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The Digital Health Landscape in Addiction and Substance Use Research: Will Digital Health Exacerbate or Mitigate Health Inequities in Vulnerable Populations?

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## The Digital Health Landscape in Addiction and Substance Use Research: Will Digital Health Exacerbate or Mitigate Health Inequities in Vulnerable Populations?

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#### 12 Abstract

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Purpose of Review Novel and emerging digital health technologies are increasingly used in substance use and addiction-related self-management and treatment research. The promise of digital health is exciting, yet there are important factors regarding population characteristics to consider prior to using novel technologies with vulnerable populations. This paper reports a review

of scientific literature published between 2015 and early 2020 on the use of digital health strategies in research focused on substance use and addiction in vulnerable populations.

18 **Recent Findings** Using 13 search terms, three databases were screened for published literature meeting specific inclusion criteria.

Common themes expressed across the 32 resulting publications included user acceptability, product reliability, and privacy and security concerns.

Summary Implementation of evidence-based frameworks and guidelines is needed to guide future digital health research in

vulnerable populations. Guidance should involve robust evaluations of acceptability, feasibility, and clinically meaningful use of

23 digital health in diverse populations experiencing addiction-related health concerns.

Keywords Digital health · Mobile health · Telemedicine · Underserved in biomedical research · Addiction · Substance use
 disorder · Research ethics

# 2627 Introduction

For nearly 60 years, the gradual adoption of telemedicine via information and communication technologies (ICTs) (e.g., tele and videoconferencing, email, wireless tools, phones) has created new opportunities to provide medical information

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and services to underserved and hard to reach populations(e.-32 g., racial and ethnic minorities, sexual and gender minorities, 33 economically disadvantaged, rural populations) [1, 2]. The 34 scope of telemedicine expanded with the emergence of novel 35tools like health information technologies (HIT) that utilize 36 the patient's electronic health record (EHR) to communicate 37 with and about a patient without the need for face to face 38 contact [2, 3., 4]. ICT-based approaches in health research 39and healthcare, which include computer-based technologies or 40 "eHealth," and mobile technologies or "mHealth" and "digital 41 health," respectively, quickly became pervasive over the past 42dozen years as cloud computing capabilities grew and popu-43larity of smartphones increased [4-6]. Given nascent status of 44 this growing field, it is not surprising that the terminology is 45evolving. While the use of telemedicine, eHealth, mHealth, 46and digital health might be used interchangeably, for this pa-47per, we use the term "digital health." 48

As the information technology revolution charges ahead, 49 the health sector is rapidly leveraging the availability of technologies to advance health promotion, diagnosis, and treatment. For example, wearable sensors can be used to 52

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53enumerate physiologic metrics such as an individual's vital signs (electrodermal activity (EDA), heart rate, body temper-54ature) or pertinent attributes of their environment  $[3 \cdot \cdot, 4, 7]$ . 5556Mobile imaging can be used to provide high definition pic-57tures of the individual's anatomy including the sequencing of an individual's germline DNA, RNA, microbiome, and epi-5859genome to illuminate an individual's biology [2, 4]. 60 Continuous glucose and blood pressure monitors via smart watches and smartphone-based imagining tools, including ul-61 62 trasound, are among the few of many digital health tools developed in the last 5 years serving as virtual health assistants 63 64 permitting for remote physical examinations [8]. Ubiquitous engagement with social network platforms (Facebook, 65Twitter, Instagram) allows the opportunity for scientists to 66 observe human behavior and environmental influences that 67 may amplify a health outcome or health behavior as well as 68 deploy applicable interventions [5, 9]. Ecological momentary 69 assessment is a digital strategy where a brief survey is de-7071ployed to a participate on their smartphone and used to assess 72constant states, behaviors, and experiences (biopsychosocial) of an individual in real time with minimal input required from 73the individual or clinician [5, 10, 11]. As a result, the quantity 74 75of data produced by digital health analytics can shine light on important health outcomes [12, 13]. 76

77 Through the use of digital health approaches, the opportu-78nity to deliver remote healthcare and reduce health inequity among those considered most vulnerable increases [2, 3, 14]. 79Many research efforts, including those applying digital health, 80 historically lack inclusion of vulnerable populations (under-81 82 represented populations in biomedical research (UBR)) leading to decreased generalizability in research findings and lim-83 84 ited application and imprecise interpretations of medical discoveries [14]. Enduring differences in demographic factors 85 such as race, ethnic group, income status, gender identity, 86 87 age, sexual orientation, disability, sex, geographic location (rural), access to medical care, and health literacy contributes 88 89 to current day health and healthcare disparities leading to 90 health inequity [6, 14]. For example, across the USA, racial and ethnic minority groups experience inadequate access to 91quality healthcare, systemic racism, food and house insecuri-9293ty, and disadvantaged employment and education opportunities, which hamper their potential for optimal health and well-94ness (e.g., systemic health inequity) [3..]. The scarcity of 9596 health equity assessment in public health research hinders the potential to translate clinically meaningful discoveries to 97 UBR populations further exacerbating modern day systemic 9899 health inequity [15]. It is crucial to incorporate these individ-100 ual differences to help guide more accurate prognosis, improve treatments, and assist in the evolving novel individual-101 102 ized therapies [14]. The understanding of how suitable digital 103health tools are to serve UBR needs is necessary to determine whether digital health serves as a promising solution for ad-104dressing health inequity in vulnerable populations [16]. 105

