canopies including growth, harvest, land use change, and disturbance. The large-scale and time-varying  $h_a$  derived from flux networks worldwide provides a new benchmark for regional and global Earth system models and satellite remote sensing of canopy structure.

### Plain Language Summary

Vegetation canopy height is a key descriptor of the Earth surface and is in use by many modeling and conservation applications. However, large-scale and time-varying data of canopy heights are often unavailable. This synthesis evaluates the applicability and robustness of the calculation of canopy heights from the momentum flux data measured at eddy covariance flux tower sites (i.e., meteorological observation towers with high frequency measurements of wind speed and surface fluxes). We show that the aerodynamic estimation of annual canopy heights robustly predicts the site-to-site and year-to-year differences in canopy heights across a wide variety of forests. The weekly aerodynamic canopy heights successfully capture the dynamics of vegetation canopies over growing seasons at cropland and grassland sites. Our results demonstrate the potential of aerodynamic canopy heights for tracking the seasonal, interannual, and/or decadal dynamics of vegetation canopies including growth, harvest, land use change, and disturbance. Given the amount of data collected and the diversity of vegetation covered by the global networks of eddy covariance flux tower sites, the flux-derived canopy height has great potential for providing a new benchmark for regional and global Earth system models and satellite remote sensing of canopy structure.

### Introduction

Vegetation canopy height is a key descriptor of the Earth surface but has not yet been systematically analyzed across observation networks (Simard et al., 2011). Its use is found in many applications, such as land-surface modeling, ecosystem modeling, wildland-fire modeling, estimation of biomass, conservation, and remote sensing (e.g., Garratt, 1993; Giardina et al., 2018; Hurtt et al., 2010; Lindvall et al., 2012; Massman et al., 2017; Tian et al., 2011). Examples of utilization of vegetation height in modeling include output as a diagnostic for plant growth and harvest or key parameters for wind speed profile, plant light competition, biomass/leaf area allocation, and root-stem-leaf water transport. In theory, aerodynamic canopy height ( $h_a$ )—the “effective” height of the canopy from the perspective of its effects on the airflow—could be derived from the canopy's momentum absorption characteristics (Nakai et al., 2010; Thomas & Foken, 2007).

Networks of eddy covariance flux sites worldwide have collected  $\sim 10^8$  hr of turbulent flux data during the last 25 years (Chu et al., 2017). However, long-

term and cross-site studies of momentum flux data are relatively rare. The surface aerodynamic parameters (e.g.,  $h_a$ , roughness length ( $z_0$ ), and displacement height ( $d$ )—key parameters describing the drag effects of surface on wind speed) are widely utilized to model the effects of the land surface on turbulence and the exchanges of momentum with the overlying atmosphere (Rigden et al., 2017; Thom, 1971; Verma, 1989). These parameters can be evaluated from data collected at flux sites. With the wide spectrum of vegetation types and degrees of surface roughness among the flux sites, momentum-related measurements can provide a unique opportunity to revisit these aerodynamic parameters.

Over the years, studies have proposed different approaches to derive  $h_a$  from momentum flux and wind statistics measurements (e.g., Maurer et al., 2013; Nakai et al., 2010; Thomas & Foken, 2007). Common approaches require either detailed vertical wind profile measurements throughout and above the canopy or empirical model assumptions that are rarely tested extensively across sites (detailed discussion in Graf et al., 2014; Maurer et al., 2013; Nakai et al., 2008). Those additional measurements and model assumptions often limit their applicability across a large number of sites. Most recently, Pennypacker and Baldocchi (2015) proposed a simple approach for deriving  $h_a$  from single-level eddy covariance data based on the surface layer theory. They suggested that the method was suitable to a broad range of canopy types and demonstrated the potential for calculating  $h_a$  on a regular basis (e.g., weekly and annual).

This study adopted the method of Pennypacker and Baldocchi (2015) to calculate  $h_a$  and evaluated it for a variety of canopies across the AmeriFlux and Fluxnet-Canada networks. We focused on potential applications in two contrasting cases: tall forests and seasonally dynamic croplands/grasslands. We asked the following: (1) Can  $h_a$  adequately represent the actual canopy heights across a wide variety of forests? (2) Is the annual  $h_a$  sufficiently robust to detect year-to-year changes of forest canopy heights (e.g., growth trends)? (3) Can  $h_a$  adequately represent seasonal variation of canopy heights in croplands and grasslands, where vegetation growth and harvest occur on seasonal time scales? Our motivation is to provide large-scale and time-varying estimates of canopy heights that could be used in Earth system modeling and cross-analyzed with remotely sensed canopy-structure data (e.g., LiDAR and Radar; Simard et al., 2011; Zhang et al., 2017).

## Materials and Methods

### Theory

The foundation of the Pennypacker and Baldocchi (2015) method is the logarithmic wind profile defined by Monin-Obukhov similarity theory under near-neutral stability conditions (i.e.,  $|[z - d]/L| < 0.1$ , where  $z$  [m] is observation height above ground,  $d$  [m] is the zero-plane displacement height, and  $L$  is Obukhov length [m]; Raupach, 1994, 1995). Monin-Obukhov similarity theory describes the ratio of the mean horizontal wind speed ( $U_z$ ,

[m s<sup>-1</sup>]) measured at  $z$ , to the friction velocity ( $u_*$ , [m s<sup>-1</sup>—a generalized velocity scale derived from momentum flux) above the canopy as a logarithmic function of the roughness length for momentum ( $z_0$ ) and  $d$ .

$$\frac{kU_z}{u_*} = \ln\left(\frac{z-d}{z_0}\right) + \Psi_u = \ln\left(\frac{z-d}{z_0}\right) + \ln(\lambda_{rs}) \quad (1)$$

where  $k \approx 0.40$  is the von Kármán constant.  $\Psi_u = \ln(\lambda_{rs})$  is an influence function associated with the roughness sublayer—a region just above the canopy where turbulence is enhanced (Raupach, 1994, 1995).  $\lambda_{rs} = 1.25$  is assumed when  $z$  is relatively close to the canopy top (i.e.,  $z \leq 1.5h_c$ , where  $h_c$  is the actual canopy height [m]; Massman, 1997; Massman et al., 2017). Otherwise,  $\Psi_u$  is assumed to be negligible (i.e.,  $\lambda_{rs} \approx 1.00$ ). Details about the roughness sublayer influence are discussed in Texts S1–S3 and Figure S2 in the supporting information.

Both  $z_0$  and  $d$  can be expressed as fractions of the effective canopy height, that is, the theoretical height that reflects the canopy's momentum absorption characteristics. We define this theoretical height as the aerodynamic canopy height ( $h_a$  [m]), where  $z_0 = \alpha_1 h_a$  and  $d = \alpha_2 h_a$ , and  $\alpha_1$  and  $\alpha_2$  are unitless empirical parameters. Equation 1 is then rearranged as a function of  $h_a$  depending on  $\alpha_1$ ,  $\alpha_2$ ,  $z$ ,  $U_z$ , and  $u_*$ .  $z$  is typically fixed at the sites. Given known values of  $\alpha_1$  and  $\alpha_2$ ,  $h_a$  can be calculated from the measured  $U_z$  and  $u_*$  (Pennypacker & Baldocchi, 2015).

$$\frac{kU_z}{u_*} = \ln\left(\frac{z - \alpha_2 h_a}{\alpha_1 h_a}\right) + \ln(\lambda_{rs}) \quad (2)$$

$$h_a = \frac{\lambda_{rs} z}{\lambda_{rs} \alpha_2 + \alpha_1 \exp\left(\frac{kU_z}{u_*}\right)} \quad (3)$$

$\alpha_1$  and  $\alpha_2$  are typically parameterized at the site level. Alternatively, “global” approximations for  $\alpha_1$  and  $\alpha_2$  have been proposed, for example, 0.1 and 0.6 (the classical model) used in Pennypacker and Baldocchi (2015). In this study, we propose a more sophisticated approach to account for the uncertainties introduced via the somewhat arbitrary choice of  $\alpha_1$  and  $\alpha_2$  by using an ensemble of randomly generated pairs of values from a bivariate normal distribution ( $N = 1,000$ ):

$$\begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} \sim N\left(\begin{pmatrix} \mu_{\alpha_1} \\ \mu_{\alpha_2} \end{pmatrix}, \begin{pmatrix} \sigma_{\alpha_1}^2 & \rho\sigma_{\alpha_1}\sigma_{\alpha_2} \\ \rho\sigma_{\alpha_1}\sigma_{\alpha_2} & \sigma_{\alpha_2}^2 \end{pmatrix}\right) \quad (4)$$

Three model choices were tested for calculating the distribution means of  $\alpha_1$  and  $\alpha_2$  ( $\mu_{\alpha_1}$  and  $\mu_{\alpha_2}$ ). These include the classical model, Raupach (1994; the R94 model), and Schaudt and Dickinson (2000; the SD00 model; Figure S1). Briefly, the classical model assumes fixed values of  $\mu_{\alpha_1}$  and  $\mu_{\alpha_2}$  across all sites, while the R94 and SD00 models require inputs of site-specific leaf area index (LAI). Model details are provided in Text S1. Our preliminary tests

suggested that the SD00 and R94 models provided the best and representative results for forests and croplands/grasslands (Text S5), respectively. Therefore, results below focus on these model and land surface type combinations. The uncertainties of  $\alpha_1$  and  $\alpha_2$  were propagated via the prescribed variance ( $\sigma_{\alpha_1^2}$  and  $\sigma_{\alpha_2^2}$ ) and covariance ( $\rho\sigma_{\alpha_1}\sigma_{\alpha_2}$ ) terms (Text S1). For each pair of  $\alpha_1$  and  $\alpha_2$ , an estimate of  $h_a$  is calculated for each target period (details in section 2.3).

