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## Self-assembled 20-nm <sup>64</sup>Cu-micelles enhance accumulation in rat glioblastoma

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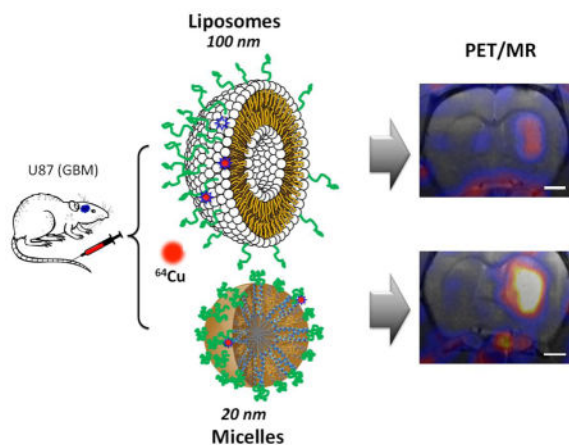
### Abstract

There is an urgent need to develop nanocarriers for the treatment of glioblastoma multiforme (GBM). Using co-registered positron emission tomography (PET) and magnetic resonance (MR) images, here we performed systematic studies to investigate how a nanocarrier's size affects the pharmacokinetics and biodistribution in rodents with a GBM xenograft. In particular, highly stable, long-circulating three-helix micelles (3HM), based on a coiled-coil protein tertiary structure, were evaluated as an alternative to larger nanocarriers. While the circulation half-life of the 3HM was similar to 110-nm PEGylated liposomes ( $t_{1/2} = 15.5$  and 16.5 h, respectively), the 20-nm micelles greatly enhanced accumulation within a U87MG xenograft in nu/nu rats after intravenous injection. After accounting for tumor blood volume, the extravasated nanoparticles were quantified from the PET images, yielding ~0.77 %ID/cc for the micelles and 0.45 %ID/cc for the liposomes. For GBM lesions with a volume greater than 100 mm<sup>3</sup>, 3HM accumulation was enhanced both within the detectable tumor and in the surrounding brain parenchyma. Further, the nanoparticle accumulation was shown to extend to the margins of the GBM xenograft. In summary, 3HM provides an attractive nanovehicle for carrying treatment to GBM.

### Graphical Abstract

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## 1. Introduction

Glioblastoma multiforme (GBM) is the most common and aggressive malignant primary brain tumor, with a median patient survival of 12–15 months (1–3). Combining radiotherapy and post-surgical chemotherapy using cisplatin (4, 5), irinotecan (6–8), thalidomide (9, 10), or bevacizumab (11, 12) has only led to a limited improvement in survival rate (13, 14). The blood-brain barrier (BBB) typically limits the accumulation of therapeutics within the brain and such drugs can be deactivated by intra- and extra-cellular enzymes in the BBB. The BBB includes a range of passive and active transport mechanisms: 1) a paracellular pathway, regulated by tight junctions; 2) a lipophilic pathway, through the lipid membranes; 3) specific receptor-mediated transcytosis actuated by specific interactions with receptors on cerebral endothelial cells; and 4) non-specific adsorptive-mediated transcytosis, triggered by interactions between positively-charged species and negatively-charged lipid membranes on endothelial cells (15). The BBB is altered in the presence of diseases such as GBM and transport of nanotherapies is enhanced through junctions that are altered by the presence of disease. However, while essentially all GBM patients have significant BBB disruption, the disruption is variable across the tumor and GBM patients also have regions of tumor with limited BBB permeability (16). Therefore, the development of strategies to enhance drug accumulation is important. Further, when drugs are delivered to the GBM tumor parenchyma, efflux transporters actively pump the drug out of the target cell (15, 17–19). GBM therapeutics must be administered at a high dose that can lead to severe side effects and early termination of treatment, and thus, there is an urgent need to develop nanocarriers for the treatment of GBM.

It is well known that the surface chemistry of a nanocarrier determines its pharmacokinetics (PK), biodistribution and clearance pathway (20), and PEGylation is required to avoid recognition by the reticuloendothelial system (RES) and extend the circulation time. However, in the absence of additional surface modification, PEGylated nanocarriers typically do not cross the BBB (21, 22). When the BBB is comprised by disease, passive delivery of nanotherapeutics is feasible. Passive delivery of long-circulating nanoparticles via the enhanced permeability retention (EPR) effect has been the major mechanism for nanoparticle uptake into tumors (23–27). In general, smaller nanoparticles (15 ~ 50 nm)

demonstrate a greater EPR effect and intratumoral distribution than larger nanoparticles (100 ~ 300 nm) and therefore show the potential to enhance accumulation (28, 29). However, systematic studies of the effect of nanocarrier size and surface chemistry on the carrier's ability to accumulate within GBM tumor tissue have been lacking. Previous studies have shown that the vascular permeability increases in highly angiogenic glioblastoma due to the disrupted BBB providing a conduit for the delivery of nanotherapies (30–32). However, the vascular permeability is reduced in brain tumors as compared with tumors within other organs and the size limit for nanoparticles observed to preferentially accumulate in glioblastoma (7–100 nm) is smaller than that in colorectal carcinoma, hepatoma, and sarcoma (380 – 2000 nm) (33). Once localized in the tumor, there is increasing evidence that nanocarriers need to be below a certain size to achieve significant tumor penetration (34–37).

Enhanced delivery to brain tumors with small nanoparticles has not yet been experimentally validated. Hobbs et al. demonstrated that particle permeability for orthotopic brain tumors was limited to particles with a diameter ranging from 7 to 100 nm (33); however, differences within the size range were not described. Kim et al. reported that PEGylated silica nanoparticle uptake in a U87MG mouse xenograft was greater with 100–150 nm particles as compared with larger and smaller particles (40 and > 300 nm) (38). However, in this study the tumor was implanted in the mouse shoulder, which may differ in the pore cutoff size as compared with the orthotopic brain tumor.

The study compares the accumulation of two  $^{64}\text{Cu}$ -labeled nanocarriers: a PEGylated 110-nm liposome with similar pharmacokinetics to other long-circulating liposomes (39) and recently developed 20 nm 3-helix micelles (3HM) (40). This family of highly stable, long circulating 3HM is based on a coiled-coil protein tertiary structure that is routinely used to present ligand clusters on the cell surface, where the peptide-polymer conjugate amphiphile is schematically shown in Fig. 1. The headgroup of the amphiphile consists of a peptide that self-associates to form a coiled-coil 3-helix bundle and a PEG chain (2000 Da) attached to the exterior of the 3-helix bundle at the middle position. A short PEG chain (750 Da) is also attached to one end of the peptide (C-terminus) and acts as a stealth layer on the surface of the micelle. The hydrophobic portion of the amphiphile is a double alkyl tail attached to the other end of the peptide (N-terminus). The amphiphile can be readily synthesized at high purity. Once dissolved in aqueous solution, the amphiphile self-assembles to form a 3HM that is ~20 nm by dynamic light scattering and 18 nm by small angle. x-ray scattering in size with very low polydispersity (40–44). Systematic characterization confirmed very slow subunit exchange kinetics and excellent kinetic stability of the micelle under physiological condition (40, 42). Micelles labeled with the FRET pair DiI and DiO demonstrated a trace level of cargo dissociation from the micelles over 24 hours in BSA (40).

