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Review

# Advances in Rootstock Breeding of Nut Trees: Objectives and Strategies

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**Abstract:** The production and consumption of nuts are increasing in the world due to strong economic returns and the nutritional value of their products. With the increasing role and importance given to nuts (i.e., walnuts, hazelnut, pistachio, pecan, almond) in a balanced and healthy diet and their benefits to human health, breeding of the nuts species has also been stepped up. Most recent fruit breeding programs have focused on scion genetic improvement. However, the use of locally adapted grafted rootstocks also enhanced the productivity and quality of tree fruit crops. Grafting is an ancient horticultural practice used in nut crops to manipulate scion phenotype and productivity and overcome biotic and abiotic stresses. There are complex rootstock breeding objectives and physiological and molecular aspects of rootstock–scion interactions in nut crops. In this review, we provide an overview of these, considering the mechanisms involved in nutrient and water uptake, regulation of phytohormones, and rootstock influences on the scion molecular processes, including long-distance gene silencing and trans-grafting. Understanding the mechanisms resulting from

rootstock  $\times$  scion  $\times$  environmental interactions will contribute to developing new rootstocks with resilience in the face of climate change, but also of the multitude of diseases and pests.

**Keywords:** almond; Persian walnut; pistachio; hazelnut; pecan; chestnut; grafting; graft compatibility

## 1. Introduction

Nut trees are among of the most important horticultural tree crops. Both production and consumption are increasing dramatically due to strong economic returns and the nutritional value of their products. The world's tree nut production has increased by 48% over the last 10 years (ca. 4.5 million metric tons). The world-wide export value of tree nut crops amounted to approximately 34.5 billion dollars in 2019, an increase of ~107% compared to the prior 10-year period [1]. Technical knowledge regarding nut tree production has also rapidly increased as a result of the demand for higher production and quality, multiple destinations of nuts fruit in current consumption and food industry, but also of the growing importance accorded to the nuts in a balanced and healthy diet and in the prevention of various diseases [2–7]. Among the areas of interest and progress has been the use of rootstocks to adapt to climate and edaphic factors including soil borne diseases and abiotic stresses, control scion vigor, increase yield, and improve fruit quality. The selection of the scion cultivar is the grower's top consideration for long-term productivity and profitability, rootstock selection is becoming more important. Now, the rootstock scion and interaction per se is considered when planting an orchard.

The advantages of selected rootstocks have been recognized and utilized in the nut trees' production, but they do not have a long history of use in many species. Although nut trees are grown around the world, rootstock studies are limited to only a few tree nut species. Initially, most rootstocks were open-pollinate seedling, or seedstock. Seedstocks are not as genetically uniform as clonal rootstocks, but they have advantages such as deep root system and tolerance to edaphic abiotic stresses. However, seedstocks have high heterozygosity in terms of different traits. Hence, the type of seed and location in which it is grown is important for choosing seedstocks. Seedstocks should be uniform, vigorous, disease resistant, and readily available [8]. Therefore, several studies have been performed to study the growth vigor of seedstocks and improve seed germination in nut trees [9]. In addition to seedstocks, a wide range of clonal rootstocks are now being developed. Numerous rootstock breeding programs have begun to introduce clonal rootstocks to meet important challenges, including excess vigor, low yield, poor nut quality, poor soil, climate change, drought and salt stress, suckering, diseases, and graft incompatibility. Common tree nut rootstocks, especially clonal rootstocks, and their main characteristics are listed in Table 1.

**Table 1.** The main characteristics of commercial rootstocks of nut tree crops around the world.

Rootstocks	Main Characteristics
<b>Almond</b>	
Almond seedlings	Ability to grow in poor, high limestone content soils, deep root system, suitable for growing rainfed almonds, susceptible to soil pathogens such as nematodes, <i>Agrobacterium</i> , <i>Phytophthora</i> , <i>Armillaria</i> , etc. and sensitive to neck and root asphyxia
Peach seedlings	The most important cultivars are INRA's 'GF-305', 'Montclar', and the U.S. cultivars 'Lovell', 'Nemaguard' and 'Nemared'. Adapted to cultivation in irrigated soils, tolerant to certain species of nematodes, highly sensitive to some of the common pathogens: <i>Agrobacterium</i> , <i>Armillaria</i> , <i>Phytophthora</i> , etc. Some cultivars (e.g., 'Nemaguard' and 'Nemared') are resistant to RKN ( <i>Meloidogyne</i> spp.)
'Hansen 536' ( <i>P. persica</i> $\times$ <i>P. dulcis</i> )	High vigor rootstock with good anchorage, requires very well-drained soils, tolerant to drought, salinity, alkalinity, and boron soils, very susceptible to wet soil, moderately resistant to root-knot nematodes, but some tolerance to <i>Phytophthora syringae</i> , some tolerance to iron chlorosis

Table 1. Cont.

Rootstocks	Main Characteristics
'Nickels' ( <i>P. persica</i> × <i>P. dulcis</i> )	Vigorous, highly compatible with almond cultivars, adapted to wide range of California almond production area possibly because of its greater winter chilling requirements, resistance to nematode species from its parent 'Nemaguard'
'Krymsk 86' ( <i>P. domestica</i> × <i>P. persica</i> )	Excellent root system, compatible with Nonpareil, tolerant to wet and heavy soils, cold hardy, tolerant to high pH soils
Bright's Hybrid <sup>®5</sup>	Vigor and productivity are superior to 'Nemaguard', deep rooting, well anchored, and drought tolerant, needs deep well drained soil, resistant to nematode
Viking	Vigorous, productive, early blooming, somewhat tolerant to wet soil conditions, tolerant to saline, and alkaline soil conditions, intolerant of dehydration during transplanting, resistant to root knot nematodes and less susceptible to bacterial canker
'GF-677'	High vigor, high yield, ability to perform in non-irrigated soils due to deep roots system, resistance to chlorosis, moderately tolerant to salinity, sensitive to waterlogging, highly susceptible to <i>Phytophthora</i> , <i>Armillaria</i> , <i>Agrobacterium</i> and RKN
GN series; 'Garnem', 'Felinem' and 'Monegro' are three almond × peach- [ <i>P. amygdalus</i> Batsch. × <i>P. persica</i> (L.) Batsch.]	Vigorous, high yield, suitable for rainfed or irrigated conditions, tolerant to iron chlorosis and drought, good adaptation to poor soils, very resistant to the main root-knot nematode species attacking <i>Prunus</i> , low tolerance to root asphyxia, susceptible to the root lesion nematode ( <i>Pratylenchus vulnus</i> ) and crown gall caused by <i>Agrobacterium tumefaciens</i> , more tolerant to <i>Phytophthora</i> , than other almond × peach hybrids
'Root-Pac 40' ( <i>P. dulcis</i> × <i>P. persica</i> ) × ( <i>P. dulcis</i> × <i>P. persica</i> )	Medium vigor, around 25–30% less than GF-677, erect structure similar to Garnem, high productivity, adaptable to warm conditions (low chilling areas)
'Root-Pac 20' ( <i>P. cerasifera</i> × <i>P. besseyi</i> )	Low vigor, around 40–50% less than GF-677, high productivity, very adaptable to warm and colder climates, good adaptation to heavy soils, moderately tolerant to chlorosis, salinity, and root-knot nematodes, tolerant to root asphyxia and <i>Rosellinia necatrix</i>
'Root-Pac R' ( <i>P. cerasifera</i> × <i>P. dulcis</i> )	High vigor, high productivity, compatibility with several <i>Prunus</i> species, and outstanding adaptation to poor, heavy soils with high lime content, ideal for replanting sites, adapts well to dense and asphyxiating soils
<b>Walnut</b>	
<i>J. regia</i> seedlings and clonal	Moderate vigor, less susceptible to crown gall (CG), susceptible to <i>Phytophthora</i> ; resistance to blackline, moderate tolerance to calcareous soil
<i>J. hindsii</i> seedling and clonal	Moderate vigor, moderately tolerant to salinity, some resistance to CG, susceptible to blackline
Paradox (seedling)	Hybrid ( <i>J. hindsii</i> × <i>J. regia</i> ). Vigorous, intermediate in salt sensitivity between <i>J. hindsii</i> and <i>J. regia</i> , low susceptibility to <i>Phytophthora</i> ; susceptible to CG and blackline
Vlach (clonal Paradox)	Hybrid ( <i>J. hindsii</i> × <i>J. regia</i> ); vigorous, not resistant to CG or <i>Phytophthora</i> , susceptible to nematodes and blackline. Tolerant to calcareous soil
RX1 (clonal Paradox)	Hybrid ( <i>J. microcarpa</i> × <i>J. regia</i> ); moderately vigor, moderate resistance to CG, resistant to <i>Phytophthora citricola</i> and <i>P. cinnamomi</i> ; susceptible to nematodes and blackline, excellent survival in orchard replant trials
VX211 (clonal Paradox)	Hybrid ( <i>J. hindsii</i> × <i>J. regia</i> ); highly vigorous, some tolerance to root knot and root lesion nematodes, susceptible to CG, <i>Phytophthora</i> , and blackline
Ng209 × Ra seedlings	Hybrid progeny of <i>Juglans nigra</i> 209 × <i>J. regia</i> , highly vigorous, susceptible to CG, tolerant to <i>Phytophthora</i> and <i>Armillaria</i> , tolerant to calcareous soil, susceptible to blackline
Grizzly	The mother tree of Grizzly is a 'Tulare' variety grafted onto a seedling paradox rootstock. high vigorous, resistance to crown gall, tolerant to nematode, pest resistance; best rootstock for poor soil
<b>Pistachio</b>	
Badami-Riez Zarand seedling ( <i>P. vera</i> )	Vigorous, tolerant to <i>Phytophthora</i> spp.; salinity tolerant
Sarakhs seedling ( <i>P. vera</i> )	Salinity tolerant, susceptible to <i>Phytophthora</i> spp.
Qazvini seedling ( <i>P. vera</i> )	Salinity tolerant
Beneh ( <i>P. atlantica</i> Desf. ssp. <i>mutica</i> F&M)	Resistant to root-knot nematode, less vigorous and difficult to bud than 'Badami-Riez Zarand', scion-rootstock incompatibility, negative effects on yield
Terebinthus ( <i>P. terebinthus</i> )	Cold resistant, less vigorous, and less uniform than other common rootstocks, efficient zinc and copper absorption, resistant to <i>Armillaria</i> root rot, <i>Verticillium dahliae</i> susceptible, high rusticity
Atlantica ( <i>P. atlantica</i> )	Higher cold tolerance and less vigorous than <i>P. integerrima</i> , susceptible to <i>Verticillium dahliae</i> , tolerant to root asphyxia.

Table 1. Cont.

