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1           **Catabolic repression in early-diverging anaerobic fungi is partially**  
2           **mediated by natural antisense transcripts**

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40 **Abstract**

41 Early-diverging anaerobic fungi (order: Neocallimastigomycota), lignocellulolytic chytrid-like  
42 fungi central to fiber degradation in the digestive tracts of large herbivores, are attractive  
43 sources of cellulases and hemicellulases for biotechnology. Enzyme expression is tightly  
44 regulated and coordinated through mechanisms that remain unelucidated to optimize  
45 hydrolytic efficiency. Our analysis of anaerobic fungal transcriptomes reveals hundreds of  
46 *cis*-natural antisense transcripts (*cis*-NATs), which we hypothesize play an integral role in  
47 this regulation. Through integrated genomic and transcriptomic sequencing on a range of  
48 catabolic substrates, we validate these NATs in three species (*Anaeromyces robustus*,  
49 *Neocallimasix californiae*, and *Piromyces finnis*), and analyze their expression patterns and  
50 prevalence to gain insight into their function. NAT function was diverse and conserved  
51 across the three fungal genomes studied, with 10% of all metabolic process NATs associated  
52 with lignocellulose hydrolysis. Despite these similarities, however, only eleven gene targets  
53 were conserved orthologs. Several NATs were dynamically regulated by lignocellulosic  
54 substrates while their gene targets were unregulated. This observation is consistent with a  
55 hypothesized, but untested, regulatory mechanism where selected genes are exclusively  
56 regulated at the transcriptional/post-transcriptional level by NATs. However, only genes with  
57 high NAT relative expression levels displayed this phenomenon, suggesting a selection  
58 mechanism that favors larger dynamic ranges for more precise control of gene expression.  
59 In addition to this mode, we observed two other possible regulatory fates: canonical  
60 transcriptional regulation with no NAT response, and positive co-regulation of target mRNA  
61 and cognate NAT, which we hypothesize is a fine-tuning strategy to locally negate control  
62 outputs from global regulators. Our work reveals the complex contributions of antisense RNA  
63 to the catabolic response in anaerobic fungi, highlighting its importance in understanding  
64 lignocellulolytic activity for bioenergy applications. More importantly, the relative expression  
65 of NAT to target may form a critical determinant of transcriptional vs post-transcriptional  
66 (NAT) control of gene expression in primitive anaerobic fungi.



## 68 **Background**

69 Antisense transcription, or transcription of RNA from the noncoding strand of a gene, is a  
70 key mode of gene regulation in all domains of life (Britto-Kido et al., 2013; Donaldson and  
71 Saville, 2012; Katayama et al., 2005; Wagner and Simons, 1994). The resulting RNA exhibits  
72 extended sequence complementarity to a 'sense' RNA transcript or target mRNA that it  
73 interacts with to control gene expression. Transcripts that originate from the same genomic  
74 locus as the regulated target are known as *cis*-antisense transcripts, while those that arise  
75 from a distinct locus, with potentially imperfect complementarity, are known as *trans*-  
76 antisense transcripts (Donaldson and Saville, 2012). Both *cis*- and *trans*- antisense  
77 transcripts may regulate gene expression of the target mRNA through a number of  
78 mechanisms including induced chromatin remodeling, differential mRNA splicing/translation  
79 via sequence masking, and induced mRNA instability via RNA:RNA duplex formation  
80 (Donaldson and Saville, 2012; Faghihi and Wahlestedt, 2009; Hastings et al., 2000). Further,  
81 due to their positioning on the same locus as their target, *cis*- transcripts may also regulate  
82 gene expression transcriptionally through opposing RNA polymerase collision and  
83 transcriptional interference (Donaldson and Saville, 2012). While these mechanisms typically  
84 downregulate expression, they may at times, counterintuitively, enhance protein translation  
85 (Carrieri et al., 2012; Jabnourne et al., 2013). Regardless of mechanism, however,  
86 transcriptional/post-transcriptional regulation via antisense is nominally more responsive  
87 than traditional transcriptional control and adapts more rapidly to evolutionary pressures  
88 (Pelechano and Steinmetz, 2013; Yan and Wang, 2012). More importantly, antisense  
89 transcription can reduce noise in gene expression and achieve more switch-like behavior for  
90 precise control (Pelechano and Steinmetz, 2013). For these reasons, natural antisense  
91 transcripts (NATs) have formed a pervasive feature of fungal genomes with critical roles in  
92 cellular development (Ohm et al., 2010; Yassour et al., 2010), plant biomass degradation  
93 ("Complex regulation of hydrolytic enzyme genes for cellulosic biomass degradation in  
94 filamentous fungi - Springer," n.d.; Ries et al., 2013; Solomon et al., 2016a), and stress