In the last decade, research has shown growing global own-106ership of mobile phones and tablet devices with 61% of indi-107 viduals worldwide claiming ownership of a mobile phone 108 [17]. Further, in over 90% of Americans claiming ownership 109of a mobile phone in the USA, 71% report a low socio-110 economic status (less than \$30,0000 in US dollars) and 66% 111 report a limited level of education (no more than a high school 112 education) [18, 19]. Moreover, the use of mobile applications 113and mobile phones to retrieve health information is higher 114 among racial and ethnic minorities compared to white coun-115terparts [3...]. The prevalence of smartphone ownership has 116 fueled the opportunity to enable remote and real-time health 117 education, disease prevention, detection, treatment, and pa-118tient monitoring for many populations and may be particularly 119beneficial to more vulnerable populations due to greater ac-120cess [20•, 21]. The evolution of digital technologies and the 121ubiquitous accessibility of the Internet allows for innovative, 122 cost-effective, and unique opportunities to address countless 123health issues in vulnerable populations including substance 124use and addiction [20•]. Among the universal advantages are 125increased access to vulnerable populations, decreased infor-126mational barriers, opportunity for patient self-management, 127interactive contact between healthcare providers and patients, 128increased participant comfort related to perceived anonymity, 129and access to cost-effective health interventions [5, 6, 20•, 13022•]. Over the past 5 years, a growing number of digital health 131tools and intervention strategies have been applied to preven-132tion, treatment, and harm reduction interventions [20•]. 133However, most interventions lack inclusion of vulnerable 134populations, which contributes to limited generalizability 135and the meaningful use of the applications for betterment of 136health of vulnerable communities-further perpetuating 137health disparities [3..]. 138

Utilization of digital health tools and strategies facilitates 139real-time intervention deployment and optimal substance use 140disorder (SUD) treatment. The ability to use digital health 141tools as mobile devices (smartphones and tablets), wearable 142devices (smartwatches, adherent patches, and other body worn 143or ingested sensors), and social media platforms allows for a 144combination of detection and assessment strategies of sub-145stance use and addiction-related behaviors [5, 23]. EMA can 146be used to gather self-reported data with minimal input from 147the individual or healthcare practitioner on craving, cues, and 148substance use while detecting episodes of substance use re-149lapse in real time [23]. For example, physiological character-150istics such as an elevated heart rate, increased electrodermal 151activity and decreased skin temperature, or changes in ECG 152are among the many patterns that may suggest relapse [23]. 153Geolocation via mobile apps may also be utilized in combi-154nation with the continuous physiological parameters collected 155to provide contextual basis and signal of substance use [5, 23]. 156With this, digital health allows for timely intervention from 157clinicians, which can trigger response to events via text 158

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message or notification to a support group that an event has
occurred [23]. Digital health provides the potential to generate
machine learning algorithms to determine actionable biometric data and increases the ability to detect substance use and or
addiction related outcomes [12, 13, 23].

While digital heath strategies appear promising for 164165addiction- and substance use-related research, specifically 166in vulnerable populations, the rates of acceptability, feasibility, and reliability for the use of digital health must be 167 considered. This includes identifying the reliability and 168validity of using digital health tools in addiction and sub-169170 stance use related research, particularly in vulnerable populations [24]. For example, researchers recently discovered 171smartwatches and other activity sensors provide unreliable 172heart rate monitoring in populations of color [3...], finding 173that the photoplethysmography sensors were unable to 174175penetrate through dark skin tones and providing invalid heart rate measures [3..]. The dearth of socio-cultured tai-176177lored digital health interventions further propagates health inequities by establishing health benefits to one population 178and mounting health disparities in another [3..]. Thus, dig-179ital health tool developers and the researchers using these 180 181 tools need to consider these factors, ideally during the product design phase to avoid barriers leading to health 182183 inequity in vulnerable populations who may benefit from 184 digital health.

Further, the promising unprecedented scope of sensitive 185data collection using digital health is a prominent concern of 186 187 vulnerable populations especially in the area of substance use research [25]. The inability to guarantee data anonymity and 188 participant privacy poses unique ethical challenges for digital 189190health developers and researchers requiring considerations in the design phase to mitigate risk of harm for users [22•, 25]. 191The realm of participant concerns including perceived legal, 192193social, and economic harms contributes to the challenge of 194 participant acceptability of digital health, particularly in sub-195stance use and addiction related interventions [25]. Legal con-196cerns regarding data confidentiality, sharing, and privacy expectation are common concerns expressed by participants, 197 particularly in illicit drug use, which may be of interest in both 198199 criminal and civil courts (e.g., family custody disputes) [22•]. Given the possible risks of harm combined with the rapid 200escalation of digital health research, it is essential to apply 201202 ethical frameworks to guide technology design and mitigate possible harms to vulnerable populations, which in turn exac-203204 erbate health inequity [26].

A goal of this study was to better understand how digital health is used in substance use and addiction research with a focus on vulnerable populations. To do this, we identified recent literature published on digital health research that focused on substance use and or addiction in vulnerable populations. Through our review of the resulting studies, we were able to assess the characteristics of these studies, including 215

gaps present in the literature as well as potential ethical issues212in using digital health strategies in substance use research and213the contribution to health inequity in vulnerable populations.214

#### Methods

The scientific literature used to explore these questions was 216identified via Google Scholar, the NIH RePORTER, and 217PubMed databases. Each database was reviewed to identify 218substance use- and or addiction-related publications reporting 219on the use of health technologies (e.g., mHealth, digital health, 220 eHealth, telemedicine) used in research with populations un-221derrepresented in biomedical research (UBR). For the purpose 222of this review, UBR, addiction, substance use, and digital 223health technologies were defined using the terms presented 224in Table 1 [14, 27-29]. Following the Preferred Reporting 225Items for Systematic Reviews and Meta-Analyses 226(PRISMA) guidelines, the search was performed January 20 227through March 2, 2020 and was bracketed by literature pub-228lished between 2015 and March 2, 2020 [30]. 229

Seven primary search terms were identified to initiate the 230search, and six secondary search terms were used to expand 231on the research (see Fig. 1). The first ten results pages returned 232for each search term per database were reviewed for article 233titles and abstracts, which potentially met the inclusion criteria 234and exclusion criteria: (1) focused on a UBR population, (2) 235focused on an addiction or use of substance, (3) mentioned 236any type of digital health, and (4) not a review. The inclusion 237and exclusion criteria were applied at two stages of the review 238(see Fig. 1) [31]. At stage 1, one author read through the titles 239and abstracts of the articles resulting from the search strategy. 240If the titles and abstracts referenced the search terms (see Fig. 2411) and the inclusion criteria were met, the article was imported 242into an Excel file. At stage 2, the same author read through 243each article in entirety to confirm articles that met the inclu-244sion criteria. Articles were excluded if (1) they met the exclu-245sion criteria of stage one, and (2) the full text was not avail-246able. Duplicates and articles that did not meet the inclusion 247criteria were removed. 248