## Site and Data

This study included 92 flux tower sites from AmeriFlux and FLUXNET-Canada, including 69 forest sites (Table S1 in the supporting information) and 23 cropland/grassland sites (Table S2) with sufficiently long data sets and information available on canopy heights and date of measurement for these heights (Text S2). Data were downloaded through the AmeriFlux ([ameriflux.lbl.gov](http://ameriflux.lbl.gov)) and FLUXNET-Canada databases (FLUXNET-Canada Team, 2016), including (half-)hourly horizontal wind speed, wind direction, friction velocity, and Obukhov length. All wind and turbulence data have gone through the standard quality checks adopted by AmeriFlux and FLUXNET (Pastorello et al., 2014, 2017). A series of criteria (i.e., near neutral stability, moderate turbulent intensity, and prevailing wind direction) were applied to filter data following Pennypacker and Baldocchi (2015). Such filtering criteria ensured that only data/periods fulfilling the aforementioned theory assumptions were used (Text S2). On average, most sites retained approximately 7%–26% of data for further analyses (i.e., 300–1,100 half hours per season for forests and 24–87 half hours per week for croplands/grasslands).

Ancillary data, such as actual canopy heights ( $h_c$ ), instrument heights, LAI, stand ages, and vegetation types, were obtained through the Biological-Ancillary-Disturbance-Management (BADM) database of AmeriFlux and/or by contacting the site investigators. In total,  $\sim 111$  and  $\sim 1,600$  records of  $h_c$  were acquired for forest and cropland/grassland sites, respectively.  $h_c$  at forest sites were determined either using lasers, clinometers, or through visual estimates and were often sampled or reported infrequently (e.g.,  $\sim 75\%$  of sites only provided one record).  $h_c$  at cropland/grassland sites were measured manually throughout the growing season and typically provided weekly or biweekly records.

## Data Processing and Statistical Analysis

For the forest sites, we focused on the full-foliage period of each year and estimated  $h_a$  at an annual time step. The full-foliage periods were determined as the three consecutive months that had the highest LAI in the multiyear-mean seasonal cycles (i.e., MOD15A2H LAI C6; Myneni, 2015; ORNL DAAC, 2017). This 3-month window was applied to both deciduous (21 sites) and evergreen (48 sites) forests. Our preliminary tests showed that using leafless periods (deciduous forests only) did not substantially improve the results (Text S4 and Figure S3).

At each annual time step,  $h_a$  was processed as follows: (1) All postfiltered data for the 3-month full-foliage period of a year were pooled together. (2) One thousand pairs of  $\alpha_1$  and  $\alpha_2$  were generated based on equation 4, using LAI data in the AmeriFlux BADM database. (3) Given each pair of  $\alpha_1$  and  $\alpha_2$ ,  $h_a$  for each (half) hour of postfiltered data was calculated using equation 3. The median of the calculated  $h_a$  for the 3-month period was kept as a single estimate. (4) The postfiltered data were resampled with repeats. Steps (3) and (4) were iterated for 1,000 times generating 1,000 estimates of  $h_a$ . (5) The median of these 1,000 estimates is treated as the best estimate and used for most of the following analyses, while the 95 percentile range (2.5%: 97.5%) is reported as the uncertainty interval. We interpret the 95 percentile range as propagated uncertainties regarding the choice of  $\alpha_1$  and  $\alpha_2$  and the random measurement errors of wind and turbulent data.

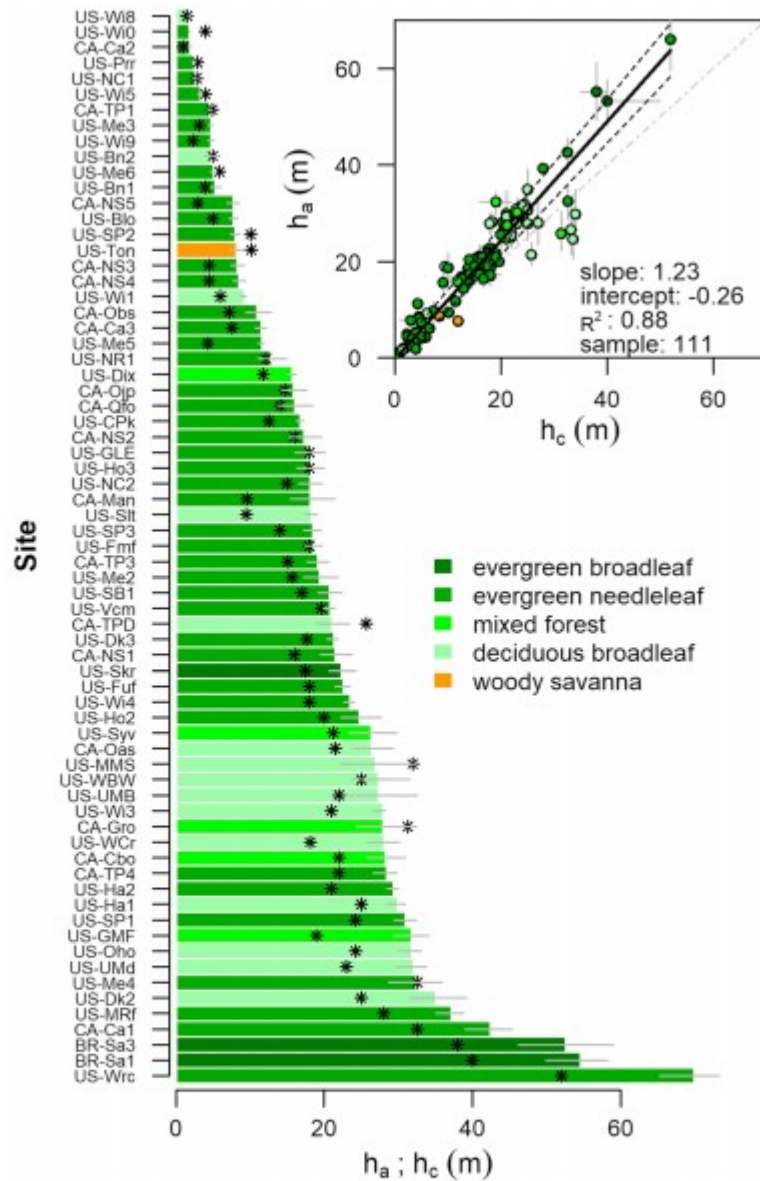
For cropland/grassland sites,  $h_a$  was processed at weekly time steps for the entire year. All postfiltered data for a 1-week window were pooled together and used to calculate the 1,000 estimates of  $h_a$  following the procedures described above. We did not prescribe site-specific and time-varying LAI for the cropland/grassland sites because weekly LAI data are often unavailable. Instead, we chose a pair of fixed values for  $\mu_{\alpha_1}$  and  $\mu_{\alpha_2}$  in equation 4 (e.g., 0.11 and 0.56 for the R94 model). These values were determined based on the model relation of  $\alpha_1$  and  $\alpha_2$  in the low LAI range (i.e., 0–1  $\text{m}^2 \text{m}^{-2}$ , Figure S1), within which the canopy heights change rapidly and the  $\alpha_1:\alpha_2$  ratio is approximated by a constant.

All calculated  $h_a$  were compared against  $h_c$  based on matching the years/weeks of estimates and measurements. Thirty-five forest sites that had 5+ years of data were further analyzed for long-term canopy height trends. For trend analyses,  $h_a$  and  $h_c$  were first normalized by subtracting the site-specific multiyear means, that is, focus on the relative changes ( $\Delta h_c = h_c - \overline{h_c}$ ,  $\Delta h_a = h_a - \overline{h_a}$ ). All data processing and statistical analyses were conducted using the R software (R Core Team, 2017). Specifically, model II linear regression (lmodel2 package) was adopted for comparison of  $h_a$  and  $h_c$  (Legendre & Legendre, 2012). The Sen's method (trend package), chosen for its robustness to outliers, was adopted to assess the trends of yearly canopy height change in forest sites (Libiseller & Grimvall, 2002; Sen, 1968; Wilcox, 2011). Unless specified, the significance level is set as 0.05 and reported uncertainties are 95 percentile/confidence intervals.

## Results

### Annual Aerodynamic Canopy Heights at Forest Sites

Across sites,  $h_a$  showed good agreement with  $h_c$  for the forests (Figure 1,  $R^2 = 0.88$ ,  $N = 111$ ). This suggests that  $h_a$  is robust for differentiating canopy heights of 1–60 m. The linear regression slope ( $1.23 \pm 0.08$ ) indicates that the calculated  $h_a$  was mostly and systematically higher than  $h_c$ .