We have previously developed methods to label liposomes and micelles with  $^{64}\text{Cu}$  using the 6-BAT chelator and have shown these labels to be stable in serum over 48 hours (39). Less than 8% of the  $^{64}\text{Cu}$  label disassociated from liposomes over 48 h of serum incubation at 37 °C and results with micelles were similar. In a mouse model, the circulation half-life for the  $^{64}\text{Cu}$ -labeled micelles was 29.5 h and ~15% of the injected dose/gram (%ID/g) remained in circulation after 48 h, as compared with 7 %ID/g for PEGylated liposomes. *In vivo* studies

further showed that the accumulation of 3HM in the liver and spleen is substantially reduced as compared with PEGylated liposomes (40).

Using co-registered positron emission tomography (PET) and magnetic resonance (MR) images, here we report on systematic studies to investigate how the nanocarrier's size affects the pharmacokinetics and biodistribution in rodents with GBM xenograft and evaluate the unique 3HM for treatment of GBM. The resulting data suggest that imaging of nanoparticle distribution and tumor kinetics can be used to improve the design of nanoparticles for GBM treatment and confirm that GBM delivery can be improved with small nanocarriers.

## 2. Materials and methods

An overview of the experimental procedures is provided in Fig. 1. HSPC, cholesterol, DSPE-PEG2k-OMe, were purchased from Avanti Polar Lipids (Alabaster, AL). Solvents and other agents were all of analytical purity and purchased from Sigma-Aldrich (Milwaukee, WI) and VWR (Brisbane, CA).  $^{64}\text{CuCl}_2$  was purchased from MIR Radiological Science (St. Louis, MO) under a protocol controlled by the University of California Davis. Phosphate-buffered saline (PBS) was purchased from Invitrogen Corporation (Carlsbad, CA).

The 3HM amphiphile can be readily synthesized using solid phase peptide synthesizer. Detailed chemistry and purification procedure have been documented in detail (40). Briefly, the amphiphile is based on a 3-helix bundle forming peptide, ICOI (EVEALEKKVAALECKVQALEKKVEALEHGW)(45, 46). The peptide was synthesized on a Prelude solid phase peptide synthesizer (Protein Technologies, Az) using standard 9-fluorenylmethyl carbamate (Fmoc) chemistry. For the synthesis of amphiphilic subunits, the alkyl chains were conjugated through reaction of stearic acid (C18) with deprotected Fmoc-Lys(Fmoc)-OH to generate a branched alkyl tail at the N-terminus. Modification of the C-terminus was achieved through an orthogonal Fmoc-Lys(Alloc)-OH protection strategy employed in Fmoc-SPPS. The resulting free amino groups of lysine were utilized for conjugating carboxy-terminated PEG (750 Da) using HBTU/DIPEA chemistry. Cleavage was carried out using a cocktail of 90:8:2 TFA/TIS/water for 3 h. Crude peptides were precipitated in cold ether, isolated, and dried for the conjugation of polymers. To conjugate PEG, a cysteine at position 14 facilitates the site-specific coupling of maleimide-functionalized PEG of molecular weight 2000 g/mol to the middle of the peptide sequence. The conjugation reaction was carried out in phosphate buffer (pH = 7.4) overnight with a reaction ratio of PEG to peptide at 5:1. Cysteine at the C-terminus of dC18-ICOI(P2k) allows for the conjugation of 6-BAT-maleimide onto the peptides for PET imaging.

### 2.1. Preparation of $^{64}\text{Cu}$ -labeled liposomes and micelles

Preparation of liposomes and micelles followed our previously reported methods (39, 40). To facilitate post-labeling for PET, a custom lipid-chelator conjugate containing the BAT chelator is incorporated into liposomes and micelles. Synthesis of the lipid-chelator conjugates was previously described (39, 40). In brief, *for liposome preparation*: in a glass test tube, the dried lipid film (20 mg, HSPC:6-BAT-lipid:DSPE-PEG2k-OMe:cholesterol=55.5:0.5:5:39, mole percent) was suspended in 0.1 M ammonium citrate buffer (pH 5.5, 0.5

mL) and the solution was incubated for 30 min at 60 °C. The lipid mixture was then extruded 21 times through mini-extruder with a 100-nm membrane filter under 60–65 °C heating. After cooling, the solution was kept at room temperature until <sup>64</sup>Cu labeling was complete. *For micelle preparation:* dC18-1COI(P2k)-P750 with 2 mol% dC18-1COI(P2k)-6-BAT(10 mg) was dissolved in double-distilled water (0.5 mL) and spontaneously self-assembled into micelles with incubation at 70 °C until the solution became clear (approximately 1 h). Particle size and zeta-potential were measured via dynamic light scattering (DLS) with a Zetasizer Nano (Malvern Instruments Inc., Westborough, MA).

Liposomes (0.2 mL of 40 mg/mL solution) and micelles (0.4 mL of a 20 mg/mL solution) were added to <sup>64</sup>CuCl<sub>2</sub> (Washington University, MO) buffered in 0.1 M ammonium citrate (pH 5.5, 0.1 mL) and incubated for 50 minutes. 0.1 M EDTA (20 mL) in double-distilled water was added in order to remove the non-specifically bound <sup>64</sup>Cu from the particles. Completion of <sup>64</sup>Cu labeling was monitored by instant thin-layer chromatography (ITLC) eluted by a 0.1 M ammonium citrate solution (pH 5.5). The chemical purity of isolated <sup>64</sup>Cu-liposomes and <sup>64</sup>Cu-micelles after size-exclusion column chromatography (Sephadex-G75 superfine, 6 mL bed volume, DPBS) was determined by ITLC.

## 2.2. 3HM and characterization

After dissolving the lyophilized amphiphile powder into aqueous solution, dynamic light scattering (DLS) reveals a hydrodynamic diameter of ~20 nm and a fairly uniform size distribution of micelles. We further performed solution small angle x-ray scattering studies to verify the particle size and the outer PEG layer thickness. The surface property of the micelle has significant effects on the *in vivo* behavior of nanocarrier. Although previous *in vivo* studies confirmed the effective stealth PEG layer on the 3HM surface, it is important to determine the PEG 750 conformation and the PEG brush layer density.

**Small-angle X-ray scattering of 3HM**—Small-angle x-ray scattering (SAXS) experiments were carried out at the Advanced Light Source (ALS) at the Lawrence Berkeley National Lab, Berkeley, California at the SAXS/WAXS/GISAXS beamline 7.3.3. The instrument was operated using an X-ray energy of 10 keV and a sample–detector length of 1.2 m and a 1 M Pilatus detector. Samples were contained in standard boron–quartz capillaries situated in a homemade sample holder. Using this setup, background subtraction could be performed quantitatively. Samples were dissolved in phosphate buffer (25 mM, pH 7.4) at a concentration of ~5 mg/ml, annealed at 70°C for 1 hour and allowed to equilibrate at room temperature overnight before SAXS measurements were performed.

## 2.3. Animal model

All animal experiments were conducted under a protocol approved by the University of California, Davis, Animal Use and Care Committee (Davis, CA). Eleven male athymic nude rats were purchased from Harlan Laboratories (Hayward, CA) and weighed ~250 g upon arrival. U87MG cells at  $3 \times 10^6$  cells/10  $\mu$ L were intracranially inoculated through a small burr hole in the skull into the right striatum of each rat. Imaging studies were completed at

nine (n = 6) and sixteen days (n = 5) post-surgery; at this time the age ranged from 82 to 93 days and the average body weight was  $294 \pm 35$  g.