Data were extracted from each article to describe: (1) 249characteristics of the study (including authors, geographic 250location study conducted, mHealth tools used, funding 251source, funding institution, year published, publishing 252journal, type of study, length of follow up and health out-253come assessed), (2) characteristics of the target group (in-254cluding participant characteristics, recruitment methods, 255participant inclusion/exclusion criteria, sample size, addic-256tion type, UBR category assessed), (3) digital health tools 257and scales used to measure addiction outcome measure 258(including mHealth tools and methods used to collect mea-259sures, addiction type, types of measures collected using m-260health tools), and (4) study conclusions (including results 261

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<b>01</b> t1.1	Table 1         Terms and definitions		
t1.2	used as part of the literature	Terms	Definitions
t1.3	review inclusion criteria	Addiction	Chronic dysfunction of the brain system which has the body crave a substance or behavior which is compulsive or obsessive
			(https://www.healthline.com/health/addiction)
t1.4		Substance use	The use of drugs or alcohol including substances such as cigarettes, illegal drugs, prescription drugs, inhalants and solvents. (https://www.healthlinkbc.ca/substance-use)
t1.5		Digital health technologies	Digital tools, technologies, and services that provide flexible, integrated, interoperable and digitally enabled care environments used in the practice of medicine and or public health. (https://www.himss. org/news/himss-defines-digital-health-global-healthcare-industry)
t1.6		Underrepresented in biomedical research	Populations defined using the terms underrepresented, underserved, and or vulnerable.
			Populations of the following diversity categories:
			Blacks/African-Americans
			Hispanics/Latinos
			American Indians/Alaska Natives
			Asian Americans
			Native Hawaiians and other Pacific Islanders
			Other race and ethnicity
			Socioeconomically disadvantaged populations, i.e., annual household income (federal poverty level below 200%)
			Underserved rural populations
			Sexual and gender minorities
			Lack access to care
			Older adults and children
			Disability
			Educational attainment

262and study limitations). Measurement domains were established to account for various measures assessed 263 across the literature. We used guidance from the Network 264of Alcohol and Other Drugs Agencies (NADA) methodol-265266 ogy to establish a theme for each measurement domain utilized in Table 2 [32]. Measurement data were catego-267268 rized in the following domains: acceptability of digital health, attitudes of using digital health in substance use, 269behavior assessment, behavior and symptom identification, 270271behavior severity and dependence, craving sensation, demographics, digital health accessibility, digital health reli-272273ability and validity, depression and anxiety, feasibility of 274digital health, general health, history/duration of behavior, mental health, medication history/adherence, participant 275engagement and willingness towards using digital health 276277for treatment, participant engagement with healthcare provider or social group support, participant willingness to 278279share data, psychological distress, privacy and security risk 280concerns, risk belief of relapse of behavior, self-esteem/ self-efficacy/coping mechanisms, social and emotional 281282 well-being, and treatment and recovery.

#### Results

The initial search using the primary and secondary search 284terms defined in Fig. 1 retrieved a total of 50 articles. 285Primary search terms retrieved 33 articles, and secondary 286search terms retrieved 17 articles. After reviewing for dupli-287cates and screening abstracts and full texts, 32 articles met the 288inclusion criteria. The NIH RePORTER identified most of the 289articles that met inclusion criteria (12/32, 38%) while PubMed 290(11/32, 34%) and Google Scholar (9/32, 28%) followed. 291Eleven of the manuscripts were published by Journal of 292Medical Internet Research (JMIR) (33%). BioMed Central 293published 4 studies (12%) while the remaining studies 294(55%) were dispersed among 15 other journals. The majority 295of studies were published in 2019 (15/32, 47%) and in 2018 296(7/32, 21%), with the remaining published in 2015 and 2017 297(8/32, 24%) and 2016 and 2020 (2/32, 6%), respectively. 298

With respect to study design, half of the studies were either299cross-sectional (12/32, 39%) or feasibility pilots (7/32, 21%)300that assessed the feasibility and acceptability of using digital301health in vulnerable populations with regimented substance302

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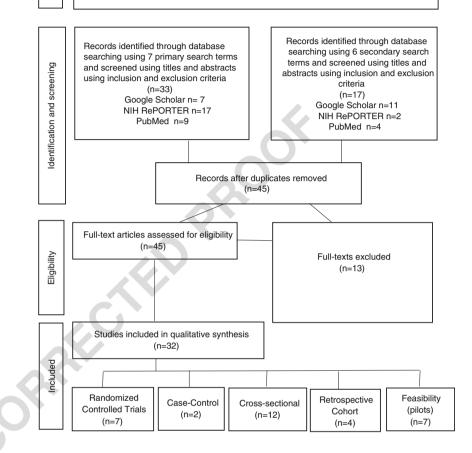
Fig. 1 Process of search term development and selection of data using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines

search terms

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**Primary Search Terms:** mhealth and underrepresented, mhealth and addiction, mhealth and substance abuse, mobile health and substance abuse, mobile health and underserved populations, mhealth and underserved populations, and underrepresented populations and addiction.

Secondary search terms: mhealth and substance use, telemedicine and underserved populations and addiction, telemedicine and addiction and economically disadvantaged, telemedicine and underserved populations and addiction, mobile health and smoking and economically disadvantaged, social media and addiction.



use consumption, substance use disorder, or other types of 303 304addiction. Seven of the 32 studies were randomized control trials (21%). Fourteen (43%) of the articles assessed multiple 305 306 types of substance use in one study, while the remaining stud-307 ies focused on alcohol disorder (5/32, 16%), tobacco use (4/32, 13%), opioid use (3/32, 9%), eating disorders (3/32, 308 9%), cannabis use (1/33, 3%), and addiction of mobile phone 309 use (1/33, 3%). We observed that many studies used digital 310 health tools such as mobile phone applications (14/32, 42%)311while other studies utilized tele-medicine (5/32, 15%), text 312313 message (4/32, 12%), Amazon mTurk (2/32, 6%), and social 314 media platforms (1/32, 3%). The remaining six studies used paper- or web-based surveys (6/32, 19%). Given this, most of 315the research studies in our database utilized diverse study de-316 317 signs to assess various domains of digital health challenging 318 the ability to establish effectiveness of an intervention.