95 adaptation (Donaldson and Saville, 2012) among other processes (Arthanari et al., 2014; Ni  
96 et al., 2010; Smith et al., 2008).

97 Early-diverging anaerobic gut fungi were recently shown to have a diverse array of  
98 cellulases that are tightly programmed for synergistic degradation of lignocellulosic biomass  
99 (Solomon et al., 2016a). The regulatory program of these enzymes is essential for function.  
100 However, the role of noncoding putative NATs, in tuning this regulatory response has not  
101 been established. Many outstanding questions remain, including how conserved these NATs  
102 are among anaerobic gut fungi and their specific loci of origin. More importantly, it is  
103 unknown whether biomass-degrading enzymes in early-branching fungi are solely regulated  
104 by NATs in the absence of other transcriptional changes, as has been seen in later-diverging  
105 fungi (Ni et al., 2010). Thus, characterizing the behavior and properties of gut fungal NATs is  
106 needed to fully understand the regulatory program of these enzymes, and their resulting  
107 impact on cellular behavior.

108 Given the importance of catabolic repression for efficient biomass degradation (Solomon et  
109 al., 2016a), we sought to investigate the NAT contributions to this transcriptional response.  
110 Here, we integrate genomic sequencing and strand-specific RNA-Seq to characterize the role  
111 of NATs in anaerobic fungal biology. We annotate NAT candidates, target genes, and  
112 characterize their properties to validate and identify the function of more than 2000 NATs.  
113 We also measure the differential expression of these NATs and their cognate targets on  
114 various substrates to evaluate their function in fungal catabolic regulation. Our results  
115 reveal dozens of genes that are solely regulated post-transcriptionally by NATs, and reveal  
116 conditions where post-transcriptional regulation via NAT is preferred over direct  
117 transcriptional control.

118

## 119 **Results and Discussion**

120 *Gut fungal genomes contain a diverse landscape of cis-natural antisense transcripts*

121 Recent strand-specific RNA-Seq of anaerobic fungi revealed a number of ncRNA  
122 hypothesized to be antisense RNA, as determined by their gene annotations (Solomon et al.,  
123 2016a). Transcripts that are unannotated by this pipeline cannot be assigned a directional  
124 sense and are ignored in these analyses. To confirm the antisense predictions, we aligned  
125 these transcripts to their respective genomes (Haitjema et al., 2017) (Table 1) and  
126 determined that their loci were indeed likely to be from the non-template or antisense  
127 strand of a coding gene. We restricted our NAT candidates to those with perfect  
128 complementarity for at least 80% of the overlap with putative targets to eliminate partially  
129 mapped transcripts, poor matches, and potential *trans*- NATs as these fungal genomes are  
130 unclosed and the relative positions of many loci remain uncertain. This high degree of  
131 complementarity also excludes RNAi transcripts as it filters out the sequences needed for  
132 processing via Dicer encoded within these fungal genomes (Bernstein et al., 2001; Solomon  
133 et al., 2016a). Additionally, we filtered potential transcript assembly fusion artifacts while  
134 still accommodating potential introns by restricting the length of NAT overlap to no more  
135 than 3 times the length of its target. The strict filters still resulted in >93% of all *de novo*  
136 transcripts mapping to the genome in at least one location (Table 1). While only 20-40% of  
137 the antisense transcript candidates could be validated as true NATs (Figure 1A, Additional  
138 Files S1-S3, see Solomon et al. 2016a for annotations), they represented 3-9% of all  
139 observed transcripts (Table 1). This relative NAT abundance, while significant, is relatively  
140 low for fungi (Goodman et al., 2013; Ni et al., 2010; Ohm et al., 2010), and inconsistent with  
141 the well-established 'gene number paradox' of non-coding DNA increasing with organismal  
142 complexity or genome size in eukaryotes (Taft et al., 2007). However, this may be partially  
143 explained by the unique evolutionary history of these fungi, which includes significant  
144 horizontal gene transfer with rumen bacteria (Haitjema et al., 2017; Rosewich and Kistler,  
145 2000). The gut fungi exhibit an inverse correlation between genome size and number of  
146 non-coding transcripts. Smaller, more gene-dense genomes contain a higher relative  
147 abundance of NATs as seen in *Piromyces* (56 MB - 9% NATs) vs *Neocallimastix* (193 MB -  
148 2.5% NATs). Given the regulatory role of NATs, increasing the density of NATs to