#### 2.4. Positron Emission Tomography/Magnetic Resonance (PET/MR) imaging

Radioactivity was handled under a university-approved radiation use authorization (Davis, CA). Glioblastoma-bearing rats were administered 200  $\mu$ L of  $^{64}\text{Cu}$ -liposomes ( $690 \pm 325$   $\mu\text{Ci}$ ,  $4.15 \pm 0.75$  mg, n = 6) and  $^{64}\text{Cu}$ -micelles ( $284 \pm 97$   $\mu\text{Ci}$ ,  $4.22 \pm 0.99$  mg, n = 5) via the tail vein under 1.5% isoflurane anesthesia. The critical micelle concentration (CMC) tested in this experiment was  $\sim 4$   $\mu\text{M}$  ( $\sim 0.03$  mg/mL). Thus, the micelle concentration (0.23 mg/mL) (calculated by dividing the average dose ( $4.22 \pm 0.99$  mg) of micelles by estimated blood volume (18.4 mL) (47)) was seven times higher than the CMC.

PET images were acquired with a Focus 120 scanner (Siemens Medical Solutions Inc., USA) over 30 minutes at 0, 3.5, 7, and 21 h after injection of nanoparticles. After PET scanning at 21 hours, MR imaging was immediately performed with a Bruker Biospec 7 Tesla (7T) small-animal scanner (Bruker BioSpin MRI, Ettlingen, Germany). A 72-mm internal diameter linear resonator was used for RF transmission and a four-channel rat brain phased array surface coil was used for signal reception. Rat brains were imaged coronally with a fast-spin echo sequence (“RARE”; axial: TE/TR = 8 ms/750 ms; FOV =  $40 \times 40$  mm<sup>2</sup>; MTX =  $256 \times 256$ ; ST/SI = 1 mm/1 mm; ETL = 4. Coronal: TE/TR = 9ms/1200ms; FOV =  $50 \times 30$  mm<sup>2</sup>; MTX =  $320 \times 192$ ; ST/SI = 1 mm/1 mm; ETL = 4.). Data were acquired and reconstructed with ParaVision 5.1 software (Bruker BioSpin MRI). PET/MR images were co-registered on Inveon Research Workspace 4.2 (Siemens Medical Solutions Inc., USA)

#### 2.5. Biodistribution

After PET/MR imaging, animals were immediately euthanized with Euthasol (Western Medical Supply, Arcadia, CA). Blood was collected by syringe from the left ventricle and perfused from the body with *Dulbecco's Modified Eagle's medium* (DMEM, Invitrogen, Carlsbad, CA). Heart, lungs, stomach, intestine, muscle, bone, liver, kidneys, spleen and brain were harvested and placed in a gamma counter (Perkin-Elmer life Sciences). Values are presented as the %ID/g.

#### 2.6. Image analysis for pharmacokinetics

All PET images were reconstructed with the maximum *a posteriori* (MAP) reconstruction algorithm and analyzed with AsiPro software (Onccorde Microsystems Inc., Knoxville, TN) and Inveon Research Workspace 4.2 (Siemens Medical Solutions Inc., USA). Regions of interest (ROIs) within the glioblastoma and contralateral left brain (striatum) were drawn on co-registered PET/MR images with a volume ranging from 38 to 201 mm<sup>3</sup> and 33 to 138 mm<sup>3</sup>, respectively. ROIs in the contralateral brain (striatum) were of a similar size and location to those applied in the tumor. The radioactivity within the blood pool was obtained using ROIs in the heart chamber from the PET images.



Time-activity curves (TAC) of blood radioactivity subtracted  $^{64}\text{Cu}$ -liposomes and  $^{64}\text{Cu}$ -micelles uptake in glioblastoma were obtained by equation 1 and values are given in Table S2,

$$R_{\text{GBM,real}}(t) = R_{\text{GBM,ROI}}(t) - \% \text{VB}_{\text{GBM}}(t_0) \times R_{\text{Blood,ROI}}(t), \quad \text{Eq. 1}$$

where  $R_{\text{GBM,ROI}}(t)$  is the tumor radioactivity (%ID/cc) at any given time point ( $t$ ),  $\% \text{VB}_{\text{GBM}}(t_0)$  is the percent blood volume in glioblastoma measured at the 0 h time point ( $t_0$ ) and  $R_{\text{Blood,ROI}}(t)$  is the radioactivity (%ID/cc) of blood at each time point.

The percent tumor blood volume (TBV) and left brain blood volume (LBV) was calculated by equation 2. TBV and LBV are presented as percent vascular volume in glioblastoma and left brain (striatum)

$$\% \text{ Blood volume} = \frac{R_{\text{GBM,ROI}}(t_0) \text{ or } R_{\text{LB,ROI}}(t_0)}{R_{\text{blood,ROI}}(t_0)}, \quad \text{Eq. 2}$$

where  $R_{\text{GBM,ROI}}(t_0)$  and  $R_{\text{LB,ROI}}(t_0)$  are the radioactivity (%ID/cc) in the glioblastoma and left brain (striatum) at the 0 h time point ( $t_0$ ),  $R_{\text{Blood,ROI}}(t_0)$  is the radioactivity (%ID/cc) of blood at the 0 h time point ( $t_0$ ).

To determine the circulation half-life of the  $^{64}\text{Cu}$ -liposomes and  $^{64}\text{Cu}$ -micelles, the %ID/cc obtained from the ROI image was fitted to a one-phase decay curve using Prism 6 for Mac OS X software (La Jolla, CA). Data are presented as percent injected dose per cubic centimeter (%ID/cc).

## 2.7. Autoradiography

At necropsy, the sample was placed in Tissue-Tek O.C.T. compound (Sakura Finetek, Torrance, CA), frozen down in a mixture of isopropanol and dry ice and placed in the cryostat (Leica Microsystems Inc, Buffalo Grove, IL) to equalize the temperature. The sample was then mounted on the cutting stage with O.C.T. and 10–20  $\mu\text{m}$  slices were taken in succession from the front of the brain to the rear of the brain. Slices were adhered onto glass slides (Fisher Scientific, Waltham, MA) and once dry were placed on an autoradiography cassette and exposed to the Storage Phosphor Screen (Molecular Dynamics, Sunnyvale, CA) for 24 h before analysis on a Phosphor Imager STORM 860 (Amersham Biosciences, NJ). Contrast of the autoradiography image was normalized with the injected dose to compare the intensity of particle accumulation within the brain tumor.

## 2.8. H&E and Immunohistochemistry (IHC)

Tissues for microscopic analysis were fixed overnight in 4% buffered formalin and transferred to 70% ethanol the next day. A Tissue-Tek VIP autoprocessor (Sakura, Torrance, CA) was used to process samples for paraffin-embedding. Tissue blocks were then sectioned to 4  $\mu\text{m}$  sections mounted on glass slides then stained with ayer's hematoxylin and eosin. Samples were processed for immunohistochemistry (IHC) with a goat anti-mouse PECAM-1 (CD31) primary antibody (1:1600; SC-1506, Santa Cruz Biotechnology, Santa



Cruz, CA). All IHC was performed manually. Antigen retrieval was performed in a Decloaking Chamber (Biocare Medical, Concord, CA) with citrate buffer at pH 6.0, 125°C and pressure of 15 psi within 45 min. Incubation with the primary antibody was performed at room temperature overnight in a humidified chamber and normal horse serum was used for blocking. Biotinylated horse anti-goat (1:1000; Vector Labs, Burlingame, CA) was the secondary antibody used with a Vectastain ABC Kit Elite and a Peroxidase Substrate Kit DAB (both from Vector Labs), which were used for amplification and visualization of the signal, respectively. Tissues known to contain the assessed antigen were used as positive controls.