The studies enrolled a wide range of vulnerable populations.
Table 3 shows the various categories of vulnerable populations
assessed per study. Seven of the studies (21%) focused on the

inclusion of populations of racial and ethnic minorities, while 322 five of the studies (15%) focused on the combination of inclu-323sion of multiple categories of vulnerable populations, which 324 included racial and ethnic minorities, populations that are eco-325nomically disadvantaged, populations of lower educational at-326 tainment, and geographically rural populations. Many studies 327 focused on adolescents and children (6/32, 18%) while the 328remainder of studies focused specifically on sexual and gender 329 minorities (3/32, 9%), vulnerable populations and/or under-330 served groups (6/32, 18%) (The studies explicitly utilized the 331 terms vulnerable populations and underserved groups to ex-332 plain the population characteristics.), populations of low edu-333 cational attainment and economically disadvantaged (3/32, 334 9%), and medically underserved populations (1/32, 3%). 335 Given the diverse subsets of vulnerable populations and sub-336 stance use and addiction behaviors assessed, it is challenging to 337 synthesize the effectiveness of the research at a population level 338 due to the absence of evidence-based framework implementa-339 tion in the study design. 340

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N	Aeasurement domain	Types of measures included in domain	Number and percent of studies utilizing measurement domain
A	Acceptability of digital health	Measures of the acceptability of digital health as a health promotion, screening and management tool	14/32, 44%
	Attitudes of using digital health in substance use	Measures assessing the attitude of the use of digital health in substance use and addiction-related research	14/32, 44%
В	Behavior severity and dependence	Severity and or dependence of substance use and or addiction	26/32, 81%
B	Behavior and symptom identification	Screening and outcome measures used to identify symptoms of behavior, withdrawal, misuse	25/32, 75%
	Behavior assessment	Ongoing measures of focused on behavior frequency, dependence, misuse and use.	25/32,78%
	Craving sensation	Substance use and addiction craving measures	17/32, 53%
Ε	Demographics	Global measures that include	32/32, 100%
) [	Digital health accessibility	Accessibility of the digital health tool	11/32, 34%
1 E	Digital health reliability and validity	Reliability of the digital health tool or intervention Content validity of how adequately the measures of items reflects objective	12/32, 38%
2 E	Depression and anxiety	Construct validity of behavior measures used Specific mental health measures related to depression and anxiety that measure symptoms	8/32, 25%
3 F	easibility of digital health	Usability of the digital health tool Feasibility of using the digital health tool Assessment of use of digital health tool	11/32, 34%
4 6	General health	Global measures of health status (psychological, physical, social)	22/32, 69%
5 H	listory/duration of behavior	General health measures specific to the duration of substance use and or addiction-related behavior	21/32, 66%
6 N	Mental Health	General mental health measures	7/32, 22%
7 N	Aedication history	General health measures related to current and previous medication adherence	2/32, 6%
8 P	Participant engagement and willingness towards using digital health for treatment	Global measures that measure participant engagement of use of digital health as health promotion and health management tool	14/32, 43%
9 P	Participant engagement with healthcare Provider or social	General measures of social support and or social functioning via digital health	20/32, 63%
) P	group support Participant willingness to share data.	Measures of participant willingness to share their sensitive data collected by digital health with other stakeholders	11/32, 33%
1 P	Psychological distress	Specific psychological distress mental health measures that measure symptoms	9/32, 28%
2 P	Privacy and security risk concerns	Measures of research ethics including the privacy, security and risk concerns of using digital health as health promotion and or health management tools	11/32, 34%
3 R	Risk belief of relapse of behavior	Severity of substance misuse and or addiction measures related to one's risk belief of relapse	16/32, 48%
4 S	elf-esteem/self-efficacy/coping mechanisms	Positive mental health measures related to empowerment	17/32, 53%
5 S	ocial and emotional well-being	Positive mental health measures in areas of wellbeing	15/32, 47%
6 T	reatment and recovery	Positive mental health measures specific to recovery and rehabilitation	20/32, 63%

Massurement domains and definitions adapted from Network of Alachel and Other Drugs Agencies (NADA) measurement enterories [29] 10 1 Table 2

The literature review identified 24 common measurement 341342domains, which include measures of health outcomes and technology evaluation measures used across the studies for data 343collection and analysis (Table 2). Among the common mea-344 345surement domains assessed were behavior severity and or dependence (26/32, 81%), behavior assessment (25/32, 78%), 346behavior and symptom identification (25/32, 75%), general 347

health (22/32, 69%), history and duration of behavior (21/32, 348 66%, treatment and recovery (20/32, 63%), participant engage-349ment and willingness towards using digital health for treatment 350(20/32, 63%), craving sensation (17/32, 53%),risk belief of 351relapse of behavior (16/32, 48%), acceptability of digital health 352(14/32, 44%), and attitudes of digital health use in substance 353use interventions (14/32, 44%) (Table 2). Given this, most 354

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 Table 3
 Brief description of digital health studies included in this review