149 complement a reduced number of regulatory proteins may be a mechanism to increase  
150 genome density while maintaining organismal complexity.

151 Gut fungal NATs are fairly long, ranging in size from 100 bp to 6 kb with a median length of  
152 ~250 bp (~10% of sense transcript, Figure 1B). These NATs are transcribed from  
153 independent promoters leading to one of several possible binding configurations: head-to-  
154 head overlap; tail-to-tail overlap; embedded NATs; and full complementarity (Figure 1A).  
155 However, the distribution of transcripts between these forms is non-random; like later  
156 diverging ascomycetes, earlier branching gut fungi favor tail-to-tail NATs that arise from  
157 convergent transcription (Figure 1C) (Donaldson and Saville, 2012). This bias in hybridization  
158 target suggests that transcriptional interference via polymerase collision may be a primary  
159 mode of action for these transcripts. Other possible mechanisms such as differential splicing  
160 due to RNA masking are also supported by the data. The presence of NATs increases the  
161 number of transcriptional isoforms for a predicted gene locus by at least 50% (p-value < 10<sup>-</sup>  
162 <sup>20</sup>, unpaired t-test with unequal variance - Additional File S23). However, transcriptional  
163 regulation via chromatin remodeling is unlikely as the NATs do not hybridize near to the  
164 transcriptional start site in a manner that might directly alter the local chromatin structure  
165 around the promoter and affect gene transcriptional efficiency (Nocetti and Whitehouse,  
166 2016).

167 *NATs are implicated in many cellular processes such as fungal development*

168 Having established the prevalence of NATs within the gut fungal genomes, we then  
169 investigated their functional roles. We identified the complementary target transcript for  
170 each NAT from our genome maps and used the more complete target annotations to  
171 describe NAT function. With this approach, we assigned a putative function to just under half  
172 the NATs from each species. These Gene Ontology (GO) terms were binned into unique  
173 functional processes ranging from metabolism to cellular transport to assign functional roles  
174 to the NATs. Despite the differences in abundance, the distribution of NAT function is



175 conserved at the process level across all three genera (Figure 2). Unsurprisingly, the  
176 distribution of NAT functionality reflected that of the transcriptome as a whole (Figure S1)  
177 with regulation of metabolism and protein expression as the dominant roles for NATs. 13.9 -  
178 21.0% of NATs targeting metabolic processes were implicated in lignocellulose hydrolysis.  
179 However, the relative abundance of lignocellulose hydrolysis NATs relative to metabolic  
180 process NATs was enriched by at least 25%, suggesting the importance of antisense  
181 regulation to the cellulolytic lifestyle of anaerobic fungi. These NATs were unbiased toward  
182 specific enzyme family targets, putatively hybridizing with transcripts for secreted  
183 cellulosomal and non-cellulosomal components with functions as cellulases, hemicellulases,  
184 acetylxylan esterases, and pectinases.