## 2.9. Statistical Methods

Values are presented as means  $\pm$  S.E.M. Statistical analyses were conducted using GraphPad Prism (v6). For the statistical analysis of tumor accumulation of liposomes and micelles (Fig. 5a, 5c, 6a and 6b), two-way ANOVA corrected by Sidak's multiple comparisons was performed. Other values were analyzed using unpaired t-test (two-tailed with Welch's correction. A corrected P value of \* <0.05 was considered significant.

## 3. Results

### 3.1. Preparation of $^{64}\text{Cu}$ -liposomes and -micelles

To facilitate post-labeling, a custom lipid-PEG-chelator conjugate is incorporated into the self-assembled liposomes and micelles. As illustrated in Fig. 1, liposomes with 0.5 mol% 6-BAT lipid and micelles with 2 mol% of dC18-1COI(P2k)-6-BAT were successfully prepared in 0.1 M ammonium citrate buffer (pH 5.5) and deionized water, respectively. The average mean diameter of the liposomes and micelles was  $111.9 \pm 5.7$  and  $19.6 \pm 7.4$  nm, respectively (Table 1). The Z-average particle size of the liposomes was about 6-fold greater than that of the micelles (Fig. 1). The zeta-potential of the liposomes and micelles was  $-15.6 \pm 3.5$  and  $-13.6 \pm 1.4$  mV under physiological pH, where the negative charge of micelles and liposomes results from PEG on the surface.  $^{64}\text{Cu}$  was efficiently incorporated into the 6-BAT chelator on both particles resulting in an  $80 \pm 19\%$  radiolabeling yield, which is comparable to the previous reports (39, 40). The radiochemical purities of the liposomes and micelles measured by ITLC were above 98% after size-exclusion chromatography. The specific activities of the liposomes and micelles were  $159 \pm 50.1$   $\mu\text{Ci}/\text{mg}$  ( $115.6 \pm 36.4$   $\mu\text{Ci}/\mu\text{mol}_{\text{lipid}}$ ) and  $75.3 \pm 40.7$   $\mu\text{Ci}/\text{mg}$  ( $559.8 \pm 303.1$   $\mu\text{Ci}/\mu\text{mol}_{\text{lipid}}$ ), respectively. The specific activity of both particles was sufficient to evaluate the pharmacokinetics within the glioblastoma model.

### 3.2. Physicochemical characterization of the 3HM

3HM have been thoroughly characterized using transmission electron microscopy (TEM) and DLS as reported previously (40). To extract the PEG shell thickness on the outer layer of 3HM, solution SAXS experiments were performed. Fig. 2 shows the solution SAXS profiles of 3HM with and without PEG750 attached to the micelle surface. A core-shell form factor model was used to fit the SAXS data and the parameters of best fit are listed in Table 2. Based on these data, the PEG750 chains form an outer layer with a thickness of  $\sim 0.8$  nm. The DLS and SAXS gave slightly different values for particle diameter. Since the liposome

diameter was measured using DLS, we cite the DLS value for comparison. The micelle diameter based on SAXS was ~18 nm.

### 3.3 In vivo PET/MR imaging

T1w MRI contrast (without injection of an exogenous contrast agent) was sufficient to visualize the glioblastoma lesion in the right brain (Fig. 3, lower row) and large blood vessels (white arrows in Figs. 3 & 4) in the tumor center. MR images in Fig. S1 demonstrated that intracranial injection of U87MG cells in the right brain resulted in a highly localized GBM within the right brain. The average tumor volume at 9 days after surgery ( $n = 6$ ) was  $< 100 \text{ mm}^3$  ( $50 \pm 15 \text{ mm}^3$ ) and 16 days after surgery ( $n = 5$ ) was  $> 100 \text{ mm}^3$  ( $154 \pm 36 \text{ mm}^3$ ) (Fig. S2).

Co-registered PET/MR images obtained 21 h after injection of  $^{64}\text{Cu}$ -liposomes and -micelles depict the enhanced accumulation of both particles within the tumor as compared with the adjacent striatum in the left brain (Fig. 3). Accumulation of particles increased gradually from 0.5 to 21 h, with evident accumulation of micelles from the 3.5 h time point (Fig. 4a). The accumulation of 20-nm  $^{64}\text{Cu}$ -micelles was substantially greater than that observed for 110-nm  $^{64}\text{Cu}$ -liposomes.

Radioactivity associated with both of the  $^{64}\text{Cu}$ -labeled nanoparticles was first observed in the center of the tumor (3.5 h vs 21 h, Fig. 4a), reaching the periphery at later time points.  $^{64}\text{Cu}$ -liposomes were also observed to localize around large vessels within the tumors (white arrow, upper row in Fig. 4b). At 21 hours after injection, serial brain slices of the PET/MR images from posterior to anterior (Fig. 4b) also demonstrate that the liposome and micelle concentration remained greater in the tumor center than in the periphery. No significant differences in the relative intratumoral distribution were observed (Fig. S3).

### 3.4 Pharmacokinetics and biodistribution of liposomes and micelles in blood

The pharmacokinetics of liposomes and micelles in blood were measured from the ROI analysis of radioactivity in the cardiac chambers. The clearance of  $^{64}\text{Cu}$ -liposomes and  $^{64}\text{Cu}$ -micelles in the blood pool was fit by a one-phase decay curve. The half-clearance time of liposomes and micelles was 16.5 and 15.5 h, respectively (Fig. 5a). Radioactivity quantified for  $^{64}\text{Cu}$ -liposomes ( $2.36 \pm 0.47 \text{ \%ID/g}$ ,  $n = 6$ ) and -micelles ( $2.29 \pm 0.50 \text{ \%ID/g}$ ,  $n = 5$ ) from blood collected at 22 h after injection (Fig. 5b) was similar to the image-derived values (liposomes:  $2.64 \pm 0.16 \text{ \%ID/cc}$ , micelles:  $2.74 \pm 0.35 \text{ \%ID/cc}$ ). The slightly lower values calculated for the image-derived estimates are expected due to partial volume effects.

### 3.5 Calculation of tumor blood volume (TBV) and left brain (striatum) blood volume (LBV)

It has been reported that tumor blood volume changes with tumor grade (48, 49). Indeed, cerebral blood volume (CBV) of C6 gliomas measured by a previous MR study increased by 15% compared to control brain tissue (50). Here, we segmented the study into liposomes and micelles. At early time points after intravenous administration, long-circulating nanoparticles were only detected in the blood pool and provided a tool for evaluating the TBV by dividing the tumor radioactivity by the blood radioactivity at the 0 h time point (51).

Within this study, the average TBV and LBV measured by liposomes and micelles were not significantly different (Fig. 6a). However, the blood volume in the contralateral left brain (striatum, LBV) was significantly lower than that obtained from the glioblastoma (TBV) irrespective of particle size (Fig. 6a). Finally, in the two tumor size groups, the percent vascular volume within the glioblastoma was similar (<100 mm<sup>3</sup>: 4.785 ± 1.385%, >100 mm<sup>3</sup>: 5.462 ± 1.085%,  $P = 0.3867$ ) as shown in Fig. 6b.

### 3.6 Image analysis of glioblastoma and contralateral left brain

The size-dependent accumulation of the nanoparticles in glioblastoma is summarized in the time-activity curves (%ID/cc) (Fig. 6c). Blood-pool radioactivity within the tumor was subtracted from the total local radioactivity by equation 1. At 30 min after injection of the nanoparticles, the radioactivity of the liposomes (0.082 ± 0.018 %ID/cc) and micelles (0.217 ± 0.115 %ID/cc) within the tumor was not significantly different. Based on the image data, glioblastoma accumulation of the <sup>64</sup>Cu-micelles was significantly higher than that of <sup>64</sup>Cu-liposomes at 7 and 21 h after injection reaching a ratio of 1.9 times greater (Fig. 6c). The contralateral left brain (striatum) was used to estimate the background radioactivity, and the tumor to background ratio of the <sup>64</sup>Cu-micelles (5.12 ± 1.54 fold) was significantly higher than that of <sup>64</sup>Cu-liposomes (2.78 ± 1.38, Fig. 6d).