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The study had a small sample size Small sample size, longer follow-up Small sample size and the results are delivered via phone and not query Cross-sectional and represents the There are a low number of race and ethnic minorities in sample lacked psychometric properties. The results are not generalizable. about alternative platforms for required, self-report, measures The results are not generalizable. and did not query adolescents intervention other than an app The results are not generalizable. and focused on populations about access to the app. clinician's perspective. 40-60 years of age. not generalizable. Limitations were experienced more than risks engage youth, and perspectives on clinician-adolescent HIV/STI and telemedicine may be effective in populations: Acceptability of the availability because of geography. meeting demands of adolescents platforms may be a vital tool for expanding recovery supports for interfaces, delivery systems, and rewards with which adolescents Perceived benefits (e.g., altruism) ext message reminders preferred interactive aspects in the app to accessibility, and economizing phone minutes for treatment for primary care, inclusion of a risk mHealth app to adolescents in specific HIV/STI and drug use who use cannabis are familiar, Family-based intervention using basis, and identify as relevant. engagement and retention for engage with on a day-to-day adolescents in substance use patients 18-25 years of age. The use of social media group those lacking in access and Integrating content, language, and families living in rural in using text messages for substance use treatment in incorporation of culturally the appearance of the app. drug use communication, content, incorporation of Five themes emerged from may increase treatment assessment to improve for reasons of privacy, patients with HIV. JBR categories included in Significant findings treatment. locations. Race and ethnic minorities vulnerable populations Vulnerable, underserved Adolescents, gender, African-American. Female, transgender, African-American non-binary Adolescents Adolescents sample Gender worthiness in digital health in Survey-Facebook platform-Text message, semi-structured towards use of digital health for substance use treatment. substance use interventions. Telemedicine: video chat and SHE RECOVERS group healthcare provider trust Digital interview to assess Survey to assess attitudes interviews, and survey counseling Mobile app **Tools** used Substance use and risky sexual behavior Anorexia nervosa Substance use Substance use Cannabis use Cocaine use Behavior Anderson et al. (2015) Cordova et al. (2015) Brown et al. (2019) Bagot et al. (2019) Bonar et al. (2019) Curtis et al. (2019) Author/year t3.4t3.5t3.6t3.7t3.8 t3.2t3.3

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(3)         Units of all 2019         Subsence use subsence and subsence not contrain a point of all and and subsence and subsence subsence subsence subsence subsence subsence subsence subsence subs	pringer	Author/year	Behavior	Tools used	UBR categories included in sample	Significant findings	Limitations
Gene et al. (2015)         Substance use- injecting drugs         Survey to assess pantens of mobile prime overachip, interfaulty underscrudi.         Meterably explaidants interfaulty underscrudi.         Communication teshnology interfaulty underscrudi.         Communication teshnology interfaulty underscrudi.         Communication teshnology interfaulty underscrudi.         Communication teshnology interfaulty under general US population.         Communication teshnology interfaulty under granty significantly interviewing via ficient via population.         Communication teshnology interviewing via population.         Communication teshnology interviewing via population.         Communication teshnology interviewing via population.         Communication test curity is charge.         Communication interviewing via population.         Communication interviewing via population.         Communication interviewing via population.         Communication population.         Communication population.	t3.9		Substance use	<u>5</u>	Adolescents. Generation Z	social determinants, or other barriers. Cross-platform solutions capable of transcending generational preferences are necessary and one-size-fits-all digital interventions should be avoided.	The results are not generalizable. There is a gap in collection data between generations, each generation collected in separate part of the USA.
Glass et al. (2017)     Alcohol usc     A-CHESS mobile app     African-American     Mobile app may significantly inspect educing of substance inspection of substance and turing at risk days.     Se       Guille et al. (2020)     Opioid use     Tele-medicine post-partum     Pregnant women     Potential use of digital health in follow up     Pacting at risk days.       Harder. Mussu Musyimi.     Alcohol use     Tele-medicine post-partum     Pregnant women     Potential use of digital health in follow up     Pacting at risk days.       Mateix Musyimi.     Alcohol use     Tele-medicine post-partum     Pregnant women     Potential use of digital health in follow up     Pacting at risk days.       Mateix Musyimi.     Alcohol use     Tele-medicine post-partum     Pregnant women     Potential use of digital health in follow up     Pacting at risk days.       Nuclei and Mutisio     Alcohol use     Runal     Runal     Runal     Pacting at risk days.     Pacting at risk days.       Nadet, and Mutisio     Alcohol use     Mobile phone based training     Renyan     Potential benefix Renyant worder in Kanya. Providing provident provid	t3.10	) Genz et al. (2015)	Substance use- injecting drugs	Survey to assess patterns of mobile phone ownership, internet use, and willingness to provide health information	Medically underserved, African-American, vulnerable populations	Utilization of information and communication technology among this cohort of people who inject drugs was reported at a lower level than what has been estimated for the general US nonulation.	Cross-sectional and self-report.
Guille et al. (2020)         Opioid use         Tele-medicine post-partum         Pregnant women         Potential use of digital health in postant ownen wons suffer from substance use disorder.         Pat           Harder, Musau, Musyimi, Alcohol use         Motivational interviewing via         Rural         Mobile phone-based motivational         Th           Ndetei, and Mutiso         Motivational interviewing via         Rural         Mobile phone-based motivational         Th           Ndetei, and Mutiso         Motivational         Rural         Mobile phone-based motivational         Th           Ndetei, and Mutiso         Motivational         Rural         Mobile phone-based motivational         Th           Ndetei, and Mutiso         Rural         Mobile phone-based motivational         Th         Mobile phone-based motivational         Th           Alcobact         Renyan         Renyan         Renyan         Mobile phone-based motivational         Th           Aluag et al. (2017)         Substance use         Mobile phone based training         Adolescents         Renyan         Renyan         Providing           Haug et al. (2017)         Substance use         Mobile phone based training         Adolescents         Renyan         Renyan         Renyan         Renyan         Renyan         Renyan         Renyan         Renyan         Renya	t3.11		Alcohol use	A-CHESS mobile app	African-American	Mobile app may significantly improve reduction of substance use during at risk days.	Self-report. Measures collected did not use validated instruments, and not assess a broad range of services such as inpatient treatment.
Harder, Musau, Musyimi, Alcohol use     Motivational interviewing via     Rural     Mobile phone-based motivational     Th       Noteei, and Mutiso     mobile app     Kenyan     Mobile phone-based motivational     Th       Noteei, and Mutiso     mobile app     Kenyan     Mobile phone-based motivational     Th       (2019)     mobile app     Kenyan     Mobile phone-based motivational     Th       (2019)     Substance use     Mobile phone based training     Adolescents     Noviling motivational interviewing motivatinal interviewing mot	t3.12	2 Guille et al. (2020)	Opioid use	Tele-medicine post-partum follow up	Pregnant women	Potential use of digital health in pregnant women who suffer from substance use disorder.	Participants followed up for only 2-month post- partum.
Substance use     Mobile phone based training program i.e. Ready4L ife with program i.e. Ready4L ife with text message     Adolescents     The feasibility and potential benefits Re to deliver mobile health intervention among participants with substance abuse disorder.       Opioid use     Weekly electronic surveys via     Economically disadvantaged     A-CHESS mobile app     The feasibility and potential benefits Re to deliver mobile health intervention among participants with substance abuse disorder.       Opioid use     Weekly electronic surveys via     Economically disadvantaged     A-CHESS allows for and low education     The services in addiction treatment while collecting data related to substance use.	t3.13		Alcohol use	Motivational interviewing via mobile app	Rural Kenyan	Mobile phone-based motivational interviewing may be an effective treatment for alcohol use problems among adults visiting primary care in Kenya. Providing mobile motivational interviewing may help clinicians in rural areas to reach patients needing treatment for alcohol use problems.	The results are not generalizable.
Opioid use     Weekly electronic surveys via     Economically disadvantaged     A-CHESS allows for     Th       A-CHESS mobile app     and low education     implementation of multiple       attainment     services in addiction treatment       while collecting data related to substance use.	t3.14	t Haug et al. (2017)	Substance use	Mobile phone based training program i.e. Ready4Life with text message		The feasibility and potential benefits to deliver mobile health intervention among participants with substance abuse disorder.	Restricted to adolescents without regular cigarette use, only 50% or students completed follow up biasing evaluation of program and the results of efficacy.
	t3.15	6 Hochstatter et al. (2019)	Opioid use	Weekly electronic surveys via A-CHESS mobile app	Economically disadvantaged and low education attainment	A-CHESS allows for implementation of multiple services in addiction treatment while collecting data related to substance use.	The results are not generalizable. Lack validity and reliability of HCV care measures.