185 Despite the broad conservation of NAT functional roles at the process level across the 3  
186 fungi examined here (Figure 2), each fungus was uniquely enriched in selected GO terms  
187 (Figure 3). A hypergeometric test of NAT abundance relative to the transcriptome as a whole  
188 revealed 10-14 GO terms per fungus enriched with NATs at  $p \leq 0.01$  [Table S1 & Additional  
189 Files S4-S6]. None of these GO terms is similarly enriched across all three fungi and may  
190 reflect the relative importance of key cellular processes to fungal lifestyles across different  
191 genera. However, conserved functions that define the gut fungal division may emerge as  
192 more species are isolated and sequenced. As NATs are typically regulatory in nature, their  
193 presence and activity are important predictors of cellular phenotype. Coupled with their  
194 evolutionary plasticity, or ability to evolve quickly, these key differences in NAT functional  
195 enrichment may, in part, drive the differentiation of gut fungal genera. For example, NATs  
196 that target sporulation processes (GO Term: sporulation resulting in formation of a cellular  
197 spore, (Borneman et al., 1989)) are absent in both monocentric *Neocallimastix* and  
198 *Piromyces*, while being present and enriched in high zoospore producing polycentric  
199 *Anaeromyces* ( $p = 0.015$ ). This regulation of cellular development by antisense is a  
200 conserved feature across many fungi (Kramer et al., 2003; Ohm et al., 2010; Yassour et al.,

201 2010) and other eukaryotes (Piatek et al., 2016; Seroby et al., 2016), suggesting that such  
202 mechanisms are simple yet effective at regulating complex life cycles.

203 In spite of the challenges in computationally identifying gene orthologs (Koonin, 2005), we  
204 were able to identify more than a dozen shared, possibly ancestral, ortholog targets  
205 conserved among the gut fungi. *Piromyces* and *Neocalliomastix*, both monocentric fungi  
206 (Additional File S7) (Li et al., 2016), share the most NAT ortholog targets (8) despite the  
207 large differences in their genome sizes. Among these targets are spore coat proteins (Coth),  
208 which have been associated with an extracellular cellulolytic complex or cellulosome (Dassa  
209 et al., 2012; Solomon et al., 2016a), motor protein dynein, and a FMP27 transcription factor  
210 (O'Sullivan et al., 2004). In contrast, monocentric *Piromyces* and polycentric *Anaeromyces*,  
211 and *Neocallimastix* and *Anaeromyces* share only 5 and 1 targets, respectively. These targets  
212 regulate more essential processes such as ribosomal biogenesis (LSM proteins) and  
213 environmental sensing (DUF221) (Hou et al., 2014, p. 221; Wilusz and Wilusz, 2013). The  
214 degree of ortholog conservation between species is inversely correlated with phylogenetic  
215 distance (Li et al., 2016), increasing confidence in our analysis, and hints at the evolutionary  
216 history of these regulatory transcripts. However, this dataset may be subject to  
217 undersampling that limits the amount of observable sequence observation, due to  
218 difficulties associated with low genomic GC content, tightly associated macromolecules of  
219 DNA packaging, and complex life cycles, which all limit the yield of high-quality intact DNA  
220 needed for genomic analysis (Solomon et al., 2016b)

#### 221 *NATs exclusively regulate genes in response to changes in carbon source*

222 Given the abundance of NAT transcripts, we then sought to quantify their expression profiles  
223 to assess their role in the regulation of lignocellulose hydrolysis – a primary function of  
224 anaerobic fungi. Exploiting catabolite repression in anaerobic fungi (Solomon et al., 2016a),  
225 we identified NATs and/or their targets that exhibited differential expression when grown on  
226 cellobiose, Avicel, or reed canary grass as compared to expression on glucose (Additional  
227 File S8-16). At least 30 unique NATs and 69 NAT targets for each species displayed