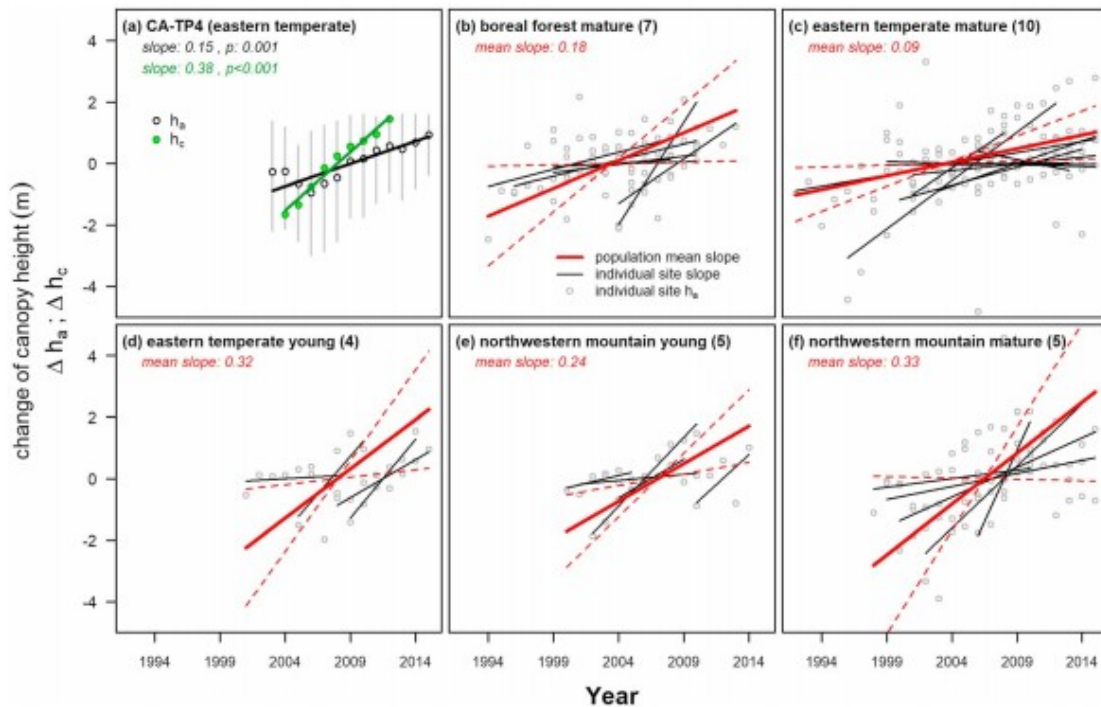


**Figure 1.** Annual canopy heights across 69 AmeriFlux forest sites (site ID in y axis). The horizontal bars and gray segments indicate the multiyear means of aerodynamic canopy heights ( $h_a$ ) and the 95 percentile range ( $N = 1-22$ ). The asterisks denote the mean actual canopy heights ( $h_c$ ) for available years ( $N = 1-5$ ). The colors denote the plant functional types. The inset compares  $h_a$  and  $h_c$  in all available site-years ( $N = 111$ ). The vertical and horizontal gray segments represent the 95 percentile ranges of  $h_a$  and reported upper-lower ranges of  $h_c$ , respectively. The black solid and dashed lines denote the linear regression and its 95% confidence intervals. The gray dotted-dash line shows the 1:1 reference line. Please refer to Table S1 for site general information and Table S3 for summary statistics of linear regression.

Only a few sites had routine measurements of  $h_c$  over years that allowed us to evaluate the estimated temporal trends. That includes the three plantation sites (i.e.,  $N \geq 5$ ; CA-TP4 [Figure 2a], CA-TP3, and US-NC2 [Figure S5]). The trends estimated from  $h_a$  were 0.15, 0.25, and 0.49  $\text{m yr}^{-1}$  for CA-TP4, CA-TP3, and US-NC2, respectively. While the estimated trends were all significantly positive as expected, the absolute magnitudes were consistently lower than those estimated from  $h_c$  (i.e., 0.38, 0.60, and 0.98  $\text{m yr}^{-1}$ ). For the



other 15 sites that had sparse measurements of  $h_c$  ( $5 > N \geq 2$ , Figures S4 and S5), we were unable to obtain quantitatively robust trend estimates for comparison, but we found that the directions of change in canopy heights (i.e., positive, negative, or no change) were generally matched between  $h_a$  and  $h_c$ . The uncertainty levels in  $h_a$  and  $h_c$  may still be too large for certain tall canopy sites to allow a robust trend estimate, and thus, our estimates may not always capture the trends observed at the sites (e.g., US-MMS and US-UMB, Figure S4).



**Figure 2.** Changes over time in the annual aerodynamic canopy heights ( $h_a$ ) in the forest sites with long-term ( $\geq 5$  years) data, including (a) an example of the CA-TP4 site (a needleleaf forest) and pooled results for all the ecoregions. The sites are grouped into (b) mature forests in the boreal region (7 sites), (c) mature forests in the eastern temperate region (10), (d) young forests in the eastern temperate region (4), (e) young forests in the northwestern mountain region (5), and (f) mature forests in the northwestern mountain region (5). In Figure 2a, the black and green colors refer to  $h_a$  and actual canopy height ( $h_c$ ), respectively. The vertical gray segments denote the 95 percentile ranges of  $h_a$ . In Figures 2b–2f, the red line represents the mean trend (i.e., slope [ $\text{m yr}^{-1}$ ]) of all sites in the ecoregion, extrapolated over all available measurement years. The dashed lines denote the 95% confidence interval of the Sen's slope. Individual site-year  $h_a$  and trends are shown as gray circles and black lines, respectively. Please refer to Figures S4 and S5 for separate plots of individual sites and Table S4 for summary of trend analyses.

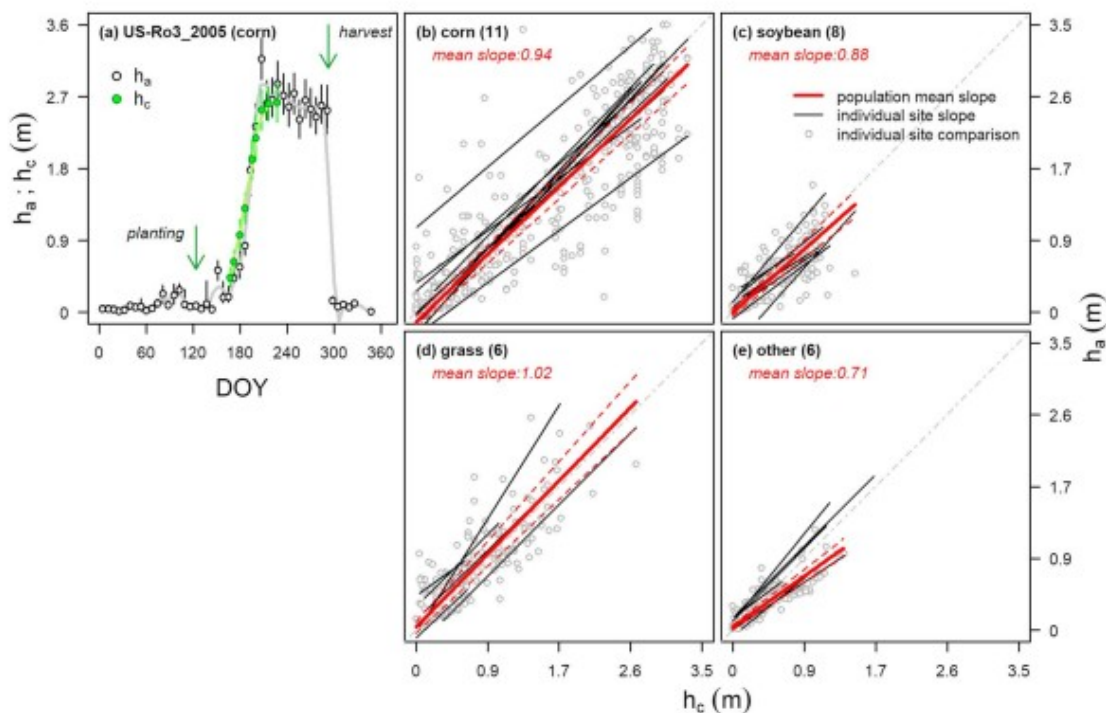
We found temporal trends of increasing  $h_a$  when pooling the long-term sites without known disturbances in the same ecoregion, an indication of canopy growth over time (Figure 2). The region-average trends were around  $0.18$  and  $0.09 \text{ m yr}^{-1}$  for the mature forests in the boreal and eastern temperate regions (Figures 2b and 2c). The trends were higher ( $0.32$  and  $0.24 \text{ m yr}^{-1}$ ) for the young forests in the eastern temperate and northwestern mountain regions (Figures 2d and 2e). The mature forests in the northwestern mountain region showed large site-to-site variation in the temporal trends (Figure 2f).

Finally, four sites reported disturbances during the measurement periods (Table S1), including US-UMd (stem-girdled treatment in 2008), US-Slt (Gypsy moth outbreak in 2007 and 2008), US-CPk (pine beetle outbreak since 2008),

and US-GLE (spruce beetle outbreak since 2008). These four sites showed trends of decreasing  $h_a$  (Figures S4 and S5), which were  $-0.27$ ,  $-0.07$ ,  $-0.27$  (2009–2012), and  $-0.32$  (post-2008)  $\text{m yr}^{-1}$ , respectively.

### Seasonal Changes in Aerodynamic Canopy Heights at Cropland/Grassland Sites

Weekly  $h_a$  effectively captured the seasonal dynamics of  $h_c$  across a variety of short vegetation sites (Figure 3). Overall, the regression slopes of the 1:1 comparison were  $0.94 \pm 0.05$ ,  $0.88 \pm 0.08$ ,  $1.02 \pm 0.10$ , and  $0.71 \pm 0.06$  for corn, soybean, grass, and other vegetation types, respectively. The overall good relationships ( $R^2 = 0.56\text{--}0.77$ ) suggest that  $h_a$  is robust in capturing the seasonal dynamics of canopy heights (e.g., growth and harvest, Figure 3a).