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t3.16	Table 3 (continued)					
	Author/year	Behavior	Tools used	UBR categories included in Sample	Significant findings	Limitations
t3.17	Hooper, Carpenter, and Salmon (2019)	Tobacco use.	Telemedicine—web coach, web-entry tobacco cessation program	I African-American, Hispanic/Latino, Asian, Alaska Native, economically disadvantaged, low education attainment	ies exist in bacco cessation lings have or the development nation of digital ventions for ninority	1-year snapshot in 2015 so causality cannot be inferred. Rapid changes in technology, access, and comfort using digital applications.
t3.18	t3.18 Johnson et al. (2019)	Hookah smoking	Amazon mTurk—tailored messaging	Economically disadvantaged, low education attainment,	Confination contraction of the c	Cross-sectional study design. Lack data regarding effectiveness for long-term engagement.
t3.19	t3.19 Liang, Han, Du, Zhao, and Hser (2017)	Substance use	S-Health mobile app, text message and surveys	ucation attainment, a	Pilot demonstrated the feasibility and potential benefits to deliver mobile health intervention among participants with substance use	The results are not generalizable. Follow up was only for 1 month. Possibility of behaviors not being tracked in real-time.
t3.20	t3.20 Mares et al. (2016)	Substance use	SEVA mobile app	Economically disadvantaged I Underinsured Race and ethnicity Individuals who attend Federal Health Qualified Contens	tion of SEVA showed positive use of app in Qualified Health Center ns.	Self-report. Represent clinicians experience and perceptions.
t3.21	t3.21 McKay et al. (2018)	Alcohol use	A-CHESS and TMC mobile app African-American Hispanic/Latino	S	Possible benefits for mobile automated recovery support.	Cannot determine which of the mobile apps allowed for treatment effects. Counselor turnover follow up period not long enough.
t3.22	Pretlow, Stock, Roeger, Allison (2019)	Binge eating	Mobile app	Adolescents	Significant decrease in zBMI and overall maintenance of weight loss with using a mobile app.	The results are not generalizable and unvalidated participant questionnaires and scales.
t3.23	Quanbeck et al. (2018)	Substance use	SEVA mobile app	Economically disadvantaged Possible benefits for mobile Underinsured automated treatment and r Race and ethnicity support. Individuals who attend Federal Health Qualified Centers	ecovery	Selection bias, self-report, could not retrieve race and ethnic group data for substance use disorder, not reproducible.
t3.24 🔊	Rupinder, Legha, Moore, Alcohol use Ling, Novins, and Shore (2019)	Alcohol use	Tele-medicine: telepsychiatry	tive	sess many -traumatic allowed in engaged ely to	The results are not generalizable.
pringer		Substance use		Hispanic/Latino	complete treatment.	

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t3.33	t3.33 Table 3 (continued)					
	Author/year	Behavior	Tools used	UBR categories included in Significant findings sample	Significant findings	Limitations
t3.33	t3.33 Yang et al. (2015)	Alcohol use and HIV related	Mobile App- EMA	African-American	Demonstrated feasibility and	percentage of sample state opioid abstinence. Small sample size and study under
	0	behaviors	5	Men who have sex with men.	acceptability of use of EMA methods for collecting data on alcohol use and promote substance use reduction in	powdered.
t3.34	t3.34 Yoo, Shah, Chinh, Ming-Yuan, and Gustafson (2019)	Alcohol use	A-CHESS mobile app and discussion group message	African-American, low education attainment, economically disadvantaged.	spectred population. Receiving emotional support via app Did not examine different types of from healthcare providers social support. Solely focused or improved coping self-efficacy discussion board formatted protecting patients from harmful discussion.	Did not examine different types of social support. Solely focused on discussion board formatted discussion.
t3.35	t3.35 Zhang et al. (2019)	Substance use	Mobile app	Chinese Singaporean	effects of emotional distress on risking drinking. The results demonstrate feasibility The results are not generalizable. and accessibility of recruiting	The results are not generalizable.
				Malay Low education attainment	participants to undertake attention bias modification interventions	

via mobile app. Improvements in bias modification interventions

app are needed.