228 differential regulation ( $\geq 2$ -fold change in expression,  $p_{\text{val}} \leq 0.01$ ) under the growth  
229 substrates tested (Figure 4A-C, Additional Files S17-S22 - expression fold change relative to  
230 glucose) with a functional distribution similar to that of all NATs. Among the regulated  
231 transcripts were a small subset (8-17%) where both a NAT and its target transcript were  
232 positively co-regulated with similar fold-changes in expression. These increases in target  
233 and NAT transcription, which could functionally oppose each other through polymerase  
234 collision (Figure 1C) (Pelechano and Steinmetz, 2013), may effectively cancel out and are  
235 anticipated to only minimally change protein expression (Figure 4D). Most regulated  
236 transcripts, however, displayed only direct differential expression of the target or the  
237 corresponding NAT (Figure 4A-C). This result is consistent with the possibility that most  
238 genes were exclusively regulated by either NAT expression or target transcriptional  
239 regulation. While sole transcriptional regulation is far more common, as is expected, the  
240 independent NAT expression patterns highlight the unique regulatory roles of these NATs  
241 that respond to stimuli distinct to that of their target transcript. More importantly, it  
242 underscores a need to capture and study NAT regulation to fully understand phenotypes.  
243 This exclusivity has been similarly observed in other fungi (Ni et al., 2010) and may have  
244 arisen as a method to fine tune the programming of individual transcripts without disrupting  
245 coordinated expression via global transcription factors.

246 If the observed changes in NAT expression directly affect protein expression, the decision to  
247 independently regulate protein expression via antisense or transcriptional control is  
248 determined by relative expression of the target and NAT transcripts (Figure 5). When NAT  
249 expression far exceeded that of its cognate target on both glucose and an alternative  
250 substrate, protein expression was regulated exclusively by the NAT. Conversely,  
251 transcriptional control occurred when target expression was dominant. Similarly, genes are  
252 co-regulated when NAT and target have similar expression levels. These trends are  
253 consistent with a model where NATs fine tune gene expression. Cells are able to maximize  
254 the dynamic range of possible expression outputs by varying the expression of the dominant

255 transcript (Pelechano and Steinmetz, 2013). This ability to effect more robust control  
256 supersedes the apparent cellular function of the transcript as a mechanism for selection as  
257 there was no observable correlation of regulatory fate and NAT function (Additional Files  
258 S24-S32 - GO term annotation of antisense targets for a given regulatory fate in each  
259 species) via enrichment analysis.

## 260 **Conclusions**

261 In this study, we characterize the role of antisense transcription in the understudied early-  
262 branching *Neocallimastigomycota* fungi. While pervasive throughout the three fungal  
263 genomes studied, overall NAT abundance was low compared to other fungi, potentially  
264 reflecting the early divergence of anaerobic fungi and their significant horizontal gene  
265 transfer with bacteria. These NATs were implicated in diverse processes and hypothesized to  
266 primarily regulate its target through a polymerase collision mechanism. NATs showed  
267 differential expression on growth substrates with several gene targets displaying no other  
268 form of transcriptional regulation. That is, genes were potentially independently regulated  
269 by their NATs alone. This fate between post-transcriptional (NAT) and transcriptional control  
270 of gene expression was correlated with NAT expression level suggesting a possible selection  
271 mechanism. Thus, NAT profiling is critical to fully recapitulate cellular phenotypes such as  
272 plant biomass degradation, and reveal efficient strategies of enzyme regulation needed for  
273 more economical biofuels. In sum, our work refines the understanding of gene regulation in  
274 lignocellulolytic anaerobic fungi and suggests potential determinants of transcriptional vs.  
275 post-transcriptional control.

276

## 277 **Materials & Methods:**

### 278 *Strains and Culture Maintenance*

279 Three early-branching fungal isolates of *Neocallimastigomycota* (Li et al., 2016),  
280 *Anaeromyces robustus*, *Neocallimastix californiae*, and *Piromyces finnis*, were grown and