### 3.7 Biodistribution of liposomes and micelles

The biodistribution of the liposomes and micelles was then measured after perfusion of animals with Dulbecco modified eagle medium (DMEM) which was used to eliminate the remaining radioactivity contributed by the circulating nanoparticles (~2 %ID/g). The radioactivity within the glioblastoma-bearing right brain and left brain was gamma-counted without tumor dissection.

The increased accumulation of micelles within the right brain (containing the glioblastoma), as compared with liposomes, was validated by biodistribution. In Fig. 7a, the accumulation of micelles and liposomes was 0.0924 ± 0.0012 %ID/g (n = 3) and 0.0372 ± 0.012 %ID/g (n = 3,  $p = 0.0048$ ), respectively, in the right brain bearing a small tumor. This compares with (0.261 ± 0.015 %ID/g, n = 3) and (0.140 ± 0.029 %ID/g, n = 2,  $p = 0.0086$ ) for micelles and liposomes, respectively, in large xenograft. In addition, the accumulation of both liposomes ( $p = 0.0143$ ) and micelles ( $p = 0.0075$ ) was greater in larger xenografts relative to smaller.

Surprisingly, in the contralateral left brain, accumulation of the micelles was also increased relative to that of the liposomes and the accumulation further increased in advanced xenograft peaking at 0.0304 ± 0.00041 %ID/g (Fig. 7b).

The uptake of both nanoparticles in other organs (heart, lung, stomach, intestines, muscle, bone, liver and kidneys) was similar 22 h after injection; however, splenic uptake of <sup>64</sup>Cu-micelles (1.39 ± 0.70 %ID/g, n = 5) was significantly lower in comparison to the <sup>64</sup>Cu-liposomes (14.8 ± 2.5 %ID/g, n = 6,  $p < 0.0001$ , Fig. 7c). Intestinal radioactivity after the injection of liposomes was significantly higher, although the difference was only ~1%ID/g.

### 3.7. Autoradiography and Immunohistochemistry

Optical images (upper row, Fig. S5) and autoradiography from the same slides (lower row, Fig. S5) confirmed the finding of enhanced tumor radioactivity within the co-registered PET/MR images (Fig. 4a). Histological examination (Fig. 8a,b) with H&E (upper row) and with CD31 (lower row) depicts scattered large blood vessels apparent within the glioblastoma with greater frequency as compared with the surrounding tissue. No evidence of tumor was observed in the contralateral (left) brain.

## 4. Discussion

Applying methods for the synthesis of stable particles and PET labeling demonstrated in previous studies, here, we explore the accumulation of long-circulating liposomes and 3HM in glioblastoma using  $^{64}\text{Cu}$ -labeled drug carriers and the combination of PET and MRI. The PEGylation on the surface of the carriers provided a similar charge and facilitated studies of the enhanced permeability and retention of nanoparticles based on differences in their diameters. Although previous studies have demonstrated that vascular permeability is reduced in brain tumors compared to tumors within other organs, enhanced delivery to brain tumors with small nanoparticles has not been clearly demonstrated. Here, we demonstrate that the uptake of 20-nm 3HM is significantly greater than 110-nm liposomes in glioblastoma 7 hours after injection (Fig. 6c). Importantly, we observed that the micelles continued to accumulate over the period studied here, and therefore these small particles were not clearing from the lesion, even in the absence of a targeting moiety. The micelles were well distributed throughout the tumor, potentially providing an opportunity to effectively treat disease when a drug or radiotherapy is attached.

The average fold increase for liposome and 3HM accumulation in glioblastoma compared to background (left striatum) was 2.78 and 5.12, respectively (Fig. 6d). Although those values were lower than those measured for human glioblastoma, which has 13~19-fold higher accumulation of stealth liposomes vs. normal brain (52), the overall results demonstrate that liposomes and micelles enhanced accumulation in glioblastoma.

From the biodistribution data obtained after perfusion (Fig. 7), the greater accumulation associated with a greater EPR effect in an advanced xenograft ( $>100\text{ mm}^3$ ) was confirmed. Micelle accumulation was greater than that of liposomes regardless of the progression of the xenograft (Fig. 7a). Although the radioactivity in the left brain was  $\sim 10$ -fold lower than in the right brain (Fig. 7b), the accumulation in the normal left brain showed two significant effects associated with the adjacent disease. First, in the *contralateral left brain*, 3HM uptake increased with xenograft progression in the *implanted right brain*. The permeability of the contralateral brain could be affected by the pressure induced by the growing tumor or by cytokines and growth factors associated within tumor (53). Second, the 110-nm liposomal uptake in the left brain was similar ( $\sim 0.008\% \text{ID/g}$ ) regardless of the glioblastoma diameter. Thus, the extravasation of 110-nm liposomes was limited by the vascular pore size cutoff but relatively small 20-nm micelles crossed the BBB.

A major advantage of the PET-MRI techniques applied here is the opportunity to simultaneously view anatomy and accumulation and estimate the PK and the local blood

volume. Large discrete blood vessels were detected within the tumor center with MRI. At the time of injection, the presence of these large vessels is expected to enhance the local radioactivity due to the significant blood volume within these vessels. Accumulation of nanoparticles via the EPR effect is expected to be greater in the periphery, occurring over tens of hours and peaking at the later time points. Here, with the combination of MRI and PET, radioactivity in the tumor center was observed initially with a gradual increase at the periphery.

The extended circulation of nanoparticles in the blood is crucial for the extravasation through leaky vasculature and accumulation in tumors. In our previous PK studies of liposomes and micelles in a mouse model (40, 54), the half-life of  $^{64}\text{Cu}$ -liposomes and  $^{64}\text{Cu}$ -micelles was 18 and 25 h (one-phase decay), respectively. Here, we observed a shorter half-life for both particles in blood ( $t_{1/2}$  liposomes and micelles = 16.5 and 15.5 h). The observed circulation time was longer than  $^{99\text{m}}\text{Tc}$ -labeled HYNIC-PEG liposomes previously studied in a rat model where only 52 %ID remained in the blood pool 4 h after injection (55). We assume that the reduced half-life observed here was due to differences in the vascular physiology between the two species. Here, the similar blood clearance of the nanoparticles in blood facilitated a direct comparison of the radioactivity in the tissues at the same time point.

When evaluating long-circulating nanoparticles, the blood volume can also be estimated by evaluating the radioactivity in the blood and tumor at the time of injection as calculated by a previously described radiometric method (51). Previous MR studies in the rat brain reported a relationship between blood volume and vessel size where approximately 15% of C6 gliomas demonstrated an increased cerebral blood volume as compared to gray matter, and 90% demonstrated an increased average vessel size (50). In a subsequent study, no correlation was found between blood vessel density and tumor progression in GBM (56). Here, we observed a 62 – 82% increase in the % vascular volume in the tumor as compared to the contralateral LBV (Fig. 6a) but the % vascular volume was not significantly different between small ( $<100 \text{ mm}^3$ ) and large tumors ( $>100 \text{ mm}^3$ ) (Fig. 6b). The vascular volume in the adjacent left brain also was not significantly changed with xenograft progression (Fig. S4). Immunohistochemistry (IHC) with a CD31 antibody demonstrated larger vessels (Fig. 8a, black arrow) in glioblastoma lesions, which were not observed in normal brain tissue (striatum, Fig. 8b, black arrow). Previous work also demonstrated large vessels in tumors larger than 4 mm (57). In addition, in our study MR images (arrows in Fig. 3 and Fig. 4a–b) resolved large vessels within glioblastoma lesions. The TBV and LBV results suggest that vascularization of glioblastoma increases the vascular volume in glioblastoma.