**Figure 3.** Comparison of weekly aerodynamic canopy heights ( $h_a$ ) and actual canopy heights ( $h_c$ ) across cropland/grassland sites, including (a) a 1-year time series example from a corn cropland (US-Ro3, 2005) and pooled comparisons for vegetation types of (b) corn (11 sites), (c) soybean (8), (d) grass (6), and (e) others (6). In Figure 3a, the black and green circles refer to  $h_a$  and  $h_c$ , respectively. The vertical segments denote the 95 percentile ranges of  $h_a$  and  $h_c$ , while the light-colored curves show the smoothed temporal trajectories (Savitzky-Golay filter). The green arrows indicate the dates of planting and harvest (DOY = 123 and 293/294). In Figures 3b–3e, the red line represents the linear regression over all site-year data in each vegetation type (95% confidence interval in dashed lines). Individual site comparisons and linear regressions are shown as gray circles and black lines, respectively. Please refer to Figures S7 and S8 for separate plots of individual site-years and Table S5 for summary statistics.

For individual site-years, we found that the majority of years (84%) showed a good linear relation ( $R^2 > 0.70$ ) between  $h_a$  and  $h_c$  (Figure S6). The majority of site-years had slopes and intercepts of  $1.0 \pm 0.3$  and  $0.0 \pm 0.3$  (Figure S6), respectively. Such consistent agreement suggests that  $h_a$  is suitable for capturing the seasonal dynamics of  $h_c$  from year to year for short vegetation sites (Figures S7 and S8). For a few of the sites, we found relatively large differences between  $h_a$  and  $h_c$  in the nongrowing seasons during which the plants either senesced or were harvested (e.g., US-Var and US-KL1, Figure

S8). As the deviations were confined to individual sites that have relatively limited homogeneous fetch, they likely resulted from the site-specific characteristics of the turbulent flux footprint or topography (Figure S9).

## Discussion

### Aerodynamic Canopy Height as a Robust Proxy of Canopy Height

Aerodynamic canopy height, despite its potential estimation bias at some tall-canopy and fetch-limited sites, successfully captures site-to-site variations of canopy heights across a wide range of vegetation types. Recent research campaigns mapping forest canopy height globally using spaceborne LiDAR (e.g., ICESat GLAS) emphasize the importance and needs of a ground-based canopy height data set for cross comparison with the remotely sensed estimates (Giannico et al., 2016; Lefsky, 2010; Simard et al., 2011). While there is a growing community utilizing ground-based or airborne LiDAR in obtaining detailed canopy structures at flux tower sites (e.g., Beland et al., 2015; Cook et al., 2013), its application is still limited to a small number of sites and sparser, more-recent temporal coverage.

We advocate that  $h_a$  could be calculated routinely across the flux networks and be used as a robust proxy of canopy height for sites/years where direct measurements or remote-sensing based estimates are unavailable. The tight linear relationships between  $h_a$  and  $h_c$  across sites suggest that an empirically corrected data product of canopy height could be derived based on  $h_a$  and site-/vegetation-specific  $h_a$ - $h_c$  relationships (Figures S10 and S11 and Tables S6 and S7). Future studies should further examine the relations between aerodynamic characteristics (e.g., canopy height and roughness length) and canopy physical structures obtained by low-flying and satellite-based LiDAR (e.g., GEDI). Such relations could be an intermediate method of training satellite algorithms to better represent the canopy's aerodynamic characteristics at the individual site level, or as an approach to scale aerodynamic characteristics from flux tower footprints to a larger spatial extent.

Though it remains challenging to disentangle the probable causes leading to the deviations between  $h_a$  and  $h_c$ , we can attribute several aspects. First,  $h_a$  and  $h_c$  are inherently different measures of canopy height. In theory,  $h_a$  should be biased toward the effect of the tallest (or aerodynamically rougher) trees comprising the upper canopy (Maurer et al., 2013; Nakai, Sumida, Matsumoto, et al., 2008). Yet strong winds, in contrast, can cause deformation of the canopy for certain moments (e.g., bending over and honami; Finnigan, 1979; Gardiner, 1994). Thus, while it is reasonable to assume  $h_a$  scales with  $h_c$ ,  $h_a$  and  $h_c$  may not always match. Second,  $h_c$  is still infrequently measured using conventional approaches and subject to observer bias. Even when it is reported, it is rarely well defined and/or quantified in a standard way (i.e., across sites/years; see discussion in Nakai et al., 2010). Additionally, measurements of  $h_c$  often do not cover the same footprint area (e.g.,  $10^4$ - $10^6$  m<sup>2</sup>) and do not match the footprints' spatial

sampling density frequency as the wind measurements used for calculating  $h_a$ , adding uncertainties to the comparisons, especially for the tall forest sites, or those with significant spatial heterogeneity in canopy height or ground elevation. Last, the calculation of  $h_a$  is dependent on the adequate choice of  $\alpha_1$ - $\alpha_2$  models. The current lack of extensive and time-explicit forest structure data (e.g., leaf area profile, stand density, gap fraction, and nonleaf structure) still hinders further evaluations using more sophisticated  $\alpha_1$ - $\alpha_2$  models (e.g., Maurer et al., 2015; Nakai et al., 2008; Shaw & Pereira, 1982).

### Tracking Changes in Aerodynamic Canopy Heights Over Time

The small bias in our estimation, as discussed above, does not preclude the use of  $h_a$  for detecting changes in canopy characteristics over time. For sites without major disturbances or structural changes of canopy (e.g., plantation sites),  $h_a$  could be a first-order approximation for tracking the canopy height growth. Maurer et al. (2013) was the first study that examined decadal changes of  $h_a$  at a broadleaf deciduous forest (US-UMB). Adopting a different approach, they showed that  $h_a$  for the leafless seasons tended to better capture growth of the forest canopy than that for the full-foliage seasons. Our preliminary tests found that the estimated trends were mostly compatible using either full-foliage or leafless periods in our calculation (Text S4 and Figure S4). For US-UMB, our estimated trends were 0.12 and 0.11 m yr<sup>-1</sup> (2000–2014) using the full-foliage and leafless periods, which were similar to 0.12 m yr<sup>-1</sup> (2000–2011) reported in Maurer et al. (2013). However, the uncertainty levels of our calculations were still too large for this tall forest, and our estimated trends were statistically insignificant. Focusing on roughness length, Keenan et al. (2013) found no significant long-term trend in the midsummer surface roughness at seven AmeriFlux forest sites. Our results generally agreed with their findings for those sites, except that US-Ha1 site showed a significantly increasing trend over a slightly longer time period (1992–2015) than in the previous study (1992–2010).

The unaccounted changes in canopy structure (e.g., total leaf area, leaf area profile, stand density, gap fraction, and composition) are likely responsible for the unexpected interannual variation of  $h_a$  at some forest sites, and for the difference between estimated trends from  $h_a$  and  $h_c$  (Aber, 1979; Maurer et al., 2013; Nakai, Sumida, Daikoku, et al., 2008). As shown in the known disturbed sites (Clark et al., 2018; Frank et al., 2014; Hardiman et al., 2013; Reed et al., 2014), the observed changes of  $h_a$  are the consequence of changes in canopy structure (e.g., canopy height, stand density, gap fraction, and leaf area). Some forest sites may have undergone compositional changes (e.g., mortality and succession), which makes it challenging to delineate a physically meaningful trend from the year-to-year variation. In sum, we suggest that the trend analyses of  $h_a$  could be treated as a first estimate. For sites that have undergone canopy structural changes, the changes in  $h_a$  may need to be interpreted in the context of calculation assumptions or along with ancillary information of canopy structure.

Our analyses show that weekly  $h_a$  is a robust estimator of seasonal canopy dynamics at the short-vegetation sites. The need to improve our quantitative understanding of plant phenology has stimulated a growing body of innovative research in recent decades (e.g., Keenan et al., 2014; Toda & Richardson, 2017). Among these, only a few studies focused on the aerodynamic characteristics and canopy structural dynamics (e.g., Graf et al., 2014; Sonnentag et al., 2011). Our evaluations support the applicability and robustness of aerodynamic parameters, which adequately track the transition of fields from bare ground to tall plants over the course of the growing season. Thus, we advocate that  $h_a$  should be routinely calculated at the cropland and grassland sites and serve as a continuous canopy structural index (e.g., Alekseychik et al., 2017).

## Conclusions

Aerodynamic canopy height derived from routinely collected and underutilized data of momentum flux and wind statistics can serve as a quantitative, rigorous approach to quantify differences in canopy height between sites and over time. We showed its robustness in capturing site-to-site differences in canopy height across a wide range of ecosystems, for example, forest, grassland, and cropland. The annual  $h_a$  estimates could be potentially used for detecting long-term growth trends or structural changes at forest sites; however, caution should be exercised in the broader applicability of the method in complex or heterogeneous forest sites. At short-vegetation sites, the weekly  $h_a$  estimates provide an innovative and independent approach for tracking the seasonal dynamics of vegetation canopy, such as those induced by harvest, natural disturbances, and land use change. Given the amount of data collected and the diversity of vegetation covered by flux networks, the flux-derived canopy height has great potential for providing a new benchmark for regional and global Earth system models and satellite remote sensing of canopy structure.