281 continually passaged in 10 mL Medium C supplemented with 15% cow rumen fluid (Bar  
282 Diamond, Parma, ID) and up to 0.5% of a carbon substrate (Theodorou et al., 2005).  
283 Substrates included glucose (anhydrous, Thermo Fisher Scientific, Canoga Park, CA),  
284 cellobiose (Sigma-Aldrich, St Louis, MO), Avicel (PH-101, 50 µm particle size, Sigma-Aldrich),  
285 and reed canary grass gifted from the USDA-ARS Research Center (Madison, Wisconsin). We  
286 grew at least 3 cultures of each isolate per substrate before pelleting them at mid-  
287 exponential phase ( $P \sim 4$  psig) (Haitjema et al., 2014). We then stored these cell pellets in 1  
288 ml RNALater (Qiagen, Valencia, CA) at  $-80^{\circ}\text{C}$  to preserve their transcriptomic profiles for  
289 later analysis. To sequence the genomes of these organisms, we grew multiple 40 mL  
290 cultures of each isolate supplemented with glucose as a carbon source. Cells were pelleted  
291 at mid-exponential phase and their DNA immediately extracted.

#### 292 *RNA Isolation and Transcriptome Acquisition*

293 We isolated the RNA content from the preserved culture pellets within a week of harvesting.  
294 Pellets were thawed on ice before we extracted the total RNA using the Qiagen RNEasy Mini  
295 Kit (Qiagen) according to the manufacturer's instructions for Plants and Fungi. All samples  
296 were treated with an on-column DNaseI treatment (Qiagen) at room temperature for 15  
297 minutes, per the manufacturer's recommendations, to remove any contaminating DNA.

298 We measured the total RNA content of each sample using a NanoDrop 2000  
299 (ThermoScientific, Waltham MA) to ensure a minimum concentration of 60 ng/µL of RNA and  
300 validate sample purity ( $A_{260}/A_{280} \geq 1.8$ ). We then verified their integrity via an RNA Integrity  
301 (RIN) score with a TapeStation (Agilent Technologies, Santa Clara, CA) or BioAnalyzer  
302 (Agilent Technologies, Santa Clara, CA). We submitted at least 3 µg RNA to the Dept. of  
303 Energy Joint Genome Institute (JGI, Walnut Creek, CA) for sequencing. To acquire a full  
304 catalog of all possible transcripts, we pooled equal masses of RNA obtained from growth on  
305 various substrates and submitted one sample per isolate for sequencing to the JGI (Solomon  
306 et al., 2016a). The transcriptional profile as function of substrate were captured from  
307 replicate samples and sequenced on a HiSeq2500 (Illumina, San Diego, CA) at the Broad

308 Institute of MIT and Harvard (*Piromyces*) (Solomon et al., 2016a), and on a NextSeq500  
309 (Illumina) at the UCSB Biological Nanostructures Laboratory (*Anaeromyces* and  
310 *Neocallimastix*). Libraries were prepared either using polyA purification (*Piromyces*)  
311 (Solomon et al., 2016a) or rRNA depletion (*Anaeromyces*, and *Neocallimastix*) (Henske et al.,  
312 2018). Samples from cultures grown on all substrates were sequenced in triplicate with the  
313 exceptions of maltose and corn stover for *Anaeromyces*, and switchgrass for *Neocallimastix*,  
314 which were completed in duplicate due to sample loss in the processing pipeline. All  
315 transcriptional reads were mapped to the JGI assembled transcriptomes before being  
316 analyzed for gene expression profiles (Haitjema et al., 2017). In all cases, strand-specific  
317 transcriptional libraries were generated using a dUTP protocol (Levin et al., 2010).

### 318 *Antisense RNA Identification and Annotation*

319 We annotated all RNA transcripts with the BLAST2GO pipeline (Götz et al., 2008) and noted  
320 the orientation of annotation (Solomon et al., 2016a). As these transcriptomes are generated  
321 from a strand-specific library, any annotation in a negative reading frame (-1, -2, -3) flags a  
322 transcript as a candidate antisense RNA. The transcriptomes were then mapped to the  
323 corresponding genome using GMAP (Wu and Watanabe, 2005), with a strict cutoff of > 80%  
324 complementarity and a mapping length no greater than 3 times the transcript length. From  
325 this list of verified mappings, we then identified validated antisense candidates that mapped  
326 to a target transcript as cis-natural antisense transcripts (NATs) whose function are given by  
327 the annotations of their target mRNA (Solomon et al., 2016a). Included in these annotations  
328 are BLAST homologs, InterPro protein domain identities, Gene Ontology (GO) Term  
329 descriptions, Enzyme Commission Numbers, and mapping to ortholog groups (OrthoMCL  
330 (Chen et al., 2006)).