Rootstocks	Main Characteristics
Integerrima ( <i>P. integerrima</i> )	Vigorous, buds easily, least cold tolerant of the commonly used rootstocks, tolerant to Verticillium wilt
Khinjuk ( <i>P. khinjuk</i> )	Drought tolerant, susceptible to <i>Phytophthora</i> spp.; more vigorous than 'Beneh'
Pioneer Gold I (PGI) ( <i>P. integerrima</i> × <i>P. integerrima</i> )	Resistant to <i>Verticillium dahliae</i> ; sensitive to frost
Pioneer Gold II (PGII) ( <i>P. integerrima</i> × <i>P. atlantica</i> )	Vigorous, susceptible to Verticillium wilt, no longer commercially available
UCB-1 selected seedling	Highly vigorous, positive effect on yield, salinity tolerance, moderately resistant to Verticillium wilt
<b>Hazelnut</b>	
<i>C. colurna</i> seedling	Compatibility with cultivars of <i>C. avellana</i> , non-suckering rootstock, drought tolerance due to deep taproot, seeds of this species are difficult to germinate
'MB-69' ('Tonda Bianca' seedling')	High vegetative growth, emission of few suckers
Dundee	Open pollinated <i>C. colurna</i> seedling, probably <i>C. colurna</i> × <i>C. avellana</i> , high vegetative growth and high yield performance, emission of few suckers
Newberg	Open pollinated <i>C. colurna</i> seedling, probably <i>C. colurna</i> × <i>C. avellana</i> , high vegetative growth and high yield performance, emission of few suckers
<b>Pecan</b>	
Elliott	Positive effect on nut quality, susceptible to spring frost, very resistant to pecan scab, very susceptible to black aphid
Riverside	Common rootstock for western pecan regions because of salt tolerance, drought tolerance, nut germination limited by poor quality, very susceptible to pecan scab
Stuart	Cold hardy rootstock, moderate resistance to spring frost, susceptible to pecan scab
'VC1-68'	Used as rootstock in the west, especially in California, drought tolerance, frost susceptibility limits use in the southern parts of southeast and southwest
Apache (Burkett × Schley)	Growth initiation in spring not as early as in 'Elliott' and 'Curtis', very susceptible to pecan scab
Colby	Cold hardy rootstock, only recommended for northern areas of Oklahoma.
Giles	Cold hardy rootstock, adapted to northern Oklahoma also used as seed stock in Kansas and far north Texas
Peruque	Best suited for northern Oklahoma, cold hardy rootstock
Kanza	Adapted to all of Oklahoma, cold hardy trees
Moore	Vigorous, productive, some resistance to scab
San Felipe	Drought tolerance
<b>Chestnut</b>	
PO-11 (clonal)	Natural hybrid of <i>C. sativa</i> and Asian chestnut. resistant to <i>Phytophthora</i> spp. and highly compatible with <i>C. sativa</i>
Ferosacre	Resistance to the <i>Phytophthora</i> spp.; sensitive to temperatures less than −10 °C
Marigoule ( <i>Castanea crenata</i> × <i>Castanea sativa</i> )	Vigorous but very demanding on the quality of the soil, incompatible with many chestnut cultivars, moderately productive, resistant to <i>Phytophthora</i> spp. and canker, sensitive to cold weather and root asphyxia
Marsol ( <i>C. crenata</i> × <i>C. sativa</i> )	Vigorous, good graft compatibility with many cultivars, resistance to <i>Phytophthora</i> spp.
Maraval ( <i>C. crenata</i> × <i>C. sativa</i> )	Moderate vigor, good graft compatibility with many cultivars, sensitive to spring frosts, resistance to <i>Phytophthora</i> spp.
Marlhac ( <i>C. crenata</i> × <i>C. sativa</i> )	Resistance to <i>Phytophthora</i> spp.; able to grow at temperatures less than −10 °C

Advances in the development of temperate nut trees rootstocks until 2003 were last reviewed by Grauke and Thompson [10]. Given the recent advances in rootstock breeding for tree nut crops, this review will focus on the physiological and molecular effects of rootstocks on scions under different edaphic and climatic conditions.

The main purpose of this review paper is to present studies on various aspects of breeding and physiology of nut trees rootstock, as well as, draw a comprehensive vision to accelerate future research in this field using combination of traditional and modern methods. To this end, we first provide overall information on vigor, rootstock-scion com-

patibility, suckering, and rooting ability which can be useful for tree nut crops researchers and growers. Next, we review water and nutrient uptake on nut trees. In the following, we review phenology and yield related traits which are important in industry and marketing. Then, we comprehensively review abiotic and biotic stresses studies on tree nut crops. Finally, we briefly review rootstock-scion transfer of macromolecules and small interfering RNAs in nut trees. Since nut tree crops have a long juvenile period, development of a new variety or rootstock may take more than 20 years via classical breeding. Therefore, in the conclusion and perspectives section, we note the future prospects of molecular breeding in nut tree crops using novel technologies for rapid generation advancement.

## 2. Vigor

The nut trees growth is strongly controlled by the distribution of organic and inorganic constituents within the tree trunk, canopy, and the root system. The vascular system plays a role in this long-distance signaling. Hypothetically, rootstocks impact scion vigor by controlling water and nutrient transfer and hormones signaling and RNAs which move up through the graft union [11]. Numerous studies have been conducted regarding the effect of rootstocks on the growth of nut trees [12–15].

Pistachio growers and breeders are seeking vigorous rootstocks. Kallsen and Parfitt [13] reported ‘Kerman’, the previously primary female pistachio cultivar in California, has a rapid growth habit that produces trunk circumferences larger than that of the rootstocks. Matching the scion and rootstock growth rates produces stronger graft unions. Highly vigorous rootstocks produce more uniform graft unions and reduce bark damage from trunk shaking harvesters by uneven graft unions. They report that UCB1 is a better rootstock for ‘Kerman’ as it produces a smoother trunk compared to *Pistacia integerrima* rootstocks [13]. Caruso et al. [12] evaluated one seedling (*P. terebinthus*) and eight clonal (*P. atlantica* and *P. integerrima*) pistachio rootstocks and reported that rootstock had a significant effect on growth rate of the scion and nut yield. Clones of *P. integerrima* and *P. atlantica* are highly to intermediately vigorous rootstocks.

The pistachio cultivar ‘Bianca’ onto *P. integerrima* seedling rootstock had significantly better growth than on *P. terebinthus* or *P. atlantica* clonal rootstocks. Scions grown on *P. terebinthus* rootstocks had the least vigor. When ‘Bianca’ scions were budded onto eight in vitro propagated clonal rootstocks and observed for 4 years, trunk cross-sectional areas on *P. integerrima* were three times higher than on *P. terebinthus* rootstocks [16].

Ak and Turker [17] reported the cultivars, ‘Kirmizi’ and ‘Siirt’, grafted onto *P. vera*, *P. khinjuk*, and *P. atlantica* demonstrated different budbreak, flowering time and vegetative growth. *P. vera* flowered earlier and *P. atlantica* and *P. khinjuk* had greater stem diameters. Rahemi and Tavallali [18] studied the effect of ‘Badami’ (*P. vera*), ‘Sarakhs’ (wild *P. vera*), and ‘Beneh’ (*P. mutica*) seedling rootstocks on growth, yield, and nut quality of the Iranian cultivars, ‘Ohadi’, ‘Kalleh- Ghouchi’, and ‘Ahmad-Aghaei’. ‘Sarakhs’ seedlings had the least vigor, while ‘Badami’ rootstocks produced the highest yields and best nut quality. Ghazvini et al. [19] evaluated the ecophysiological characteristics of four seedling rootstocks, ‘Badami’, ‘Sarakhs’, *P. mutica*, and *P. atlantica*. Photosynthesis, stomatal conductance, and transpiration was highest in trees on the ‘Sarakhs’ rootstock and lowest on the *P. mutica* rootstock. *P. integerrima* is the most vigorous rootstock now commonly used in pistachio cultivation but is also the least cold tolerant [20]. It is rapidly being replaced by the more cold- and salinity-tolerant hybrids, available as both a seedling and a clone, and *P. integerrima* × *P. atlantica*, now available as a clone (Ferguson; personal communication 2021).

In contrast to pistachio, there is no a specific walnut breeding program to select high vigorous rootstock. Nevertheless, the major walnut clonal rootstocks introduced in the last few years are vigorous. Among the clones of ‘Paradox’ (‘Vlach’, ‘RX1’, and ‘Vx211’) which was introduced by the University of California-Davis, ‘VX211’ (*J. hindsii* × *J. regia*) is highly vigorous and nematodes-tolerant rootstock [21,22]. Furthermore, ‘Grizzly’ clonal walnut rootstock has been recently introduced as a highly vigorous rootstock. The mother tree of ‘Grizzly’ is a Tulare variety grafted on a seedling Paradox rootstock. This rootstock shows



good performance in poor soil structure with low nutrition and heavy populations of lesion nematodes. In addition, high vigorous trees are very important for the wood industry. Numerous interspecific hybrids were carried out in *Juglans* genus between *J. regia* with *J. cinerea*, *J. nigra*, and *J. major*. Compared to the parent, most of them such as 'NG23', 'NG38' (*J. nigra* × *J. regia*), and 'MJ209' (*J. major* × *J. regia*) show high vigor, disease resistance, greater winter-hardiness, and high wood quality [23–25].

Walnuts are highly vigorous trees with an extended juvenility phase [14]. Dwarf walnut trees could potentially decrease labor costs and increase yields per hectare by allowing increased plant density [26]. Although dwarfing has not generally been the most important objective of walnut rootstock breeding programs, identifying sources of this trait is of great interest in countries with high genetic diversity such as Iran, China, Turkey, and Central Asian countries [27–29]. In these countries, traditional orchards of giant walnut trees are difficult to harvest mechanically. Harvest injuries and death of laborers during manual harvesting have precipitated interest in dwarfing rootstocks [30]. Reportedly dwarf walnut trees have a short life span. Therefore, in some countries, breeders are attempting to combine slow-growing scions with vigorous rootstocks. Juvenile and mature walnut tree vigor is highly heritable [31,32]. Wang et al. [29] evaluated Persian walnuts in China and selected six dwarf walnut rootstocks; 'Xinwen 609', 'Xinwen 724', 'Xinwen 908', 'Xinwen 915', 'Xin 916', and 'Xinwen 917' as potential rootstocks for breeding. Analysis of growth traits of 'semi-cultivated' local genotypes of *Juglans regia* on their own roots, in the sands area of south-west Romania, showed that climatic and edaphic factors significantly influenced the annual growth ring width of the trees, but also their adaptability to environmental factors [33].

Precocious and dwarf walnut trees have been evaluated in Iran [14,28,34]. These genotypes induce dwarfing and precocity in scions in preliminary experiments, apparently due to a slower growth rate. They have fewer nodes, shorter internodes, and smaller shoot length, smaller root system, and lower sap flow and hydraulic conductivity which are the typical traits of dwarf rootstocks in other fruit trees. They also have a better rooting ability and higher grafting success [35–39].

Dwarfing is a desirable trait for other tree nuts. In China, dwarfing chestnut rootstocks are being evaluated [40]. In the USA, Anagnostakis et al. [41] attempted to breed dwarfing chestnut rootstocks and suggested that hybrids with *Castanea seguinii* could be a source of dwarfing. Researchers at the University of Missouri identified various chestnut cultivars as potential sources of dwarfing. Studies of graft compatibility, vegetative growth, and productivity of these trees are continuing to determine if dwarf chestnut rootstocks are feasible.

Knowledge of rootstock effect on almond vigor is limited. Almond rootstocks have been shown to alter root, shoot, trunk, and fruit development, probably by affecting the allocation of carbon assimilates between these tissues [42]. Khadivi-Khub and Anjam [42] evaluated the Iranian cultivar 'Rabiee' grown on *P. scoparia* (a wild almond species) and 'Estahban' (*P. dulcis*) rootstock under normal and rainfed conditions. They reported significant differences in tree height, trunk diameter, annual growth, and internode length, observing reduced scion growth when grafted on *P. scoparia* rootstock. *P. scoparia*, suggesting potential as a dwarfing rootstock. Parvaneh et al. [43] evaluated three Iranian cultivars on bitter almond, sweet almond, and peach rootstocks and found that cultivars grafted on peach had greater vegetative growth, while scions grown on both bitter and sweet almonds had reduced growth, resulting in smaller trees. The magnitude of the effect varied with cultivar.

In a regional rootstock trial at California State University, Fresno, significant differences among rootstocks were found in canopy growth, tree height, and tree circumference [44]. Almonds grafted on peach rootstock had larger scion diameters than on almond rootstocks [45]. Preliminary results from a vigor study showed that trunk diameter of the scion cultivar depends on the scion-rootstock interaction. The rootstock effect differed depending on the cultivar grafted and scion vigor itself. Lordan et al. [15] studied the performance of two Spanish almond cultivars, 'Marinada' and 'Vairo', grafted onto different rootstock

genotypes and reporting strong rootstock effects on vigor, bloom, and ripening dates, yield, and kernel weight.