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355studies focused on overall behavioral assessment and treatment and demonstrated potential feasible treatment tools. For exam-356 ple, the Addiction Comprehensive Health Enhancement 357 358Support System App (ACHESS) demonstrated a positive effect on alcoholism treatment utilizing features such as GPS technol-359 360 ogy to track locations where an individual usually is at high risk 361 of alcohol consumption and automate support text messages to 362 prevent relapse [33]. Moreover, smartphone-based support groups via ACHESS have served as resourceful tools for alco-363 364 holism treatment in Hispanic/Latino, African-American, and 365 lower SES populations reducing social cues allowing individ-366 uals to feel comfortable in discussing stigmatized topics 367 [33–35]. Further, many studies utilized a type of mobile phone application with EMA capabilities and text message treatment 368 via mobile phone or telemedicine (n = 5, 16%). For example, 369 370 EMA via a mobile app was utilized to assess alcohol use in African-American men who have sex with men with HIV and 371372 found favorable results of self-reported acceptability and feasi-373 bility of the tool [36]. Moreover, web-based and smartphone text message-based smoking cessation programs such as Web 374Coach provide evidence-based strategies for tobacco cessation 375tailoring treatments on an individual scale in racial and ethnic 376 377 minorities of lower SES [37, 38]. Additionally, the web- and text-based messaging interventions between patients of various 378UBR populations and healthcare providers demonstrated po-379 380 tential benefits in support for quitting tobacco smoking, alcohol use, cannabis use, cocaine use, other illicit drug use, and addic-381 382 tion [21, 25, 37-39].

383 Acceptability of the use of digital health was a common domain assessed (n = 11, 32%). The definition of acceptability 384of digital health depended on the stakeholder and health be-385386 havior and or outcome. In one case, researchers used a 10point scale to measure acceptability of text messaging for 387 388 treatment and found that 76% of the racial/ethnic diverse smokers from a lower socio-economic background rated the 389 use that approach favorably. The iQuit Mindfully text messag-390 ing program for smoking cessation treatment reported a 22% 391392 smoking cessation rate at end of treatment and 19% at 1month follow-up [40]. Similarly, SEVA (a Sanskrit word 393 394meaning "self-caring") [41], a digital health system assisting 395 with substance use among patients from federally qualified health centers, showed an program adoption rate between 53 396 and 60% over a 12-month period resulting in a 44% reduction 397398 in risky drinking days and 34% reduction in illicit drug-use days [41]. Overall, the results demonstrate potential adoption 399 of digital health in vulnerable populations in areas of sub-400 401 stance use and addiction research.

#### 402 Discussion

The literature reviewed for this research focused on the designand impact of digital health tools in substance use and

addiction in vulnerable populations between 2015 and early 4052020. Using the combination of three databases and 13 search 406 terms, our literature search resulted in 32 research studies that 407 focused on a variety of substances and or addictions in various 408 categories of vulnerable populations. The breadth of search 409 terms selected arose from popular terms used to describe dig-410ital health, vulnerable populations, addiction, and substance 411 use. Although additional search terms may exist, the search 412terms utilized provided sufficient evidence of research pub-413 lished over the past 5 years of digital health applied to sub-414 stance use and/or addiction in vulnerable populations. Results 415 of this review reveal a growing interest in leveraging digital 416 health in substance use- and addiction-related research. 417

Studies using a cross-sectional design dominated the re-418 search evaluated (n = 12, 38%) and provided insights regard-419ing the acceptability, feasibility, and reliability of digital 420 health applied to substance use- and addiction-related re-421search [24, 41–50, 51•]. Randomized controlled trials (n = 7, 422 22%) [33-35, 37, 39, 52, 53] and feasibility pilot trials (n = 7, 423 22%) [36, 40, 54–58] followed highlighting a subset of trends 424 among digital health used in research among diverse catego-425 ries of vulnerable populations. The need for population-based 426 research is required to assess potential barriers influenced by 427 demographic characteristics prior to assessing the effective-428ness of implementing a technology into the community 429[51•]. Throughout the literature, digital health demonstrated 430increased access to health research and services to vulnerable 431 432 populations by removing barriers of geography and perceived stigma associated with addiction and or substance use treat-433ment and recovery [59]. 434

The use of digital health strategies introduces ethical, legal/ 435regulatory, and social implications (ELSI) in both research 436 and clinical practice and, particularly, in the area of substance 437 use and addiction. The ELSI associated with the studies in our 438 database included acceptability within the targeted popula-439tions, the validity and reliability of the measurement tools, 440 participant privacy, data management (e.g., collection, storage 441 and sharing protocols), potential legal liability, and mandated 442 reporting requirements. As these issues influence the integrity 443 444 of the research and subsequent knowledge gained, it is a positive finding that the studies included in our review attended to 445 barriers and facilitators to adoption, usability, efficacy, priva-446cy, and other factors that affect the risk to benefit calculus. 447

Participant privacy concerns and willingness to share data 448 are consistent themes expressed across literature retrieved [24, 449 25, 43-47, 51•, 52, 60, 61]. A barrier to adoption was voiced 450by healthcare practitioners using SEVA who expressed legal 451and liability concerns due to making clinical decisions via 452mobile platform in lieu of administering directly to the patient 453during an in person visit [44]. Protecting participant privacy 454and data confidentiality is more challenging in digital health 455 and can interfere with clinician and patient acceptability and 456subsequent adoption. For example, adolescents in the 457

458 community-engaged HIV/STI and drug abuse prevention pri459 mary care program worried about the confidentiality of their
460 information collected during qualitative interviews [45].