## Acknowledgments

This study is supported by FLUXNET and AmeriFlux projects, sponsored by U.S. Department of Energy's Office of Science (DE-SC0012456 and DE-AC02-05CH11231). We thank the supports from AmeriFlux Data Team: Gilberto Pastorello, Deb Agarwal, Danielle Christianson, You-Wei Cheah, Norman Beekwilder, Tom Boden, Bai Yang, and Dario Papale, and Berkeley Biomet Lab: Siyan Ma, Joseph Verfaillie, Elke Eichelmann, and Sara Knox. This work uses eddy covariance and BADM data acquired and shared by the investigators involved in the AmeriFlux and Fluxnet-Canada Research Network. The site list and corresponding references are provided in the supporting information. We thank Claudia Wagner-Riddle, Andy Suyker, David Cook, Asko Noormets, Paul Stoy, and Brian Amiro for providing additional data. All actual canopy height data can be downloaded from AmeriFlux BADM. The R codes and aerodynamic canopy height data can be accessed at [http://github.com/chuhousen/aerodynamic\\_canopy\\_height](http://github.com/chuhousen/aerodynamic_canopy_height).

## References

- Abalos, D., Brown, S. E., Vanderzaag, A. C., Gordon, R. J., Dunfield, K. E., & Wagner-Riddle, C. (2016). Micrometeorological measurements over 3 years reveal differences in N<sub>2</sub>O emissions between annual and perennial crops. *Global Change Biology*, 22( 3), 1244- 1255.  
<https://doi.org/10.1111/gcb.13137>
- Aber, J. D. (1979). Foliage-height profiles and succession in northern hardwood forests. *Ecology*, 60( 1), 18- 23. <https://doi.org/10.2307/1936462>
- Abraha, M., Chen, J., Chu, H., Zenone, T., John, R., Su, Y.-J., et al. (2015). Evapotranspiration of annual and perennial biofuel crops in a variable climate. *GCB Bioenergy*, 7( 6), 1344- 1356.  
<https://doi.org/10.1111/gcbb.12239>
- Alekseychik, P. K., Korrensalo, A., Mammarella, I., Vesala, T., & Tuittila, E. S. (2017). Relationship between aerodynamic roughness length and bulk sedge leaf area index in a mixed-species boreal mire complex. *Geophysical Research Letters*, 44, 5836- 5843. <https://doi.org/10.1002/2017GL073884>
- Anderson-Teixeira, K. J., Delong, J. P., Fox, A. M., Brese, D. A., & Litvak, M. E. (2011). Differential responses of production and respiration to temperature and moisture drive the carbon balance across a climatic gradient in New Mexico. *Global Change Biology*, 17( 1), 410- 424.  
<https://doi.org/10.1111/j.1365-2486.2010.02269.x>
- Arain, M. A., & Restrepo-Coupe, N. (2005). Net ecosystem production in a temperate pine plantation in southeastern Canada. *Agricultural and Forest Meteorology*, 128( 3-4), 223- 241.  
<https://doi.org/10.1016/j.agrformet.2004.10.003>
- Baldocchi, D., Chen, Q., Chen, X., Ma, S., Miller, G., Ryu, Y., et al. (2010). *The dynamics of energy, water and carbon fluxes in a blue oak (Quercus douglasii) savanna in California, USA* (pp. 135- 151). Boca Raton, FL: CRC press.
- Baldocchi, D., Sturtevant, C., & Contributors, F. (2015). Does day and night sampling reduce spurious correlation between canopy photosynthesis and ecosystem respiration? *Agricultural and Forest Meteorology*, 207( 0), 117- 126. <https://doi.org/10.1016/j.agrformet.2015.03.010>
- Baldocchi, D. D., Finnigan, J., Wilson, K., Paw, U. K. T., & Falge, E. (2000). On measuring net ecosystem carbon exchange over tall vegetation on complex terrain. *Boundary-Layer Meteorology*, 96( 1-2), 257- 291.  
<https://doi.org/10.1023/A:1002497616547>
- Barr, A. G., Black, T., Hogg, E., Kljun, N., Morgenstern, K., & Nesic, Z. (2004). Inter-annual variability in the leaf area index of a boreal aspen-hazelnut forest in relation to net ecosystem production. *Agricultural and Forest Meteorology*, 126( 3-4), 237- 255.  
<https://doi.org/10.1016/j.agrformet.2004.06.011>

- Barr, J. G., Engel, V., Fuentes, J. D., Zieman, J. C., O'Halloran, T. L., Smith, T. J., et al. (2010). Controls on mangrove forest-atmosphere carbon dioxide exchanges in western Everglades National Park. *Journal of Geophysical Research*, 115, G02020. <https://doi.org/10.1029/2009JG001186>
- Beland, M., Parker, G., Harding, D., Hopkinson, C., Chasmer, L., & Antonarakis, A. (2015). White paper—On the use of LiDAR data at AmeriFlux sites. Retrieved from [http://ameriflux.lbl.gov/resources/reports/mbeland-et-al\\_lidar-ameriflux-white-paper\\_final/](http://ameriflux.lbl.gov/resources/reports/mbeland-et-al_lidar-ameriflux-white-paper_final/)
- Bergeron, O., Margolis, H. A., Black, T. A., Coursolle, C., Dunn, A. L., Barr, A. G., et al. (2007). Comparison of carbon dioxide fluxes over three boreal black spruce forests in Canada. *Global Change Biology*, 13( 1), 89– 107. <https://doi.org/10.1111/j.1365-2486.2006.01281.x>
- Bracho, R., Starr, G., Gholz, H. L., Martin, T. A., Cropper, W. P., & Loescher, H. W. (2012). Controls on carbon dynamics by ecosystem structure and climate for southeastern U.S. slash pine plantations. *Ecological Monographs*, 82( 1), 101– 128. <https://doi.org/10.1890/11-0587.1>
- Chan, F. C. C., Altaf Arain, M., Khomik, M., Brodeur, J. J., Peichl, M., Restrepo-Coupe, N., et al. (2018). Carbon, water and energy exchange dynamics of a young pine plantation forest during the initial fourteen years of growth. *Forest Ecology and Management*, 410, 12– 26. <https://doi.org/10.1016/j.foreco.2017.12.024>
- Chu, H., Baldocchi, D. D., John, R., Wolf, S., & Reichstein, M. (2017). Fluxes all of the time? A primer on the temporal representativeness of FLUXNET. *Journal of Geophysical Research: Biogeosciences*, 122, 289– 307. <https://doi.org/10.1002/2016JG003576>
- Chu, H., Chen, J., Gottgens, J. F., Desai, A. R., Ouyang, Z., & Qian, S. S. (2016). Response and biophysical regulation of carbon dioxide fluxes to climate variability and anomaly in contrasting ecosystems in northwestern Ohio, USA. *Agricultural and Forest Meteorology*, 220, 50– 68. <https://doi.org/10.1016/j.agrformet.2016.01.008>
- Chu, H., Chen, J., Gottgens, J. F., Ouyang, Z., John, R., Czajkowski, K., & Becker, R. (2014). Net ecosystem methane and carbon dioxide exchanges in a Lake Erie coastal marsh and a nearby cropland. *Journal of Geophysical Research: Biogeosciences*, 119, 722– 740. <https://doi.org/10.1002/2013JG002520>
- Clark, K., Renninger, H., Skowronski, N., Gallagher, M., & Schäfer, K. (2018). Decadal-scale reduction in forest net ecosystem production following insect defoliation contrasts with short-term impacts of prescribed fires. *Forests*, 9( 3), 145. <https://doi.org/10.3390/f9030145>
- Clark, K. L., Skowronski, N., Gallagher, M., Renninger, H., & Schäfer, K. (2012). Effects of invasive insects and fire on forest energy exchange and evapotranspiration in the New Jersey pinelands. *Agricultural and Forest*



*Meteorology*, 166-167, 50- 61.

<https://doi.org/10.1016/j.agrformet.2012.07.007>

Clark, K. L., Skowronski, N., & Hom, J. (2010). Invasive insects impact forest carbon dynamics. *Global Change Biology*, 16( 1), 88- 101.

<https://doi.org/10.1111/j.1365-2486.2009.01983.x>

Cook, B., Corp, L., Nelson, R., Middleton, E., Morton, D., McCorkel, J., et al. (2013). NASA Goddard's LiDAR, hyperspectral and thermal (G-LiHT) airborne imager. *Remote Sensing*, 5( 8), 4045- 4066.

<https://doi.org/10.3390/rs5084045>

Cook, B. D., Davis, K. J., Wang, W., Desai, A., Berger, B. W., Teclaw, R. M., et al. (2004). Carbon exchange and venting anomalies in an upland deciduous forest in northern Wisconsin, USA. *Agricultural and Forest Meteorology*, 126( 3-4), 271- 295. <https://doi.org/10.1016/j.agrformet.2004.06.008>

Davidson, E. A., Richardson, A. D., Savage, K. E., & Hollinger, D. Y. (2006). A distinct seasonal pattern of the ratio of soil respiration to total ecosystem respiration in a spruce-dominated forest. *Global Change Biology*, 12( 2), 230- 239. <https://doi.org/10.1111/j.1365-2486.2005.01062.x>

De Ridder, K. (2010). Bulk transfer relations for the roughness sublayer.

*Boundary-Layer Meteorology*, 134( 2), 257- 267.

<https://doi.org/10.1007/s10546-009-9450-y>

Desai, A. R., Bolstad, P. V., Cook, B. D., Davis, K. J., & Carey, E. V. (2005). Comparing net ecosystem exchange of carbon dioxide between an old-growth and mature forest in the upper Midwest, USA. *Agricultural and Forest Meteorology*, 128( 1-2), 33- 55.