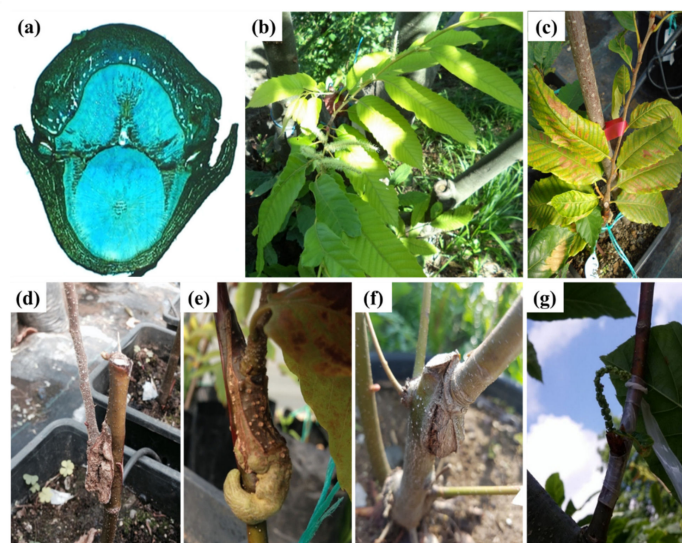
The effect of rootstock on tree architecture is less clear. Rootstock effects on shoot length and shoot diameter have been reported, but the magnitude of the effect varied as a function of specific scion-rootstock combinations [46,47]. Similarly, the scion can influence root structure, primarily by altering auxin and cytokinin responses [48]. This suggests the regulatory feedback between the rootstock and scion ultimately modulates final tree architecture. The underlying molecular mechanisms of these interactions remains unknown.

Studies of the effect of rootstock on pecan (*Carya illinoensis*) scion vigor have demonstrated that common pecan rootstocks vary by geographic region and have a diverse effect on scion growth. Before introducing clonal rootstocks, open-pollinated seedstocks widely used for the vegetative propagation of commercial pecan cultivars had different growth responses. Grauke and Pratt [49] evaluated bud growth of three pecan cultivars ('Cape Fear', 'Stuart', and 'Candy') on seven open-pollinated seedstocks including 'Curtis', 'Burkett', 'Elliott', 'Moore', 'Riverside', 'Apache', and 'Sioux'. They reported that scion growth was significantly influenced by rootstock, with bud growth of 'Candy' on 'Elliot', and 'Curtis' rootstocks were more than 'Sioux', 'Riverside', 'Apache', and 'Burkett' rootstocks [49]. Liu et al. [50] studied the grafting-responsive MicroRNAs (miRNAs) which are involved in growth regulation of grafted pecan and identified some miRNAs that regulate grafted pecan by regulating inorganic phosphate (Pi) acquisition, auxin transport, and cell activity.

The rootstock effect on vigor of other nut trees has been less studied. In hazelnut, new rootstocks have produced superior vigor compared to own-rooted varieties. This is an important improvement when trees are trained to a trunk, and not grown as bushes with many stems [51,52].

### 3. Rootstock-Scion Compatibility

Graft success depends on the rootstock-scion physiological compatibility and the proper alignment of tissues in the graft union [53–55]. Graft incompatibility is a complex physiological process defined by the adjustment of the metabolisms of the cultivar-rootstock combinations, growth conditions, the presence or absence of viruses, environmental conditions, the nutritional status of trees, and as other stresses. Graft incompatibility can be detected by a variety of symptoms including poor graft success, yellow-colored leaves, slow vegetative growth, drying of the scion, a generally diseased appearance, symptoms of water stress, overgrowth in the graft area, thicker bark tissues of scion, and excessive sprouting on the rootstock (Figure 1).



**Figure 1.** Some graft incompatibility symptoms in chestnut: (a) Interruption of the cambial



connection; (b) Yellow or gold color leaves during the growth period; (c) disease appearance; (d) Drying of the scion; (e) Overgrowth in the graft area; (f) Rootstock tend to give lots of suckers and (g) scion shoots become short and turns into fruiting branches.

In pistachio, *P. terebinthus*, *P. atlantica*, *P. integerrima*, *P. vera* and their interspecific hybrids (ex. UCB1) are commonly used rootstocks [56]. *P. terebinthus* is more difficult to bud than *P. atlantica* or *P. integerrima* due to scion-rootstock incompatibility problems. Although rootstock-scion incompatibility is not a serious problem in pistachio production, some evidence of incompatibility between *P. vera* (cv. 'Kerman') as a scion and UCB1 as a rootstock was observed in the late 1980s in the USA. This incompatibility appeared to be related to a single paternal *P. integerrima* tree used to produce the first UCB1 seedlings at the University of California, Berkeley. There have been fewer reports of rootstock-scion incompatibility since removal of this paternal tree [20]. When facing rootstock-scion incompatibility problems in pistachio it is worth testing different individuals within a single species to find a compatible genotype.

The success of walnut grafting mainly depends on several factors such as rootstock, scion, grafting methods, and environmental conditions [57–59]. The specific graft incompatibility between different *Juglans* species has not been reported. Nevertheless, some literatures refer to blackline disease as a delayed graft incompatibility in walnuts [60]. California black walnut and its hybrids are considered as interesting rootstocks for Persian walnut specially in California due to high vigor, resistance to soil-borne pests, and tolerance to saline and saturated soil. However, if Persian walnut was grafted on California black walnut and its hybrids and the tree was infected with CLRV virus, the symptoms of blackline disease would appear, which is similar to a graft incompatibility. Therefore, in regions where there is a possibility of infection with the CLRV virus, Persian walnut is a more suitable rootstock that can be used to avoid blackline disease [61,62]. Andrews and Marquez [63] reported that blackline disease has a long-delayed incompatibility where a CLRV virus migrates to a graft union.

In almond, graft incompatibility appears to be genetically dependent. For example, 'Nonpareil' shows distinct graft-incompatibility on plum rootstocks while the closely related 'Carmel' cultivar does not [64]. Graft-incompatibilities can produce both slow general tree deterioration over time and distinct localized deterioration such as the stem-pitting decline seen on almond-Myrobalan plum combinations [64]. These more localized types of graft-incompatibility can often be observed as a weakness and occasional breakage at the graft-scion union [65–68]. Because this often occurs at a critical time, when the tree is coming into bearing, several studies have pursued earlier physiological and molecular predictors of graft-compatibility as an aid to both breeding and orchard management [69–72]. These studies generally involve anatomical, physiological, or molecular aspects of compatible graft union formation [72,73] such as the similarities/differences in scion vs. rootstock vascular size and configuration [74,75]. Related studies have identified several molecular candidates that may contribute to compatible graft formation [69,71,76], however, the specific cause and effect relationships remain vague. Studies have identified several metabolic pathways, including the phenylpropanoid pathway, cell wall biosynthesis, oxidative stress, and auxin signaling, that appear to be associated with graft-incompatibility [69,77,78], supporting the complex genetic control commonly encountered when breeding for this trait [79].

Japanese and Chinese chestnuts are used in chestnut rootstock breeding programs due to their root-rot resistance. The potential use of hybrid chestnut cultivars also has been evaluated [80,81]; while incompatibility has been observed in the hybrids. Tokar and Kovalovsky [82] grafted Chinese, European, and Chinese × Japanese hybrid chestnut cultivars onto European chestnut rootstocks. The least successful grafting combinations were the Chinese × Japanese hybrid on European rootstocks. Viéitez and Viéitez [83], used Chinese and European chestnuts for European, Chinese, and European × Chinese chestnut hybrid scions. The least successful grafting combinations were the Chinese rootstocks with European chestnut cultivars.

Soylu [84] suggested that scions and rootstocks of the same species should have better graft compatibility, but genetic intraspecies graft incompatibility was reported in Chinese [85] and European [86,87] chestnuts.

Although graft compatibility in chestnut may be mostly controlled by genetic factors [88,89], graft success can also be affected by environmental factors, stress, and their interactions with genotype [90,91]. Oraguzie et al. [90] suggested that growing the rootstock and the scion plant under the same environmental conditions would produce better graft compatibility. Oraguzie et al. [90] divided graft incompatibility into two groups, early and late. Early graft incompatibility can be seen in the first two years and late incompatibility in 5 to 7 years.

Chestnut mosaic virus can also induce graft incompatibility [92]. The first hypothesis was suggested by Santamour et al. [93]. They identified four different cambial peroxidase isozymes patterns (A, B, AB, and BC) in ten chestnut genotypes. They found that *C. dentata*, *C. alnifolia*, *C. ashei*, *C. ozarkensis*, and *C. pumila* species have A cambial peroxidase isozymes, *C. crenata* and *C. seguinii* have B pattern, *C. sativa* has A, B, and AB isozymes, *C. henryii* has A and B and *C. mollissima* has A, AB, B, and BC isozymes. Grafting plants with different isoenzyme bands could lead to graft incompatibility. Santamour [94] tested his hypothesis with 200 Chinese chestnut seedlings. If the scion and the rootstock belonged to the same cambial peroxidase isozymes group, the cambium layer in the graft area united and cambial continuity occurred. If the scion and the rootstock cambial peroxidase isozymes groups were different, cambial continuity was interrupted. Thus, he suggested that cambial peroxidase isozymes groups could be used to predict graft incompatibility in Chinese chestnut. However, this hypothesis was not confirmed in subsequent study [85].

The other hypothesis of graft incompatibility in Chinese chestnut is a mismatch of phloem fiber bundles. Young chestnut branches have a particularly channeled structure. A very important aspect of this anatomical structure is the presence of a fiber bundle in four or more places in the branch. When the seedlings are 2–3 years old, phloem fiber bundles can be better distinguished. This situation should be considered when grafting, as the cambium of the rootstock and scion may not combine uniformly [85,95,96].

Given the importance of early detection of graft incompatibility, it is important to find specific markers for prediction in different rootstock–scion combinations. Many studies have addressed strategies for compatibility detection such as phenolic marker identification and peroxidase isozyme studies. Phenolic compounds, whose biosynthesis is triggered by wounding and infections, are produced and accumulated during the callusing phase. This suggests that quantitative and qualitative differences in phenolic patterns between scion and rootstock may predict graft union dysfunctions and could be potential markers of graft incompatibility [73,97,98].

Research at the University of Torino Chestnut R&D Center, demonstrated different chemical markers: six phenolic acids, five flavonols, two catechins, and two tannins. Chromatographic methods were used to identify and quantify the main bioactive compounds, benzoic acids, cinnamic acids, catechins, flavonols, and tannins and obtained specific phytochemical profiles. Benzoic acids (gallic and ellagic), catechins (catechin and epicatechin), and tannins (castalagina and vescalagina) were used to establish specific profiles for distinguishing compatible and incompatible chestnut scion–rootstock combinations [99,100]. Another promising technique is the analysis of peroxidase isozyme profiles of rootstocks and scions. It appears peroxidases play an important role in grafting, as these enzymes are involved in lignin formation and lignin–carbohydrate bonding [93]. Differences in peroxidase isozymes in rootstock and scion graft performance have been reported in Chinese chestnut [93,94] and peach–plum combinations [101]. Other strategies for evaluating rootstock–scion compatibility include describing the phenylalanine ammonia-lyase (PAL) transcriptomic-level [102] and phenotypic evaluation (e.g., photosynthetic efficiency and morpho-phenological parameters of the grafted trees) [103].

#### 4. Suckering

Another important trait in rootstock selection is suckering. Suckers not only divert water and nutrients from the main trunk, but also increase orchard management costs incurred in removing them. Suckering is an important issue in hazelnut cultivation, requiring four to five herbicide sprays per year in commercial orchards and occasional hand-removal in winter [104]. This situation could be improved by use of non-suckering rootstocks. Currently, three types of hazelnut rootstocks are in use: *C. colurna* seedlings, *C. avellana* seedlings, and two clonal selections from open pollinated *C. colurna*: ‘Dundee’ and ‘Newberg’ [105]. A hazelnut rootstock trial in IRTA-Mas Bové, Spain in 1989 led to selection of a clonal *C. avellana* rootstock (‘MB-69’), which is a seedling of ‘Tonda Bianca’ [106].