The biodistribution of both nanoparticles in organs such as the heart, lung, stomach, muscle, bone, liver and kidney was similar. As we observed in our previous study (40), the micelle accumulation was significantly lower in spleen than that observed with liposomes, which could ultimately reduce the treatment toxicity.

Recently, 3HM micelles were loaded with doxorubicin and prolonged drug bioavailability in circulation (42, 43), which may improve therapeutic efficacy and reduce splenic toxicity.

Success in ongoing research with respect to loading or conjugating anticancer drugs to micelles could provide a promising method to treat glioblastoma (58, 59).

In conclusion, current GBM treatment includes invasive surgery, radiotherapy, and chemotherapy; however, drug delivery remains a major challenge. Here, we demonstrated that 3HM accumulate within glioblastoma to a significantly greater extent than 110-nm liposomes. PET/MR co-registration of brain images with multiple imaging modalities may facilitate the monitoring of disease progression and planning of treatment regimens.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

Rat image (Fig. 1) is modified from <http://zingous.com/rat-coloring-page-free-rat-color-sheet/>. Liposome figure is modified from images of Wikimedia Commons, the free media repository). We acknowledge the support of NIH R01CA103828, R01CA134659, R21EB016947 and the UC Davis Research Investments in Science and Engineering.

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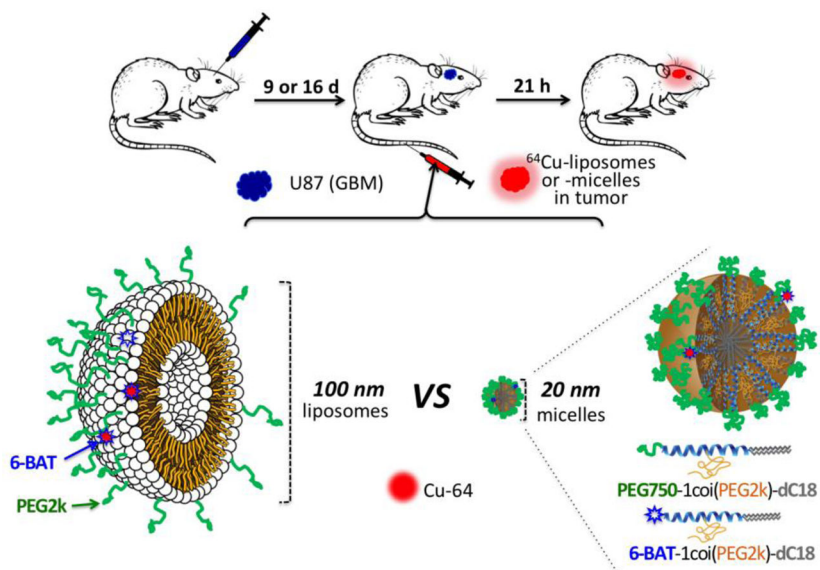


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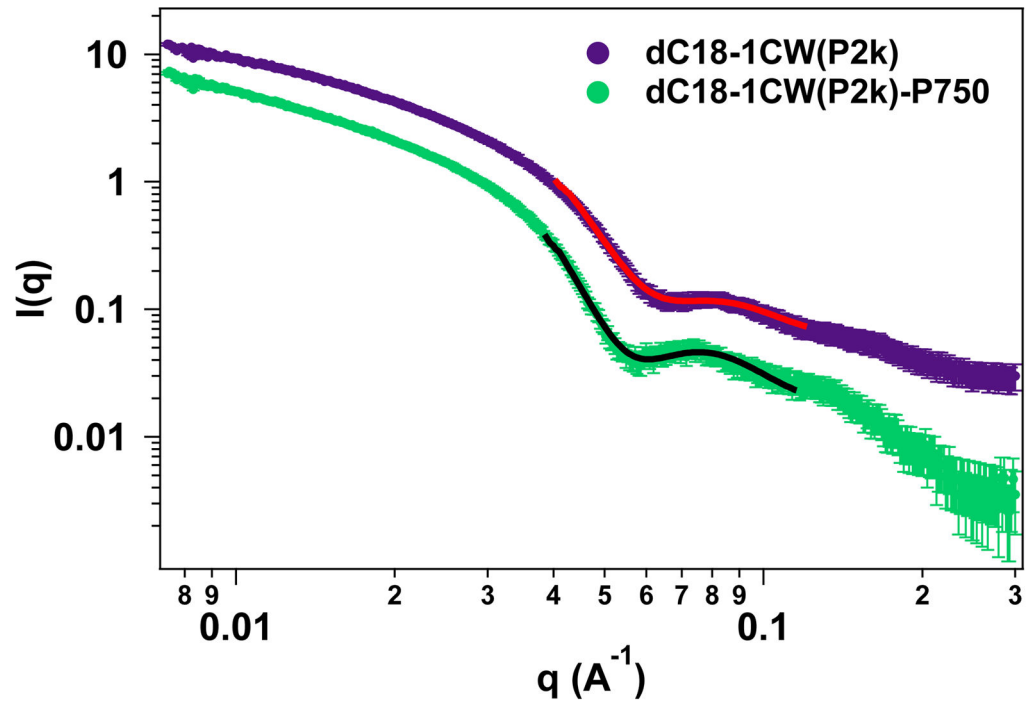


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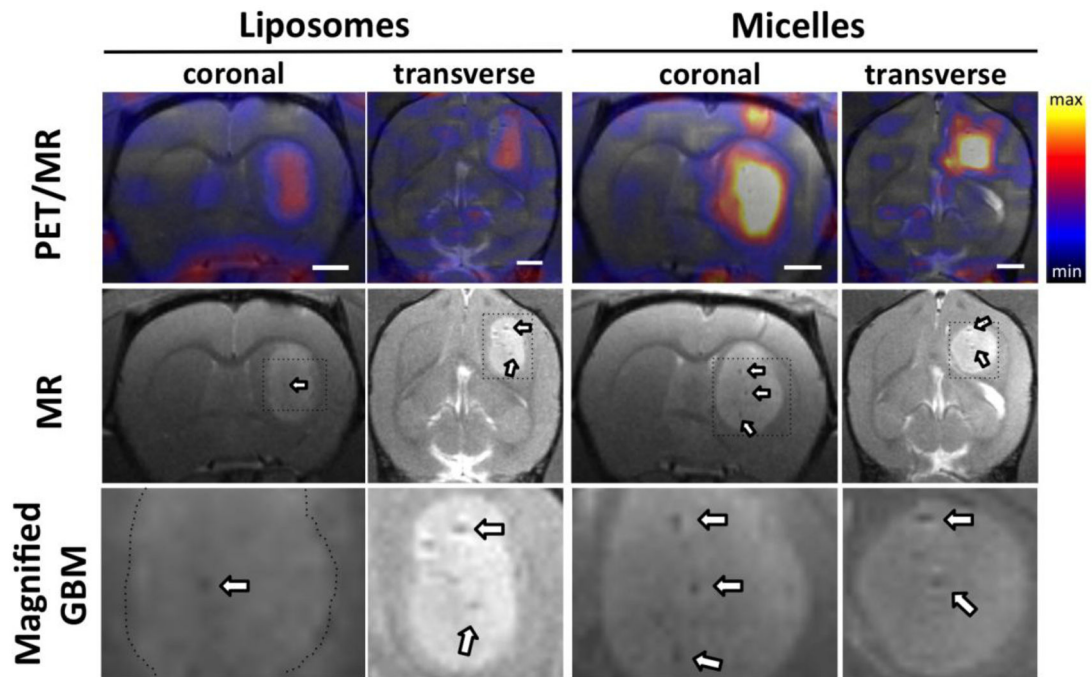
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**Figure 1.** Schematic figure of the experimental procedure, which compares the accumulation of liposomes and micelles within glioblastoma multiforme in the rat brain. Green represents polyethylene glycol (PEG) on the surface of the nanoparticles (dC18: distearoyl lipid, 6-BAT: 6-aminobenzylTETA). Nanoparticles were intravenously injected through the tail vein.