461 The clinical application of digital health technology and the 462 liability of using digital health in treatment, recovery, and selfmonitoring settings are common concerns among clinicians 463 464 [22•, 44]. The reliability of digital health tools, measures, and scales used challenges the acceptability of adoption of 465digital health [35, 45]. Generally speaking, little evidence ex-466 ists regarding the reliability and validity of digital health tools 467 and measures, and this is true within addiction and substance 468 469 use digital health research. The measurement domains utilized to measure outcomes across studies were inconsistent, con-470 tributing to low confidence in healthcare providers that digital 471 health strategies are a trustworthy solution. Given the number 472of digital health tools that lack validation and empirical evi-473 474 dence on safety and efficacy, clinicians fear an increased risk of potential harm to patients particularly when suggesting dig-475476 ital solutions to support self-management [22•, 44-46]. For 477 example, certain features such as alerts on mobile applications may inadvertently induce a craving [22•]. Digital health tools 478 are not always clinically validated to determine the precision 479480 of the tool [62]. To further complicate matters, validation is defined differently across stakeholders (e.g., app developer, 481482 scientists) [62]. Factors such as verification of sensor perfor-483 mance, analytical validation of the performance of the algorithm, and clinical validation of acceptable meaningful and 484 accurate measures in the specified populations and context 485 486 of use need to be considered when validating a digital health tool [62, 63]. Further, the concern to use digital health tools for 487 clinical purposes to communicate results and serve as treat-488 489ment and or self-management in lieu of inpatient visits for high risk populations raises liability and related legal concerns 490[22•, 44, 46]. Factors such as the risk of a tool inaccurately 491 492reporting symptoms or not reporting suicide ideation in a 493 timely manner and/or providing a treatment plan without 494 physically seeing the patient and relying on the patient to 495self-report are but a few examples of important considerations 496 [22•, 46].

Digital health presents unprecedented opportunities to vast-497 498 ly scale self-monitoring, but there is a massive gap of inclusion of inter-method reliability and validity assessment of the 499 tools and scales utilized, particularly in substance use- and 500501addiction-related treatment and self-monitoring limiting the ability to determine the generalizability of the results. Of the 502studies that met the inclusion criteria, 41% claimed that their 503results were not generalizable [24, 39, 46, 48-50, 51•, 52-54, 50457, 58, 60]. These studies lacked the inclusion of evidence-505based frameworks and guidelines necessary to establish the 506reliability of measures needed to provide clinical evidence of 507 508 efficacy, safety, and effectiveness of the use of digital health tools prior to the implementation in a clinical and non-clinical 509setting in diverse populations. Further, the inconsistency in the 510

taxonomy of measurement domains limits the ability to con-511clude generalizable findings. For example, studies claim to 512measure acceptability of digital health but define acceptability 513in various ways. It is essential to establish standard taxonomy 514for measurement domains to consistently and accurately mea-515sure factors such as behavior change technique within 516established constructs. Establishing digital health frameworks 517that clarify underlying mechanisms linking research and inter-518vention design features, effective psychotherapeutic ap-519proaches and clinical outcomes are needed to establish clinical 520evidence of efficacy of use of digital health [23]. With this, 521digital health can be tailored to demographic trends in diverse 522populations and allow for personalization of interventions and 523use of tools. 524

While researchers are adopting digital health technologies 525in substance use and addiction-related research, minimal em-526 pirical data exists to guide the assessment of risks and benefits 527among vulnerable populations who participate research. 528Given the sensitivity and granularity of digital health data, it 529is critical for researchers to gather these insights at an individ-530ual and community level prior to implementing digital health 531focused on stigmatizing health concerns like substance use 532and addiction, especially in populations historically underrep-533resented [45]. Efforts to create decision-support tools to assist 534the digital health community are forming. One example is the 535Digital Health Checklist that guides the researcher in evaluat-536ing the ethical dimensions of respect for persons, beneficence, 537and justice as a foundation and, more specifically, issues that 538may be associated with privacy, data management, usability, 539access, and the risks to benefit evaluation [64]. Having tools to 540 help researchers and clinicians make informed decisions about 541the health technologies are critical and should be sensitive to 542 issues that may be unique to a specific population (e.g., older 543adults, men who have sex with men). 544

While our review was rigorous and comprehensive, several 545limitations were identified in research evaluated within the 546scope of our review. The search terms utilized may have lim-547 ited the findings included in our review and the measurement 548domains assessed were grouped in common themes devel-549oped by the author while using NADA guidelines of how 550scales were grouped. This introduces selection bias and liter-551ature may be grouped differently by other researchers. Most 552studies lacked the assessment of effectiveness of the interven-553tion and research. Moreover, the literature was cross-sectional 554of nature and relied on self-report leading to potential recall 555bias. Further, the short duration of interventions limited the 556ability to accurately determine potential causal relationships 557and sustained use of digital health. The lack of generalizable 558results across nearly half of studies evaluated is particularly 559alarming. Clearly, rigorous evidence-based research is needed 560prior to promoting digital health as healthcare solution gener-561ally speaking and, in particular, with vulnerable populations 562with mental health and other stigmatizing conditions. 563

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#### 564 **Conclusions**

This review serves as an essential step in the assessment of 565566current user trends, experiences, and perceptions of digital 567 health providing a foundation to inform future research and digital health development. Digital health holds the potential 568 569to address health inequity in UBR populations, but there are several factors that need to be considered prior to deploying 570digital health as solution in health promotion, diagnosis and 571572treatment. The analysis of demographic trends provides an 573opportunity to assess preferred digital health features among 574populations experiencing addiction and or substance use. With the term UBR being arbitrary, it is important to consider 575origins of health disparities and the social determinants of 576health when designing digital health tools and interventions. 577 These psychosocial factors serve as the foundation of majority 578 579of health inequities and are crucial for predicting health behavior and outcomes [3..]. Given the numerous types of data 580581that can be collected on individuals, there is a need for patientcentered, digital interventions, particularly in stigmatized pop-582ulations, to ensure meaningful use of the tools. With this, 583stakeholders need to consider a human-centered design ap-584585proach and engaging diverse populations during the early development phase of digital health tools prior to promoting as 586modernized solutions to achieve health equity [3...]. 587

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 590

#### 591 **Compliance with Ethical Standards**

- 592 **Conflict of Interest** The authors declare that they have no conflict of 593 interest.
- 594 **Human Subjects** This article does not contain any studies with human 595 or animal subjects performed by any of the authors.

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