One of the first European hazelnut rootstock trials was conducted in Nebrosi, Sicilia (Italy) in 1970 to compare self-rooted trees grafted on *C. avellana* rootstock (cv. Sicilian). After 12 years of evaluation, self-rooted trees showed better vegetative and productive behavior than grafted ones [107]. Experience with *C. colurna* in the U.S.A. has demonstrated that members of this species are more drought tolerant and cold hardy than *C. avellana* cultivars. The *C. colurna* was non-suckering, deeply-rooted, and graft-compatible with all *C. avellana* cultivars and *Corylus* species, suggesting its potential use as a rootstock. Due to differences in bark color and texture, the union between the Turkish (*C. colurna*) and European (*C. avellana*) hazelnut is readily evident. However, the Turkish hazelnut is difficult to propagate and its seedlings often require two additional years before reaching sufficient size for grafting. In addition, hazelnut trees on *C. colurna* rootstocks are frequently more variable in size and yield than self-rooted trees of *C. avellana*. In a trial using ‘Barcelona’ as a scion cultivar, the graft unions were overgrown and nut yields declined with age, at ~20–25 years. Due to these disadvantages, the Faculty of Agriculture, at Novi Sad in Serbia, has focused on identifying non-suckering selections of *C. avellana* [108]. Currently, seeds of selected *C. colurna* are used as hazelnut rootstock as it has been demonstrated to be long-living, resistant to frost and drought, has wide adaptability to soil conditions, and the trees are more vigorous and productive than self-rooted trees [109–111].

Hazelnut rootstock breeding started in Oregon in 1968. In nursery rows, open-pollinated seedlings of *C. colurna* seedlings whose traits were intermediate between *C. colurna* and *C. avellana* were selected and propagated. During twenty years, approximately 150 potential rootstocks were selected from 20,000 seedlings investigated. Two non-suckering clonal rootstocks (‘Newberg’ and ‘Dundee’) that impart vigor to scions were released [105]. Both rootstocks are thought to be interspecific hybrids because their nut and husk characteristics differ from those of the maternal parent. In 2000, a rootstock trial was established at the IRTA-Mas Bové Research Center (Constantí, Tarragona, Spain), with Spanish cultivar ‘Negret’ grafted onto four different rootstocks, ‘Dundee’, ‘Newberg’, and two open pollinated *C. colurna* seedlings, compared to own-rooted ‘Negret’ as the control. The results showed that ‘Dundee’ and ‘Newberg’ rootstocks improved agronomic performance, solving the problem of suckering, increasing productivity and vigor, and producing increased yield at lower cost [51]. However, the search for additional non-suckering rootstocks necessary for commercial hazelnut orchards remains topical and is continuing [52].

#### 5. Rooting Ability

Rootstocks can be vegetatively propagated by micropropagation, layering, or cuttings. The rooting ability of rootstocks and the most effective propagation methods vary by species and genotype. Among walnut rootstocks, Persian walnut is more difficult to root than black walnut × Persian hybrids. Japanese × European chestnut hybrids (*C. crenata* × *C. sativa*) are more easily propagated by cuttings or layering than European chestnuts (*C. sativa*) [112,113].

Many efforts have been made to propagate walnuts by layering [114,115], cuttings [114–118], and micropropagation [39,119–127]. In vitro propagation of walnuts obtained seems quite dif-

ficult [128]. Generally, the effects of genotypes, but also the culture medium in vitro on proliferation, rooting, and survival rates are significant [128,129]. Along with genotypes, nutritive support in vitro has an important influence on all stages of micropropagation. In addition to the well-known Murashige and Skoog (MS) culture medium, the Driver and Kuniyuki (DKW) medium is also widely used for tissue culture of walnuts [120]. However, depending on nutritive improvements of the medium, large variations of the results can be obtained regarding the success of in vitro culture [128]. Vahdati et al. [125] reported in-vitro rooting of Persian walnut cultivars 'Sunland' (95%), 'Chandler' (55%), and 'Vina' (27%).

Vahdati and Khalighi [115] and Vahdati et al. [130] evaluated stool layering of Persian walnut and found the greatest root number and root length was obtained using 5000 and 10,000 ppm IBA + IAA + NAA, respectively. Vahdati et al. [118] obtained up to 81% and 82% rooting of Paradox walnut semi-hardwood and hardwood cuttings respectively, using 8000 ppm of IBA. Dong et al. [124] reported a range of 60.5 to 87.5% rooting in a study of six cultivars.

Currently, the nursery pathogen problems have demonstrated it is better to produce plants in inert medium, and micropropagate the rootstocks and graft the material in the nursery [131–134]. Vahdati et al. [130] found rooting success of low-vigor (dwarf) walnuts was better than more vigorous ones in response to stool layering. Peixe et al. [127] achieved ex-vitro rooting rates exceeding 80% for microcuttings of 'Vlach' hybrid walnut. RolABC genes (rolA + rolB + rolC), derived from the bacterium *A. rhizogenes*, were inserted into somatic embryos of Px1 (a Paradox somatic embryo culture) to increase the rooting potential. In a field trial, the rolABC genes produced shorter internodes and a more fibrous root system [118].

## 6. Water and Nutrient Uptake

Water and nutrient uptake are one direct effect of rootstock on nut tree yield. These are regulated by complex interactions between the scion and rootstock. Hormones, macromolecules, and miRNAs act as long-distance signaling molecules that regulate nutrient uptake [135]. Water and nutrient uptake are enhanced by rootstock vigor. In addition, the rate of vascular bundle development in a graft union determines the transfer potential of water and nutrient to the scion. Insufficient vascular bundle connection in a graft union leads to decreased water flow and subsequently altered nutrient translocation and hormonal signaling [136]. Vertical and lateral root development plays an important role in water and nutrient uptake. Rootstocks with a vigorous root system, i.e., long roots with many lateral branches and root hairs, are able to exploit water and nutrients from different soil depths and textures [135]. Water uptake by roots is both parallel symplastic and apoplastic pathways. Root system hydraulic conductivity defines the root's ability to conduct water across a water-potential gradient between the root surface and the stem xylem. Rootstock effects on the canopy nutrient content are influenced not only by the roots physical characteristics but also depending on the chemical composition of the soil and environmental conditions [136].

Pistachio rootstocks differ in efficiency of macro- and micronutrient uptake [20]. The *P. integerrima* rootstock is less efficient in zinc (Zn) and copper (Cu) uptake than *P. terebinthus* or *P. atlantica*. Trees on *P. integerrima* rootstocks have much higher sodium (Na), chloride (Cl) and boron (B) uptake than the reciprocal hybrids of the latter rootstock species. This tendency to absorb and translocate Na and Cl ions to the leaves can be harmful to scions on *P. integerrima* in saline environments. The PGII rootstock (*P. integerrima* × *P. atlantica*) is more efficient in Zn and Cu uptake than *P. terebinthus*; *P. atlantica* is intermediate; UCB1 and *P. integerrima* are the least efficient. Boron uptake by PGII is somewhat less efficient than *P. integerrima* rootstocks and slightly more efficient than UCB1. Boron uptake by UCB1 is similar to *P. atlantica* and *P. terebinthus*. PGII is less efficient than *P. integerrima* rootstocks and a bit more efficient than UCB1.

The 'Kerman' scion onto different pistachio rootstocks demonstrated that leaves of trees on *P. terebinthus* often have the highest nutrient levels. *P. terebinthus* was more



efficient than other rootstocks in absorbing Cu, Zn, and other micronutrients that are often deficient in pistachio orchards. PGII and *P. atlantica* rootstocks were superior to UCB1 and *P. integerrima* in absorbing Cu [137,138]. A study of 'Bianca' scion budded onto various in vitro propagated clonal rootstocks, revealed that *P. terebinthus* was the most efficient at K uptake, but less efficient in uptake of Mg. The *P. atlantica* and *P. integerrima* clones seemed to be deficient in K uptake and the most efficient in Mg uptake [16].

A four-year study of two pistachio cultivars (Akbari and Barg-Seyah) budded on six *P. vera* seedling rootstocks (Akbari, Sarakhsi, Badami-sefid, Kalle-Ghouchi, Daneshmandi, Barg-Seyah) demonstrated that, K, P, and Fe absorption differed significantly among these rootstocks. Scions on 'Badami' and 'Daneshmandi' seedlings had the maximum and minimum K absorption, respectively. 'Akbari' budded on 'Badami' was the most efficient in uptake of K and Zn. 'Kalle-Ghouchi' and 'Daneshmandi' had the maximum and minimum Fe content, respectively. The minimum K and Zn uptake occurred in 'Akbari' budded on 'Daneshmandi'. 'Barg-Seyah' budded onto 'Kalle-Ghouchi' gave the maximum Fe and Cu uptake [139]. These results indicate that selecting the appropriate rootstock and scion for a particular environment is an important decision that can affect orchard growth and yield. Tavallali and Rahemi [140] reported that leaves of pistachio cultivars grafted on 'Beneh' rootstock had higher K, P, and Zn uptake than trees on 'Badami' and 'Sarakhs' rootstocks. Leaves of pistachios on 'Badami' and 'Sarakhs' had the highest Ca and Cu content, respectively. Kernels of cultivars grafted on 'Sarakhs' rootstock had greater K, P, Mg, Cu, Fe, and Zn content than cultivars on other rootstocks. Trees grafted on *P. atlantica* seedling rootstocks were less likely to show B, Ca, or Zn deficiency [140].

These studies identified the effect of pistachio rootstocks on nutrient uptake and yield but our knowledge on the effect on nutrient uptake of different cultivars grafted on the same rootstock is limited. Surucu et al. [141] grafted 14 pistachio cultivars of different origins on a single source of *P. khinjuk* seedling rootstocks and evaluated nutrient uptake and yield. Scion cultivar 'Haciserifi' had the greatest N, P, and K accumulation, while 'Mumtaz' had the greatest uptake of Ca, Mg, and Cu. 'Vahidi' accumulated the most Fe and Zn, and 'Sel-15' accumulated the most Mn. 'Sel-2', 'Sel-5', and 'Siirt' scions had the highest percentage nut split and 'Mumtaz' had the highest yield.

Knipfer et al. [142] reported that the root hydraulic conductance of 'RX1' and 'Vlach' walnut rootstocks was more than 50% greater than 'VX211' and possibly, one reason for the tolerance of these two rootstocks to drought stress. Under drought stress, 'Vlach' and 'RX1' decreased root hydraulic conductivity to maintain root biomass [142].

Walnut roots selectively absorb ions when they are under stress [143]. A study of the response of own-rooted walnut varieties to salt stress showed that the tolerant varieties accumulate and translocate more K and Ca in shoots than the less tolerant varieties. In other words, the roots of salt-tolerant walnuts not only absorb more K and Ca, but also translocate more to the leaves [144]. A comparison of nutrient uptake between two walnut rootstocks, *J. hindsii* and Paradox, showed that N, P, Ca, Mg, and Mn uptake were significantly higher with Paradox rootstock [143].

In almonds, the impact of rootstock choice on concentrations of lime, alkali, B, Zn, and K has been well studied. Jiménez et al. [145] reported that high levels of sucrose, organic acids, amino acids, and PEP carboxylase activity in the roots of *Prunus* rootstocks lead to root growth and iron uptake under iron deficient conditions [145]. Trees on almond or almond × peach hybrids show reduced levels of chlorosis from iron (Fe) deficiency in high-lime soils. Somewhat less tolerant are the Myrobalan rootstocks, which will often develop some chlorotic leaves at the shoot tips by late summer. The three-way, and similarly complex, hybrids tend to show more intermediate tolerance to calcareous soils. In general, almond trees on peach perform poorly on calcareous soils, whereas trees on almond rootstocks typically perform better. All *Prunus* rootstocks are generally sensitive to alkaline soils or water containing an excess of alkali salt. Trees on almond rootstocks appear to be the most tolerant, followed by Myrobalan plum, and peach, with little difference



among the latter two. Some peach  $\times$  almond hybrids have also demonstrated greater tolerance to alkali than peach or Myrobalan.