### 331 *Differential Expression Analysis and Expression Clustering*

332 We analyzed the transcriptomic profiles for each isolate as a function of substrate using  
333 RSEM and DESeq2. First, we estimated the counts of each transcript from the raw reads and

334 assembled transcriptomes (Solomon et al., 2016a) using the RSEM analysis script in the  
335 Trinity toolkit. These estimated counts were then analyzed using the Bioconductor DESeq2  
336 package (version 1.8.2) in the R programming language with default parameters (Anders  
337 and Huber, 2010) to identify differential expression patterns relative to growth on glucose.  
338 We filtered these results for biological significance (absolute  $\log_2$ -fold change in expression  
339  $\geq 1$ ) and statistical significance with adjusted p-values  $\leq 0.01$ .

#### 340 *Functional binning of NATs*

341 We assigned putative functions to NATs from the GO Term annotations of its putative target.  
342 Functional bins included GO terms that incorporated the following terms: Cytoskeleton &  
343 Mobility - 'dynein', 'actin', 'chitin,' or 'cilium'; Signaling & Sensing - 'receptor' or 'signal';  
344 Protein Expression - 'ribosom', 'transcrip', 'translat', 'peptidyl-prolyl cis-trans isomerase',  
345 'proteasome', 'mRNA', 'proteo', 'ubiquitin', 'folding', 'tRNA', 'protein dimerization', 'protein  
346 glycosylation', or 'rRNA'; Transport - 'transport'; Lignocellulose hydrolysis - 'cellulose',  
347 'xylan', 'pectin' or 'cellulase'; Metabolism - 'metabolic' and 'catabolic'; Other catalytic  
348 processes -'ase' or 'catalytic'; Other - all remaining GO term annotations. Each NAT was  
349 assigned to single function in a precedence order. NATs that could be assigned to multiple  
350 functions were grouped with the function of highest precedence in the order: Cytoskeleton &  
351 Mobility, Signaling & Sensing, Protein Expression, Transport, Lignocellulose hydrolysis,  
352 Metabolism, Other catalytic processes, and finally Other.

#### 353 *Functional Enrichment Analysis of NATs*

354 We tested for functional enrichment of NATs within GO Terms via a hypergeometric  
355 statistical test to establish putative roles where the presence and/or regulation of NATs is  
356 critical. We calculated the fraction of NATs with a given GO term and compared this fraction  
357 to that of the entire transcriptome with that GO term to establish a probability (p-value) that  
358 this distribution is random and reflects the innate transcriptome distribution (null

359 hypothesis). GO Terms with a p-value  $\leq 0.01$  are said to be enriched in NATs and used to  
360 infer biological significance.

### 361 **List of Abbreviations**

|     |       |                              |
|-----|-------|------------------------------|
| 362 | GO    | Gene Ontology                |
| 363 | ncRNA | non-coding RNA               |
| 364 | NAT   | natural antisense transcript |

### 365 **DECLARATIONS**

366 **Ethics approval and consent to participate.** Not applicable

367 **Consent for publication.** Not applicable

### 368 **Availability of data and materials**

369 Transcriptomic short read and expression data have been uploaded to the NCBI Sequence  
370 Read Archive (SRA: <http://www.ncbi.nlm.nih.gov/sra/>) and Gene Expression Omnibus (GEO:  
371 <https://www.ncbi.nlm.nih.gov/geo/>) under accession numbers: GSE64834 (Solomon et al.,  
372 2016a) and GSE95479. Genomes are available at MycoCosm (Grigoriev et al., 2014)  
373 (<http://genome.jgi.doe.gov/programs/fungi/index.jsf>) under taxon IDs Anasp1, Neosp1, and  
374 Pirfi3.