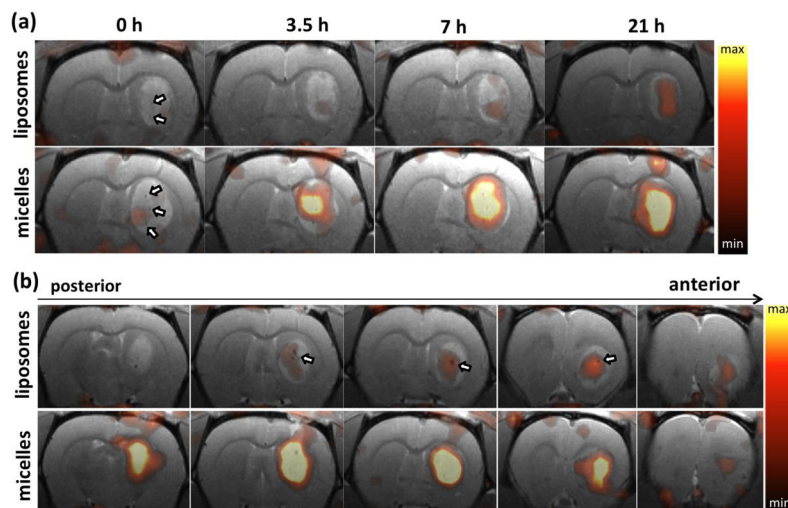


**Figure 2.** Solution SAXS results comparing micelles with and without PEG750 layer on the exterior of the micelle. The lines indicate best fit to the core-shell model. Data for dC18-1CW(P2k) have been offset vertically for clarity.

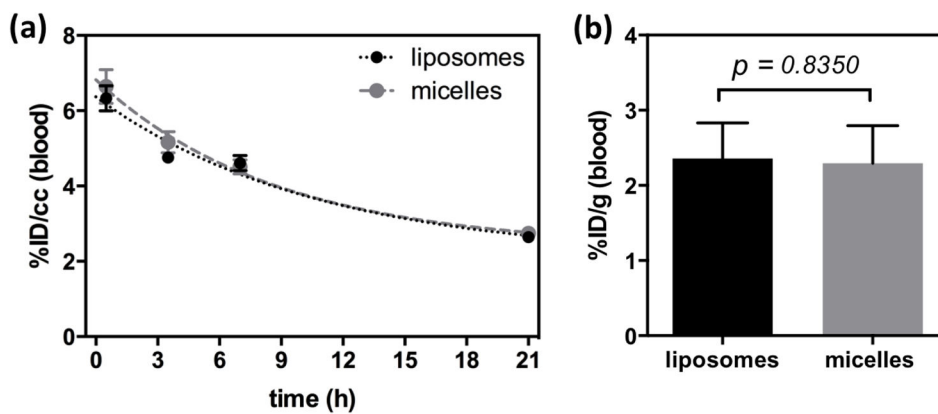


**Figure 3.**

Coregistered PET/MR images (upper) and MR only images (lower) of the rat brain at 21 h post-injection of  $^{64}\text{Cu}$ -liposomes and  $^{64}\text{Cu}$ -micelles. Arrows indicate developed blood vessels in glioblastoma. Maximum and minimum color scale from PET images represent 1 and 0 %ID/cc, respectively and the size of the white scale bars in image is 2 mm.

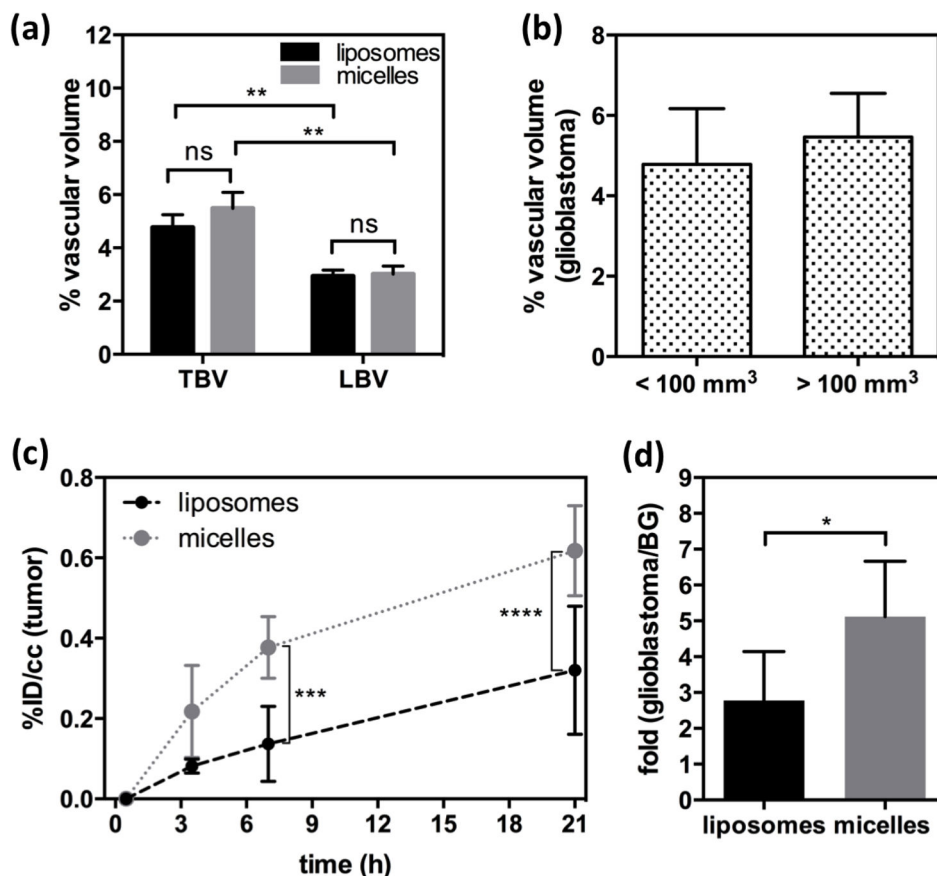


**Figure 4.** Coregistered PET/MR images of rat brain post injection of  $^{64}\text{Cu}$ -liposomes (upper row) and  $^{64}\text{Cu}$ -micelles (lower row). (a) From left to right, PET/MR images are acquired at 0, 3.5, 7.5 and 21 h after injection. (b) PET/MR images acquired at 21 hours after injection, from left (posterior) to right (anterior). Each image represents a 1 mm thick slice image of the glioblastoma lesion. Arrows indicate blood vessels. Maximum and minimum values of the color scale are 1.0 and 0.3 %ID/cc, respectively.