The *Prunus* scion also appears to have considerable influence on sensitivity to alkaline soils, but the extent of this influence has not been well characterized. Marianna plum (*P. cerasifera*  $\times$  *P. munsoniana*) and peach show greater tolerance to excess boron than almond, which, in turn, is more tolerant than Myrobalan rootstocks. For this reason, almond rootstocks are recommended for locations where excess B is a problem. If boron is low, more vigorous rootstocks and Marianna plum are generally preferred. Almond and peach rootstocks are more likely to experience Zn deficiency than trees on Marianna. Almond trees on almond or Myrobalan rootstocks are more susceptible to K deficiency than peach, with tree death possible if not treated [146].

Reid [147] performed a leaf analysis of two pecan scions, 'Posey' and 'Pawnee', grown on 10 rootstocks: 'Chickasaw', 'Colby', 'Dooley', 'Giles', 'Greenriver', 'Major', 'Mohawk', 'Peruque', 'Posey', and 'Shoshoni'. He concluded that rootstock influenced K and Zn concentration. The greatest K accumulation was seen in trees on 'Posey' seedlings while scions on 'Greenriver' seedlings showed the least. Trees on 'Chickasaw' seedling rootstocks contained the highest amount of Zn while those on 'Major' seedlings had the least. A study of hazelnut rootstocks showed that 'Dundee' and 'Newberg' are more resistant to iron chlorosis and maintain leaves on the tree for a longer period during the season, an important aspect to be considered, as these trees can then absorb soil nutrients up for a longer period [51].

## 7. Precocity and Phenology

In fruit trees, there is a lag between planting and fruiting, leading to a delay in the profitability of commercial orchards. Rootstocks are not only able to induce precocity, but also increase the quality of flowers and ability to set fruits [148]. Previous results on pistachio showed that flowering time of pistachio (M1 promising genotype) can be delayed when 'Badami-e-Zarand' is used as rootstock and 'Akbari' as interstock. In contrast, 'Badami-e-Zarand' and 'Fandoghi' as rootstock without interstock had no significant effect on flowering time. In addition to flowering time, pollen tube length and growth rate were significantly affected by grafting combinations [149]. The phenological traits of two commercial pistachio varieties in Turkey ('Kirmizi' and 'Siirt') on three rootstocks (*P. vera*, *P. khinjuk*, and *P. atlantica*) were evaluated and the results showed that rootstock changed flowering time (budbreak, start, full, and end of flowering) of the studied varieties [17].

Precocious walnut genotypes have been selected from regions in Iran [14,28] and China [29,150]. Vahdati and Mohseniazar [14] reported that selected precocious genotypes had cluster bearing habit, low vigor, and good rooting ability. The use of these precocious genotypes as rootstocks is currently being studied.

Bloom time is important as it is when the crop is most vulnerable to cold and precipitation. In almond, the choice of rootstock does not have a large effect on bloom, even when late-flowering peach or plum species are used as rootstocks for early-flowering varieties [15,44]. Reighard [151] conducted a five-year study of almond grafted on peach rootstocks across multiple environments (20 locations, 17 U.S. states). They found that over a three-year evaluation period, bloom date was not significantly affected, with only a 1–2-day average difference between rootstocks/scions. Similar studies by Barbera et al. [45] also showed that bloom time was not significantly changed by rootstock species, though they did report some scion-dependent variation. For example, 'Marinada' showed significant differences in bloom on different rootstock species while no significant differences were observed for 'Vairo' [152]. We found almond cultivars bloomed earlier on 'Garrigues' and the plum-based rootstocks 'Montizo', 'Root-Pac 20', and 'Rootpac R', but flowering was delayed on almond  $\times$  peach hybrids 'GF-677' and 'Garnem' and 'Monegro'. Rootstocks can have a more significant effect on the time of nut maturity. Almond scion matured earlier in plum based rootstock than in almond peach rootstock [15]. Similar results have been found

in California that 'Nonpareil' matured earlier on a plum based rootstock ('Rootpac-R') than on more vigorous rootstocks like almond peach hybrids [44].

There are some reports that confirm the effect of rootstock on flowering and bud growth in the other nut trees. Grauke and Pratt [49] reported that bud growth of pecan trees was influenced by rootstock and scion. So that, among three studied pecan cultivars ('Candy', 'Cape Fear', and 'Stuart'), bud growth of 'Candy' trees was more advanced than 'Cape Fear', and 'Stuart'. In addition, the different studied rootstocks had significant effect on 'Candy' cultivar and thus on the severity of freeze damage [49].

## 8. Yield

Rootstock choice is an influential factor in determining orchard performance by increasing water and nutrient uptake, promoting scion growth, alleviating biotic and abiotic stresses, and conferring adaptability to environmental conditions [148]. In pistachio it is possible to increase productivity and yield with vigorous rootstocks. The effect of four different rootstocks on the marketable yield of pistachio trees (*P. vera* cv. 'Kerman') in three identical rootstock trials grown in three different micro-climates in California was investigated during the first 5 years of production, from 1989 through 2001. The pistachio trees on UCB1 seedling rootstocks produced 45.3% more marketable yield than trees on *P. atlantica*, 19.1% more than trees on *P. integerrima*, and 15.1% more than trees grown on PGII [153]. An analysis of the components of yield in pistachio (clusters per tree, nuts per cluster, and nut size) showed that trees on UCB1 seedling rootstock had greater yield due to larger trees, resulting in more clusters per tree, not a higher density of clusters and not more or bigger nuts per cluster. This suggests that trees on different rootstocks, when pruned to the same size of canopy, may yield equally, or trees on less vigorous but more efficient rootstocks planted at high densities could potentially be more productive than trees on UCB1. New pistachio rootstock investigations are needed to evaluate these suggestions [20].

Rahemi and Tavallali [18] demonstrated that increased vegetative growth in pistachio is not necessarily an advantage, as it may not be associated with increased yield. They concluded that the interaction of rootstock and scion influences scion vigor, shell split, blanks, nut weight, and overall yield [18].

Yield efficiency of walnut is affected significantly by rootstock. A five-year study of clonal rootstocks showed that 'Chandler' grafted on RX1 had the highest yield while own-rooted 'Chandler' trees had the least. In general, the yield on Paradox, seedling or clonal, exceeded yield of own-rooted 'Chandler' [154]. Connell et al. [155] reported that own-rooted 'Chandler' trees had fewer catkins, lower yield efficiency, and better nut quality than 'Chandler' grafted on Paradox or *J. regia* cv. Waterloo. The grafted Chandler trees, especially on 'Trinta' paradox, had highest yield efficiency [155]. Another study comparing micropropagated ungrafted 'Chandler' to 'Chandler' grafted on Paradox, showed that although own-rooted 'Chandler' had greater trunk diameter and yield than grafted trees in the early years, after six years there was no significant difference in these parameters. The own-rooted trees were more sensitive to nematodes and showed more dieback [156,157]. Rootstocks also can indirectly improve yield by resistance to biotic or abiotic stresses. For example, the 'RX1' clonal rootstock is resistant to *Phytophthora* [158].

Many reports demonstrate the influence of rootstock on almond yields. In a current regional rootstock trial in California, the four-year average cumulative yield over two locations was consistently higher for 'Kester' on peach × almond 'Hansen' rootstock than 'Kester' on 'Nemaguard'. In a separate 13-year rootstock study, the survival rate of almond on hybrid 'GF 677' and pure almond rootstocks was higher than on 'GF 305' peach rootstock. While trees on 'GF 677' and almond rootstocks differed in shoot vigor, there was no difference in final yield [159]. Similarly, differences in yield were observed for the cultivar 'Marinada' when grafted onto 10 rootstocks of different vigor [152]. Trees on less vigorous rootstocks, such as the Rootpac® series, produced kernels with lower quality,

more breakage, and stronger shells than trees on more vigorous rootstocks, including ‘Garnem’, ‘Cadaman’, and ‘GF-677’ [152].

Higher yields are often correlated with larger tree size. In almonds, greater cumulative yield was found at the 10th leaf on both ‘Nickels’ and ‘Empyrean 1’, which also developed significantly larger trees [44]. Intermediate yields were found on the rootstocks ‘Lovell’ and ‘Krymsk 86’, which developed smaller tree sizes. *P. scoparia* rootstock exhibited a significantly higher nut yield than *P. dulcis* cv. ‘Estahban’ under non-irrigated conditions, probably due to the greater tolerance of *P. scoparia* to drought stress [42]. Prune hybrid rootstocks (plum × prune) and (peach × prune) produced greater fruit set than trees on the more traditional almond and peach rootstocks [160]. Preliminary results for two almond cultivars grown on hybrid rootstocks of differing vigor, and under either rainfed or irrigated conditions, showed that the almond × peach hybrid ‘Monegro’, when grafted with the scion cultivar ‘Vialfas’, had higher yield potential than trees on ‘Garnem’ or ‘GF-677’ under either water regime and these trees had greater vigor than those on plum rootstocks (‘Rootpac R’ and ‘Montizo’) when irrigated. The effect of rootstock on the yield of other nut trees has also been studied. In a trial conducted in IRTA-Mas de Bover, Spain, ‘Negret’ was grafted on four clonal rootstocks (‘Newberg’, ‘Dundee’, ‘Tonda Bianca’, and ‘MB-69’). Results showed that rootstocks had a significant effect on yield of scion and the highest yield was obtained when ‘Dundee’ was used as rootstock [51].

## 9. Nut Quality

Nut quality is a complex trait which is ultimately defined by consumer preference. Nut quality is foremost a scion trait, so manipulating it by rootstock is difficult and not a straight-forward process. Rootstocks may affect nut quality through their impact on water and nutrient uptake, photosynthesis rate and subsequent assimilation into the crop, but effects of rootstock on commercial nut quality have not been studied extensively.

Pistachio quality factors include nut size, nut weight, percentage of split nuts, and frequency of blanks [56]. Long-term pistachio field trials in California have found little effect of rootstocks on nut characteristics and suggest that quality improvement comes mainly from scion cultivar breeding [20]. Another study found that nut quality of the cultivar ‘Kerman’, including splits, nut size, oil content, color, flavor, and aftertaste, was significantly influenced by rootstock. Use of *P. atlantica* rootstock increased kernel mineral content, sensory attributes, and consumer satisfaction relative to *P. integerrima* or *P. terebinthus* [161]. Nut split is very important commercially in pistachio. This trait is largely controlled by genetic factors of the scion cultivar, also affected by cultural practices and rootstock. Turker and Ak [162] investigated the effect of pistachio rootstocks (*P. vera*, *P. khinjuk*, and *P. atlantica*) on nut split, blanks, and total filled nuts, by budding cultivars ‘Siirt’ and ‘Ohadi’ onto these rootstocks. Cultivars on *P. atlantica* produced nuts with the greatest number of splits and filled nuts, and the fewest blanks.

In walnuts, Buchner et al. [163] investigated effects of deficit irrigation on quality of trees on either *J. hindsii* or Paradox rootstocks. Connell et al. [155] found that own-rooted ‘Chandler’ trees had better nut quality (higher edible kernel percentage and light kernel color) than ‘Chandler’ trees grafted on clonal Paradox [161].

Almond kernel quality is determined by both physical and chemical parameters. Rootstock effect on kernel size appears to be a result of effect on tree size. In the current U.S. regional rootstock trial, nut size was significantly smaller when trees were grown on dwarfing rootstocks [44]. In another study, the cultivar ‘Marinada’ was evaluated on 10 different rootstocks and significant differences were found in shell thickness, kernel weight, length, width, and thickness, and in yellow pigmentation of the almond pellicle [152]. Khadivi-Khub and Anjam [42] reported no significant differences in kernel thickness, weight, kernel percentage, doubles, shrivel or pellicle color when trees were grown on *P. scoparia* rootstock vs. ‘Estahban’ almond rootstock, although nut length and width was greater on *P. scoparia* rootstock. Differences in kernel weights, and to a lesser degree, shelling percentage, were reported in a study of almond cultivars ‘Marinada’ and ‘Vairo’

grown on a genetically diverse set of rootstocks including 'Cadaman', 'Garnem', 'GF-677', 'IRTA-1', 'IRTA-2', 'Ishtara', 'Adesoto', 'Rootpac 20', 'Rootpac 40', and 'Rootpac R' [15]. In a different study by Reighard [151], nut size and maturity were generally not affected, except for a peach × plum hybrid rootstock which produced smaller fruit and a peach × plum hybrid that produced the largest fruit.