### 375 **Competing interests**

376 The authors declare that they have no competing interests.

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### 389 **Authors' contributions**

390 KVS and JKH extracted the RNA samples for analysis. AL sequenced and assembled the  
391 transcriptomes. KVS, JKH, and SPG annotated the transcriptome, analyzed expression data  
392 and mapped to corresponding genomic targets. KVS, IVG, DT, and MAO wrote the  
393 manuscript. MAO and KVS designed the study.

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588 **Table 1: Overview of sequenced anaerobic fungal genomes and transcriptomes**

|  | <i>Anaeromyces<br/>robustus</i> | <i>Neocallimastix<br/>californiae</i> | <i>Piromyces finnis</i> |
|--|---------------------------------|---------------------------------------|-------------------------|
| Genome Size (MBp) <sup>a</sup>                               | 72                              | 193                                   | 56                      |
| Genome Scaffolds <sup>a</sup>                                | 1,035                           | 1,819                                 | 232                     |
| Transcriptome (transcripts) <sup>a</sup>                     | 17,128                          | 29,649                                | 17,008                  |
| Mapped Transcripts   | 15,998 (93%)                    | 27,842 (94%)                          | 16,246 (96%)            |
| Antisense RNA (transcripts/<br>% transcriptome) <sup>b</sup> | 2,157 (13%)                     | 4,832 (16%)                           | 3,814 (22%)             |
| <b>Validated NATs<br/>(transcripts/<br/>% transcriptome)</b> | <b>439 (2.5%)</b>               | <b>732 (2.5%)</b>                     | <b>1,586 (9.3%)</b>     |

a (Haitjema et al., 2017), b(Solomon et al., 2016a)

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590

## 591 **Figure Legends**

592 **Figure 1. Cis Natural Antisense Transcripts (NATs) are a significant fraction of the**  
593 **gut fungal mRNA landscape.** A) Validated NATs are defined as mRNA that may be  
594 annotated in the reverse annotation and can be mapped to the genome complementary to a  
595 coding transcript. These transcripts assume a (i) head-to-head (ii) tail-to-tail, (iii) embedded  
596 or (iv) fully complementary binding configuration B) NATs are large ranging in size from 100  
597 bp to 6 kb. C) NATs are highly complementary to the 3' end of their target mRNA. TSS -  
598 target gene transcriptional start site. TT - target gene transcriptional terminator.

599 **Figure 2. NATs assume diverse regulatory roles within gut fungi.** NAT function of  
600 categorized transcripts as described by the Gene Ontology (GO) Term annotations of their  
601 target RNA. Refer to Materials & Methods for GO Term definitions of the functional bins.

602 **Figure 3. NATs are overrepresented in key functional roles.** GO Terms enriched with  
603 antisense (hypergeometric test,  $p \leq 0.01$ ) suggest processes (P), functions (F), and cellular  
604 compartments (C) where NAT regulation is critical.

605 **Figure 4. NATs and their targets are independently regulated with antisense**  
606 **hypothesized to control expression of selected transcripts.** Differentially expressed  
607 genes ( $\geq 2$ -fold change in expression,  $p_{\text{val}} \leq 0.01$ ) were binned by whether both the target  
608 and corresponding NAT were regulated (coregulated), NAT was regulated alone (antisense  
609 regulated), or target regulated alone (transcriptionally regulated). Due to the genome  
610 density, some NATs may control multiple targets. NATs common to multiple targets are  
611 indicated with a uniquely colored bar above the heatmap. A) *Anaeromyces robustus* B)  
612 *Neocallimastix californiae* C) *Piromyces finnis* D) Cartoon of potential impact on protein  
613 expression for an increase in NAT and target (positive coregulation), NAT only (antisense  
614 regulation), and target only (transcriptionally regulated). Target transcripts indicated in blue,  
615 and NAT in red/peach.

616 **Figure 5. Independent antisense regulation of genes determined by relative**  
617 **expression level of NAT and target gene.** Relative expression levels of target and NAT  
618 in transcripts per million (TPM) were measured on both glucose and reed canary grass.  
619 NAT:target pairs were color coded by method of gene regulation as observed in Figure 5.