<https://doi.org/10.1016/j.agrformet.2004.09.005>

Dold, C., Büyükcangaz, H., Rondinelli, W., Prueger, J. H., Sauer, T. J., & Hatfield, J. L. (2017). Long-term carbon uptake of agro-ecosystems in the Midwest. *Agricultural and Forest Meteorology*, 232, 128- 140.

<https://doi.org/10.1016/j.agrformet.2016.07.012>

Dore, S., Montes-Helu, M., Hart, S. C., Hungate, B. A., Koch, G. W., Moon, J. B., et al. (2012). Recovery of ponderosa pine ecosystem carbon and water fluxes from thinning and stand-replacing fire. *Global Change Biology*, 18( 10), 3171- 3185. <https://doi.org/10.1111/j.1365-2486.2012.02775.x>

Dunn, A. L., Barford, C. C., Wofsy, S. C., Goulden, M. L., & Daube, B. C. (2007). A long-term record of carbon exchange in a boreal black spruce forest: Means, responses to interannual variability, and decadal trends. *Global Change Biology*, 13( 3), 577- 590. <https://doi.org/10.1111/j.1365-2486.2006.01221.x>

Finnigan, J. J. (1979). Turbulence in waving wheat. *Boundary-Layer Meteorology*, 16( 2), 181- 211. <https://doi.org/10.1007/bf02350511>



- FLUXNET-Canada Team (2016). FLUXNET Canada Research Network—Canadian carbon program data collection, 1993-2014. ORNL DAAC, Oak Ridge, TN. <https://doi.org/10.3334/ORNLDAAC/1335>
- Frank, J. M., Massman, W. J., Ewers, B. E., Huckaby, L. S., & Negrón, J. F. (2014). Ecosystem CO<sub>2</sub>/H<sub>2</sub>O fluxes are explained by hydraulically limited gas exchange during tree mortality from spruce bark beetles. *Journal of Geophysical Research: Biogeosciences*, 119, 1195- 1215. <https://doi.org/10.1002/2013JG002597>
- Gardiner, B. A. (1994). Wind and wind forces in a plantation spruce forest. *Boundary-Layer Meteorology*, 67( 1-2), 161- 186. <https://doi.org/10.1007/BF00705512>
- Garratt, J. R. (1993). Sensitivity of climate simulations to land-surface and atmospheric boundary-layer treatments—A review. *Journal of Climate*, 6( 3), 419- 448. [https://doi.org/10.1175/1520-0442\(1993\)006<0419:SOCSTL>2.0.CO;2](https://doi.org/10.1175/1520-0442(1993)006<0419:SOCSTL>2.0.CO;2)
- Giannico, V., Laforteza, R., John, R., Sanesi, G., Pesola, L., & Chen, J. (2016). Estimating stand volume and above-ground biomass of urban forests using LiDAR. *Remote Sensing*, 8( 4), 339. <https://doi.org/10.3390/rs8040339>
- Giardina, F., Konings, A. G., Kennedy, D., Alemohammad, S. H., Oliveira, R. S., Uriarte, M., & Gentine, P. (2018). Tall Amazonian forests are less sensitive to precipitation variability. *Nature Geoscience*, 11( 6), 405- 409. <https://doi.org/10.1038/s41561-018-0133-5>
- Goldstein, A. H., Hultman, N. E., Fracheboud, J. M., Bauer, M. R., Panek, J. A., Xu, M., et al. (2000). Effects of climate variability on the carbon dioxide, water, and sensible heat fluxes above a ponderosa pine plantation in the Sierra Nevada (CA). *Agricultural and Forest Meteorology*, 101( 2-3), 113- 129. [https://doi.org/10.1016/S0168-1923\(99\)00168-9](https://doi.org/10.1016/S0168-1923(99)00168-9)
- Gough, C. M., Hardiman, B. S., Nave, L. E., Bohrer, G., Maurer, K. D., Vogel, C. S., et al. (2013). Sustained carbon uptake and storage following moderate disturbance in a Great Lakes forest. *Ecological Applications*, 23( 5), 1202- 1215. <https://doi.org/10.1890/12-1554.1>
- Goulden, M. L., Miller, S. D., & da Rocha, H. R. (2006). Nocturnal cold air drainage and pooling in a tropical forest. *Journal of Geophysical Research*, 111, D08S04. <https://doi.org/10.1029/2005JD006037>
- Goulden, M. L., Winston, G. C., McMillan, A. M. S., Litvak, M. E., Read, E. L., Rocha, A. V., et al. (2006). An eddy covariance mesonet to measure the effect of forest age on land-atmosphere exchange. *Global Change Biology*, 12( 11), 2146- 2162. <https://doi.org/10.1111/j.1365-2486.2006.01251.x>
- Graf, A., van de Boer, A., Moene, A., & Vereecken, H. (2014). Intercomparison of methods for the simultaneous estimation of zero-plane displacement and aerodynamic roughness length from single-level eddy-covariance data.

*Boundary-Layer Meteorology*, 151( 2), 373– 387.  
<https://doi.org/10.1007/s10546-013-9905-z>

Griffis, T. J., Baker, J. M., Sargent, S. D., Erickson, M., Corcoran, J., Chen, M., & Billmark, K. (2010). Influence of C4 vegetation on  $^{13}\text{C}$  discrimination and isoforcing in the upper Midwest, United States. *Global Biogeochemical Cycles*, 24, GB4006. <https://doi.org/10.1029/2009GB003768>

Griffis, T. J., Sargent, S. D., Baker, J. M., Lee, X., Tanner, B. D., Greene, J., et al. (2008). Direct measurement of biosphere-atmosphere isotopic  $\text{CO}_2$  exchange using the eddy covariance technique. *Journal of Geophysical Research*, 113, D08304. <https://doi.org/10.1029/2007JD009297>

Hadley, J. L., & Schedlbauer, J. L. (2002). Carbon exchange of an old-growth eastern hemlock (*Tsuga canadensis*) forest in central New England. *Tree Physiology*, 22( 15–16), 1079– 1092. <https://doi.org/10.1093/treephys/22.15-16.1079>

Hardiman, B., Bohrer, G., Gough, C., & Curtis, P. (2013). Canopy structural changes following widespread mortality of canopy dominant trees. *Forests*, 4( 3), 537– 552. <https://doi.org/10.3390/f4030537>

Harman, I., & Finnigan, J. J. (2007). A simple unified theory for flow in the canopy and roughness sublayer. *Boundary-Layer Meteorology*, 123( 2), 339– 363. <https://doi.org/10.1007/s10546-006-9145-6>

Howard, E. A., Gower, S. T., Foley, J. A., & Kucharik, C. J. (2004). Effects of logging on carbon dynamics of a jack pine forest in Saskatchewan, Canada. *Global Change Biology*, 10( 8), 1267– 1284. <https://doi.org/10.1111/j.1529-8817.2003.00804.x>

Humphreys, E. R., Black, T. A., Morgenstern, K., Cai, T., Drewitt, G. B., Nestic, Z., & Trofymow, J. A. (2006). Carbon dioxide fluxes in coastal Douglas-fir stands at different stages of development after clearcut harvesting. *Agricultural and Forest Meteorology*, 140( 1–4), 6– 22. <https://doi.org/10.1016/j.agrformet.2006.03.018>

Hurt, G. C., Fisk, J., Thomas, R. Q., Dubayah, R., Moorcroft, P. R., & Shugart, H. H. (2010). Linking models and data on vegetation structure. *Journal of Geophysical Research*, 115, G00E10. <https://doi.org/10.1029/2009JG000937>

Keenan, T. F., Darby, B., Felts, E., Sonnentag, O., Friedl, M. A., Hufkens, K., et al. (2014). Tracking forest phenology and seasonal physiology using digital repeat photography: A critical assessment. *Ecological Applications*, 24( 6), 1478– 1489. <https://doi.org/10.1890/13-0652.1>

Keenan, T. F., Hollinger, D. Y., Bohrer, G., Dragoni, D., Munger, J. W., Schmid, H. P., & Richardson, A. D. (2013). Increase in forest water-use efficiency as atmospheric carbon dioxide concentrations rise. *Nature*, 499( 7458), 324– 327. <https://doi.org/10.1038/nature12291>

Kljun, N., Calanca, P., Rotach, M. W., & Schmid, H. P. (2015). A simple two-dimensional parameterisation for flux footprint prediction (FFP). *Geoscientific Model Development*, 8( 11), 3695– 3713. <https://doi.org/10.5194/gmd-8-3695-2015>

Knox, S. H., Matthes, J. H., Sturtevant, C., Oikawa, P. Y., Verfaillie, J., & Baldocchi, D. (2016). Biophysical controls on interannual variability in ecosystem-scale CO<sub>2</sub> and CH<sub>4</sub> exchange in a California rice paddy. *Journal of Geophysical Research: Biogeosciences*, 121, 978– 1001. <https://doi.org/10.1002/2015JG003247>

Kormann, R., & Meixner, F. (2001). An analytical footprint model for non-neutral stratification. *Boundary-Layer Meteorology*, 99( 2), 207– 224. <https://doi.org/10.1023/a:1018991015119>

Kwon, H., Law, B. E., Thomas, C. K., & Johnson, B. G. (2017). The influence of hydrological variability on inherent water use efficiency in forests of contrasting composition, age, and precipitation regimes in the Pacific Northwest. *Agricultural and Forest Meteorology*, 249, 488– 500.