Rootstocks also can significantly influence oil content, fatty acid profile, total phenol content, and radical-scavenging activity of kernels. Čolić et al. [164] examined the influence of non-irrigated rootstocks 'GF-677' and Myrobalan plum, on fatty acid (oleic and linoleic) content, total phenolics content (TPC), and radical scavenging activity (RSA) in kernels of almond cultivars 'Marcona', 'Texas', and 'Troito'. Myrobalan plum rootstock gave a significantly higher oil content in 'Marcona' and 'Texas' scions, while oleic acid was significantly higher in 'Texas' on rootstock 'GF-677'. In addition, the oleic/linoleic ratio, which is an indicator of vulnerability to rancidity through lipid oxidation, was found to be significantly higher in 'Texas' on 'GF-677' rootstock. By comparison, Barbera et al. [45] examined almond cultivars 'Tuono' and 'Ferragnes' on both peach and almond rootstocks and failed to find any significant difference in the fatty acid composition of kernels but did find significant differences in kernel moisture, oil, ash, and nutrients (K, Ca, Z, Fe, Mn).

## 10. Alleviation of Abiotic Stresses

Climate change, higher and erratic temperatures, and altered precipitation regimes have simultaneously led to an increase of abiotic stresses and potentially serious drops in crop production [165,166]. Several studies of abiotic stress-tolerance in nut trees have led to release of stress-tolerant rootstocks. Nevertheless, our knowledge about the physiological and molecular mechanisms involved in abiotic stress tolerance in nut trees remains limited.

Drought stress greatly limits nut production by upsetting the carbon/nitrogen (C/N) balance by reducing photosynthesis as a result of stomata closure and a drop in leaf water potential [167,168]. Drought stress also affects root architecture and anatomical parameters as well as mineral elements in the roots [169,170]. Alleviating oxidative stress, increasing the accumulation of osmoregulators, and alteration of hormonal signaling and the mobility of genetic components, are additional mechanisms which may play a role in the drought stress tolerance of rootstocks [168,170–172].

Pistachio is a drought and salinity tolerant species [173]. Although pistachio orchards are irrigated in California and in many parts of Iran, pistachio is cultivated under unirrigated or deficit irrigated conditions in Turkey, Syria, and Spain. Thus, drought stress is one of the main stresses affecting pistachio cultivation and yield in unirrigated areas. Gijón et al. [174] studied the drought resistance of pistachio cultivar 'Kerman' on three rootstocks (*P. terebinthus*, *P. atlantica*, and hybrid *P. atlantica* × *P. vera*). The *P. atlantica* was highly sensitive to water stress with low stomatal control of transpiration, while *P. terebinthus* had the greatest resistance to water stress with better stomatal control. Moriana et al. [175] investigated the effect of water stress on 'Kerman' grafted onto three pistachio rootstocks (UCB1, *P. terebinthus* and *P. atlantica*). All three rootstocks showed dehydration leading to reduction in vegetative growth and number of leaves, while root weight was promoted. UCB1 was least affected by drought stress and *P. atlantica* also showed good tolerance. Drought stress also affects the foliar epidermal anatomy of pistachio trees. Regulated deficit irrigation (RDI) of 'Kerman' grafted onto *P. atlantica*, *P. integerrima*, or *P. terebinthus* rootstocks and grown on shallow soils was studied by Memmi et al. [176]. The RDI irrigation regime decreased by 40% water compared to normal irrigation. The *P. integerrima* rootstocks had less tolerance to drought than *P. atlantica* or *P. terebinthus*. Carbonell-Barrachina et al. [161] investigated the performance of the same rootstocks under RDI. Yield, nut weight, mineral content, and consumer satisfaction were all greater for the trees grown on *P. atlantica*. In another study, nuts produced under RDI on *P. terebinthus* and *P. atlantica* rootstocks had higher polyphenol and tri-terpenoid content than those produced on *P. integerrima* [177]. Noguera-Artiaga et al. [178] also studied the effect of RDI on 'Kerman' trees budded on



*P. atlantica*, *P. integerrima*, and *P. terebinthus* rootstocks. Nuts produced on *P. terebinthus* rootstock had the largest size, greatest weight, and most oleic acid.

Soil salinization is a serious obstacle to pistachio production in the majority of growing areas in Iran and in many parts of the world [179]. Salinity affects the ionic balance. Hyperosmotic stress in plants leads to competition between Na and K ions. A decrease of K causes the inhibition of important metabolic enzymes [180]. In the United States, hybrid seedling rootstock 'UCB1' is generated from a controlled cross of *P. atlantica* × *P. integerrima*. This rootstock is favored due to its high vigor and resistance to many biotic and abiotic stresses [181]. According to Ferguson et al. [20], *P. atlantica* is the most salt tolerant rootstock, followed by 'UCB1' and *P. integerrima*.

Salinity stress is the main research objective of Iranian pistachio researchers [179,181–186]. The cultivars 'Ghazvini', 'Badami', and 'Kaleh-Ghouchi' are the most favorable *P. vera* rootstocks in Iran for tolerance to salinity and drought stress [181]. Hokmabadi et al. [182] studied the effect of salinity on three *P. vera* rootstocks ('Ghazvini', 'Badami', and 'Sarakh's') under different salinity treatments (0, 75, 150, and 225 Mm NaCl) and detected a decrease in K ions in the roots and stems of all rootstocks. However, the decrease in 'Ghazvini' was less than the other two, suggesting greater salt tolerance. 'Ghazvini' also proved to be more salt tolerant than the other two in *Verticillium* infected soil conditions [183] and 'Ghazvini' was more calcium-tolerant than 'Badami' [184]. Karimi and Roosta [187] and Karimi and Maleki Kuhbanani [188] suggested 'Badami Zarand' and an inter-specific hybrid of *P. atlantica* × *P. vera*, were more salt tolerant than 'Ghazvini'. With the increasing popularity of UCB1 seedling rootstock worldwide, researchers in Iran have initiated investigations of its possible use in Iran for saline and drought stress conditions. Salinity tolerance of five rootstocks ('Akbari', 'Badami', 'Ghazvini', 'Kaleh-Ghouchi', and UCB-1) were compared for ion homeostasis, osmoregulation, and physiological changes [179] and antioxidative activities [185] in leaves and roots. In both studies, UCB1 appeared to be the most salt-tolerant, followed by 'Badami', 'Ghazvini', 'Kale-Ghouchi', and 'Akbari'. In most of the pistachio growing areas of Iran, salt and drought stress occur together. The physiological and biochemical responses of plant to these stresses combined cannot be directly assessed from their response to each single stress [181,186,189]. Goharrizi et al. [181,186] studied the effect of salt, drought, and salt + drought stress on four pistachio seedling rootstocks ('Badami', 'Ghazvini', 'Kale-Ghouchi', and 'UCB1'). The effect of these three stresses, in order from strong to weak, was drought + salinity > salinity > drought. Tolerance of the four rootstocks, to all three types of stress in order from high to low, was UCB1, 'Badami', 'Ghazvini', and 'Kaleh-Ghouchi'.

Cold stress is an additional concern for pistachio production, notably in some pistachio growing regions in Iran. Cold tolerance is important for newly established young orchards, especially when the *P. integerrima* or UCB1 rootstocks are used, but not as damaging to mature orchards [56]. Among the common pistachio rootstocks, *P. terebinthus* is the most cold-hardy, followed by *P. atlantica*, and UCB1, with *P. integerrima* being the least cold hardy. In California, the main scion cultivar 'Kerman' is more cold-tolerant than its rootstocks (PG1 and UCB1) [20].

The selection of drought-tolerant walnut rootstocks is especially important in arid and semi-arid regions and resistance to drought stress can be genotype dependent. A study of stem xylem anatomy in walnut species and hybrids found *J. microcarpa* had greater resistance to drought-induced embolism than *J. ailantifolia* or *J. hindsii* [190,191]. Hybrids of *J. microcarpa* × *J. regia* ('RX1') and *J. hindsii* × *J. regia* ('Vlach' and 'VX211'), which are common clonal walnut rootstocks, have a better response to drought stress and are able to preserve their root biomass under drought stress. Drought tolerance in RX1 and VX211 was accompanied by greater leaf water use efficiency and leaf turgor, and reduced hydraulic conductivity in the root system hydraulic conductance (Kro) [142]. Liu et al. [192] reported that *J. mandshurica* and *J. regia* cv. Jizhaomian were more tolerant than *J. nigra* and associated with increased WUE, greater chlorophyll fluorescence, and better gas exchange. It seems



that leaf water use efficiency, Kro, and leaf turgor are useful canopy traits for selecting drought-tolerant rootstocks [142,192,193].

Given that of the area of Persian walnut origin includes arid and semi-arid regions, utilization of genetic diversity can be an effective strategy in the development of drought-tolerant rootstocks. A walnut rootstock breeding program based on exploration of genetic diversity started at the University of Tehran, Iran in collaboration with University of California-Davis in 2008. Preliminary studies led to identification of some drought-tolerant candidate genotypes and to understanding of some physiological mechanisms involved in drought tolerance [167]. Accordingly, several physiological processes, including cavitation resistance via stomatal regulation, maintenance of net assimilation and photosynthetic rate, increasing antioxidative enzyme activity (POD, APX, CAT, SOD, and LOX), accumulation of proline and total soluble sugars, and improved WUE, are responsible for drought tolerance in walnut genotypes [168,172,194,195]. WUE differences were studied also in a wild population of *J. regia*, examining variation in  $\delta^{13}\text{C}$  (carbon isotope composition) as a surrogate for intrinsic water-use efficiency (WUEi) [32].

New and advanced biotechnology techniques have accelerated the understanding of the molecular mechanisms involved in drought tolerance in walnut. Considering that WUE is associated with drought tolerance, a natural Persian walnut population that was diverse in WUE was used to study the relationship between phenotypic and genotypic traits, using association analysis and a large data set of SNPs. This study led to identification of drought stress-responsive genes involved in ABA signaling, antioxidant responses, stomatal regulation, osmotic adjustment, transduction of environmental signals, and leaf development [193]. In addition to exploiting genetic diversity, genetic transformation has been used successfully to induce drought and salt tolerance in walnut. Sheikh Beig Goharizi et al. [196] reported that Persian walnut genetically transformed with a flavodoxin (*fld*) gene had better growth under both osmotic and salinity stress.

In contrast to drought stress, studies of salinity-tolerant rootstocks are rare in walnut. Salinity is an important environmental stress that mostly affects growth and physiological aspects of nut trees. An examination of the response of *Juglans* species to salinity stress showed that *J. hindsii* and its hybrid (Paradox) are more tolerant than Persian walnut [197].

Waterlogging can result in root asphyxiation and later in *Phytophthora* damage; particularly with spring rains and poorly drained soils. Unlike Chinese wingnut (*Pterocarya stenoptera*) that is very tolerant, *Juglans* species are highly sensitive to waterlogging, probably due to a shift in cellular metabolism towards production of acetaldehyde and ethanol under anaerobic conditions. Ethanol production and accumulation in roots is the start of events leading to cell death. The ethanol produced in roots moves up to the leaves and is released to the external environment [198,199]. During waterlogging, transfer of ABA to the leaves leads to an increase in leaf ABA content and plays a critical role in reducing growth [200].