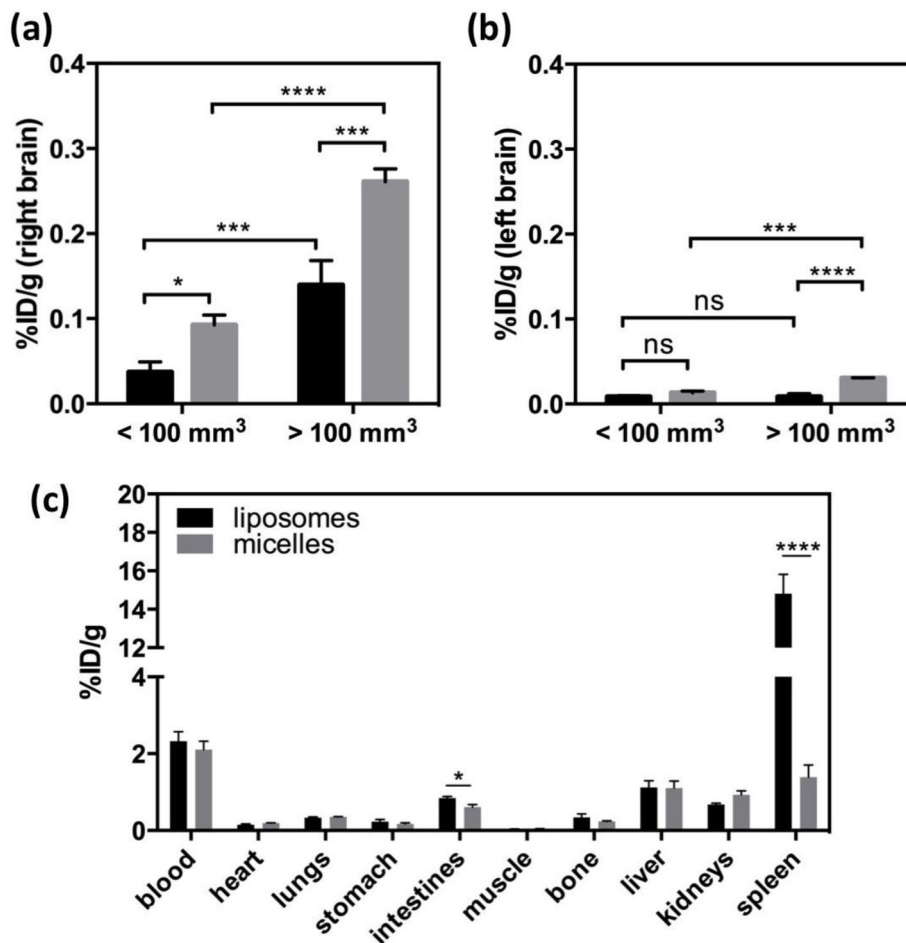


**Figure 5.** Blood clearance of  $^{64}\text{Cu}$ -liposomes (black circle) and  $^{64}\text{Cu}$ -micelles (gray circle) obtained from ROI analyses at 0, 3.5, 7 and 21 h post-injection. Curve was fit with a one phase decay ( $Y_{\text{liposomes}} = 6.104\exp^{-0.04206 \times t}$  ( $R^2 = 0.8330$ ) and  $Y_{\text{micelles}} = 6.432\exp^{-0.04461 \times t}$  ( $R^2 = 0.8167$ )). (b) Radioactivity (%ID/g) of liposomes (black bar) and micelles (gray bar) in blood at 22 h post-injection.

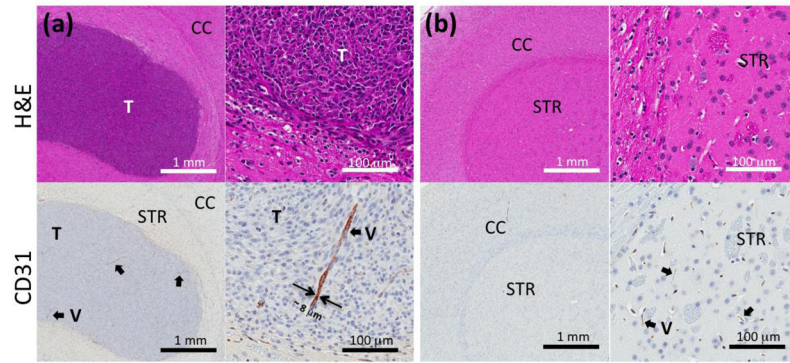




**Figure 6.** Quantification of liposomes (n = 6) and micelles (n = 5) in glioblastoma, obtained from ROI analysis (glioblastoma) of PET/MR images. (a) Tumor blood volume (TBV) and contralateral left brain blood volume (LBV) calculated by ROI analysis of glioblastoma (right brain) and contralateral striatum (left brain) from <sup>64</sup>Cu-liposome (black bar) and -micelle (gray bar) injected rats. (b) Comparison of % vascular volume between two groups with different size of glioblastoma. (c) Blood radioactivity subtracted time activity curves of liposomes (round with dashed line) and micelles (square with dotted line). Data points represent 0, 3.5, 7, and 21 h post-injection. (d) Glioblastoma-to-background (BG) ratio of liposomes (black) and micelles (gray) in glioblastoma over contralateral left striatum, obtained from PET/MR images with blood radioactivity at 21 h (Statistical significance of (a) and (c) was determined by two-way ANOVA analysis corrected by Sidak's multiple comparison test and that of (d) was determined by an unpaired t test with Welch's correction significance: \* = P < 0.05 \*\* = P < 0.01 \*\*\* = P < 0.001, \*\*\*\* = P < 0.0001).



**Figure 7.** Biodistribution of  $^{64}\text{Cu}$ -liposomes (black bar) and -micelles (gray bar) in (a) right and (b) left brain. Right brain bears glioblastoma. Percent injected dose per gram (%ID/g) was obtained after perfusion of blood at 22 h post-injection of  $^{64}\text{Cu}$ -liposomes (n=6) and -micelles (n=5). Right bar graphs are differentiated by tumor size. (c) Biodistribution of  $^{64}\text{Cu}$ -liposomes (black bar, n = 6) and  $^{64}\text{Cu}$ -micelles (gray bar, n = 5) at 22 h post-injection. (Statistical significance of (a) and (b) was determined by two-way ANOVA analysis corrected by Sidak's multiple comparison test and that of (c) was determined by unpaired t test with Welch's correction, significance: \* = P < 0.05, \*\* = P < 0.01, \*\*\* = P < 0.001, \*\*\*\* = P < 0.0001).



**Figure 8.** Immunohistochemistry of (a) glioblastoma bearing right brain and (b) contralateral left brain. Upper and lower images are from H&E and CD31, respectively. (CC: cerebral cortex, STR: striatum, T: tumor, and V: vessel)

**Table 1**

Characterization of liposomes and micelles with particle size and zeta potential

	Liposomes	Micelles
Z-average size (mean $\pm$ SD, nm) <sup>a</sup>	111.9 $\pm$ 5.7	19.6 $\pm$ 7.4
Zeta-potential (mean $\pm$ SD, mV) <sup>a</sup>	-15.6 $\pm$ 3.5	-13.6 $\pm$ 1.4

<sup>a</sup> Average mean and standard deviation is calculated from two means of particles used for two *in vivo* experiments under physiological pH (7.3–7.5).

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**Table 2**

Core-shell parameters from model fitting of SAXS data in Fig. 2.

	Core radius (nm)	Shell thickness (nm)
w/o P750	3.0	5.2
with P750	3.0	6.0

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