Law, B. E., Thornton, P. E., Irvine, J., Anthoni, P. M., & Van Tuyl, S. (2001). Carbon storage and fluxes in ponderosa pine forests at different developmental stages. *Global Change Biology*, 7( 7), 755– 777. <https://doi.org/10.1046/j.1354-1013.2001.00439.x>

Lee, X., Fuentes, J. D., Staebler, R. M., & Neumann, H. H. (1999). Long-term observation of the atmospheric exchange of CO<sub>2</sub> with a temperate deciduous forest in southern Ontario, Canada. *Journal of Geophysical Research*, 104( D13), 15,975– 15,984. <https://doi.org/10.1029/1999JD900227>

Lee, X., & Hu, X. (2002). Forest-air fluxes of carbon, water and energy over non-flat terrain. *Boundary-Layer Meteorology*, 103( 2), 277– 301. <https://doi.org/10.1023/A:1014508928693>

Lefsky, M. A. (2010). A global forest canopy height map from the Moderate Resolution Imaging Spectroradiometer and the Geoscience Laser Altimeter System. *Geophysical Research Letters*, 37, L15401. <https://doi.org/10.1029/2010GL043622>

Legendre, P., & Legendre, L. F. (2012). *Numerical ecology* (Vol. 24). Amsterdam, Netherlands: Elsevier.

Libiseller, C., & Grimvall, A. (2002). Performance of partial Mann-Kendall tests for trend detection in the presence of covariates. *Environmetrics*, 13( 1), 71– 84. <https://doi.org/10.1002/env.507>

Lindvall, J., Svensson, G., & Hannay, C. (2012). Evaluation of near-surface parameters in the two versions of the atmospheric model in CESM1 using Flux Station observations. *Journal of Climate*, 26( 1), 26– 44. <https://doi.org/10.1175/JCLI-D-12-00020.1>

Liu, H., Randerson, J. T., Lindfors, J., & Chapin, F. S. (2005). Changes in the surface energy budget after fire in boreal ecosystems of interior Alaska: An annual perspective. *Journal of Geophysical Research*, 110, D13101. <https://doi.org/10.1029/2004JD005158>

Ma, S., Baldocchi, D. D., Xu, L., & Hehn, T. (2007). Inter-annual variability in carbon dioxide exchange of an oak/grass savanna and open grassland in California. *Agricultural and Forest Meteorology*, 147( 3-4), 157- 171. <https://doi.org/10.1016/j.agrformet.2007.07.008>

Massman, W. (1997). An analytical one-dimensional model of momentum transfer by vegetation of arbitrary structure. *Boundary-Layer Meteorology*, 83( 3), 407- 421. <https://doi.org/10.1023/A:1000234813011>

Massman, W. J., Forthofer, J., & Finney, M. A. (2017). An improved canopy wind model for predicting wind adjustment factors and wildland fire behavior. *Canadian Journal of Forest Research*, 47( 5), 594- 603. <https://doi.org/10.1139/cjfr-2016-0354>

Maurer, K., Bohrer, G., Kenny, W., & Ivanov, V. (2015). Large-eddy simulations of surface roughness parameter sensitivity to canopy-structure characteristics. *Biogeosciences*, 12( 8), 2533- 2548. <https://doi.org/10.5194/bg-12-2533-2015>

Maurer, K. D., Hardiman, B. S., Vogel, C. S., & Bohrer, G. (2013). Canopy-structure effects on surface roughness parameters: Observations in a Great Lakes mixed-deciduous forest. *Agricultural and Forest Meteorology*, 177, 24- 34. <https://doi.org/10.1016/j.agrformet.2013.04.002>

McCaughey, J. H., Pejam, M. R., Arain, M. A., & Cameron, D. A. (2006). Carbon dioxide and energy fluxes from a boreal mixedwood forest ecosystem in Ontario, Canada. *Agricultural and Forest Meteorology*, 140( 1-4), 79- 96. <https://doi.org/10.1016/j.agrformet.2006.08.010>

Miller, R. M., Miller, S. P., Jastrow, J. D., & Rivetta, C. B. (2002). Mycorrhizal mediated feedbacks influence net carbon gain and nutrient uptake in *Andropogon gerardii*. *New Phytologist*, 155( 1), 149- 162. <https://doi.org/10.1046/j.1469-8137.2002.00429.x>

Monson, R. K., Turnipseed, A. A., Sparks, J. P., Harley, P. C., Scott-Denton, L. E., Sparks, K., & Huxman, T. E. (2002). Carbon sequestration in a high-elevation, subalpine forest. *Global Change Biology*, 8( 5), 459- 478. <https://doi.org/10.1046/j.1365-2486.2002.00480.x>

Myneni, Y. K. (2015). MOD15A2H MODIS/Terra Leaf Area Index/FPAR 8-Day L4 Global 500m SIN Grid V006.

Nakai, T., Kim, Y., Busey, R. C., Suzuki, R., Nagai, S., Kobayashi, H., et al. (2013). Characteristics of evapotranspiration from a permafrost black spruce forest in interior Alaska. *Polar Science*, 7( 2), 136- 148. <https://doi.org/10.1016/j.polar.2013.03.003>

Nakai, T., Sumida, A., Daikoku, K. i., Matsumoto, K., van der Molen, M. K., Kodama, Y., et al. (2008). Parameterisation of aerodynamic roughness over boreal, cool-and warm-temperate forests. *Agricultural and Forest Meteorology*, 148( 12), 1916- 1925.

<https://doi.org/10.1016/j.agrformet.2008.03.009>

Nakai, T., Sumida, A., Kodama, Y., Hara, T., & Ohta, T. (2010). A comparison between various definitions of forest stand height and aerodynamic canopy height. *Agricultural and Forest Meteorology*, 150( 9), 1225- 1233.

<https://doi.org/10.1016/j.agrformet.2010.05.005>

Nakai, T., Sumida, A., Matsumoto, K., Daikoku, K. i., Iida, S. i., Park, H., et al. (2008). Aerodynamic scaling for estimating the mean height of dense canopies. *Boundary-Layer Meteorology*, 128( 3), 423- 443.

<https://doi.org/10.1007/s10546-008-9299-5>

Noormets, A., Chen, J., & Crow, T. R. (2007). Age-dependent changes in ecosystem carbon fluxes in managed forests in northern Wisconsin, USA. *Ecosystems*, 10( 2), 187- 203. <https://doi.org/10.1007/s10021-007-9018-y>

Novick, K. A., Oren, R., Stoy, P. C., Siqueira, M. B. S., & Katul, G. G. (2009). Nocturnal evapotranspiration in eddy-covariance records from three co-located ecosystems in the southeastern U.S.: Implications for annual fluxes. *Agricultural and Forest Meteorology*, 149( 9), 1491- 1504.

<https://doi.org/10.1016/j.agrformet.2009.04.005>

ORNL DAAC (2017). MODIS Collection 6 land products global subsetting and visualization tool, Accessed May 5, 2017. Subset obtained for MOD15A2H product at various sites in Spatial Range: N=65.12N, S=3.02S, E=54.96W, W=147.49W, time period: 2000-02-18 to 2017-05-05, and subset size: 0.5 x 0.5 km.

Pastorello, G., Agarwal, D., Samak, T., Poindexter, C., Faybishenko, B., Gunter, D., Hollowgrass, R., Canfora, E. (2014). Observational data patterns for time series data quality assessment. Paper presented at the e-science (e-science), 2014 IEEE 10th international conference on 20-24 Oct. 2014.

Pastorello, G. Z., Papale, D., Chu, H., Trotta, C., Agarwal, D. A., Canfora, E., et al. (2017). The FLUXNET2015 dataset: The longest record of global carbon, water, and energy fluxes is updated. *Eos*, 98.

<https://doi.org/10.1029/2017EO071597>

Peichl, M., Brodeur, J. J., Khomik, M., & Arain, M. A. (2010). Biometric and eddy-covariance based estimates of carbon fluxes in an age-sequence of temperate pine forests. *Agricultural and Forest Meteorology*, 150( 7-8), 952- 965. <https://doi.org/10.1016/j.agrformet.2010.03.002>

Pennypacker, S., & Baldocchi, D. (2015). Seeing the fields and forests: Application of surface-layer theory and flux-tower data to calculating vegetation canopy height. *Boundary-Layer Meteorology*, 158( 2), 165- 182.