Almond seedlings have traditionally been used as rootstocks in arid and semi-arid regions due to their performance on calcareous soils under limited rainfed conditions. However, almond rootstocks are susceptible to fungal diseases and nematodes, as well as to root asphyxia in wet and poorly drained soils. For this reason, other rootstock species have been utilized, particularly peach and plum, as well as their interspecific hybrids. In recent years, knowledge of the physiological behavior of hybrid *Prunus* rootstocks under drought stress has improved. In a long-term drought experiment, the almond  $\times$  peach hybrid, 'Garnem' consumed its water reserves during the first days of drought stress in order to maintain shoot growth rate. As water stress became more severe, water consumption diminished in response to the loss of hydraulic conductivity [201]. In shorter-term drought experiments, 'Garnem' was able to maintain high leaf water content rates under low water potential, as well as preserve a high cell membrane stability, indicating osmotic adjustment as part of its drought tolerance mechanism [202,203]. In addition, abscisic acid (ABA) was demonstrated to be involved in rapid long-distance hydraulic signaling from root to shoot for inducing stomatal closure in drought stressed 'Garnem' [202]. Recent research

has also provided insights into the genetic response of *Prunus* species under drought, identifying key drought-responsive genes, including those directly related to water use efficiency (WUE). These include ERF023TF; LRR receptor-like serine/threonine-kinase ERECTA; and NF-YB3TF [203] as well as the gene ppa008651m coding for a LEA protein homolog to LEA D29 and PpDhn1 [201], and PpDhn2 and DREB2B [204]. No less important has been the characterization of natural sources of drought tolerance. Bielsa et al. [204] investigated differences in 48 *Prunus* species by evaluating leaf ash content and carbon isotope discrimination ( $\Delta^{13}\text{C}$ ), which are strongly correlated with WUE. Almond and wild peach species showed the lowest  $\Delta^{13}\text{C}$  ratios, and therefore, greater WUE than hybrid genotypes, although, among the GN serie 'Monegro' showed the greatest WUE [204].

An important abiotic limitation to almond production is root asphyxia on heavy soils. *Prunus* rootstocks vary in their response, demonstrating different levels of susceptibility. European plum (*P. domestica* L.) and Myrobalan plum rootstocks are considered root-asphyxia tolerant, while almond, peach, and their hybrids, are more susceptible to waterlogging damage [205]. The physiological response to hypoxia has previously been shown to be under genetic control. Both gas exchange parameters and photosynthetic activity were strongly affected in sensitive genotypes relative to more tolerant genotypes [205]. In addition, morpho-anatomical changes were shown to be important factors in conferring tolerance [206].

Recent studies of alterations in metabolism and regulatory processes in *Prunus* under waterlogging stress have led to the identification of candidate genes involved and to clarifying their roles in waterlogging response. Arismendi et al. [207] found groups of differentially expressed genes coding for key enzymes that were upregulated under hypoxia in tolerant, but not in sensitive, genotypes. These were associated with post-transcriptional protein modifications, such as hexokinases (HXK) and fructokinases (FRK), as well as genes coding for proteins involved in transcription regulation, including AP2 domain-containing, ARR6 (Response regulator 6), Sin3-like2, and zinc finger (GATA type) proteins. Other strategies have also been demonstrated in tolerant and sensitive genotypes under hypoxia conditions. Rubio-Cabetas et al. [208] demonstrated that the tolerant Myrobalan 'P.2175' plum represses secondary metabolism gene expression as a strategy to prevent the waste of resources/energy. At the same time, they reported the upregulation of protein degradation genes, which led to structural adaptations conferring long-term tolerance to hypoxia. The more sensitive almond-peach hybrid 'Felinem' (*P. amygdalus*  $\times$  *P. persica*) was found to upregulate a group of signal transduction and transcription factor genes [209]. In addition, three candidate genes involved in the oxygen sensing mechanism were identified as possible biomarkers for hypoxia-tolerant selection, including the genes *ERF74/RAP2.12*, *ACBP1/2*, and *HCR1* [208].

The temperature, especially low or freezing conditions, is an important abiotic factor that affects the growth of pecan trees at various growth stages, and is affected by rootstock [210]. The pecan rootstocks most used in Georgia are seedlings of 'Curtis' and 'Elliott'. Both give good germination and quickly develop large stem calipers, but 'Curtis' is more resistant to cold [211]. Among eleven rootstocks, 'Apache', 'Giles', and 'Peruque' were in the group of the least damaged after a freeze event on 8–9 October 2000 ( $-2\text{ }^{\circ}\text{C}$  and  $-5\text{ }^{\circ}\text{C}$ , respectively). Among the scion cultivars, 'Kanza' was less damaged than 'Mohawk', 'Mount', or 'Creek' [212]. 'Kanza' exhibited no injury when other cultivars were severely injured during an autumn and winter freeze in Oklahoma, and is considered most cold hardy [213,214]. 'Pawnee' is resistant to both fall and midwinter freeze damage, but is one of the first cultivars to break bud in the spring, making it highly susceptible to spring frost damage [212]. Smith [212] reported that 'Pawnee' grafts (six and seven years old) showed significant damage after freeze events in October 2000, although 1-year-old 'Pawnee' grafts were not damaged by a freeze in November 1991 [214]. After the same freeze event (7 October 2000) trees grafted to 'Kanza' and 'Pawnee' in southwest Missouri experienced the most death, while 'Posey' and 'Dooley' suffered the least damage [215]. It was concluded that scion cultivar impacts the cold hardiness of the above-ground part of the rootstock

and that ‘Kanza’ and ‘Pawnee’ scions decreased the cold resistance of the rootstock during this early autumn freeze event because they enter into dormancy later in the fall [215].

In addition to autumn freezes, very cold winters can cause serious damage to pecan trees. Symptoms typically are death and browning of the cambium, inner bark, and phloem, as well as splitting and browning of the rootstock inner bark and phloem, and delayed bud break [216,217]. Some rootstocks impart sufficient cold resistance for a cultivar to reduce or escape damage on one rootstock type, while being severely damaged on another type [212,218]. Cultivars (‘Choctaw’ and ‘Wichita’) grafted onto ‘Apache’ seedling rootstock showed one third of the damage from a fall freeze on 14 November 1976 (14 °F) than the same cultivars grafted onto ‘Riverside’ seedling rootstock [219]. The extent of freeze damage was evaluated by observing the extent of cambium discoloration or browning [219]. ‘Apache’ rootstock produced cold-hardy and fast-growing trees [210]. Similar findings were observed by Hinrichs [218]. He observed that ‘Stuart’ scion was killed on some rootstocks by cold fall temperatures, while the same scion was not damaged on the ‘Giles’, ‘Major’, and ‘Indiana’ rootstocks [218]. ‘Stuart’ exhibited less injury during both fall and winter freeze [213,220], and early autumn freezes [212]. ‘Desirable’ and ‘Mohawk’ budded on ‘Apache’ were the most damaged by this freeze, while ‘Wichita’ and ‘Choctaw’ budded to same seedling rootstock (‘Apache’) were the least damaged [220]. Variation in cold injury also was observed among different scions on ‘Curtis’ seedling rootstock [221].

The ‘Pawnee’ scion was the most resistant to early fall freeze injury (30 October–1 November 1993) among the nine scion cultivars and un-grafted ‘Elliott’ rootstock seedlings [222]. Similarly, freeze resistance by ‘Pawnee’ and ‘Elliott’ was observed by Goff and Tyson [223]. These observations indicate that the scion can also increase the cold resistance of a juvenile rootstock, just as the rootstock impacts the cultivar susceptibility [219,222]. The ‘Kanza’ cultivar exhibited much less low winter temperature injury than other cultivars and is one of the last cultivars to break bud dormancy in the spring [214]. The selection of rootstocks and cultivars resistant to winter freeze damage is an important aspect to avoiding loss during freeze events [220].

Late-spring frost is another aspect of low temperature limiting tree nut cultivation. Damage caused by a spring freeze (−5 °C on 22 May 1986) to one-year old grafted trees was significantly influenced by rootstock and scion, and was directly correlated with stage of bud growth at the time of the freeze event [49]. Pecan rootstocks such as ‘Giles’, ‘Peruque’, or ‘Colby’ (northern origin) are considered hardier than ‘Riverside’ or ‘Moore’ (southern origin) to late-spring frost [210]. The southern seedling rootstock break bud dormancy earlier in the spring and are more susceptible to spring freeze damage [224]. Scion growth has been observed to vary as a function of rootstock, with early leafing rootstock also forcing early spring growth in scions [49]. The ‘Stuart’ seedlings tend to begin growth later in the spring, offering some protection from spring freeze. ‘Elliott’ seedlings (known for excellent nut quality) have early spring growth, making them more susceptible to freeze damage than ‘Moore’ (rootstock) [225].

Twelve pecan rootstocks including ‘87MX1-2.2’, ‘87MX5-1.7’, ‘Elliott’, ‘Frutoso’, ‘Giles’, ‘Major’, ‘Moore’, ‘Peruque’, ‘Posey’, ‘Riverside’, ‘San Felipe’, and ‘VC1-68’ were tested under drought conditions. Among them, ‘Posey’ had the highest resistance and greatest water content under environmental pressure followed by ‘Peruque’ with lowest  $\epsilon_{max}$  (Bulk Elastic Modulus) value in PV test while ‘Frutoso’ with lowest  $V_a/V_p$  and shoot tissue water content in transpiration test had the lowest resistance [226].

The physiological roles of mycorrhizal fungi, including arbuscular mycorrhizal fungi on seedlings and nut trees, are increasingly studied. Mycorrhizal fungi provide beneficial symbiosis in the roots of nut trees, accelerate plant growth and biomass production, nutrient acquisition, and increase potential tolerance to abiotic stress, e.g., drought and salt tolerance [166,227–230]. Consequently, future outlooks in this field seem optimistic.

## 11. Resistance to Biotic Stresses

In pistachio, several fungal and bacterial diseases can infest both the above-ground and under-ground tree parts [231]. Among these, *Phytophthora* root and crown rot (*Phytophthora* spp.), *Armillaria* root rot (*Armillaria mellea* Vahl.), and Verticillium wilt (*Verticillium dahlia*) are the three most serious soilborne fungal diseases of pistachio trees worldwide [56]. The *P. vera* is the only pistachio species that produces edible nuts large enough for commercial use [232,233]. Initial evaluations demonstrated that the *P. vera* seedling trees were susceptible to soilborne pathogens *Phytophthora* spp.; *Verticillium dahlia*, and nematodes [96]. The best defense against soilborne diseases is the use of resistant or tolerant rootstocks. Therefore, other available *Pistacia* spp. were used as rootstocks. Verticillium wilt has killed a majority of the trees in the late 1970s and caused growers the most severe economic losses ever experienced in California. A small number of *P. integerrima* seedlings from an Iranian seedling tree selected and planted at the USDA Plant Introduction Station in Chico (California) were found to be tolerant to Verticillium wilt; the trees can be infested but exhibit few symptoms and no mortality. This *P. integerrima* seedling rootstock was quickly commercialized as Pioneer Gold 1 (PG1). Verticillium-tolerant *P. integerrima* was then used to produce UCB1 (University of California Berkeley 1) which is moderately resistant to this disease; it exhibits mild symptoms when infested but, as with PGI, no mortality. The *P. atlantica* and *P. terebinthus* rootstocks are susceptible to Verticillium wilt [20]. *Armillaria* root rot occasionally affects pistachio and resistant rootstocks would offer the best protection. Field trials indicate that *P. terebinthus* and UCB1 are tolerant, whereas *P. atlantica* and *P. integerrima* are susceptible to this pathogen [234]. Root and crown rot caused by *Phytophthora* spp. also can affect pistachio trees. According to Ferguson et al. [20], UCB1 and *P. atlantica* are more tolerant to *Phytophthora* root and crown rot than *P. integerrima*. Epstein et al. [235] studied the resistance of four rootstocks (UCB1, PGII, *P. atlantica*, and *P. integerrima*) to *Verticillium dahlia*. Yield, growth, incidence of *Verticillium* symptoms, and mortality rates were studied for 10 consecutive years. UCB1 and *P. integerrima* showed the greatest tree vigor, and UCB1 had the fewest symptoms. UCB1 is also resistant to *Phytophthora* [20]. Thus, UCB1 has become the major rootstock in California. However, a stunted and difficult-to-graft phenotype has emerged in California in clonally reproduced UCB1 rootstocks from multiple sources. This has been at times, since 2010, a serious production problem which has been variously attributed to either somaclonal mutation during in vitro propagation or to *Rhodococcus* sp. bacterial infection [236], Chang et al. [237]. The syndrome was identified by its appearance as Pistachio Bushy Top Syndrome, PBTS. However, as this problem proved to be non-transmissible in the field, and nurseries can now identify it in young rootstocks, it is no longer a problem. Nouri et al. [238] reported a new pathogen, *Macrophomina phaseolina* in Kern County of California which is characterized by wilted foliage combined with crown rot of the rootstock. UCB1 is highly susceptible to *M. phaseolina* and this pathogen is now an emerging threat to pistachio production in California.