<https://doi.org/10.1007/s10546-015-0090-0>

Powell, T. L., Gholz, H. L., Clark, K. L., Starr, G., Cropper, W. P., & Martin, T. A. (2008). Carbon exchange of a mature, naturally regenerated pine forest in North Florida. *Global Change Biology*, 14( 11), 2523– 2538. <https://doi.org/10.1111/j.1365-2486.2008.01675.x>

Powell, T. L., Starr, G., Clark, K. L., Martin, T. A., & Gholz, H. L. (2005). Ecosystem and understory water and energy exchange for a mature, naturally regenerated pine flatwoods forest in North Florida. *Canadian Journal of Forest Research*, 35( 7), 1568– 1580. <https://doi.org/10.1139/x05-075>

R Core Team (2017). *R: A language and environment for statistical computing (version 3.4.1.)*. Vienna, Austria: R Foundation for Statistical Computing. Retrieved from <http://www.r-project.org>

Raupach, M. (1994). Simplified expressions for vegetation roughness length and zero-plane displacement as functions of canopy height and area index. *Boundary-Layer Meteorology*, 71( 1-2), 211– 216. <https://doi.org/10.1007/BF00709229>

Raupach, M. R. (1995). Corrigenda. *Boundary-Layer Meteorology*, 76( 3), 303– 304. <https://doi.org/10.1007/bf00709356>

Reed, D. E., Ewers, B. E., & Pendall, E. (2014). Impact of mountain pine beetle induced mortality on forest carbon and water fluxes. *Environmental Research Letters*, 9( 10), 105004. <https://doi.org/10.1088/1748-9326/9/10/105004>

Richardson, A. D., & Hollinger, D. Y. (2005). Statistical modeling of ecosystem respiration using eddy covariance data: Maximum likelihood parameter estimation, and Monte Carlo simulation of model and parameter uncertainty, applied to three simple models. *Agricultural and Forest Meteorology*, 131( 3-4), 191– 208. <https://doi.org/10.1016/j.agrformet.2005.05.008>

Rigden, A., Li, D., & Salvucci, G. (2017). Dependence of thermal roughness length on friction velocity across land cover types: A synthesis analysis using AmeriFlux data. *Agricultural and Forest Meteorology*, 249, 512– 519. <https://doi.org/10.1016/j.agrformet.2017.06.003>

Ruehr, N. K., Law, B. E., Quandt, D., & Williams, M. (2014). Effects of heat and drought on carbon and water dynamics in a regenerating semi-arid pine forest: A combined experimental and modeling approach. *Biogeosciences Discussions*, 11( 1), 551– 591. <https://doi.org/10.5194/bgd-11-551-2014>

Sakai, R. K., Fitzjarrald, D. R., & Moore, K. E. (2001). Importance of low-frequency contributions to eddy fluxes observed over rough surfaces. *Journal of Applied Meteorology*, 40( 12), 2178– 2192. [https://doi.org/10.1175/1520-0450\(2001\)040<2178:IOLFCT>2.0.CO;2](https://doi.org/10.1175/1520-0450(2001)040<2178:IOLFCT>2.0.CO;2)

Saleska, S. R., Miller, S. D., Matross, D. M., Goulden, M. L., Wofsy, S. C., Da Rocha, H. R., et al. (2003). Carbon in Amazon forests: Unexpected seasonal

- fluxes and disturbance-induced losses. *Science*, 302( 5650), 1554- 1557.  
<https://doi.org/10.1126/science.1091165>
- Schaudt, K. J., & Dickinson, R. E. (2000). An approach to deriving roughness length and zero-plane displacement height from satellite data, prototyped with BOREAS data. *Agricultural and Forest Meteorology*, 104( 2), 143- 155.  
[https://doi.org/10.1016/S0168-1923\(00\)00153-2](https://doi.org/10.1016/S0168-1923(00)00153-2)
- Schmid, H. P., Grimmond, C. S. B., Cropley, F., Offerle, B., & Su, H.-B. (2000). Measurements of CO<sub>2</sub> and energy fluxes over a mixed hardwood forest in the mid-western United States. *Agricultural and Forest Meteorology*, 103( 4), 357- 374. [https://doi.org/10.1016/S0168-1923\(00\)00140-4](https://doi.org/10.1016/S0168-1923(00)00140-4)
- Schmid, H. P., Su, H. B., Vogel, C. S., & Curtis, P. S. (2003). Ecosystem-atmosphere exchange of carbon dioxide over a mixed hardwood forest in northern lower Michigan. *Journal of Geophysical Research*, 108( D14), 4417.  
<https://doi.org/10.1029/2002JD003011>
- Sen, P. K. (1968). Estimates of the regression coefficient based on Kendall's Tau. *Journal of the American Statistical Association*, 63( 324), 1379- 1389.  
<https://doi.org/10.2307/2285891>
- Shaw, R. H., & Pereira, A. (1982). Aerodynamic roughness of a plant canopy: A numerical experiment. *Agricultural Meteorology*, 26( 1), 51- 65.  
[https://doi.org/10.1016/0002-1571\(82\)90057-7](https://doi.org/10.1016/0002-1571(82)90057-7)
- Simard, M., Pinto, N., Fisher, J. B., & Baccini, A. (2011). Mapping forest canopy height globally with spaceborne lidar. *Journal of Geophysical Research*, 116, G04021. <https://doi.org/10.1029/2011JG001708>
- Sonnentag, O., Detto, M., Vargas, R., Ryu, Y., Runkle, B. R. K., Kelly, M., & Baldocchi, D. D. (2011). Tracking the structural and functional development of a perennial pepperweed (*Lepidium latifolium* L.) infestation using a multi-year archive of webcam imagery and eddy covariance measurements. *Agricultural and Forest Meteorology*, 151( 7), 916- 926.  
<https://doi.org/10.1016/j.agrformet.2011.02.011>
- Su, H.-B., Schmid, H. P., Grimmond, C., Vogel, C. S., & Oliphant, A. J. (2004). Spectral characteristics and correction of long-term eddy-covariance measurements over two mixed hardwood forests in non-flat terrain. *Boundary-Layer Meteorology*, 110( 2), 213- 253.  
<https://doi.org/10.1023/A:1026099523505>
- Sun, G., Noormets, A., Gavazzi, M. J., McNulty, S. G., Chen, J., Domec, J. C., et al. (2010). Energy and water balance of two contrasting loblolly pine plantations on the lower coastal plain of North Carolina, USA. *Forest Ecology and Management*, 259( 7), 1299- 1310.  
<https://doi.org/10.1016/j.foreco.2009.09.016>
- Suyker, A. E., & Verma, S. B. (2001). Year-round observations of the net ecosystem exchange of carbon dioxide in a native tallgrass prairie. *Global*

*Change Biology*, 7( 3), 279– 289. <https://doi.org/10.1046/j.1365-2486.2001.00407.x>

Suyker, A. E., & Verma, S. B. (2010). Coupling of carbon dioxide and water vapor exchanges of irrigated and rainfed maize-soybean cropping systems and water productivity. *Agricultural and Forest Meteorology*, 150( 4), 553–563. <https://doi.org/10.1016/j.agrformet.2010.01.020>

Suyker, A. E., & Verma, S. B. (2012). Gross primary production and ecosystem respiration of irrigated and rainfed maize-soybean cropping systems over 8 years. *Agricultural and Forest Meteorology*, 165( 0), 12– 24. <https://doi.org/10.1016/j.agrformet.2012.05.021>

Thom, A. (1971). Momentum absorption by vegetation. *Quarterly Journal of the Royal Meteorological Society*, 97( 414), 414– 428. <https://doi.org/10.1002/qj.49709741404>

Thomas, C., & Foken, T. (2007). Organised motion in a tall spruce canopy: Temporal scales, structure spacing and terrain effects. *Boundary-Layer Meteorology*, 122( 1), 123– 147. <https://doi.org/10.1007/s10546-006-9087-z>

Thomas, C. K., Law, B. E., Irvine, J., Martin, J. G., Pettijohn, J. C., & Davis, K. J. (2009). Seasonal hydrology explains interannual and seasonal variation in carbon and water exchange in a semiarid mature ponderosa pine forest in Central Oregon. *Journal of Geophysical Research*, 114, G04006. <https://doi.org/10.1029/2009JG001010>

Thomas, C. K., Martin, J. G., Law, B. E., & Davis, K. (2013). Toward biologically meaningful net carbon exchange estimates for tall, dense canopies: Multi-level eddy covariance observations and canopy coupling regimes in a mature Douglas-fir forest in Oregon. *Agricultural and Forest Meteorology*, 173( 0), 14– 27. <https://doi.org/10.1016/j.agrformet.2013.01.001>

Tian, X., Li, Z., Van der Tol, C., Su, Z., Li, X., He, Q., et al. (2011). Estimating zero-plane displacement height and aerodynamic roughness length using synthesis of LiDAR and SPOT-5 data. *Remote Sensing of Environment*, 115( 9), 2330– 2341. <https://doi.org/10.1016/j.rse.2011.04.033>

Toda, M., & Richardson, A. D. (2017). Estimation of plant area index and phenological transition dates from digital repeat photography and radiometric approaches in a hardwood forest in the northeastern United States. *Agricultural and Forest Meteorology*, 249, 457– 466.

Urbanski, S., Barford, C., Wofsy, S., Kucharik, C., Pyle, E., Budney, J., et al. (2007). Factors controlling CO<sub>2</sub> exchange on timescales from hourly to decadal at Harvard Forest. *Journal of Geophysical Research*, 112, G02020. <https://doi.org/10.1029/2006JG000293>

Verma, S. (1989). Aerodynamic resistances to transfers of heat, mass and momentum. *Estimation of Areal Evapotranspiration*, 177, 13– 20.



Wharton, S., Falk, M., Bible, K., Schroeder, M., Paw, U., & K. T. (2012). Old-growth CO<sub>2</sub> flux measurements reveal high sensitivity to climate anomalies across seasonal, annual and decadal time scales. *Agricultural and Forest Meteorology*, 161( 0), 1- 14. <https://doi.org/10.1016/j.agrformet.2012.03.007>

Wilcox, R. R. (2011). *Introduction to robust estimation and hypothesis testing*. Amsterdam, Netherlands: Academic Press.

Zhang, M., Tian, B., Li, Z., & Zhou, J. (2017). Modelling temporal variations in microwave backscattering from reed marshes. *International Journal of Remote Sensing*, 38( 23), 6930- 6944. <https://doi.org/10.1080/01431161.2017.1368100>