One important biotic stress in Persian walnut is blackline disease caused by *Cherry leaf roll virus* (CLR) (Figure 2) [239]. Persian walnut tolerates this virus and is generally symptomless. In contrast, *J. hindsii* or its hybrids are resistant to CLR. Blackline symptoms occur when a hypersensitive rootstock [Northern California Black walnut (*Juglans hindsii*), other black walnuts, or hybrids of these with *J. regia*, are used as rootstock for Persian walnut [240,241]. The virus is transmitted through infected pollen and scions. The pollen-borne virus enters through flowers during pollination and is systemically transported to the graft union. The resulting hypersensitive reaction of the rootstock and death of tissue at the graft union blocks nutrient and water transport between the rootstock and scion [61]. The hypersensitive response to this virus is controlled by a single dominant gene (*R* gene) [240]. To develop CLR-resistant scion cultivars capable of blocking the virus at the pistillate flower and/or movement toward the graft union, a breeding program was initiated in 1984 the University of California-Davis (UC-Davis) to backcross resistance from Paradox into scion cultivars with commercially acceptable horticultural traits. This program is still ongoing [240]. A DNA marker related to CLR-resistance that maps to ~6.2 Mb on



chromosome 14 has been developed in order to accelerate selection of CLRV-resistant offspring [242–245]. In continuation of work started by E. Germain (INRA-Bordeaux), a hybrid resistant to blackline is in evaluation to be registered in France.



**Figure 2.** Symptoms of blackline disease in walnut at graft union.

In California, screening of a huge multi-species *Juglans* population, *J. regia*, *J. microcarpa*, *J. major*, *J. cathayensis*, and others and targeted interspecies hybridization between the selected superior genotypes to produce rootstocks resistant to the soil borne pathogens, *Agrobacterium tumefaciens*, *Phytophthora* spp.; *Pratylenchus vulnus*, and *Armillaria mellea*, has been in progress for several years and is continuing [246]. Crown gall (*Agrobacterium tumefaciens*) is a major rootstock issue in walnuts, particularly when using Paradox hybrid rootstocks. This bacterial disease can significantly reduce production and increase management costs. The RNAi technology, RNA interference has been used experimentally to suppress genes involved in the plant response to the bacterium [247]. Silencing of tryptophan monooxygenase (*iaaM*) and isopentenyl transferase (*ipt*) genes blocks bacterial induction of de novo auxin and cytokinin and therefore prevents gall development [248].

Using RNAi-mediated silencing technology, walnut researchers at UC-Davis were also able to develop apparent nematode resistance in Paradox microshoots evaluated in vitro but this work has not been confirmed in the greenhouse or field trials [249].

Nematodes are another serious problem for nut growers. Three separate root-knot nematode (*RKN*) resistance genes have been identified in *Prunus* species, *Ma* in the Myrobalan plum clones ‘P2980’ and ‘P2175’, *RMia* in the peach rootstock ‘Nemared’, and *RMja* in the bitter almond ‘Alnem’. Pyramiding of these three genes by interspecific crosses of almond × peach × Myrobalan is the main objective of the French rootstock breeding program [250]. To ensure the presence of the three genes in the same rootstock, it has been necessary to develop effective molecular markers. The identification of intra-gene markers for nematode-resistance genes *Ma* and *RMia* has allowed the application of marker-assisted selection for these two genes [250,251]. The *RMja* gene is located on linkage group 7 of the *Prunus* genome in the same region as the *Ma* gene [252,253].

Chestnut cultivation has been threatened by chestnut blight (*Cryphonectria parasitica*) and root rot (*Phytophthora* spp.) diseases. The first pandemic disease for chestnut was root rot [254]. Today two species of root rot (*P. cinnamomi* and *P. cambivora*) are widely



spread in Europe and Asia Minor [255]. The most effective method against root rot disease is using resistant rootstocks. Due to the resistance to root rot, *C. crenata* and *C. mollissima* trees were imported into Europe at the beginning of the 19th century [254]. The following years showed that their nut quality was low, and they were sensitive to spring frosts [256]. Therefore, they were used as rootstocks. However, graft incompatibility was observed [85,256–258]. In France, two of these genotypes were registered as ‘Ipharra’ and ‘Marki’. Schad et al. [259] planted some superior genotypes in orchards infected with *Phytophthora* spp. in 1946. As a result of this study, natural hybrids of *C. crenata* × *C. sativa* (‘Marigoule’, ‘Ferosacre’, ‘Marsol’, ‘Maraval’, and ‘Précoce Migoule’) were obtained [260,261]. Amongst those, ‘Marsol’ and ‘Maraval’ have been used as resistant rootstocks. ‘Marigoule’ has been used in forest areas due to its fast-growing characteristic [254]. Now, ‘Marigoule’ is also used as rootstocks in many countries [113] due to its resistance to root rot (*Phytophthora* spp.) and tolerance to the chestnut blight (*C. parasitica*). However, seedlings of the ‘Marigoule’ are not tolerant or resistant to these diseases as a scion cultivar. Ten years of observation have demonstrated that ‘Marigoule’ seedling survival from root rot (*Phytophthora* spp.) is only 10% greater than European chestnut seedlings.

As a continuation of these studies, in 1980, a new breeding program was initiated in France. Early results showed that ‘Maridonne’ and ‘Marlhac’ rootstocks could also be used against root rot [254,256]. This breeding program is continuing. A similar program was also initiated in Spain by Gallastegui in 1926 and continued by Urquijo. They imported some chestnut genotypes from Korea and Japan between 1917 and 1940 [262]. In this study, 263,000 genotypes were tested and 12,000 of these were found resistant to *Phytophthora*. As a result of this study, genotypes 111-1, 7521, and 1483 were selected for both resistance to root rot and better graft compatibility with chestnut cultivars. Genotypes ‘CHR-151’ (‘HS’), ‘CHR-137’ (‘125’), ‘CHR-168’ (‘110’), ‘CHR-161’ (‘100’), ‘CHR-31’ (‘2’), ‘CHR-149’ (‘90025’), ‘CHR-147’ (‘431’), ‘CHR-167’ (‘19’), and ‘776’ also were found promising [262]. Hybridization has been undertaken in several countries, including Portugal [256,263], Italy [99], Australia [264,265], and USA [10,266,267], to obtain root rot-resistant rootstocks. A limited number of resulting hybrids were used commonly but most of them exhibited graft incompatibility problems. One example is ‘Menzie’s’ (*C. sativa* × *C. crenata*), commonly used as a seedling rootstock source in Australia for its resistance to root rot [264,265]. In Asia, chestnut production is from *C. crenata* and *C. mollissima* trees which are naturally resistant to chestnut blight and root rot but are sensitive to the Asian chestnut gall wasp (*D. kuriphilus*). In Japan, seedlings of ‘Shibaguri’ have been used as scions for production but devastation from the gall wasp has reduced yield. In recent years, due to graft incompatibility problems, they have started using seedlings of the chosen scion cultivar as seedling rootstocks [268].

## 12. Rootstock-Scion Transfer of Macromolecules and Small Interfering RNAs

Scions and rootstocks can interact at trans-graft-union movement at the molecular level in different ways. In some cases, mobile macromolecules and large signaling molecules (e.g., RNA and protein) can move through the graft union via the vascular system and regulate various physiological processes in scion including vigor, yield, water use efficiency, biotic and abiotic resistance, etc. [11,269,270]. RNAs and proteins can be targeted to move up through the graft union and this process has been studied in various vegetable and fruit trees. In addition, some studies have focused on protein production in transgenic rootstocks with targeted delivery to scions to control disease [271]. Transgenic rootstocks expressing a polygalacturonidase inhibitory protein (PGIP), were able to protect wild type scion from both a bacterial disease caused by *Xylella fastidiosa* and a fungal disease caused by *Botrytis cinerea*, both pathogens use polygalacturonase as a virulence factor [272]. Recently, the strategy of delivering therapeutic proteins from a rootstock to a scion was validated in the field where transgenic rootstocks were able to transgraft protected a sensitive wild type scion variety from succumbing to Pierce’s Disease [273].

Transgrafting also holds great promise for the improvement of nut tree rootstocks. Commercially accepted scion cultivars grafted onto transgenic rootstocks could benefit from the rootstock-mediated increase in productivity and/or disease resistance while avoiding potential consumer concerns regarding use of any transgenic scion [248].

Rootstocks can also be improved with enhanced features while simultaneously designed to avoid transmission of macromolecules or products to the scion. As discussed in the biotic stress section, a crown gall resistant rootstock was generated by silencing *ipt* and *iaaM* genes responsible for tumor formation [247]. Examination for movement from the transgenic rootstock to a standard untransformed scion showed that none of the genes or their products (small RNAs, protein, and metabolite) transfer through graft union [274,275]. This method can produce rootstocks with enhanced disease resistance or other features while avoiding concerns about changes in the scion or food product.

### 13. Conclusions and Perspectives

The technique of producing trees on rootstocks means two species are genetically joined and therefore can affect one another's performance. The selection of rootstock is an important aspect of orchard management. In nut tree crops, rootstocks influence vigor, rooting ability, water and nutrient uptake, bud break timing, yield, nut quality, susceptibility to abiotic factors including temperatures, drought, waterlogging and salinity, and biotic factors, including crown gall, root rot, root-knot nematodes and soil borne fungal infections, harvest efficiency and postharvest nut quality. And now, producing sustainable orchards which can meet the challenges of climate change and economic production, producing better rootstocks is even more important.

Breeding tree nut crop rootstocks began many years ago when local growers near the centers of a species origins started collecting and domesticating the best wild species tolerant to abiotic and biotic stresses and that also produced good nuts. Later traditional breeding programs were started for both scions and rootstocks. The traditional rootstock breeding programs have produced the interspecific hybrid 'GF-677', GN series, 'Root-Pac 40', 'Vlach', 'RX1', 'VX211', 'UCB1', 'Newberg', and 'Apache' rootstocks in different nut trees. However, for tree nut crops, which have long extended juvenility, long productive lives and high heterozygosity, the traditional breeding approaches employed in annual crops are too slow, and costly. Understanding how rootstocks and scion interact can provide modern breeders new techniques to improve tree nut crops productivity.

Incorporating the newly emerging technologies including high-throughput phenotyping and genotyping as well as genome-wide transcriptome analysis into investigations of the genetic and domestication processes of nut trees rootstock species will address pertinent questions for rootstock biology and breeding. Among these questions are how the rootstock/scion interactions affect graft compatibility, vigor, water and nutrient uptake and efficiency, biotic and abiotic stresses, yield, and quality. Of particular value in rootstock breeding programs is germplasm collection and construction of grafting experiments to identify the genes associated with phenotypic variation in both the rootstock and the scion.

The collection of genomic data for nut trees is accelerating as the cost of next generation sequencing (NGS) decreases. The almond, hazelnut, walnut, pistachio, and pecan genomes have been fully sequenced and are available. In the near future reliable phenotypic data will be the rate limiting step in rootstock improvement. As tree nut crops are highly heterozygous with long juvenility periods and productive lives, genomic based approaches, such as marker-assisted selection (MAS), genome-wide association study (GWAS), genomic selection (GS), and genetic transformation offer promise for rootstock breeding. Comprehensive germplasm collections, coupled with genomic approaches, has the potential to yield significant advances in grafted tree nut crops.

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